

Title: When do Frustration-free Spin Chains Become Entangled?

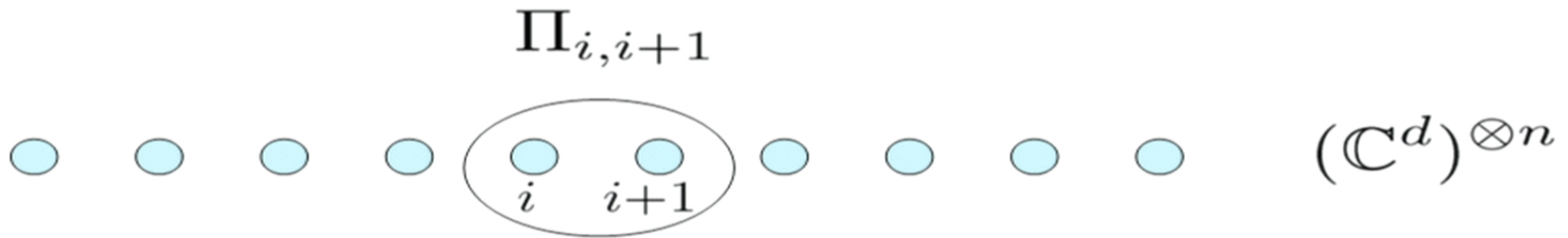
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Abstract: TBA



Frustration-free spin chains

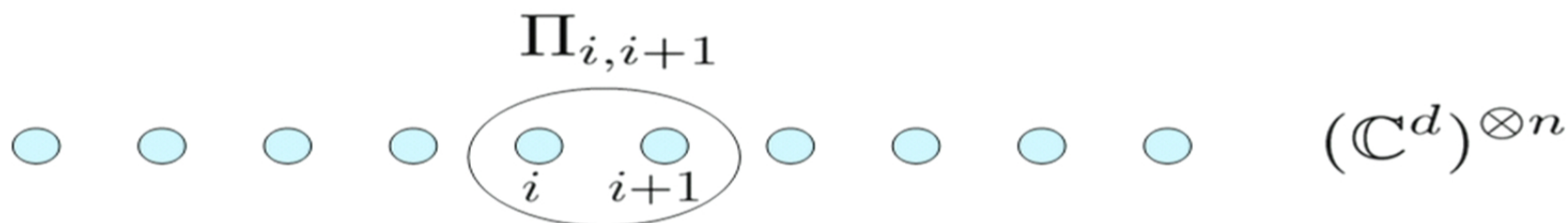


$$H = \sum_{i=1}^{n-1} \Pi_{i,i+1}$$

Interactions = projectors

Ground state of H = zero eigenvector of every projector

Frustration-free spin chains



Example 1: Heisenberg chain ($d=2$)

$$\Pi = |\Psi^-\rangle\langle\Psi^-|, \quad |\Psi^-\rangle \sim |01\rangle - |10\rangle$$

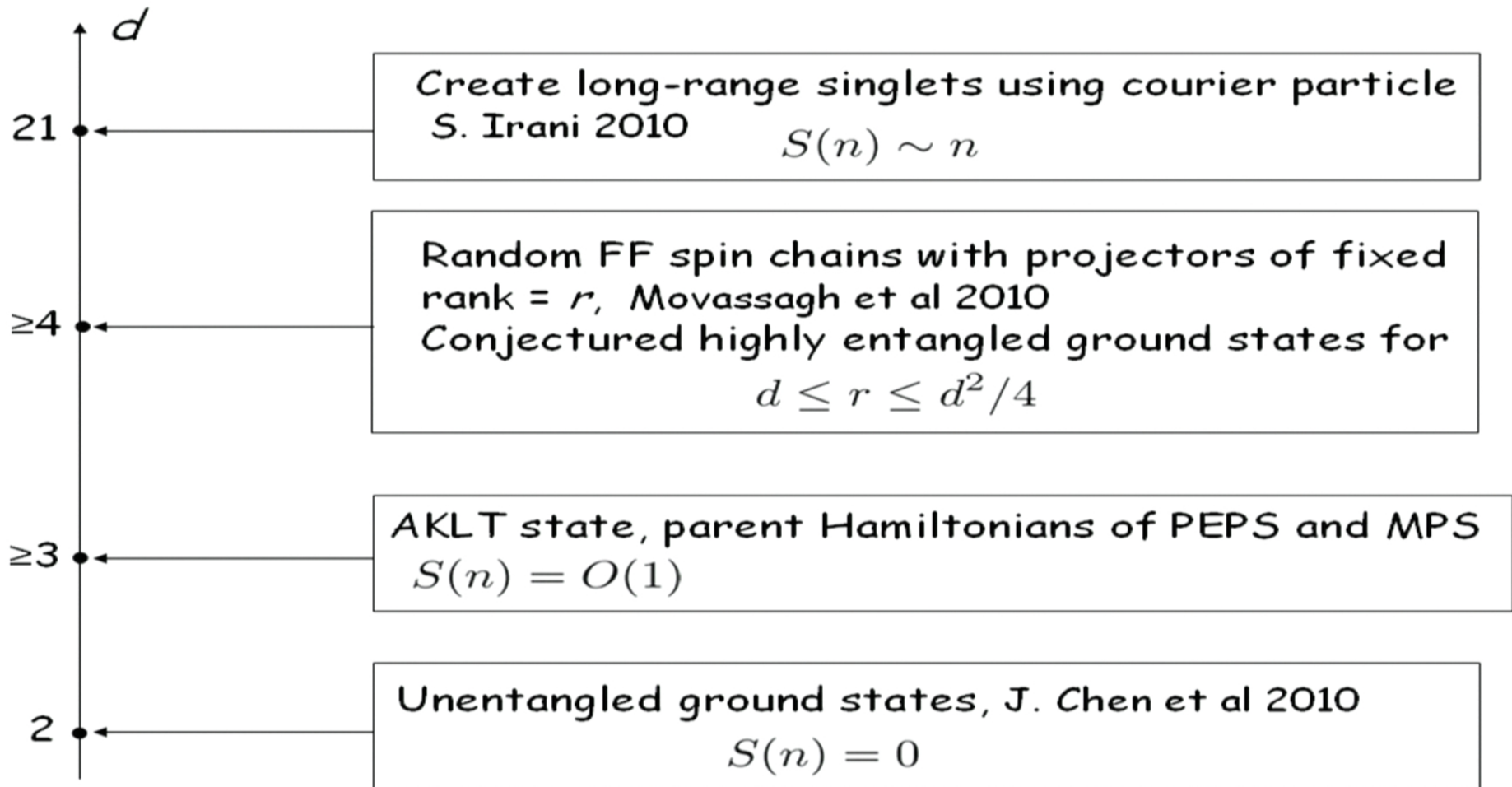
Ground states = symmetric subspace of $(\mathbb{C}^2)^{\otimes n}$

How entangled can be ground states of
frustration-free quantum spin chains ?

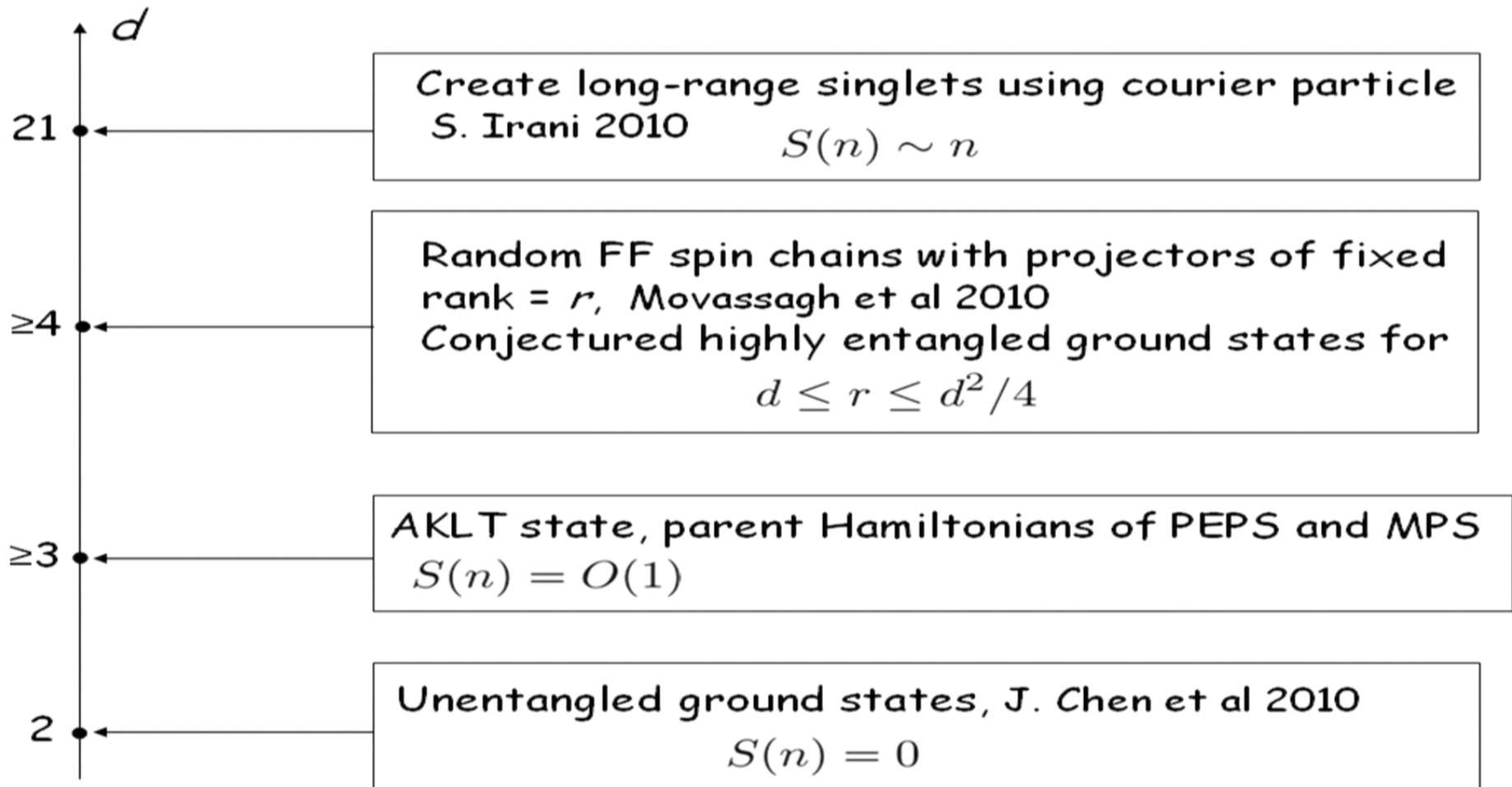
Focus on `nice' spin chains:

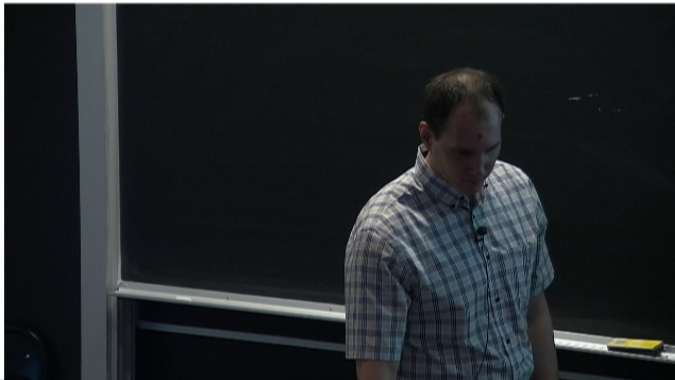
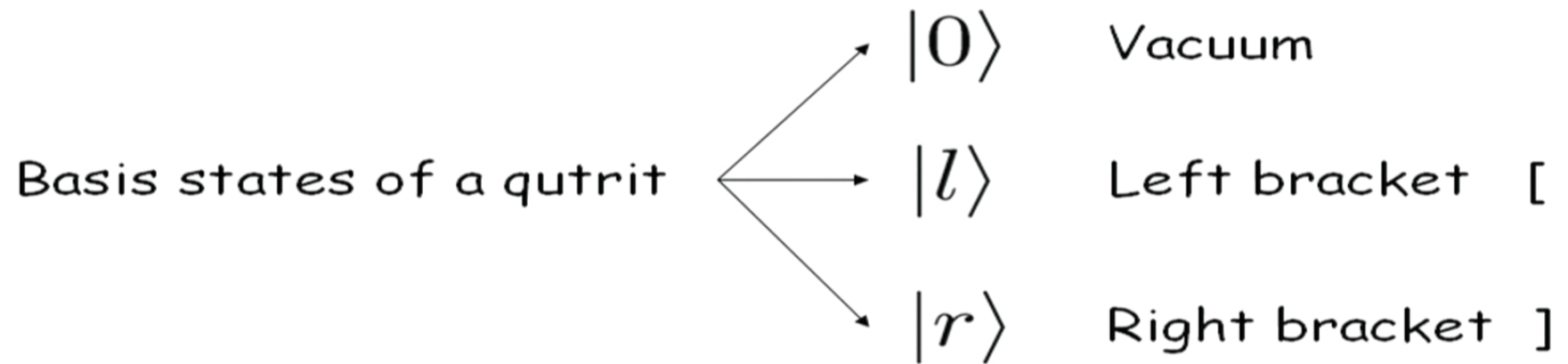
- Small local dimension (qubits or qutrits)
- Unique ground state
- Spectral gap is not too small (polynomial in $1/n$)
- Translational invariance (optional)

Ground state entanglement $S(n)$ for FF qudit chains

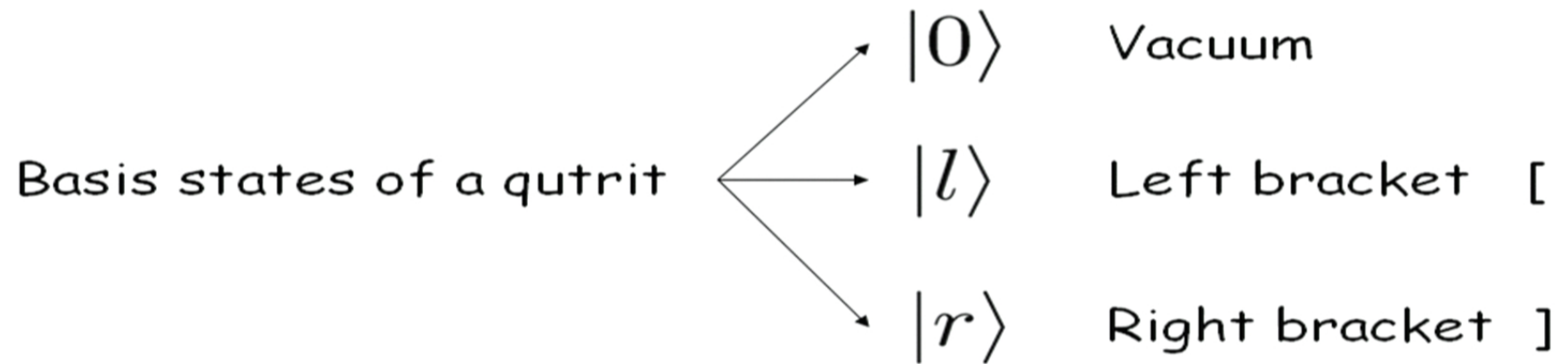


Ground state entanglement $S(n)$ for FF qudit chains





ain = strings of left and right brackets
possibly separated by zeros



Basis states of a chain = strings of left and right brackets
possibly separated by zeros

Def. A string S over the alphabet $0, l, r$ is **balanced** iff

- (i) any initial segment of S has at least as many l 's as r 's
- (ii) total number of l 's = total number of r 's

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Example: balanced strings of length 4

$llrr$

$l00r$

$lr lr$

$lr00$

$l0r0$

$0l r0$

$0l0r$

$00lr$

0000

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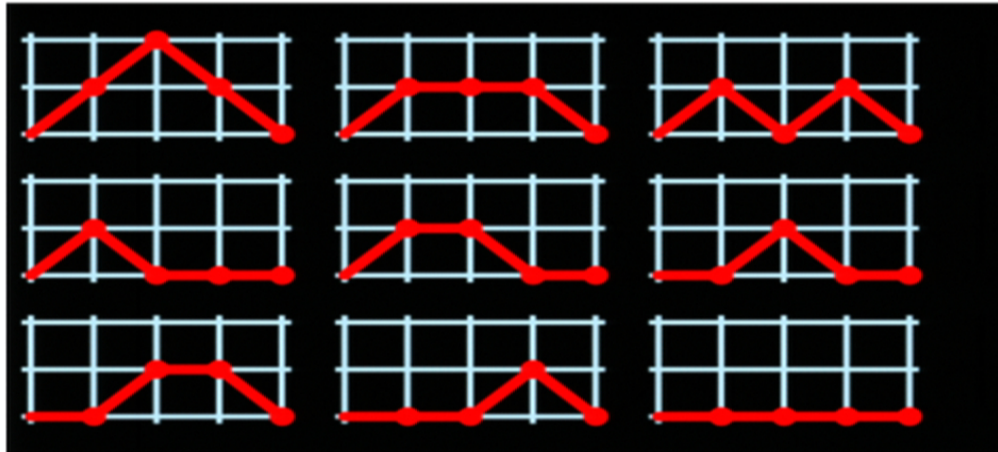
$0l0r$

$00lr$

0000

Example of unbalanced string: $l0lrrrllr$

Balanced strings = Motzkin paths



$llrr$

$l00r$

$lrll$

$lr00$

$l0r0$

$0l0r$

$0l0r$

$00lr$

$00r0$

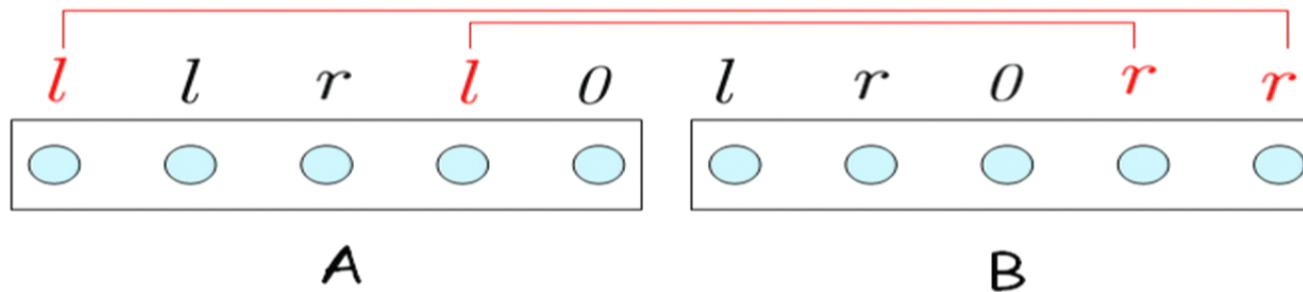
Def. The Motzkin state of n qutrits $|\mathcal{M}_n\rangle$ is the uniform superposition of all balanced strings of length n .

$$|\mathcal{M}_2\rangle \sim |00\rangle + |lr\rangle$$

$$|\mathcal{M}_3\rangle \sim |000\rangle + |lr0\rangle + |l0r\rangle + |0lr\rangle$$

$$\begin{aligned} |\mathcal{M}_4\rangle \sim & |0000\rangle + |00lr\rangle + |0l0r\rangle + |l00r\rangle \\ & + |0l r 0\rangle + |l0 r 0\rangle + |lr00\rangle + |llrr\rangle + |lr lr\rangle. \end{aligned}$$

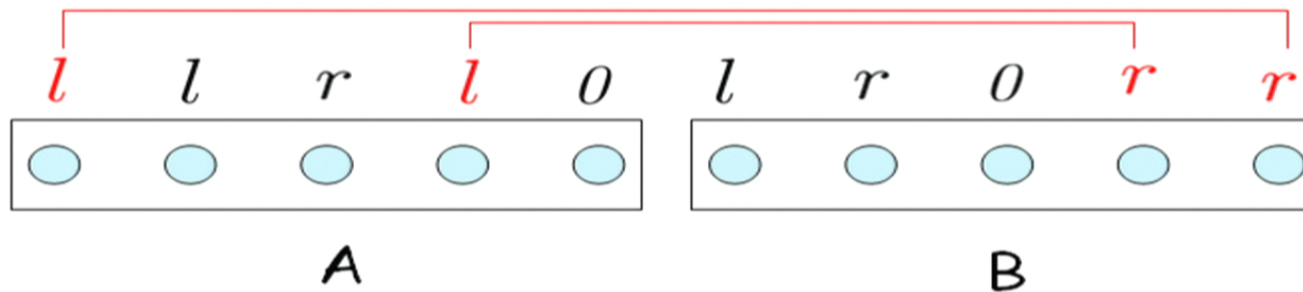
Why the Motzkin state is highly entangled ?



Entanglement between A and B stems from the **locally unmatched brackets**.

A and B may have p extra left and right brackets, $0 \leq p \leq n/2$.

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$$\begin{aligned}
 |\mathcal{M}_4\rangle &\sim (|00\rangle + |lr\rangle)_A \otimes (|00\rangle + |lr\rangle)_B & p=0 \\
 &+ (|0l\rangle + |l0\rangle)_A \otimes (|0r\rangle + |r0\rangle)_B & p=1 \\
 &+ |ll\rangle_A \otimes |rr\rangle_B. & p=2
 \end{aligned}$$

Parent Hamiltonian

$$H = |r\rangle\langle r|_1 + |l\rangle\langle l|_n + \sum_{j=1}^{n-1} \Pi_{j,j+1}$$

Π projects onto a 3-dimensional subspace of $\mathbb{C}^3 \otimes \mathbb{C}^3$
spanned by states

$$|0l\rangle - |l0\rangle, \quad |0r\rangle - |r0\rangle, \quad |00\rangle - |lr\rangle$$

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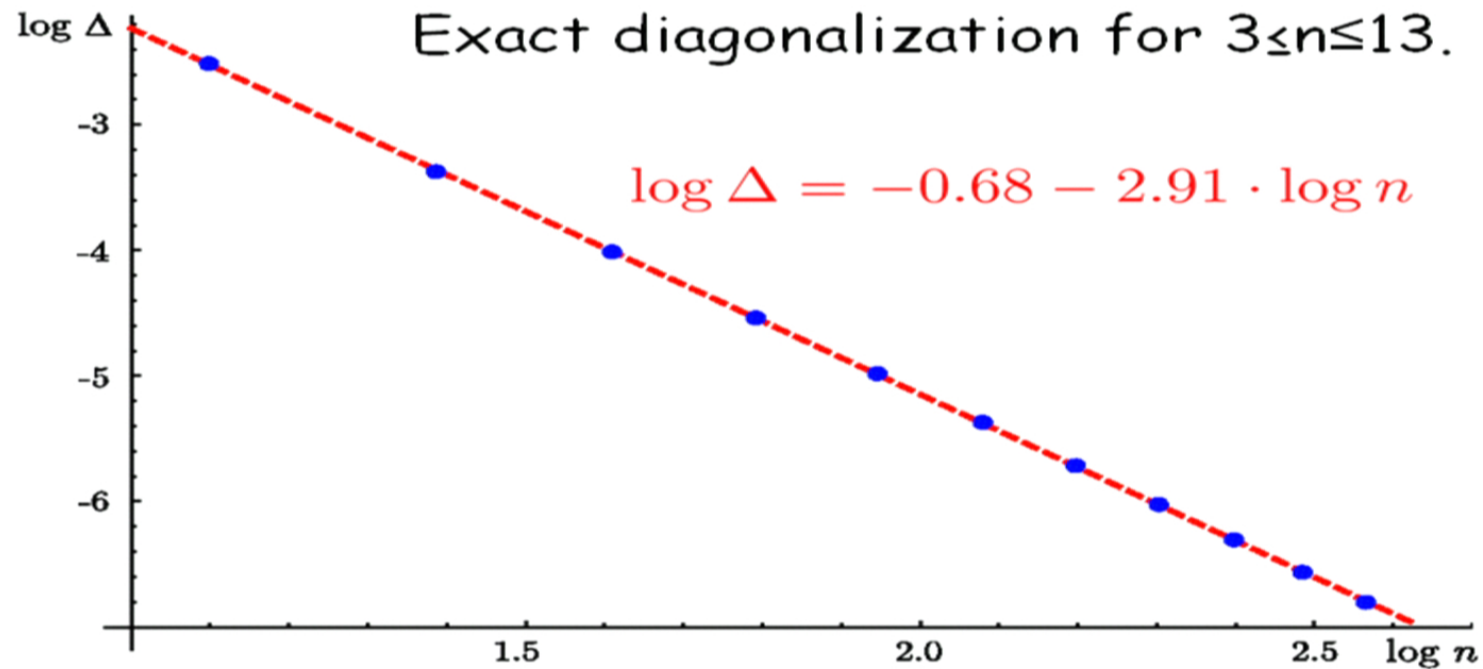
$$|0l\rangle - |l0\rangle, \quad |0r\rangle - |r0\rangle, \quad |00\rangle - |lr\rangle$$

Theorem. The Motzkin state is the unique ground state of H with zero energy. The spectral gap of H is $\text{poly}(1/n)$. Entanglement entropy of one-half of the chain is

$$S(A) \approx \frac{1}{2} \log n + 0.14(5)$$

Spectral gap Δ

Exact diagonalization for $3 \leq n \leq 13$.



Rigorous bounds:

$$\Delta = O(n^{-1/2})$$

$$\Delta = \Omega(n^{-c}), \quad c \gg 1.$$

Local description of the Motzkin state

Def. Strings s and t are equivalent, $s \sim t$, iff one can go from s to t by a sequence of local moves

$$0l \leftrightarrow l0, \quad 0r \leftrightarrow r0, \quad 00 \leftrightarrow lr$$

Lemma. A string is balanced iff it is equivalent to 0^n

\Leftarrow Local moves preserve balanceness



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\Rightarrow Any balanced non-zero string must contain

$$lr \quad \text{or} \quad l0 \dots 0r$$

Use local moves to annihilate the pair lr

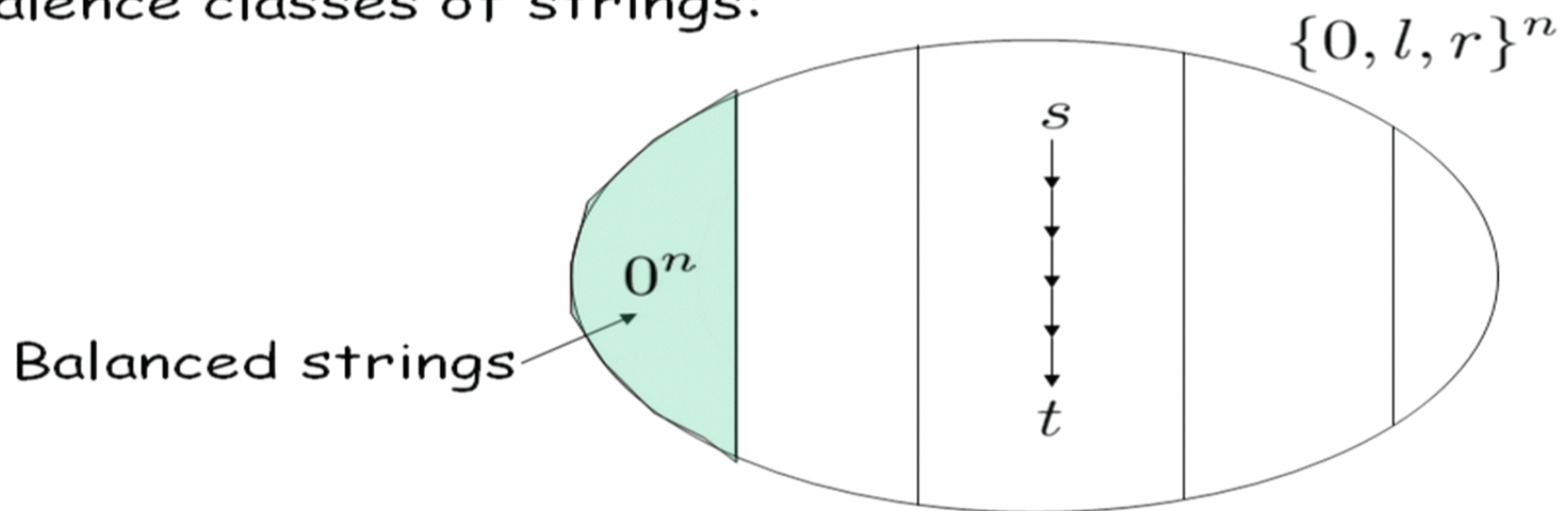
Use induction in the number of brackets

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Equivalence classes of strings:



$$c_{p,q} \equiv \underbrace{r \dots r}_p \underbrace{0 \dots 0}_{n-p-q} \underbrace{l \dots l}_q.$$

Lemma. Any string is equivalent to one and only one string $c_{p,q}$ for some integers p,q .

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$$C_{p,q} = \{s \in \{0, l, r\}^n : s \sim c_{p,q}\}$$

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Hence any equivalence class has a form

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Any string in $C_{p,q}$ can be uniquely written as

$$s = \underbrace{brbr \dots br}_p b \underbrace{lbldb \dots lb}_q$$

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$$|C_{p,q}\rangle \sim \sum_{s \in C_{p,q}} |s\rangle$$

Contains the Motzkin state $|\mathcal{M}_n\rangle = |C_{0,0}\rangle$

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It remains to exclude the unwanted ground states with non-zero p or q .

$$c_{p,q} \equiv \underbrace{r \dots r}_p \underbrace{0 \dots 0}_{n-p-q} \underbrace{l \dots l}_q .$$

The class $C_{0,0}$ is the only class in which strings never start from r and never end by l .

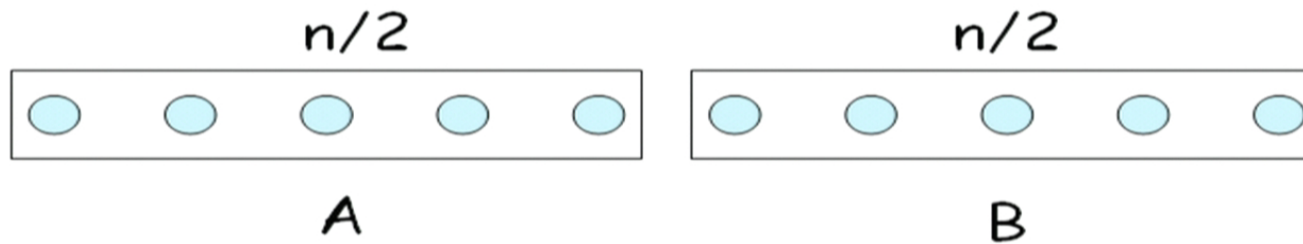
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Adding energy penalty for strings starting from r or ending by l gives the desired parent Hamiltonian:

$$H = |r\rangle\langle r|_1 + |l\rangle\langle l|_n + \sum_{j=1}^{n-1} \Pi_{j,j+1}$$



Schmidt decomposition of the Motzkin state:

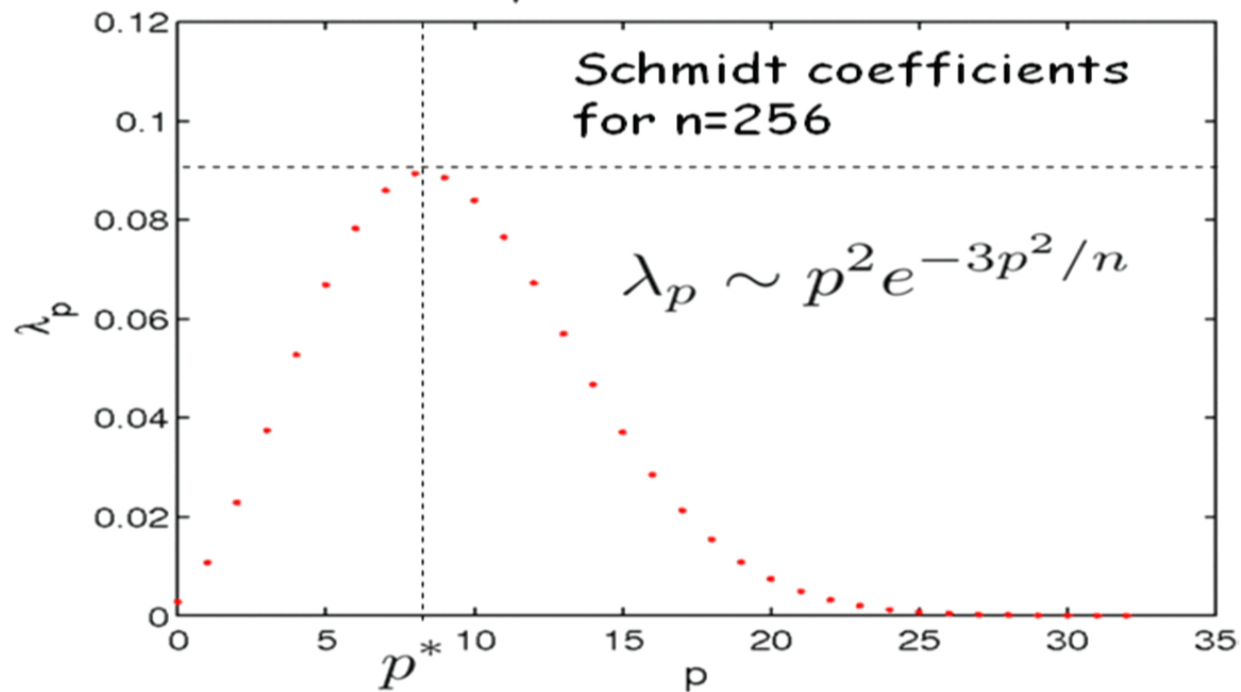
$$|\mathcal{M}_n\rangle \equiv |\hat{C}_{0,0}\rangle = \sum_{p=0}^{n/2} \sqrt{\lambda_p} |\hat{C}_{0,p}\rangle_A \otimes |\hat{C}_{p,0}\rangle_B$$

Here $|\hat{C}_{p,q}\rangle$ is the normalized superposition of all strings in the class $C_{p,q}$ and

$$\lambda_p = \frac{|C_{0,p}(n/2)|^2}{C_{0,0}(n)} \quad \text{are the Schmidt coefficients}$$

Entanglement entropy: $S(A) \approx \frac{1}{2} \log n + 0.14(5)$

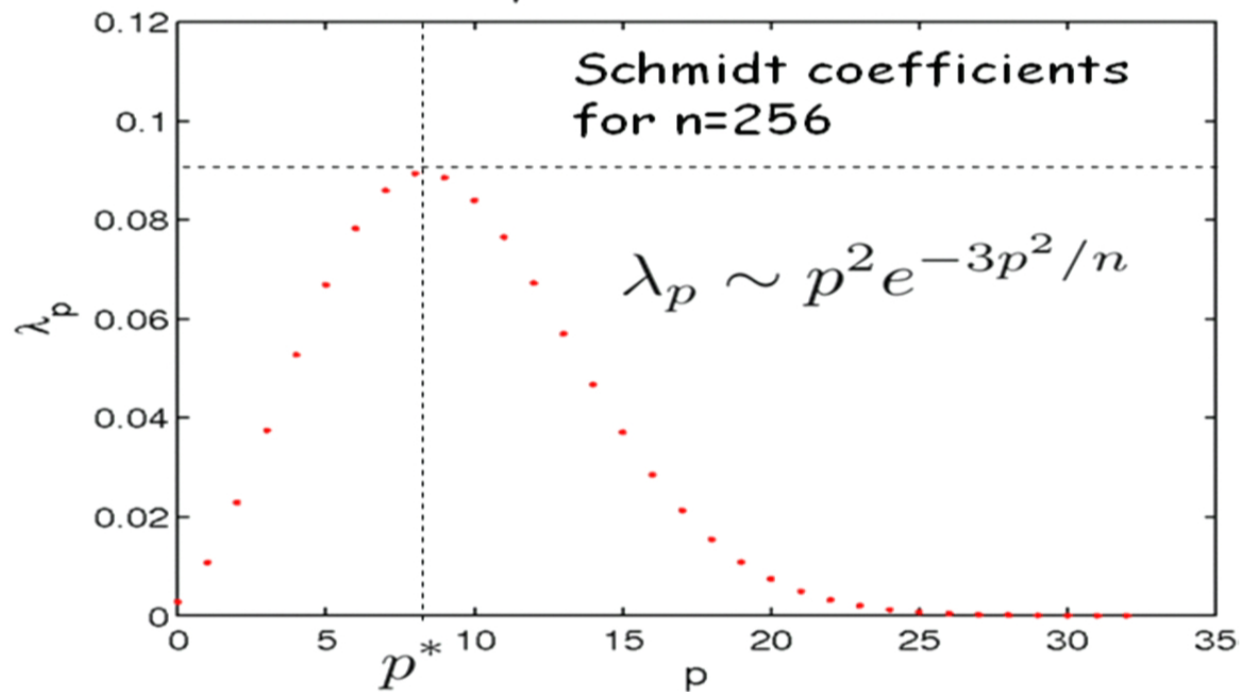
$$p^* \approx \sqrt{\frac{n}{3}}$$



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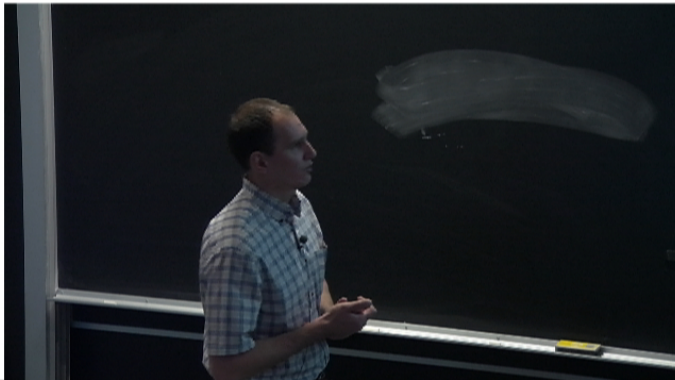
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Spectral gap: lower bound

Invariant subspaces:

$$(\mathbb{C}^3)^{\otimes n} = \bigoplus_{p,q} \mathcal{H}_{p,q}$$

$\mathcal{H}_{p,q}$ is spanned by strings in the equivalence class $C_{p,q}$



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We need a polynomial lower bound for

- Spectral gap inside the balanced subspace $\mathcal{H}_{0,0}$
- Ground state energy inside any unbalanced subspace $\mathcal{H}_{p,q}$ with non-zero p or q

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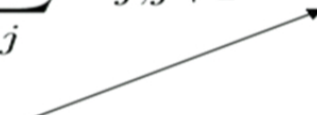
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We can ignore the boundary terms $|r\rangle\langle r|_1 + |l\rangle\langle l|_n$

Step 1: treat different types of local moves separately using the perturbation theory

$$H = \sum_j \Pi_{j,j+1} = H_0 + V$$


$$H_0 = \sum_j \Pi_{j,j+1}^0$$

implements local moves

$$0l \leftrightarrow l0 \quad 0r \leftrightarrow r0$$

Π^0 projects onto

$$|0l\rangle - |l0\rangle$$

$$|0r\rangle - |r0\rangle$$

Spectral gap $1/n^2$

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Spectral gap $1/n^2$

$$V = \sum_j \Pi_{j,j+1}^{int}$$

implements local moves

$$00 \leftrightarrow lr$$

Π^{int} projects onto

$$|00\rangle - |lr\rangle$$

Define $H_\epsilon = H_0 + \epsilon V, \quad 0 < \epsilon \leq 1$

Ground subspace of H_ϵ does not depend on ϵ for $\epsilon > 0$

$\text{gap}(H) \geq \text{gap}(H_\epsilon)$ because $H \geq H_\epsilon$



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Projection Lemma [KKR 04] Define the first-order effective Hamiltonian

$$H_{\text{eff}} = \Pi_0 V \Pi_0$$

acting on the ground subspace of H_0 . If the spectral gaps of H_0 and H_{eff} are polynomial in $1/n$ then the spectral gap of H_ϵ is also polynomial in $1/n$ for sufficiently small ϵ .

$$? \leq \text{gap}(H_\varepsilon) \leq \langle \psi_1 | H_\varepsilon | \psi_1 \rangle \leq \langle \psi_1 | H | \psi_1 \rangle = \text{gap}(H)$$

$$H_\varepsilon \leq H$$

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Sufficiently small: $\epsilon \sim \frac{1}{\|V\|} \min \{ \text{gap}(H_0), \text{gap}(H_{\text{eff}}) \}$

Ground states of H_0 = **Dyck words** (balanced strings of left and right brackets with no zeros)

\emptyset

lr

$llrr$

$lr lr$

$lllrrr$

$llrlrr$

$llrrlr$

$lrllrr$

$lr lr lr$

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$lrllr$

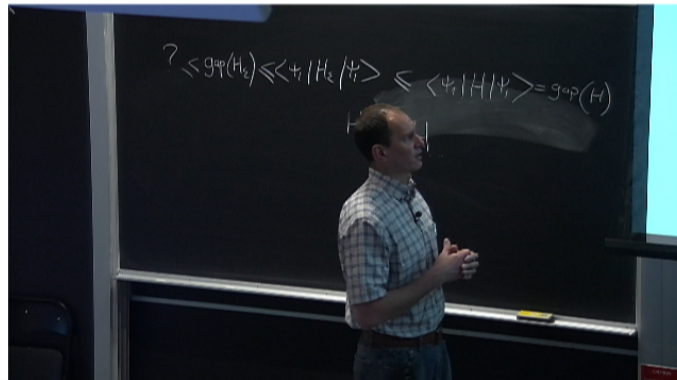
$lllrrr$

$llrlrr$

$llrrlr$

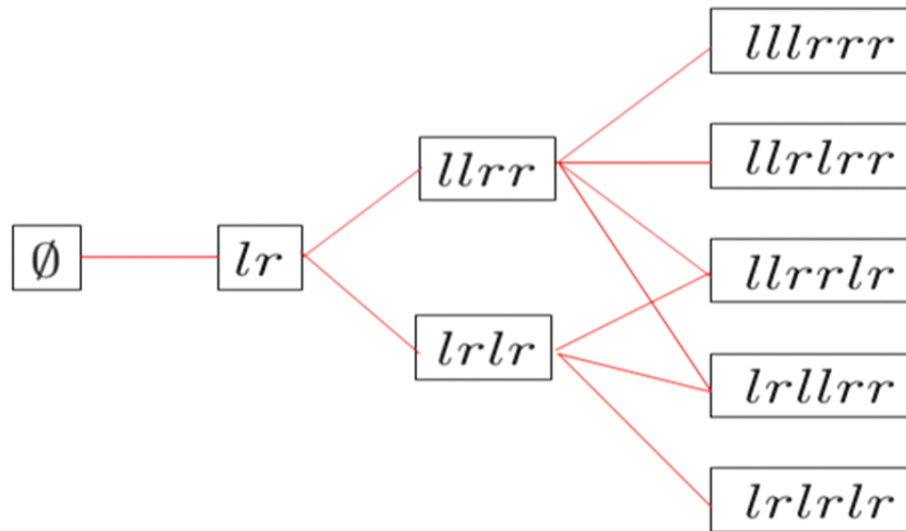
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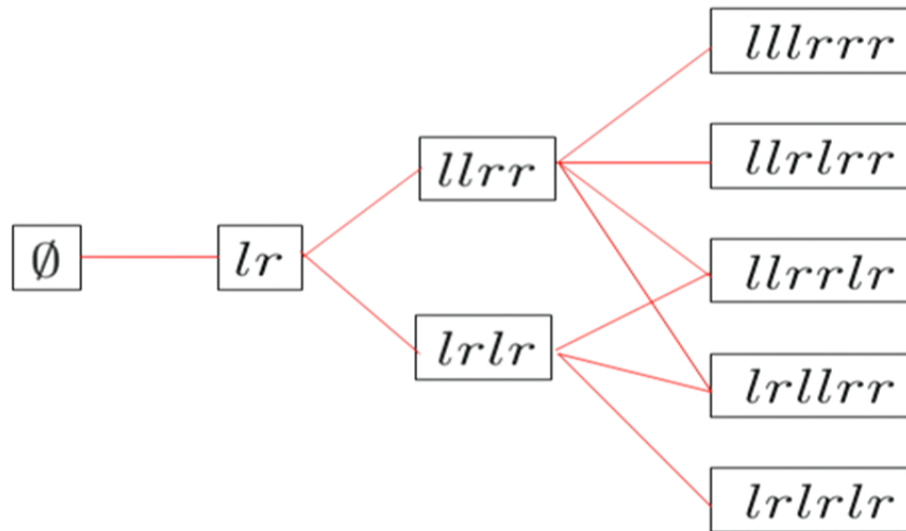
Dyck graph:

Vertices = Dyck words

Edges = insertions/removals of consecutive lr pairs

First-order effective Hamiltonian H_{eff} describes a random walk on the **Dyck graph**

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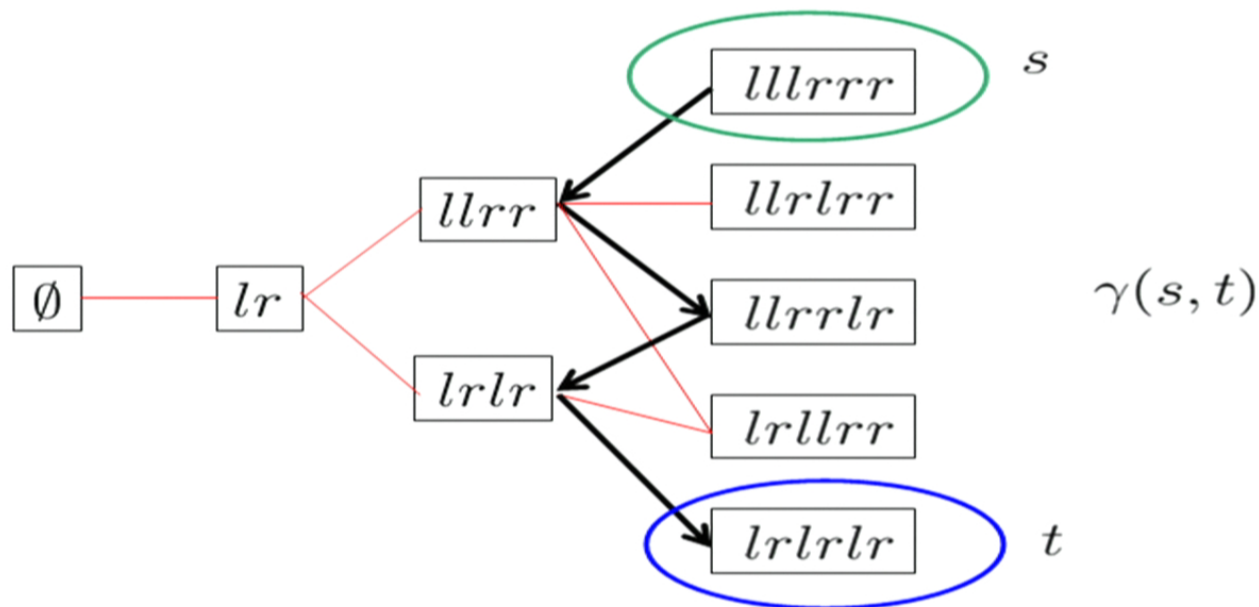
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First-order effective Hamiltonian H_{eff} describes a random walk on the **Dyck graph**

It suffices to prove the rapid mixing property of the walk.

Step 2: Use the canonical paths theorem [Sinclair 1992] to prove the rapid mixing property.

We need to connect any pair of vertices s, t on the Dyck graph by a canonical path $\gamma(s, t)$ such that no edge of the graph is used by too many paths.

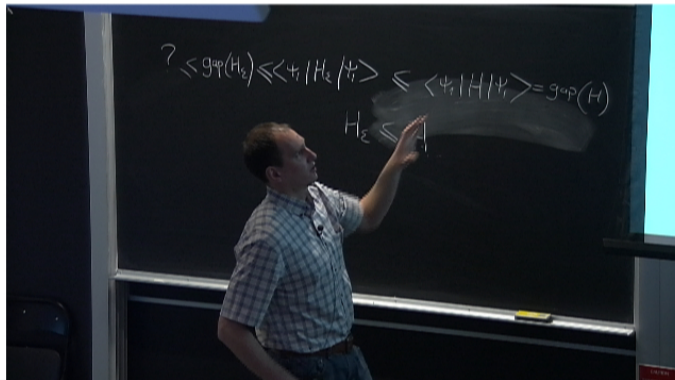


Maximum
edge load

$$\rho = \max_{(a,b) \in E} \frac{1}{\pi(a)P(a,b)} \sum_{s,t: (a,b) \in \gamma(s,t)} \pi(s)\pi(t).$$

$\pi(a)$ - steady state of the walk

$P(a,b)$ - transition probability from a to b



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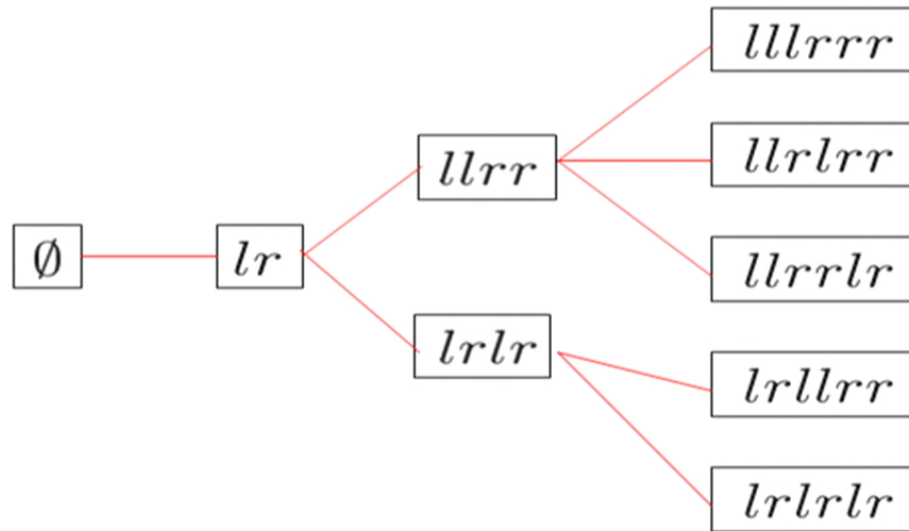
Canonical paths theorem [Sinclair 1992]

The spectral gap Δ of the walk P has a lower bound

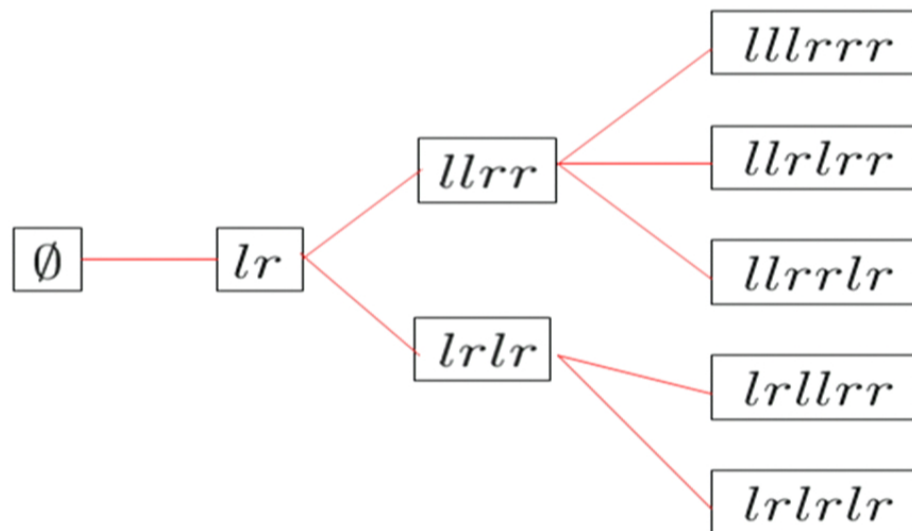
$$\Delta \geq \frac{1}{\rho l_{\max}}$$

where l_{\max} is the maximum length of a canonical path.

New result. The Dyck graph has a spanning tree T with at most four children per node. All Dyck words of length $2k$ appear at the level- k of T .



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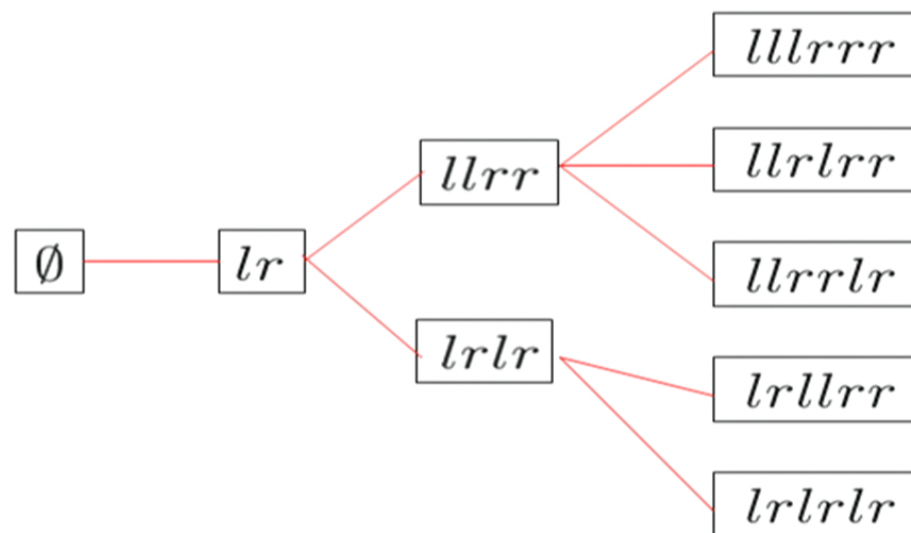


The number of Dyck words of length $2k$ is the Catalan number

$$C_k = \frac{1}{k+1} \binom{2k}{k} \sim \frac{4^k}{\sqrt{k}}$$

Four children per node is optimal !

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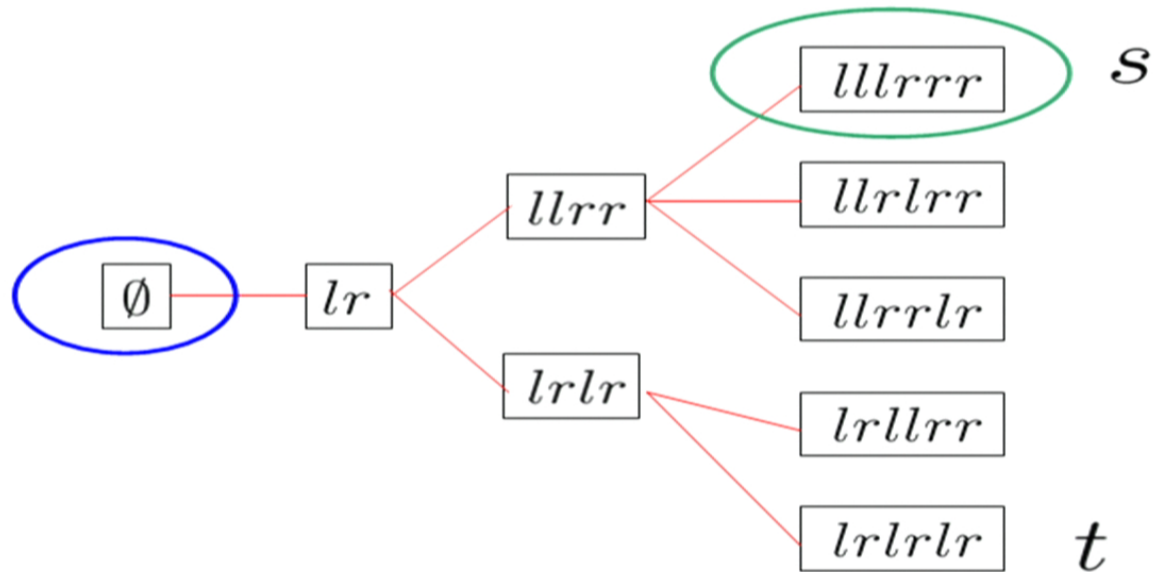
Non-constructive proof
based on polyhedral
description of matchings
in bipartite graphs

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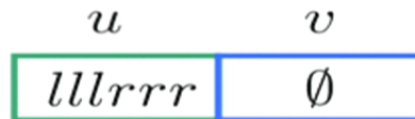
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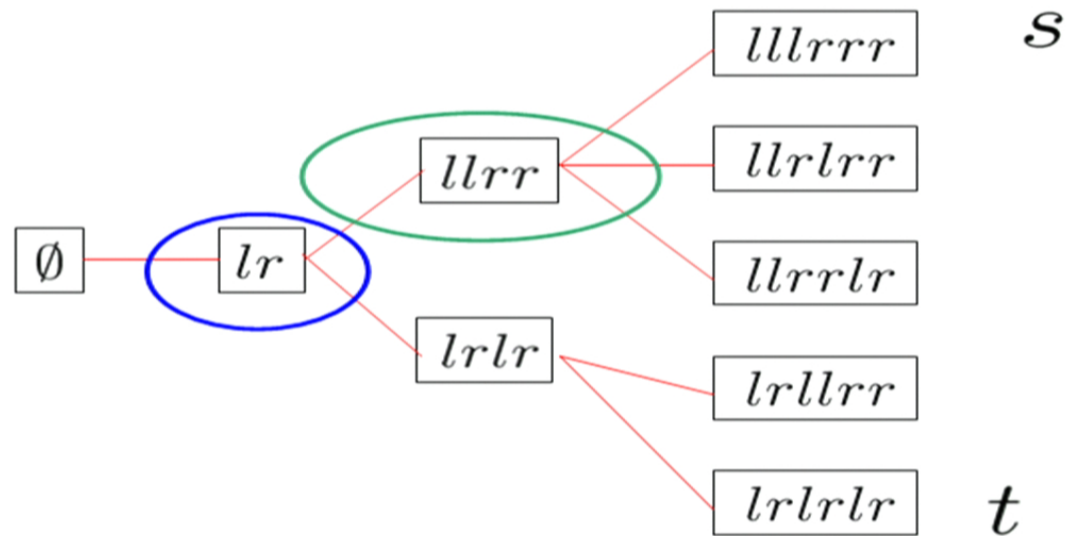
Canonical path from s to t



Start at s



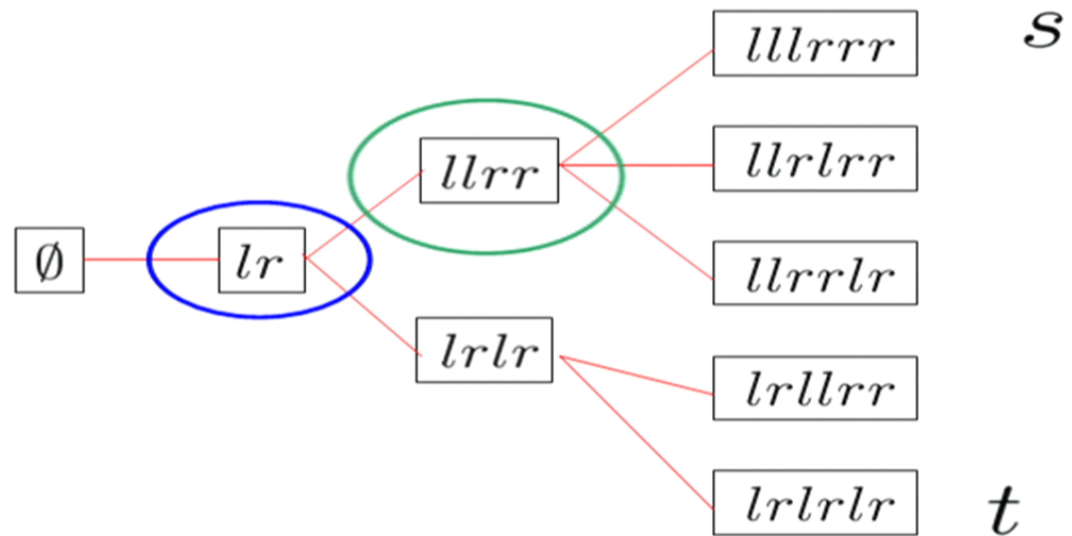
Canonical path from s to t



Grow v



Canonical path from s to t



Shrink u



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s must be a descendant of u on the spanning tree



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s must be a descendant of u on the spanning tree

t must be a descendant of v on the spanning tree



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$$\rho = \max_{(a,b) \in E} \frac{1}{\pi(a)P(a,b)} \sum_{s,t: (a,b) \in \gamma(s,t)} \pi(s)\pi(t).$$



s must be a descendant of u on the spanning tree

t must be a descendant of v on the spanning tree

For fixed length of s, t, u, v the number of terms in the sum is at most

$$4^{|s|-|u|+|t|-|v|} \quad (\text{each node has at most four children})$$

The number of Dyck paths of length $2n$ is $4^n/\text{poly}(n)$.

$$4^{|s|-|u|+|t|-|v|} \cdot \frac{\pi(s)\pi(t)}{\pi(a)} = \text{poly}(n)$$

Conclusions

The first example of a FF spin-1 chain with a highly entangled ground state. Polynomial spectral gap, translational invariance, logarithmic scaling of the entanglement entropy.

Open problems:

Generalization to two types of brackets [] and { }

Is the logarithmic scaling of $S(A)$ optimal for $d=3$?

Is the linear scaling of the Schmidt rank optimal for $d=3$?

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(AN UNMATCHED LEFT PARENTHESIS
CREATES AN UNRESOLVED TENSION
THAT WILL STAY WITH YOU ALL DAY.

<http://xkcd.com/859/>