

Title: The Sheaf-Theoretic Structure of Non-Locality and Contextuality

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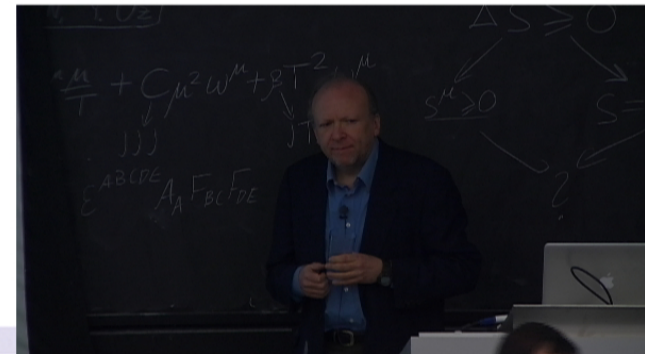
Abstract: We use the mathematical language of sheaf theory to give a unified treatment of non-locality and contextuality, which generalizes the familiar probability tables used in non-locality theory to cover Kochen-Specker configurations and more. We show that contextuality, and non-locality as a special case, correspond exactly to \*obstructions to the existence of global sections\*.

We describe a linear algebraic approach to computing these obstructions, which allows a systematic treatment of arguments for non-locality and contextuality. A general correspondence is shown between the existence of local hidden-variable realizations using negative probabilities, and no-signalling. Maximal non-locality is generalized to maximal contextuality, and characterized in purely qualitative terms, as the non-existence of global sections in the support. Some ongoing work with Shane Mansfield and Rui Soares Barbosa is described, which identifies \*cohomological obstructions\* to the existence of global sections, opening the possibility of applying the powerful methods of cohomology to non-locality and contextuality.

# The Sheaf-Theoretic Structure Of Non-Locality and Contextuality



Samson Abramsky  
Joint work with Adam Brandenburger



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- The usual probability tables of non-locality theory ('Bell-type scenarios') are generalized to **measurement covers**. These include Kochen-Specker configurations, and more. This provides a setting for a fully unified treatment of contextuality and non-locality.

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- We use the mathematical language of **sheaf theory**. We show that non-locality and contextuality can be characterized precisely in terms of the existence of **obstructions to global sections**.
- Sheaf theory is exactly about functorial variation over contexts; it provides a general 'logic of contextuality'. Has been used this way, e.g. in CS. Opens the possibility of links between study of non-locality and contextuality in Quantum Foundations, and other fields.

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- Contrast with 'generalized probability theories'. We use classical probability, encapsulated in the distribution functor/monad; contextuality arises from **functorial variation over contexts**.

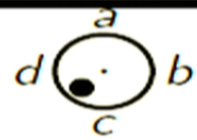


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S. Abramsky and A. Brandenburger, The Sheaf-Theoretic Structure of Non-Locality and Contextuality. Available at [arXiv:1102.0264](https://arxiv.org/abs/1102.0264). To appear in *New Journal of Physics*.

## The Basic Scenario



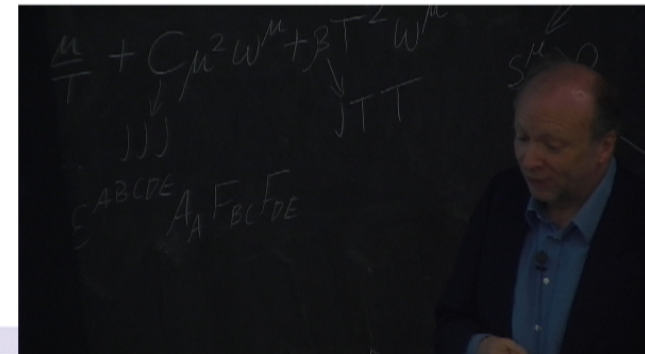
Alice



Bob

## A Probabilistic Model Of An Experiment

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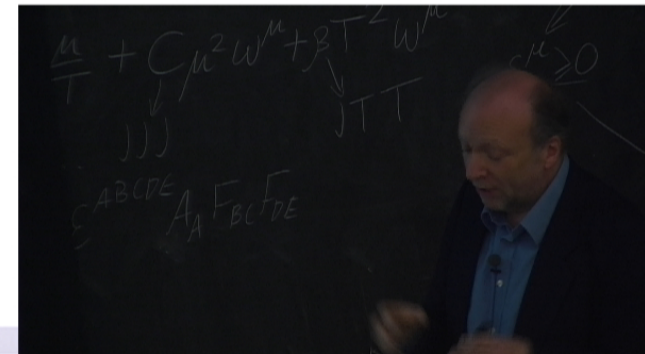


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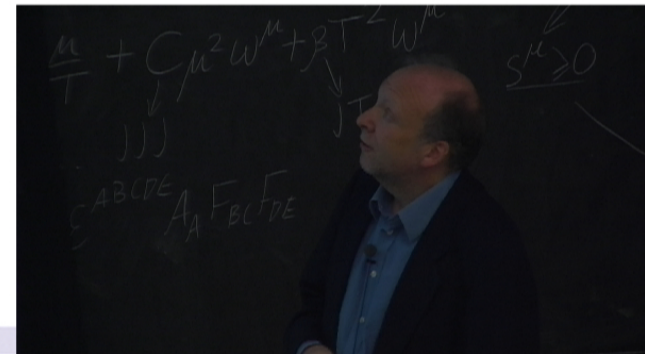
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# The Presheaf of Distributions

We fix a set of measurements  $X$ , and a set of outcomes  $O$ .

For each set of measurements  $U \subseteq X$ , we define  $\mathcal{D}_R\mathcal{E}(U)$  to be the set of probability distributions on events  $s : U \rightarrow O$ . Such an event specifies that outcome  $s(m)$  occurs for each measurement  $m \in U$ .



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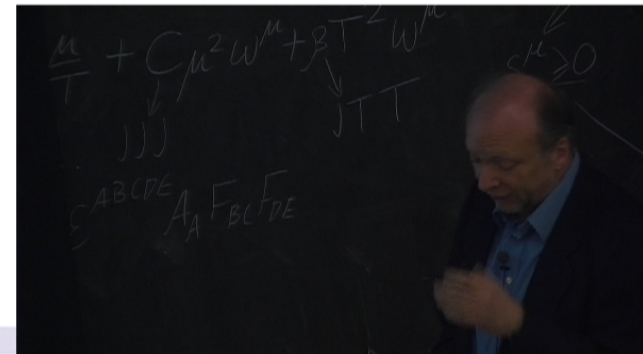
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$$\mathcal{D}_R\mathcal{E}(U') \rightarrow \mathcal{D}_R\mathcal{E}(U) :: d \mapsto d|U,$$

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Mathematical notes: (i) This is functorial, hence defines a presheaf.  
(ii) Composed from the sheaf  $\mathcal{E}(U) := O^U$  and the distributions monad  $\mathcal{D}_R$ .  
(iii) We can vary  $R$ .



# Empirical Models: Reconstructing Probability Tables

Corresponding to the choices of measurements by agents, or more generally to the idea that it may not be possible to perform all measurements together, we consider a **cover**  $\mathcal{M}$ : a family of subsets of  $X$  which covers  $X$ ,  $\bigcup \mathcal{M} = X$ .

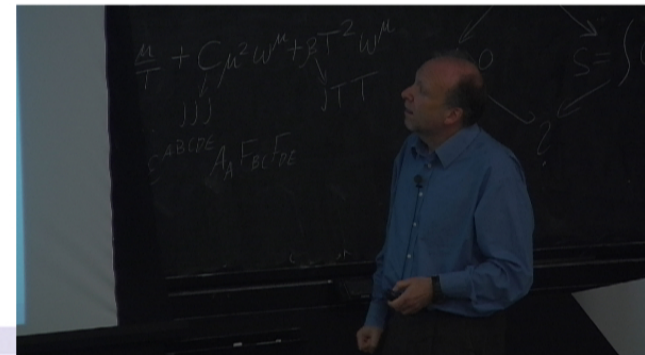


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These are the sets which index the rows of a generalized probability table.

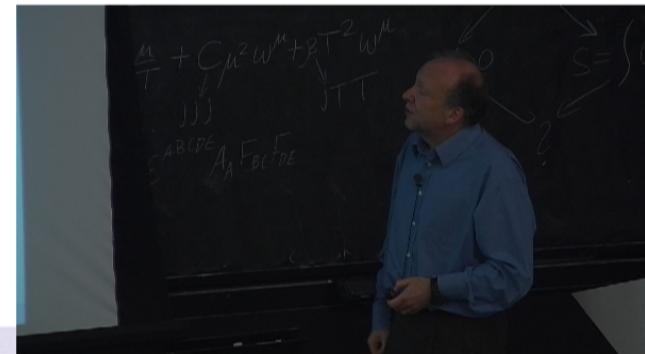


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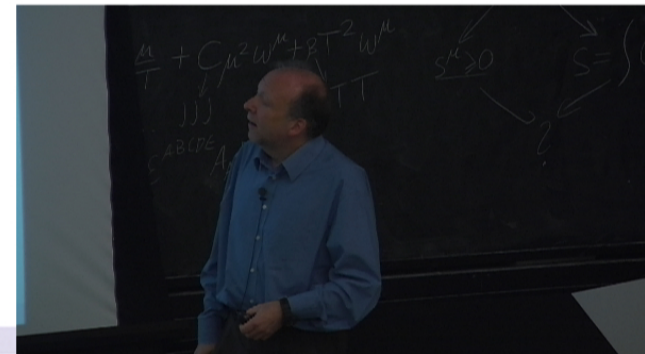
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Covers are general: they include both the usual 'Bell scenarios', and Kochen-Specker type constructions.

An **empirical model** for  $\mathcal{M}$  is a family  $\{e_C\}_{C \in \mathcal{M}}$ ,  $e_C \in \mathcal{D}_R \mathcal{E}(C)$ .



## Compatibility And No-Signalling

We shall consider models  $\{e_C \mid C \in \mathcal{M}\}$  which are **compatible** in the sense of agreeing on overlaps: for all  $C, C' \in \mathcal{M}$ ,

$$e_C|_{C \cap C'} = e_{C'}|_{C \cap C'}.$$

## Global Sections

We are given an empirical model  $\{e_C\}_{C \in \mathcal{M}}$ .

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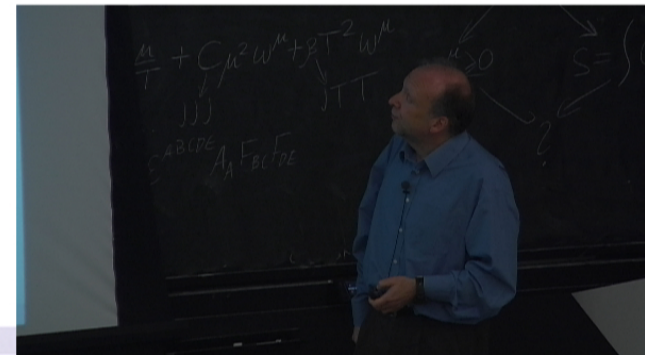
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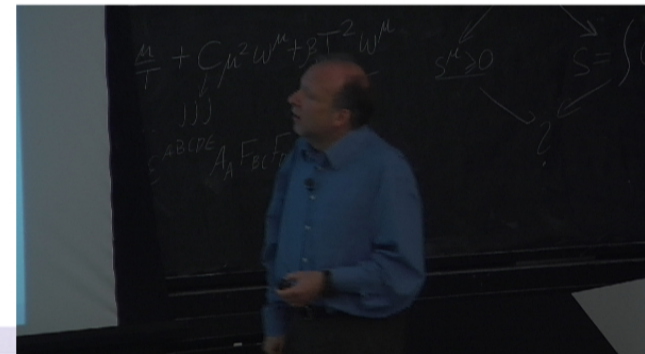
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If  $d$  is a global section for the model  $\{e_C\}$ , we recover the predictions of the model by **averaging over the values of these hidden variables**:

$$e_C(s) = d|_C(s) = \sum_{s' \in \mathcal{E}(X), s'|_C = s} d(s') = \sum_{s' \in \mathcal{E}(X)} \delta_{s'|_C(s)} \cdot d(s').$$

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Note also that this is a **local** model:

$$\delta_s|C(s') = \prod_{x \in C} \delta_{s|x}(s'|x).$$

The joint probabilities determined by  $s$  factor as a product of the probabilities assigned to the individual measurements, independent of the context in which they appear. This subsumes **Bell locality**.

So a global section **is** a deterministic local hidden-variable model.

The general result is as follows:

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So:

existence of a local hidden-variable model for a given empirical model  
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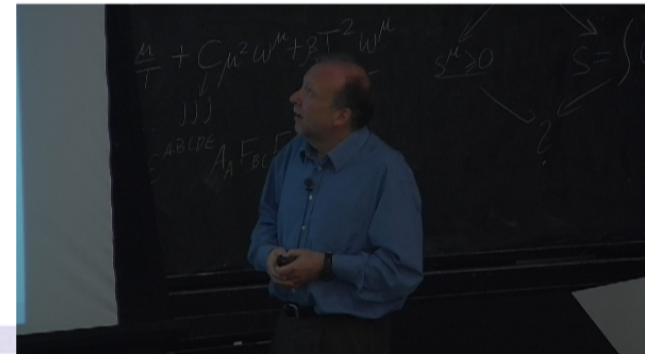
Hence:

No such h.v. model exists (the empirical model is **non-local/contextual**)  
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there is an **obstruction to the existence of a global section**

# Existence of Global Sections

Linear algebraic method.

Define system of linear equations  $\mathbf{M}\mathbf{x} = \mathbf{v}$ .



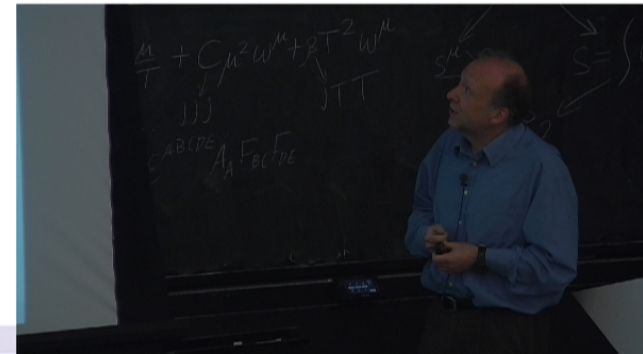
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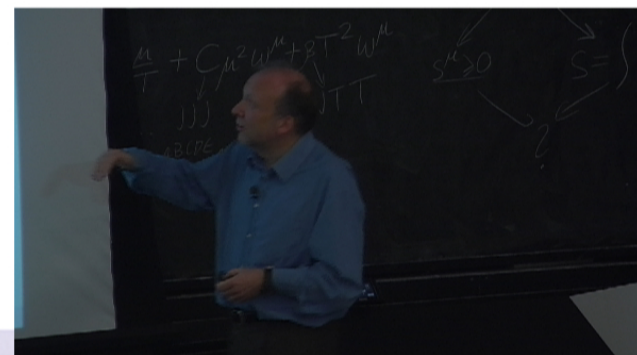
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Enumerate  $\mathcal{O}^X$  as  $t_1, \dots, t_q$ .

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Bell scenarios  $(n, k, l)$ : matrix is  $(kl)^n \times l^{kn}$ .



## The (2, 2, 2) Incidence Matrix

$$\begin{bmatrix} 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \end{bmatrix}$$

This matrix has rank 9.

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$$\begin{bmatrix} 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 1 \end{bmatrix}$$

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In general, the matrix for  $(n, 2, 2)$  has rank  $3^n$ . This is a special case of a much more general result we will describe later.

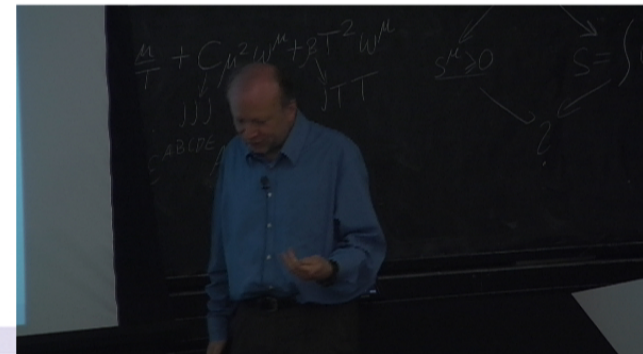
# The Linear System

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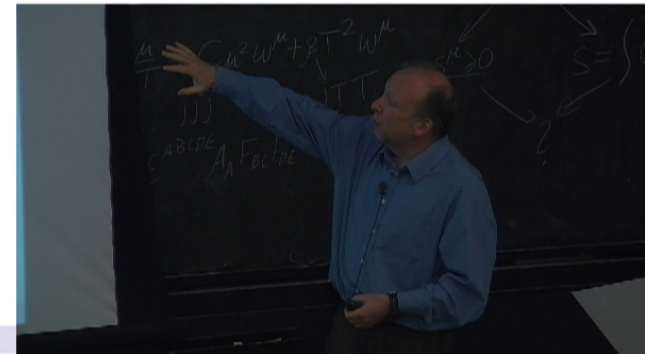
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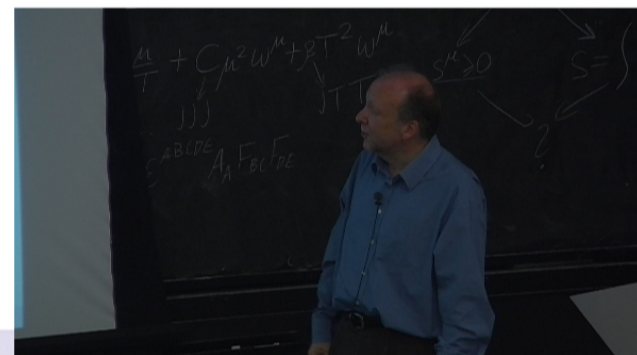
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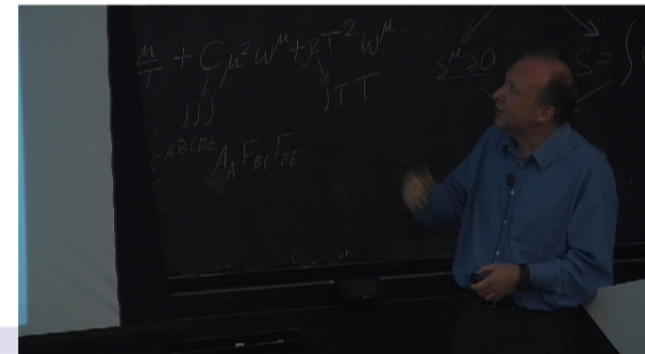
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Hence solutions correspond exactly to global sections — which as we have seen, correspond exactly to local hidden-variable realizations!

# The Bell Model

	(0, 0)	(1, 0)	(0, 1)	(1, 1)
$(a, b)$	$1/2$	0	0	$1/2$
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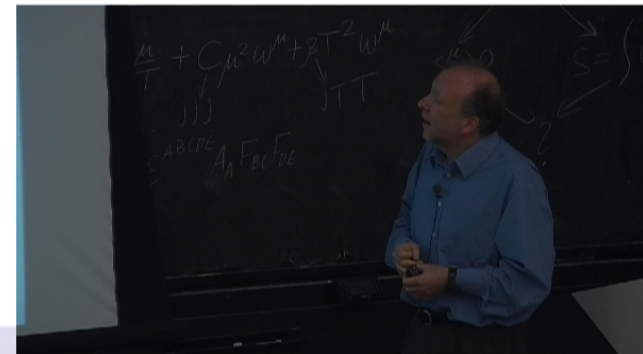
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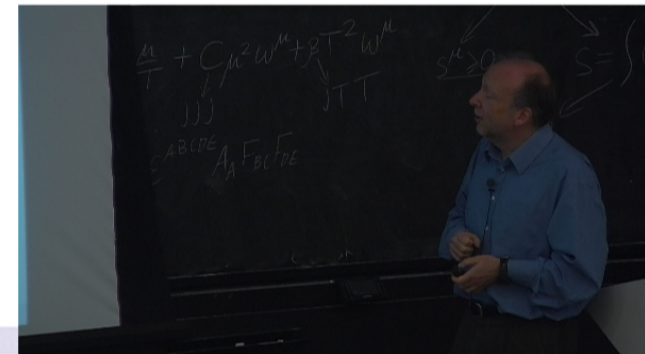
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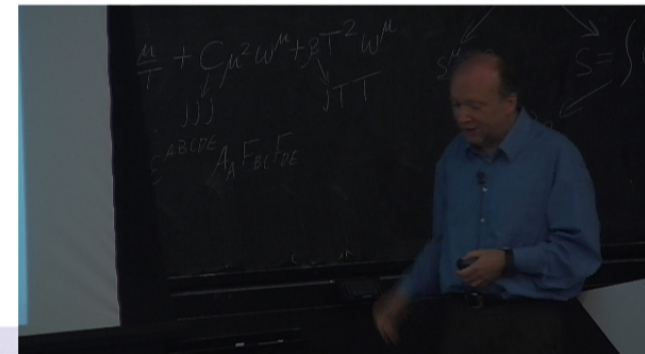
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Since all these numbers must be non-negative, the left-hand side of this equation must be greater than or equal to the left-hand side of the first equation, yielding the required contradiction.  $\square$

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A solution is an assignment of boolean values to the variables which simultaneously satisfies all these formulas. Again, it is easy to see by a direct argument that no such assignment exists.

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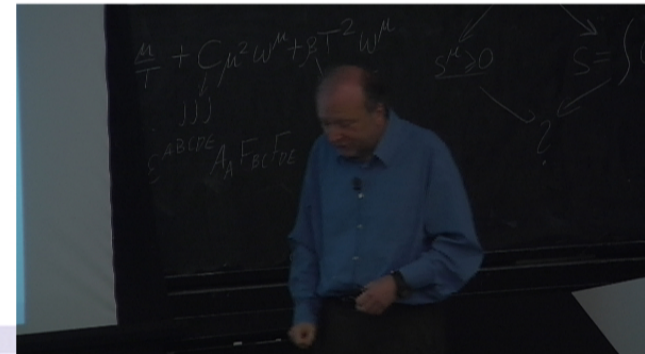
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Since every disjunct in the first formula appears as a negated conjunct in one of the other three formulas, there is no satisfying assignment.  $\square$

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Let  $\mathbf{v}$  be the vector over  $\mathbb{R}_{\geq 0}$  for a probabilistic model,  $\mathbf{v}_b$  the boolean vector obtained by replacing non-zero elements of  $\mathbf{v}$  by 1. If  $\mathbf{M}\mathbf{x} = \mathbf{v}$  has a solution over  $\mathbb{R}_{\geq 0}$ , then  $\mathbf{M}\mathbf{x} = \mathbf{v}_b$  has a solution over the booleans.



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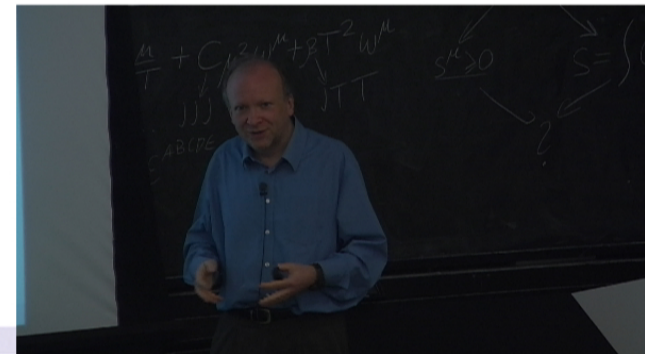
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Conclusion: Bell < Hardy.

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## Theorem

*Probabilistic models have local hidden-variable realizations with negative probabilities if and only if they satisfy no-signalling.*

# Linear Span Theorem

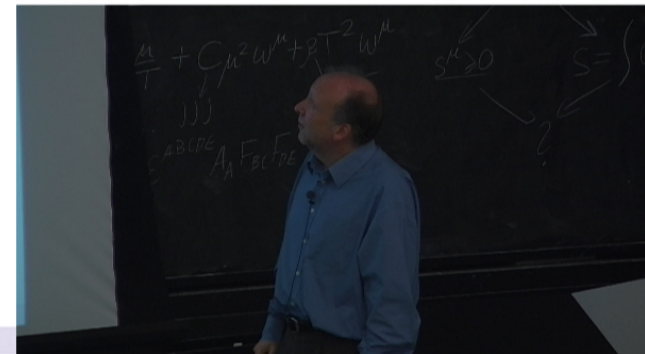
The fact that all probabilistic models have such global sections over signed measures is a consequence of the following:

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*The linear subspace generated by the local models over an arbitrary measurement cover  $\mathcal{M}$  coincides with that generated by the no-signalling models. Their common dimension — and the rank of the incidence matrix — is*

$$D := \sum_{U \in \mathcal{U}} (I - 1)^{|U|}$$

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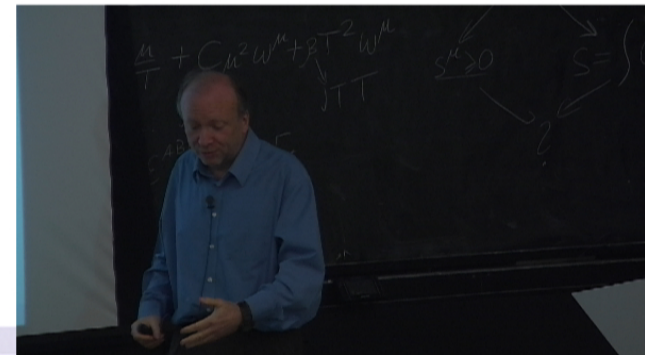
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Since the local models are included in the no-signalling models, this is proved by showing that every compatible model is determined by linear equations in  $D$  variables; while there are  $D$  linearly independent local models.

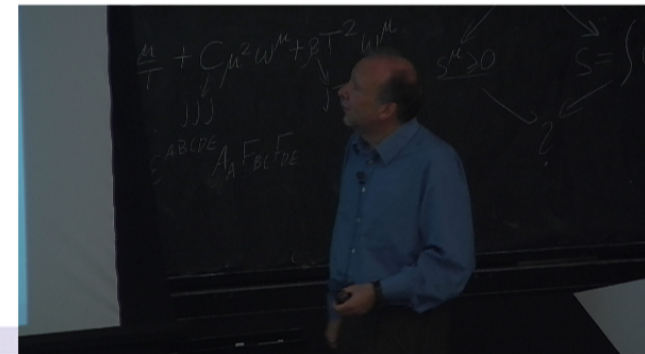
As a special case, we derive a formula for the dimension for Bell-type  $(n, k, I)$ -scenarios:

$$D = (k \cdot (I - 1) + 1)^n.$$

## Example: PR Boxes have global sections over $\mathbb{R}$

The 'Popescu-Rohrlich box':

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The PR boxes exhibit super-quantum correlations, and cannot be realized in quantum mechanics.

Example solution for PR Box:

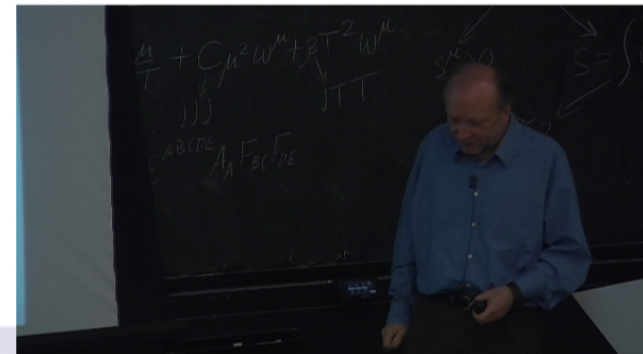
$$[1/2, 0, 0, 0, -1/2, 0, 1/2, 0, -1/2, 1/2, 0, 0, 1/2, 0, 0, 0].$$

## Strong Contextuality

Given an empirical model  $e$ , we define the set

$$S_e := \{s \in \mathcal{E}(X) : \forall C \in \mathcal{M}. s|_C \in \text{supp}(e_C)\}.$$

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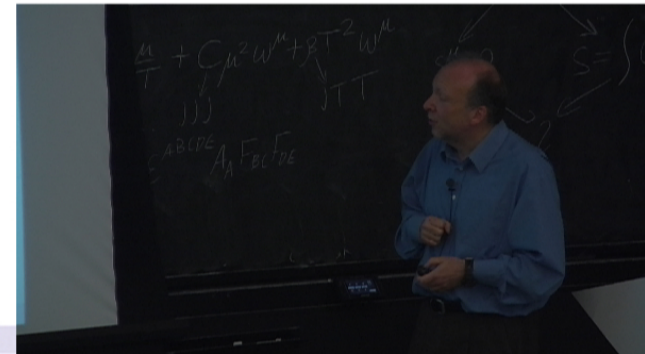
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We say that the model  $e$  is **strongly contextual** if this set  $S_e$  is *empty*. Thus strong non-contextuality implies non-extendability.

In fact, it is strictly stronger. The Hardy model, which as we saw in the previous section is possibilistically non-extendable, is *not* strongly contextual. The Bell model similarly fails to be strongly contextual.

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We shall now show that the well-known GHZ models, of type  $(n, 2, 2)$  for all  $n > 2$ , are strongly contextual. This will establish a strict hierarchy

$$\text{Bell} < \text{Hardy} < \text{GHZ}$$

of increasing strengths of obstructions to non-contextual behaviour for these salient models.

# GHZ Models

The GHZ model of type  $(n, 2, 2)$  can be specified as follows. We label the two measurements at each part as  $X^{(i)}$  and  $Y^{(i)}$ , and the outcomes as 0 and 1.

For each maximal context  $C$ , every  $s$  in the support of the model satisfies the following conditions:

- If the number of  $Y$  measurements in  $C$  is a multiple of 4, the number of 1's in the outcomes specified by  $s$  is even.
- If the number of  $Y$  measurements is  $4k + 2$ , the number of 1's in the outcomes is odd.

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NB: a model with these properties can be realized in quantum mechanics.



## GHZ Models Are Strongly Contextual

We consider the case where  $n = 4k$ . Assume for a contradiction that we have a global section.

If we take  $Y$  measurements at every part, the number of  $R$  outcomes under the assignment has a parity  $P$ . Replacing any two  $Y$ 's by  $X$ 's changes the residue class mod 4 of the number of  $Y$ 's, and hence must result in the opposite parity for the number of  $R$  outcomes under the assignment.

Thus for any  $Y^{(i)}, Y^{(j)}$  assigned the **same** value, if we substitute  $X$ 's in those positions they must receive **different** values. Similarly, for any  $Y^{(i)}, Y^{(j)}$  assigned different values, the corresponding  $X^{(i)}, X^{(j)}$  must receive the same value.

Suppose not all  $Y^{(i)}$  are assigned the same value. Then for some  $i, j, k$ ,  $Y^{(i)}$  is assigned the same value as  $Y^{(j)}$ , and  $Y^{(j)}$  is assigned a different value to  $Y^{(k)}$ . Thus  $Y^{(i)}$  is also assigned a different value to  $Y^{(k)}$ . Then  $X^{(i)}$  is assigned the same value as  $X^{(k)}$ , and  $X^{(j)}$  is assigned the same value as  $X^{(k)}$ . By transitivity,  $X^{(i)}$  is assigned the same value as  $X^{(j)}$ , yielding a contradiction.

The remaining cases are where all  $Y$ 's receive the same value. Then any pair of  $X$ 's must receive different values. But taking any 3  $X$ 's, this yields a contradiction, since there are only two values, so some pair must receive the same value.



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## Strong Contextuality and Maximal Contextuality

Strong contextuality is defined in a simple 'qualitative' fashion. It is equivalent to a notion which can be defined in quantitative terms, and has been studied in this form in the special case of Bell-type scenarios

We consider convex decompositions

$$e = \lambda L + (1 - \lambda)q, \quad 0 \leq \lambda \leq 1, \quad (1)$$

where  $L$  is a local model, and  $q$  a no-signalling model.

We define the **non-contextual fraction** of  $e$  to be the supremum over all  $\lambda$  appearing in such convex decompositions (1).

## Quantitative Contextuality

We can consider the followed 'relaxed' version of the linear programming problem for contextuality:

(LP1) Maximize  $\mathbf{1} \cdot \mathbf{x}$ , subject to the constraints  $\mathbf{M}\mathbf{x} \leq \mathbf{v}$  and  $\mathbf{x} \geq \mathbf{0}$ .

### Proposition

*The values that  $\mathbf{1} \cdot \mathbf{x}^*$  can take, for any  $\mathbf{M}$  and  $\mathbf{v}$ , lie in the unit interval. Moreover:*

$$\mathbf{1} \cdot \mathbf{x}^* = 1 \iff \mathbf{M}\mathbf{x}^* = \mathbf{v}.$$

Thus the distance of  $\mathbf{1} \cdot \mathbf{x}^*$  from 1 quantifies 'how contextual' the model is.

### Proposition

*The following are equivalent:*

- ①  $\mathbf{1} \cdot \mathbf{x}^* = \mathbf{y}^* \cdot \mathbf{v} = 0$ .
- ② *The model is strongly contextual.*

# Cohomology of Non-Locality and Contextuality

Joint work with Shane Mansfield and Rui Soares Barbosa.  
Paper in Proceedings of QPL 2011.





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The basic idea: to view non-locality and contextuality as **cohomological obstructions to global sections**.

- Given an empirical model  $e$  on a cover  $\mathcal{U}$ , we define an **abelian presheaf**  $\mathcal{F} := F_{\mathbb{Z}}S_e$ , the free abelian group functor applied to the support presheaf of the model.
- We work with the Čech cohomology groups  $\check{H}^q(\mathcal{U}, \mathcal{F})$  for this presheaf.



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- We work with the Čech cohomology groups  $\check{H}^q(\mathcal{U}, \mathcal{F})$  for this presheaf.
- To each  $s \in S_e(C)$ , we associate an element  $\gamma(s) \in \check{H}^1(\mathcal{U}, \mathcal{F}_{\bar{C}})$  of a cohomology group, which can be regarded as an obstruction to  $s$  having an extension within the support of  $e$  to a global section. In particular, the existence of such an extension implies that the obstruction vanishes. Thus the non-vanishing of the obstruction provides a **cohomological witness** for contextuality and strong contextuality.
- We show for many examples, including GHZ, PR boxes, various Kochen-Specker constructions, the Peres-Mermin square etc. that this obstruction does indeed not vanish for any section, yielding witnesses for strong contextuality.

## Important Equivalence

The following are equivalent:

- ① The cohomology obstruction vanishes:  $\gamma(s_1) = 0$
- ② There is a family  $\{r_i \in \mathcal{F}(C_i)\}$  with  $s_1 = r_1$ , and for all  $i, j$ :

$$r_i|_{C_i \cap C_j} = r_j|_{C_i \cap C_j}$$

## Sufficient Condition for Non-Locality/Contextuality

- $e$  is local/  
non-contextual  $\rightarrow$  obstruction vanishes for  
every section in the support
- $e$  is **not**  
strongly contextual  $\rightarrow$  obstruction vanishes for  
some section in the support

# The Hardy Model

## Support of the Hardy Model

	(0, 0)	(0, 1)	(1, 0)	(1, 1)
(A, B)	$s_1$	$s_2$	$s_3$	$s_4$
(A, B')	0	$s_6$	$s_7$	$s_8$
(A', B)	0	$s_{10}$	$s_{11}$	$s_{12}$
(A', B')	$s_{13}$	$s_{14}$	$s_{15}$	0

- Label non-zero sections

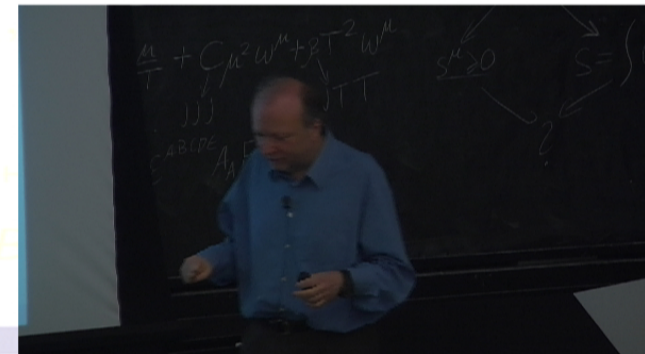
- Compatible family of  $\mathbb{Z}$ -linear combinations of sections:

$$r_1 = s_1, \quad r_2 = s_6 + s_7 - s_8, \quad r_3 = s_{11}$$

- One can check that

$$r_2|A = 1 \cdot (A \mapsto 0) + 1 \cdot (A \mapsto 1) - 1 \cdot (A \mapsto 1)$$

$$r_2|B' = 1 \cdot (B' \mapsto 1) + 1 \cdot (B' \mapsto 0) - 1 \cdot (B' \mapsto 0)$$





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$$\begin{aligned} r_2|A &= 1 \cdot (A \mapsto 0) + 1 \cdot (A \mapsto 1) - 1 \cdot (A \mapsto 1) = r_1|A, \\ r_2|B' &= 1 \cdot (B' \mapsto 1) + 1 \cdot (B' \mapsto 0) - 1 \cdot (B' \mapsto 1) = r_4|B' \end{aligned}$$

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# The Hardy Model

- $\gamma(s_1)$  vanishes!
- This example illustrates that false positives do arise
- Cohomological prescription does not pick up on the non-locality of the Hardy model

# Kochen-Specker-type Models

- In a Kochen-Specker problem, we wish to assign the outcome 1 to a single measurement in each context
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	1000	0100	0010	0001
<i>ABCD</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>
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<i>HICJ</i>	<i>h</i>	<i>i</i>	<i>c</i>	<i>j</i>
<i>HKGL</i>	<i>h</i>	<i>k</i>	<i>g</i>	<i>l</i>
<i>BEMN</i>	<i>b</i>	<i>e</i>	<i>m</i>	<i>n</i>
<i>IKNO</i>	<i>i</i>	<i>k</i>	<i>n</i>	<i>o</i>
<i>PQDJ</i>	<i>p</i>	<i>q</i>	<i>d</i>	<i>j</i>
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$$\begin{array}{rcl}
 b + c + d & = & e + f + g \\
 a + b + d & = & h + i + j \\
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 a + b + c & = & p + q + j \\
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 a + e + f & = & h + k + l \\
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 i + c + j & = & k + g + l \\
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## A Class of KS-type Models

### Proposition (Abramsky-Brandenburger)

*A necessary condition for Kochen-Specker-type models to have a global section is:*

$$\gcd\{d_m \mid m \in X\} \mid |\mathcal{U}|,$$

*where  $d_m := |\{C \in \mathcal{U} \mid m \in C\}|$*

### Corollary

*All models that do not satisfy the above condition are therefore strongly contextual*



# A Class of KS-type Models

## Proposition (AMB)

*If  $\gamma(s)$  vanishes for some section  $s$  in the support of a connected Kochen-Specker-type model, then the GCD condition holds for that model*

## Corollary

*The vanishing of the cohomological obstruction is a complete invariant for the non-locality/contextuality of any connected KS-type model that violates the GCD condition*

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# Limitations and Further Directions

- In general, the cohomological condition for contextuality is sufficient, but not necessary

## Conjecture

*Under suitable assumptions of symmetry and connectedness, the cohomology obstruction is a complete invariant for strong contextuality*

- We have been computing the obstructions by brute force enumeration
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