

Title: Fractional Quantum Hall Effect in the Absence of Landau Levels

Date: Nov 04, 2011 04:00 PM

URL: <http://pirsa.org/11110079>

Abstract: It has been well-known that topological phenomena with fractional excitations, i.e., the fractional quantum Hall effect (FQHE) will emerge when electrons move in Landau levels. In this talk, I will show FQHE can emerge even in the absence of Landau levels in interacting fermion models and boson models. The non-interacting part of our Hamiltonian contains topologically nontrivial flat band.

The Topology of Dirac Cones



A simple Dirac model: $H = k_x \sigma^x + k_y \sigma^y + m \sigma^z$

m=0: massless Dirac cone

Dirac cone as half a skyrmion

$$\frac{1}{4\pi} \int d^2k \hat{\mathbf{d}} \cdot \partial_x \hat{\mathbf{d}} \times \partial_y \hat{\mathbf{d}} = \frac{1}{4\pi} \int d^2k \frac{m}{E(\mathbf{k})^3} = \frac{1}{2} \text{sgn}(m); \quad E(\mathbf{k}) = \sqrt{k^2 + m^2}$$

Dirac cone must appear in pairs

- Chern number =1 if two Dirac masses have the same sign.
- Chern number =0 if two Dirac masses have opposite sign.





Fractional Quantum Hall Effect in the absence of Landau levels

Zheng-Cheng Gu (KITP)

Collaborators:

Dr. Kai Sun(University of Maryland)

Phys. Rev. Lett. 106,
236803 (2011)

Prof. D. N. Sheng(California State)

Nature Communications 2,
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Dr. Yifei Wang(Zhejiang Normal University)

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arXiv:1107.1911

Prof. L. Sheng(Nanjing University)

Dr. Hosho (Gakushuin University)

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Outline

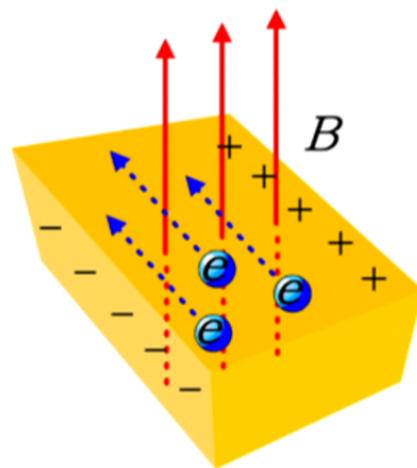
- Integer and fractional quantum hall effect(QHE)
- IQHE in a lattice model without Landau levels
- Lattice models with topological flat band
- FQHE in the absence of Landau levels
- Summary and outlook





Quantum hall effect

Hall effect



$$\mathbf{f}_L = -ev \times \mathbf{B}$$

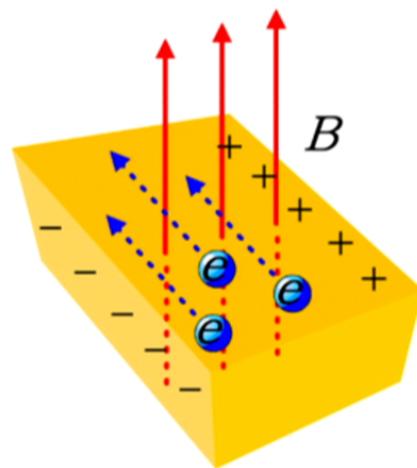
$$R_{xy} = \frac{V_y}{I_x} = \frac{B_z}{ne}$$



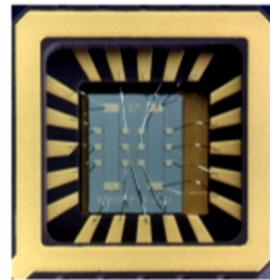


Quantum hall effect

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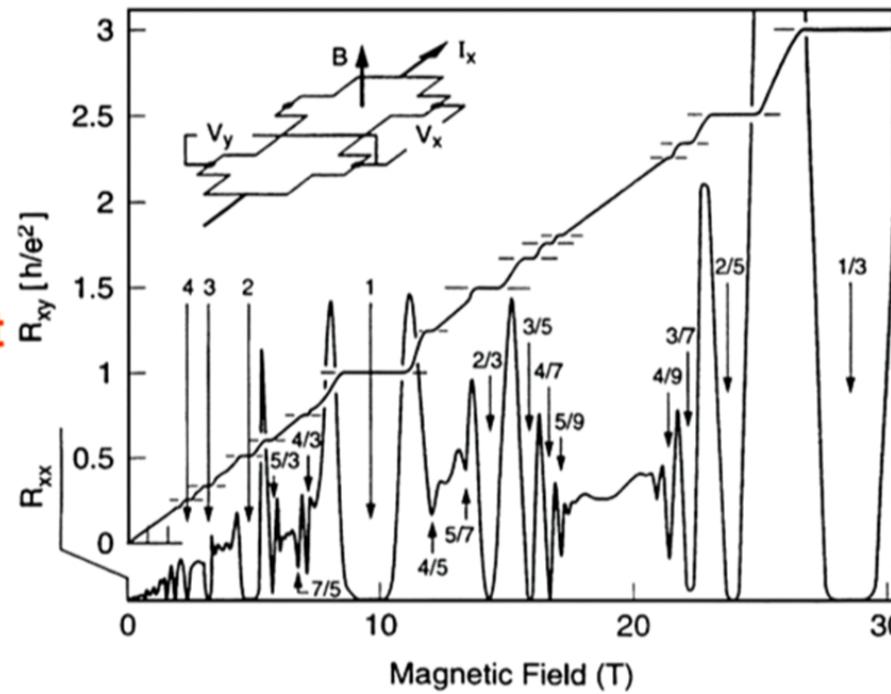
Quantum Hall effect



$$f_L = -ev \times B$$

$$R_{xy} = \frac{V_y}{I_x} = \frac{B_z}{ne}$$

(D.C.Tsui, et al 1982)





The nature of quantization

Landau levels and Integer Quantum Hall Effect(IQHE)

$$H = \frac{p_y^2}{2m} + \frac{(p_x - eyB/c)^2}{2m}$$

$$E_n = (n + 1/2)\hbar\omega_c$$





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Fractional Quantum Hall Effect(FQHE)

v=1/3 Laughlin State: $\Psi_3 = \prod_{i < j} (z_i - z_j)^3 e^{-\frac{1}{4} \sum_i |z_i|^2}$





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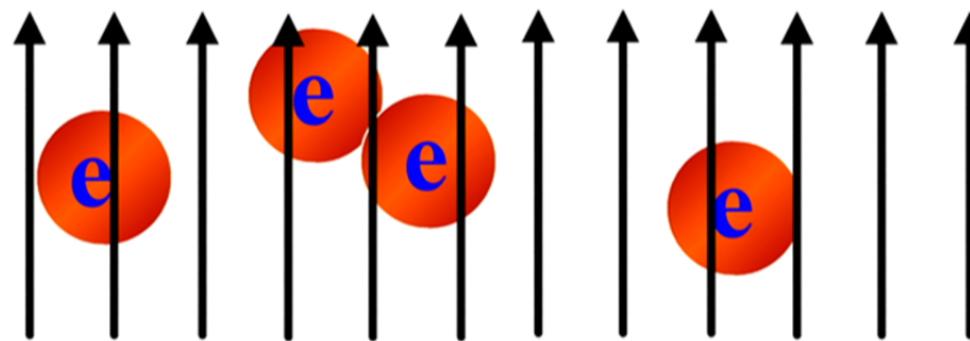
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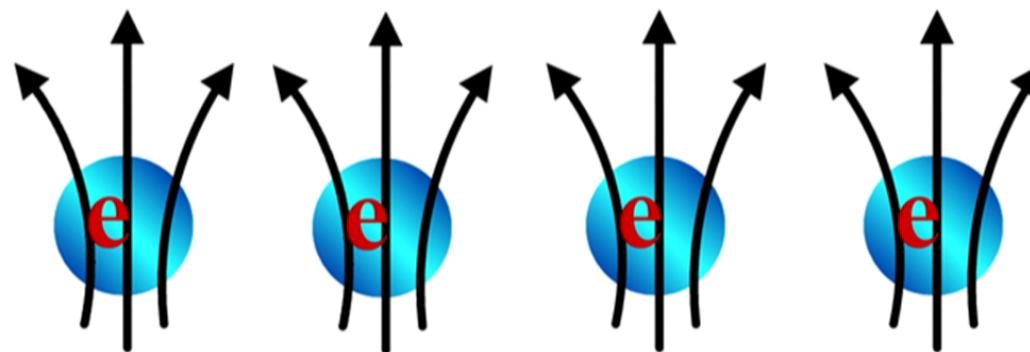
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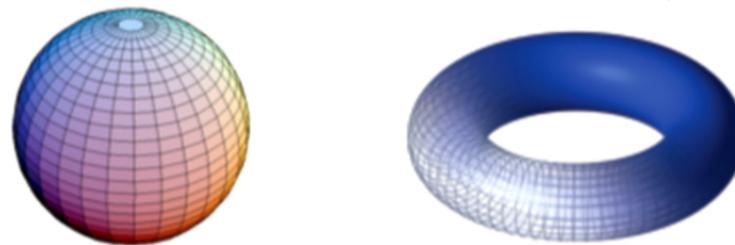




The topological quantization

Gauss-Bonnet Theorem

$$\int_M \kappa dA = 2\pi\chi = 2\pi(2 - 2g) \quad \kappa = (r_1 r_2)^{-1}$$

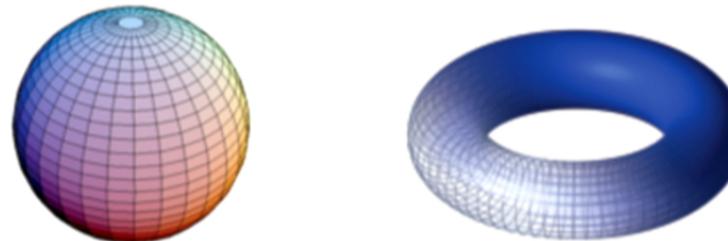




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"Gauss-Bonnet" in quantum system: Berry Phase

- When the Hamiltonian goes around a closed loop in parameter space, there can be an irreducible phase

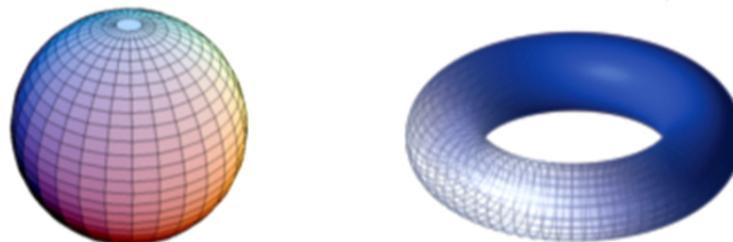




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"Gauss-Bonnet" in quantum system: Berry Phase

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$$\phi = \oint \mathcal{A} \cdot d\mathbf{k}, \quad \mathcal{A} = \langle \psi_k | -i\nabla_k | \psi_k \rangle$$

$$\psi_k \rightarrow e^{i\chi(k)} \psi_k \quad \mathcal{A} \rightarrow \mathcal{A} + \nabla_k \chi$$

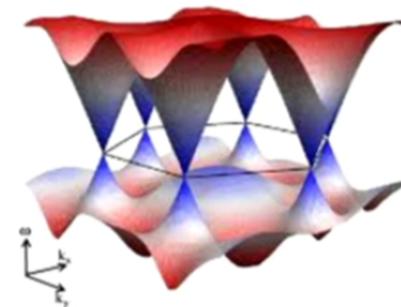
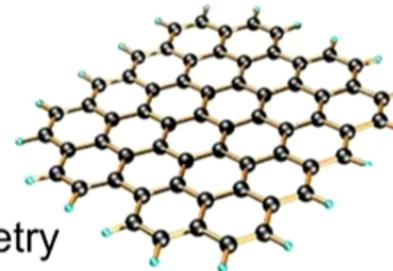


Berry phase in solid physics

Bloch's theorem:

$$\psi(\mathbf{r}) = e^{i\mathbf{k} \cdot \mathbf{r}} u_{\mathbf{k}}(\mathbf{r})$$

- The lattice translational symmetry implies crystal momentum \mathbf{k} is a good quantum number.



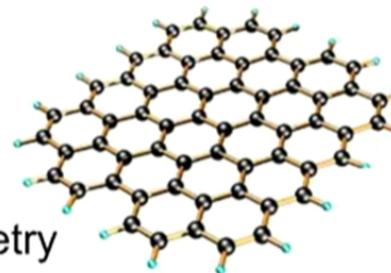
"Gauss-Bonnet" in solid physics



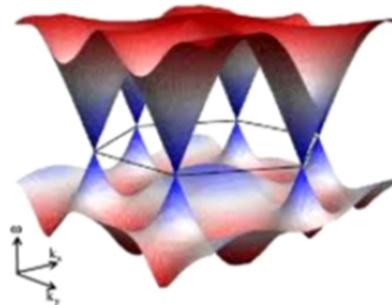
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$$\mathcal{A} = \langle u_{\mathbf{k}} | -i\nabla_k | u_{\mathbf{k}} \rangle \quad \mathcal{F} = \nabla \times \mathcal{A}$$

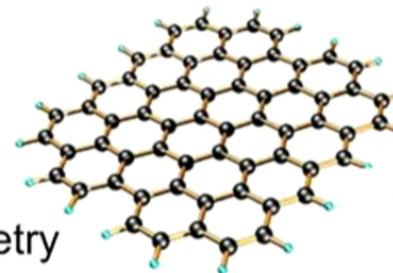
$$n = \sum_{bands} \frac{i}{2\pi} \int d^2k \left(\left\langle \frac{\partial u}{\partial k_1} \middle| \frac{\partial u}{\partial k_2} \right\rangle - \left\langle \frac{\partial u}{\partial k_2} \middle| \frac{\partial u}{\partial k_1} \right\rangle \right)$$



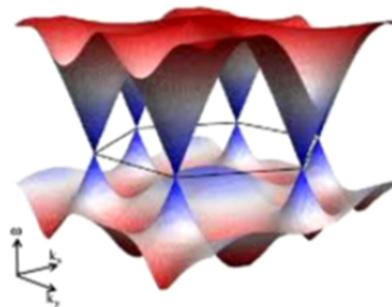
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$$\sigma_{xy} = n \frac{e^2}{h} \quad (\text{TKNN 1982}) \text{ "First Chern number"}$$

With disorder and interactions

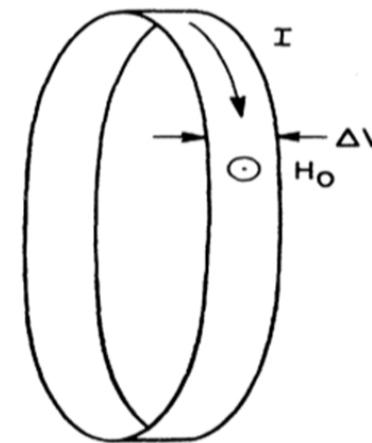


Laughlin's gauge argument



$$I = c \frac{\partial U}{\partial \phi} = \frac{c \partial U}{L \partial A}$$

$$I = c \frac{n e V}{\Delta \phi} = \frac{n e^2 V}{h}$$



With disorder and interactions

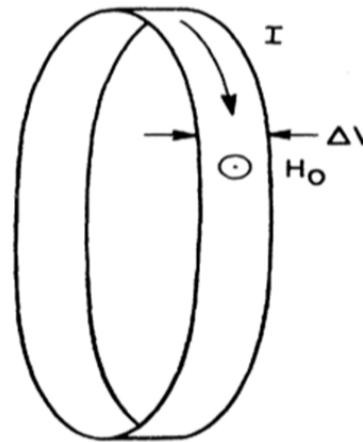


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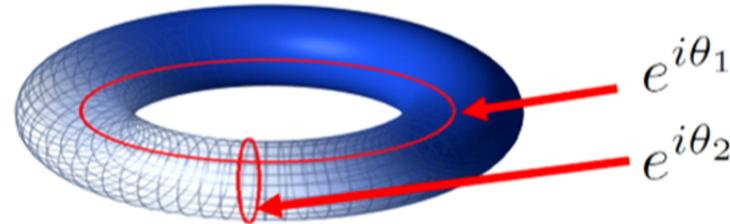
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Hall conductance from a many-body wave function

$$\sigma = \bar{\sigma} = \frac{e^2}{h} \int_0^{2\pi} \int_0^{2\pi} d\theta_1 d\theta_2 \frac{1}{2\pi i} \left(\left\langle \frac{\partial \Psi}{\partial \theta_2} \left| \frac{\partial \Psi}{\partial \theta_1} \right. \right\rangle - \left\langle \frac{\partial \Psi}{\partial \theta_1} \left| \frac{\partial \Psi}{\partial \theta_2} \right. \right\rangle \right)$$

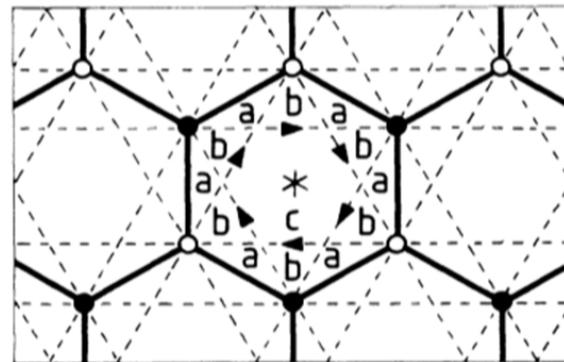


(Qian Niu, et al, 1985)

Does Landau levels necessary?

Haldane model: (FDM Haldane, 1988)

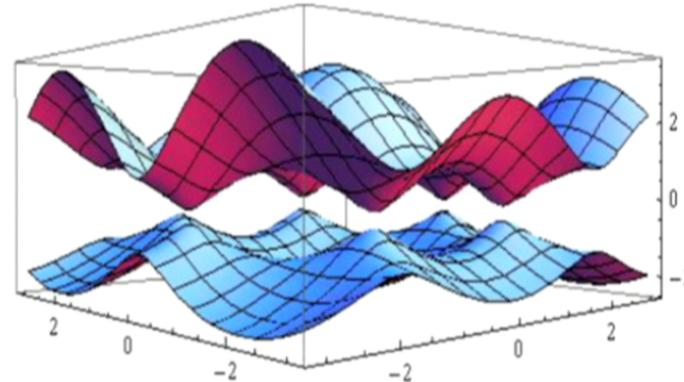
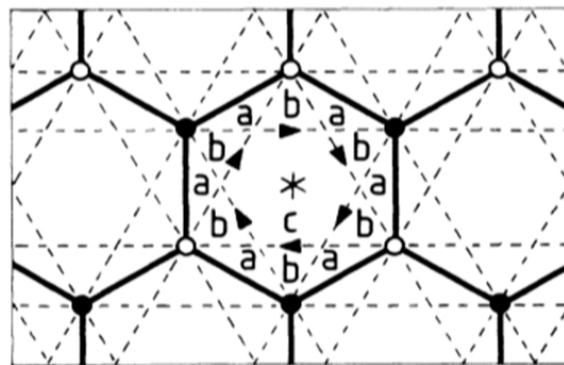
$$H_{\text{Haldane}} = t \sum_{\langle ij \rangle} (c_i^\dagger c_j + h.c.) + t' \sum_{\langle\langle ij \rangle\rangle} (e^{i\phi} c_i^\dagger c_j + h.c.)$$



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Nonzero Chern number:

$$c = \frac{1}{2\pi} \int_{BZ} d^2k F_{12}(k)$$

$$\sigma_H = \frac{e^2}{h} c; \quad c = \pm 1$$

$$t' = 0.1t; \quad \phi = 0.1\pi$$

$$A_\mu(k) = -i \langle n_{\mathbf{k}} | \frac{\partial}{\partial k_\mu} | n_{\mathbf{k}} \rangle$$

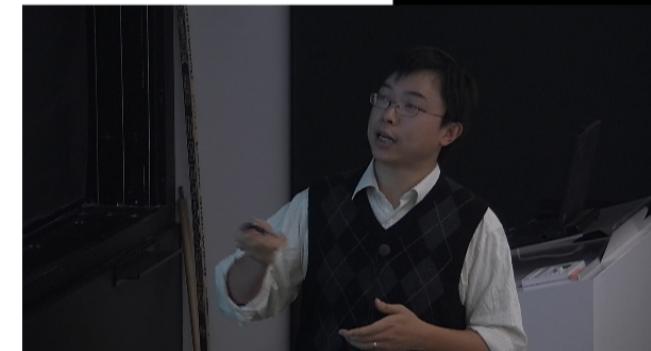
$$F_{12}(k) = \frac{\partial}{\partial k_1} A_2(k) - \frac{\partial}{\partial k_2} A_1(k)$$



The topological nature

A generic two band model: $H = \varepsilon(\mathbf{k}) + d_\alpha \sigma^\alpha$

$$E_\pm(\mathbf{k}) = \varepsilon(\mathbf{k}) \pm d(\mathbf{k}); \quad \min_{\mathbf{k}} E_+(\mathbf{k}) > \max_{\mathbf{k}} E_-(\mathbf{k})$$





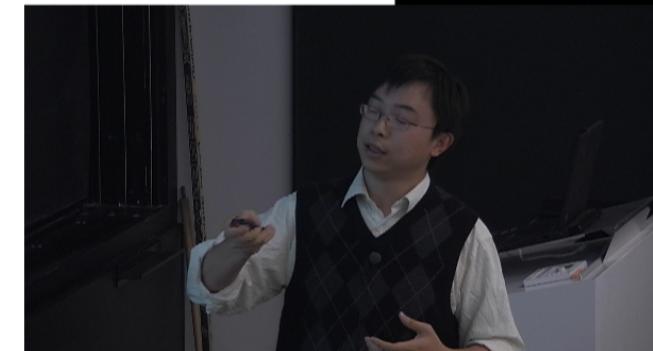
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$$\sigma_{xy} = \frac{1}{2\Omega} \sum_{\mathbf{k}} \frac{\partial \hat{d}_\alpha(\mathbf{k})}{\partial k_x} \frac{\partial \hat{d}_\beta(\mathbf{k})}{\partial k_y} \hat{d}_\gamma \epsilon^{\alpha\beta\gamma} (n_+ - n_-)(\mathbf{k})$$

$$\hat{d}_\alpha(\mathbf{k}) = d_\alpha(\mathbf{k})/d(\mathbf{k}) \quad \frac{e^2}{\hbar} = 1$$





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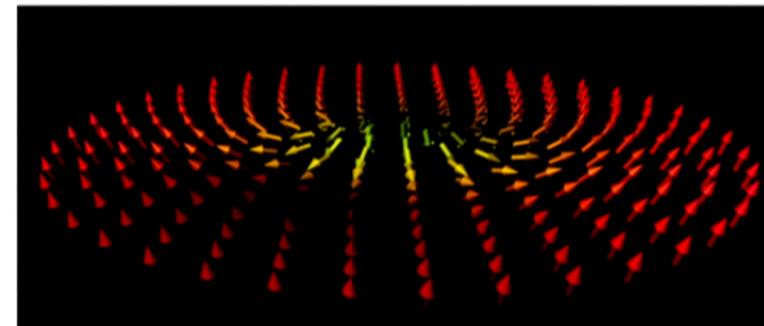
Chern number

$$\sigma_{xy} = -\frac{1}{8\pi^2} \int \int_{\text{FBZ}} dk_x dk_y \hat{\mathbf{d}} \cdot \partial_{\mathbf{x}} \hat{\mathbf{d}} \times \partial_{\mathbf{y}} \hat{\mathbf{d}}$$



Skyrmion number

$$T^2 \rightarrow S^2$$



$$H(k) = \begin{pmatrix} \cdot & \cdot \\ \cdot & \cdot \end{pmatrix} = I + \sigma_x + \sigma_y + \sigma_z$$





Fractional quantum hall effect?

- Bands with non-trivial topology mimic the physics of IQHE
- Quenching of the kinetic energy compared to interaction energy scale is important for FQHE



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Construct topological flat band model in k space

$$H(\mathbf{k}) = U(\mathbf{k})\Lambda(\mathbf{k})U(\mathbf{k})^\dagger$$



$$H_{\text{flat}}(\mathbf{k}) = U(\mathbf{k})\Lambda U(\mathbf{k})^\dagger$$



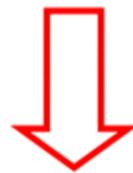


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$$H(k) = U(k)\Lambda(k)U(k)^\dagger$$



$$H_{\text{flat}}(k) = U(k)\Lambda U(k)^\dagger$$

Highly non-local in real space!

How to construct a local model with topological flat band?

The Topology of Dirac Cones



A simple Dirac model: $H = k_x\sigma^x + k_y\sigma^y + m\sigma^z$

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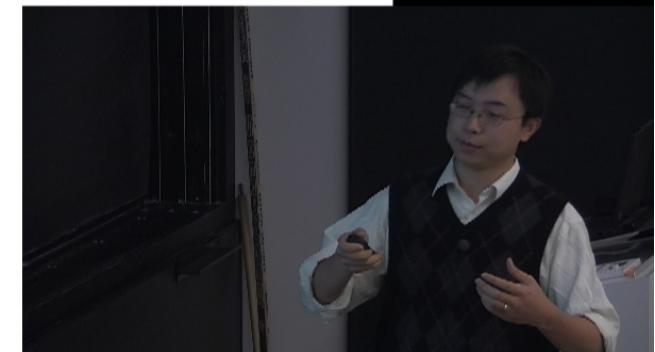
Topological bands can be constructed by gapping
Dirac cones, but with uncertainty.

Do we have better method?



Quadratic band touching

$$H = (k_x^2 - k_y^2)\sigma^x + 2k_x k_y \sigma^y \quad E_{\pm}(\mathbf{k}) = \pm k^2$$



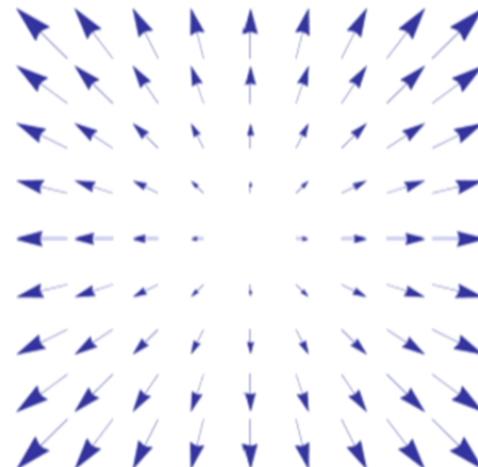


Quadratic band touching

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Dirac cone as a vortex in
 \mathbf{k} space with
winding number = 1

$$\mathbf{d} = (k_x, k_y, 0)$$





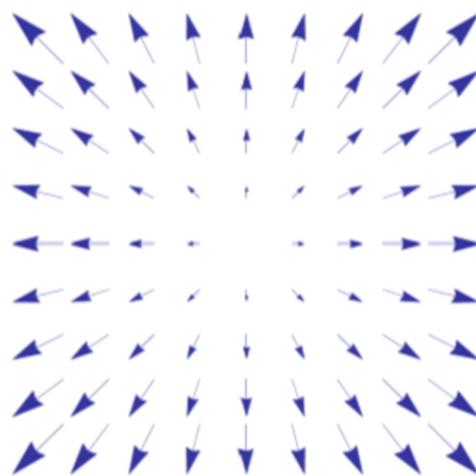
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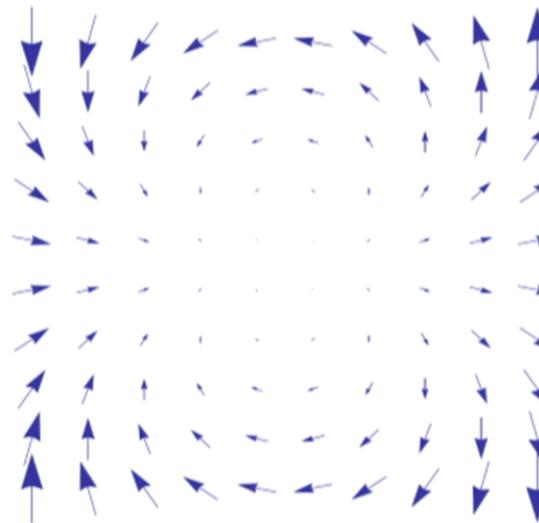
Dirac cone as a vortex in
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winding number = 1

$$\mathbf{d} = (k_x, k_y, 0)$$



A quadratic touching as a
vortex in \mathbf{k} space with
winding number = 2

$$\mathbf{d} = (k_x^2 - k_y^2, 2k_x k_y, 0)$$



Symmetry protection of quadratic band touching

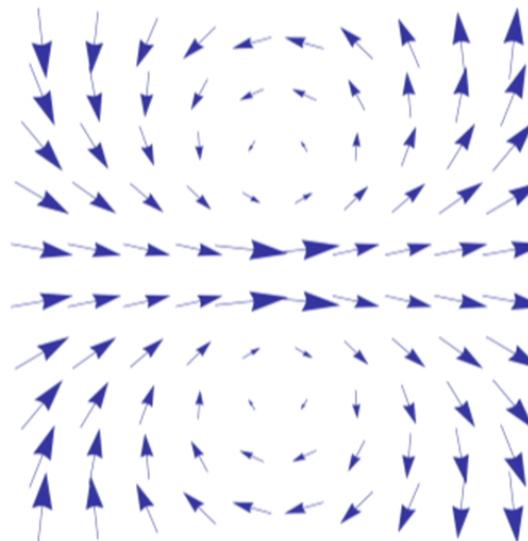
A quadratic touching is protected by 4-fold/6-fold rotational symmetry.

$$H = (k_x^2 - k_y^2 + M)\sigma^x + 2k_x k_y \sigma^y$$

$$d = (k_x^2 - k_y^2 + M, 2k_x k_y, 0)$$

A quadratic touching can be regarded as merging of two Dirac cones with the same winding number.

- A single quadratic touching is allowed.
- Gapping a quadratic touching by breaking time reversal symmetry will produce topologically non-trivial bands.



Topological flat band model



A three band model with quadratic band touching

$$\begin{aligned} H_0 = & -t_{dd} \sum_{\vec{r}} d_{\vec{r}}^\dagger d_{\vec{r}+\vec{a}_x} + d_{\vec{r}}^\dagger d_{\vec{r}+\vec{a}_y} + h.c. \\ & + t_{pp} \sum_{\vec{r}} p_{x,\vec{r}+\vec{a}_x}^\dagger p_{x,\vec{r}} + p_{y,\vec{r}+\vec{a}_y}^\dagger p_{y,\vec{r}} + h.c. - t'_{pp} \sum_{\vec{r}} p_{x,\vec{r}+\vec{a}_y}^\dagger p_{x,\vec{r}} + p_{y,\vec{r}+\vec{a}_x}^\dagger p_{y,\vec{r}} + h.c. \\ & + t_{pd} \sum_{\vec{r}} p_{x,\vec{r}+\vec{a}_x}^\dagger d_{\vec{r}} - p_{x,\vec{r}}^\dagger d_{\vec{r}+\vec{a}_x} + p_{y,\vec{r}+\vec{a}_y}^\dagger d_{\vec{r}} - p_{y,\vec{r}}^\dagger d_{\vec{r}+\vec{a}_y} + h.c. \end{aligned}$$

Topological flat band model

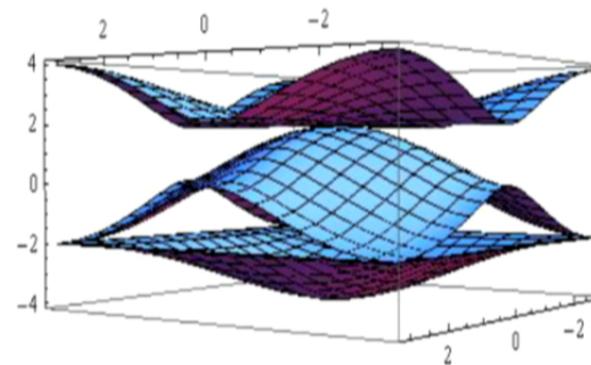
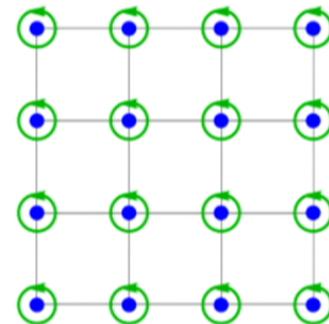


A three band model with quadratic band touching

$$\begin{aligned} H_0 = & -t_{dd} \sum_{\vec{r}} d_{\vec{r}}^\dagger d_{\vec{r}+\vec{a}_x} + d_{\vec{r}}^\dagger d_{\vec{r}+\vec{a}_y} + h.c. \\ & + t_{pp} \sum_{\vec{r}} p_{x,\vec{r}+\vec{a}_x}^\dagger p_{x,\vec{r}} + p_{y,\vec{r}+\vec{a}_y}^\dagger p_{y,\vec{r}} + h.c. - t'_{pp} \sum_{\vec{r}} p_{x,\vec{r}+\vec{a}_y}^\dagger p_{x,\vec{r}} + p_{y,\vec{r}+\vec{a}_x}^\dagger p_{y,\vec{r}} + h.c. \\ & + t_{pd} \sum_{\vec{r}} p_{x,\vec{r}+\vec{a}_x}^\dagger d_{\vec{r}} - p_{x,\vec{r}}^\dagger d_{\vec{r}+\vec{a}_x} + p_{y,\vec{r}+\vec{a}_y}^\dagger d_{\vec{r}} - p_{y,\vec{r}}^\dagger d_{\vec{r}+\vec{a}_y} + h.c. \end{aligned}$$

Gap opens after breaking T

$$H_1 = \sum_{\vec{r}} i\Delta p_{x,\vec{r}}^\dagger p_{y,\vec{r}} - i\Delta p_{y,\vec{r}}^\dagger p_{x,\vec{r}}$$



Topological flat band model



A three band model with quadratic band touching

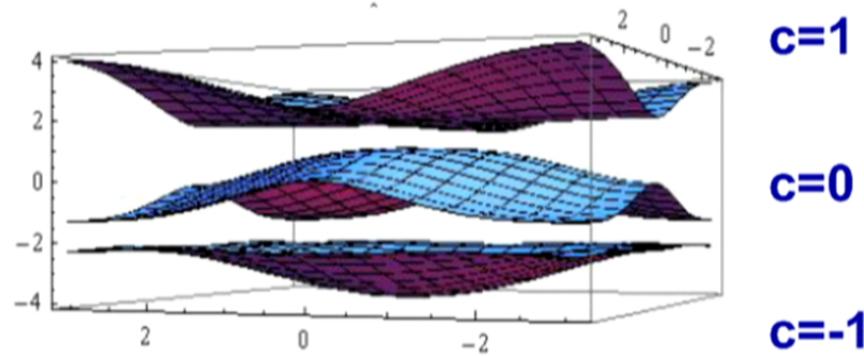
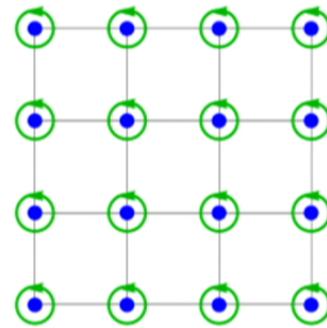
$$\begin{aligned} H_0 = & -t_{dd} \sum_{\vec{r}} d_{\vec{r}}^\dagger d_{\vec{r}+\vec{a}_x} + d_{\vec{r}}^\dagger d_{\vec{r}+\vec{a}_y} + h.c. \\ & + t_{pp} \sum_{\vec{r}} p_{x,\vec{r}+\vec{a}_x}^\dagger p_{x,\vec{r}} + p_{y,\vec{r}+\vec{a}_y}^\dagger p_{y,\vec{r}} + h.c. - t'_{pp} \sum_{\vec{r}} p_{x,\vec{r}+\vec{a}_y}^\dagger p_{x,\vec{r}} + p_{y,\vec{r}+\vec{a}_x}^\dagger p_{y,\vec{r}} + h.c. \\ & + t_{pd} \sum_{\vec{r}} p_{x,\vec{r}+\vec{a}_x}^\dagger d_{\vec{r}} - p_{x,\vec{r}}^\dagger d_{\vec{r}+\vec{a}_x} + p_{y,\vec{r}+\vec{a}_y}^\dagger d_{\vec{r}} - p_{y,\vec{r}}^\dagger d_{\vec{r}+\vec{a}_y} + h.c. \end{aligned}$$

Gap opens after breaking T

$$t_{dd} = t_{pd} = t_{pp} = 1$$

$$t'_{pp} = \frac{\Delta t_{pp}}{4t_{pp} + \Delta}; \quad \Delta = 2.8$$

$$H_1 = \sum_{\vec{r}} i\Delta p_{x,\vec{r}}^\dagger p_{y,\vec{r}} - i\Delta p_{y,\vec{r}}^\dagger p_{x,\vec{r}}$$



Topological flat band model



A three band model with quadratic band touching

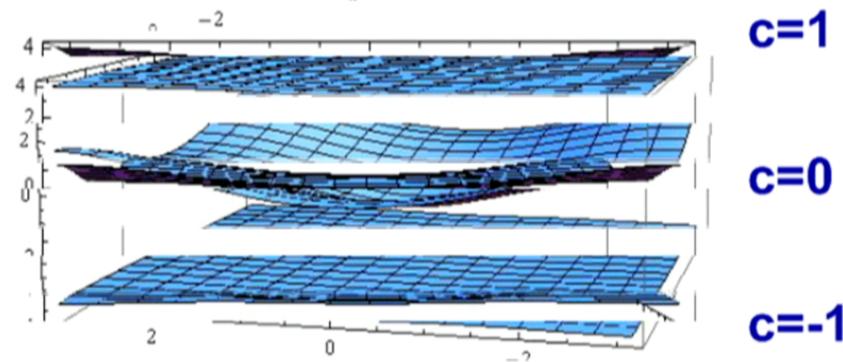
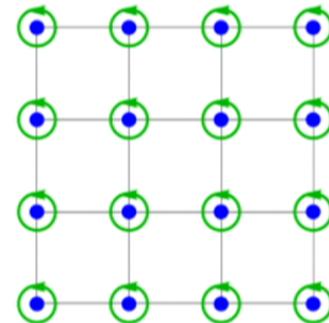
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Topological flat band model



A three band model with quadratic band touching

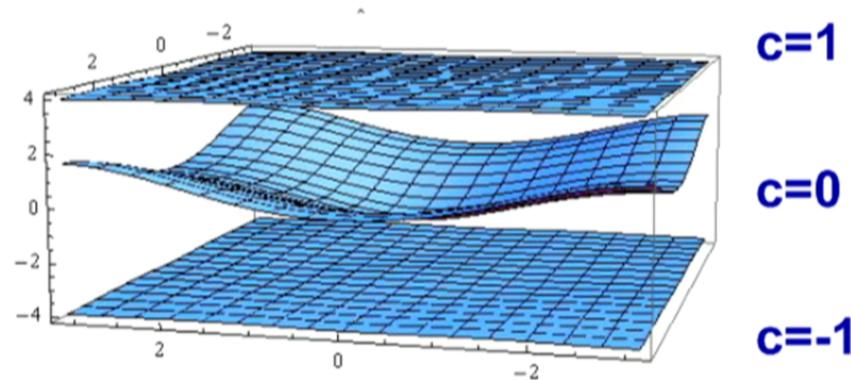
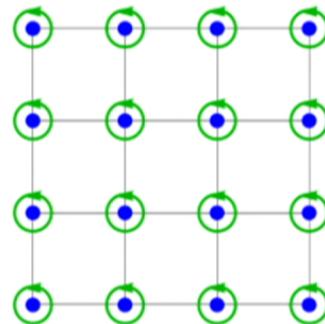
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Topological flat band model



A three band model with quadratic band touching

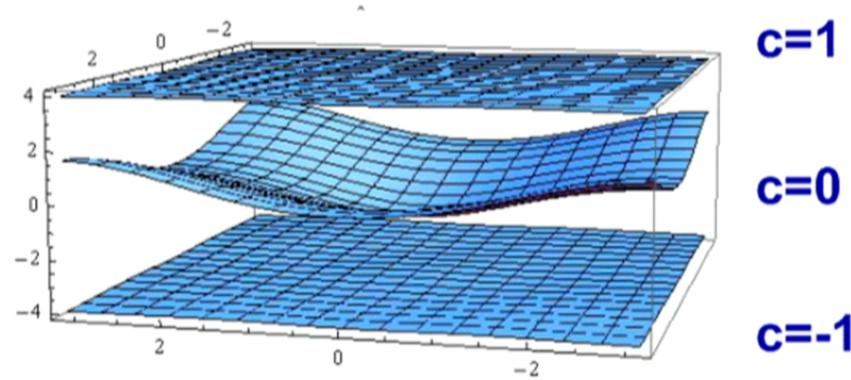
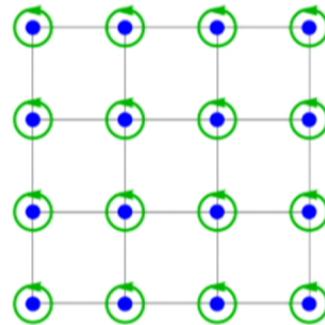
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Gap opens after breaking T

$$t_{dd} = t_{pd} = t_{pp} = 1$$

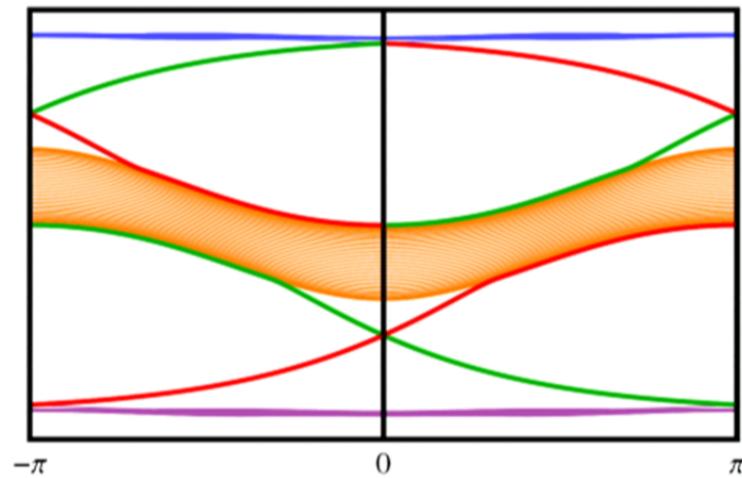
$$t'_{pp} = \frac{\Delta t_{pp}}{4t_{pp} + \Delta}; \quad \Delta = 2.8$$

$$H_1 = \sum_{\vec{r}} i\Delta p_{x,\vec{r}}^\dagger p_{y,\vec{r}} - i\Delta p_{y,\vec{r}}^\dagger p_{x,\vec{r}}$$

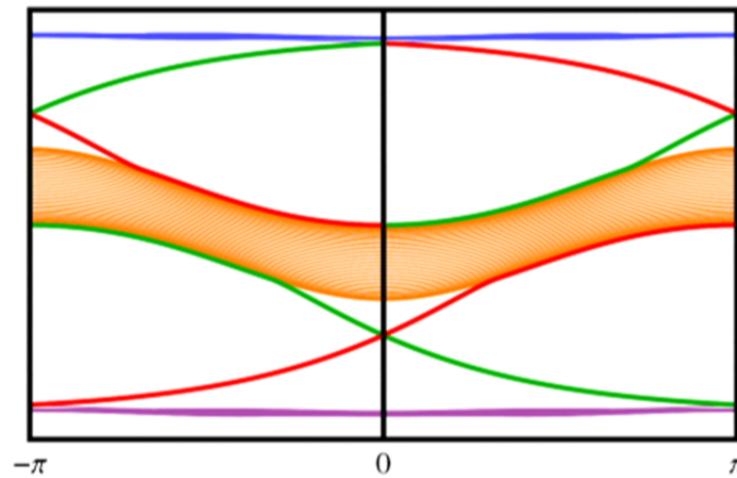




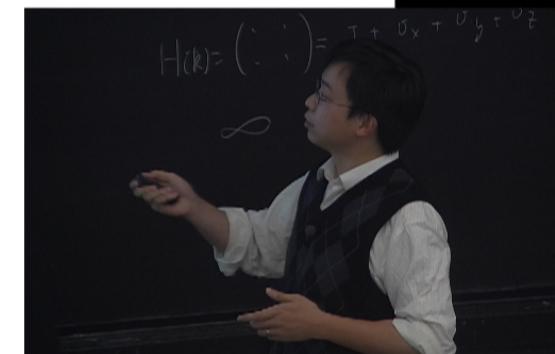
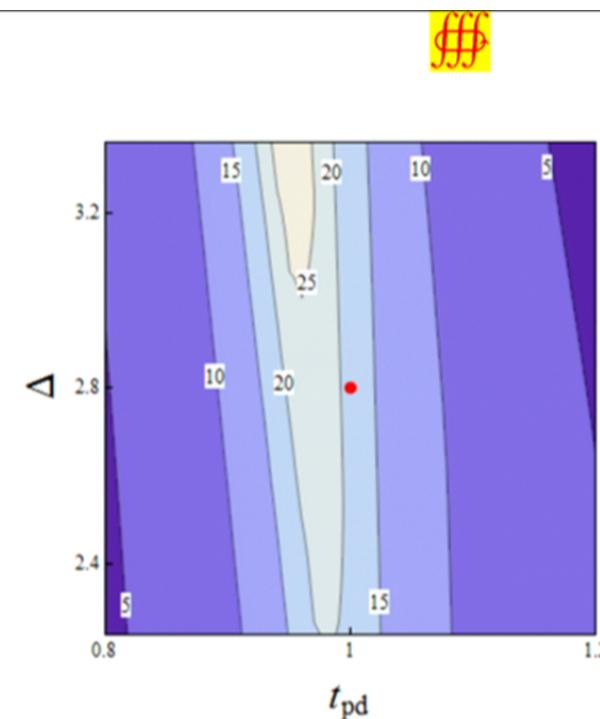
Edge modes on cylinder



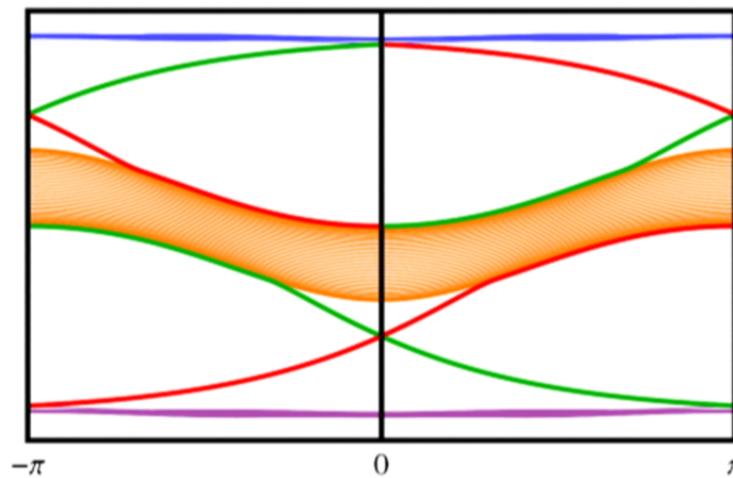
Edge modes on cylinder



Flatness ratio
= band gap/band width = 20



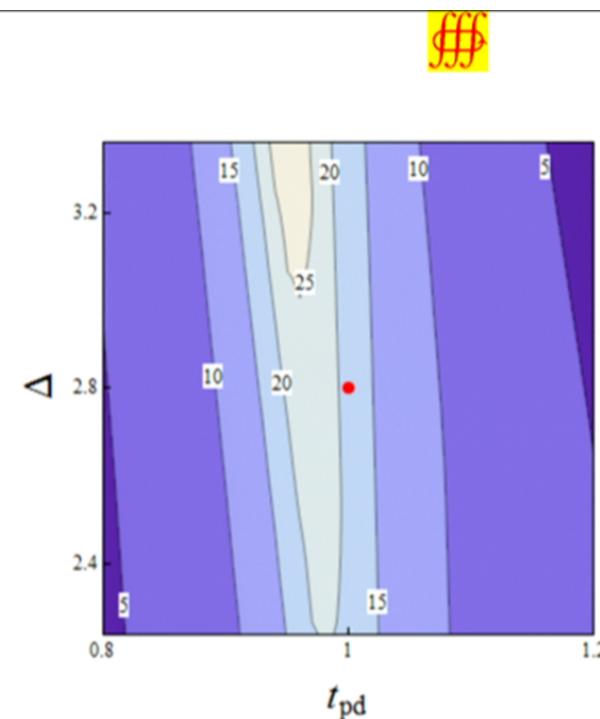
Edge modes on cylinder



Flatness ratio
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Possible realizations

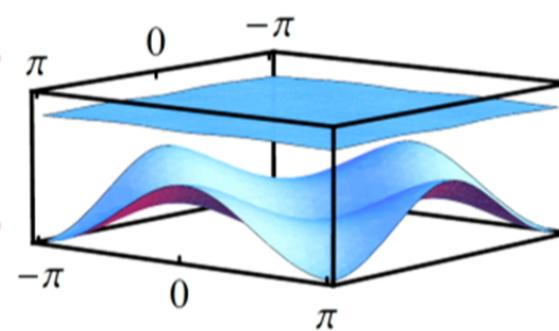
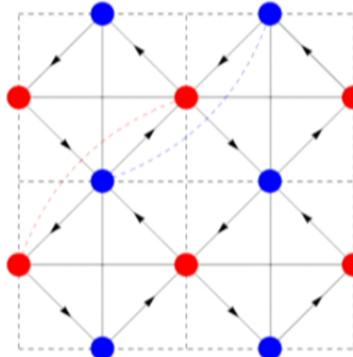
- Cold atoms in optical lattice
- Imaginary hopping can be realized by rotating lattice, spin orbit coupling or anisotropic p-band Feshbach resonance





A two band model

$$H_0 = -t \sum_{\langle i,j \rangle} e^{i\phi_{ij}} (c_i^\dagger c_j + h.c.) - \sum_{\langle\langle i,j \rangle\rangle} t'_{ij} (c_i^\dagger c_j + h.c.) - t'' \sum_{\langle\langle\langle i,j \rangle\rangle\rangle} (c_i^\dagger c_j + h.c.)$$



$$t'' = 1/(2 + 2\sqrt{2})$$

$$t'_1 = -t'_2 = 1/(2 + \sqrt{2})$$

$$\phi = \pi/4$$

$$H(R) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = I + \sigma_x + \sigma_y + \sigma_z$$

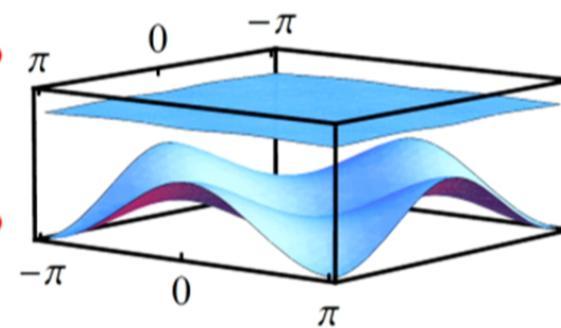
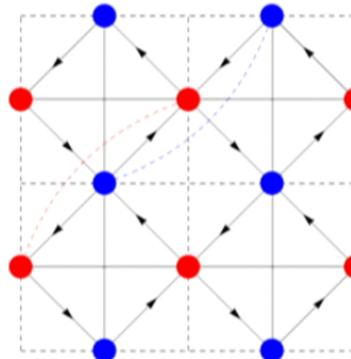
∞





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$$H_0 = -t \sum_{\langle i,j \rangle} e^{i\phi_{ij}} (c_i^\dagger c_j + h.c.) - \sum_{\langle\langle i,j \rangle\rangle} t'_{ij} (c_i^\dagger c_j + h.c.) - t'' \sum_{\langle\langle\langle i,j \rangle\rangle\rangle} (c_i^\dagger c_j + h.c.)$$



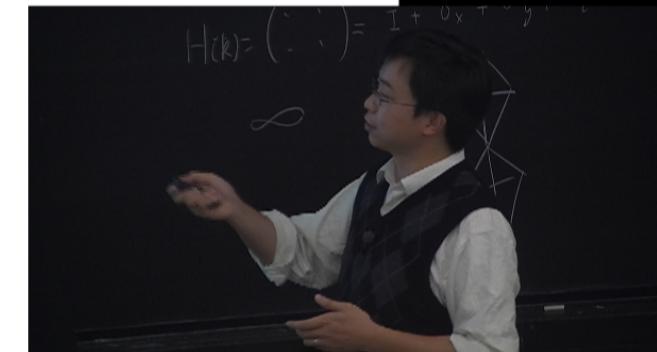
$$t'' = 1/(2 + 2\sqrt{2})$$

$$t'_1 = -t'_2 = 1/(2 + \sqrt{2})$$

$$\phi = \pi/4$$

Chern number = 1,-1 for
two bands

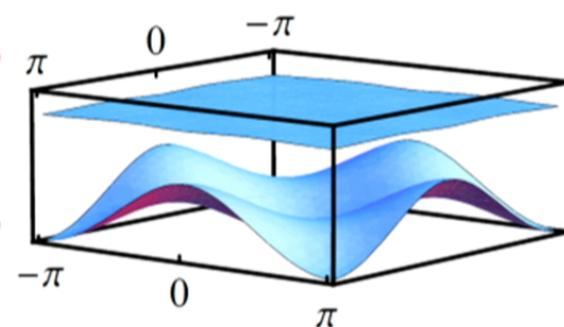
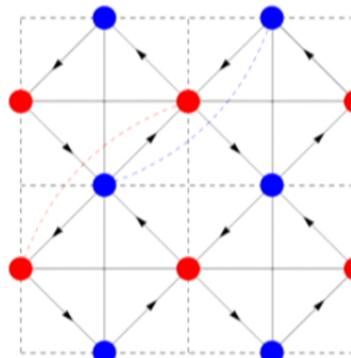
Flatness ratio = 30





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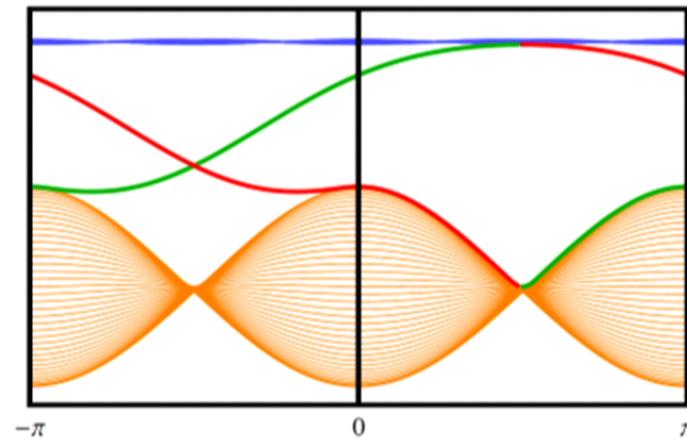
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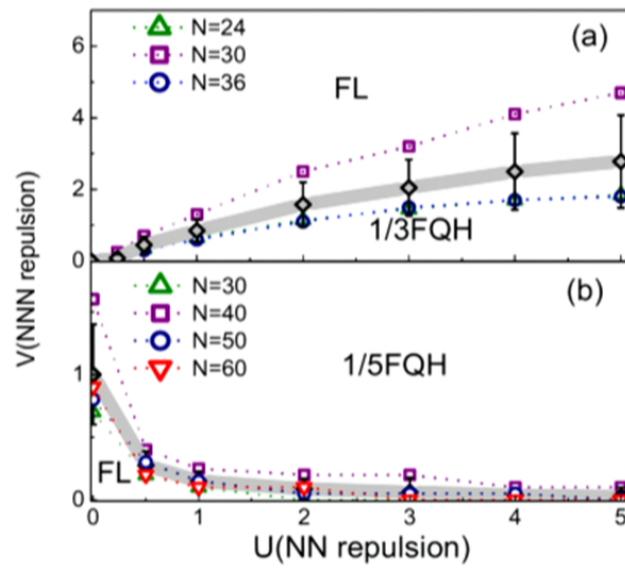
Edge modes on cylinder



Fractional quantum hall effect in the absence of magnetic field

FQHE appear after turning on interactions

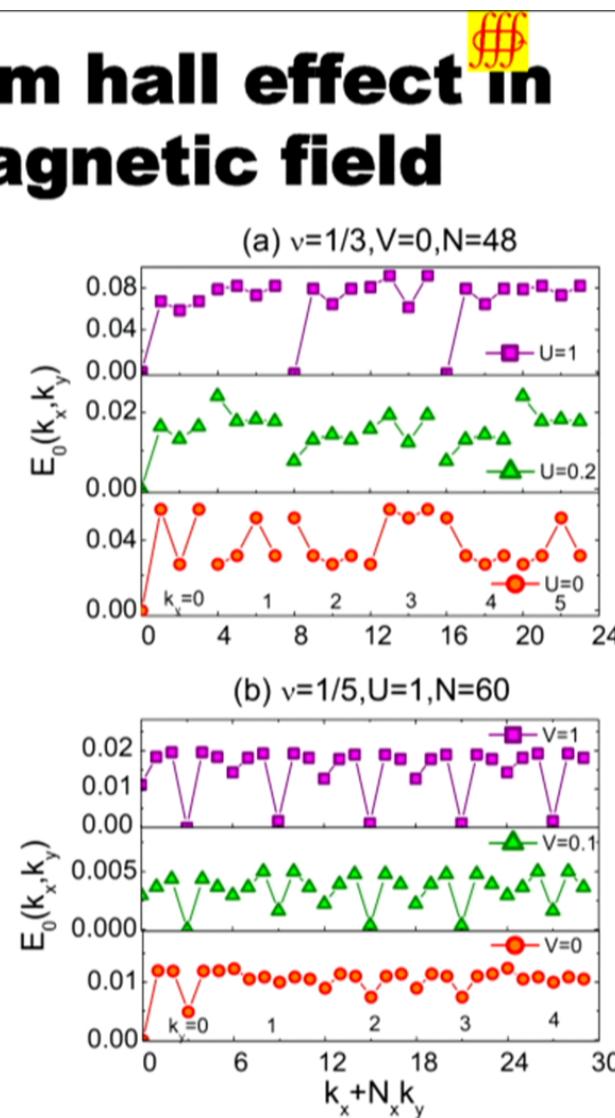
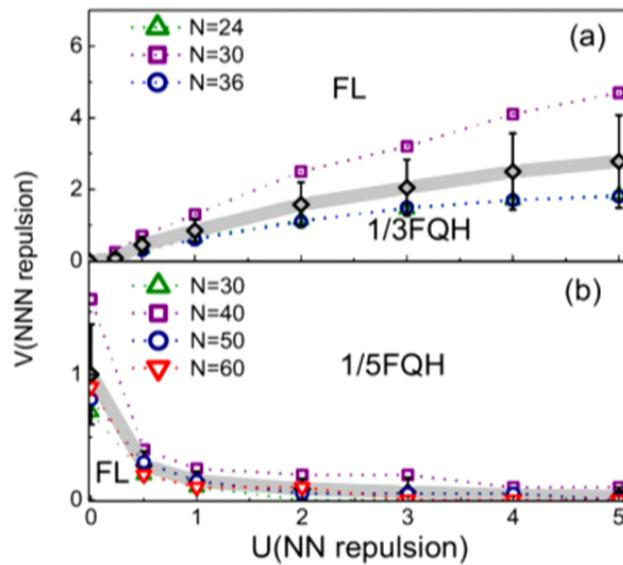
$$H = -H_0 + U \sum_{\langle i,j \rangle} n_i n_j + V \sum_{\langle\langle i,j \rangle\rangle} n_i n_j$$



Fractional quantum hall effect in the absence of magnetic field

FQHE appear after turning on interactions

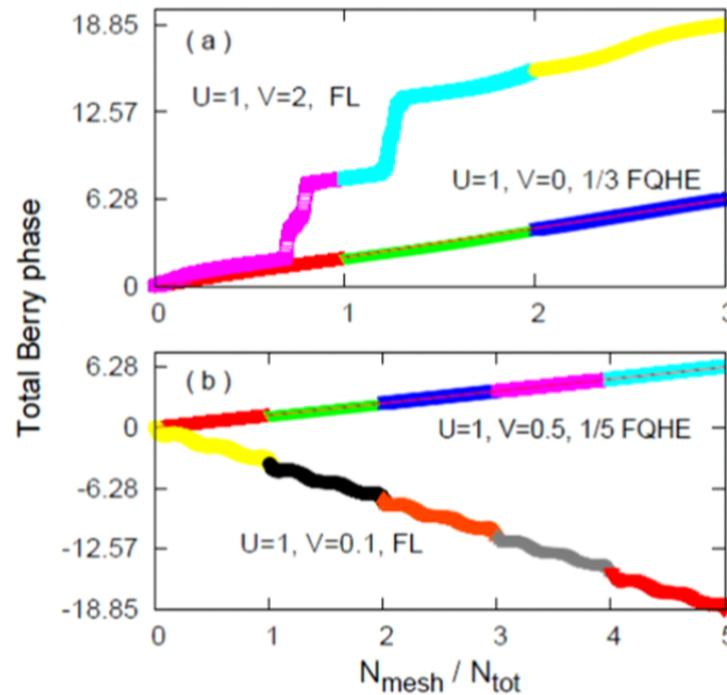
$$H = -H_0 + U \sum_{\langle i,j \rangle} n_i n_j + V \sum_{\langle\langle i,j \rangle\rangle} n_i n_j$$





Confirmed by Chern number

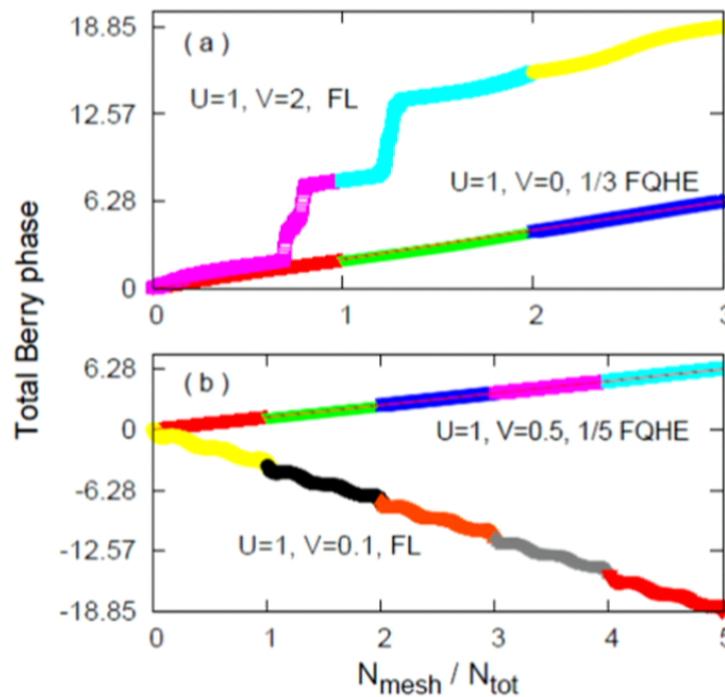
$$\sigma = \bar{\sigma} = \frac{e^2}{h} \int_0^{2\pi} \int_0^{2\pi} d\theta_1 d\theta_2 \frac{1}{2\pi i} \left(\left\langle \frac{\partial \Psi}{\partial \theta_2} \left| \frac{\partial \Psi}{\partial \theta_1} \right. \right\rangle - \left\langle \frac{\partial \Psi}{\partial \theta_1} \left| \frac{\partial \Psi}{\partial \theta_2} \right. \right\rangle \right)$$



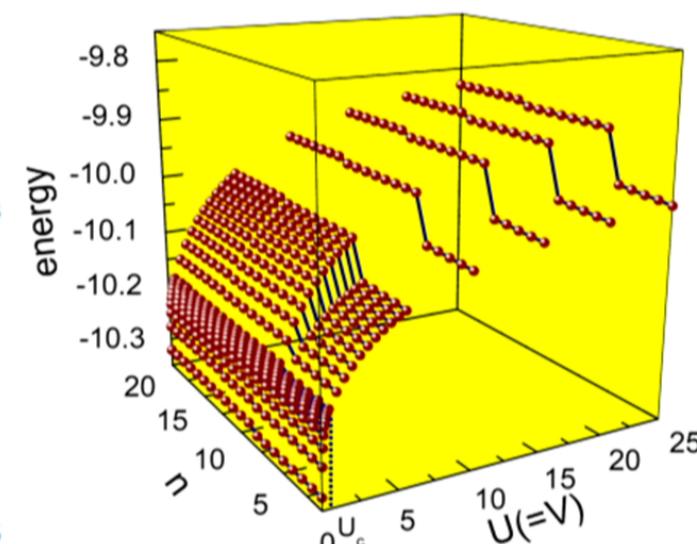


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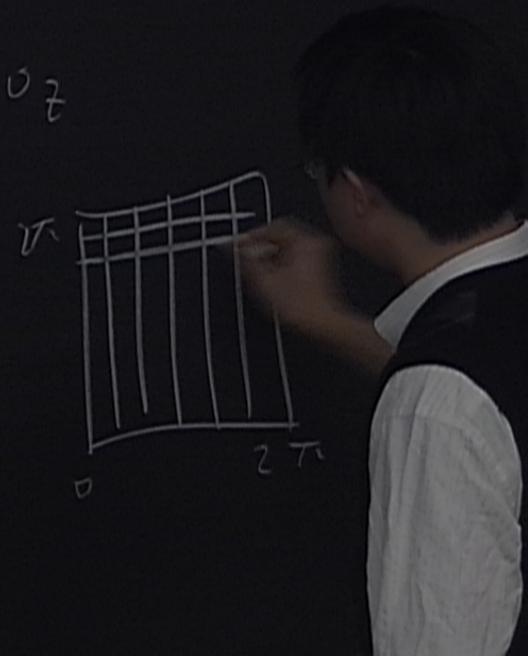
Stable at strong interaction



1/5 filling factor

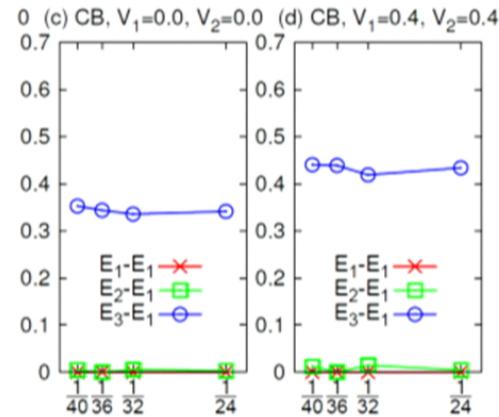
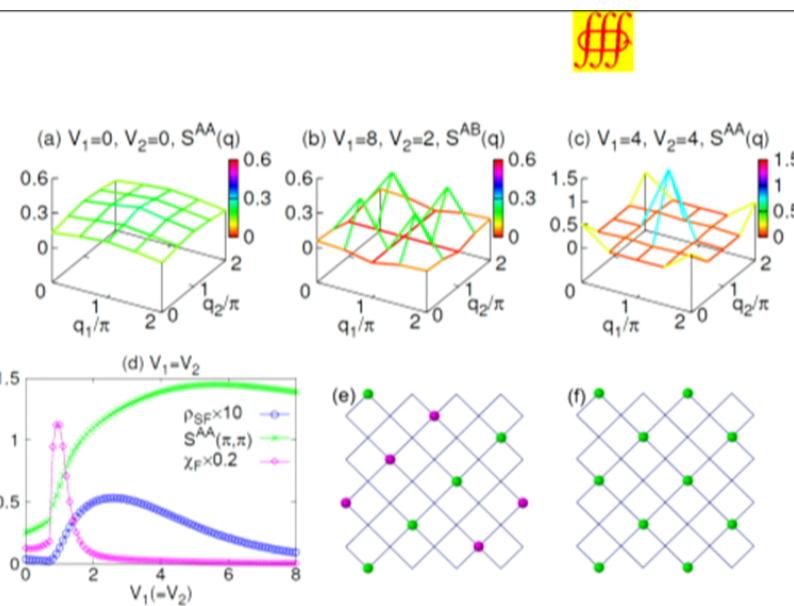
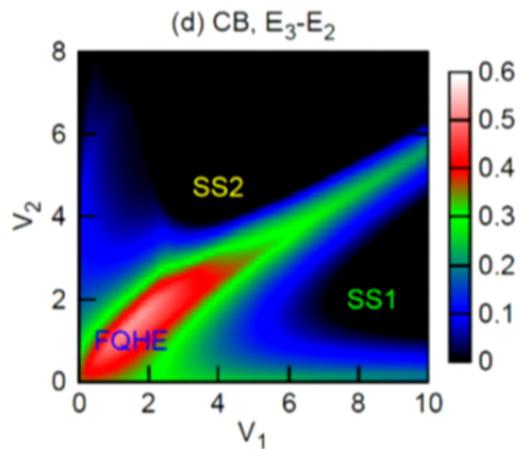
$$H(k) = \begin{pmatrix} \cdot & \cdot \\ \cdot & \cdot \end{pmatrix} = I + \sigma_x + \sigma_y + \sigma_z$$

∞



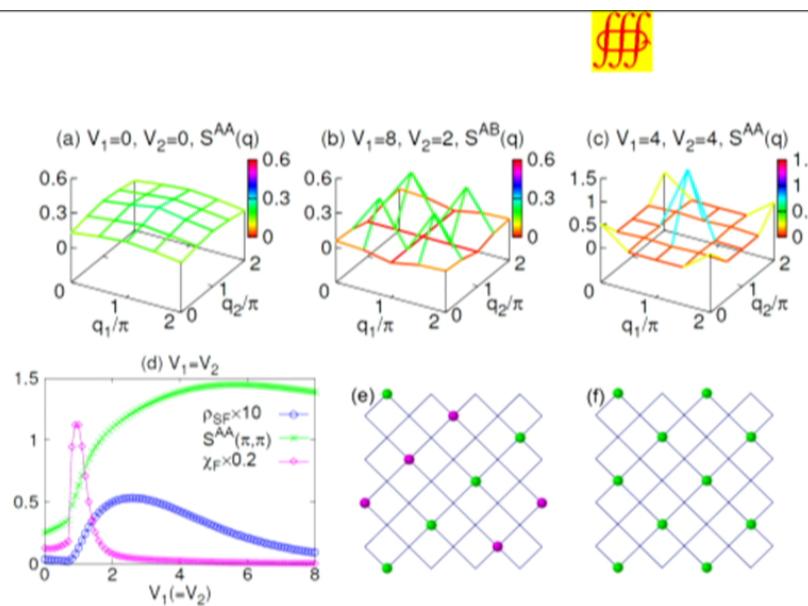
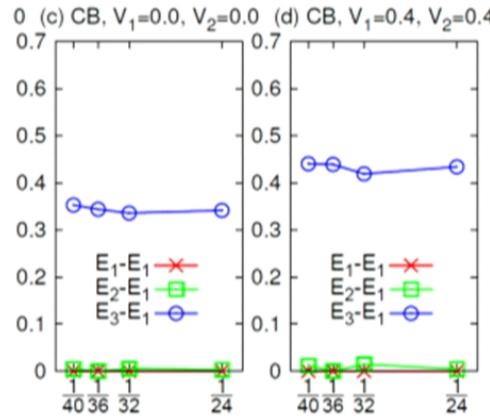
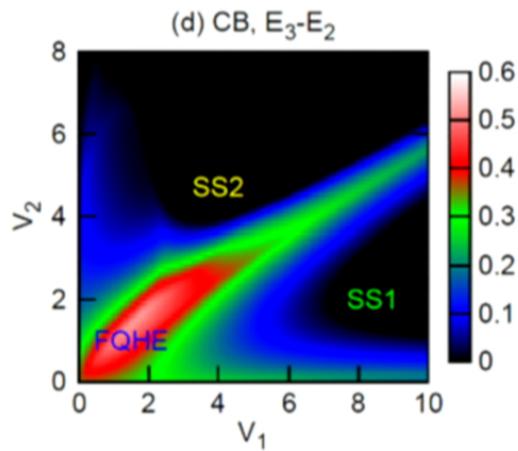
Bosonic FQHE

Filling factor 1/2

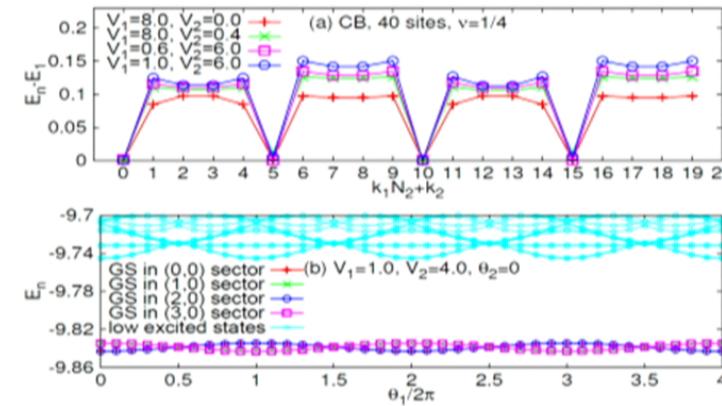


Bosonic FQHE

Filling factor 1/2



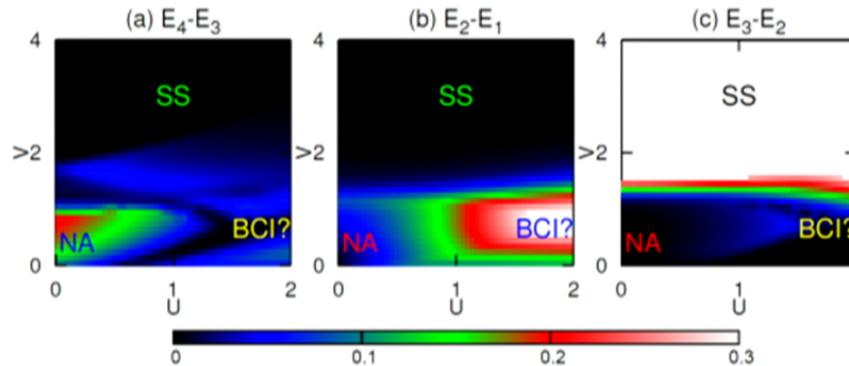
Filling factor 1/4





Non-Abelian State

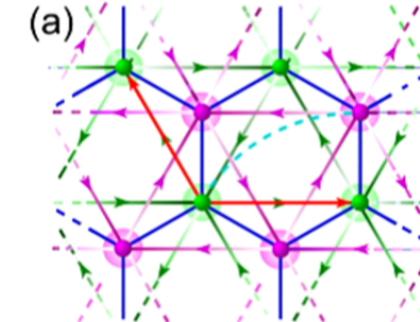
Generalized Haldane model



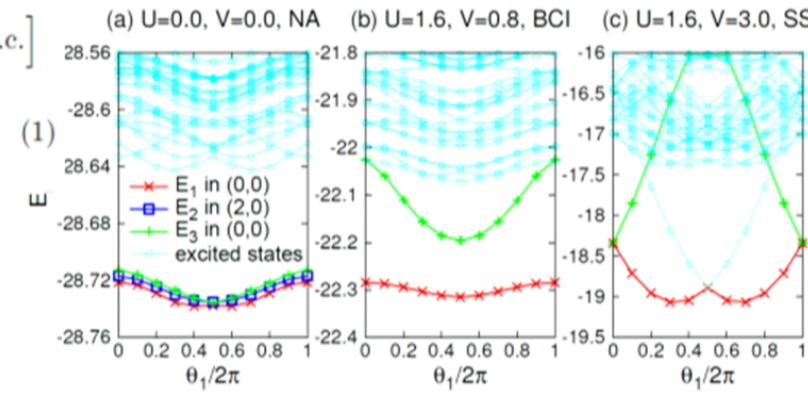
$$H = -t' \sum_{\langle\langle rr' \rangle\rangle} [b_{r'}^\dagger b_r \exp(i\phi_{r'r}) + \text{H.c.}] \\ - t \sum_{\langle rr' \rangle} [b_{r'}^\dagger b_r + \text{H.c.}] - t'' \sum_{\langle\langle\langle rr' \rangle\rangle\rangle} [b_{r'}^\dagger b_r + \text{H.c.}] \\ + \frac{U}{2} \sum_r n_r (n_r - 1) + V \sum_{\langle rr' \rangle} n_r n_{r'}$$

Three-hardcore boson

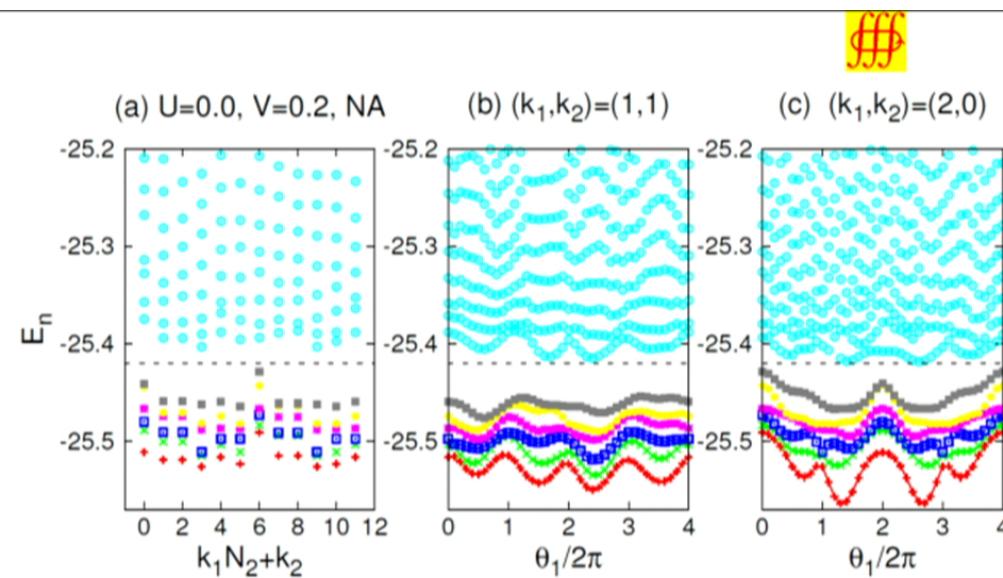
$$(b_{\mathbf{r}}^\dagger)^3 = 0 \text{ and } (b_{\mathbf{r}})^3 = 0$$



Filling factor 1

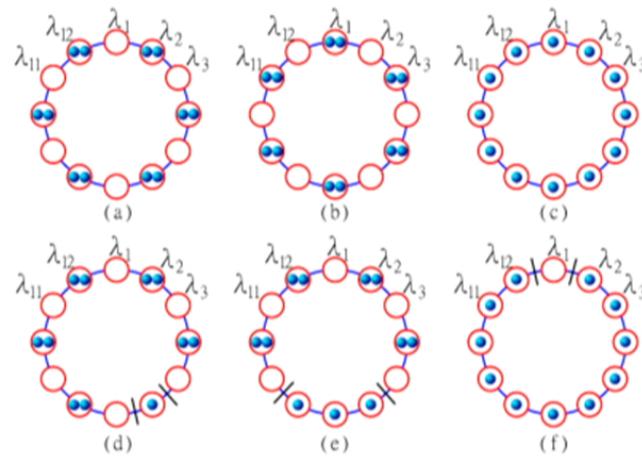
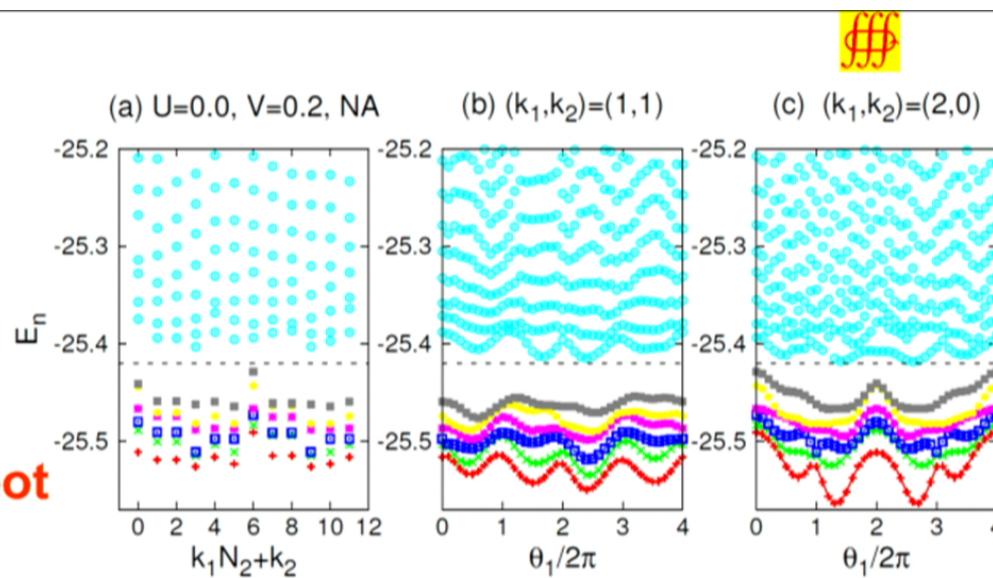


Quasihole spectrum



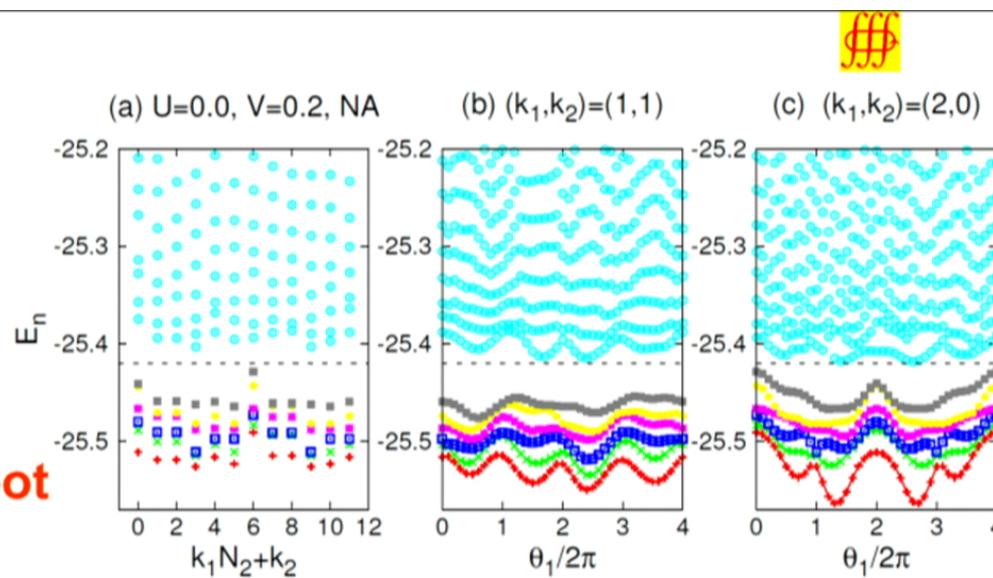
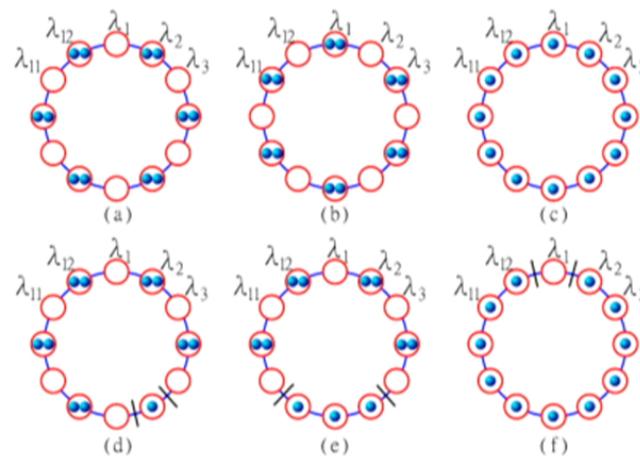
Quasihole spectrum

Quasihole counting & root configuration

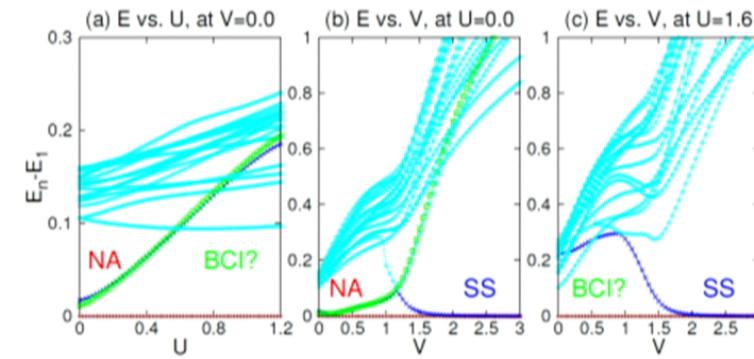


Quasihole spectrum

Quasihole counting & root configuration



Quantum phase transition





Summary and outlook

- Topological flat band models are constructed based on the quadratic touching mechanism.
- FQHE is observed after turning on interactions.
- Possible realization in optical lattice.
- v=1 bosonic Non-abelian state.
- Can be realized at room temperature!



Summary and outlook

- Topological flat band models are constructed based on the quadratic touching mechanism.
- FQHE is observed after turning on interactions.
- Possible realization in optical lattice.
- v=1 bosonic Non-abelian state.
- Mechanism.(Varational wave functions.)
- Can be realized at room temperature!