

Title: Condensed Matter - Lecture 12

Date: Nov 15, 2011 10:30 AM

URL: <http://pirsa.org/11110036>

Abstract:

BCS theory:

$$H_{MF} = E_{0s} + \sum_{\mathbf{k}} E_{\mathbf{k}} \left(\gamma_{\mathbf{k}\uparrow}^{\dagger} \gamma_{\mathbf{k}\uparrow} + \gamma_{-\mathbf{k}\downarrow}^{\dagger} \gamma_{-\mathbf{k}\downarrow} \right)$$

g.s defined by $\gamma_{\mathbf{k}\sigma} |\Psi_{BCS}\rangle = 0 \quad \forall \mathbf{k}, \sigma$

$$E_{\mathbf{k}} = \sqrt{\xi_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2}$$

$$\xi_{\mathbf{k}} = \epsilon_{\mathbf{k}} - \mu$$

$$\begin{aligned}
 & \gamma_{\mathbf{k}\uparrow} + \gamma_{-\mathbf{k}\downarrow}^+ \gamma_{-\mathbf{k}\downarrow} \\
 & + \text{E.O.}
 \end{aligned}$$

$$\begin{pmatrix} \gamma_{\mathbf{k}\uparrow} \\ \gamma_{-\mathbf{k}\downarrow}^+ \end{pmatrix} = \begin{pmatrix} u_{\mathbf{k}} & -v_{\mathbf{k}}^* \\ v_{\mathbf{k}} & u_{\mathbf{k}}^* \end{pmatrix} \begin{pmatrix} c_{\mathbf{k}\uparrow} \\ c_{-\mathbf{k}\downarrow}^+ \end{pmatrix}$$

$$\begin{pmatrix} \gamma_{K\uparrow} \\ \gamma_{-K\downarrow}^+ \end{pmatrix} = \begin{pmatrix} u_K & -v_K^* \\ v_K & u_K^* \end{pmatrix} \begin{pmatrix} C_{K\uparrow} \\ C_{-K\downarrow}^+ \end{pmatrix}$$

u_K & v_K obtained from

$$|v_K|^2 + |u_K|^2 = 1 \quad (\text{unitary})$$

$$\frac{\Delta_K^* v_K}{u_K} = -\xi_K + E_K$$

$+ \gamma_{-k\downarrow}^+ \gamma_{-k\downarrow}$

$$\begin{pmatrix} \gamma_{k\uparrow} \\ \gamma_{-k\downarrow}^+ \end{pmatrix} = \begin{pmatrix} u_k & -v_k^* \\ v_k & u_k^* \end{pmatrix} \begin{pmatrix} c_{k\uparrow} \\ c_{-k\downarrow}^+ \end{pmatrix}$$

u_k & v_k obtained from

$$|v_k|^2 + |u_k|^2 = 1 \quad (\text{unitary})$$

$$\frac{\Delta_k^* v_k}{u_k} = -\xi_k + E_k \quad \left(\begin{array}{l} \text{require} \\ \gamma\gamma, \gamma^+\gamma^+ \\ \text{coeff} = 0 \end{array} \right)$$

$$|v_k|^2 = \frac{1}{2} \left(1 - \frac{\xi_k}{E_k} \right)$$

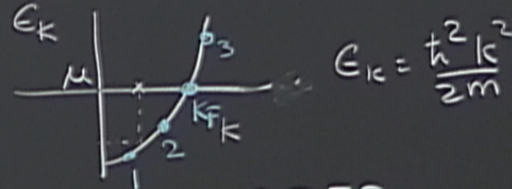
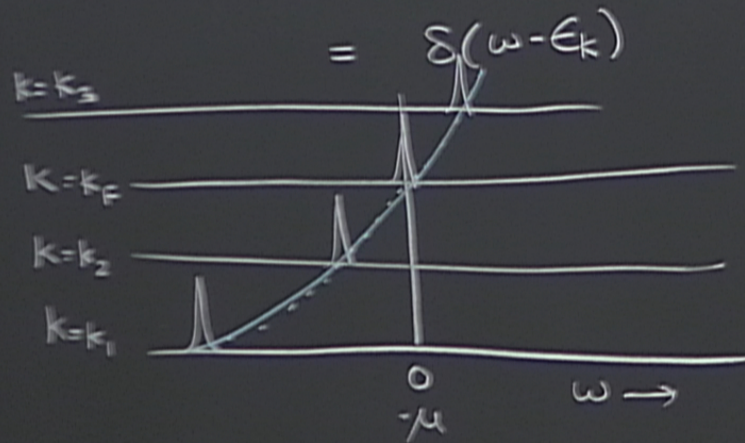
$$|u_k|^2 = \frac{1}{2} \left(1 + \frac{\xi_k}{E_k} \right)$$

$$2u_k v_k = \frac{\Delta_k}{E_k}$$

Spectral Function: understand particle-hole mixing.

non-interacting Fermi gas ($T=0$)

$$A_{\vec{k}}(\omega) = -\frac{1}{\pi} \text{Im} G_{\vec{k}}^R(\omega) = \text{prob to find an electron at } (\vec{k}, \omega)$$



ARPES
angle resolved photoemission
spectroscopy

BCS Su

BCS Superconductor ($T=0$)

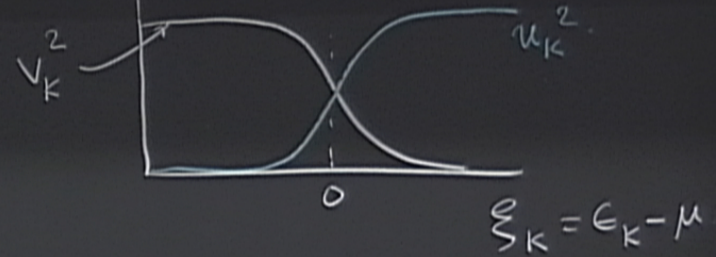
$$A_k(\omega) = -\frac{1}{\pi} \text{Im} G_{11}^R(k, \omega)$$
$$A_k(\omega) = u_k \delta(\omega - E_k) + v_k^2 \delta(\omega + E_k)$$

v_k

conductor ($T=0$)

$$A_k(\omega) = -\frac{1}{\pi} \text{Im} G_{11}^R(k, \omega)$$

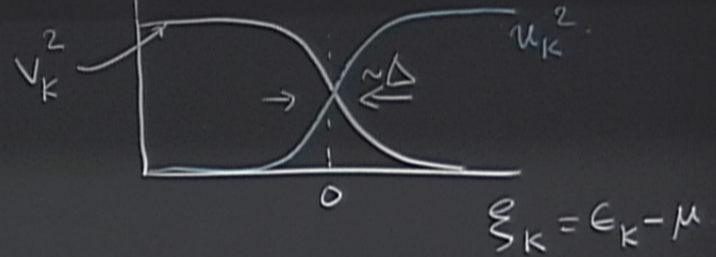
$$v_k^2 \delta(\omega - E_k) + v_k^2 \delta(\omega + E_k)$$



conductor ($T=0$)

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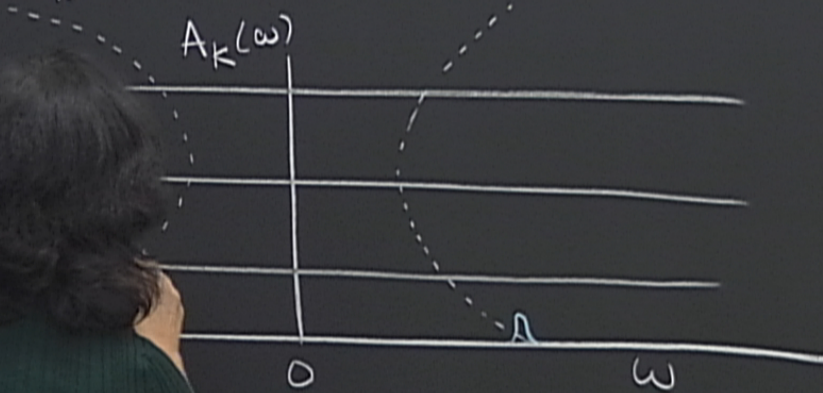
$$E_k = \sqrt{\epsilon_k^2 + |\Delta_k|^2}$$

$$|u_k|^2 + |v_k|^2 = 1$$

BCS superconductor ($T=0$)

$$A_k(\omega) = -\frac{1}{\pi} \text{Im} G_{11}^R(k, \omega)$$

$$A_k(\omega) = u_k^2 \delta(\omega - E_k) + v_k^2 \delta(\omega + E_k)$$

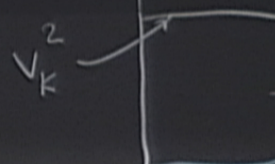
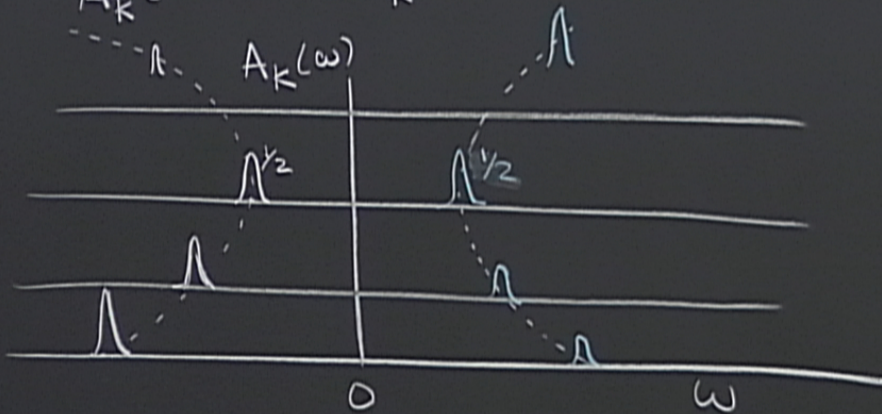


$$E_k = \sqrt{\epsilon_k^2 + \Delta^2}$$
$$|u_k|^2 + |v_k|^2 = 1$$

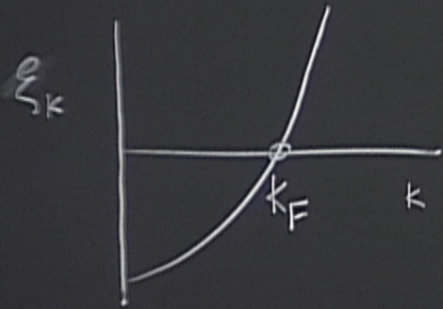
BCS superconductor ($T=0$)

$$A_K(\omega) = -\frac{1}{\pi} \text{Im} G_{11}^R(K, \omega)$$

$$A_K(\omega) = u_K^2 \delta(\omega - E_K) + v_K^2 \delta(\omega + E_K)$$



E_K
 μ_F



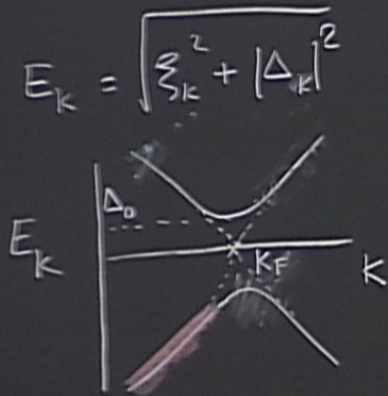
SC
→

$$E_k = \sqrt{\xi_k^2 + |\Delta_k|^2}$$

A graph showing a flat energy band at a constant value, representing the superconducting state.

Simple model

$$V_{kk'} = -V\Omega$$



Simple model

$$V_{KK'} = \begin{cases} -V\Omega & \text{only in shell} \\ 0 & \text{otherwise} \end{cases}$$

only in shell
otherwise

\Rightarrow

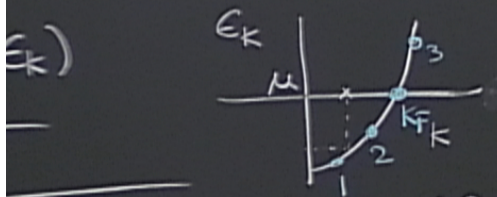
$$\Delta_K = \begin{cases} \Delta_0 & \text{for } K \in \text{shell} \\ 0 & \text{otherwise} \end{cases}$$

$2u_k v_k$

stand particle-hole mixing.

Free Fermi gas ($T=0$)

$G_k^R(\omega)$ = prob to find an electron at (\mathbf{k}, ω) .



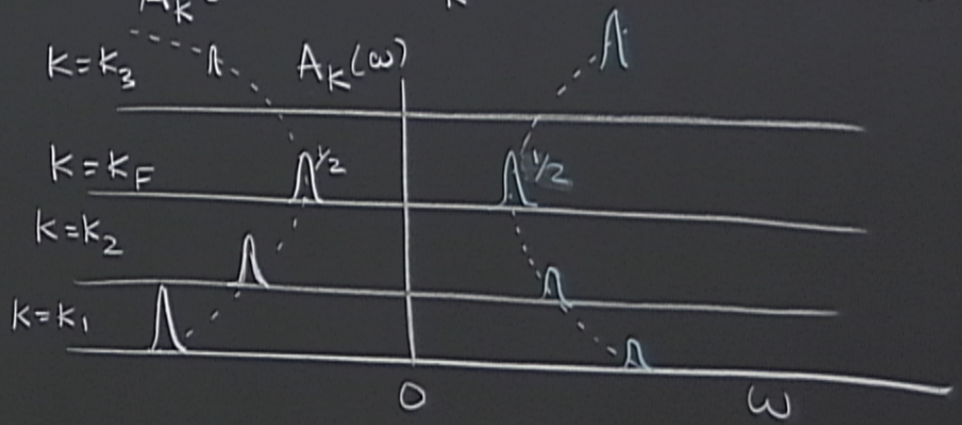
$$E_k = \frac{\hbar^2 k^2}{2m}$$

ARP angle

emission

BCS Superconductor ($T=0$)

$$A_k(\omega) = u_k^2 \delta(\omega - E_k) + v_k^2 \delta(\omega + E_k)$$



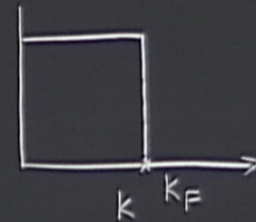
← integrate upto the chemical potential to count only the occupied states

$$\int_{-\infty}^{\infty} A_k(\omega) d\omega = n_k \quad (\text{mom. distribution function})$$

(also $\int_{-\infty}^{\infty}$)

non-int. fermions
 $T=0$

n_k



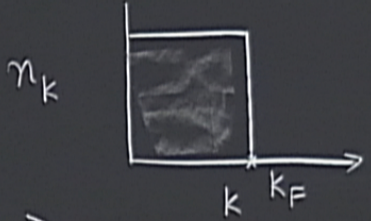
the chemical potential to count only the occupied states

$= n_k$ (mom. distribution function)

$n_k = v_k^2$; can also show from $\hat{n}_{k\sigma} = c_{k\sigma}^\dagger c_{k\sigma} = \langle \psi_{BCS} | \hat{n}_{k\sigma} | \psi_{BCS} \rangle$

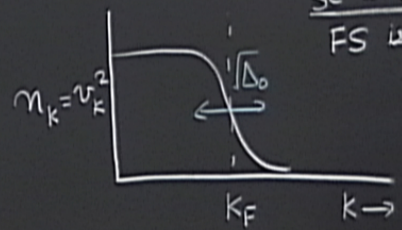
The broadening is not due to thermal incoherence but due to particle-hole mixing in the coherent BCS ground state.

non-int. fermions $T=0$



Note: In a

SC at $T=0$
FS is destroyed



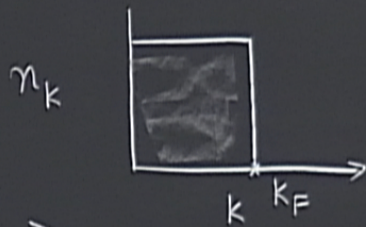
the chemical potential to count only the occupied states

$$= n_k \quad (\text{mom. distribution function})$$

$$n_k = v_k^2; \quad \text{can also show from } \hat{n}_{k\sigma} = C_{k\sigma}^+ C_{k\sigma} = \langle \Psi_{BCS} | \hat{n}_{k\sigma} | \Psi_{BCS} \rangle$$

The broadening is not due to thermal incoherence but due to particle-hole mixing in the coherent BCS ground state.

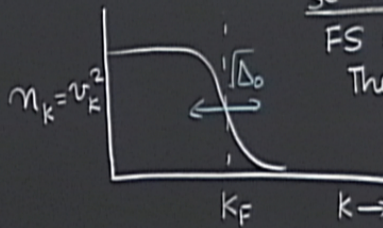
non-int. fermions
 $T=0$



[Note: In a FL finite jump persists]

SC at $T=0$

FS is destroyed
There is no jump in n_k



$$\sum_{\mathbf{k}} A_{\mathbf{k}}(\omega) = N(\omega) \quad \text{DOS.}$$

$$N(\omega) = \sum_{\mathbf{k} \in \Gamma}$$

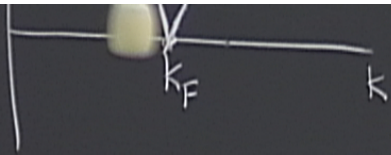
\leftarrow integrate upto the chemical potential

$$\int_{-\infty}^{\mu} A_{\mathbf{k}}(\omega) d\omega = n_{\mathbf{k}} \quad (n_{\mathbf{k}} = \nu_{\mathbf{k}})$$

(also $\int_{-\infty}^{\infty} A_{\mathbf{k}}(\omega) d\omega = 1$)

$$n_{\mathbf{k}} = \nu_{\mathbf{k}}$$

The br
therm
to p
the



$$\sum_k A_k(\omega) = N(\omega) \text{ DOS.}$$

$$N(\omega) = \sum_{k > k_F} \delta(\omega - E_k) = N(0) \int_0^\infty d\xi_k \delta(\omega - E_k)$$

$$d\xi = \frac{\partial \xi}{\partial E} dE = \frac{E}{v} dE$$

$$= \frac{E}{\sqrt{E^2 - \Delta^2}} dE$$

$$= N(0) \frac{\omega}{\sqrt{\omega^2 - |\Delta_k|^2}} \quad ; \omega > \Delta$$

$$= 0 \quad \omega < \Delta$$

← integrate up to the chemical potential

$$\int_{-\infty}^{\infty} A_k(\omega) d\omega = n_k \quad (m)$$

(also $\int_{-\infty}^{\infty} A_k(\omega) d\omega = 1$) $n_k = v$

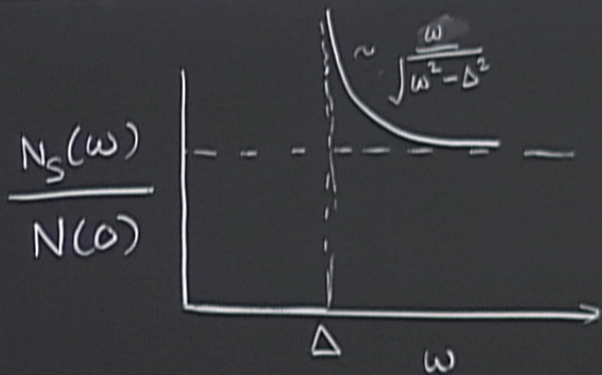
The bro
therm
to po
the

= 0

$\omega < \Delta$

$J_E - \Delta$

the

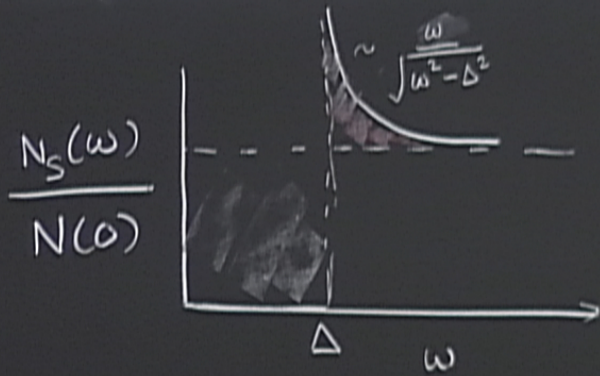


$= 0$

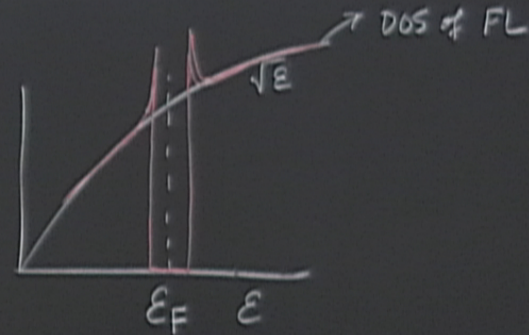
$\omega < \Delta$

$J(E - \Delta)$

the



$N(0)$
FL



PHASE TRANSITION AT T_c

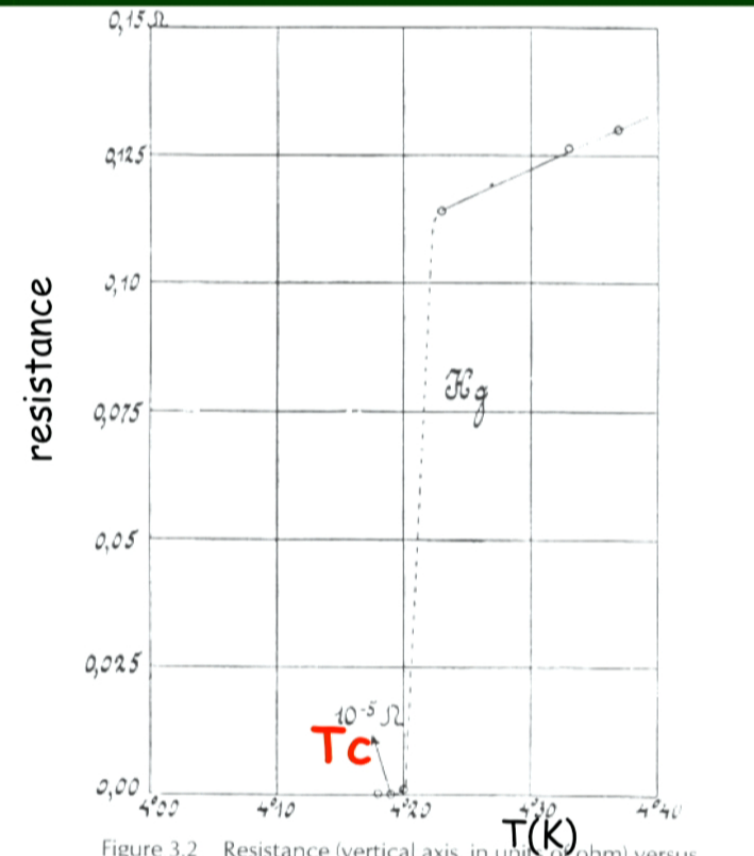


Figure 3.2 Resistance (vertical axis, in units of ohm) versus temperature (horizontal axis, in degrees Kelvin) for mercury (Hg) wires. (Courtesy of the Kamerlingh Onnes Laboratorium, Leiden. Copyright permission obtained from Elsevier Science Publishers.)

Lets do the numbers....

$$B = \frac{\mu_0(\#turns)I}{2\pi r} = \frac{4\pi \times 10^{-7} (N/A^2)(10^5)(100A)}{2\pi(0.5m)}$$

$$B = 4N/(Am) = 4Tesla$$

$$R = \frac{\rho L}{A} = \frac{(1micro\Omega - cm)(2\pi r)(\#turns)}{(\pi r_{wire}^2)}$$

$$R = \frac{(10^{-6}\Omega cm)(2 \times 0.5m)(10^5)}{(1mm)^2} = \frac{(10^{-6}\Omega cm)(2 \times 50cm)(10^5)}{(0.1cm)^2}$$

$$R = 10^3 \Omega = 1k\Omega$$

$$P = I^2 R = (100A)^2 (10^3 \Omega) = 10^7 Watts = 10MWatts$$

$$Energy = P \times time = 10^7 W \times 1hr = 36 \times 10^9 Watts - sec = 36 \times 10^9 J$$

$$mass = density \times volume = (10g/cc)(10^5 turns)(2\pi \times 50cm)(\pi \times 0.1^2 cm^2) = 10^7 g = 10^4 Kg$$

$$Energy = (mass)(c_v)(\Delta T) = (10^7 g)(0.4J/g^{\circ}K)(\Delta T) = 36 \times 10^9 J$$

$$\Delta T \cong 10^4 K \cong 10^4 C$$

$$T_{melt} = 1000C$$

COIL HAS TO BE WATER COOLED TO PREVENT IT FROM MELTING!!!

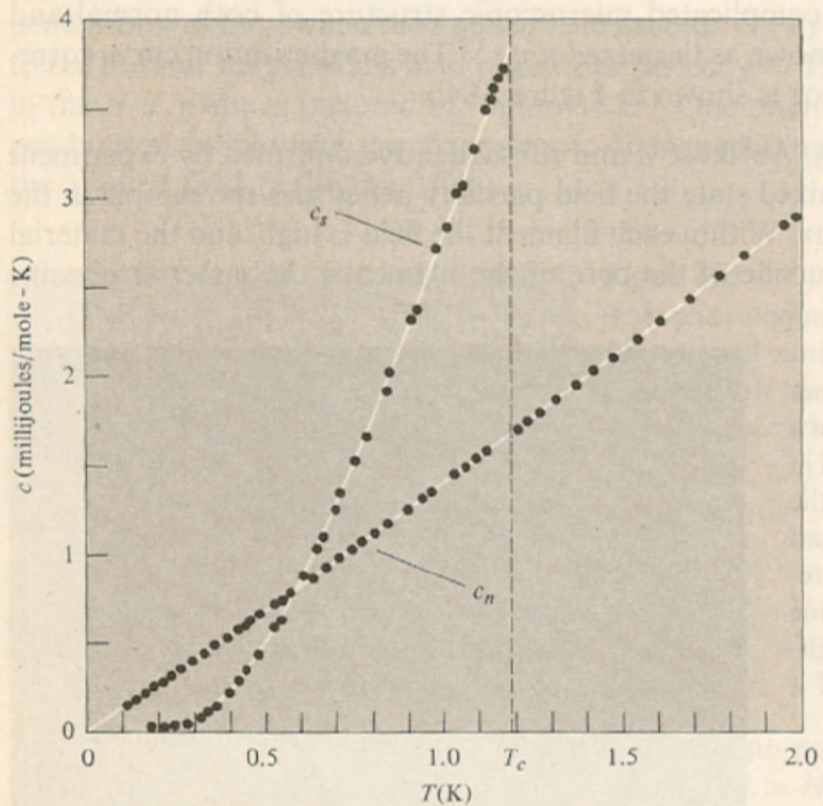


Figure 34.6

Low-temperature specific heat of normal and superconducting aluminum. The normal phase is produced below T_c by application of a weak (300-gauss) magnetic field, which destroys the superconducting ordering but has otherwise negligible effect on the specific heat. The Debye temperature is quite high in aluminum, so the specific heat is dominated by the electronic contribution throughout this temperature range (as can be seen from the fact that the normal-state curve is quite close to being linear). The discontinuity at T_c agrees well with the theoretical prediction (34.22) $[c_s - c_n]/c_n = 1.43$. Well below T_c , c_s drops far below c_n , suggesting the existence of an energy gap. (N. E. Phillips, *Phys. Rev.* **114**, 676 (1959).)

μ $\omega \rightarrow$

FL

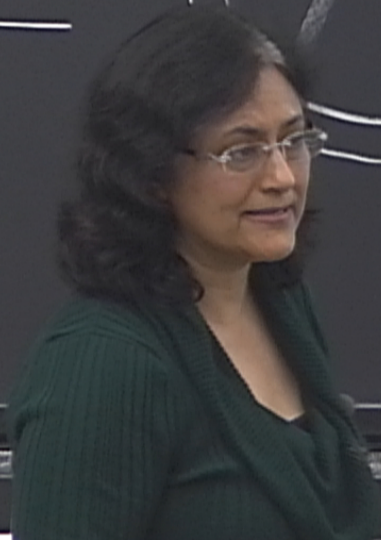


e-h pairs
excited
at finite T

SC



gap
no states
here



Gap Equation at $T=0$

Defined $F_k = \langle C_{-k\downarrow} C_{k\uparrow} \rangle$ Show using transf^m
evaluated in $|\Psi_{BCS}\rangle$ ↓ $u_k^* v_k$

$$\Delta_k = -\sum_{k'} V_{kk'} F_{k'} = -\sum_{k'} V_{kk'} u_{k'}^* v_{k'}$$

Gap Equation at $T=0$

Defined

$$F_{\mathbf{k}} = \langle C_{-\mathbf{k}\downarrow} C_{\mathbf{k}\uparrow} \rangle$$

evaluated in $|\Psi_{\text{BCS}}\rangle$

Show using transf^m

$$\downarrow = u_{\mathbf{k}}^* v_{\mathbf{k}}$$

$$-\sum_{\mathbf{k}'} V_{\mathbf{k}\mathbf{k}'} F_{\mathbf{k}'} = -\sum_{\mathbf{k}'} V_{\mathbf{k}\mathbf{k}'} u_{\mathbf{k}'}^* v_{\mathbf{k}'} = \frac{1}{2} \sum_{\mathbf{k}'} \frac{\Delta_{\mathbf{k}'}}{E_{\mathbf{k}'}} (-V_{\mathbf{k}\mathbf{k}'})$$

$$\Delta_{\mathbf{k}} = \frac{1}{2} \sum_{\mathbf{k}'} \frac{\Delta_{\mathbf{k}'}}{E_{\mathbf{k}'}} (-V_{\mathbf{k}\mathbf{k}'})$$

Gap Equation.

$$\begin{pmatrix} \gamma_{k\uparrow} \\ \gamma_{-k\downarrow}^+ \end{pmatrix} = \begin{pmatrix} u_k & -v_k^* \\ v_k & u_k^* \end{pmatrix} \begin{pmatrix} c_{k\uparrow} \\ c_{-k\downarrow}^+ \end{pmatrix}$$

u_k & v_k obtained from

$$|v_k|^2 + |u_k|^2 = 1 \quad (\text{unitary})$$

$$\frac{\Delta_k^* v_k}{u_k} = -\xi_k + E_k \quad \left(\begin{array}{l} \text{require} \\ \gamma\gamma, \gamma^+\gamma^+ \\ \text{coeff} = 0 \end{array} \right)$$

$$|v_k|^2 = \frac{1}{2} \left(1 - \frac{\xi_k}{E_k} \right)$$

$$|u_k|^2 = \frac{1}{2} \left(1 + \frac{\xi_k}{E_k} \right)$$

$$2u_k v_k = \frac{\Delta_k}{E_k}$$

u_k can be chosen to be real

$$v_k = |v_k| e^{i\theta}$$

Δ_k must have the same phase = $|\Delta_k| e^{i\theta}$

(RHS) $-\xi_k + E_k$ is real

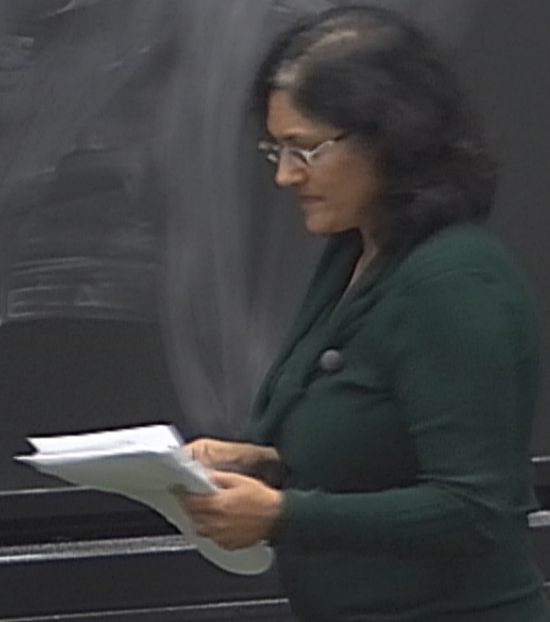
$$= \begin{cases} -V_0 & \text{for } k, k' \in \text{shell} \\ 0 & \text{otherwise} \end{cases} \quad \Delta_k = \begin{cases} \Delta_0 & \text{for } k \in \text{shell} \\ 0 & \text{otherwise} \end{cases}$$

$$\sinh^{-1} \left(\frac{\hbar \omega_c}{\Delta_0} \right) \Rightarrow \Delta_0 \approx \frac{\hbar \omega_c}{\sinh \left(\frac{1}{N(0)V_0} \right)} \approx 2 \hbar \omega_c e^{-\frac{1}{N(0)V_0}}$$

for weak coupling $N(0)V_0 \ll 1$

Gap Equation at $T \neq 0$

$$F_k = \langle c_{\uparrow k \downarrow} c_{k \uparrow} \rangle_{\text{MF trace}} = \frac{\text{Tr} e^{-\beta H_{\text{MF}}} (c_{-k \downarrow} c_{k \uparrow})}{Z}$$



Equation at $T \neq 0$

$$= \langle C_{-k\downarrow} C_{k\uparrow} \rangle_{MF} = \frac{\text{Tr} e^{-\beta H_{MF}} (C_{-k\downarrow} C_{k\uparrow})}{Z}$$

$$- \sum_{k'} V_{kk'} \frac{\Delta_{k'}}{2E_{k'}} \left(\langle 1 - \gamma_{k'\uparrow}^+ \gamma_{k'\uparrow} - \gamma_{-k'\downarrow}^+ \gamma_{-k'\downarrow} \rangle \right)$$

$$= 1 - 2f(E_k) = \tanh\left(\frac{\beta E_k}{2}\right)$$

$\langle \gamma_{k\uparrow}^+ \gamma_{k\uparrow} \rangle = f(E_k) = \frac{1}{e^{\beta E_k} + 1}$
 quasiparticles (γ, γ^+) are weakly (non-interacting) fermions

Gap Equation at $T \neq 0$

$$F_{\mathbf{k}} = \langle c_{+\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow} \rangle_{\text{MF trace}} = \frac{\text{Tr} e^{-\beta \mathcal{H}_{\text{MF}}} (c_{-\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow})}{Z}$$

$$\Delta_{\mathbf{k}} = - \sum_{\mathbf{k}'} V_{\mathbf{k}\mathbf{k}'} \frac{\Delta_{\mathbf{k}'}}{2E_{\mathbf{k}'}} \left(\langle 1 - \gamma_{\mathbf{k}'\uparrow}^+ \gamma_{\mathbf{k}'\uparrow} - \gamma_{-\mathbf{k}'\downarrow}^+ \gamma_{-\mathbf{k}'\downarrow} \rangle \right)$$

$$= 1 - 2f(E_{\mathbf{k}}) = \tanh\left(\frac{\beta E_{\mathbf{k}}}{2}\right)$$

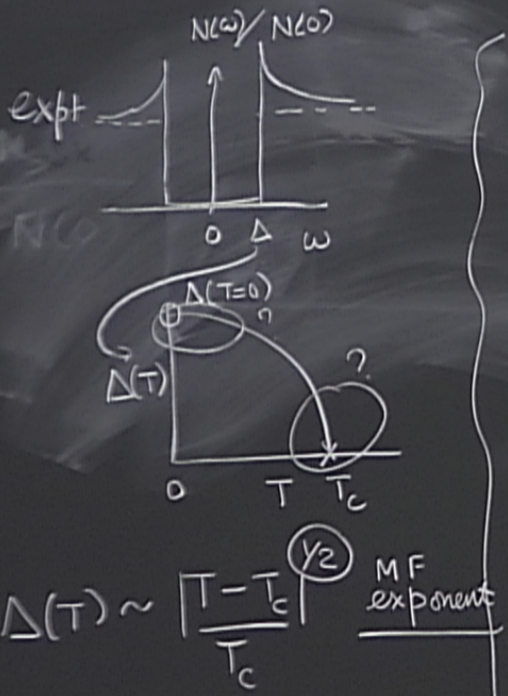
$$\Delta_{\mathbf{k}} = - \sum_{\mathbf{k}'} V_{\mathbf{k}\mathbf{k}'} \frac{\Delta_{\mathbf{k}'}}{2E_{\mathbf{k}'}} \tanh\left(\frac{\beta E_{\mathbf{k}'}}{2}\right)$$

Gap equation at $T \neq 0$

$\langle \gamma_{\mathbf{k}\uparrow}^+ \gamma_{\mathbf{k}\uparrow} \rangle = f$
 quasiparticles (γ, γ^+) are weakly (non-interacting)

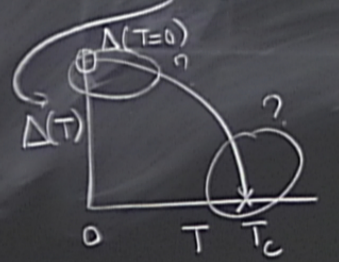
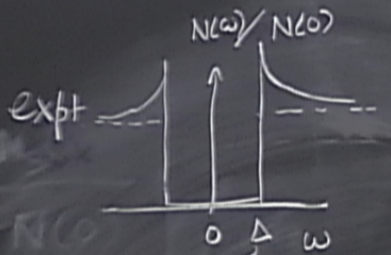
$$\Delta_k \quad k' \quad 2E_{k'}$$

Gap equation
 $T \neq 0$



$$\Delta_k = \frac{2E_k}{\hbar} \quad \text{at } T=0$$

Gap equation at $T=0$



$$\Delta(T) \sim \frac{|T - T_c|^{1/2}}{T_c} \quad \text{MF exponent}$$

Determine T_c

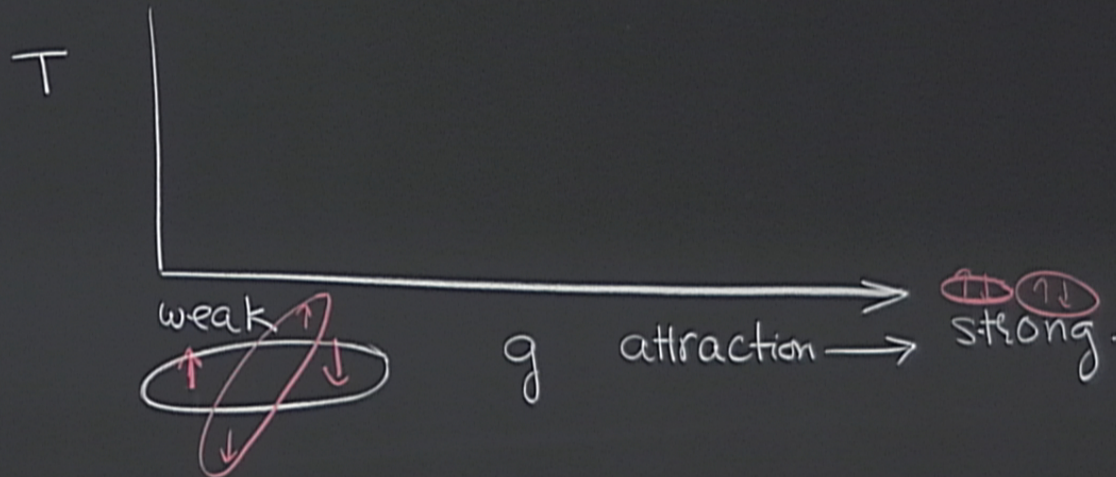
as $T \rightarrow T_c^- \quad \Delta(T) \rightarrow 0$

$$\frac{1}{N(0)V_0} = \int_0^{\omega_c} d\xi \frac{\tanh(\frac{\xi}{2T_c})}{\xi}$$

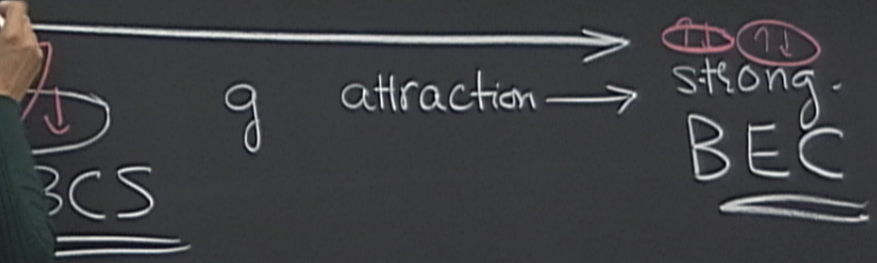
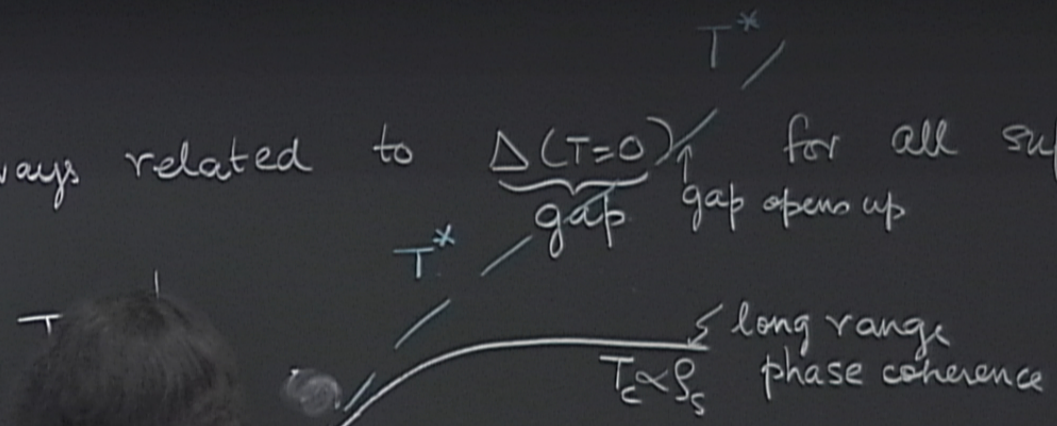
$$T_c = \frac{2e^\gamma}{\pi} \omega_c e^{-\frac{1}{N(0)V_0}} \approx 1.13 \omega_c e^{-\frac{1}{N(0)V_0}}$$

$$\gamma = \text{Euler const} \approx 0.5772$$

Is T_c always related to $\underbrace{\Delta(T=0)}_{\text{gap}}$ for all superconductors?



Is T_c always related to $\frac{\Delta(T=0)}{\text{gap}}$ for all superconductors?
 ↑ gap opens up



Is T_c always related to $\frac{\Delta(T=0)}{\text{gap}}$ for all superconductors?
 T^* gap opens up

