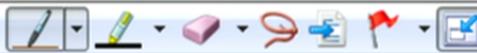


Title: Quantum Theory - Lecture 15

Date: Sep 29, 2011 01:30 PM

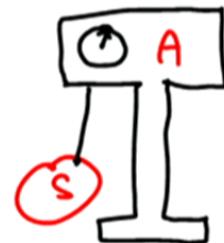
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Abstract:



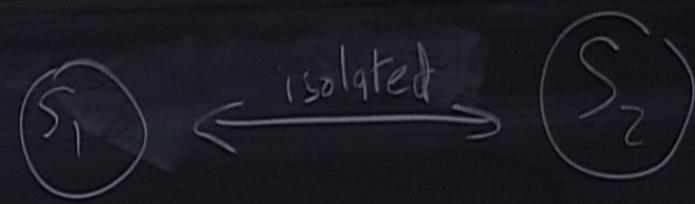
Measurement and Decoherence We have started exploring the physics of quantum subsystems in terms of their reduced density matrices. This makes sense for interacting subsystems as well as for isolated ones. We need to think about what this says about subsystems interacting with an apparatus, or with the environment.

Why? —



It's fruitful! Gives us new formalism for measurements, dynamical laws for open systems, understanding of the physics of decoherence, ....

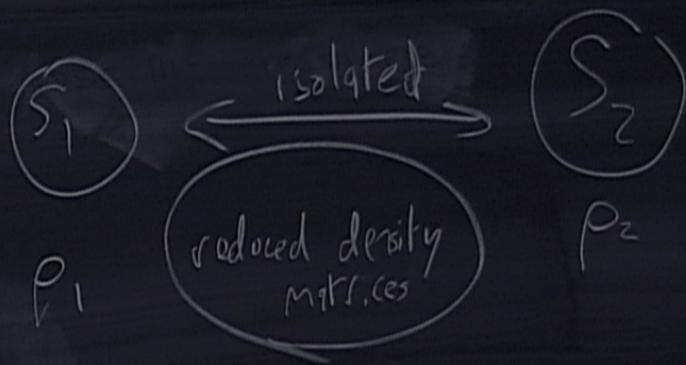
But NB our analysis will not resolve all problems in quantum theory: the so-called measurement problem (a.k.a. reality problem, a.k.a. problem of the appearance of a classical world in quantum theory) will remain a live issue.



$E < 0$ .

$$\begin{array}{ccc} S_1 & \xleftarrow{\text{isolated}} & S_2 \\ P_1 & & P_2 \end{array}$$

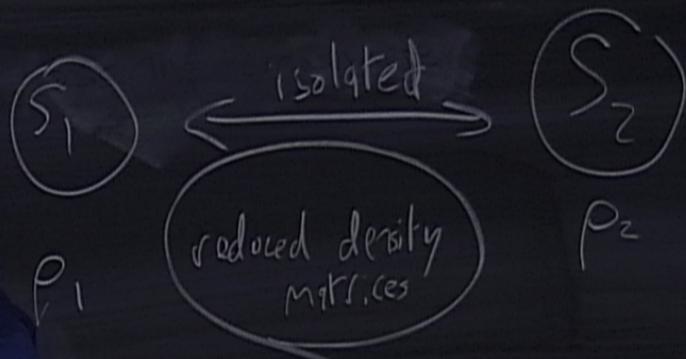
$$\rightarrow \Sigma < 0.$$

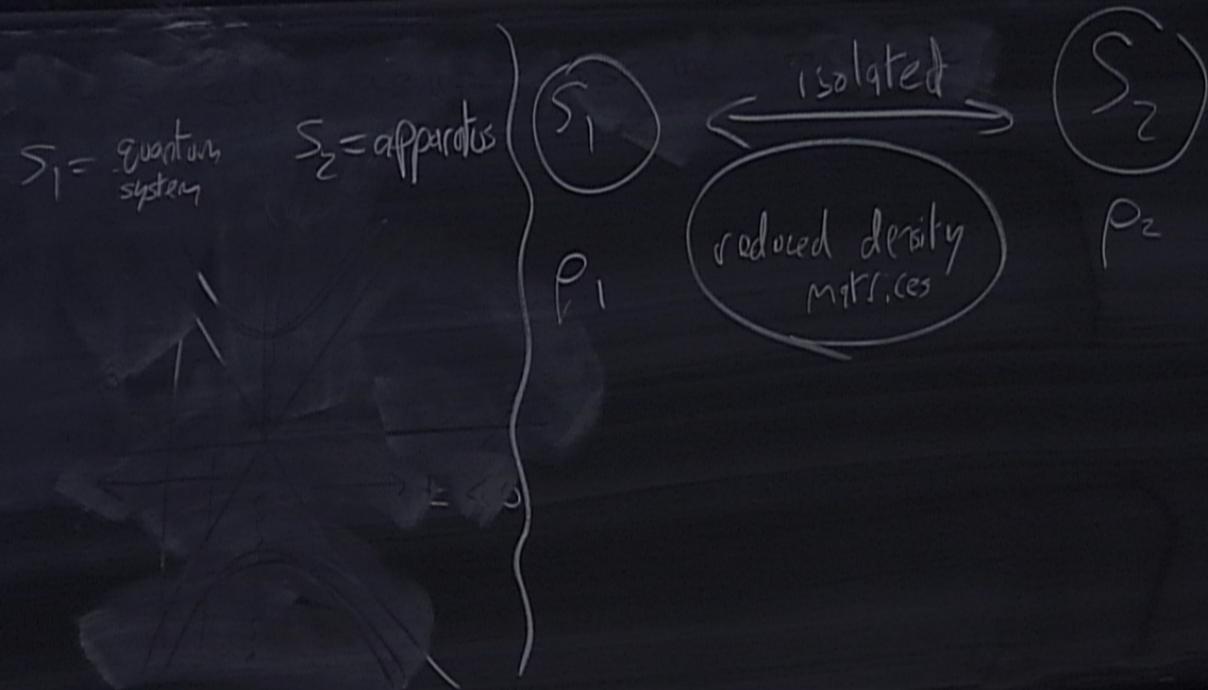


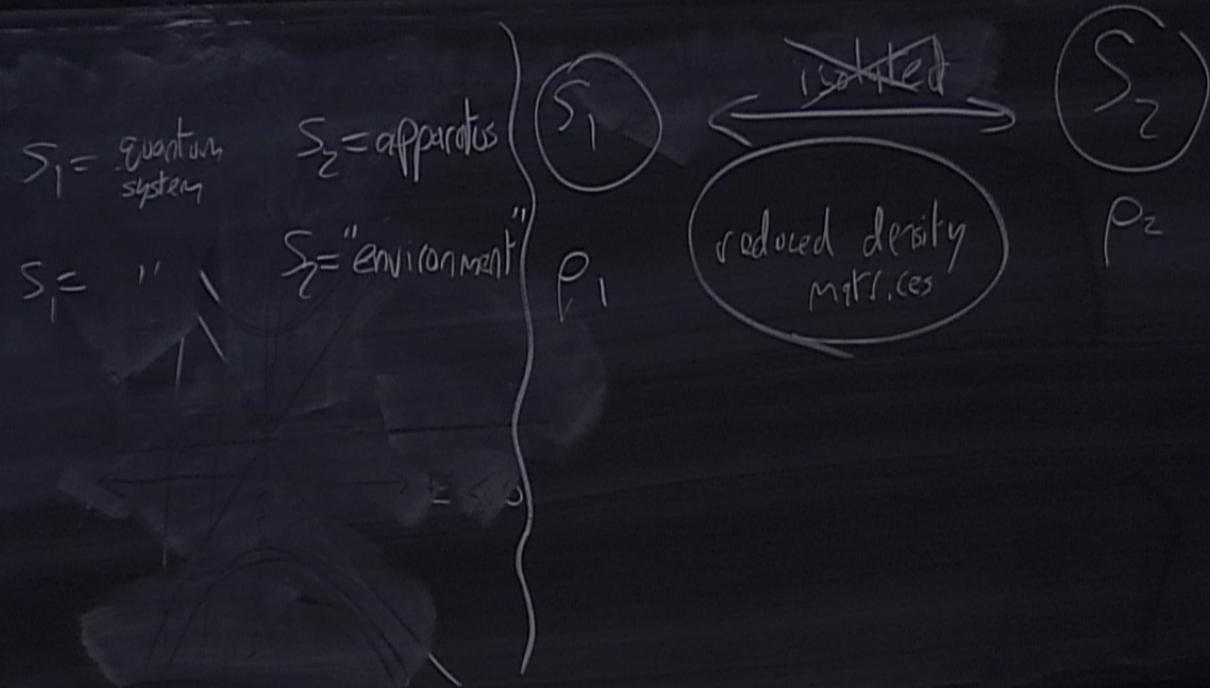
$t < 0.$

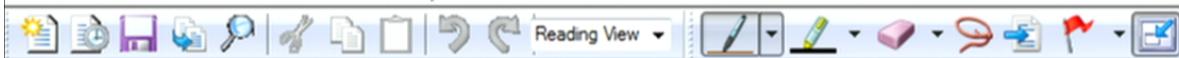
$S_1$  = quantum system

$S_2$









von Neumann's model of measurement We suppose an apparatus A is designed to measure some observable  $M = M^+$  on a quantum system S.

M has eigenstates  $\{|m\rangle\}$  in  $H_S$ .

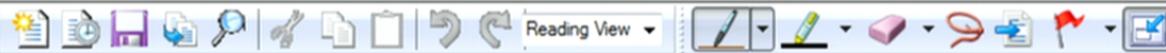
Suppose total Hamiltonian is

$$\underbrace{O \otimes I}_{\substack{\text{no system} \\ \text{self-interaction}}} + \underbrace{H_{\text{int}}}_{\substack{\uparrow \\ \text{system-apparatus} \\ \text{interaction}}} + \underbrace{I \otimes O}_{\substack{\text{no apparatus} \\ \text{self-interaction}}}$$

$$H_{\text{int}} = \sum_m |m\rangle \langle m| \otimes A_m$$

operators on  $H_A$

Projectors onto eigenstates of M



$$H = H_{\text{int}} = \sum_m |m\rangle\langle m| \otimes A_m$$

Initial state of system at  $t=0$  is  $\sum_m c_m |m\rangle$ , of apparatus is  $|\Phi_0\rangle$ .

$$\sum_m c_m |m\rangle \otimes |\Phi_0\rangle \xrightarrow{e^{-iHt/\hbar}} \sum_m c_m |m\rangle \otimes |\Phi_m(t)\rangle$$

$$\text{where } |\Phi_m(t)\rangle = \exp(-iA_m t/\hbar) |\Phi_0\rangle$$

In a perfect measurement (using 1950s technology), the state  $|\Phi_m(t)\rangle$  could include a pointer on a dial pointing to the number  $m$  on a readout. Even if this is not (even approximately) the case, the states  $|\Phi_m(t)\rangle$  are often called **pointer states**.



$$F(r) = \frac{1}{r^2} (r + a \cos \theta - r_s r) = 0$$

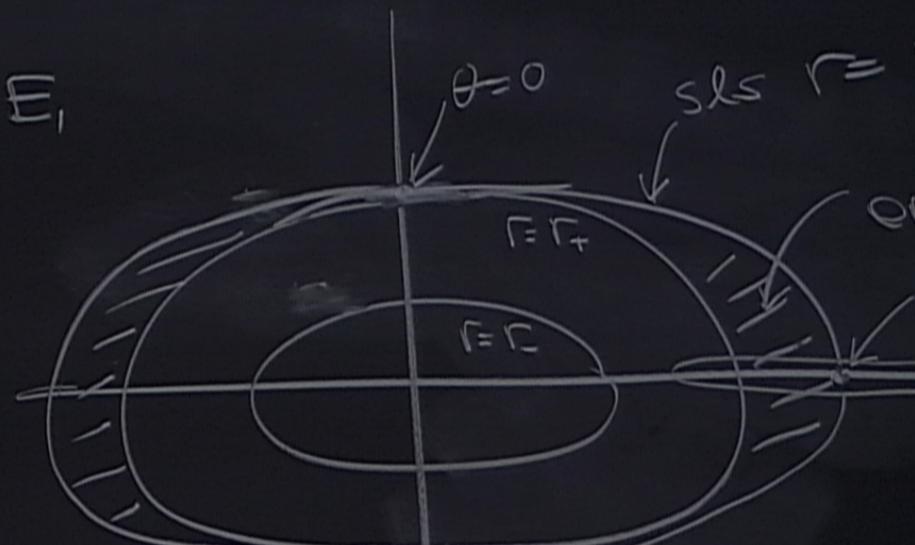
$|M| >$



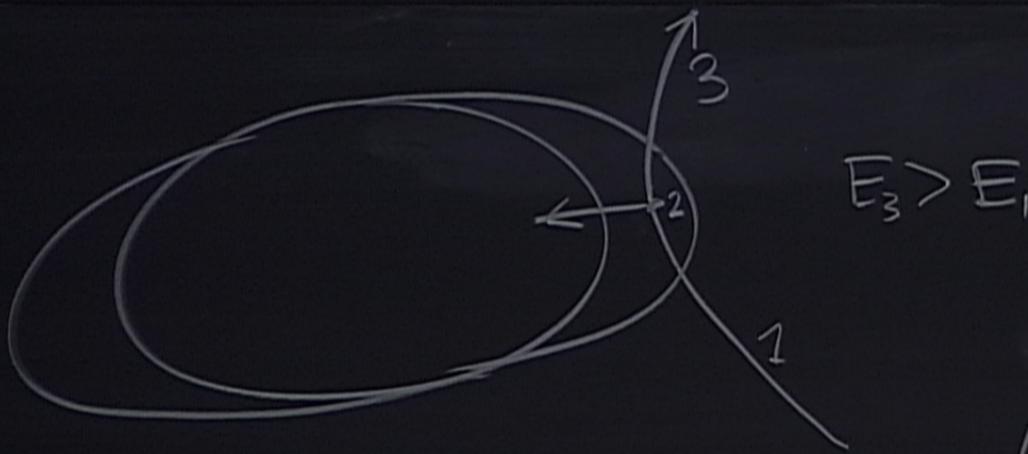
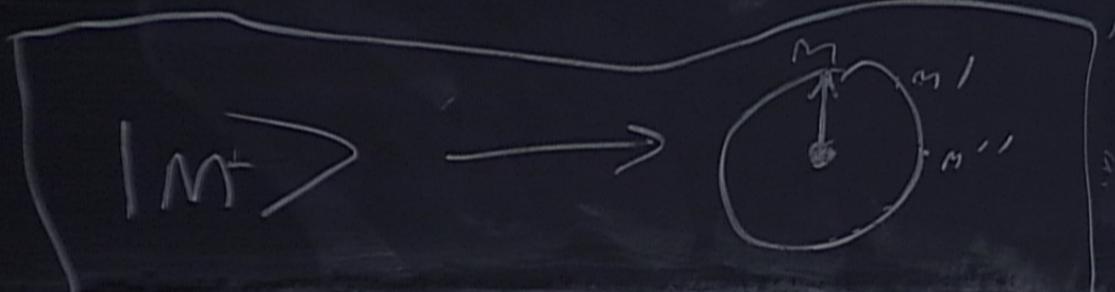
$> E_1$

Conserved  $E_{KE}$

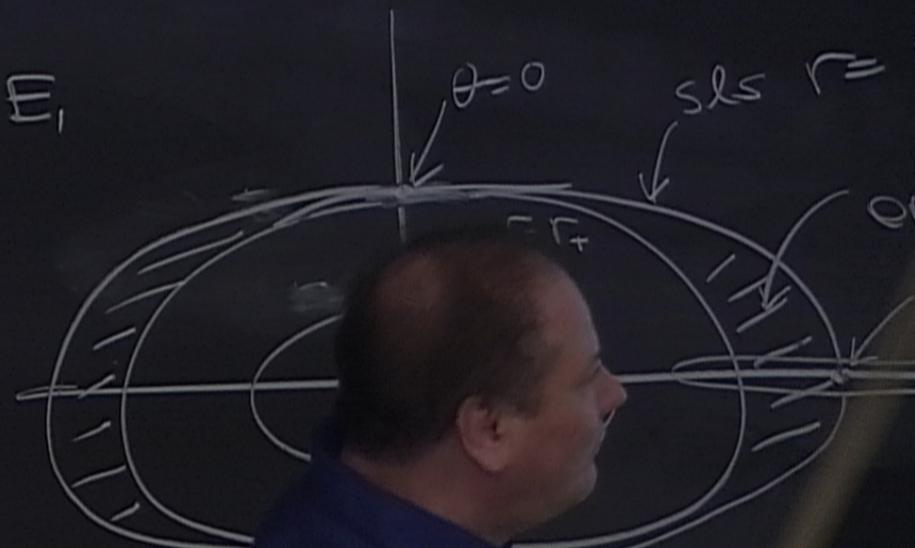
$\rightarrow h_0$

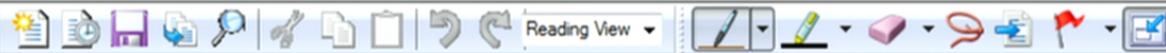


$$F(r) = \frac{1}{r^2} (r + a \cos \theta - r_s r) = 0$$



Conserved energy is related  
to the coordinate  $r$ .





$$\sum_m c_m |m\rangle \otimes |\Phi_0\rangle \xrightarrow{e^{-iHt/\hbar}} \sum_m c_m |m\rangle \otimes |\Phi_m(t)\rangle$$

System reduced density matrix at time 0 is

$$\begin{aligned} \rho_s(0) &= \text{Tr}_A \left( \sum_{mm'} c_m |m\rangle |\Phi_0\rangle c_m^* \langle m'| \langle \Phi_0| \right) \\ &= \sum_{mm'} c_m c_m^* |m\rangle \langle m'| . \end{aligned}$$

$$\begin{aligned} \text{At time } t \quad \rho_s(0) \rightarrow \rho_s(t) &= \text{Tr}_A \left( \sum_{mm'} c_m |m\rangle |\Phi_m(t)\rangle c_m^* \langle m'| \langle \Phi_m(t)| \right) \\ &= \sum_{mm'} c_m c_m^* \langle \Phi_m(t) | \Phi_m(t) \rangle |m\rangle \langle m'| \end{aligned}$$

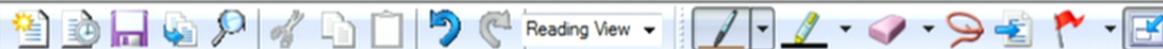
$$\text{If } \langle \Phi_{m'}(t) | \Phi_m(t) \rangle = \delta_{m'm} \text{ this} = \sum_{mm'} |c_m|^2 |m\rangle \langle m|$$

$$H = \sum_m |m\rangle\langle m| \otimes A_m$$



$$H = \sum_n |n\rangle\langle n| \otimes A_n$$
$$e^{-iHt/\hbar} |n\rangle\langle n| \otimes |\Phi_0(t)\rangle = e^{-i\tau_n(\tilde{t})}$$

$$H = \sum_m |m\rangle\langle m| \otimes A_m$$
$$e^{-iHt/\hbar} |m\rangle\langle m| \otimes |\Phi_0(t)\rangle = e^{-i\tau_m(\hat{|m\rangle\langle m|} \otimes A_m)} |m\rangle\langle m| \otimes |\Phi_0(t)\rangle$$



$$\sum_m c_m |m\rangle \otimes |\Phi_0\rangle \xrightarrow{e^{-iHt/\hbar}} \sum_m c_m |m\rangle \otimes |\Phi_m(t)\rangle$$

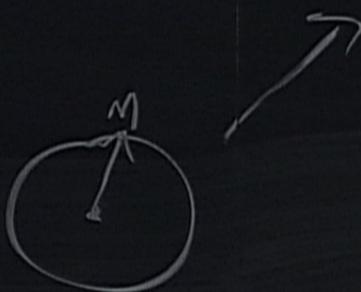
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$$\begin{aligned} \text{At time } t \quad \rho_s(0) \rightarrow \rho_s(t) &= \text{Tr}_A \left( \sum_{mm'} c_m |m\rangle |\Phi_m(t)\rangle c_m^* \langle m'| \langle \Phi_m(t)| \right) \\ &= \sum_{mm'} c_m c_m^* \langle \Phi_m(t) | \Phi_m(t) \rangle |m\rangle \langle m'| \end{aligned}$$

$$\text{If } \langle \Phi_{m'}(t) | \Phi_m(t) \rangle = \delta_{m'm} \text{ this} = \sum_m |c_m|^2 |m\rangle \langle m|$$

$$|m\rangle |\Phi_0\rangle \rightarrow |m\rangle |\Phi_m(t)\rangle$$



$$\frac{1}{2} \left( \underbrace{|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle}_{(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)/\sqrt{2}} \right) \left( \langle \uparrow\downarrow | - \langle \downarrow\uparrow | \right)$$

$$|\uparrow_x\rangle \quad |\uparrow_z\rangle$$

$$\frac{1}{\sqrt{2}} (|\uparrow_z\rangle + |\downarrow_z\rangle) |\uparrow_z\rangle \quad \frac{1}{\sqrt{2}} (|\uparrow_x\rangle$$



$$\rho_s(0) = \text{Tr}_A \left( \sum_{mm'} c_m |m\rangle |\Phi_0\rangle c_m^* \langle m'| \langle \Phi_0| \right)$$

$$= \sum_{mm'} c_m c_m^* |m\rangle \langle m'| .$$

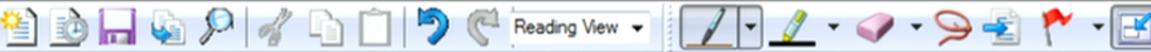
At time  $t$   $\rho_s(0) \rightarrow \rho_s(t) = \text{Tr}_A \left( \sum_{mm'} c_m |m\rangle |\Phi_m(t)\rangle c_m^* \langle m'| \langle \Phi_m(t)| \right)$

$$= \sum_{mm'} c_m c_m^* \langle \Phi_m(t) | \Phi_m(t) \rangle |m\rangle \langle m'|$$

$\text{IF } \langle \Phi_{m'}(t) | \Phi_m(t) \rangle = \delta_{m'm} \Rightarrow \sum_{mm'} |c_m|^2 |m\rangle \langle m|$

which is true if these literally are pointer states

Then  $\rho_s(t)$  is diagonal in the observable eigenbasis : interaction has killed the off-diagonal terms



$$\rho_s(0) = \text{Tr}_A \left( \sum_{mm'} c_m |m\rangle |\Phi_0\rangle c_m^* \langle m'| \langle \Phi_0| \right)$$

$$= \sum_{mm'} c_m c_m^* |m\rangle \langle m'| .$$

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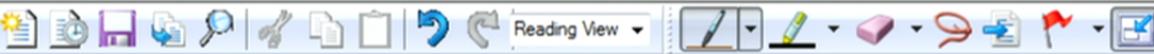


Suppose this indeed holds:  $\rho_S(t) = \sum_m |c_m|^2 |m\rangle\langle m|$ .

The reduced density matrix  $\rho_S(t)$  — an improper mixed state — is physically indistinguishable (by experiments on  $S$  alone) from the proper mixed state defined by  $\{ |c_m|^2 \}, \{ |m\rangle \}$

↑  
probabilities      ↑  
states

I.e. the density matrix has the same mathematical form as the one we would derive if we assumed the projection postulate for the projective measurement  $\{ |m\rangle\langle m| \}$  on  $S$  and calculated from the perspective of an observer aware the measurement has taken place but ignorant of the outcome.



What does this explain?  $\rho_s(t) = \sum |c_m|^2 |m\rangle \langle m|$

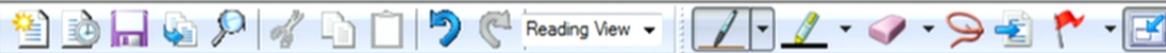
Explains why experiments on S at time t (and later times, if the interaction is not reversed and S is then isolated) give outcomes consistent with describing the state of S as a proper mixture. For instance, we will find no interference between components  $|m\rangle$  and  $|m'\rangle$  if we measure  $P = \frac{1}{2}(|m\rangle + |m'\rangle)(\langle m| + \langle m'|)$

$$\begin{aligned} \text{Tr}(P \rho_s(t)) &= \frac{1}{2} \text{Tr}((|m\rangle + |m'\rangle)(\langle m| + \langle m'|) \sum_{m''} (|c_{m''}|^2 |m''\rangle \langle m''|)) \\ &= \frac{1}{2} (|c_m|^2 + |c_{m'}|^2) \end{aligned}$$

no interference

$$\begin{aligned} \text{whereas } \text{Tr}(P \rho_s(0)) &= \frac{1}{2} (\langle m| + \langle m'|) \sum_{m''m'''} c_{m''} c_{m'''}^* (m''\rangle \langle m'''| (m\rangle + |m'\rangle)) \\ &= \frac{1}{2} (|c_m|^2 + |c_{m'}|^2 + 2 \text{Re}(c_m c_{m'}^*)) \end{aligned}$$

interference term



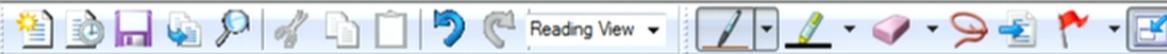
What does this not explain?  $\rho_s(t) = \sum |c_m|^2 |m\rangle \langle m|$ .

Does not explain the fact that we actually see one definite outcome  $m$ . We still need to apply the projection postulate to conclude that: we haven't derived it from our analysis.

Recall:  $\rho_s(t)$  is an improper mixed state, obtained as the partial trace of a pure entangled state of  $S + A$ .

We haven't justified treating it as a proper mixture.

Still, intriguing! We obtained some physical predictions (loss of interference, form of density matrix) for the post-interaction state of  $S$  by looking at unitary evolution of  $S + A$  alone. Next project: explore further!  
generalize!

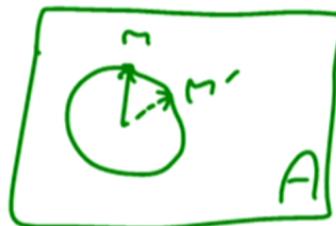


von Neumann's model of measurement assumed a very specific Hamiltonian evolution

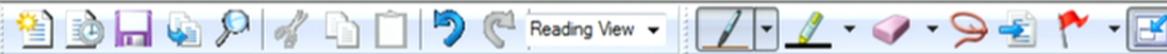
$$H = O \otimes I + H_{\text{int}} + I \otimes O, H_{\text{int}} = \sum_m |m\rangle \langle m| \otimes A_m$$

projectors onto eigenstates of  $M$  ↗ operators  $\uparrow$  on  $H_A$

and that the pointer states  $|\Phi_m(t)\rangle = \exp(-iA_m t/t_i) |\Phi_0\rangle$   
are orthogonal



Suppose now we consider a general interaction  $U \in U(r)$  between  $S$  and  $A$ , but still assume  $A$  has a pointer which defines orthogonal pointer states  $|m\rangle_A$ .



Suppose now we consider a general interaction  $U_{SA} = U_{SA}(t)$  between S and A, but still assume A has a pointer which defines orthogonal pointer states  $|K\rangle_A$ .

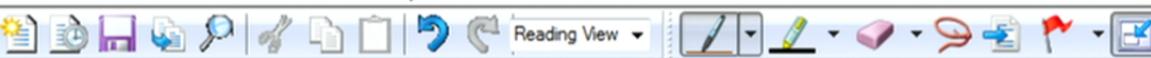
$$|\Psi_0\rangle_S |\Phi_0\rangle_A \rightarrow U_{SA}(|\Psi_0\rangle_S |\Phi_0\rangle_A)$$

After this evolution, the system reduced density matrix is

$$\begin{aligned}\rho_S^{\text{final}} &= \text{Tr}_A ((U_{SA} |\Psi_0\rangle_S |\Phi_0\rangle_A) (\langle \Psi_0 | \langle \Phi_0 | U_{SA}^\dagger)) \\ &= \sum_K \underbrace{\langle K | U_{SA} |\Psi_0\rangle_S |\Phi_0\rangle_A}_{\text{red arrow}} \langle \Psi_0 | \langle \Phi_0 | U_{SA}^\dagger | K \rangle\end{aligned}$$

Look at this expression. It's a state in S, depending linearly on  $|\Psi_0\rangle$   
i.e. can write as  $A_K |\Psi_0\rangle$

where  $A_K$  is some linear operator depending on  $K, U_{SA}, |\Phi_0\rangle$  - a Kraus operator



Suppose now we consider a general interaction  $U_{SA} = U_{SA}(t)$  between S and A, but still assume A has a pointer which defines orthogonal pointer states  $|K\rangle_A$ , and that these form a complete basis for  $H_A$ .

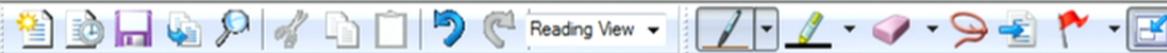
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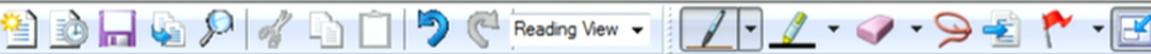
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$I_A = \sum_K |K\rangle \langle K|$

Look at this expression. It's a state in S, depending linearly on  $|\Psi_0\rangle$   
i.e. can write as  $A_K |\Psi_0\rangle$

where  $A_K$  is some linear operator depending on  $K, U_{SA}, |\Phi_0\rangle$  - a Kraus operator

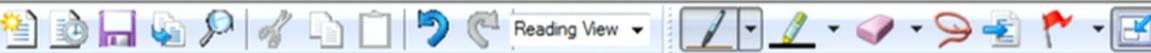


$$\begin{aligned}
 \rho_s^{\text{final}} &= \text{Tr}_A ((U_{SA} |\psi_0\rangle |\Phi_0\rangle) (\langle \psi_0 | \langle \Phi_0 | U_{SA}^\dagger)) \\
 &= \sum_K \langle K | U_{SA} |\psi_0\rangle |\Phi_0\rangle \langle \psi_0 | \langle \Phi_0 | U_{SA}^\dagger | K \rangle \\
 &= \sum_K A_K |\psi_0\rangle \langle \psi_0 | A_K^\dagger = \sum_K A_K \rho_s^{\text{initial}} A_K^\dagger
 \end{aligned}$$

The Kraus operators  $A_K$  define an evolution law  $\boxed{\rho_s \rightarrow \sum_K A_K \rho_s A_K^\dagger}$  for the system reduced density matrix. We derived this for  $\rho_s = |\psi_0\rangle \langle \psi_0|$  pure. But it's linear in density matrix input — works for any  $\rho_s$ , pure or mixed.

$$I = \text{Tr}(\rho_s^{\text{final}}) = \text{Tr}\left(\sum_K A_K \rho_s^{\text{initial}} A_K^\dagger\right) = \text{Tr}\left(\left(\sum_K A_K^\dagger A_K\right) \rho_s^{\text{initial}}\right)$$

True for any  $\rho_s^{\text{initial}}$ , so  $\boxed{\sum_K A_K^\dagger A_K = I \quad (\text{Completeness equation for Kraus operators})}$



Kraus operators and generalized measurements

$$\rho_s \rightarrow \sum_n A_n \rho_s A_n^\dagger$$

$$\sum A_n^\dagger A_n = I$$

Recall that  $A_k |\Psi_0\rangle_s = \langle k | U_{SA} |\Psi_0\rangle_s |\Phi_0\rangle_A$

pointer state  $k$  

If we now observe the pointer's position, we select one value of  $k$ . We have defined a generalised type of measurement on the system: apply a completely general  $U_{SA}$ , then look at the apparatus pointer reading.

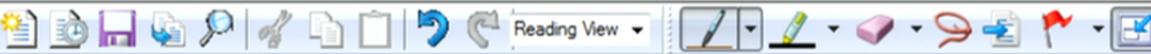
$$\text{Prob(outcome } k) = \text{Tr}(A_k |\Psi_0\rangle_s \langle \Psi_0 |_s A_k^\dagger) = \text{Tr}(A_k \rho_s A_k^\dagger)$$

$$\text{Normalised post-measurement state} = \frac{A_k |\Psi_0\rangle_s \langle \Psi_0 |_s A_k^\dagger}{\text{Tr}(A_k |\Psi_0\rangle_s \langle \Psi_0 |_s A_k^\dagger)} = \frac{A_k \rho_s A_k^\dagger}{\text{Tr}(A_k \rho_s A_k^\dagger)}$$

$$|\psi_S\rangle_S |\Phi_A\rangle_A \xrightarrow{U_{SA}} \text{apply project.}$$

$$\begin{array}{c} S+A \\ |\Psi_S\rangle_S \mid \Phi_A\rangle_A \xrightarrow{U_{SA}} \text{apply projective} \\ \xrightarrow{\text{measurement}} |K\rangle \langle K| \\ \text{for apparatus} \end{array}$$

$$\begin{array}{c} S+A \\ |\Psi_S\rangle_S \mid \Phi_A\rangle_A \xrightarrow{U_{SA}} \text{apply} \\ \text{projective} \\ \xrightarrow{\text{measurement}} \\ |\psi\rangle \langle \psi| \\ \text{for} \\ \underline{\text{apparatus}} \end{array}$$



Kraus operators and generalized measurements

$$\rho_s \rightarrow \sum_n A_n \rho_s A_n^\dagger$$

$$\sum A_n^\dagger A_n = I$$

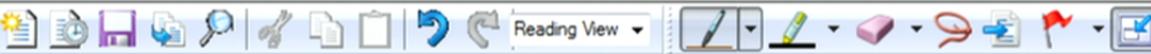
Recall that  $A_k |\Psi_0\rangle_s = \langle k | U_{SA} |\Psi_0\rangle_s |\Phi_0\rangle_A$

↓  
 pointer state  $k$       

If we now observe the pointer's position, we select one value of  $k$ . We have defined a generalised type of measurement on the system: apply a completely general  $U_{SA}$ , then look at the apparatus pointer reading.

$$\text{Prob(outcome } k) = \text{Tr}(A_k |\Psi_0\rangle_s \langle \Psi_0 |_s A_k^\dagger) = \text{Tr}(A_k \rho_s A_k^\dagger)$$

$$\text{Normalised post-measurement state} = \frac{A_k |\Psi_0\rangle_s \langle \Psi_0 |_s A_k^\dagger}{\text{Tr}(A_k |\Psi_0\rangle_s \langle \Psi_0 |_s A_k^\dagger)} = \frac{A_k \rho_s A_k^\dagger}{\text{Tr}(A_k \rho_s A_k^\dagger)}$$



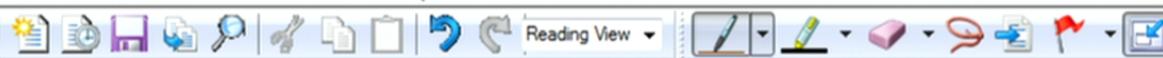
Kraus operators and generalized measurements We obtained the Kraus operators

$A_n$  from a specific construction:  $A_n |\Psi_0\rangle_s = \langle n | U_{SA} |\Psi_0\rangle_s |\Phi_0\rangle_A$  and showed normalisation requires  $\sum_n A_n^\dagger A_n = I$ .

But — important though simple result — the converse holds: given any set of linear operators on  $H_s$  obeying  $\sum_n A_n^\dagger A_n = I$  we can find an "apparatus space"  $H_A$ , a unitary evolution  $U_{SA}$ , an initial "apparatus state"  $|\Phi_0\rangle_A$  and an orthonormal "pointer basis"  $|n\rangle$  such that

$$A_n |\Psi_0\rangle_s = \langle n | U_{SA} |\Psi_0\rangle_s |\Phi_0\rangle_A$$

I.e. for any set of Kraus operators  $\sum A_n^\dagger A_n = I$  we can find a way of implementing the corresponding generalized measurement.



Claim for any set of Kraus operators  $\sum_n A_n^\dagger A_n = I$  we can find a way of implementing the corresponding generalized measurement.

Sketch proof Take  $H_A$  to have orthonormal basis  $\{|0\rangle\} \cup \{|n\rangle\}$

extra state one for each  $A_n$

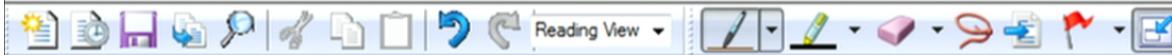
$$\text{Define } U_{SA} | \Psi_0 \rangle_s | 0 \rangle_A = \sum (A_n | \Psi_0 \rangle_s) | n \rangle \quad (\text{any } | \Psi_0 \rangle_s)$$

(Notice this is consistent since  $| \text{RHS} |^2 = \langle \Psi_0 | \sum A_n^\dagger A_n | \Psi_0 \rangle_s = 1$ .)

Complete the definition of the unitary operator  $U_{SA}$  by mapping  
 {orthogonal states to those considered on LHS}  $\rightarrow$  {orthogonal states to those on RHS}

(Doesn't matter precisely how : not hard to show this can always be done.)

$$\text{So now: } | \Psi_0 \rangle_s | 0 \rangle_A \xrightarrow{U_{SA}} \sum_n (A_n | \Psi_0 \rangle_s) | n \rangle_A \xrightarrow[\text{basis}]{\text{measure in } | n \rangle_A} \begin{array}{l} \text{(for some outcome } k) \\ A_k | \Psi_0 \rangle_s \end{array} \text{ QER}$$



## Kraus operators and the evolution of quantum subsystems

We saw previously that the Kraus operators  $A_K$  define an evolution law  $\rho_s \rightarrow \sum_K A_K \rho_s A_K^\dagger$  for the single-time evolution of  $\rho_s(0)$  to  $\rho_s(t)$ , when S is interacting with some other subsystem A.

Let's think abstractly about the most general possible sequence of operations that can act on a quantum system, with the effect  $\rho_s \rightarrow E(\rho_s)$

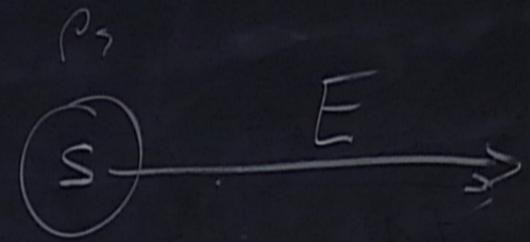
- E must satisfy:
- ① linear  $p\rho_s' + (1-p)\rho_s'' \rightarrow pE(\rho_s') + (1-p)E(\rho_s'')$   
so  $E(p\rho_s' + (1-p)\rho_s'') = pE(\rho_s') + (1-p)E(\rho_s'')$
  - ② trace-preserving  $\text{Tr}(E(\rho_s)) = 1$  if  $\text{Tr}(\rho_s) = 1$
  - ③ positive  $E(\rho_s)$  is a positive (semidefinite) operator whenever  $\rho_s$  is
  - ④ completely positive If S, S' are two subsystems then  $E \otimes I_{S'}(\rho_{SS'})$  must be positive

$$\begin{array}{c} S+A \\ |\Psi_0\rangle_S |\Phi_0\rangle_A \xrightarrow{U_{SA}} \text{apply} \\ \text{projective} \\ \text{measurement} \\ \xrightarrow{\quad} \\ |\Psi\rangle_S |\Phi\rangle_A = \sum p_n |\Psi_n\rangle |\Phi_n\rangle \\ P \rightarrow \text{sel} \end{array}$$

$$\begin{array}{c} S+A \\ \left| \Psi_0 \right\rangle_S \left| \Phi_0 \right\rangle_A \xrightarrow{U_{SA}} \text{apply projective measurement} \\ \rho = \sum p_n |\psi_n\rangle\langle\psi_n| \\ \rho \text{ is self-adjoint, normalised,} \end{array}$$

$$|\psi_s\rangle_S |\Phi_0\rangle_A \xrightarrow{U_{SA}} \text{apply projective measurement} \rightarrow |\psi\rangle_S |\Phi\rangle_A$$

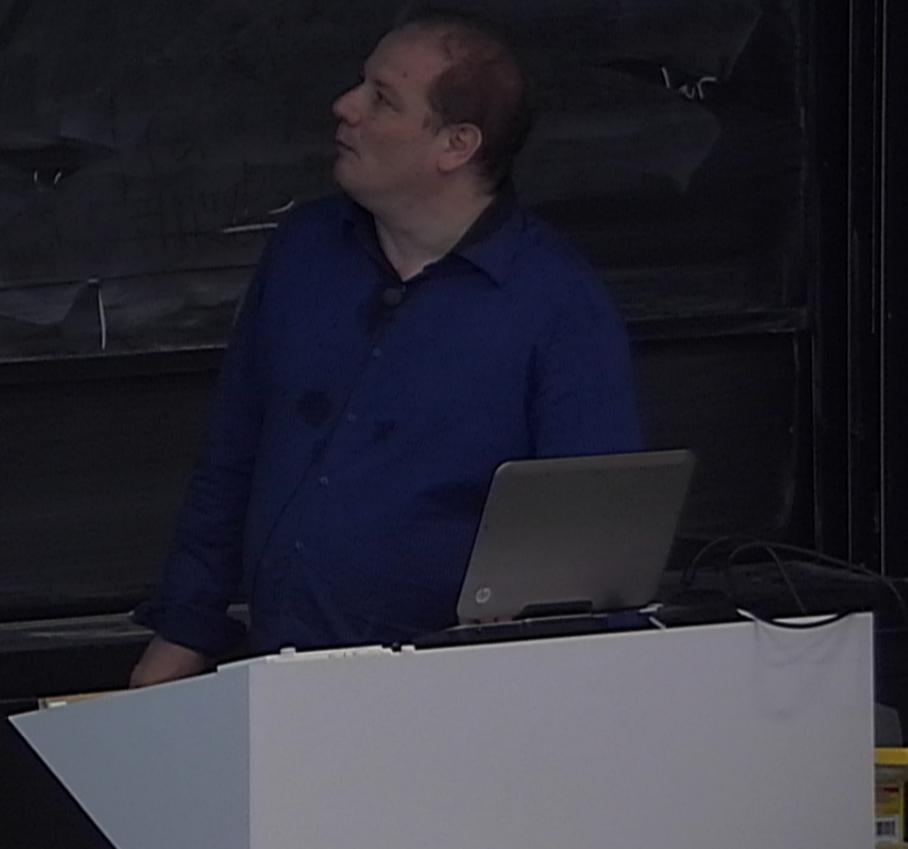
$\rho = \sum p_n |\psi_n\rangle \langle \psi_n|$   
 $\rho$  is self-adjoint, normalised, positive semi-definite  
 for apparatus

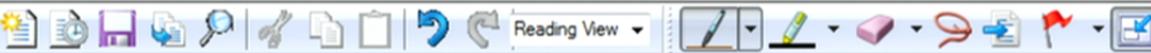


$S'$



$$\begin{array}{ccc} \rho_s & & \\ \textcircled{s} & \xrightarrow{E} & E(\rho_s) \\ \textcircled{s'} & \xrightarrow{I} & \end{array}$$





Let's think abstractly about the most general possible sequence of operations that can act on a quantum system, with the effect  $\rho_s \rightarrow E(\rho_s)$

$E$  must satisfy:

$$\textcircled{1} \text{ linear } p\rho_s^1 + (1-p)\rho_s^2 \rightarrow pE(\rho_s^1) + (1-p)E(\rho_s^2)$$

$$\text{so } E(p\rho_s^1 + (1-p)\rho_s^2) = pE(\rho_s^1) + (1-p)E(\rho_s^2)$$

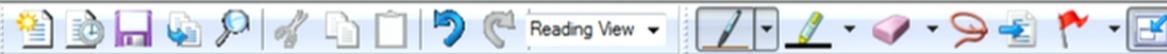
$$\textcircled{2} \text{ trace-preserving } \text{Tr}(E(\rho_s)) = 1 \text{ if } \text{Tr}(\rho_s) = 1$$

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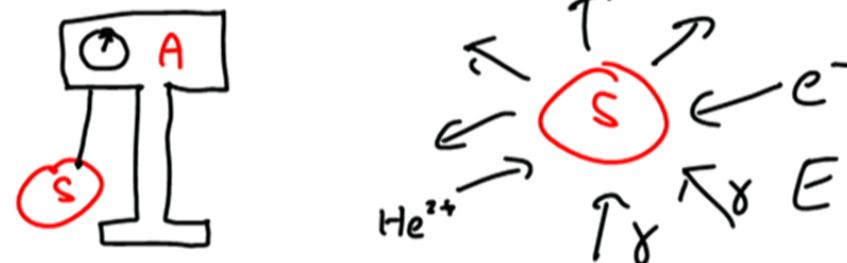
$$\textcircled{4} \text{ completely positive } \text{If } S, S' \text{ are two subsystems then } E \otimes I_{S'}(\rho_{SS'}) \text{ must be positive}$$

Theorem (Stinespring dilation theorem) Given any such  $E$ , there exist Kraus operators  $A_k$  obeying  $\sum A_k^\dagger A_k = I$  such that  $E(\rho) = \sum_k A_k \rho A_k^\dagger$ .

And so, as previously noted, we can find some system  $A$ , state  $|0\rangle \in \mathcal{H}_A$  and unitary  $U_{SA}$  such that  $E(\rho) = \text{Tr}_A U_{SA} (\rho \otimes |0\rangle\langle 0|) U_{SA}^\dagger$ .



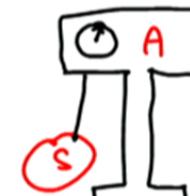
Models of decoherence Although we began our discussion with a model of a system  $S$  interacting with an apparatus  $A$ , we ended up considering a completely general interaction  $U_{SA}$ , assuming nothing about its form. Our discussion applies equally well to any quantum subsystem  $S$  interacting with its environment  $E$



Clearly practically important - no system is completely isolated, and often (for instance in quantum computing) the environmental effects are very significant. Also of fundamental interest: what effects do environmental interactions generically have? could they resolve/shed light on the problems of quantum theory? We can get some good insights from simple models.

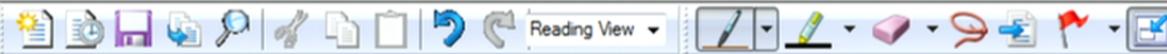
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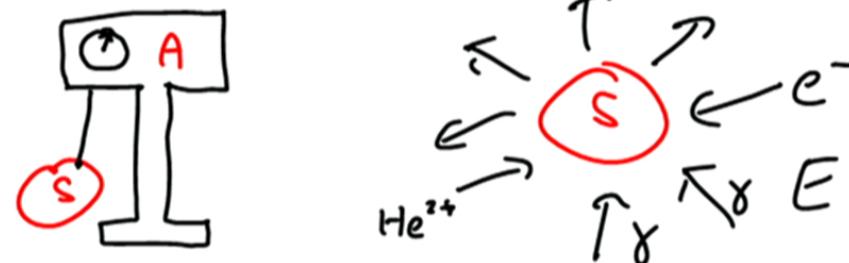



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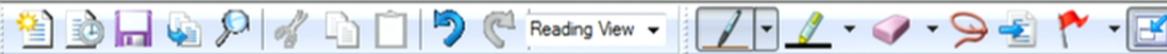
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Models of decoherence Although we began our discussion with a model of a system  $S$  interacting with an apparatus  $A$ , we ended up considering a completely general interaction  $U_{SA}$ , assuming nothing about its form. Our discussion applies equally well to any quantum subsystem  $S$  interacting with its environment  $E$



Clearly practically important - no system is completely isolated, and often (for instance in quantum computing) the environmental effects are very significant. Also of fundamental interest: what effects do environmental interactions generically have? could they resolve/shed light on the problems of quantum theory? We can get some good insights from simple models.



## Simple model of heat bath decoherence

System initial state  $\sum_n |n\rangle \langle n|$ , Environment initial state  $\rho = \frac{1}{N} \sum_{k=1}^N |\Phi_k\rangle \langle \Phi_k|$

Interaction  $|n\rangle_s |\Phi_m\rangle_E \rightarrow |n\rangle_s |\Phi_{m+n}\rangle_E$  over time  $t$ .

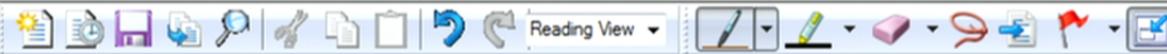
Effect  $\rho_{SE}^{(0)} = \sum_{mn} c_m^* c_n |n\rangle \langle m| \otimes \frac{1}{N} \sum_{k=1}^N |\Phi_k\rangle \langle \Phi_k|$

↓

$$\rho_{SE}(t) = \sum_{mn} c_m^* c_n |n\rangle \langle m| \otimes \frac{1}{N} \sum_{k=1}^N |\Phi_{k+n}\rangle \langle \Phi_{k+n}|$$

So  $\rho_S(t) = \text{Tr}_E(\rho_{SE}(t)) = \sum_n |c_n|^2 |n\rangle \langle n|$ .

- just as in the von Neumann measurement model, but NB no pointer states here



## Zurek's exactly solvable two state decoherence model

Spin<sub>1</sub> system S, states  $| \uparrow \rangle, | \downarrow \rangle$

N spin<sub>2</sub> environment systems, states  $| \uparrow_n \rangle, | \downarrow_n \rangle$

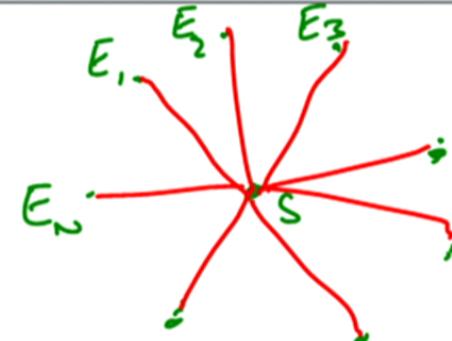
No self-interaction  $H_S = H_{E_k} = 0$

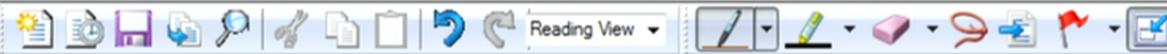
No environment spin-spin interaction  $H_{E_k E_{k'}} = 0$

Only SE coupling :-

$$H = H_{SE} = \hbar (G_z^S) \otimes \sum_k g_k I_1 \otimes \dots \otimes I_{k-1} \otimes G_z^{E_k} \otimes I_{k+1} \otimes \dots \otimes I_N$$

$$\text{Initial state } |\psi(0)\rangle = (a| \uparrow \rangle + b| \downarrow \rangle) \bigotimes_{k=1}^N (\alpha_k | \uparrow_k \rangle + \beta_k | \downarrow_k \rangle)$$





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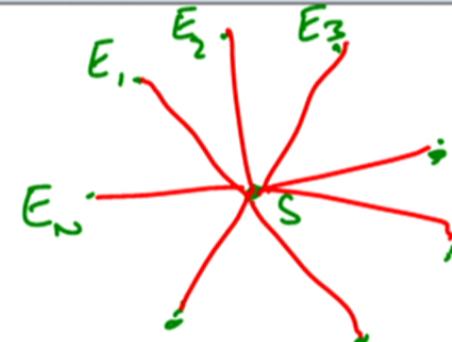
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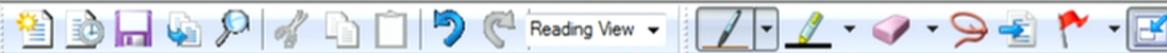
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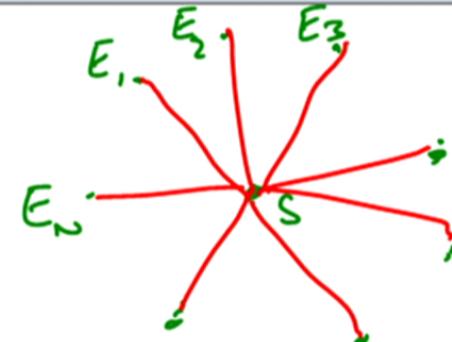
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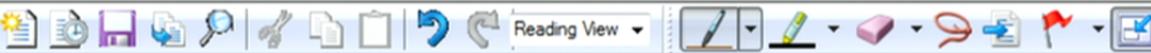
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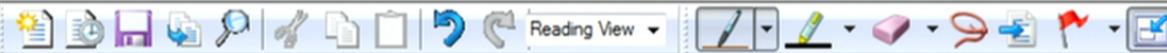
Initial state  $|2\psi(0)\rangle = (a|\uparrow\rangle + b|\downarrow\rangle) \otimes (\alpha_k|\uparrow_k\rangle + \beta_k|\downarrow_k\rangle)$

So we get  $|\psi(t)\rangle = a|\uparrow\rangle|E_\uparrow(t)\rangle + b|\downarrow\rangle|E_\downarrow(t)\rangle$

where  $|E_\uparrow(t)\rangle = |E_\downarrow(-t)\rangle = \otimes_{k=1}^N (\alpha_k e^{-igut} |\uparrow_k\rangle + \beta_k e^{igut} |\downarrow_k\rangle)$ .

The reduced density matrix is  $\rho_s(t) = \text{Tr}_E (|\psi(t)\rangle\langle\psi(t)|)$   
 $= |a|^2 |\uparrow\rangle\langle\uparrow| + |b|^2 |\downarrow\rangle\langle\downarrow|$   
 $+ z(t) a b^* |\uparrow\rangle\langle\downarrow| + z^*(t) a^* b |\downarrow\rangle\langle\uparrow|$

where the interference coefficient  $z(t) = \langle E_\downarrow(t) | E_\uparrow(t) \rangle$



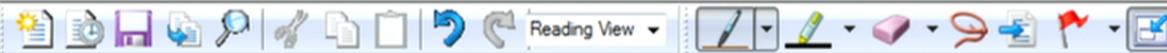
$$\begin{aligned}
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 &\quad + z(t) \alpha^* \beta \lvert \uparrow \rangle \langle \downarrow \rvert + z^*(t) \alpha \beta^* \lvert \downarrow \rangle \langle \uparrow \rvert
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$$\text{Explicitly, } z(t) = \prod_{k=1}^N (|\alpha_k|^2 e^{-2ig_k t} + |\beta_k|^2 e^{2ig_k t})$$

$$\text{So } |z(t)|^2 = \prod_{k=1}^N (1 + ((|\alpha_k|^2 - |\beta_k|^2)^2 - 1) \sin^2 2g_k t)$$

We have  $\langle \sin^2(2g_k t) \rangle \approx \frac{1}{2}$  time-averaged value. If we assume  $\alpha_k, \beta_k, g_k$  are independently randomly distributed, then for typical large times the factors are effectively independent: we can estimate  $|z(t)|^2$  by replacing each term by its time average



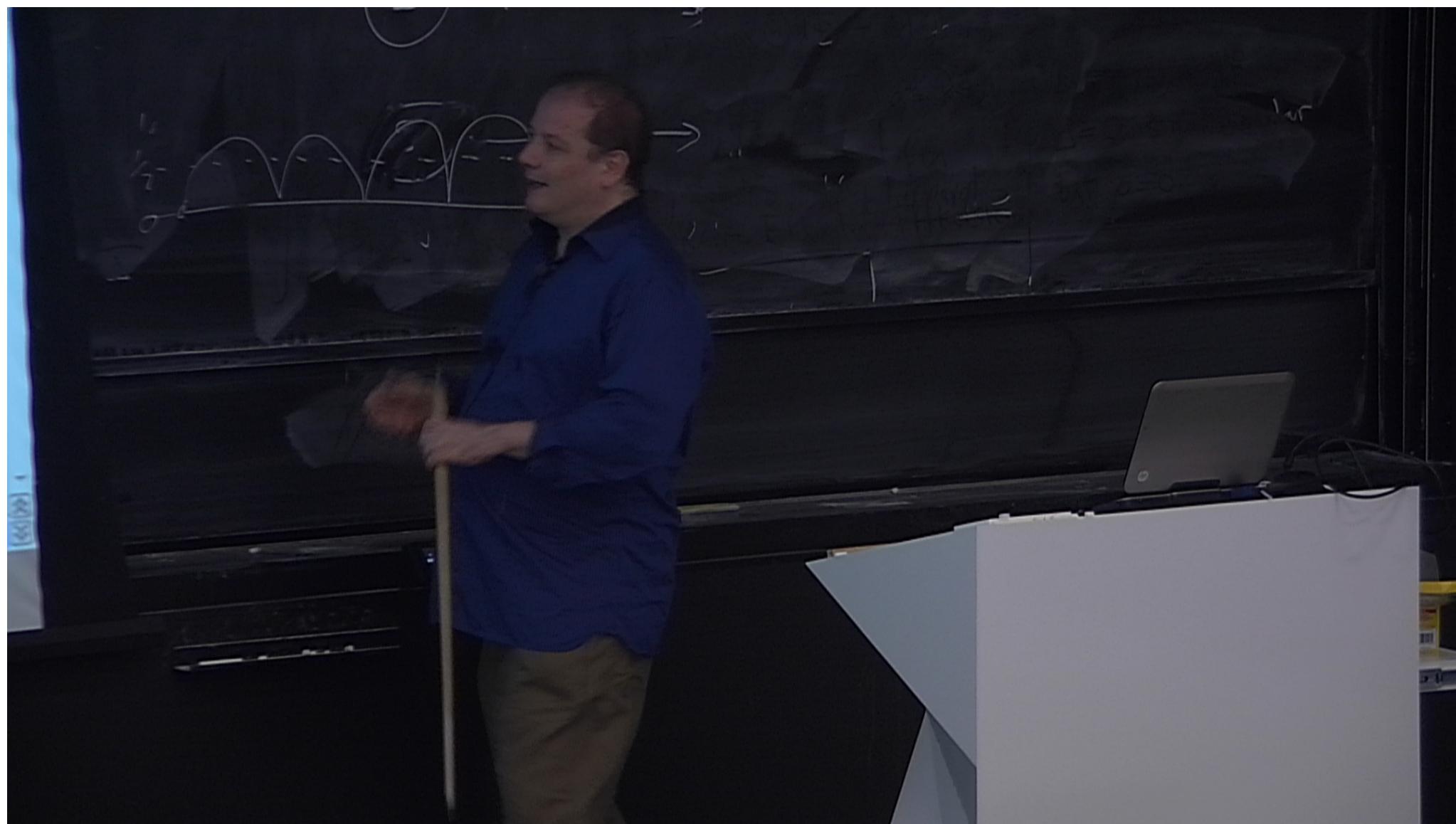
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 &\quad + z(t) \alpha^* \beta \lvert \uparrow \rangle \langle \downarrow \rvert + z^*(t) \alpha \beta^* \lvert \downarrow \rangle \langle \uparrow \rvert
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$$\begin{aligned} \text{Which gives } \langle |z(t)|^2 \rangle_{t \rightarrow \infty} &\sim \prod_{k=1}^N \left( \frac{1}{2} + \frac{1}{2} (\alpha_k^2 - \beta_k^2)^2 \right) \\ &\sim 2^{-N} \prod_{k=1}^N (1 + (\alpha_k^2 - \beta_k^2)^2) \\ &\rightarrow 0. \end{aligned}$$

on average  
↓

The off-diagonal interference terms in  $p_s(t)$  are strongly damped for large  $N, t$ .

$$S \xrightarrow{\rho_s} E(\rho_s) \quad \rho_s(0) = \begin{pmatrix} |a|^2 & ab^* \\ b^*a & |b|^2 \end{pmatrix}$$

$$I \xrightarrow{\rho_s} \text{Graph of } \sqrt{|f(z)|^2 - |g(z)|^2}$$

$\rho_s$

$E$

$E(\rho_s)$

$\rho_s(0) = \begin{pmatrix} |a|^2 & ab^* \\ b^*a & |b|^2 \end{pmatrix}$

$I$

$= \begin{pmatrix} |a|^2 & 0 \\ 0 & |b|^2 \end{pmatrix}$

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$\rho_s$

$E$

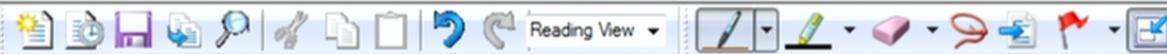
$E(\rho_s)$

$\rho_s(0) = \begin{pmatrix} |a|^2 & ab^* \\ b^*a & |b|^2 \end{pmatrix}$

$\downarrow$

$\begin{pmatrix} |a|^2 & 0 \\ 0 & |b|^2 \end{pmatrix}$

$I$



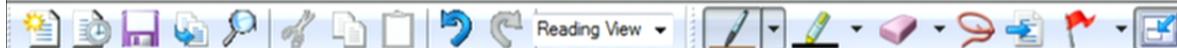
To quote some figures (from Joos, Chapter 3 in Joos et al. (2003))

$$\rho(x, x', t) = \rho(x, x', 0) \exp(-\Lambda t (x - x')^2)$$

where the "localization rate"  $\Lambda$  in  $\text{cm}^{-2} \text{s}^{-1}$  is estimated as:

$E$	$S$	$10^{-3} \text{ cm}$ dust particle	$10^{-5} \text{ cm}$ dust particle	$10^{-6} \text{ cm}$ large molecule
cosmic microwave background		$10^6$	$10^{-6}$	$10^{-12}$
300K photons		$10^{19}$	$10^{12}$	$10^6$
sunlight on earth		$10^{21}$	$10^{17}$	$10^{13}$
air molecules		$10^{36}$	$10^{32}$	$10^{30}$
laboratory vacuum ( $10^6$ particles/cm)		$10^{23}$	$10^{19}$	$10^{17}$

(Joos + Zeh, 1985;  
similar estimates by  
Tegmark, 1993)



Reading View ▾



"Does decoherence solve the measurement problem? Clearly not. What decoherence tells us, is that certain objects appear classical when they are observed. But what is an observation? At some stage, we still have to apply the usual probability rules of quantum theory."

"One often finds explicit or implicit statements to the effect that the above processes are equivalent to the collapse of the wave function (or even solve the measurement problem). Such statements are certainly unfounded."

"Decoherence by itself does not yet solve the measurement problem ( . . . ). This argument is nonetheless found wide-spread in the literature. ( . . . ) It does seem that the measurement problem can only be resolved if the Schrodinger dynamics ( . . . ) is supplemented by a nonunitary collapse ( . . . )."

([Joos \(2000\)](#), p. 14). [Kiefer and Joos \(1999\)](#), p. 5), Zeh in [Joos et al., 2003](#), Ch. 2; all quoted in [Schlosshauer \(2003\)](#))

$$\rho_s \xrightarrow{E} \rho_s$$

$$\rho_s(0) = \begin{pmatrix} |a|^2 & ab^* \\ b^*a & |b|^2 \end{pmatrix}$$

$$\xrightarrow{\perp} \left( \begin{array}{c} \text{looks like} \\ \{|a|^2, |b|^2\}, \{|\alpha\rangle, |\beta\rangle\} \end{array} \right) \xrightarrow{\quad} \begin{pmatrix} |a|^2 & 0 \\ 0 & |b|^2 \end{pmatrix}$$



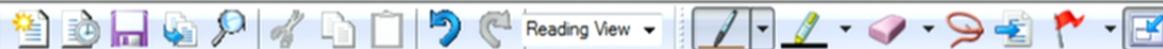
"Cosmologists, even more than laboratory physicists, must find the usual interpretive rules of quantum mechanics a bit frustrating [....]

It would seem that the theory is exclusively concerned with 'results of measurement' and has nothing to say about anything else. When the 'system' in question is the whole world where is the 'measurer' to be found? Inside, rather than outside, presumably. Was the world wave function waiting to jump for thousands of millions of years until a single-celled living creature appeared? Or did it have to wait a little longer for some more highly qualified measurer -- with a Ph.D.? If the theory is to apply to anything but idealized laboratory operations, are we not obliged to admit that more or less 'measurement-like' processes are going on more or less all the time more or less everywhere?

Is there ever then a moment when there is no jumping and the Schrodinger equation applies?"

(J.S. Bell, *Quantum mechanics for cosmologists*.

In Quantum Gravity 2 (Isham, Penrose and Sciama, eds; OUP, 1981))



## Everett on branching worlds and reality

“ In reply to a preprint of this article some correspondents have raised the question of the "transition from possible to actual," arguing that in "reality" there is — as our experience testifies — no such splitting of observers states, so that only one branch can ever actually exist. Since this point may occur to other readers the following is offered in explanation.

The whole issue of the transition from "possible" to "actual" is taken care of in the theory in a very simple way — there is no such transition, nor is such a transition necessary for the theory to be in accord with our experience. From the viewpoint of the theory all elements of a superposition (all "branches") are "actual", none any more "real" than the rest. ”

( Everett, Rev Mod Phys 1957., footnote added in proof )



## Everett on branching worlds and reality

“Arguments that the world picture presented by this theory is contradicted by experience, because we are unaware of any branching process, are like the criticism of the Copernican theory that the mobility of the earth as a real physical fact is incompatible with the common sense interpretation of nature because we feel no such motion. **In both cases the argument fails when it is shown that the theory itself predicts that our experience will be what it in fact is.**”



( Everett, Rev Mod Phys 1957., footnote added in proof )

