

Title: Quantum Theory - Lecture 5

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Abstract:

That is,  $m$  must be an integer

$$l = 0, 1, 2, \dots$$

$$-l \leq m \leq l$$

These are the possible eigenvalues for  $L^2 = l(l+1)\hbar^2$

$$L_z = m\hbar$$

for position space wave functions - e.g. electrons  
orbiting in a central potential.

They characterize the orbital angular momentum.

But nature makes use of another possibility : particles can have internal degrees of freedom that are also affected by rotations



Metaphor (don't take it literally!) - like spin about some axis.

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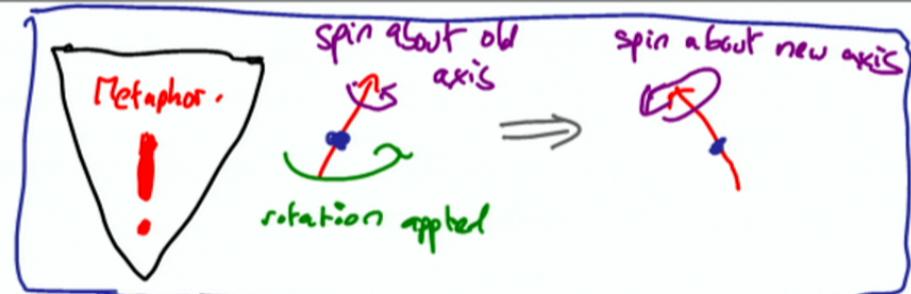
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Our earlier analysis also applies to the action of the rotation group on internal (spin) degrees of freedom.

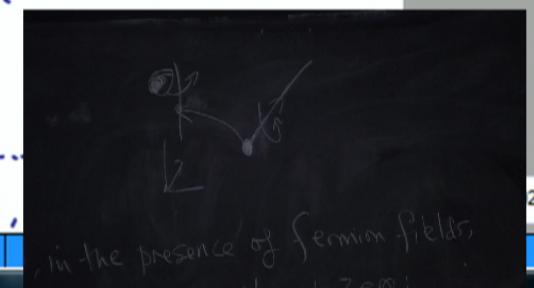


Write the action of a rotation on internal states as :  $R_x(\alpha) = e^{iSx\alpha/\hbar}$ ,  $R_y(\alpha)$ ,  $R_z(\alpha)$  similarly.  
generators

We're dealing with the same group, so the generators must have the same commutation relations as  $L_i$ , i.e.  $[S_i, S_j] = i\epsilon_{ijk} \hbar S_k$   
 $[S_i, S^2] = 0$

**CONCLUSION:** the possible eigenvalues  $m$  lie in range  $-s, -s+1, \dots$

**W WHICH REQUIRES :**  $2s$  must be positive integer, i.e  $s = 0, \frac{1}{2}, 1, \dots$



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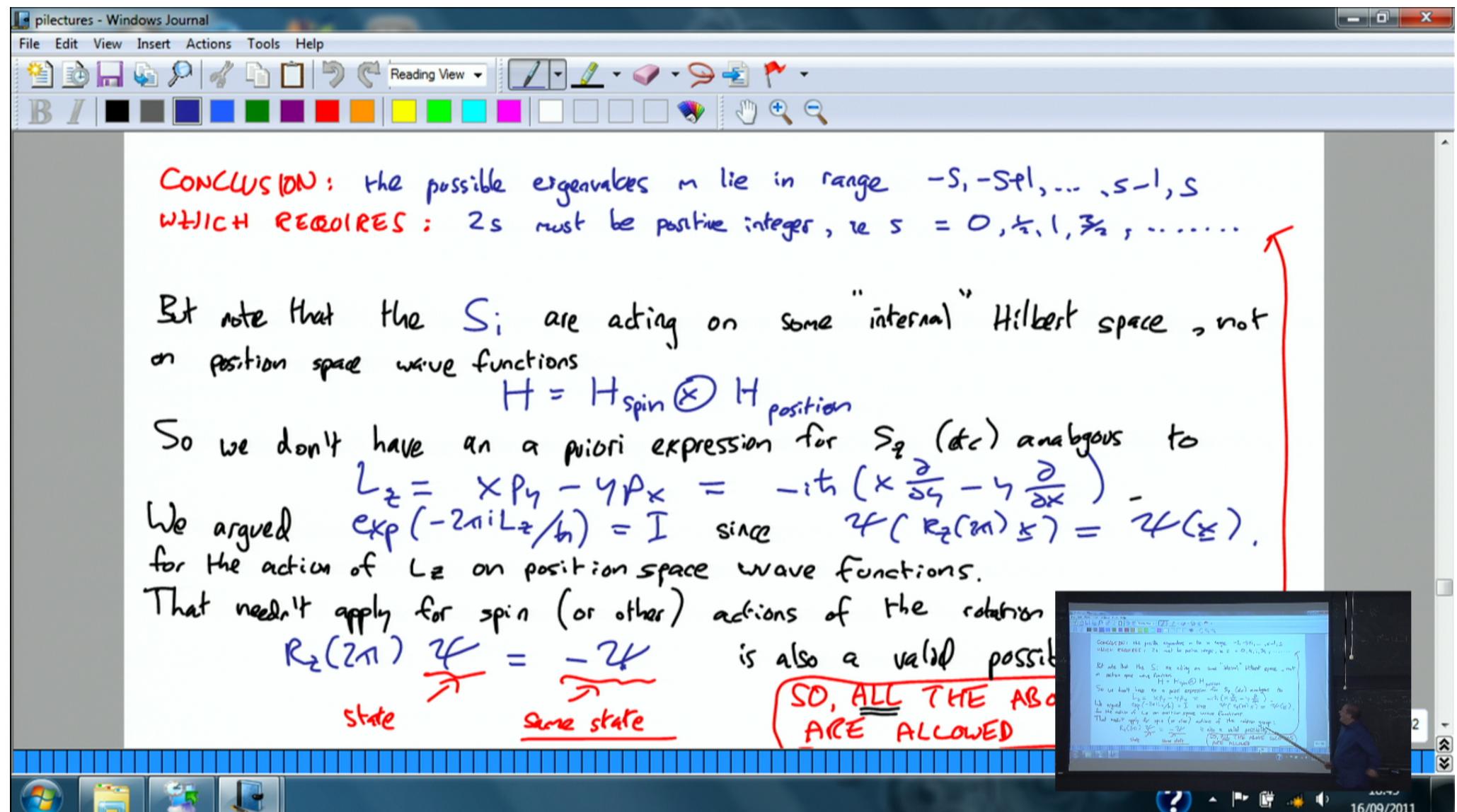
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**WHICH REQUIRES:**  $S_z$  must be positive integer, i.e.  $S = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots$

But note that the  $S_i$  are acting on some "internal" Hilbert space, not on position space wave functions  
 $H = H_{\text{spin}} \otimes H_{\text{position}}$

So we don't have an a priori expression for  $S_y(\theta)$  analogous to  
 $L_z = xP_y - yP_x = -i\hbar \left( x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x} \right)$   
 We argued  $\exp(-2\pi i L_z/\hbar) = I$  since  $\psi(R_z(\theta)) = \overline{\psi(x)}$ ,  
 for the action of  $L_z$  on position space wave functions.  
 That needn't apply for spin (or other) actions of the rotation

$R_z(2\pi) \psi = -\psi$  is also a valid possibility  
 state same state SO, ALL THE ABOVE ARE ALLOWED

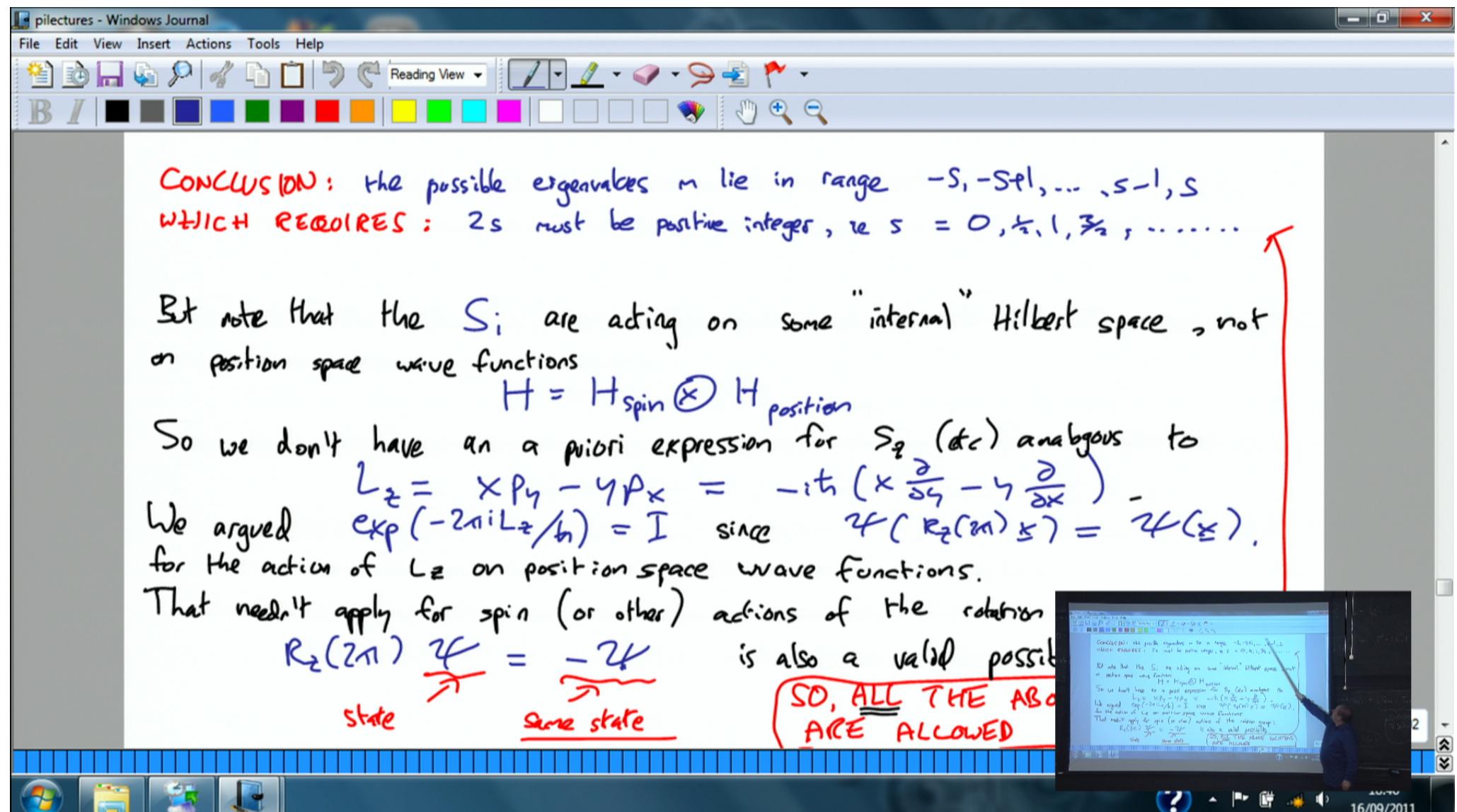


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**WHICH REQUIRES:**  $2s$  must be positive integer, i.e.  $s = 0, \frac{1}{2}, 1, \frac{3}{2}, \dots$   
half-odd-integer values ALLOWED

Nature indeed uses this freedom. It turns out (spin-statistics theorem):

$$\begin{array}{lll} s = 0, 1, 2, \dots & \text{integer spin} & \leftrightarrow \\ s = \frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \dots & \frac{1}{2}(\text{odd integer}) \text{ spin} & \leftrightarrow \\ & & \text{bosons (photon, Higgs?, graviton?)} \\ & & \text{fermions (electron, quark, neutrino ...)} \end{array}$$

Given  $H = H_{\text{spin}} \otimes H_{\text{position}}$ , we should write spin operators as  
orbital angular momentum  $S_i \otimes I$

To understand the full action of the rotation group we need to look at the  
action of total angular momentum  $J_i = S_i \otimes I + I \otimes L_i$ . (spin-orbital coupling)

## Spin- $\frac{1}{2}$ particles and the Bloch sphere

The spin for  $S = \frac{1}{2}$  has two independent states,  $|m = \frac{1}{2}\rangle$  and  $|m = -\frac{1}{2}\rangle$ .

(Call them  $| \uparrow \rangle$  and  $| \downarrow \rangle$ .)

(Think of spins about z axis.)

We can write a general state as

$$a| \uparrow \rangle + b| \downarrow \rangle = ab^{-1}| \uparrow \rangle + | \downarrow \rangle \quad (a, b \in \mathbb{C})$$

$\nearrow$   
up to normalisation factor

But note  $ab^{-1} = \infty$  must be allowed in this convention  
( $b = 0$  is possible.)

(complex projective plane)

I.e.  $\{\text{spin states of spin } \frac{1}{2} \text{ particle}\} \leftrightarrow \mathbb{C} \cup \{\infty\}$ .

This screenshot shows a Windows Journal application window titled 'pilectures - Windows Journal'. The interface includes a menu bar (File, Edit, View, Insert, Actions, Tools, Help), a toolbar with various icons, and a color palette. The main area contains handwritten notes in blue ink. At the top, it says 'Spin- $\frac{1}{2}$  particles and the Bloch sphere'. Below that, it explains that spin- $\frac{1}{2}$  has two states,  $|m = \frac{1}{2}\rangle$  and  $|m = -\frac{1}{2}\rangle$ , calling them  $| \uparrow \rangle$  and  $| \downarrow \rangle$ . It then describes a general state as  $a| \uparrow \rangle + b| \downarrow \rangle$  and  $ab^{-1}| \uparrow \rangle + | \downarrow \rangle$ , noting that  $ab^{-1} = \infty$  is allowed. It also mentions the complex projective plane. The bottom status bar shows page 78, a question mark icon, a progress bar at 78%, the time 18:49, and the date 16/09/2011.

$$\gamma_{\alpha} + T_{\alpha-\beta} \left( \begin{array}{c} |M=+\frac{1}{2}\rangle \\ |M=-\frac{1}{2}\rangle \end{array} \right) \quad \text{tensor} \quad \nabla(R_x)$$

In fact, in the presence of fermions

## Spin- $\frac{1}{2}$ particles and the Bloch sphere

The spin for  $s=\frac{1}{2}$  has two independent states,  $|m=\frac{1}{2}\rangle$  and  $|m=-\frac{1}{2}\rangle$ .  
Call them  $|1\rangle$  and  $|2\rangle$ .

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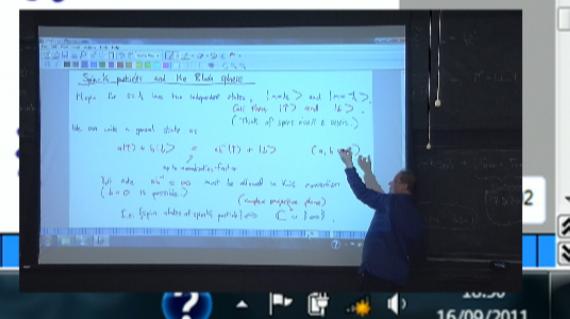
$$a|1\rangle + b|2\rangle = ab^{-1}|1\rangle + |2\rangle \quad (a, b \in \mathbb{C})$$

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up to normalisation factor

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(complex projective)

I.e. {spin states of spin $\frac{1}{2}$  particle}  $\leftrightarrow \mathbb{C}^2$

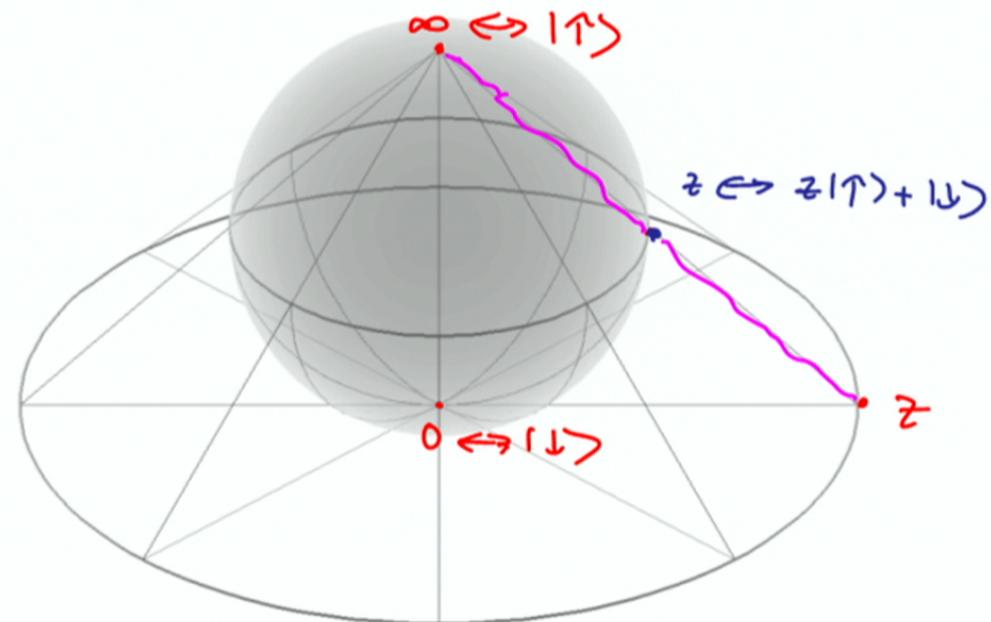


$\{\text{spin states of spin } \frac{1}{2} \text{ particle}\} \leftrightarrow \mathbb{C} \cup \{\infty\}$

$\rightarrow (z|\uparrow\rangle + i|\downarrow\rangle) \leftrightarrow z \in \mathbb{C} \cup \{\infty\}$

N.B. unnormalized

We can map  $\mathbb{C} \cup \{\infty\}$   
by stereographic projection  
onto the Riemann sphere



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$\{ \text{spin states of spin } \frac{1}{2} \text{ particle} \} \leftrightarrow \mathbb{C} \cup \{\infty\}$   
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We can map  $\mathbb{C} \cup \{\infty\}$   
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$\infty \leftrightarrow |1\rangle$   
 $z \leftrightarrow z|1\rangle + l|0\rangle$

$0 \leftrightarrow |0\rangle$

16/09/2011

Bloch sphere

For a spin  $\frac{1}{2}$  particle the rotation group generators act on  $\begin{pmatrix} |↑\rangle \\ |↓\rangle \end{pmatrix}$  as:

$$S_i = \frac{i}{2}\hbar G_i \quad (i=1,2,3)$$

$$G_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad G_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad G_3 = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}$$

(Also called  $G_x$  or  $X$ ,  $G_y$  or  $Y$ ,  $G_z$  or  $Z$ ).

$$G_i G_j = \delta_{ij} + i \epsilon_{ijk} G_k$$

$$[G_i, G_j] = 2i \epsilon_{ijk} G_k$$

Pauli matrices

We've created a simple geometric representation of the states of a spin- $\frac{1}{2}$  particle, which turns out to have some very nice properties.

$\frac{1}{\sqrt{2}}(|1\rangle - i|2\rangle)$  ←  $G$ , eigenvectors  
 $\frac{1}{\sqrt{2}}(|1\rangle + i|2\rangle)$  ←  $G_z$  eigenvectors  
 $\frac{1}{\sqrt{2}}(|1\rangle + |2\rangle)$  ←  $G_x$  eigenvectors

Rotations  
 Similarly

$R_z(\alpha) = \exp(-i\frac{\alpha}{2}G_z)$	rotates Bloch sphere through α about z axis
$R_x(\alpha) = \exp(-i\frac{\alpha}{2}G_x)$	rotates Bloch sphere through α about x axis.
Etc.	

Notice that  $R_z(2\pi) = \exp(-i\pi G_z) = -I$  : pick up phase -1 but state unchanged.

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For spin  $\frac{1}{2}$ , we have a particularly beautiful and easily visualized interplay between algebra and geometry

space of quantum states,  
commutation relations  
of rotation group  
generators.

↑  
Bloch sphere,  
action of  
rotation group.

Antipodal points  
sphere  $\leftrightarrow$

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Bloch Sphere - Computational Basis

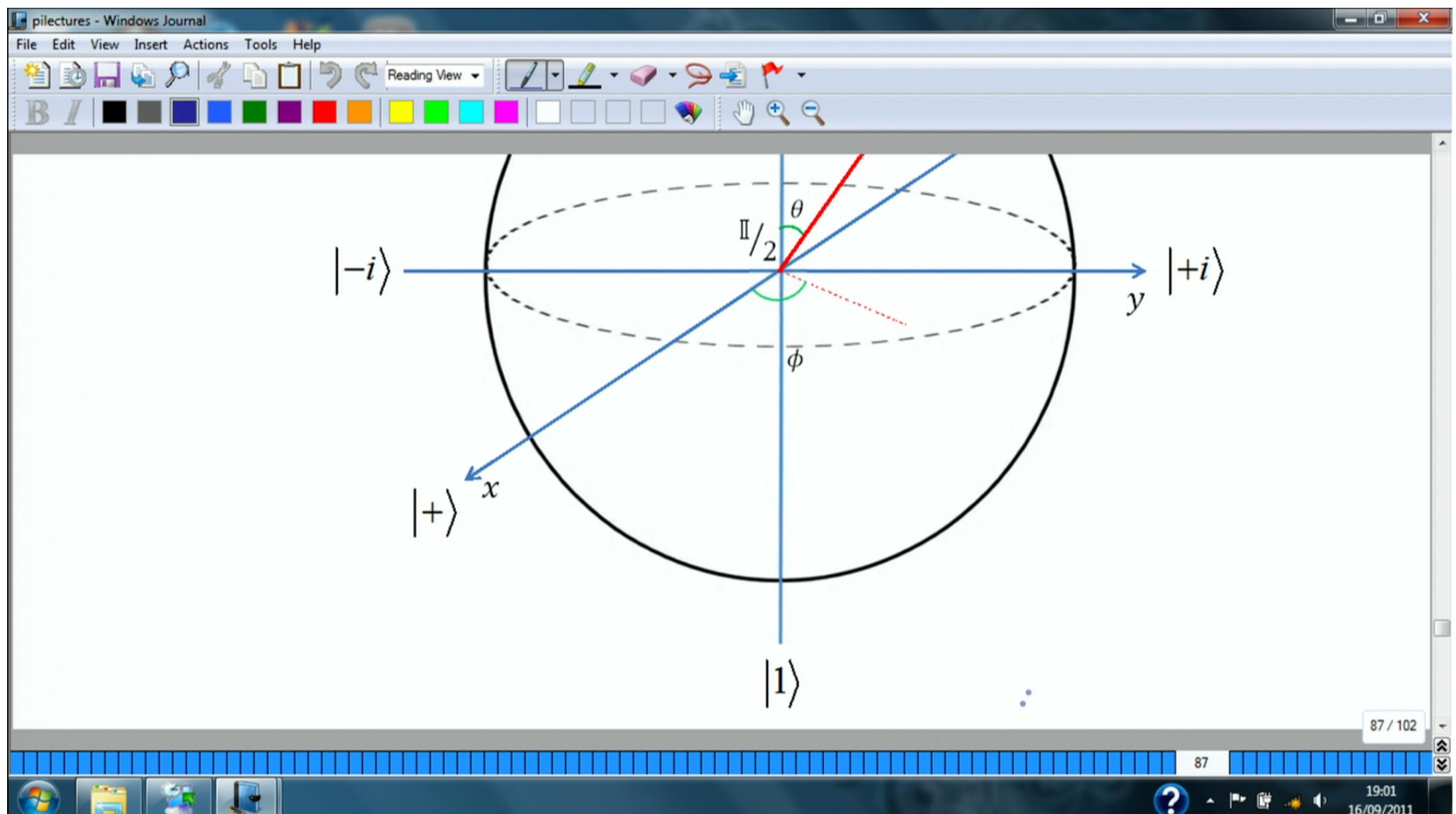
$|\psi\rangle = \cos\left(\frac{\theta}{2}\right)|0\rangle + e^{i\phi} \sin\left(\frac{\theta}{2}\right)|1\rangle$

Representation of a "quantum bit" or qubit.

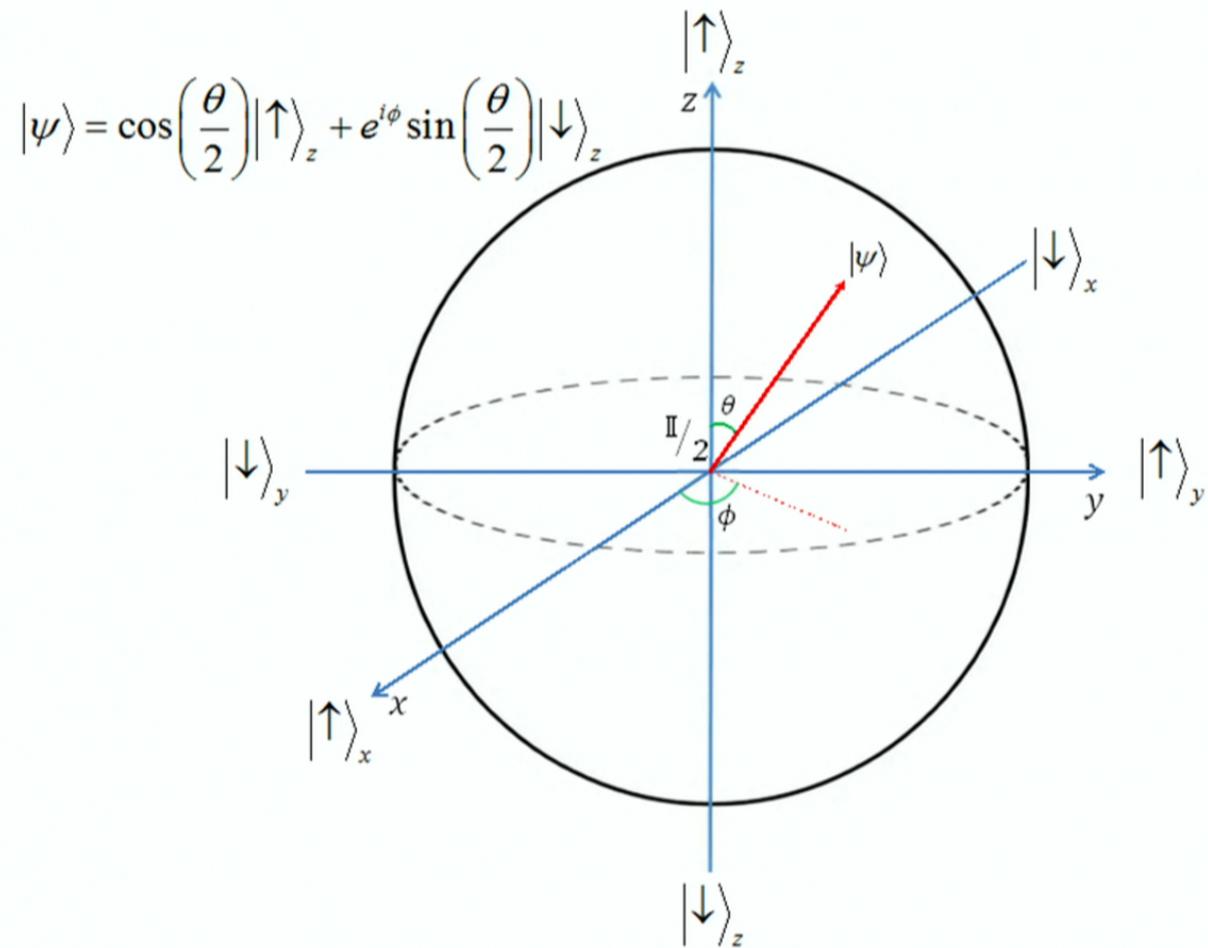
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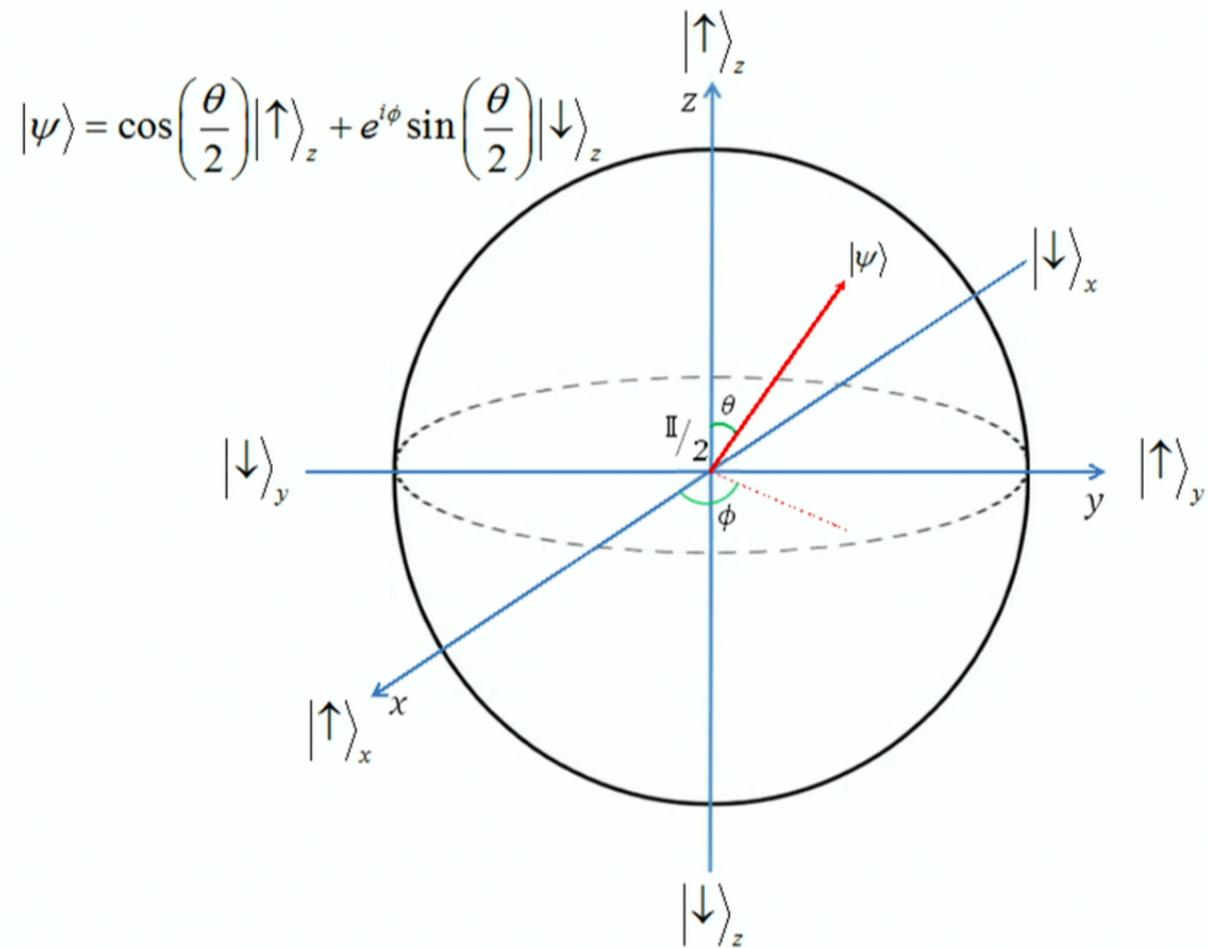
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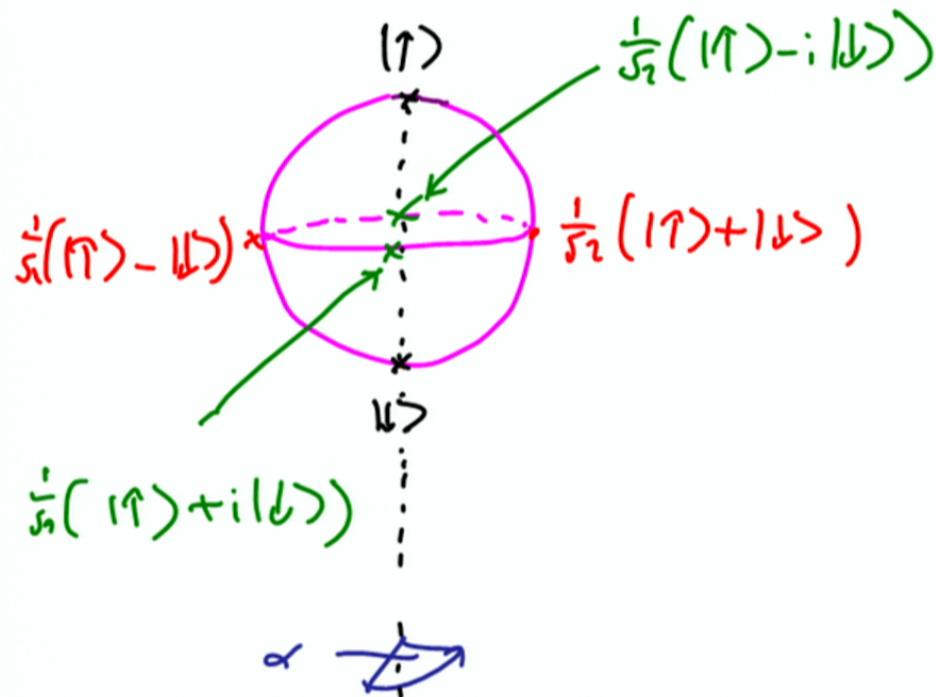


## Bloch Sphere - Spin Basis



## Bloch Sphere - Spin Basis





One way to move a quantum state around the Bloch sphere : apply a Hamiltonian  $H = \lambda \vec{\Omega} \cdot \vec{\sigma}$

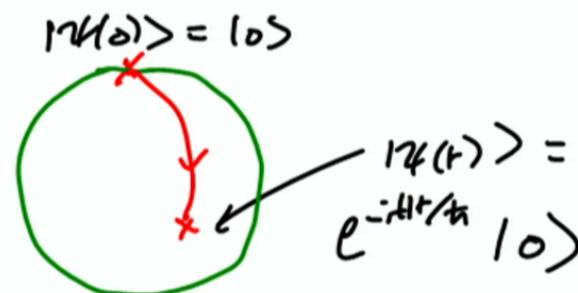
$$\begin{aligned} \text{E.g. if } H &= \sigma_z \\ e^{-iHt/\hbar} &= e^{-i\omega z t / \hbar} \\ &= R_z(e^{2\pi i \frac{z}{\hbar}}) \end{aligned}$$

rotation

$$R_z(\alpha) = \exp(-i\frac{\alpha}{2}\sigma_z)$$

rotates state on Bloch sphere through  
α about z axis

The Quantum Zeno effect: Another way of manipulating states on the Bloch sphere is by an appropriate sequence of measurements.



Suppose we have some Hamiltonian  $H$  acting as a Bloch sphere rotation.

And suppose after a short time  $\Delta t$  we measure the evolved state using projections  $\{|0\rangle\langle 0|, |1\rangle\langle 1|\}$ .

$$\text{Prob (outcome 0)} = |\langle 0 | e^{-iH\Delta t/\hbar} |0\rangle|^2 = \left| 1 - i \frac{\Delta t}{\hbar} \langle 0 | H |0\rangle - \frac{\Delta t^2}{\hbar^2} \langle 0 | H^2 |0\rangle \right|^2 \\ = 1 - \left( \frac{\Delta t}{\hbar} \right)^2 (\Delta H)^2 + O(\Delta t^3)$$

\* where  $(\Delta H)^2 = \langle 0 | H^2 |0\rangle - \langle 0 | H |0\rangle^2$ .  
actually in any Hilbert space of any dimension: check it out!

The Quantum Zeno effect: Another way of manipulating states on the Bloch sphere is by an appropriate sequence of measurements.

A green circle representing a Bloch sphere. A red arrow starts at the top (representing state  $|10\rangle$ ) and rotates clockwise around the vertical axis to point towards the bottom-left (representing state  $|1t\rangle = e^{-iHt/\hbar} |10\rangle$ ). The angle of rotation is indicated by a red arc.

$$|10\rangle = |10\rangle$$

$$|1t\rangle = e^{-iHt/\hbar} |10\rangle$$

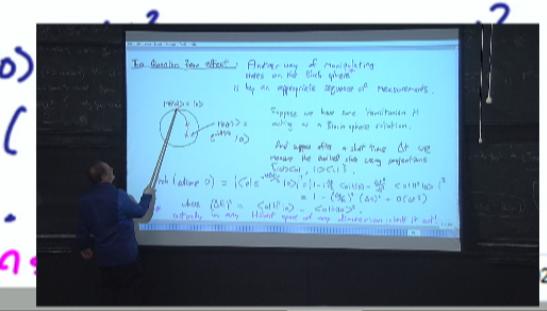
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$$\text{Prob (outcome 0)} = |\langle 0 | e^{-iH\Delta t/\hbar} |10\rangle|^2 = |1 - \frac{\Delta H}{\hbar} \langle 0 | H |10\rangle|^2$$

$$= 1 - (\frac{\Delta H}{\hbar})^2$$

\* where  $(\Delta H)^2 = \langle 0 | H^2 |10\rangle - \langle 0 | H |10\rangle^2$ .  
actually in any Hilbert space of any dimension



$$\text{Prob (outcome 0)} = 1 - (\frac{\Delta t}{\tau_h})^2 (\Delta H)^2$$

ie.  $1 - O((\Delta t)^2)$

So if we fix a time interval  $T$ , take  $\Delta t = \frac{T}{N}$ , iterate  $N$  times:

$$\text{Prob (outcome 0 all } N \text{ times)} = \frac{(1 - C N^{-2})^N}{1 - C N^{-1}}$$

N.B.

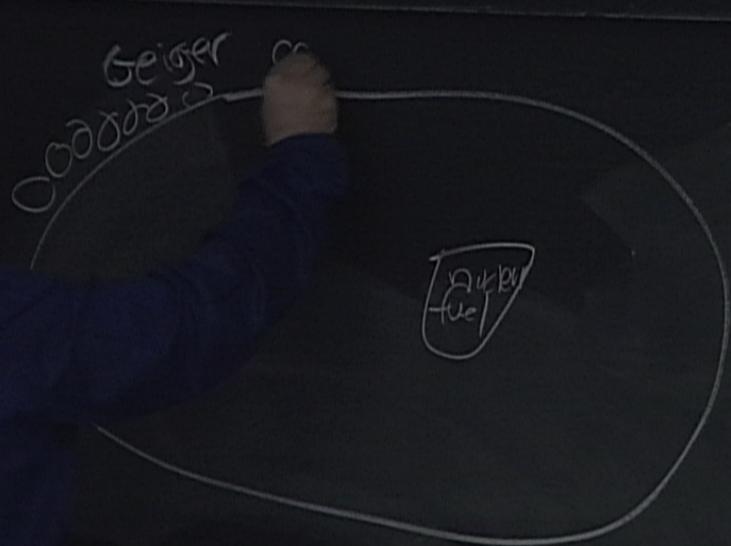
$$\rightarrow 1 \quad \text{as } N \rightarrow \infty .$$

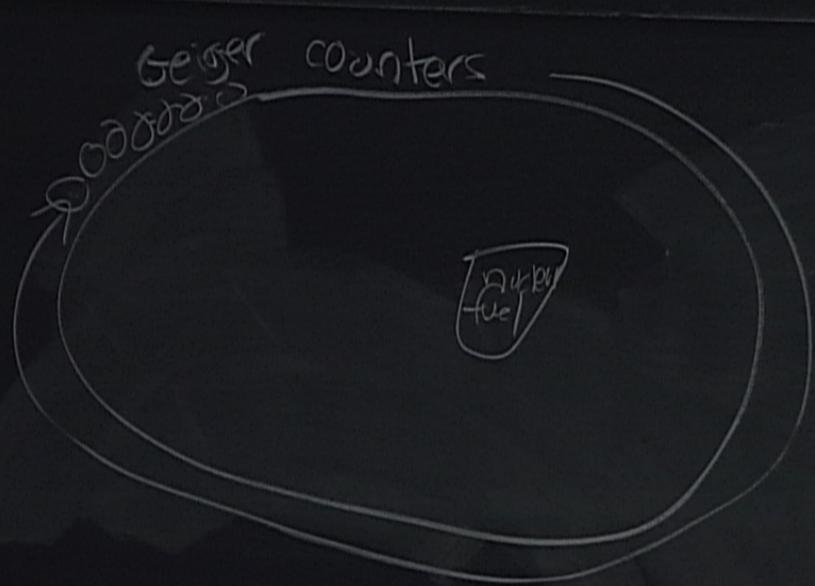
However large (in operator norm)  $H$  is, however long  $T$  is, sufficiently fast repeated measurements effectively "freeze" the system in state  $|0\rangle$ .

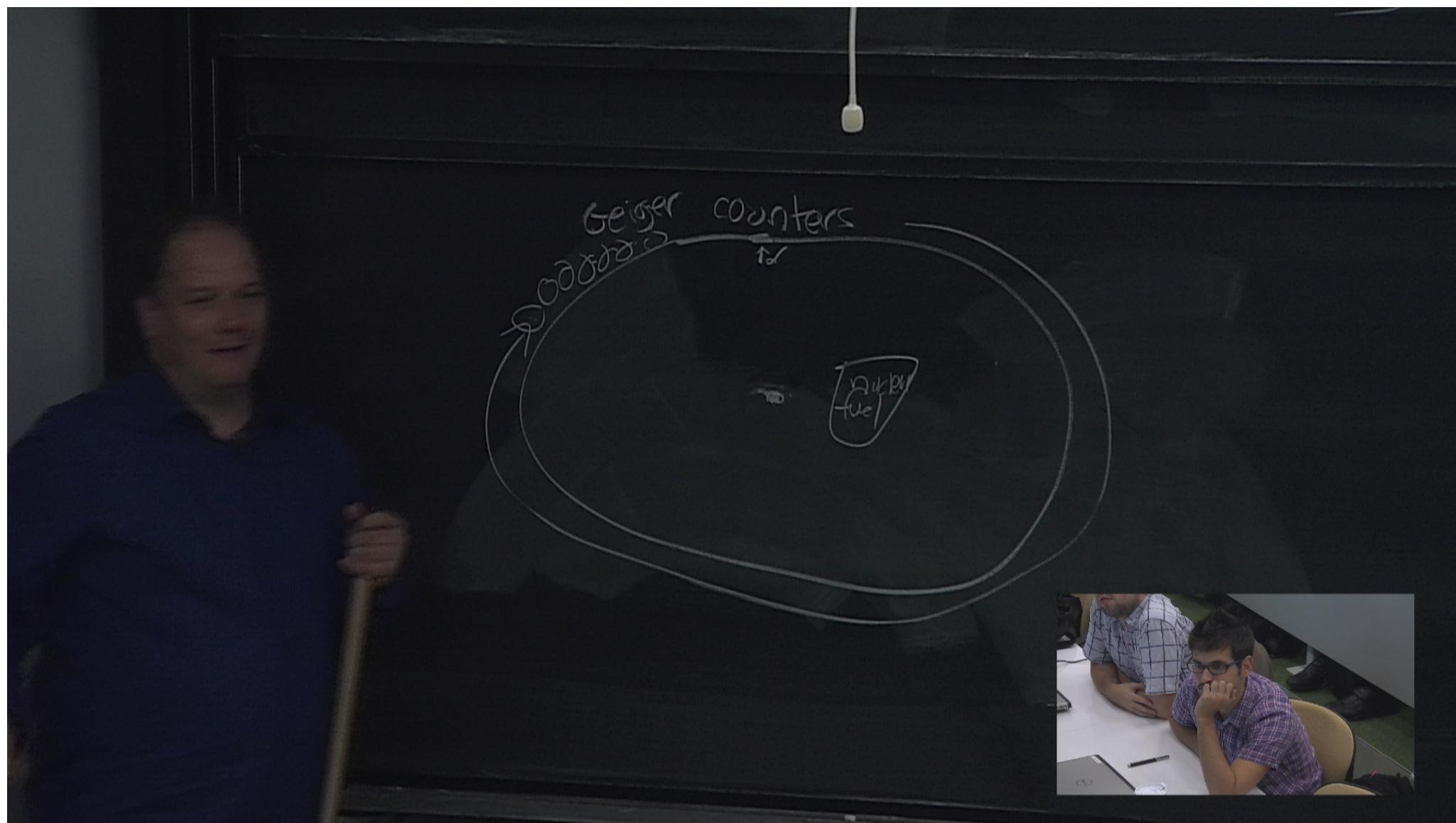
(In this precise sense) a quantum watched pot really does never boil!

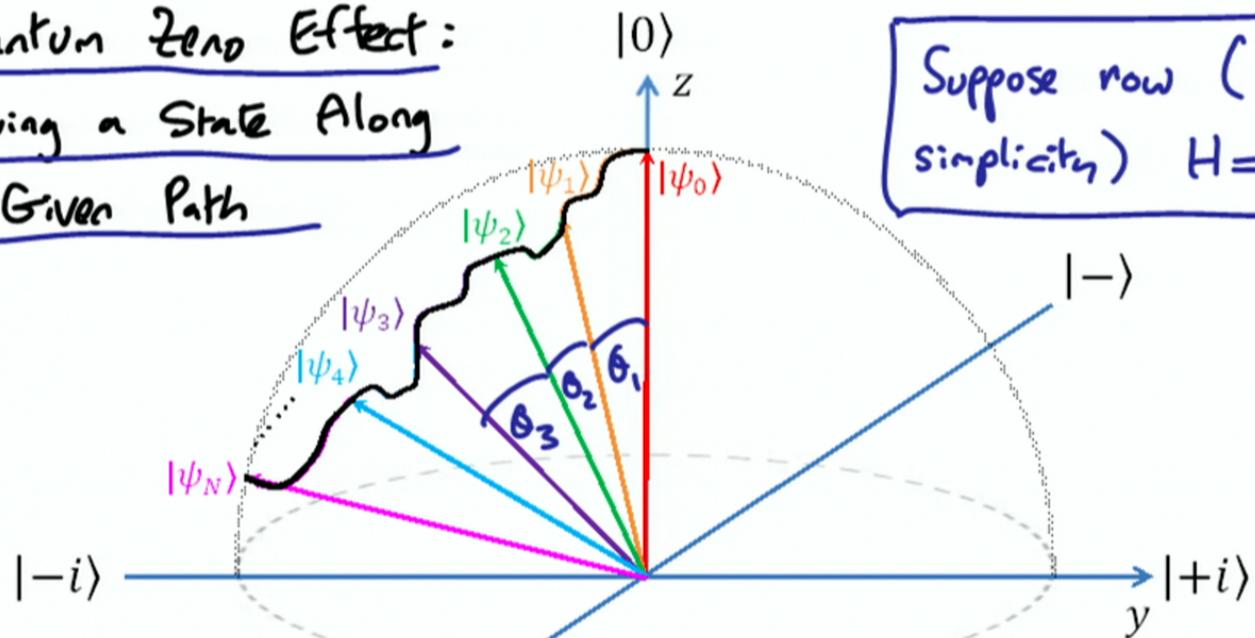


Be careful of over-extrapolating this idea, though!







Quantum Zeno Effect:Moving a State Along  
a Given PathSuppose now (for simplicity)  $H=0$ .

Suppose we carry out measurements in bases  $\{|z_0\rangle, |z_0^\perp\rangle\}$ ,  $\{|z_i\rangle, |z_i^\perp\rangle\}$ , ...,  $\{|z_N\rangle, |z_N^\perp\rangle\}$   
 where  $|\langle z_i | z_{i+1} \rangle| = \cos(\theta_i/2)$ ,  $\theta_i \leq \theta$ .

Suppose we carry out measurements in bases  $\{|w_0\rangle, |w_0^\perp\rangle\}$ ,  $\{|w_1\rangle, |w_1^\perp\rangle\}$ , ...,  $\{|w_N\rangle, |w_N^\perp\rangle\}$ .

where  $|\langle w_i | w_{i+1} \rangle| = \cos^2(\theta_i/2)$ ,  $0_i \leq \theta_i$ .

$$\text{Prob}(\text{outcome } |w_0\rangle) = 1$$

$$\text{Prob}(\text{outcome } |w_1\rangle) = \cos^2(\theta_1/2) \geq \cos^2(\theta/2)$$

$$\text{Prob}(\text{outcome } |w_2\rangle \text{ given outcome } |w_1\rangle) = \cos^2(\theta_2/2) \geq \cos^2(\theta/2)$$

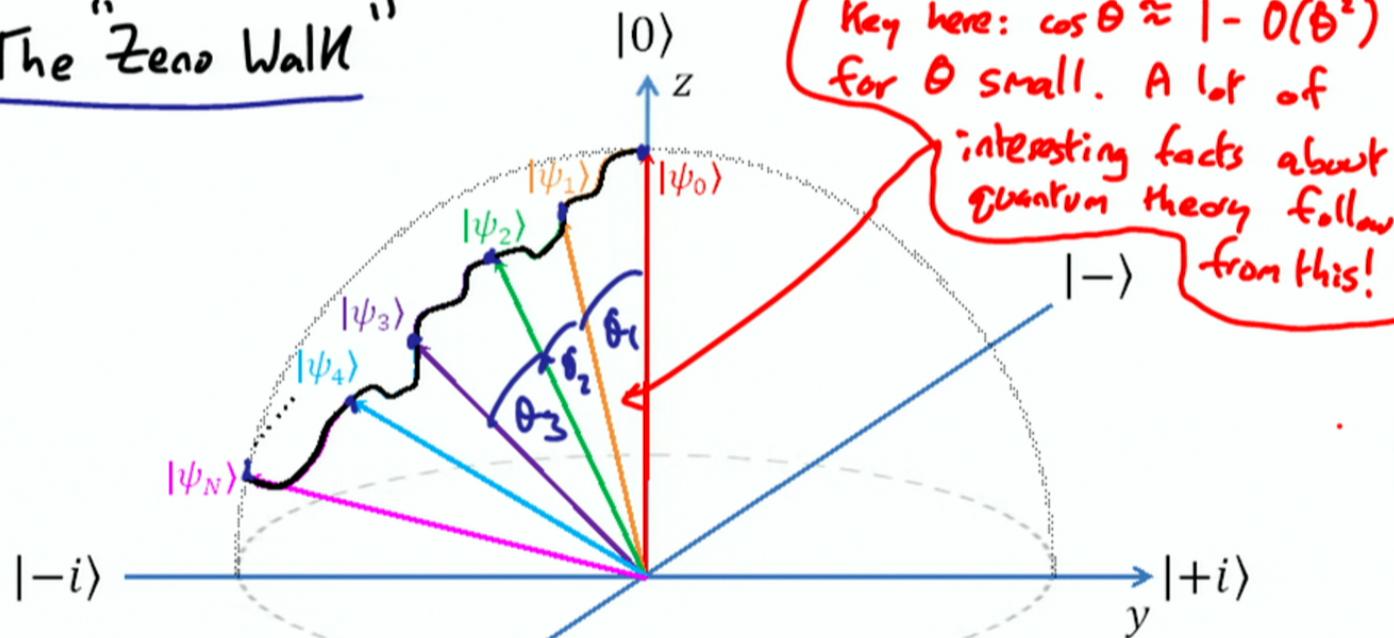
... ... ...

$$\text{So } \text{Prob}(\text{outcomes } |w_0\rangle, |w_1\rangle, \dots, |w_N\rangle) \geq (\cos^2(\theta/2))^N = \cos^{2N}(\theta/2)$$

$$\text{If } \theta = \frac{\pi}{N}, \cos^{2N}(\theta/2) = \cos^{2N}(\frac{\pi}{2N}) \geq (1 - \frac{\pi^2}{8N^2})^{2N}$$

$\rightarrow 1 \text{ as } N \rightarrow \infty.$

## The "Zeno Walk"



$$\text{Prob}(|\psi_0\rangle \rightarrow |\psi_1\rangle \rightarrow \dots \rightarrow |\psi_N\rangle) \geq (1 - \frac{\pi^2}{8N^2})^N \rightarrow 1 \text{ as } N \rightarrow \infty$$

By choosing sufficiently close points en route we can (almost) guarantee to "walk" the state along any given path.

"The only reasons for the spin rotation are those measurements. This result is quite surprising because of the accepted assumption that if the outcome of a measurement of some dynamical variable is certain (i.e with probability one), then the state of the system was not disturbed."

(Aharonov and Vardi, 1980)



Yakir Aharonov

Measurement - even with (almost) certain outcome - seems here to be an active intervention, not a passive process revealing a pre-existing system state.

## Mixed States and Density Matrices

Suppose you're given a state  $|Y\rangle$

and know it's one of  $|Y_1\rangle, \dots, |Y_n\rangle$

with respective probabilities  $p_1, \dots, p_n$

(And this is a complete list of possibilities :  $\sum_{i=1}^n p_i = 1$ ,  $p_i \geq 0$ .

And you can't learn anything more about the preparation of  $|Y\rangle$ .)

How could this happen? Secretive colleague with a random number generator,

Imperfect preparation device with known error statistics, . . . .

What can you do with this information?

$| \Psi \rangle$  is one of  $| \Psi_1 \rangle, \dots, | \Psi_n \rangle$   
with respective probabilities  $p_1, \dots, p_n$

What can you do with this information?

One option: Keep this list of states and probabilities, and keep track of how it changes as you apply Hamiltonian evolution or make measurements.

Apply  $e^{-iHt/\hbar}$ :

$| \Psi \rangle$  is one of  $e^{-iHt/\hbar} |\Psi_1\rangle, \dots, e^{-iHt/\hbar} |\Psi_n\rangle$   
with respective probabilities  $p_1, \dots, p_n$

Maybe not too cumbersome? — though it means solving the Schrödinger equation  $n$  times (and  $n$  may be big and the solutions may be hard to calculate).

$$\begin{aligned}\text{Prob(outcome } j) &= \sum_i \text{Prob(outcome } j | \text{state } i) \text{ Prob(state } i) \\ &= \sum_i \langle \psi_i | P_j | \psi_i \rangle p_i.\end{aligned}$$

State after outcome  $j$ ? It's one of  $\frac{P_j |\psi_i\rangle}{|P_j |\psi_i\rangle|}, \dots, \frac{P_j |\psi_j\rangle}{|P_j |\psi_j\rangle|}$

Which one? We don't know, but we can work out probabilities:

$$\begin{aligned}\text{Prob(initial state } i | \text{outcome } j) &= \frac{\text{Prob(state } i \text{ and outcome } j)}{\text{Prob(outcome } j)} \\ &= \frac{p_i \langle \psi_i | P_j | \psi_i \rangle}{\sum_i p_i \langle \psi_i | P_j | \psi_i \rangle}\end{aligned}$$

So after measurement we have a new list of possible states to keep track of:

$$|\psi\rangle \text{ is one of } \frac{P_1 |\psi_1\rangle}{|\langle \psi_1 | P_1 |\psi_1 \rangle|}, \dots, \frac{P_j |\psi_j\rangle}{|\langle \psi_j | P_j |\psi_j \rangle|}$$

with probabilities

$$\frac{\langle \psi_1 | P_i | \psi_1 \rangle}{\sum_j \langle \psi_j | P_i | \psi_j \rangle} = p_i, \dots, \dots$$

This is  
(given outcome  $j$ : we get a different list of states and probabilities  
for each  $j$ .)

It's not impossible to work this way - at least for finite  $n$  -  
but it's conbersome!  
We'd like something better.