

Title: News from  $\nu$ 's -- Interpretations of recent neutrino anomalies

Date: May 27, 2011 01:00 PM

URL: <http://pirsa.org/11050065>

Abstract: Recently, several neutrino physics has witnessed an accumulation of anomalous results. In the first part of this talk, we will discuss the tension that the MINOS experiments has seen between oscillations of neutrinos and anti-neutrinos. We will show that, phenomenologically, this tension can be explained if neutrinos are hypothesized to have new interactions mediated by higher-dimensional operators, but we will also show that problems arise when one attempts to embed these operators into renormalizable models. In the second part of the talk, we will address several results hinting at the existence of sterile neutrinos. We will present results from a global fit to worldwide neutrino oscillation data, and will show that one sterile neutrino is not enough to reconcile all data sets, and that 5-neutrino models, while being in much better agreement with the data, still have some tension.



# News from $\nu$ 's

Interpretations of recent neutrino anomalies

Joachim Kopp

Perimeter Institute, May 27, 2011



based on work done in collaboration with  
Pedro Machado, Michele Maltoni, Stephen Parke, Thomas Schwetz



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# Outline



- 1 The MINOS experiment and its results—a hint for CPT violation?
  - The MINOS experiments and (some of) its results
  - Explanation attempts
  
- 2 MiniBooNE and the reactor anti-neutrino anomaly—sterile neutrinos?
  - The reactor anti-neutrino anomaly
  - LSND and MiniBooNE
  - The Gallium anomaly
  - Global fit

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# Hinchcliffe's theorem



“When a title is in the form of a question,  
the answer is always NO.”

see, however:

**IS HINCHLIFFE'S RULE TRUE? ·**

Boris Peon

Abstract

Hinchliffe has asserted that whenever the title of a paper is a question with a yes/no answer, the answer is always no. This paper demonstrates that Hinchliffe's assertion is false, but only if it is true.

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# The MINOS experiment



Beam:

- $\nu_\mu$  ( $\bar{\nu}_\mu$ ) from decay in flight of  $\pi^+$  ( $\pi^-$ )
- Intrinsic backgrounds: **wrong-sign**  $\nu_\mu$ ,  $\nu_e$  from  $\pi$ ,  $K$ ,  $\mu$  decays

Far detector:

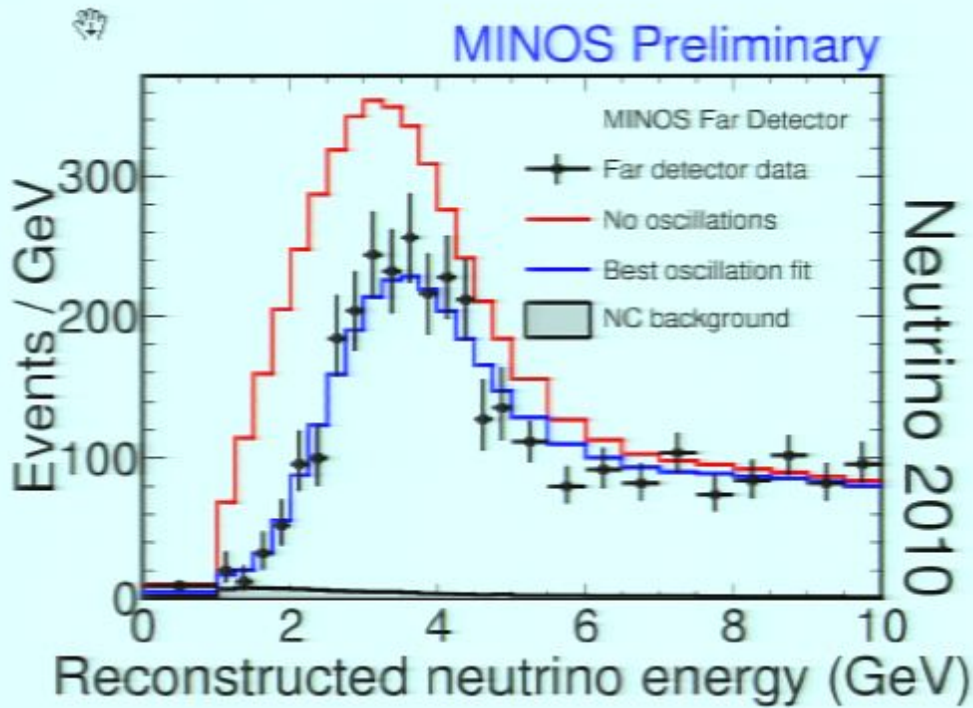
- 5.4 kt magnetized iron / solid scintillator

Near detector:

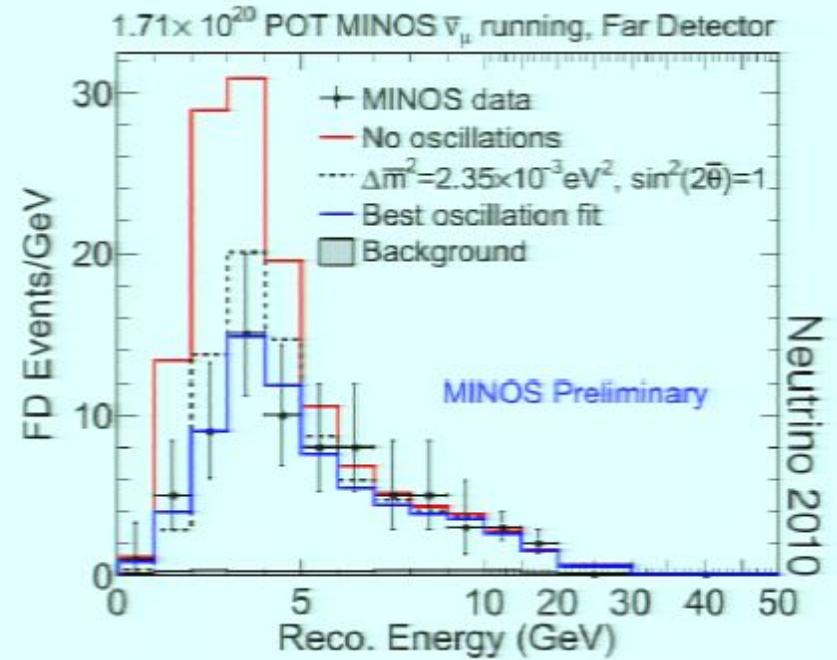
- Similar to the far detector but smaller
- Goal: Reduction of **systematic uncertainties**



# MINOS $\nu_\mu, \bar{\nu}_\mu$ disappearance data



$\nu_\mu$



$\bar{\nu}_\mu$

Image credit: MINOS collaboration, <http://www-numi.fnal.gov/>  
 This result first presented by P. Vahle at Neutrino 2010, see also arXiv:1104.0344

# MINOS oscillation fit

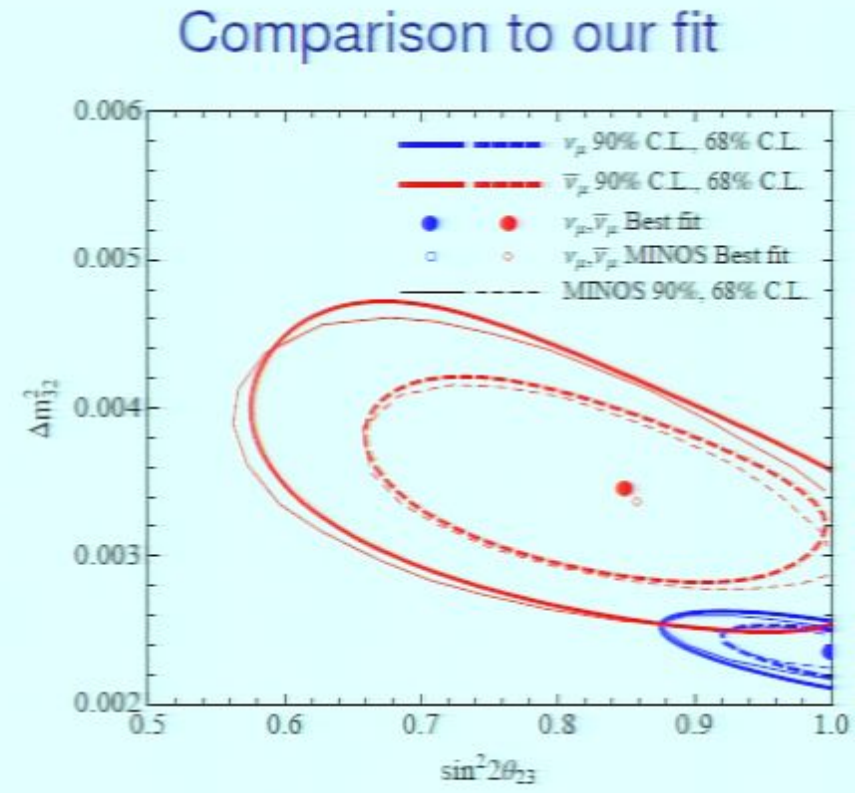
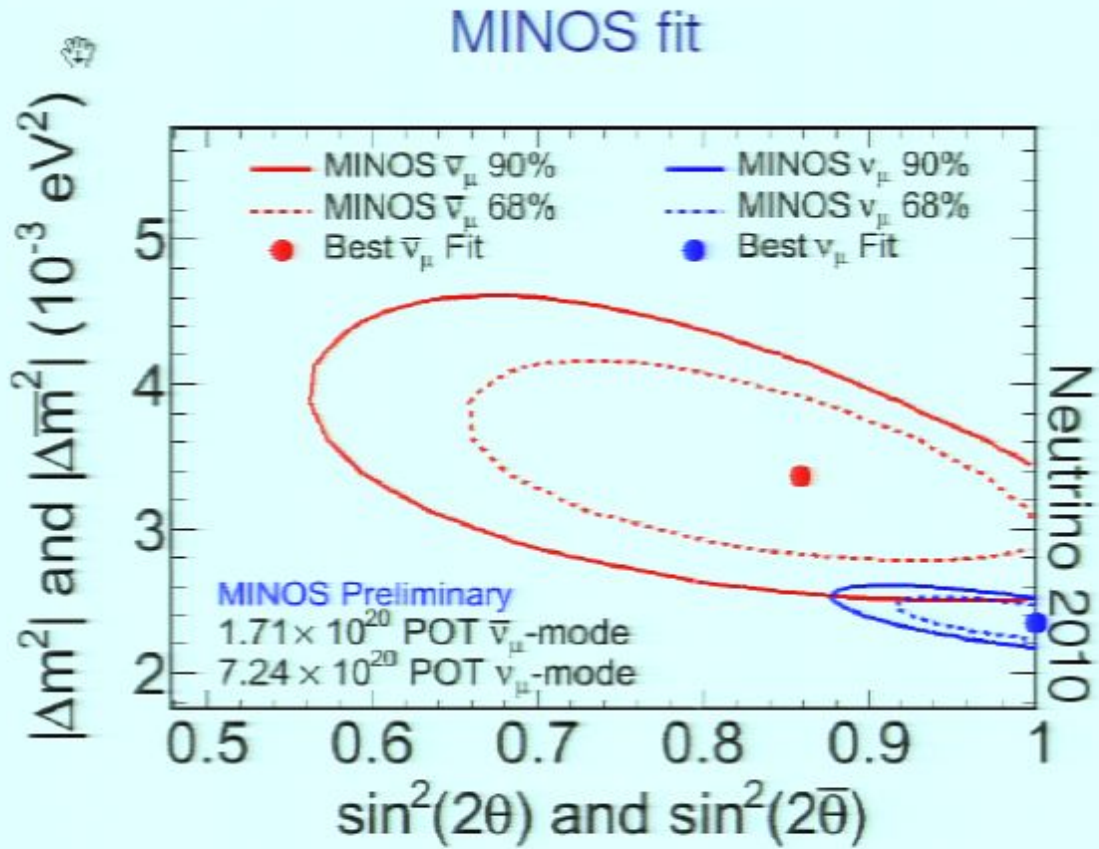
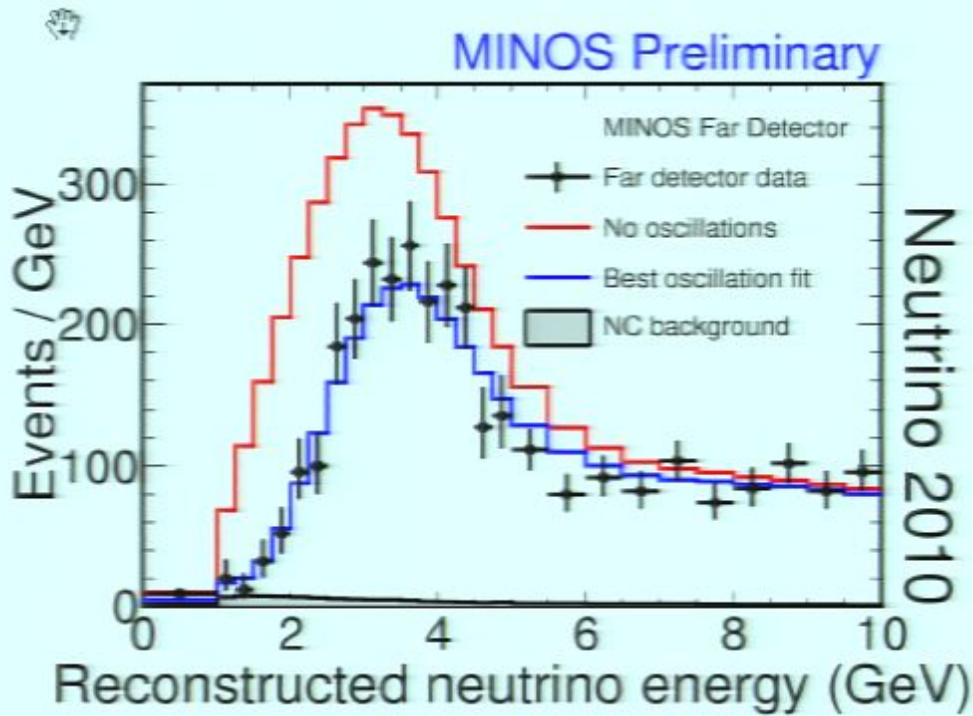


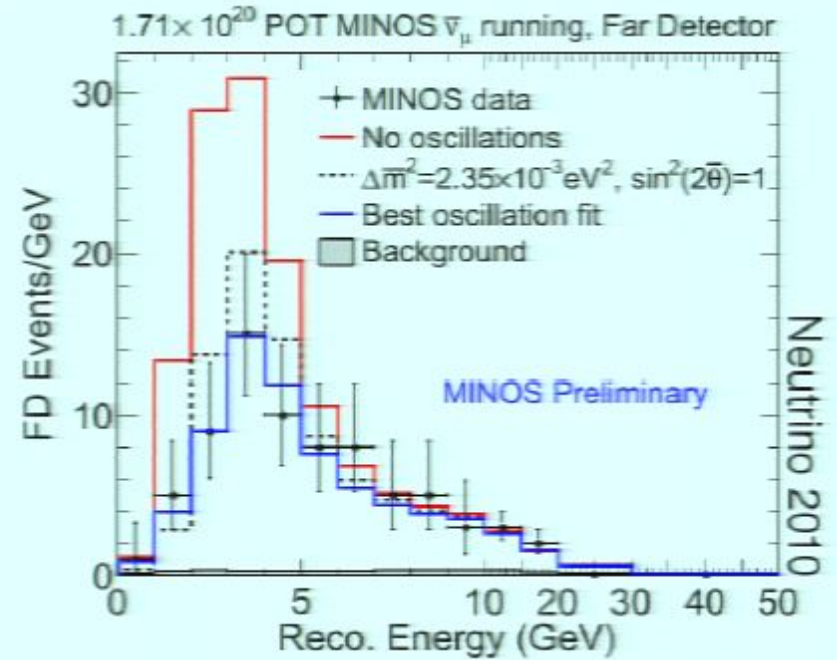
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- Two-flavor fits:  $P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta \sin^2 \frac{\Delta m^2 L}{4E}$
- Separate fits for neutrinos and anti-neutrinos differ at 98% confidence level.

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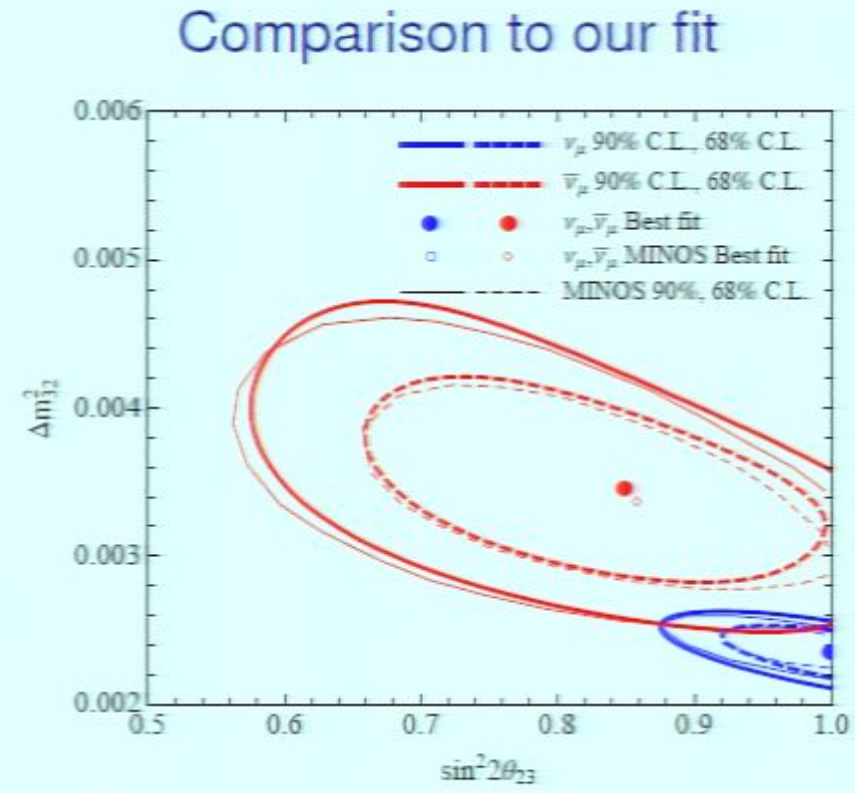
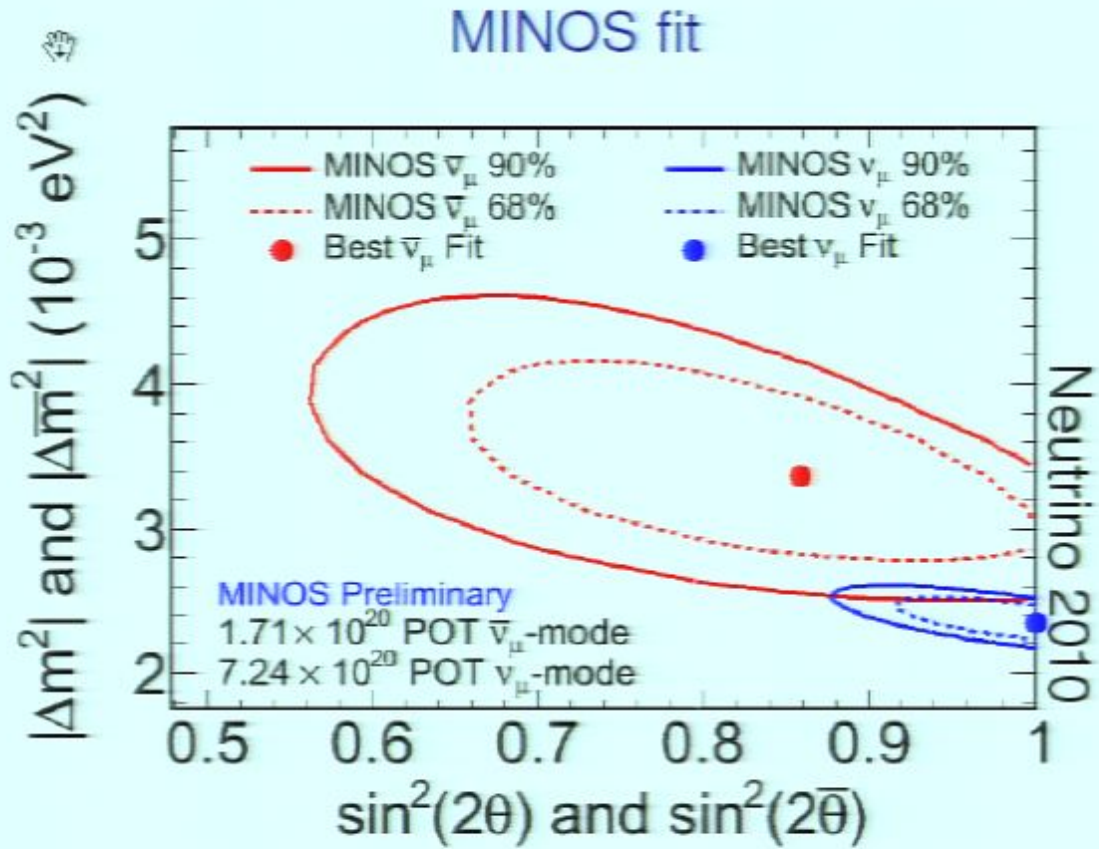


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$\bar{\nu}_\mu$  sample is about 20 times smaller than  $\nu_\mu$  sample.

⇒ Effect might go away with more statistics

- **Systematic effect?**

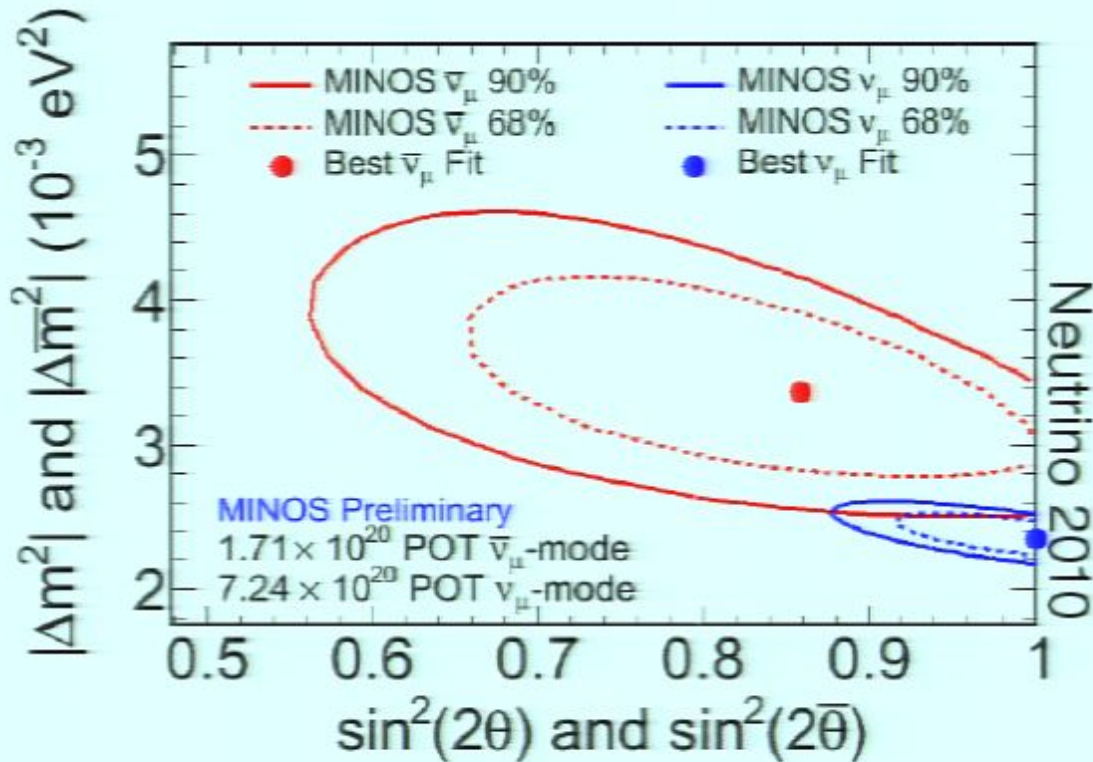
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# MINOS oscillation fit



MINOS fit



Comparison to our fit

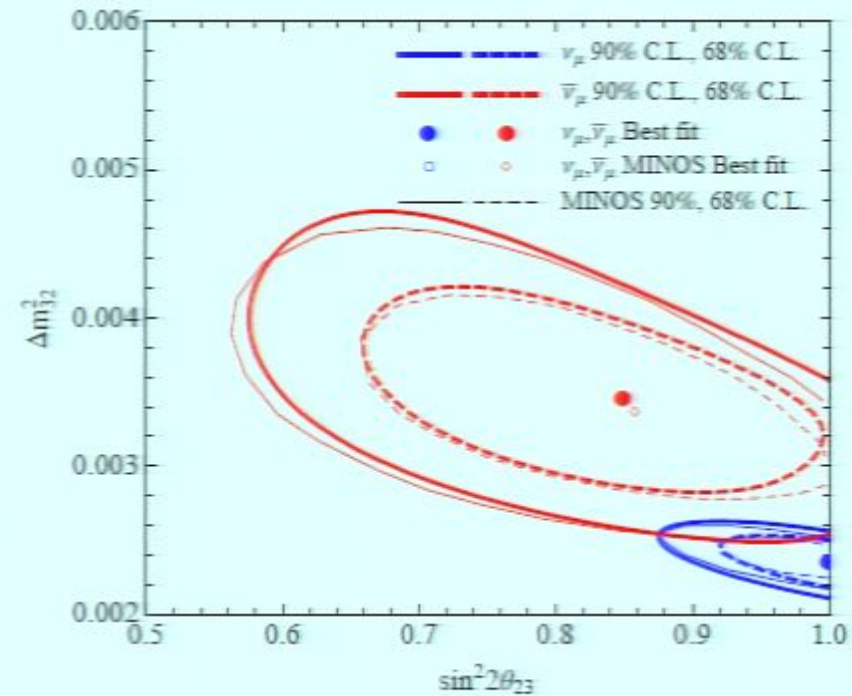


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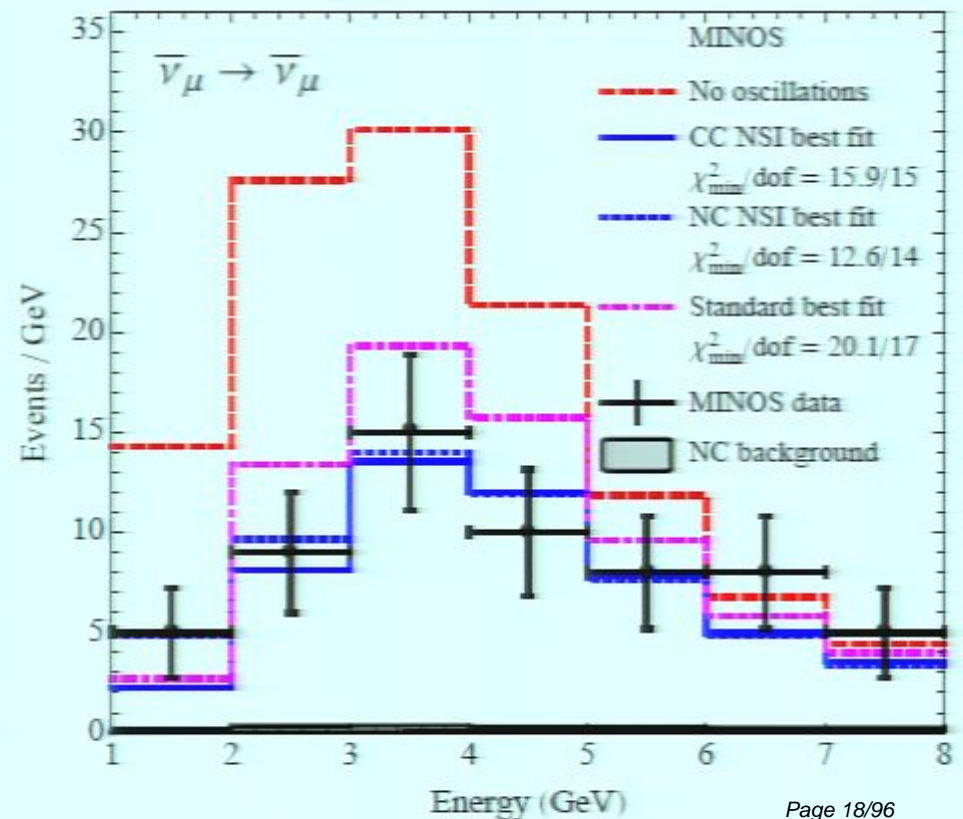
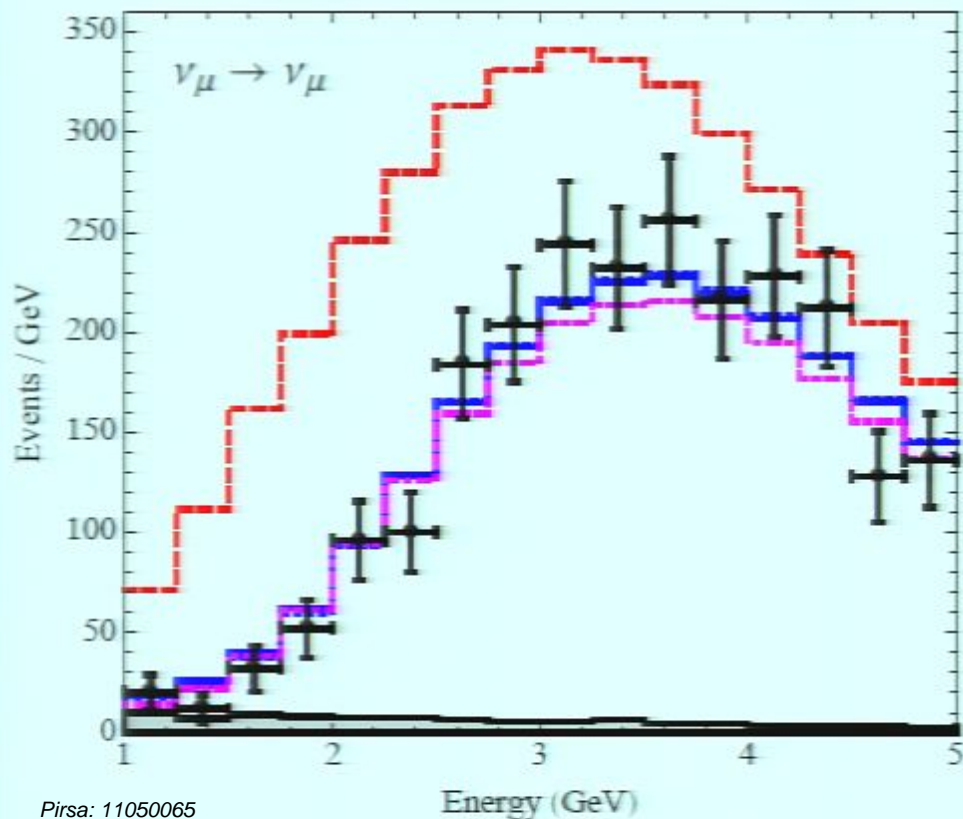
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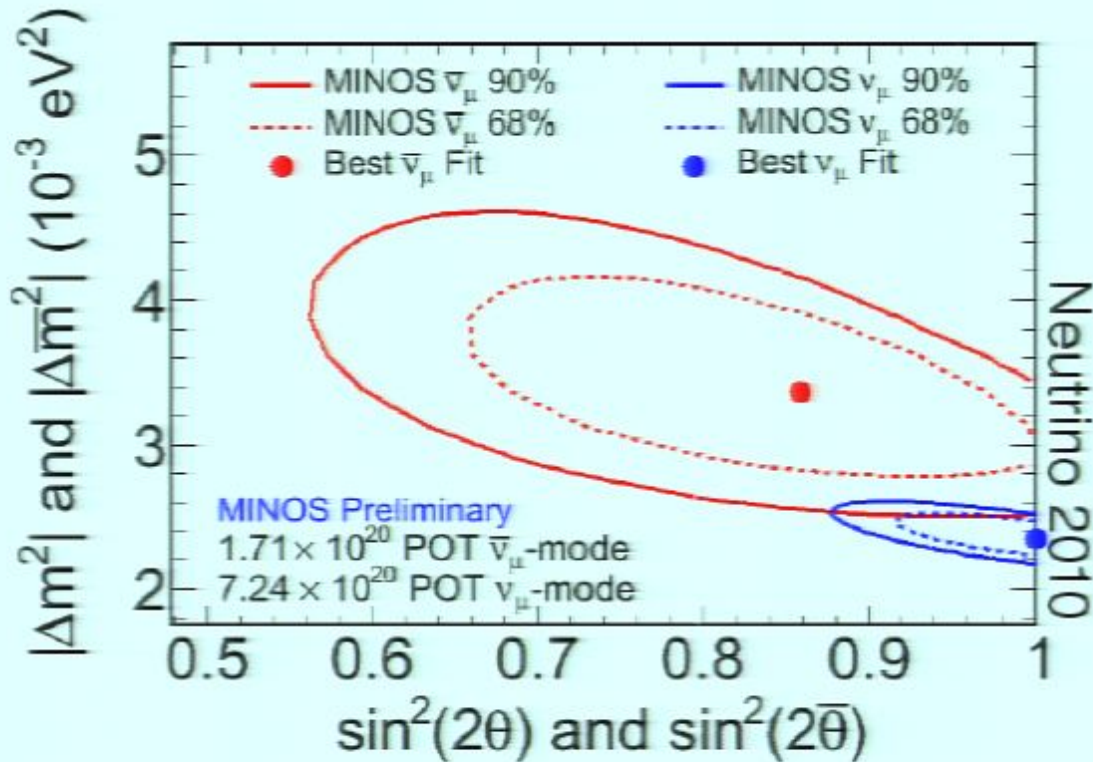
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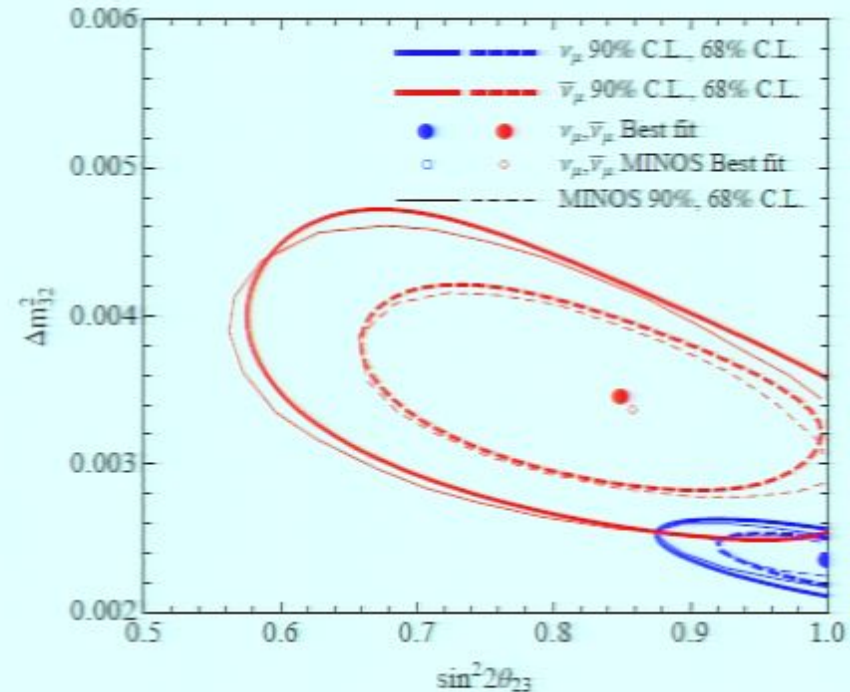


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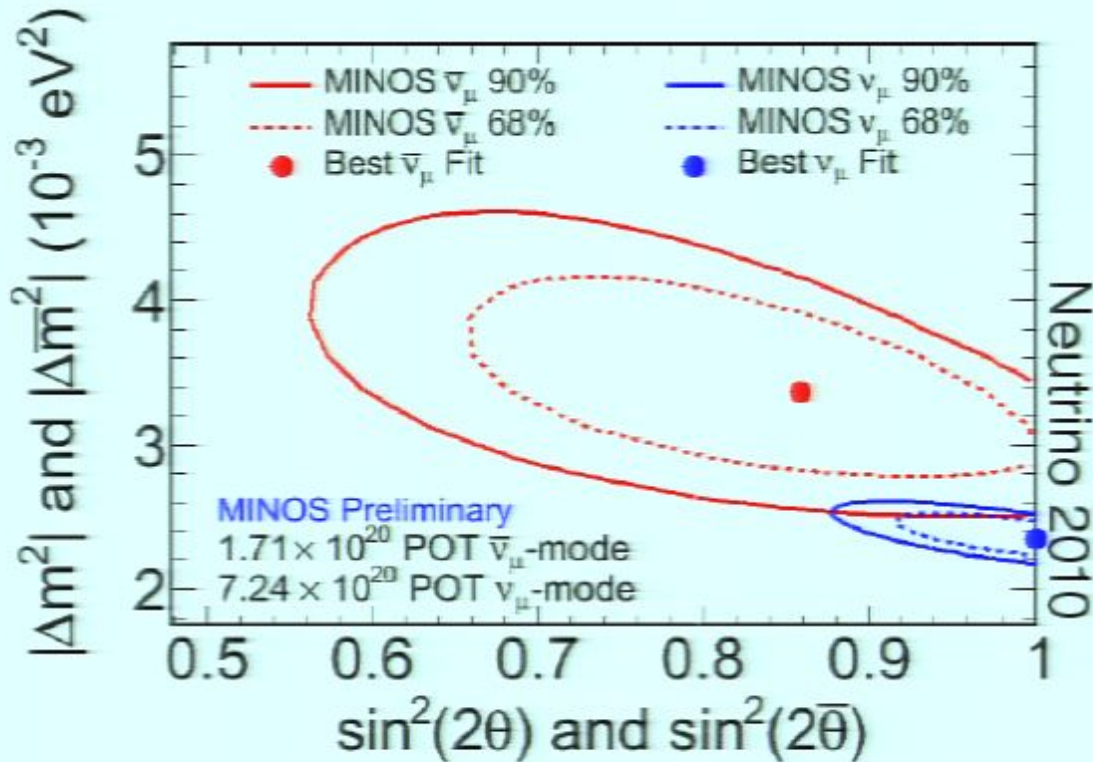
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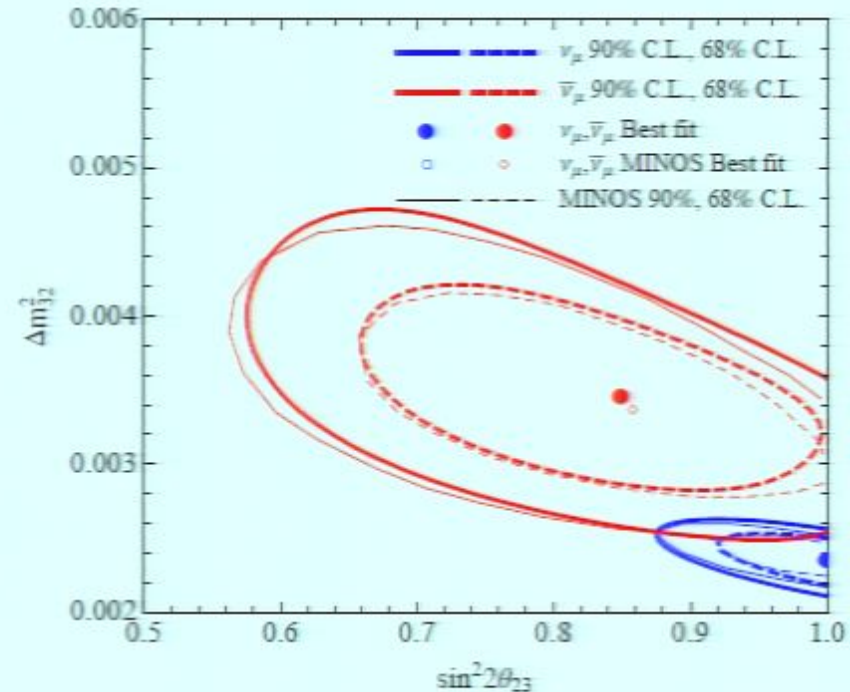


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# *CPT* violation?

## Why not just *CP* violation?

- $\nu_\mu \rightarrow \nu_\mu$  is a *T*-invariant process
- By virtue of *CPT*, it must conserve *CP*.
- Note: *CP* violation in interactions is a possibility—see later

## Phenomenological parameterizations

- Assume mixing matrices for  $\nu$  and  $\bar{\nu}$  to be completely independent and perform global fit

Barenboim Lykken arXiv:0908.2993

- Introduce Lorentz- and *CPT*-violating operators like  $A_\mu \bar{\psi} \gamma^\mu \psi$   
(with  $A_\mu$  a constant 4-vector)

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# A model of spontaneous $CPT$ violation

Mukohyama Park arXiv:1009.1251

- Ghost condensation ( $\langle \partial_0 \phi \rangle \neq 0$ ) on a distant brane in 5D.  
⇒ preferred frame
- Right-handed neutrinos propagating in the bulk couple to  $\partial_\mu \phi$  and to  $\nu_L$ .
- After ghost-condensation, Lorentz-violating neutrino mass terms are generated.

# Effective $CPT$ violation: Neutrino matter effects

In the Standard Model:

$$\begin{aligned}\mathcal{L}_{\text{eff}} &\sim -2\sqrt{2}G_F [\bar{e}\gamma^\mu P_L \nu_e] [\bar{\nu}_e \gamma_\mu P_L e] \\ &\sim -2\sqrt{2}G_F [\bar{e}\gamma^\mu P_L e] [\bar{\nu}_e \gamma_\mu P_L \nu_e]\end{aligned}$$

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$$\begin{aligned}\langle \bar{e}\gamma^0 e \rangle &= n_e & \langle \bar{e}\vec{\gamma} e \rangle &\sim \langle \vec{v}_e \rangle = 0 \\ \langle \bar{e}\gamma^0 \gamma^5 e \rangle &\sim \langle \vec{\sigma}_e \vec{p}_e / E_e \rangle = 0 & \langle \bar{e}\vec{\gamma} \gamma^5 e \rangle &\sim \langle \vec{\sigma}_e \rangle = 0\end{aligned}$$

Potential felt by electron neutrinos in ordinary matter:

$$V = \sqrt{2}G_F n_e$$

Sign changes for  $\nu_\mu \leftrightarrow \bar{\nu}_\mu$

⇒ **Effective  $CPT$  violation** due to  $CPT$ -asymmetric background matter

In the SM, these effects are **far too small** to explain MINOS  $\nu_\mu$  disappearance data since they are **suppressed** by  $\theta_{13}$ ,  $\Delta m_{21}^2 / \Delta m_{31}^2$

# Non-standard matter effects

Many previous works on NSI in MINOS, but mostly focussing on the  $\nu_\mu \rightarrow \nu_e$  appearance channel.

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Two modes of searching for new neutrino interactions at MINOS

hep-ph/0606101

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Will MINOS see new physics?

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Consider a neutral current (NC) **non-standard interaction** (NSI) of the form

$$\mathcal{L}_{\text{NSI}} \sim -2\sqrt{2}G_F \epsilon_{\alpha\beta}^f [\bar{f}\gamma^\mu f] [\bar{\nu}_\alpha \gamma_\mu P_L \nu_\beta] \quad f = e, \mu, \tau,$$

leading to **off-diagonal** (flavor-violating) and/or **non-universal** matter potential. In the flavor basis,

$$V = \sqrt{2}G_F n_e \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon_{e\mu}^* & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{e\tau}^* & \epsilon_{\mu\tau}^* & \epsilon_{\tau\tau} \end{pmatrix}.$$

The oscillation probability is

$$P(\nu_\alpha \rightarrow \nu_\beta) = |\langle \nu_\beta | e^{-iHt} | \nu_\alpha \rangle|^2, \quad H = \frac{1}{2E} U \begin{pmatrix} 0 & & \\ & \Delta m_{21}^2 & \\ & & \Delta m_{31}^2 \end{pmatrix} U^\dagger + V.$$

For  $\bar{\nu}$ :  $U \rightarrow U^*$ ,  $V \rightarrow -V$   
 $\Rightarrow$  **Effective CPT violation**

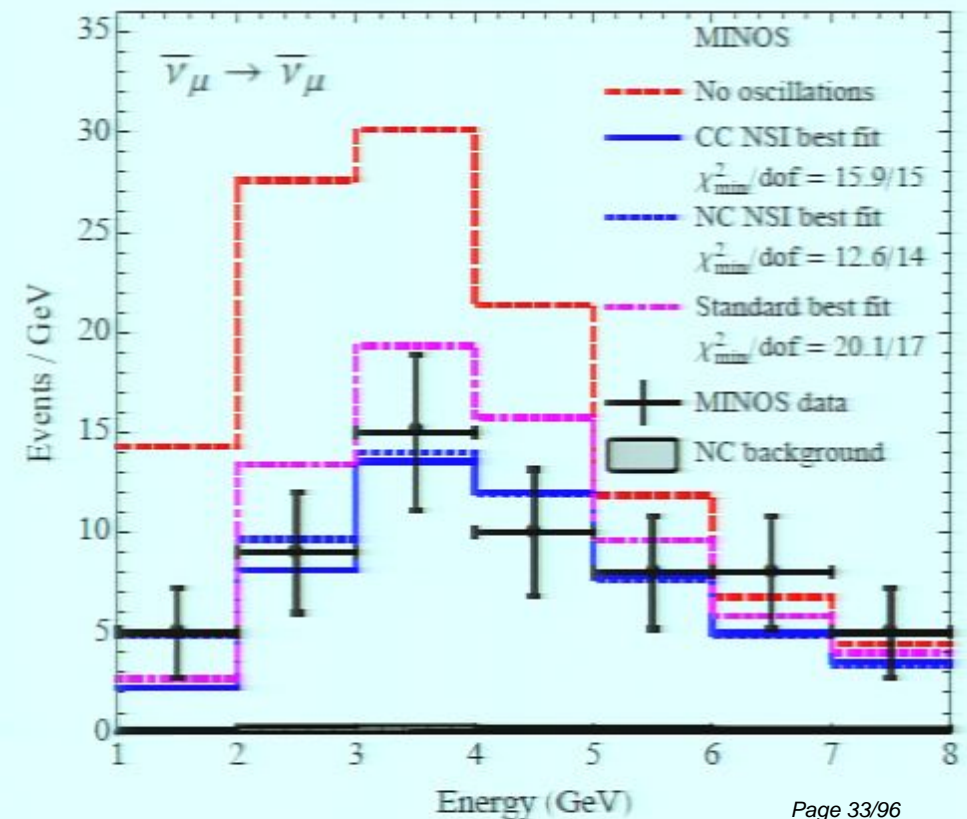
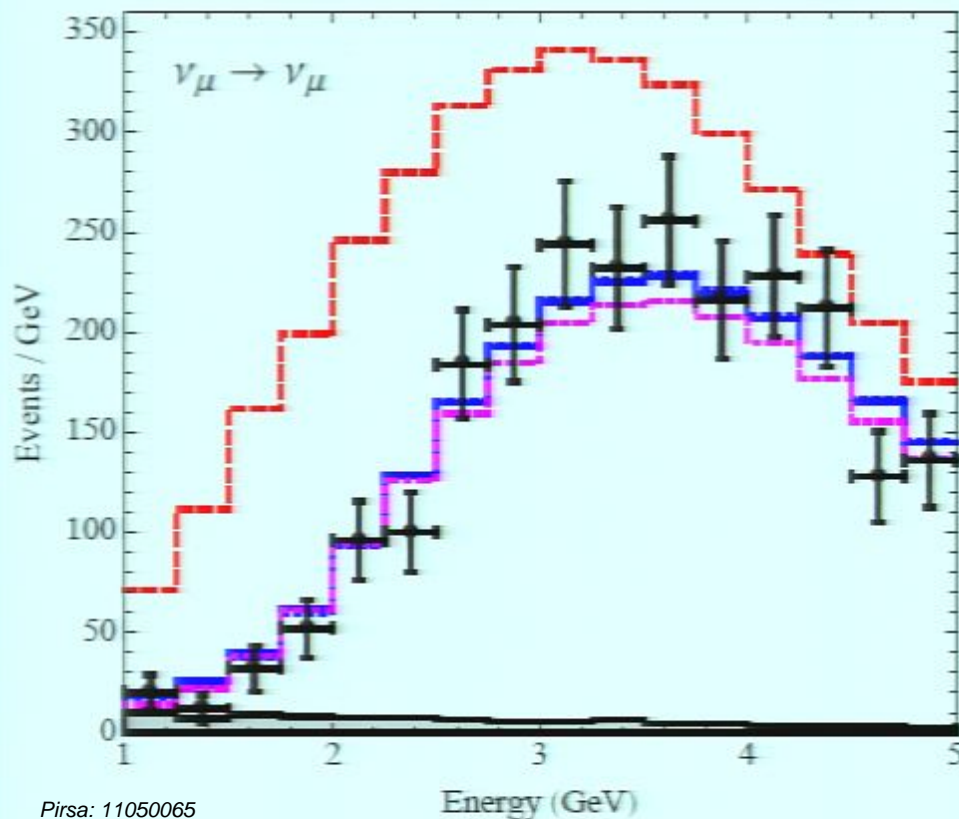


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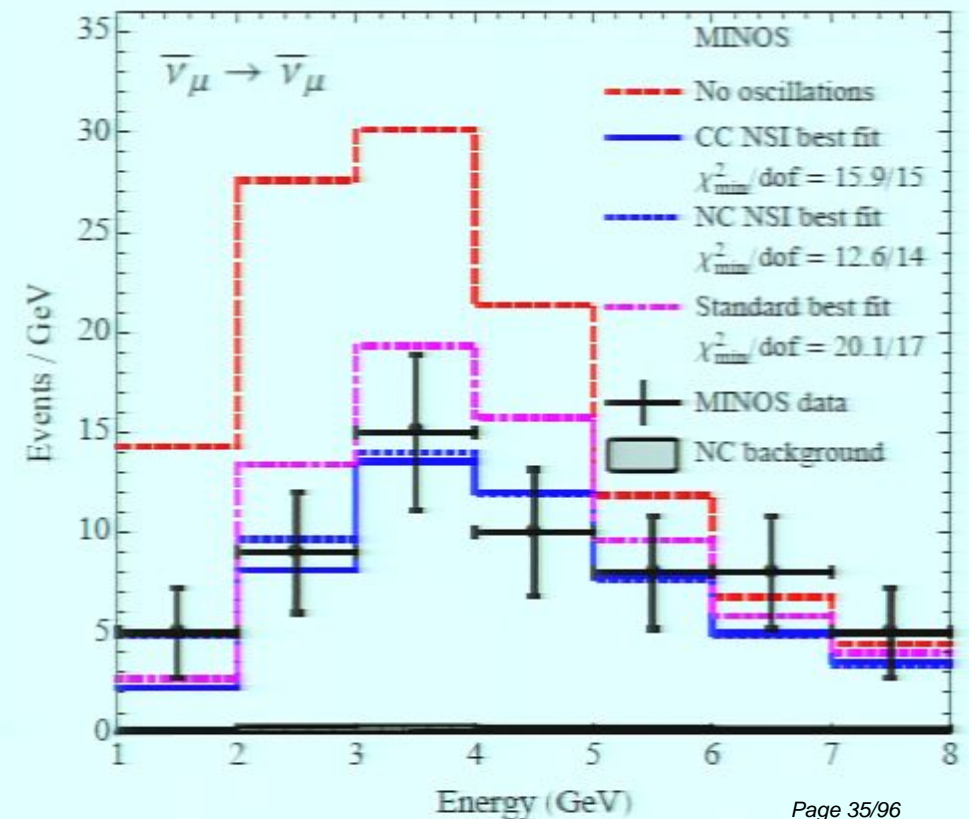
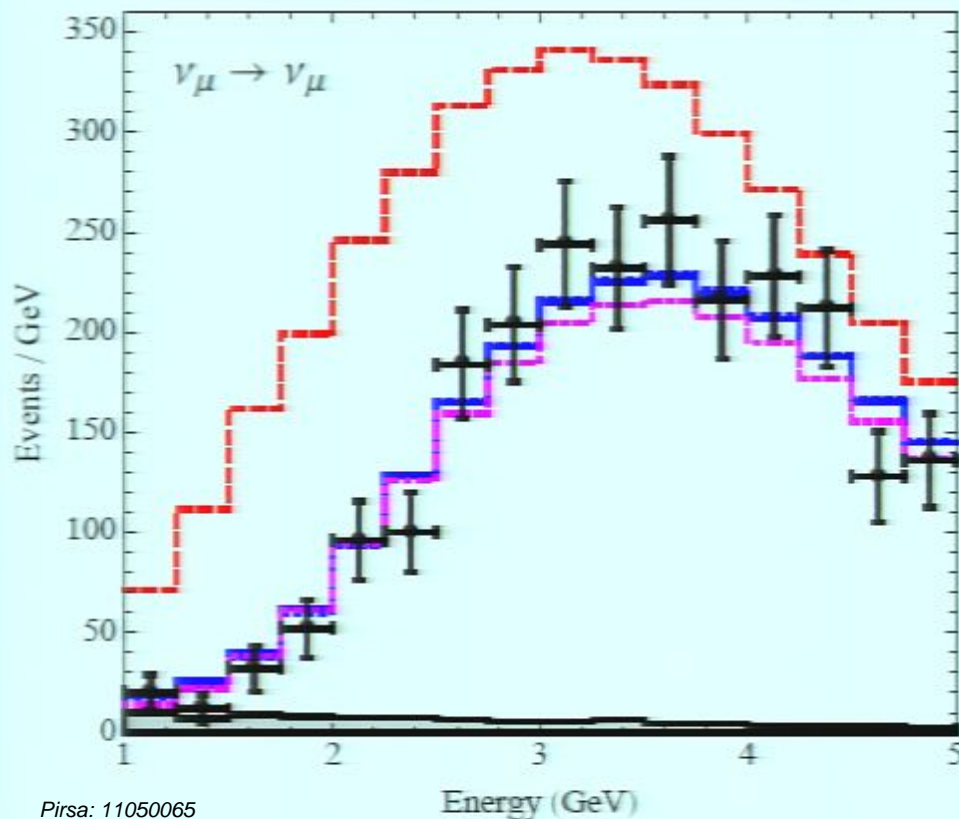
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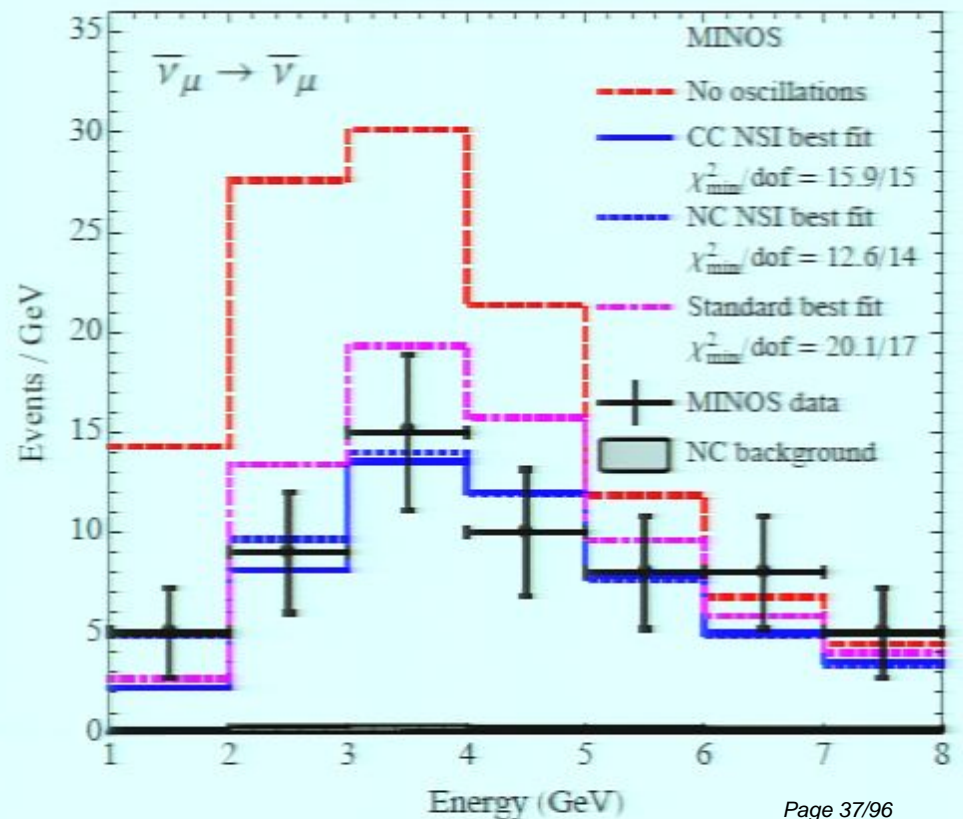
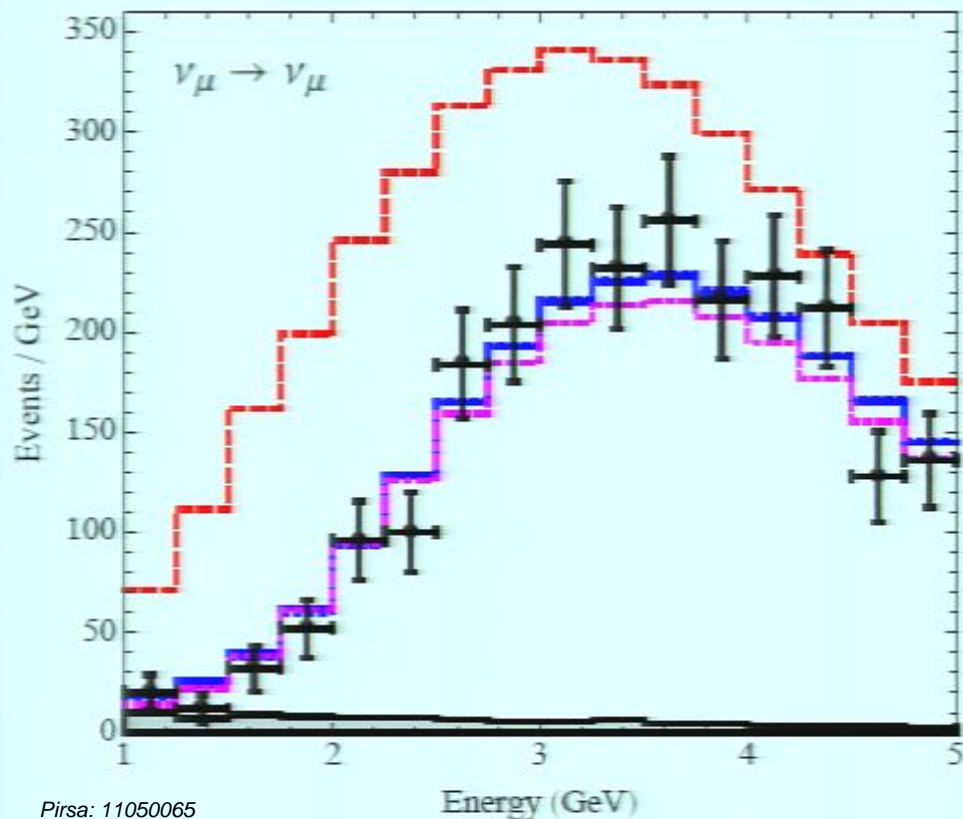
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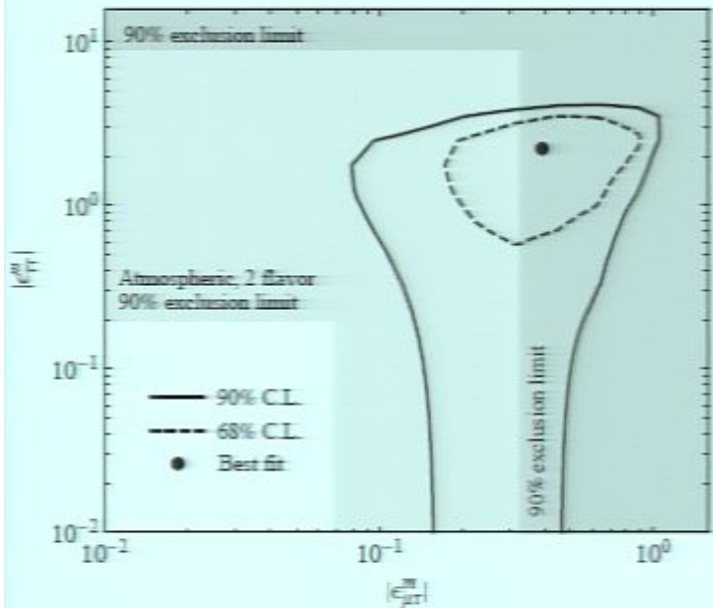
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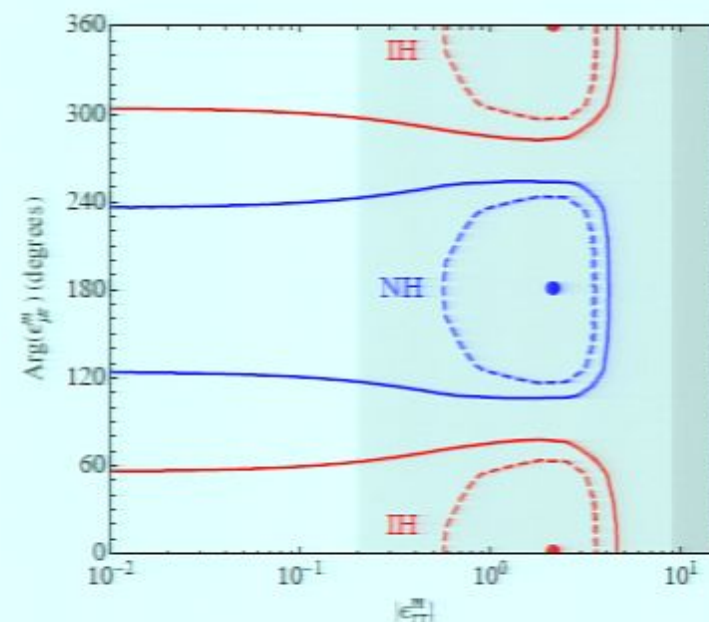
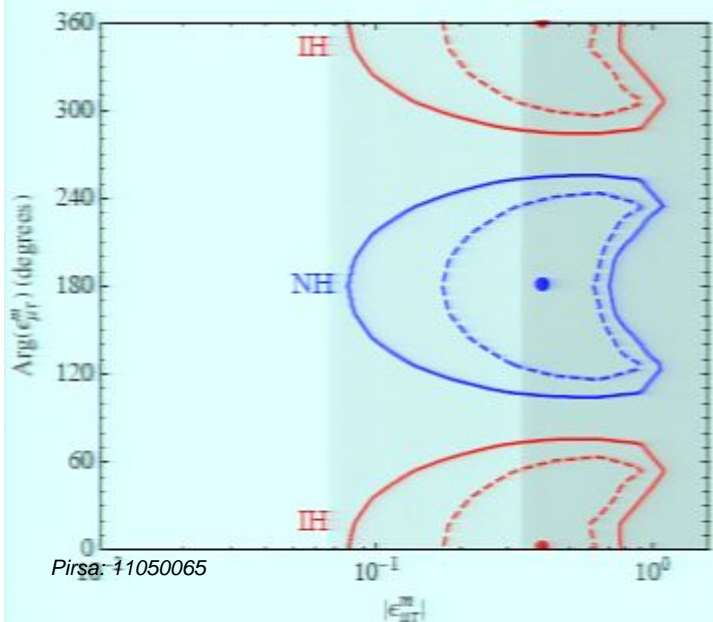
(with  $A = 2\sqrt{2}G_F n_e E$ )



# Non-standard matter effects in the $\mu\text{-}\tau$ sector (2)



- $|\epsilon| \gtrsim 0.1$  required (almost as strong as SM weak interactions)
- Consistent with constraints on  $\epsilon_{\mu\tau}$  from CHARM ( $\nu_\mu e \rightarrow \nu e$ ) and NuTeV ( $\nu_\mu q \rightarrow \nu q$ )
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Similar analysis performed by Mann Cherdack Musial Kafka arXiv:1006.5720

**Note:** We included only the low-energy part of the MINOS spectrum. As shown in 1103.4365 the high-E part is important and makes the fit worse.

# Non-standard matter effects

Consider a neutral current (NC) **non-standard interaction** (NSI) of the form

$$\mathcal{L}_{\text{NSI}} \sim -2\sqrt{2}G_F \epsilon_{\alpha\beta}^f [\bar{f}\gamma^\mu f] [\bar{\nu}_\alpha \gamma_\mu P_L \nu_\beta] \quad f = e, \mu, \tau,$$

leading to **off-diagonal** (flavor-violating) and/or **non-universal** matter potential. In the flavor basis,

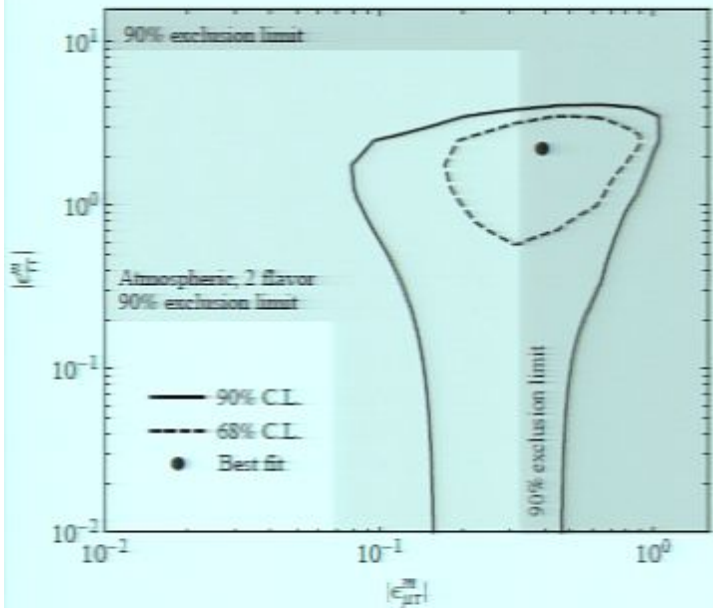
$$V = \sqrt{2}G_F n_e \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon_{e\mu}^* & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{e\tau}^* & \epsilon_{\mu\tau}^* & \epsilon_{\tau\tau} \end{pmatrix}.$$

The oscillation probability is

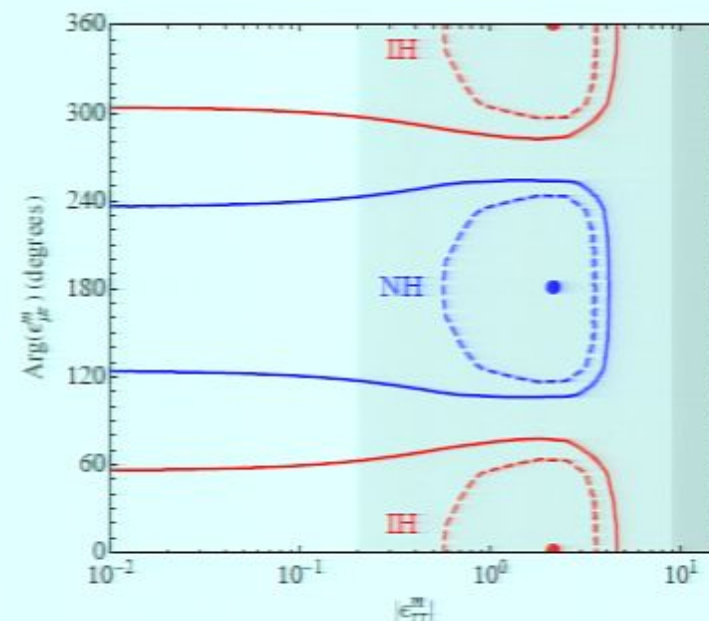
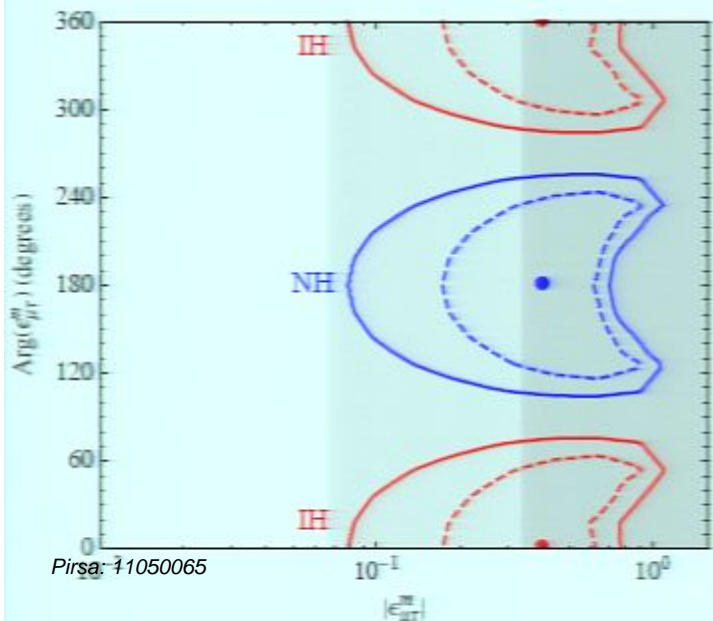
$$P(\nu_\alpha \rightarrow \nu_\beta) = |\langle \nu_\beta | e^{-iHt} | \nu_\alpha \rangle|^2, \quad H = \frac{1}{2E} U \begin{pmatrix} 0 & & \\ & \Delta m_{21}^2 & \\ & & \Delta m_{31}^2 \end{pmatrix} U^\dagger + V.$$

For  $\bar{\nu}$ :  $U \rightarrow U^*$ ,  $V \rightarrow -V$   
 $\Rightarrow$  **Effective CPT violation**

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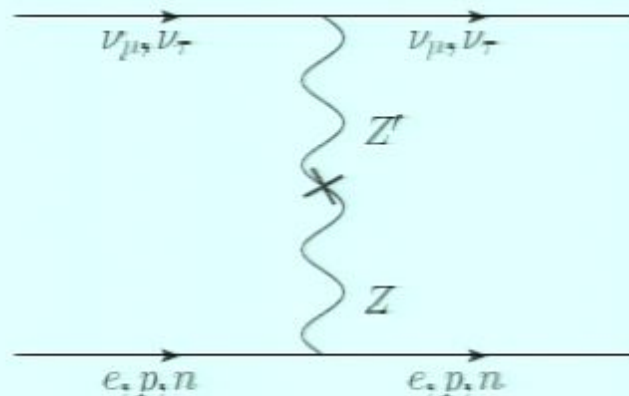
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# A new long-range force?

Heeck Rodejohann arXiv:1007.2655  
Davoudiasl Lee Marciano arXiv:1102.5352

- A very light  $L_\mu - L_\tau$  or  $B - L_e - 2L_\tau$  gauge boson  $Z'$   
( $m_{Z'} \lesssim 10^{-18}$  eV  $\sim 1$  a.u. $^{-1}$ )
- Very weak couplings ( $\alpha \lesssim 10^{-50}$ )
- Mixing with the SM  $Z$



- $\nu_\mu, \nu_\tau$  feel potential generated by the Sun (contribution from the Earth is  $\sim 3$  times smaller)
- Since the Sun contains no anti-matter, and since  $\nu$  and  $\bar{\nu}$  have opposite  $L_\mu - L_\tau$  and  $B - L_e - 2L_\tau$  charges), this leads to effective  $CPT$  violation.
- Phenomenologically equivalent to  $\epsilon_{\mu\mu}, \epsilon_{\tau\tau}$ .

# A $CP$ -violating charged current interaction?

- Remember:  $\nu_\mu \rightarrow \nu_\mu$  is  $CP$ -invariant
- But:  $\pi(\text{ source}) \rightarrow ??? \rightarrow \mu(\text{ detector})$  does not have to be.
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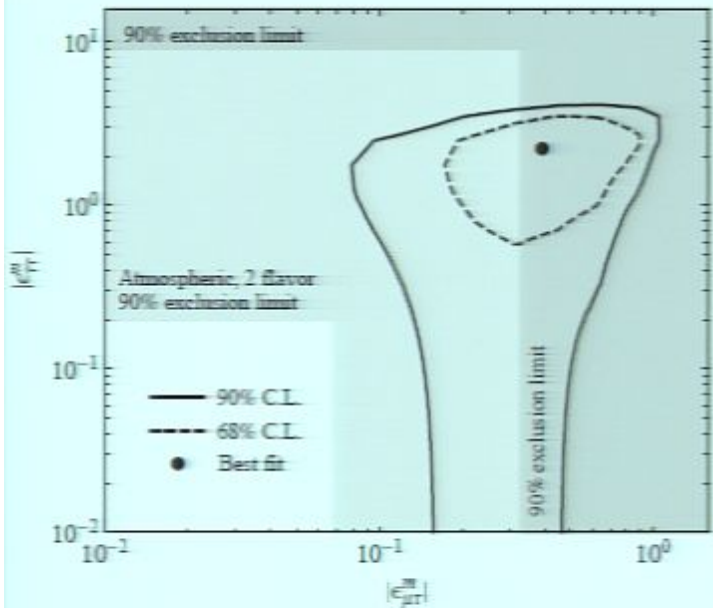
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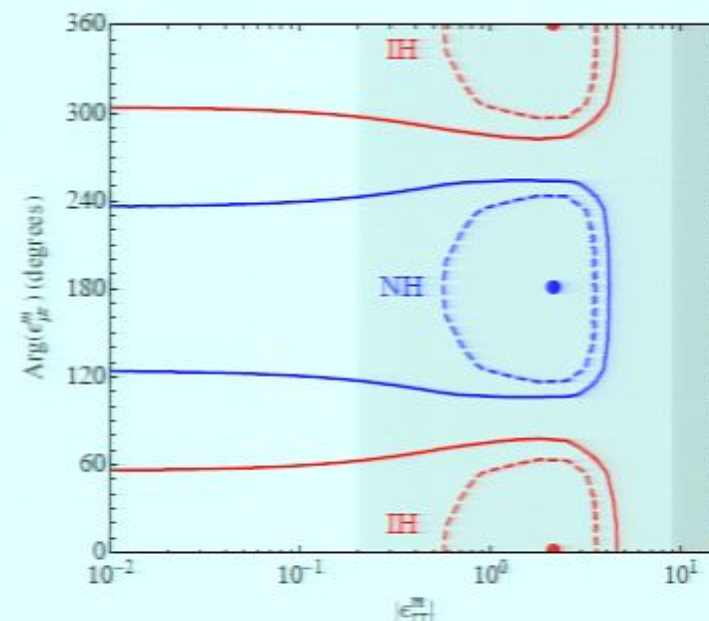
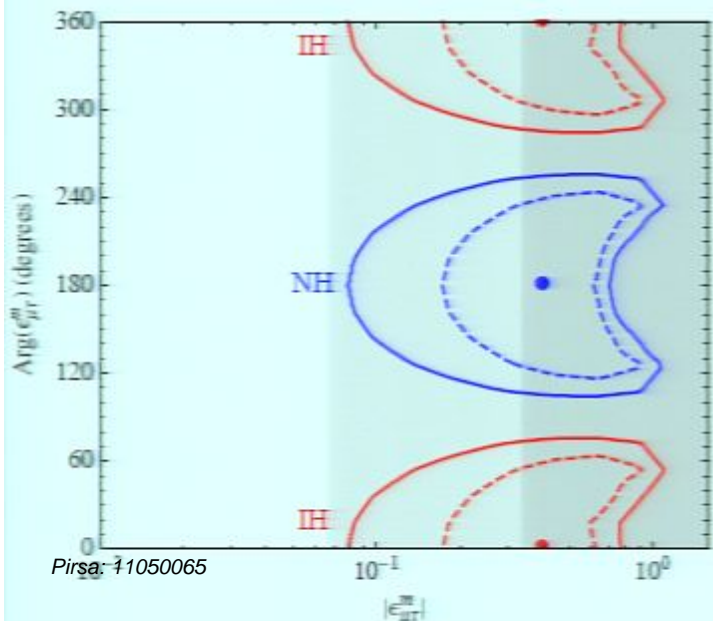
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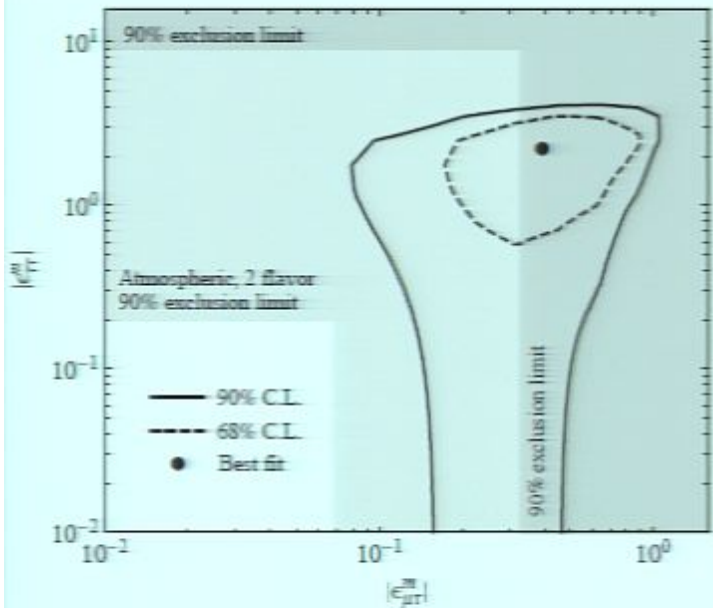
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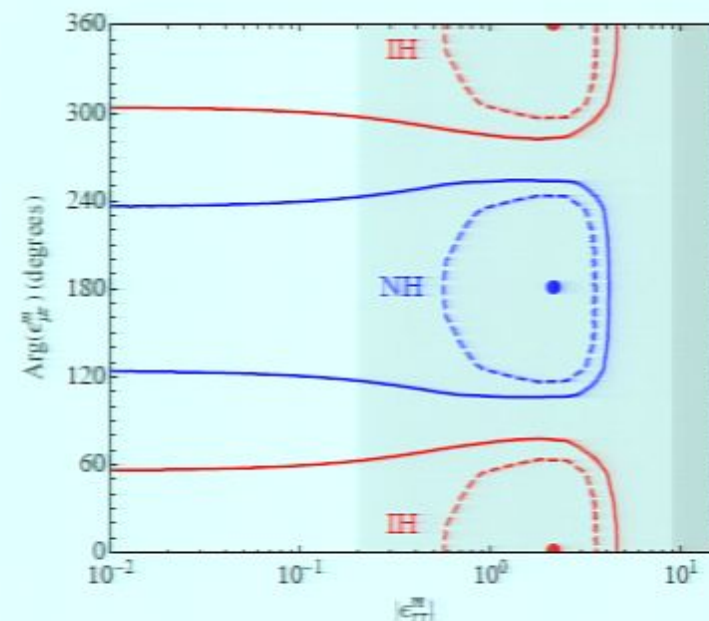
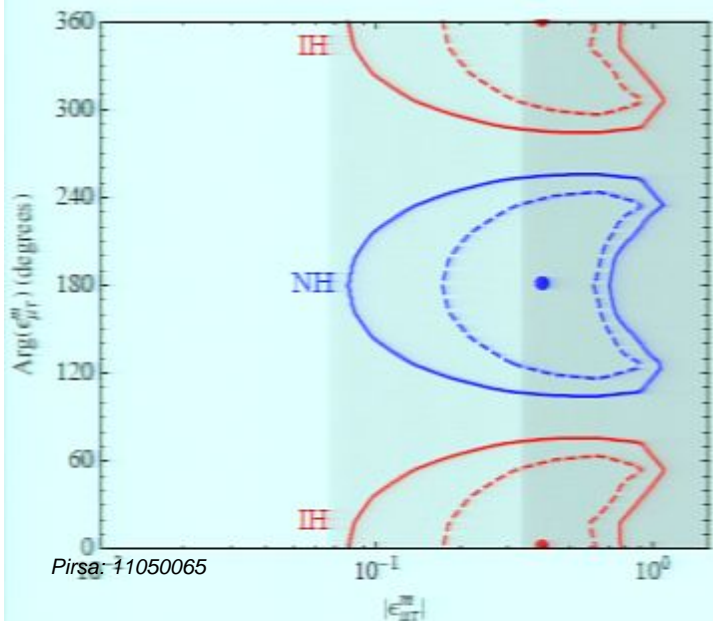
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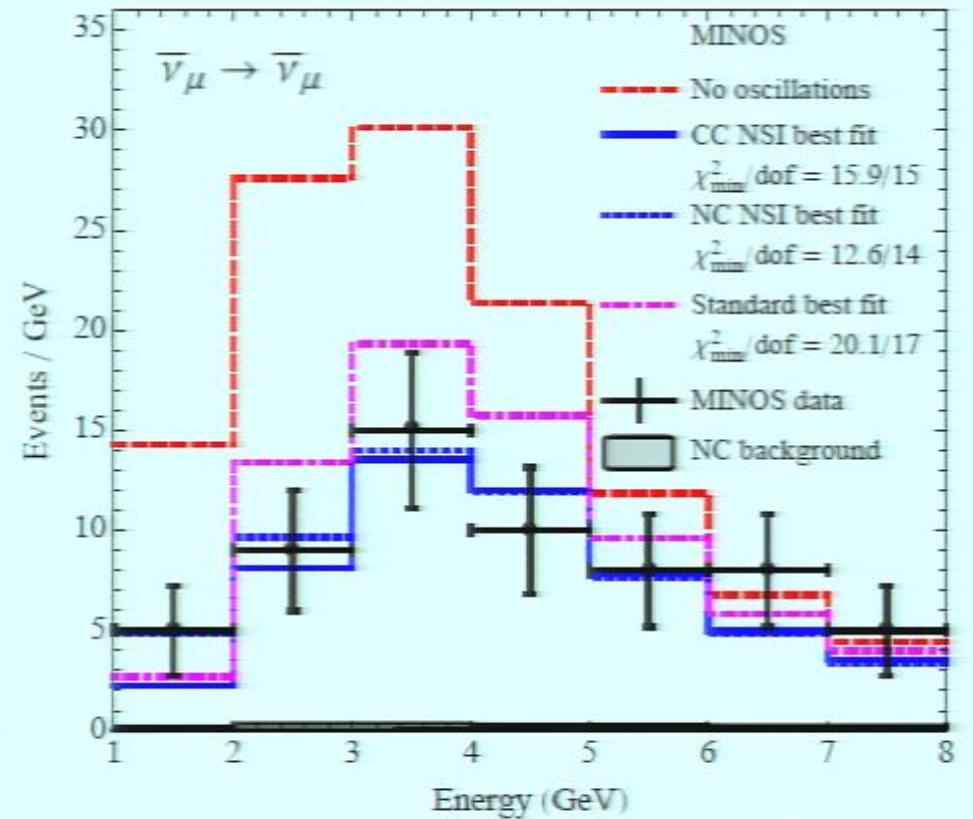
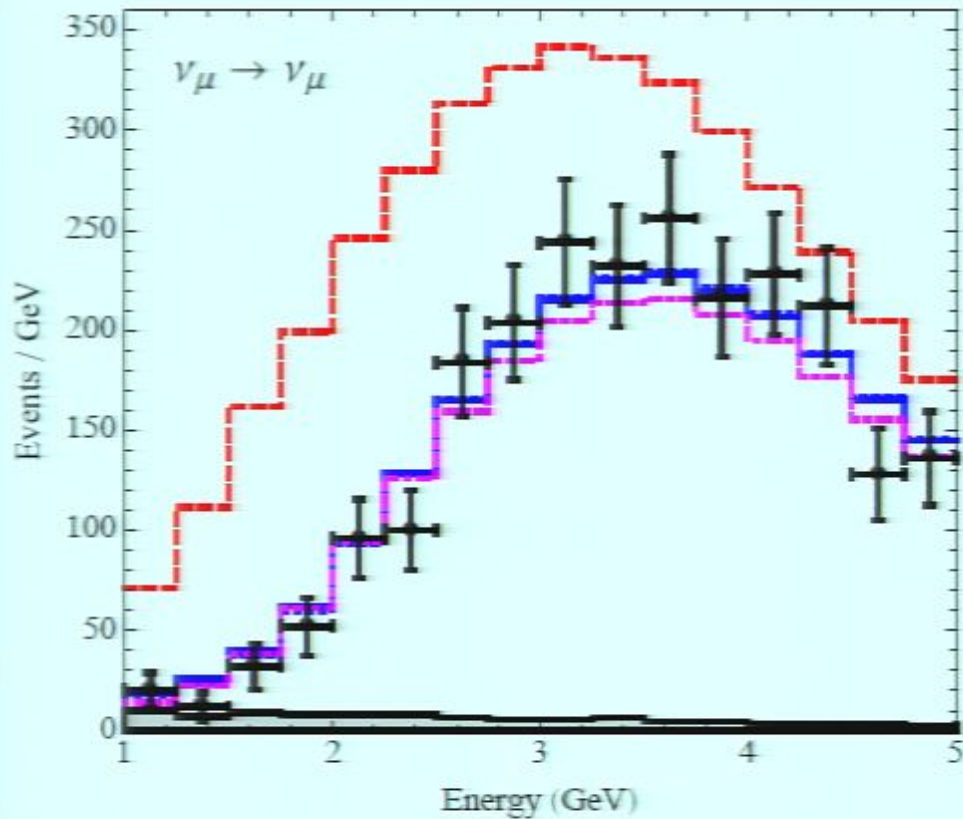
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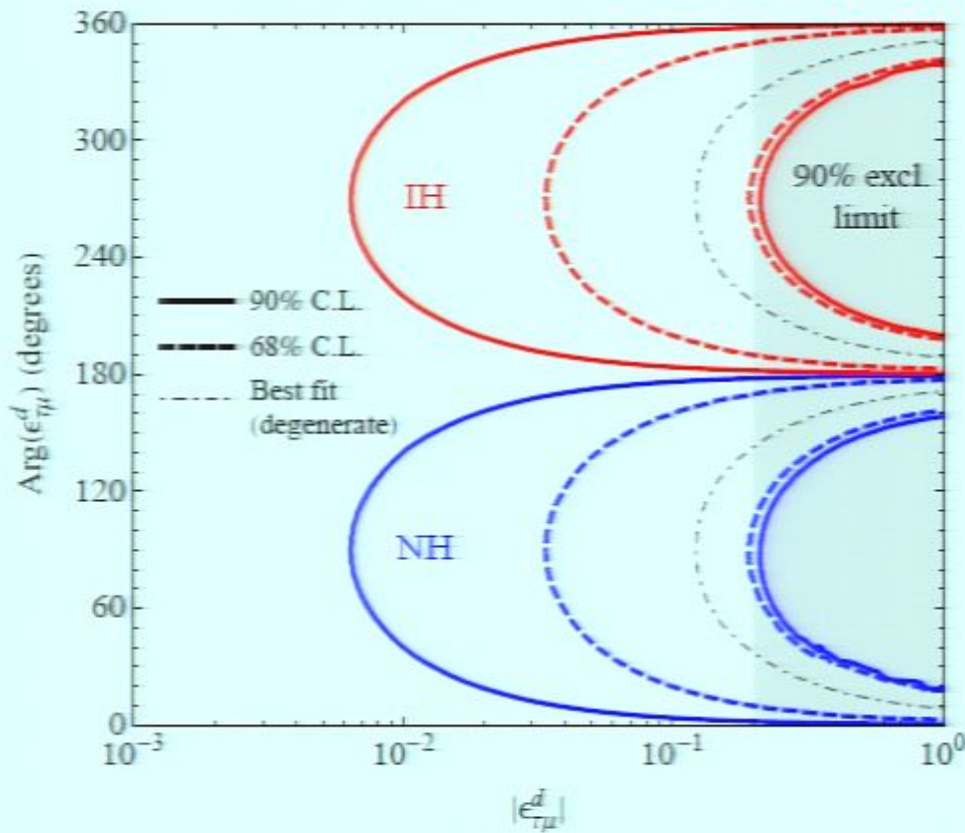
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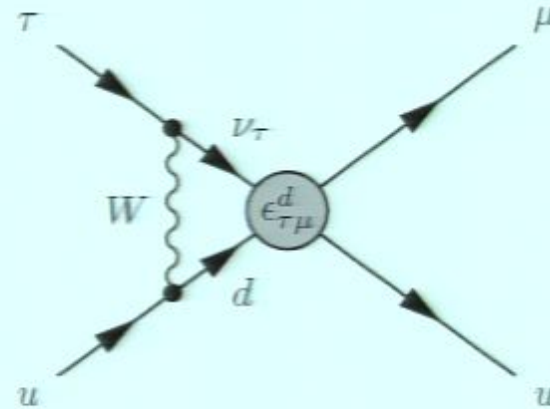
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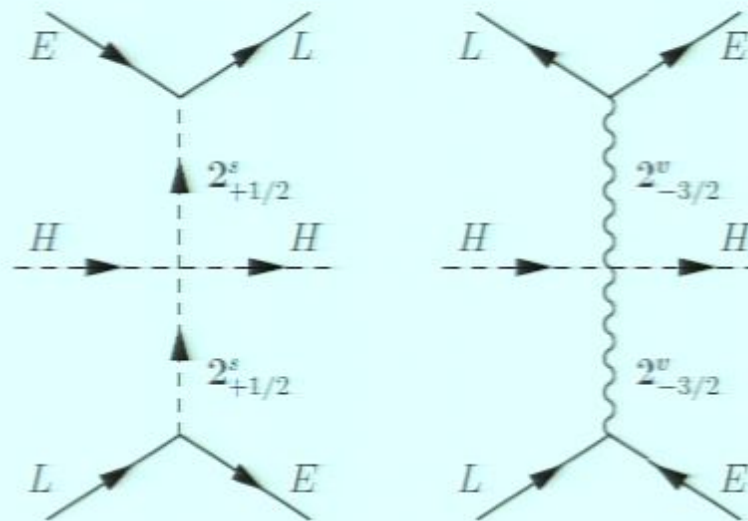
# Non-standard interactions from heavy new physics

Aim: Relate NSI operators to **renormalizable** model

- $SU(2)$  invariant operators for neutrino NSI are usually **accompanied by charged lepton NSI**, which are heavily constrained.  
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see e.g. Antusch Baumann Fernández-Martínez arXiv:0807.1003  
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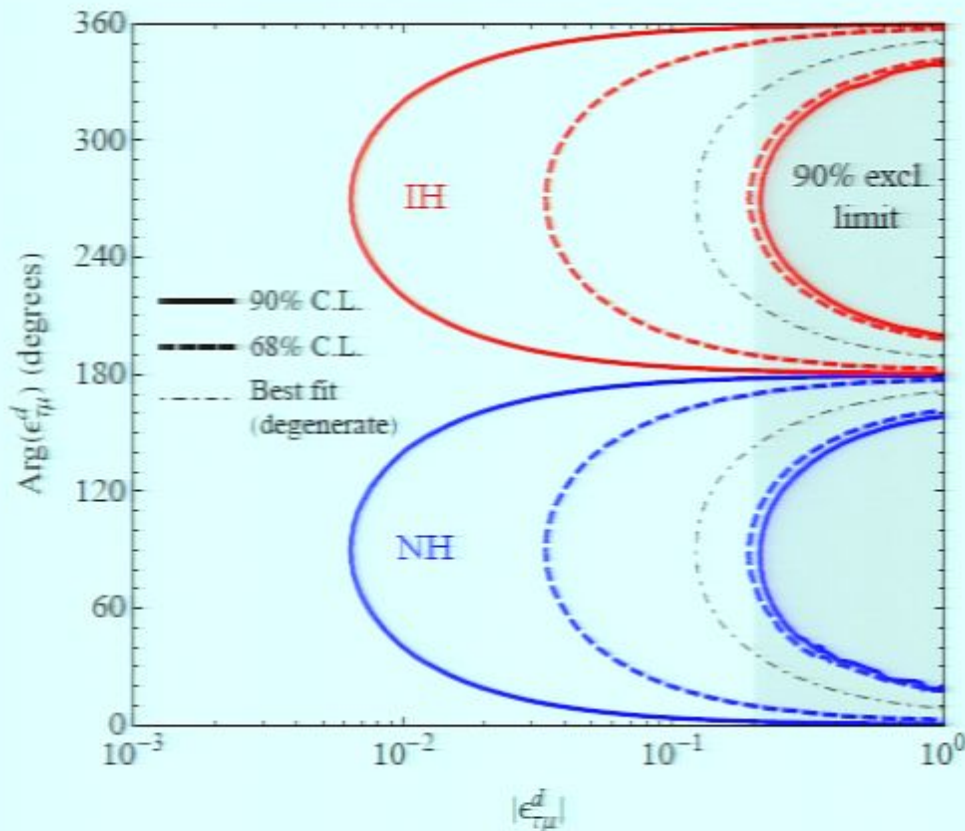
- One way out: **Dimension 8 operators**, e.g.  $[\bar{E}^c_\gamma \gamma^\rho L_\alpha][\bar{L}^\beta \gamma_\rho E^{c\delta}]$



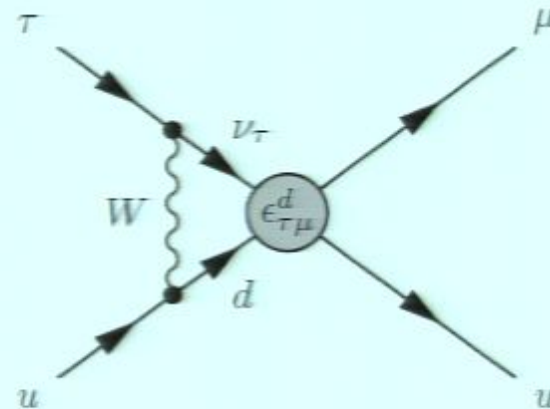
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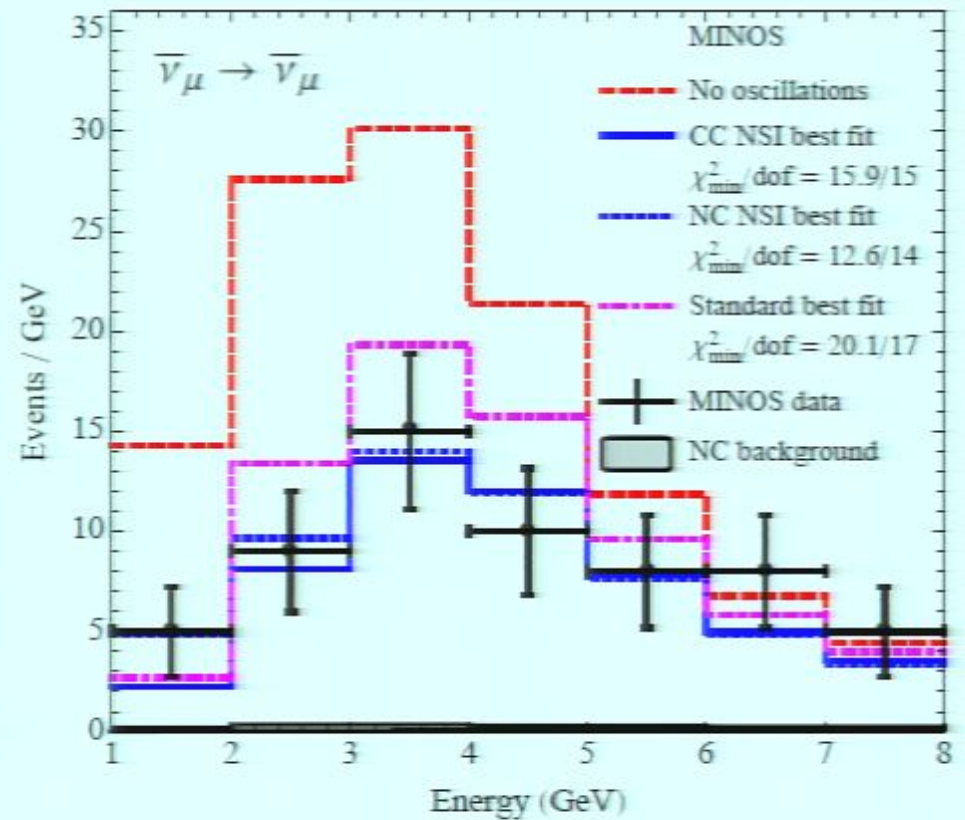
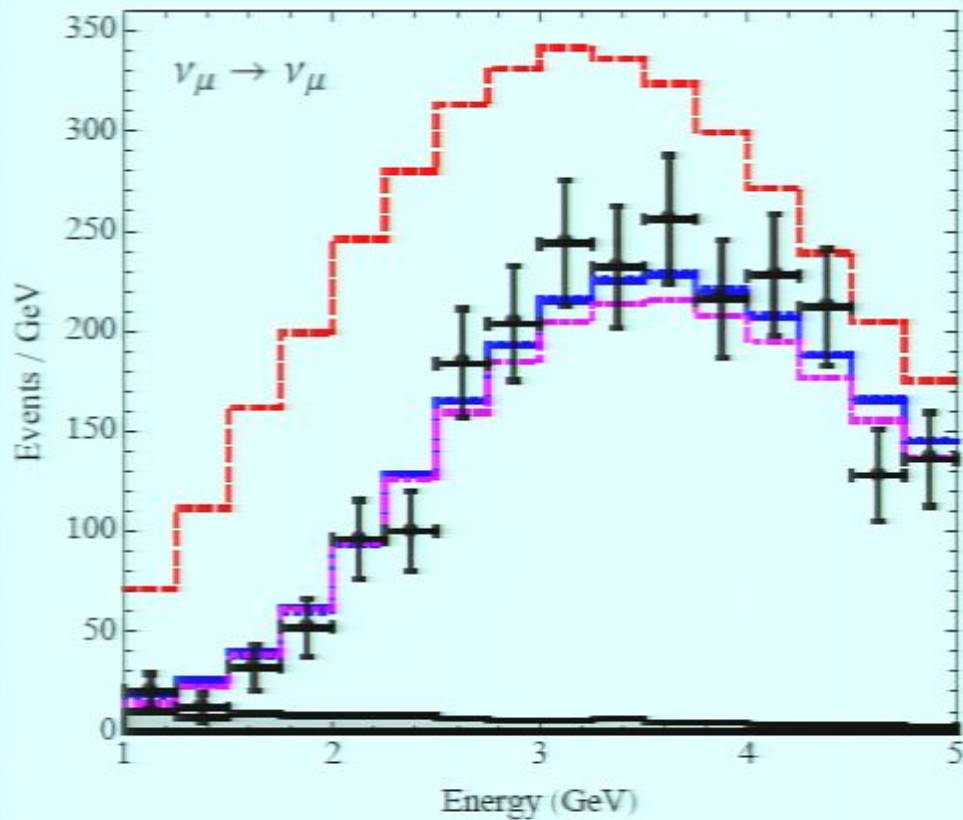
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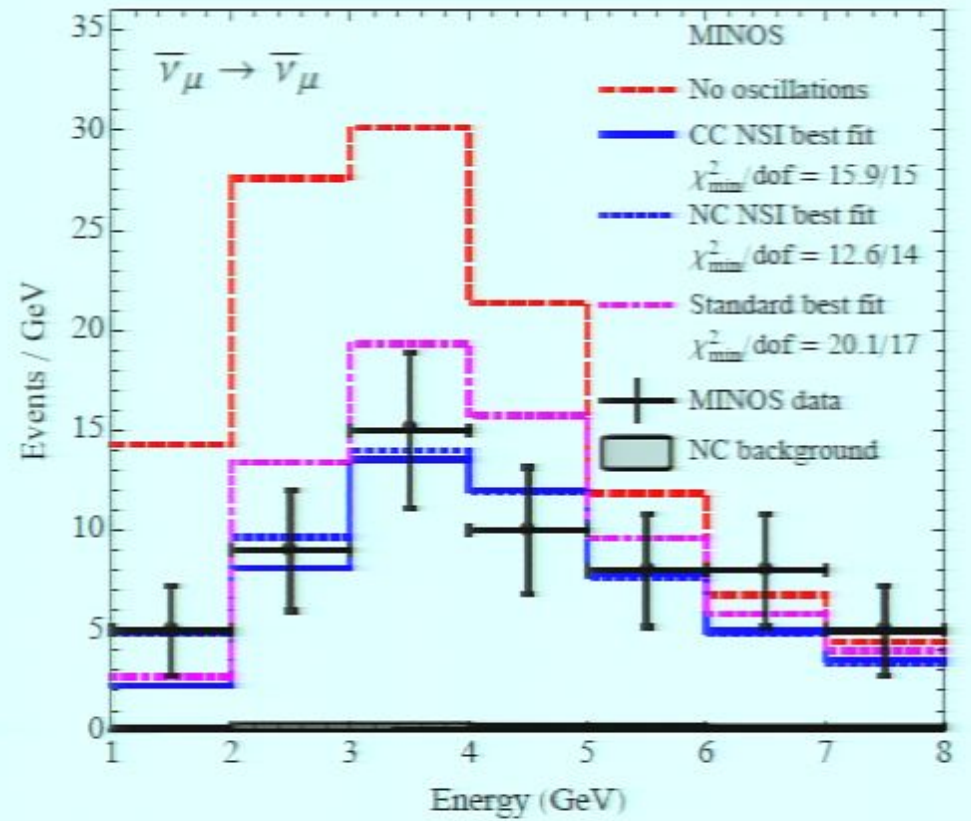
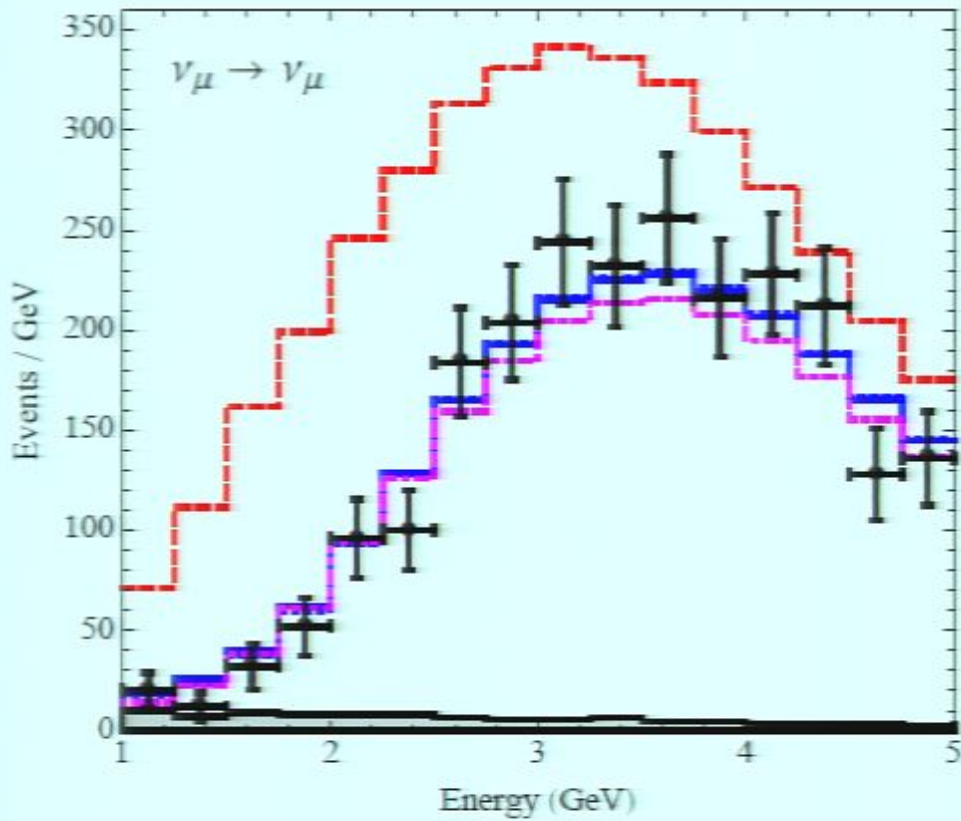
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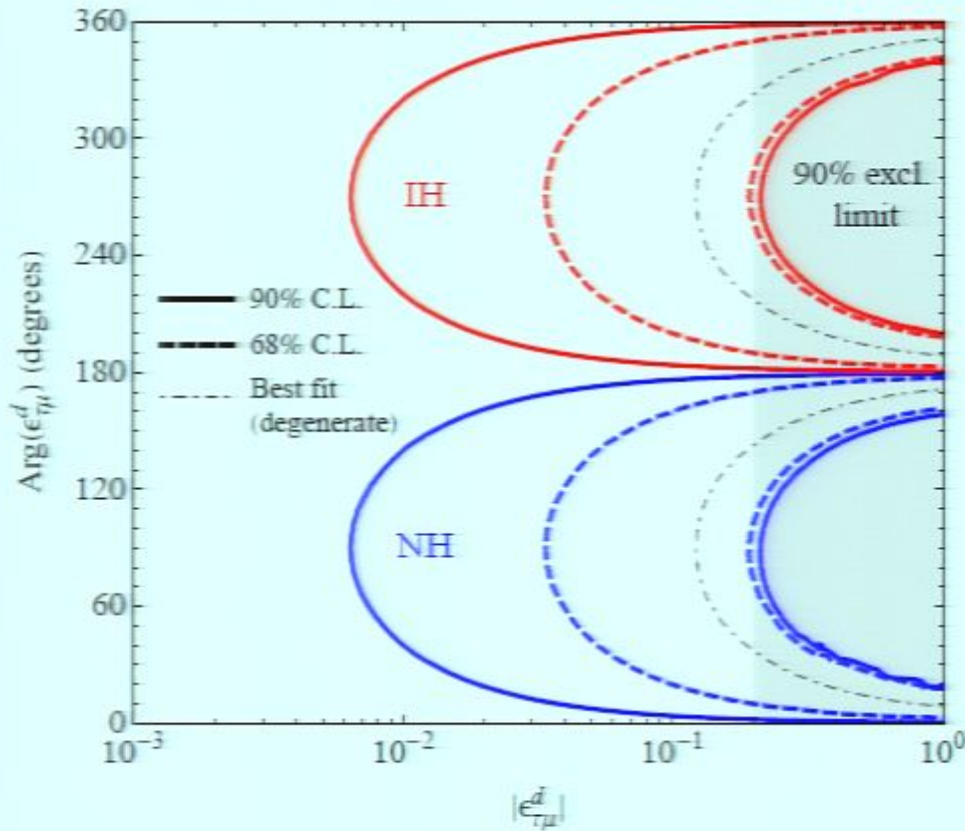
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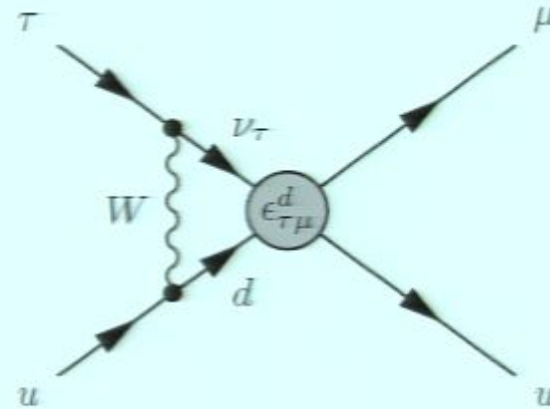
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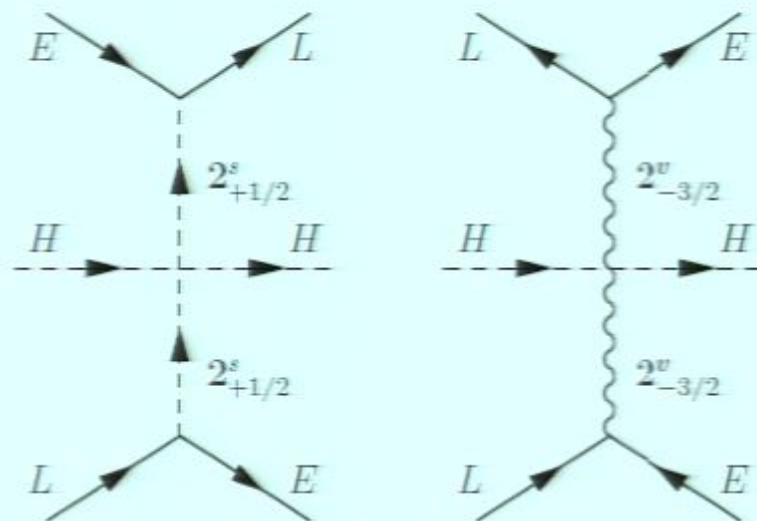
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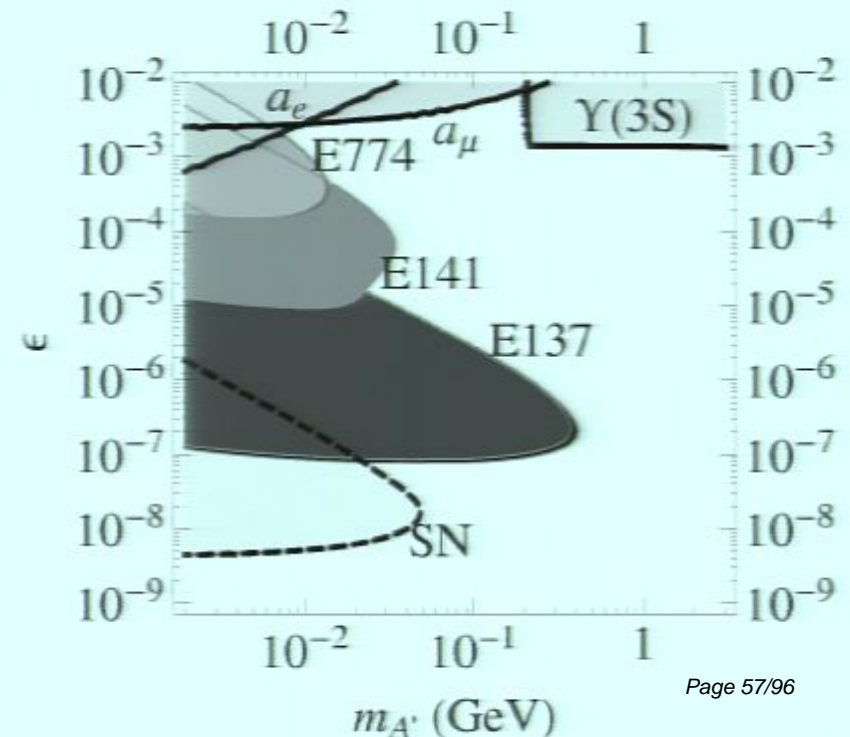
# Non-standard interactions from light new physics

- Many constraints on NSI come from **high-energy** ( $\gtrsim \mathcal{O}(\text{GeV})$ ) processes.
- On the other hand, assume new mediator(s) with **very small masses**  $m$  and with **extremely** weak coupling  $g$

Nelson Walsh arXiv:0711.1363; Engelhardt Nelson Walsh arXiv:1002.4452

- ▶ high-energy cross sections/rates suppressed by  $g^4$
  - ▶ **Coherent forward scattering** ( $q^2 = 0$ ) only suppressed by  $(g^2 \sin^2 \theta_w / e^2)(M_W^2 / m^2)$  compared to SM weak interactions
  - ▶ ... can be **relatively large**
- Light new physics also motivated by **Dark Matter** (Sommerfeld enhancement)
  - ... and can potentially explain **DAMA, CoGeNT, CRESST** signals

Pospelov 1103.3261, Harnik JK Machado, in progress (ASK ME!)



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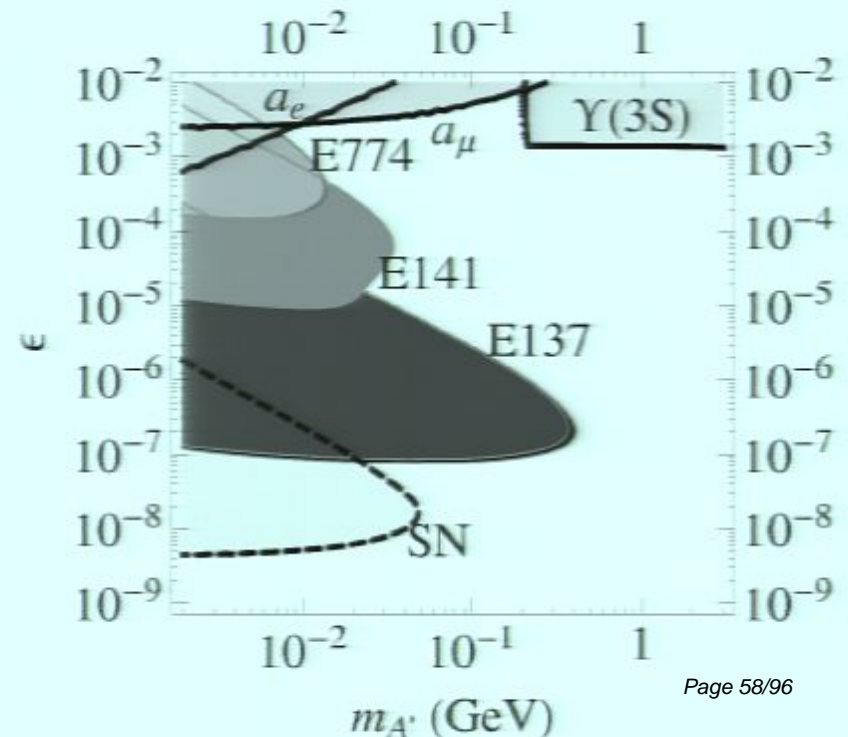
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# Outline

- 1 The MINOS experiment and its results—a hint for CPT violation?
  - The MINOS experiments and (some of) its results
  - Explanation attempts
- 2 MiniBooNE and the reactor anti-neutrino anomaly—sterile neutrinos?
  - The reactor anti-neutrino anomaly
  - LSND and MiniBooNE
  - The Gallium anomaly
  - Global fit

# The reactor anti-neutrino anomaly

- Recent **reevaluation** of expected reactor  $\bar{\nu}_e$  flux is  $\sim 3.5\%$  **higher** than previous prediction Mueller et al. arXiv:1101.2663 vs. Schreckenbach 1985
- **Method:** Use measured  $\beta$ -spectra from  $^{238}\text{U}$ ,  $^{235}\text{U}$ ,  $^{241}\text{Pu}$  fission at ILL and convert to  $\bar{\nu}_e$  spectrum
- **Problem:** Requires knowledge of  $Q$ -values for **all** contributing decays.

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**Old method** Schreckenbach 1985

30 effective branches

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**New method** Mueller et al. arXiv:1101.2663

Uses **nuclear databases** (90% of  $\bar{\nu}_e$  flux)

5 effective branches (remaining 10%)

**Error propagation, correlation matrix**

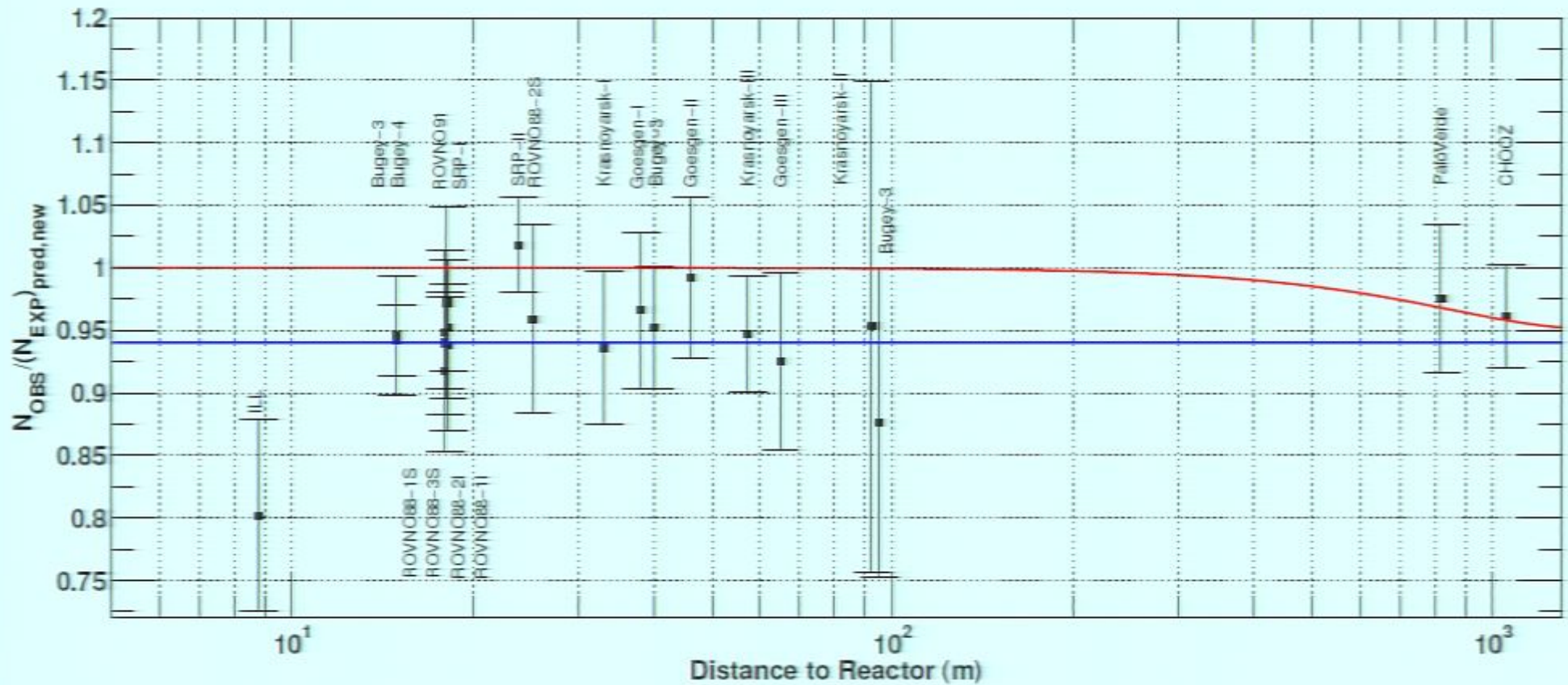
**Off-equilibrium corrections**

(short irradiation time at ILL  $\rightarrow$  not all  $\beta$ -branches in equilibrium)

- 
- **Cross check:**
    - ▶ Simulate **"true"  $e^-$  spectra** using set of few well-known  $\beta$ -branches ( $\rightarrow \bar{\nu}_e$  spectrum known)
    - ▶ Reconstruct  $\bar{\nu}_e$  spectrum using **old method**: Result is **3% too low**
    - ▶ Reconstruct  $\bar{\nu}_e$  spectrum using **new method**: Result is **exact**.

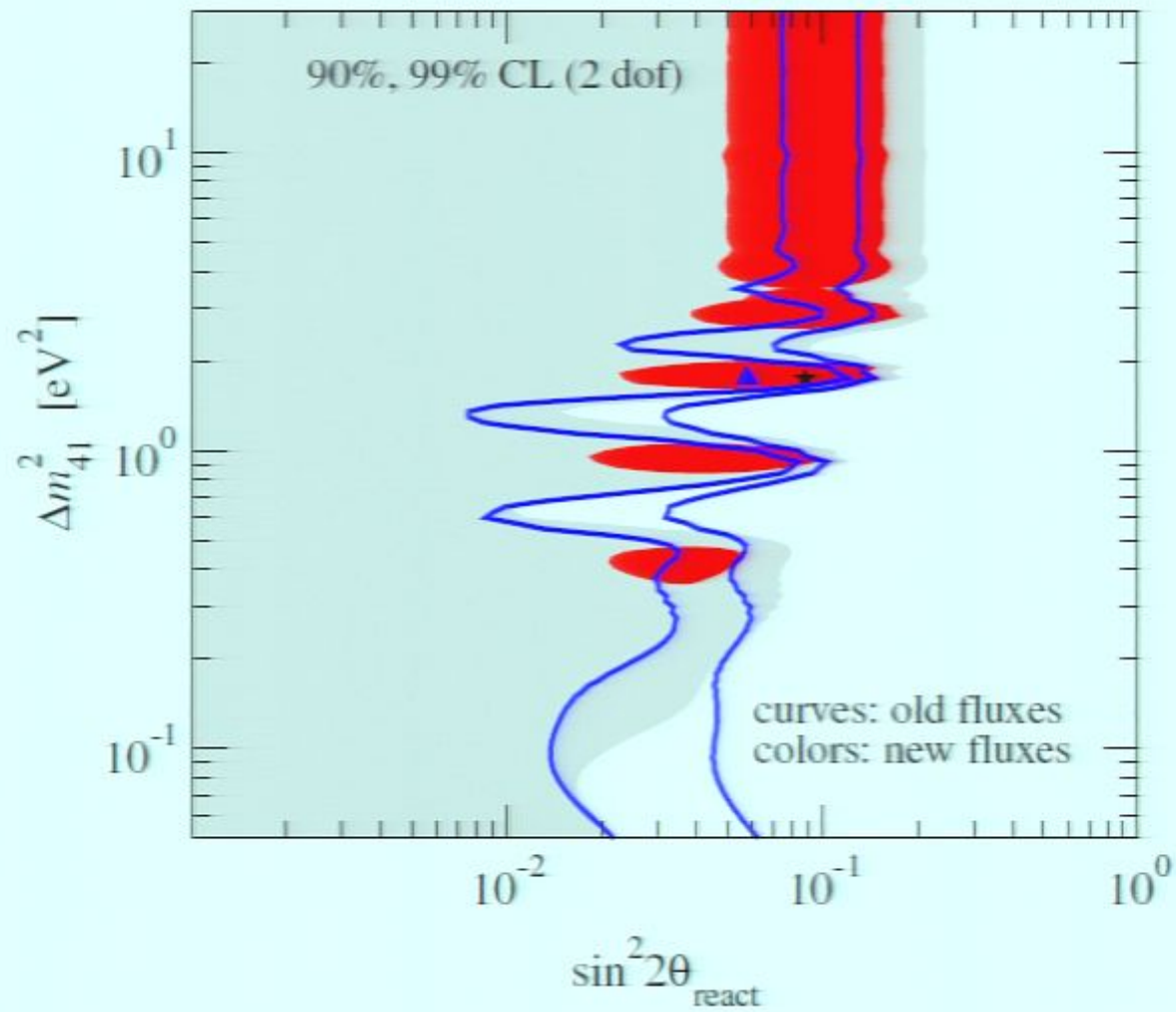
# The reactor anti-neutrino anomaly (2)

- Have short-baseline reactor experiments observed a **deficit**?



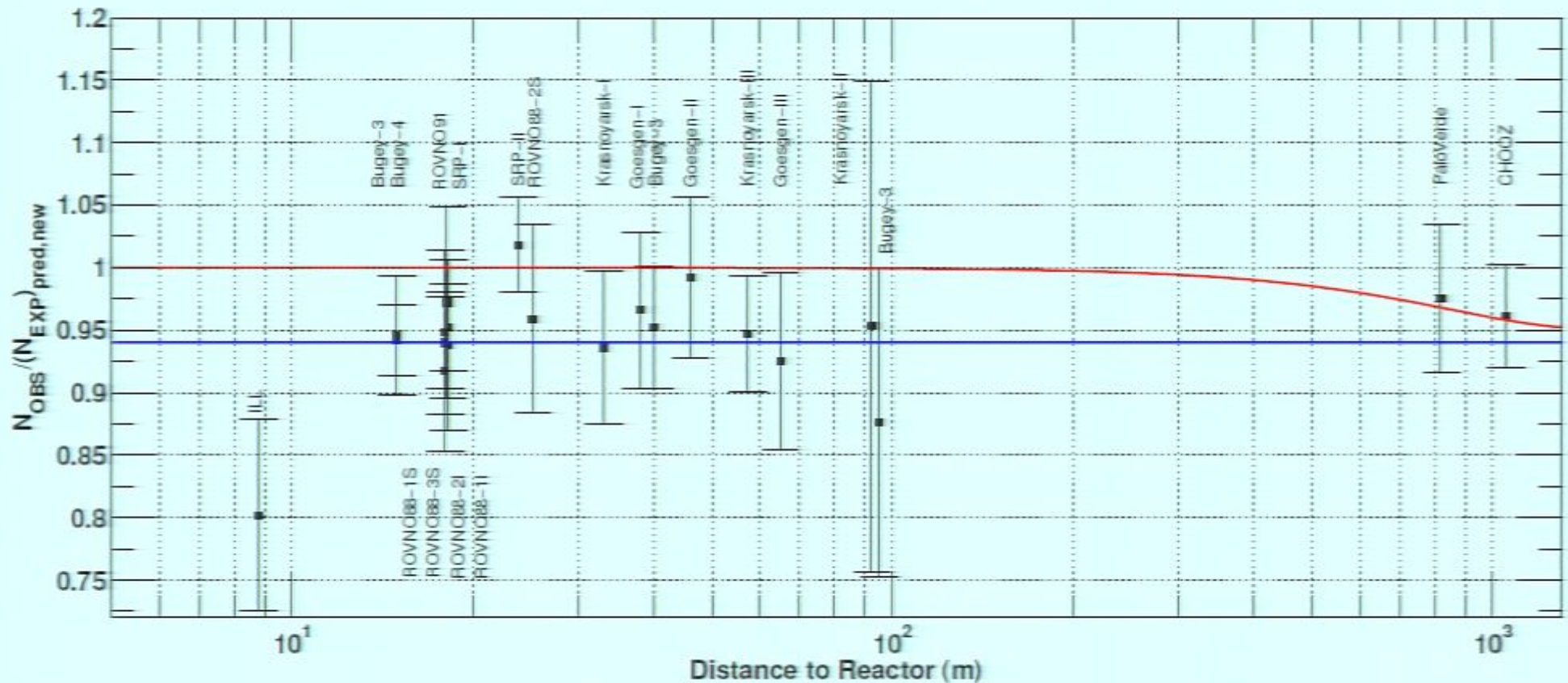
Mention et al. arXiv:1101.2755

# Global fit to reactor anti-neutrino data



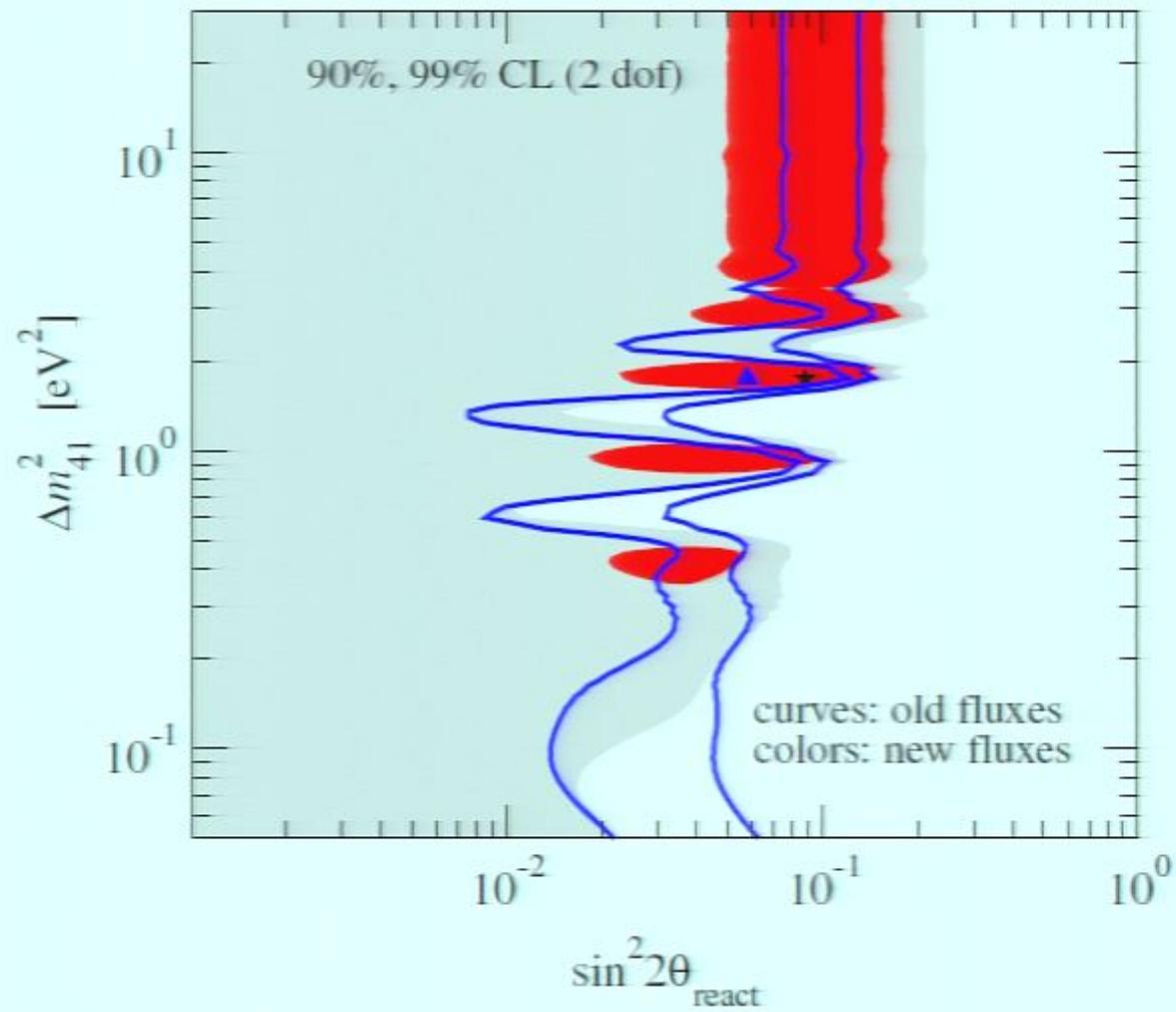
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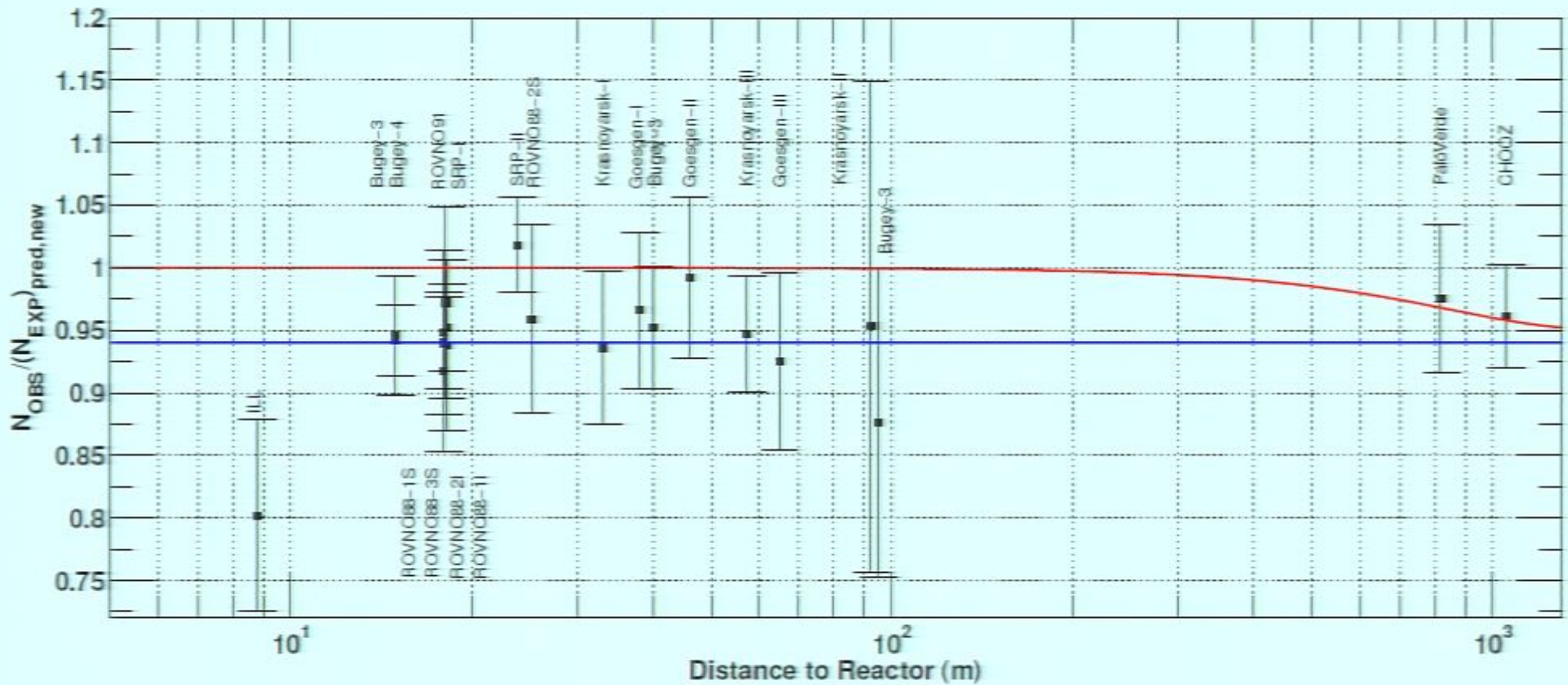
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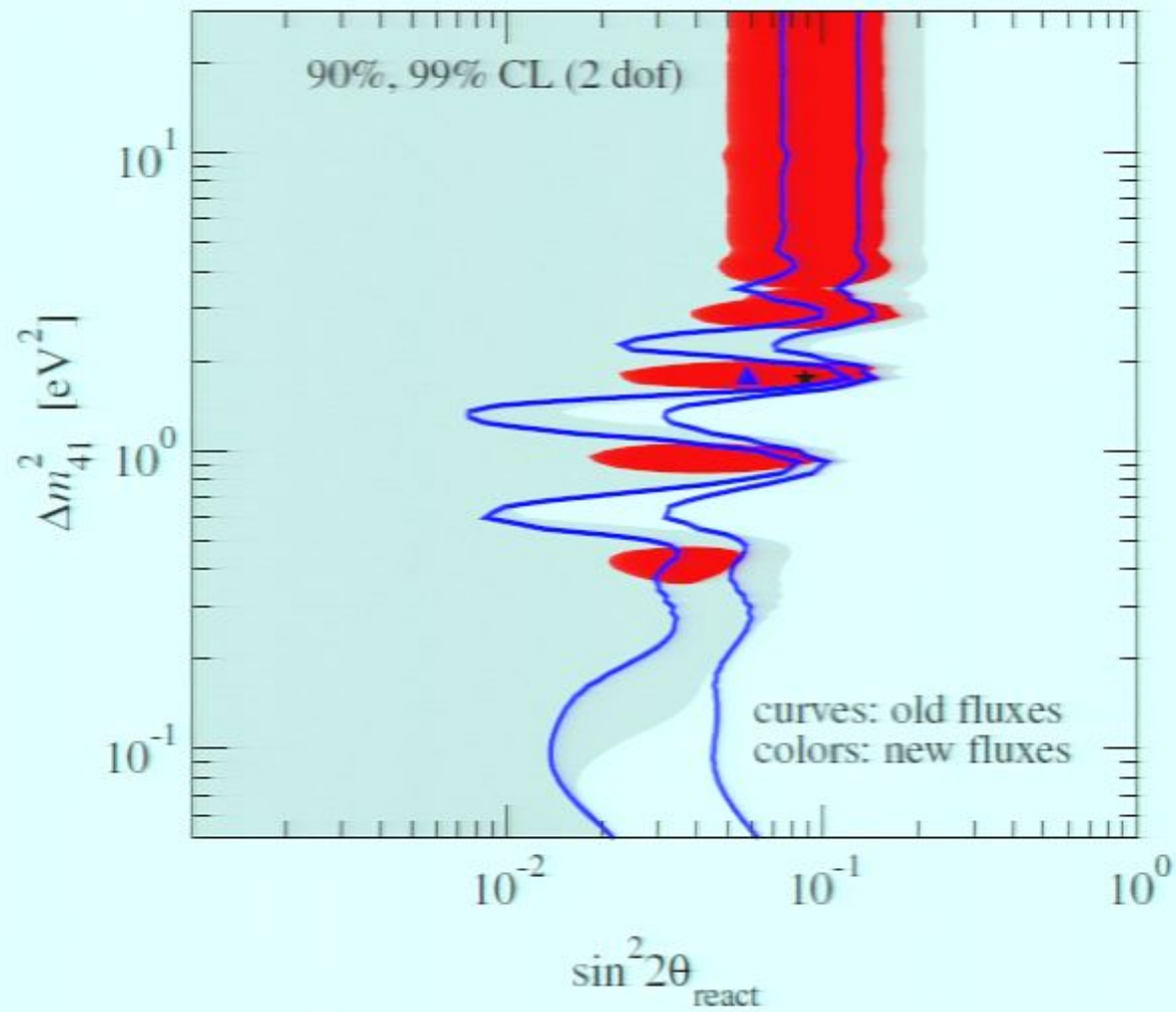
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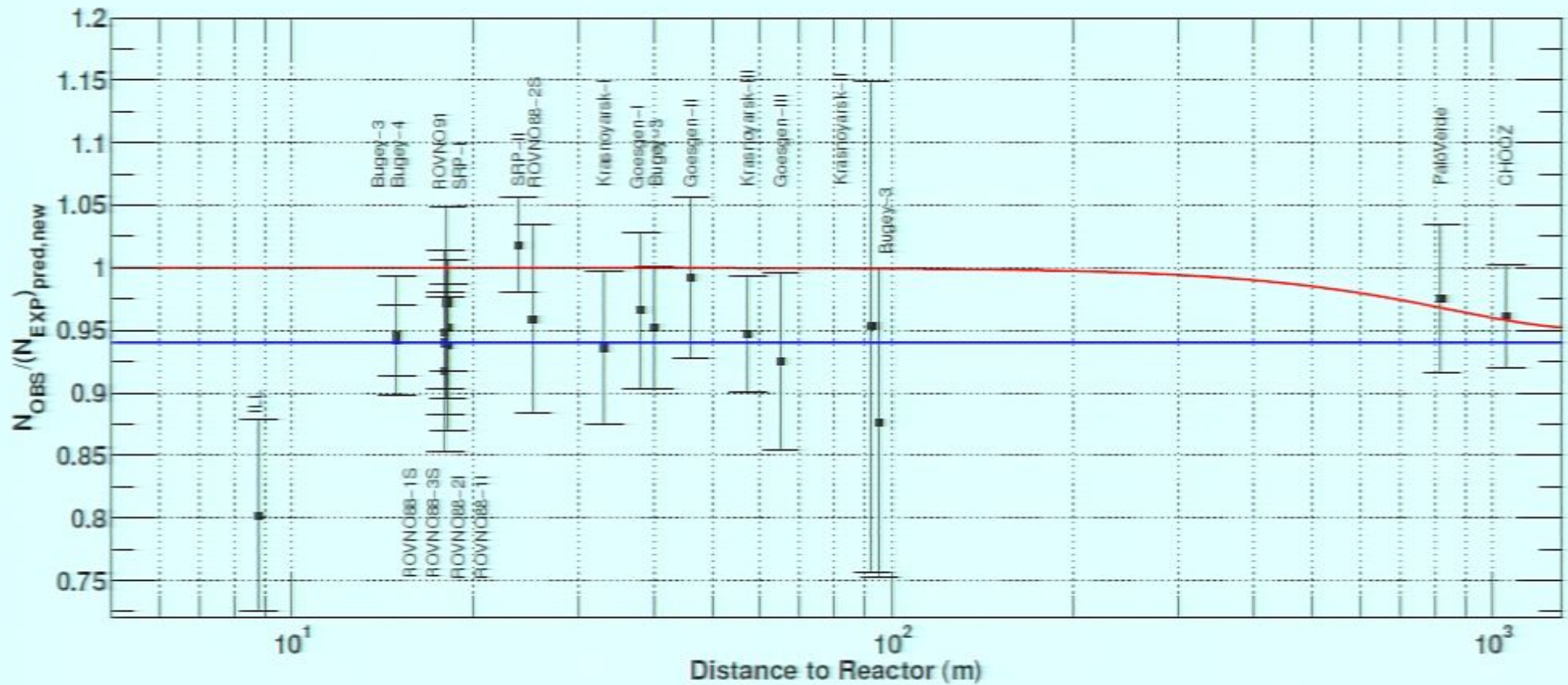
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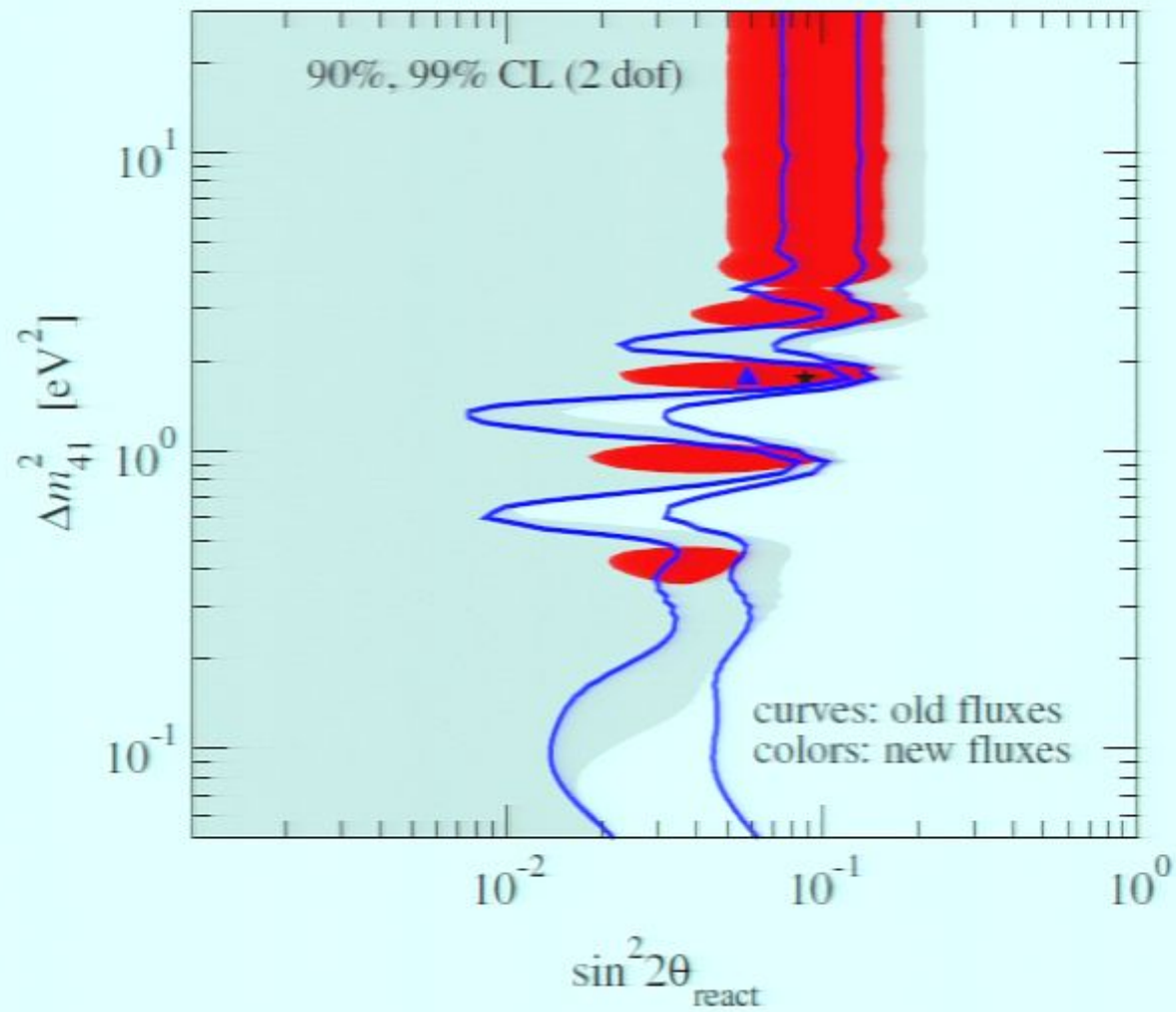
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# LSND, KARMEN, MiniBooNE

## ● LSND:

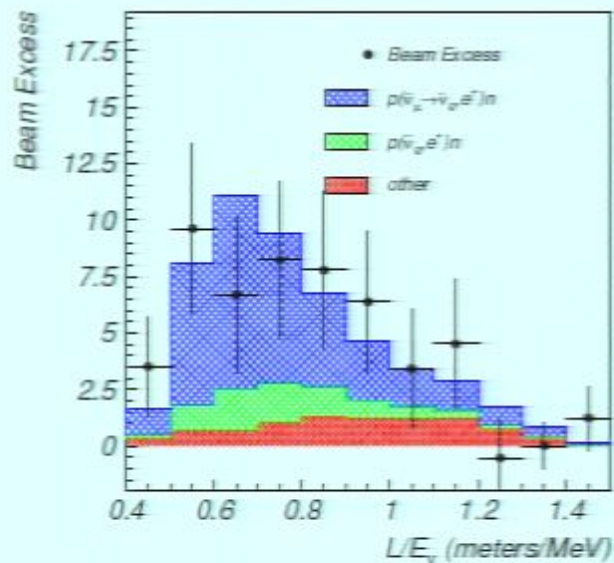
- ▶  $\bar{\nu}_e$  appearance in  $\bar{\nu}_\mu$  beam from stopped pion source

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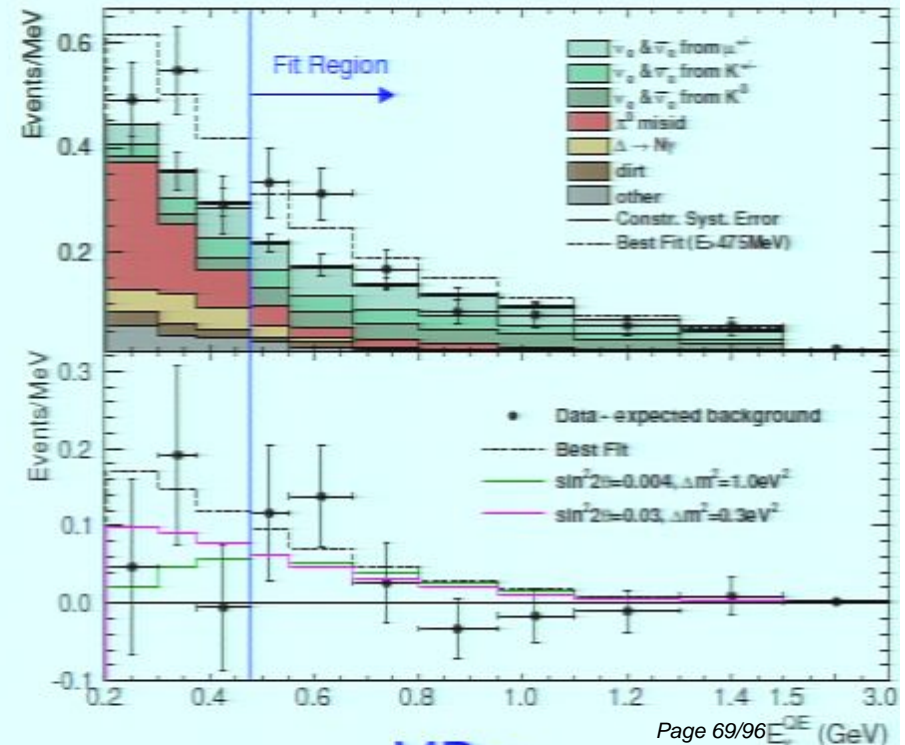
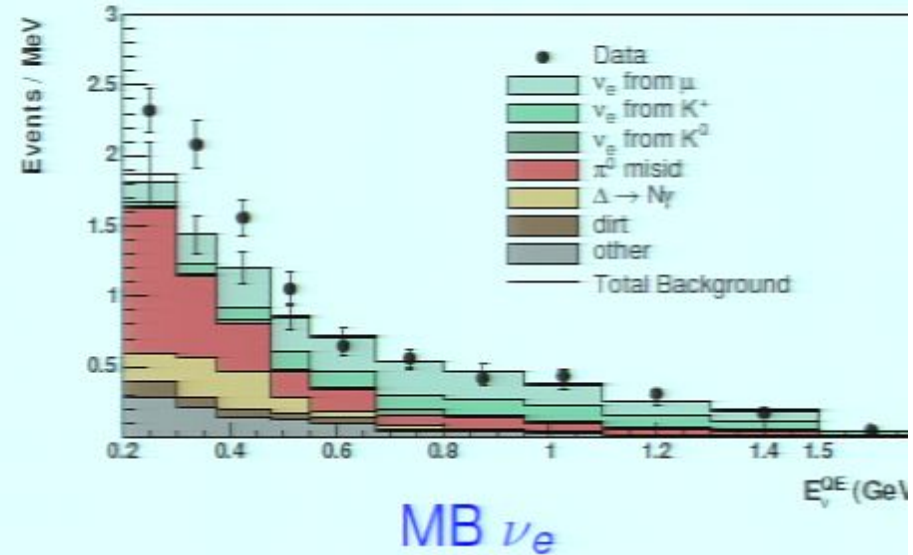
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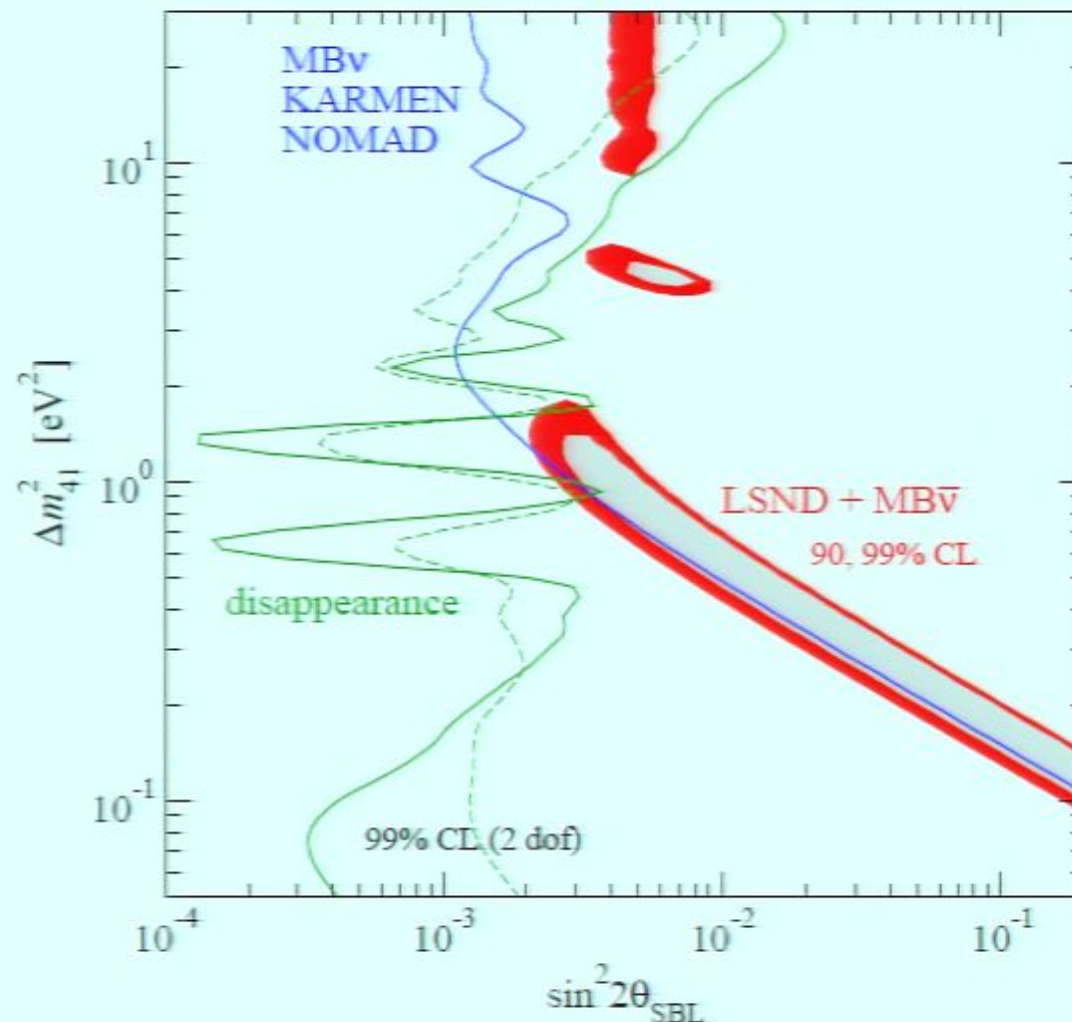


LSND  $\bar{\nu}_e$



# SBL appearance vs. disappearance experiments

- Short baseline: Standard oscillations **ineffective** ( $\Delta m^2$  too small)
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$$|M_{up}|^2 |M_{cr}|^2$$

$$|M_{\mu+}|^2 / |M_{\sigma+}|^2$$

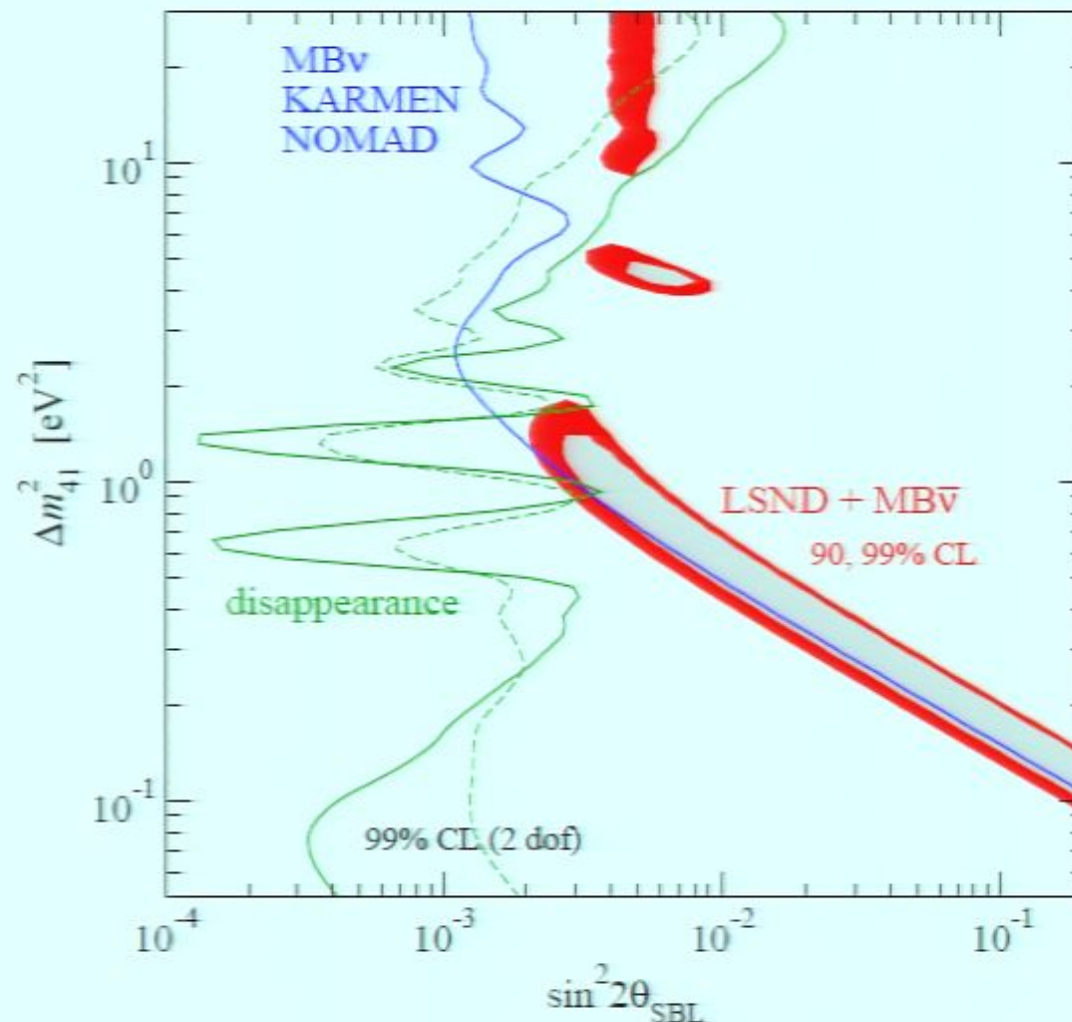


$$|M_{\text{out}}|^2 |M_{\text{in}}|^2$$

$$|M_{\text{out}}|^3$$

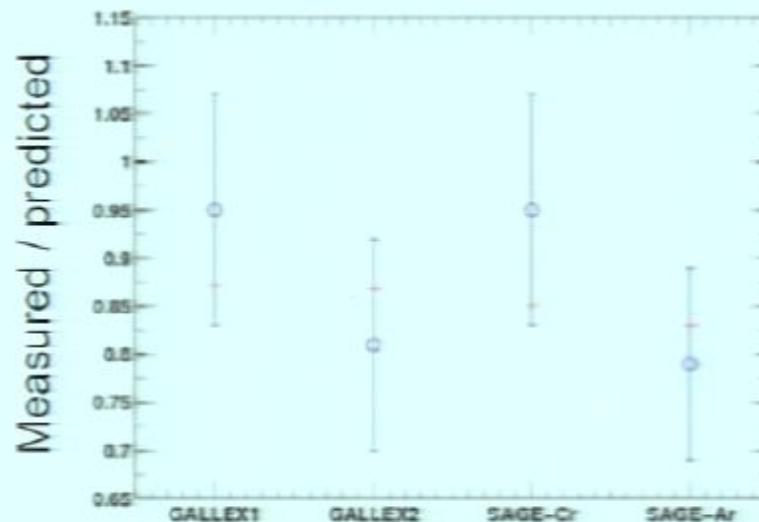
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- **Calibration** measurements for the GALLEX and SAGE solar neutrino detectors using **intense radioactive  $\nu_e$  sources** ( $^{51}\text{Cr}$  and  $^{37}\text{Ar}$ )
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- **Result:** Measurements consistently **lower** than expectation

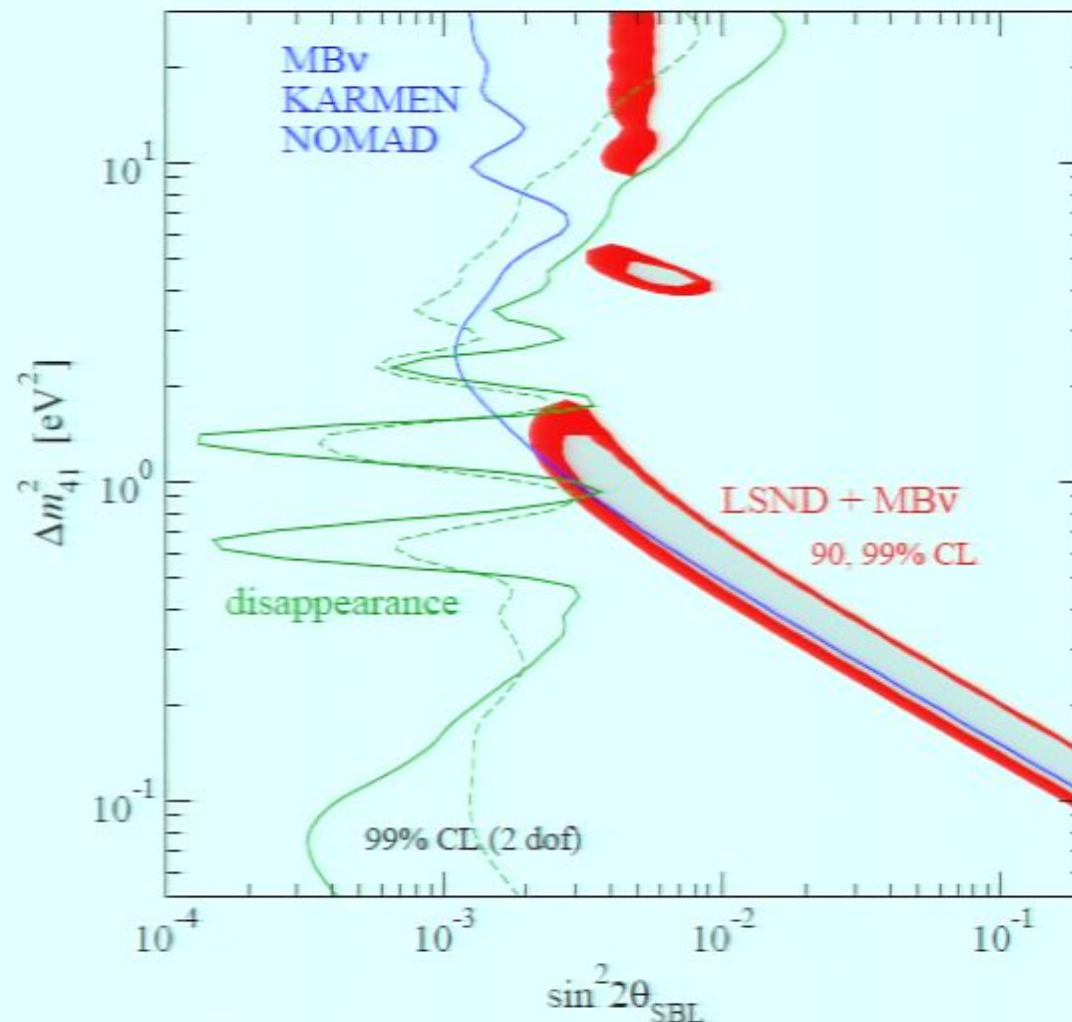


Giunti Laveder arXiv:1005.4599, arXiv:1006.3244  
Mention et al. Moriond 2011 talk

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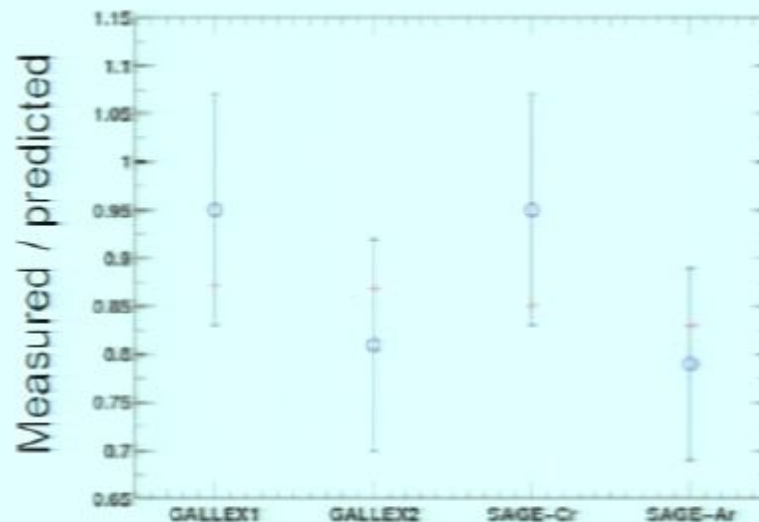
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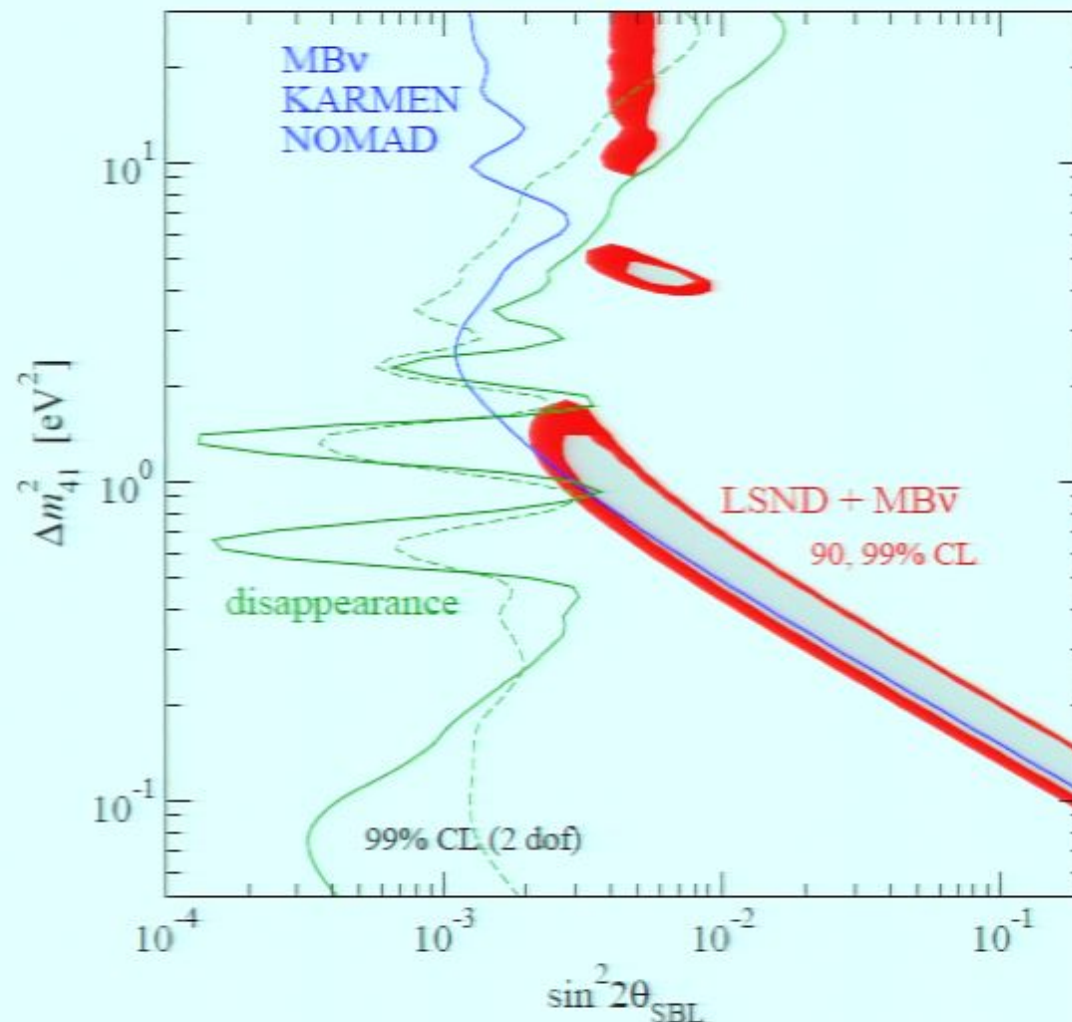
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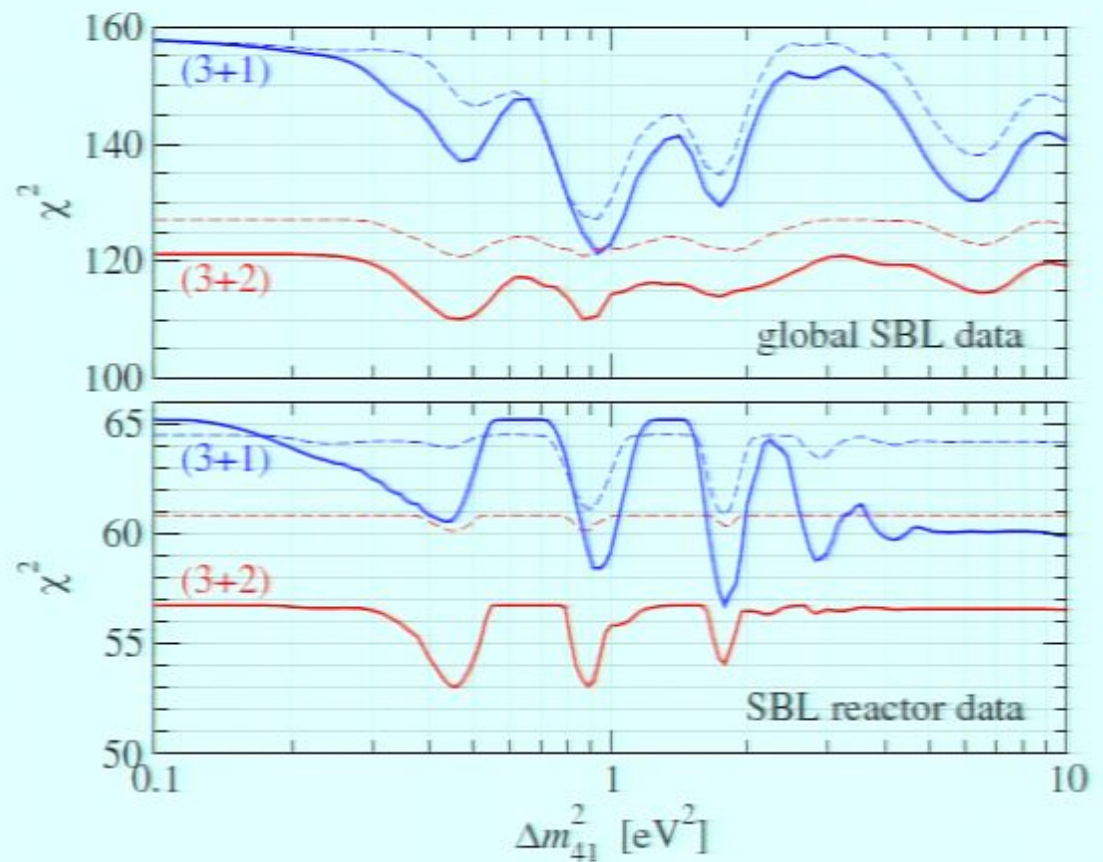
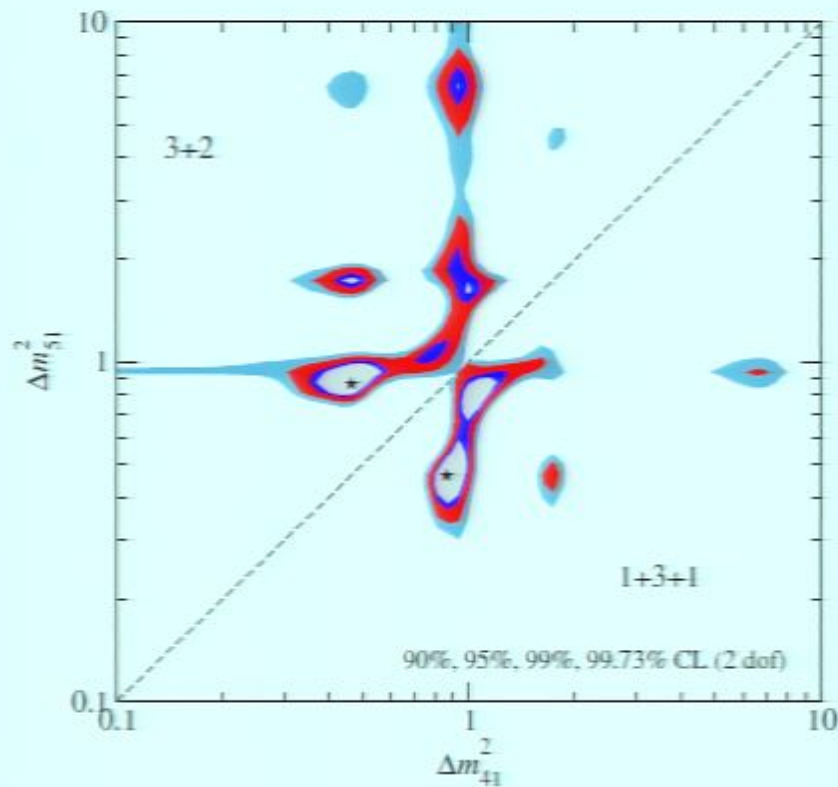
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# Global fit in a 5-flavor scheme

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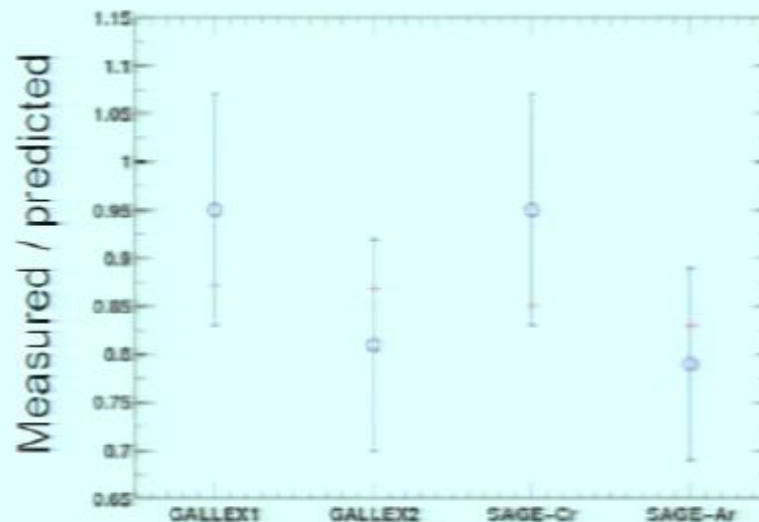
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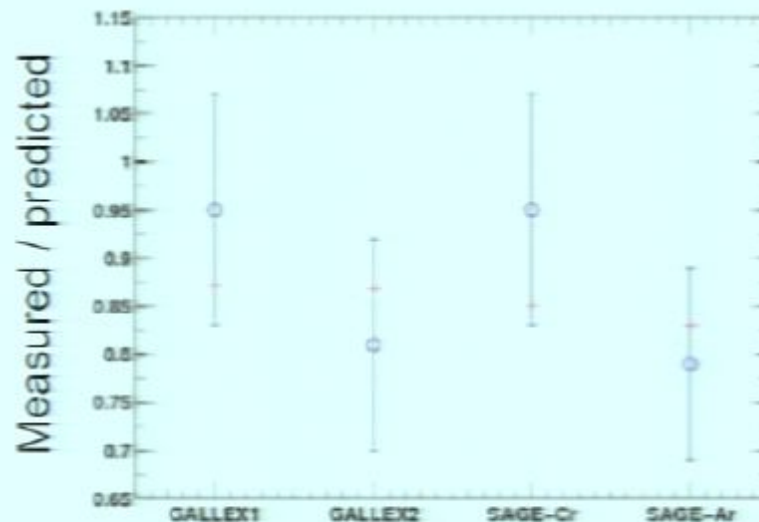
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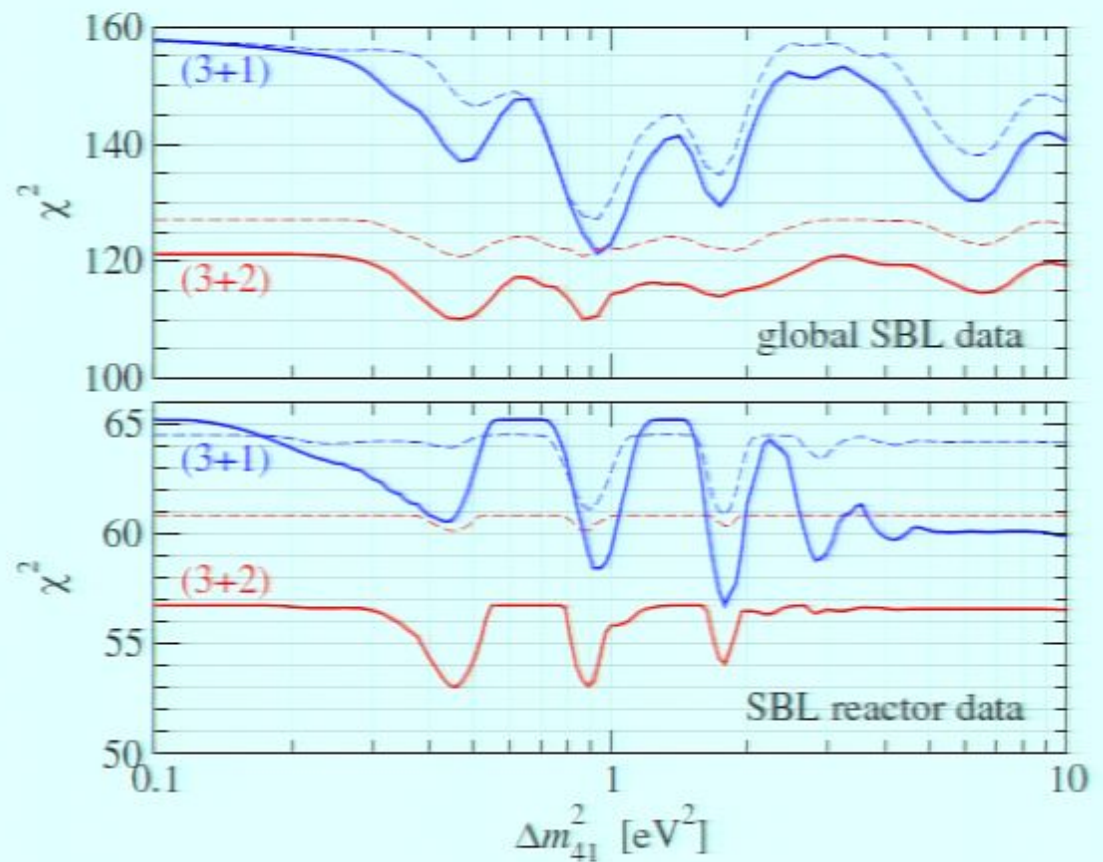
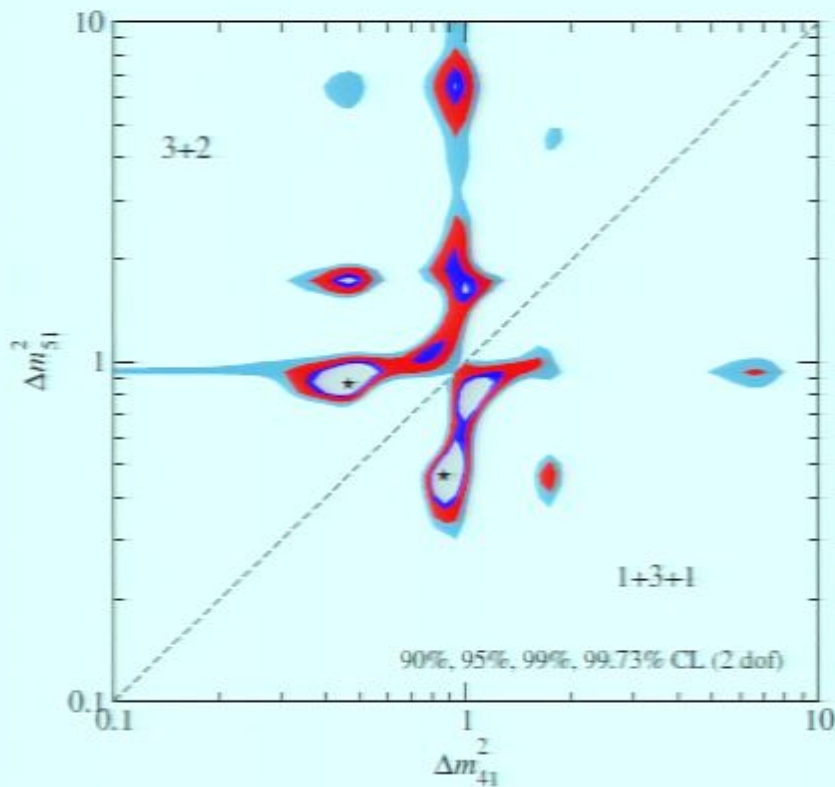
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## Why 5 flavors?

- Need at least 2 sterile neutrinos to have CP violation at short baseline

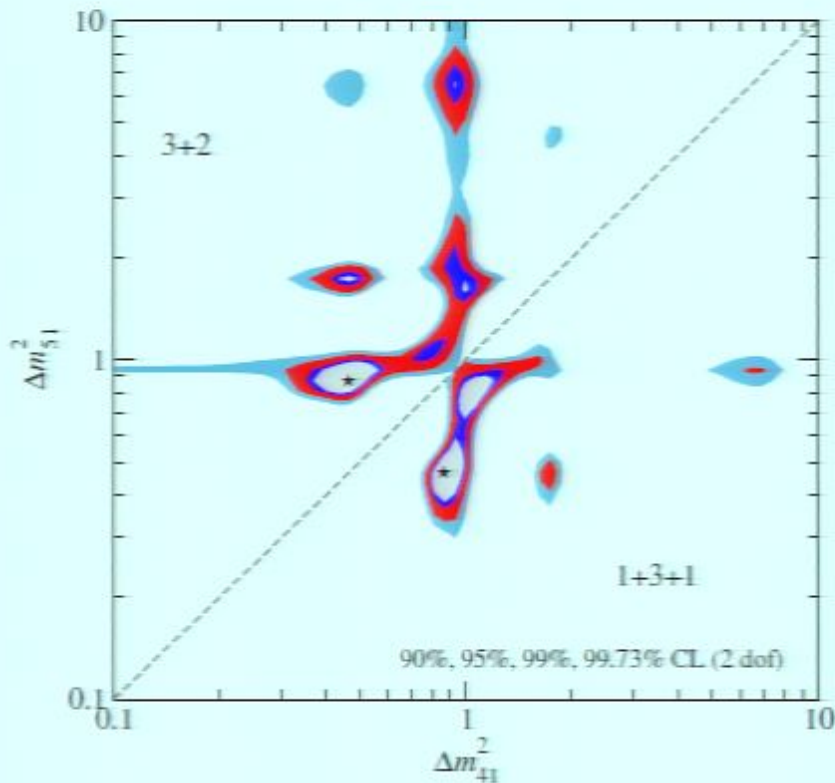


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	$\Delta m_{41}^2$	$ U_{e4} $	$ U_{\mu 4} $	$\Delta m_{51}^2$	$ U_{e5} $	$ U_{\mu 5} $	$\delta/\pi$	$\chi^2/\text{dof}$
3+2	0.47	0.128	0.165	0.87	0.138	0.148	1.64	110.1/130
1+3+1	0.47	0.129	0.154	0.87	0.142	0.163	0.35	106.1/130

## Best fit point

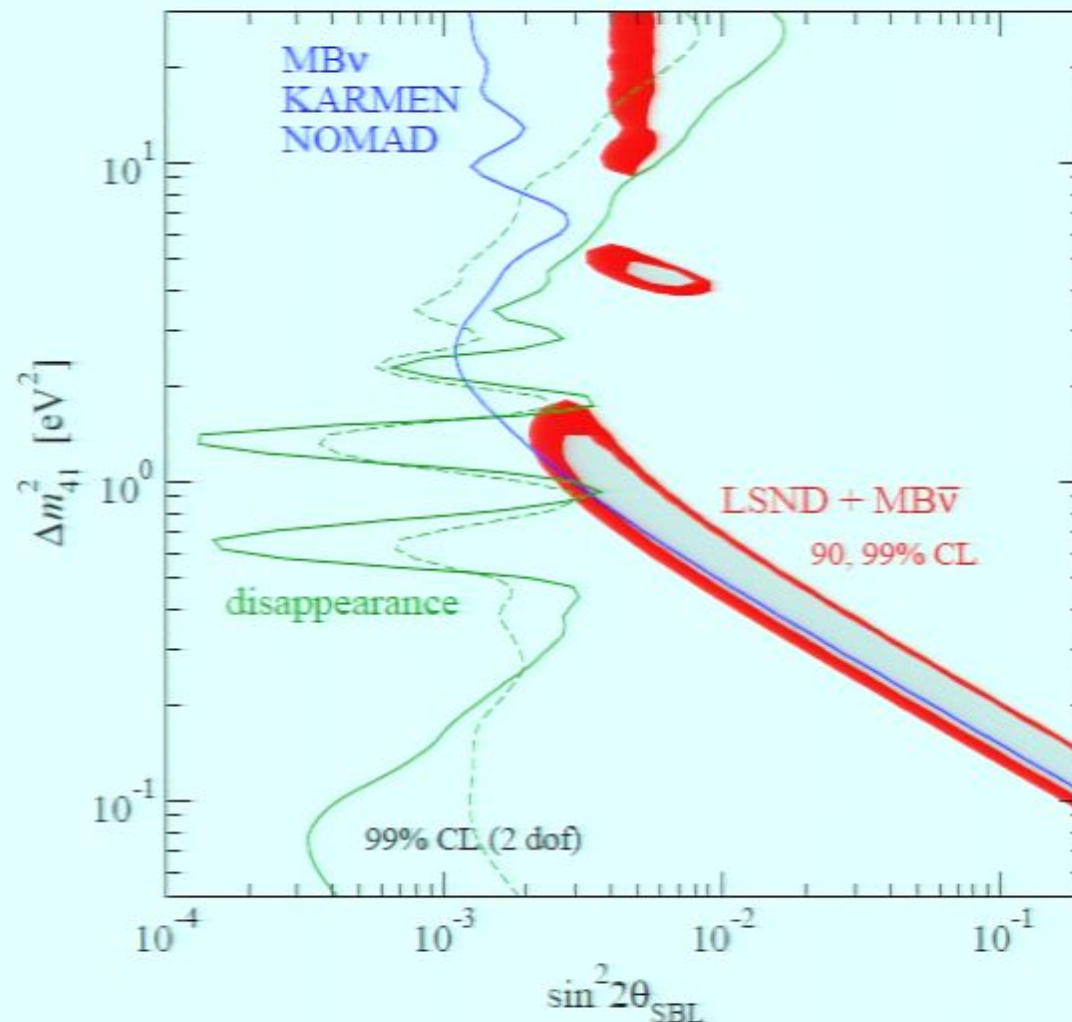
	LSND+MB( $\bar{\nu}$ ) vs rest appearance vs disapp.			
	old	new	old	new
$\chi_{\text{PG},3+2}^2/\text{dof}$	25.1/5	19.9/5	19.9/4	14.7/4
PG <sub>3+2</sub>	$10^{-4}$	0.13%	$5 \times 10^{-4}$	0.53%
$\chi_{\text{PG},1+3+1}^2/\text{dof}$	19.6/5	16.0/5	14.4/4	10.6/4
PG <sub>1+3+1</sub>	0.14%	0.7%	0.6%	3%

## Parameter goodness of fit test

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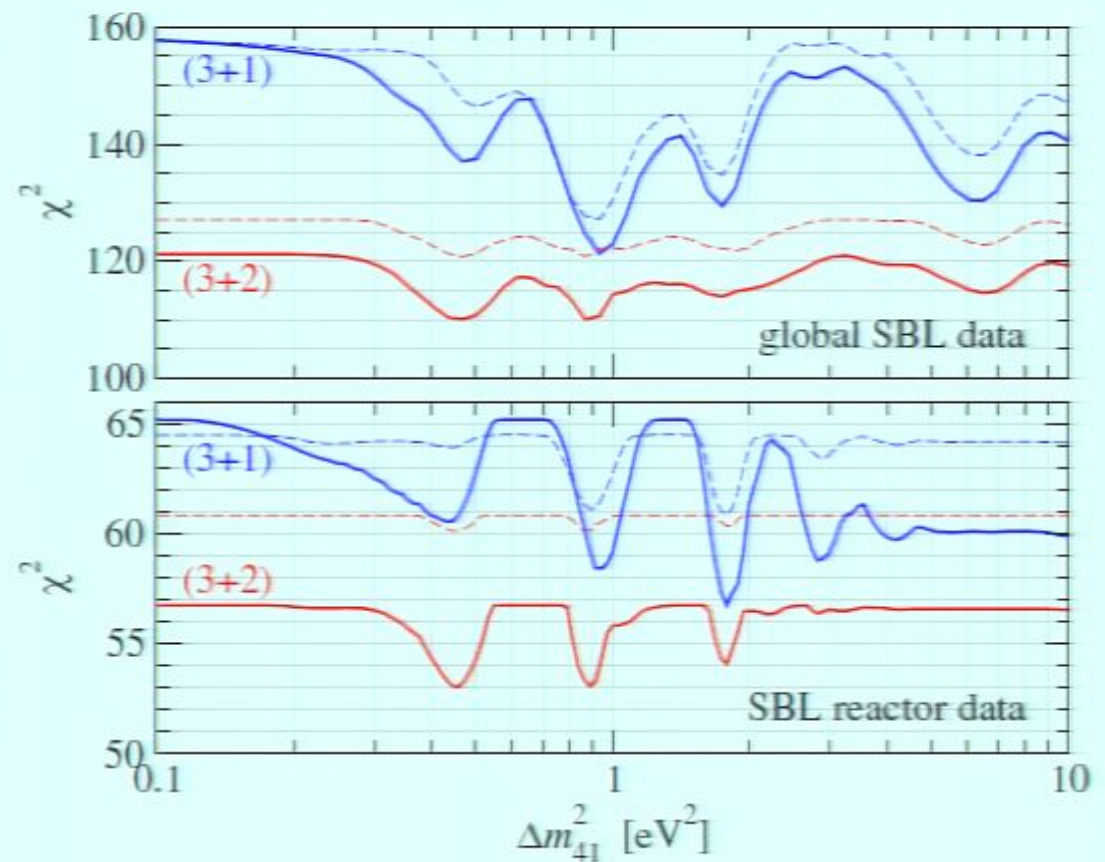
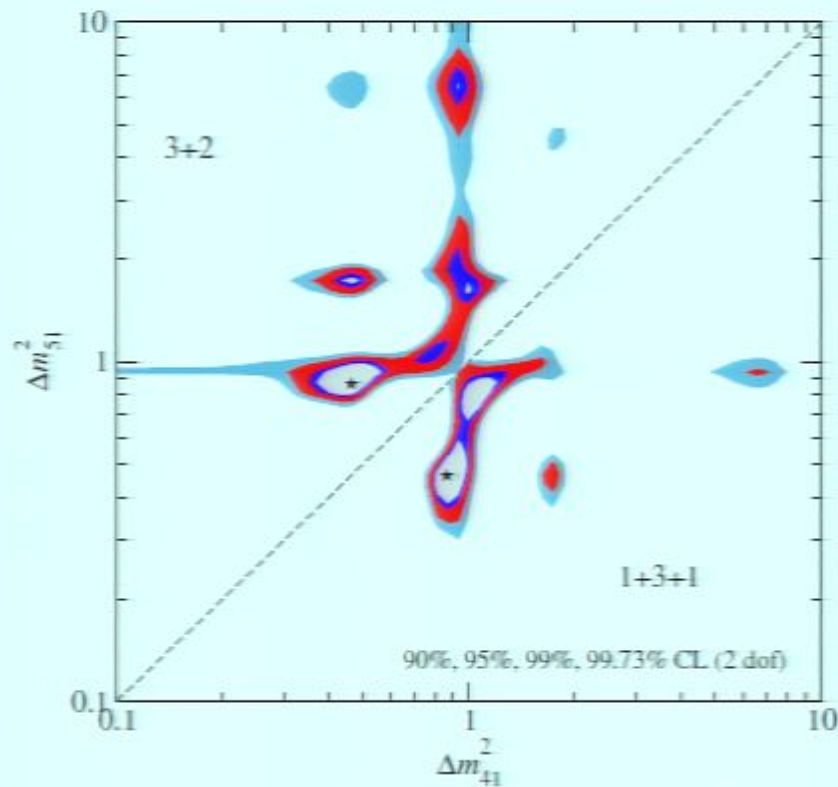
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# A common explanation for MINOS and SBL results?

- If **SBL anomalies** are due to **sterile neutrinos** ...
  - ▶ Any **CPT-conserving** oscillation phenomenon will affect  $\nu_\mu$  and  $\bar{\nu}_\mu$  in MINOS in **the same way**
- If **SBL anomalies** are due to **some new type of neutrino interaction**
  - ▶ The **only conceivable** new interaction that explains MINOS seems to be one involving  $\nu_\tau$
  - ▶ **No  $\nu_\tau$  at short baseline** → need **several** new interactions to explain everything
  - ▶ **Hard to reconcile** with constraints from charged leptons
- More exotic ideas
  - ▶ **Sterile neutrinos and new interactions**
    - Many parameters, loss of predictivity
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# Conclusions

- Accumulation of **interesting anomalies** in neutrino physics
- MINOS
  - ▶ **Tension** between fits to  $\nu_\mu$  and  $\bar{\nu}_\mu$  disappearance data
  - ▶ Statistical or systematical uncertainty?
  - ▶ **CPT violation?**
  - ▶ **Non-standard matter effects** or new **long-range force** ... **difficult to reconcile with** atmospheric neutrinos
  - ▶ Modified **charged current interactions** ... **difficult for model-building**
- LSND, MiniBooNE and the reactor anomaly
  - ▶ Three independent results pointing to the existence of **sterile neutrinos**
  - ▶ **3 + 1 model does not work** (need **CP violation** to reconcile MiniBooNE  $\nu_e$  and  $\bar{\nu}_e$  results)
  - ▶ **3 + 2 models work much better**, but **tension remains**
  - ▶ Interesting **consequences** for  $\mathcal{O}(\text{TeV})$  atmospheric neutrinos  $\Rightarrow$  **IceCube!**

Razzaque Smirnov arXiv:1104.1390

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Thank you!

# LSND, KARMEN, MiniBooNE

## ● LSND:

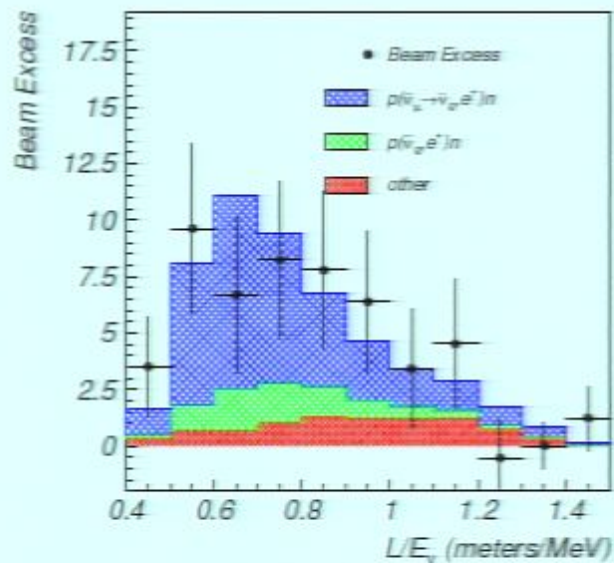
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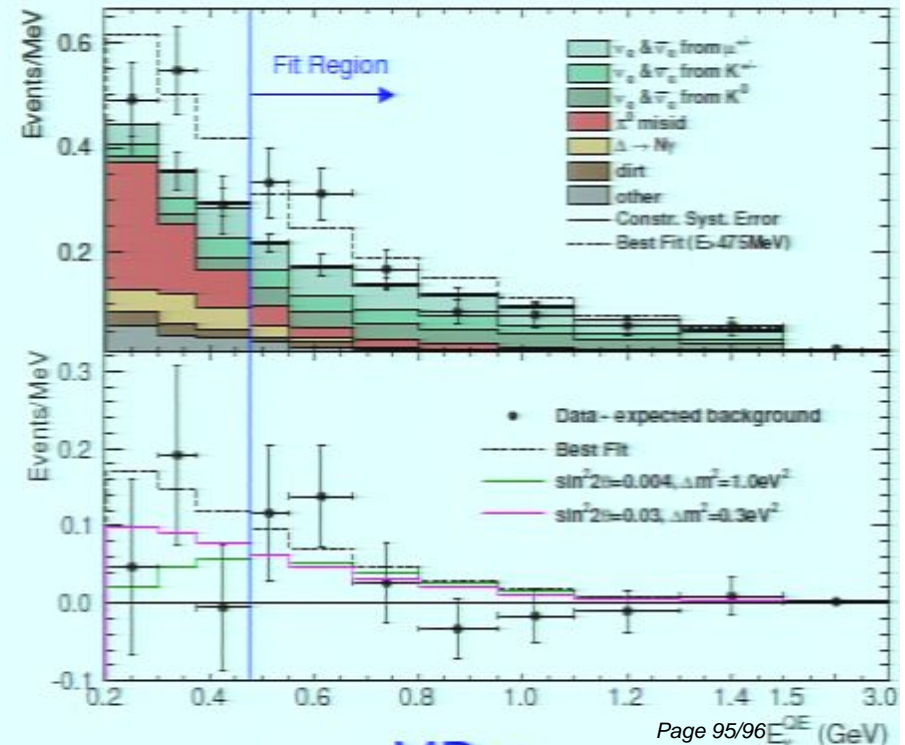
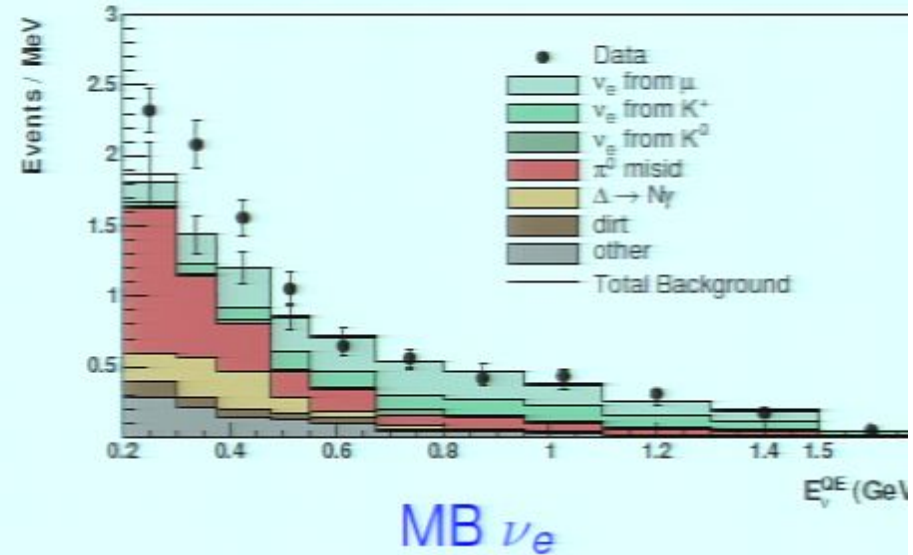
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LSND  $\bar{\nu}_e$



# The reactor anti-neutrino anomaly

- Recent **reevaluation** of expected reactor  $\bar{\nu}_e$  flux is  $\sim 3.5\%$  **higher** than previous prediction Mueller et al. arXiv:1101.2663 vs. Schreckenbach 1985
- **Method:** Use measured  $\beta$ -spectra from  $^{238}\text{U}$ ,  $^{235}\text{U}$ ,  $^{241}\text{Pu}$  fission at ILL and convert to  $\bar{\nu}_e$  spectrum
- **Problem:** Requires knowledge of  $Q$ -values for **all** contributing decays.

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**Old method** Schreckenbach 1985

30 effective branches

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**New method** Mueller et al. arXiv:1101.2663

Uses **nuclear databases** (90% of  $\bar{\nu}_e$  flux)

5 effective branches (remaining 10%)

Error propagation, **correlation matrix**

**Off-equilibrium** corrections

(short irradiation time at ILL  $\rightarrow$  not all  $\beta$ -branches in equilibrium)

- 
- **Cross check:**
    - ▶ Simulate **"true"  $e^-$  spectra** using set of few well-known  $\beta$ -branches ( $\rightarrow \bar{\nu}_e$  spectrum known)
    - ▶ Reconstruct  $\bar{\nu}_e$  spectrum using **old method**: Result is **3% too low**
    - ▶ Reconstruct  $\bar{\nu}_e$  spectrum using **new method**: Result is **exact**.