

Title: Data tables, dimension witnesses, and QKD

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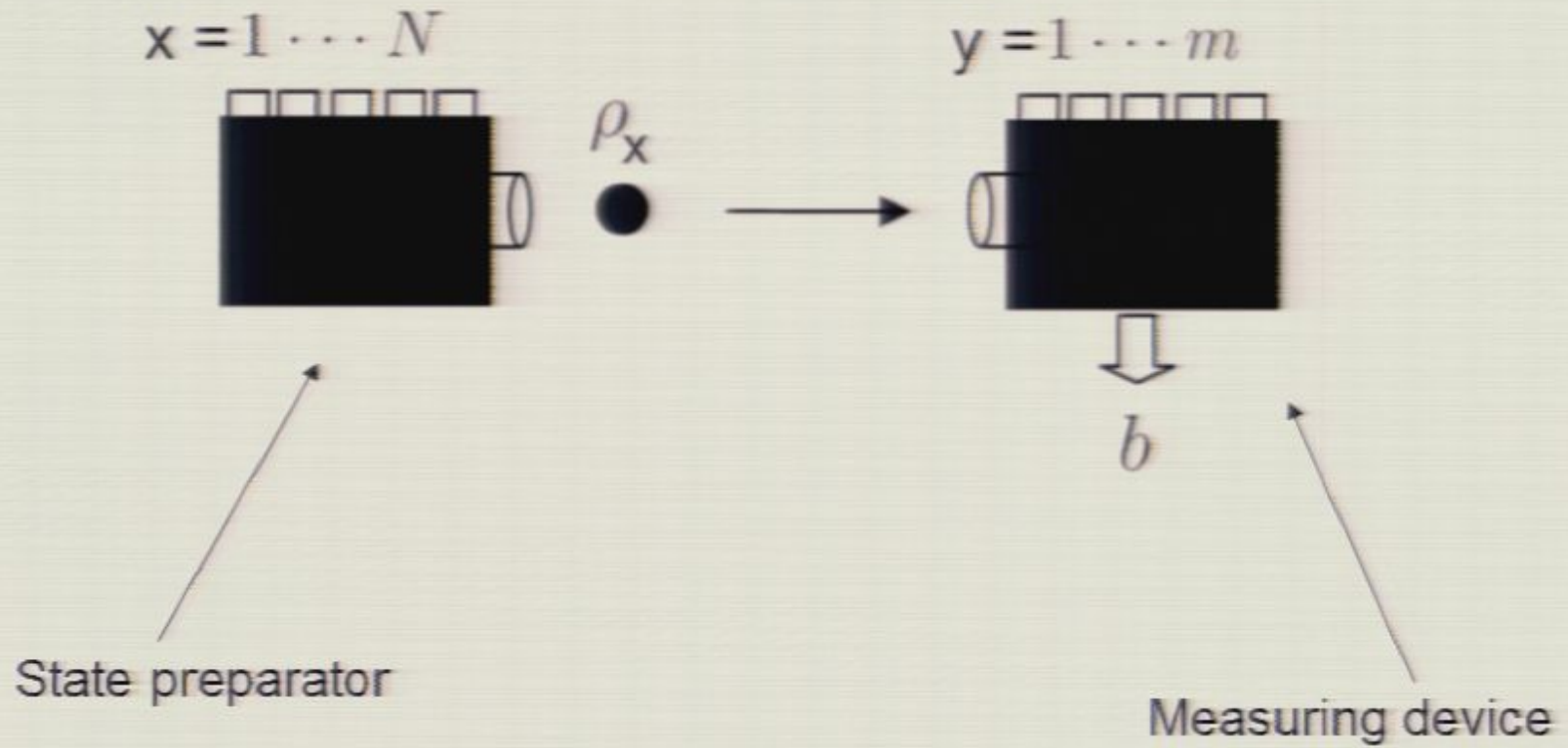
Abstract: We address the problem of testing the dimensionality of classical and quantum systems in a black-box scenario. Imagine two uncharacterized devices. The first one allows an experimentalist to prepare a physical system in various ways. The second one allows the experimentalist to perform some measurement on the system. After collecting enough statistics, the experimentalist obtains a data table, featuring the probability distribution of the measurement outcomes for each choice of preparation (of the system) and of measurement. Here, we develop a general formalism to assess the minimal dimensionality of classical and quantum systems necessary to reproduce a given data table. To illustrate these ideas, we provide simple examples of classical and quantum dimension witnesses. In general quantum systems are more economical than classical ones in terms of dimensionality, in the sense that there exist data tables obtainable from quantum systems of dimension  $d$  which can only be generated from classical systems of dimension strictly greater than  $d$ . By drawing connections to communication complexity one can find data tables for which this classical/quantum separation is dramatic. Finally, these ideas can also be used to demonstrate security of one-way QKD in a semi-device-independent scenario, in which devices are uncharacterized, but only assumed to produce quantum systems of a given dimension.

# Data tables, dimension witnesses and QKD

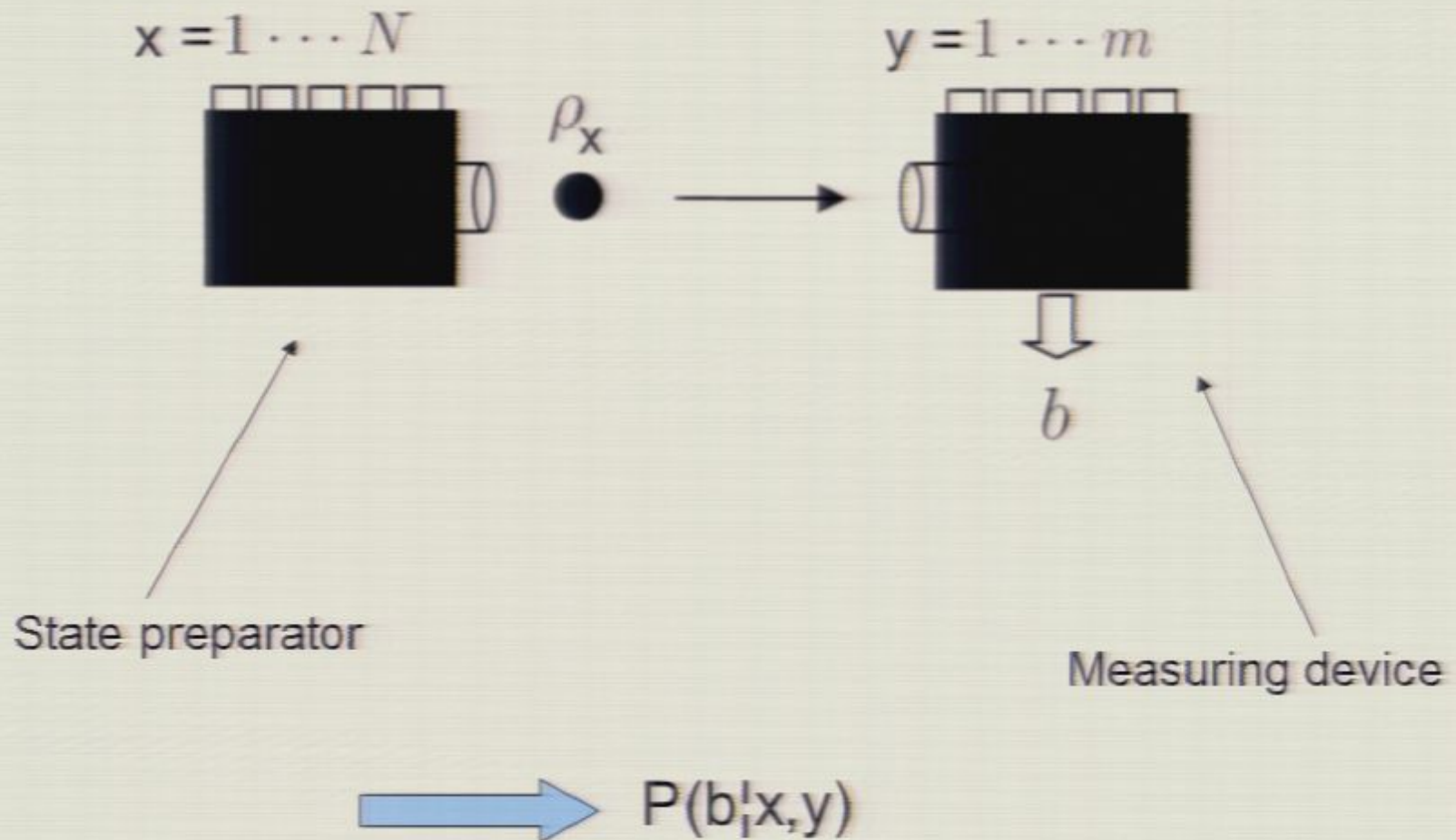
Nicolas Brunner

Joint work with: Rodrigo Gallego, Chris Hadley, Antonio Acin  
Jonathan Barrett, Christian Gogolin  
Marcin Pawłowski

# Setup



# Setup





## Data Table

	m1		m2		
	+1	-1	+1	-1	...
P1	$P(+1 1,1)$	$P(-1 1,1)$	$P(+1 1,2)$	$P(-1 1,2)$	
P2	$P(+1 2,1)$	$P(-1 2,1)$	$P(+1 2,2)$	$P(-1 2,2)$	
...					

Given a data table, can we find useful bounds on the classical and quantum dimensions?

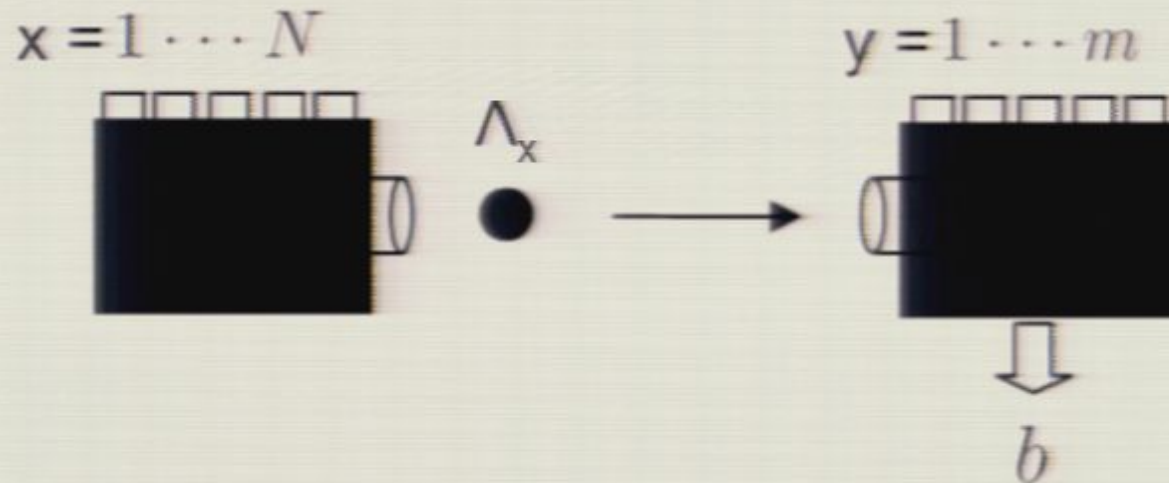
Separation between classical and quantum systems for a given dimension

Is this quantum advantage interesting/useful?

# Here...

- Present simple formalism to handle data tables  
Method for DI tests of classical and quantum dimension
- Foundational interest, e.g. ontological models (cf talk of Jon Barrett)
- Application in QKD

# Testing classical systems

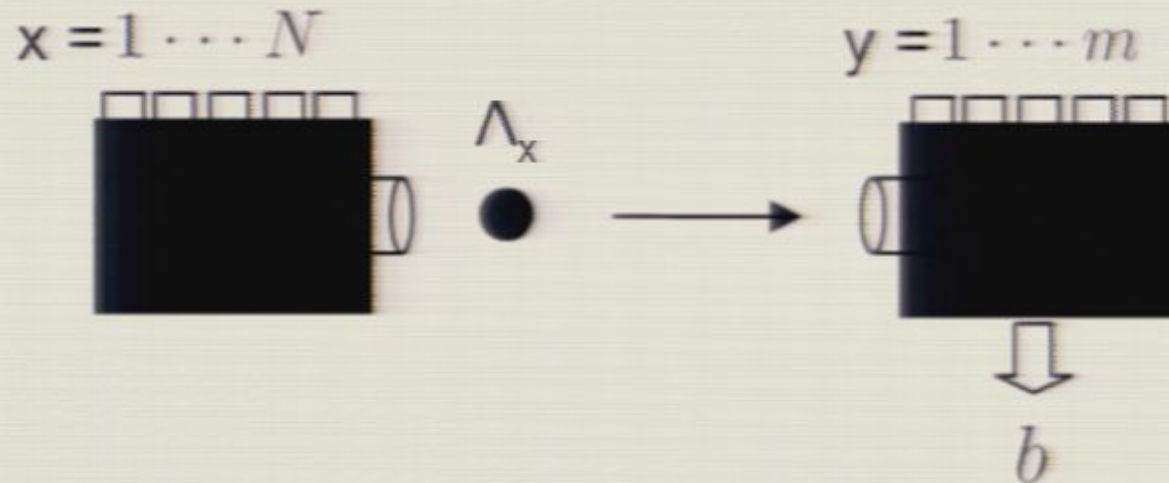


$\Lambda_x$  is a classical state of dimension  $d$ , ie a probability distribution over dits

Experiment = set  $\vec{E}$  of correlator:  $E_{xy} = P(b = +1|x, y) - P(b = -1|x, y)$



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**Dimension witness**  $\vec{W} \cdot \vec{E} = \sum_{x,y} w_{xy} E_{xy} \leq C_d$

(~Bell inequality for data tables)



# Geometry

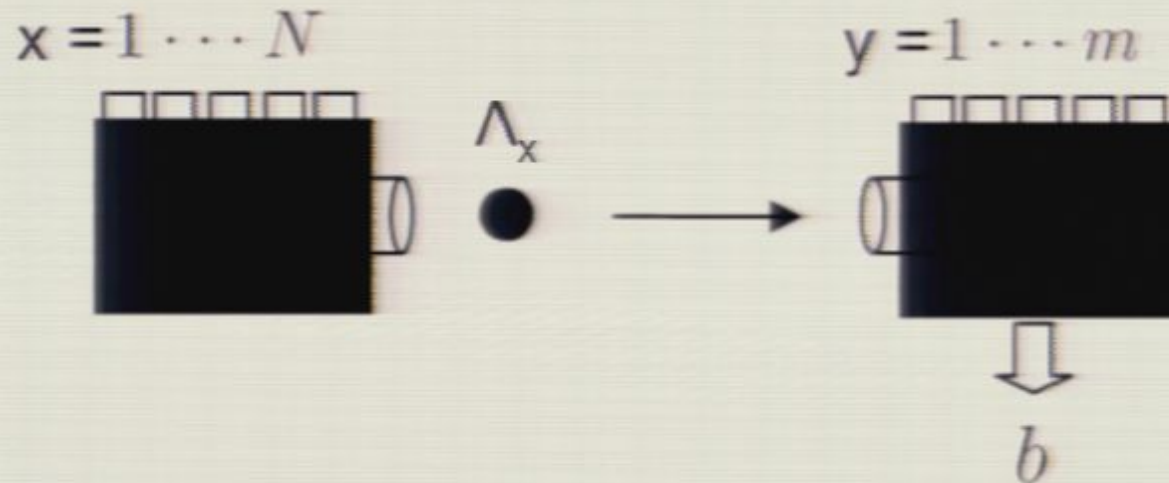
Each experiment  $\vec{E}$  can be viewed as vector in  $\mathbb{R}^{Nm}$

**Simple observation:** if  $N \leq d$  then all experiments can be reproduced classically



$N > d$  (more preparations than tested)

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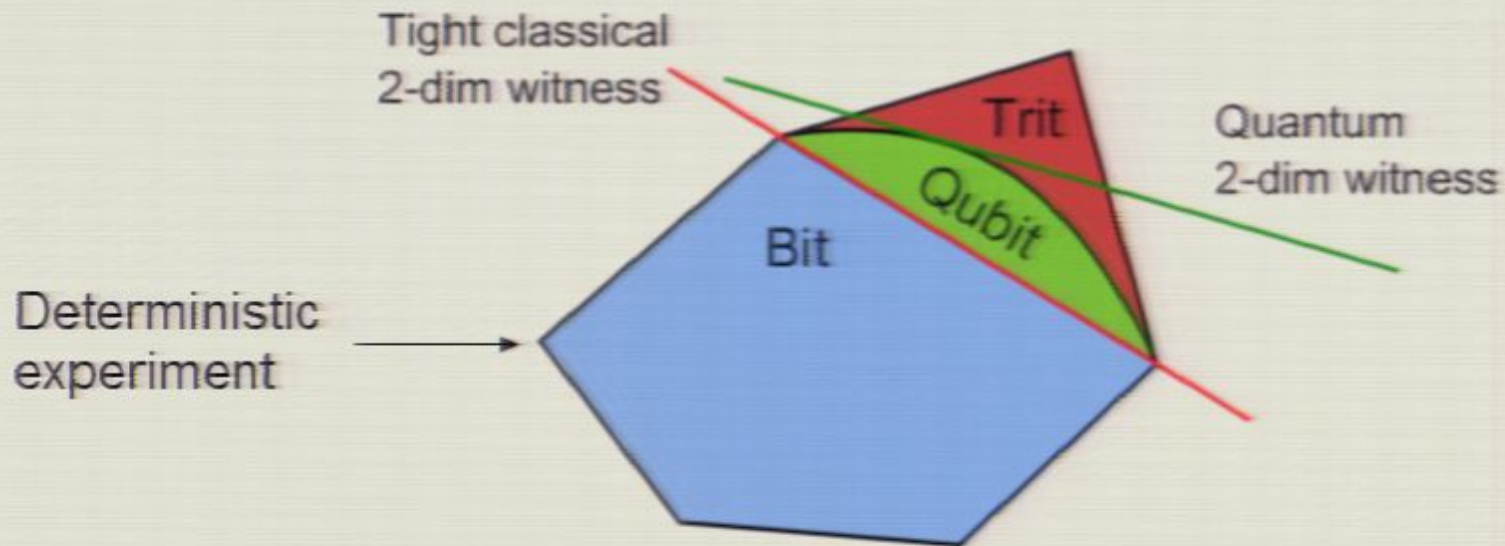
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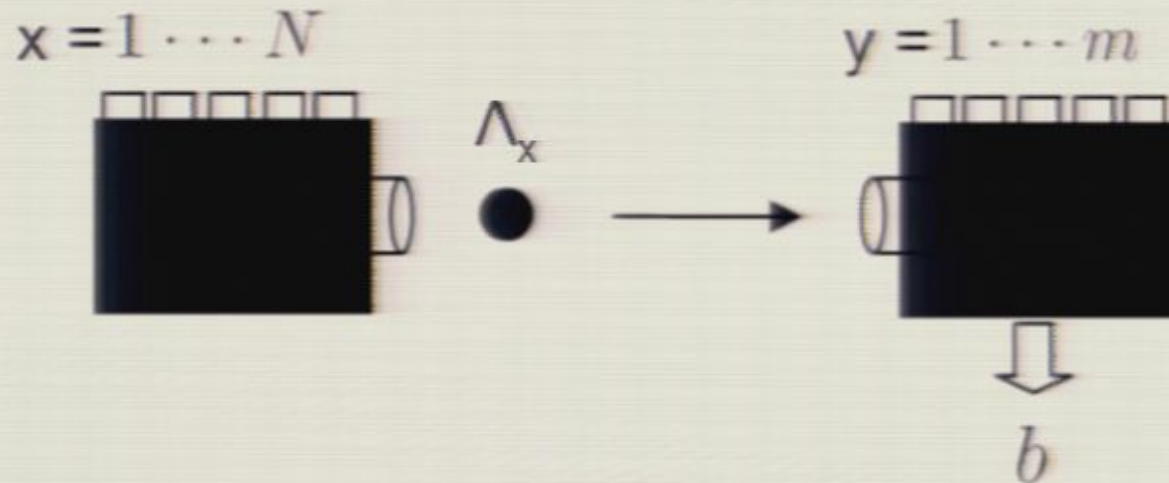


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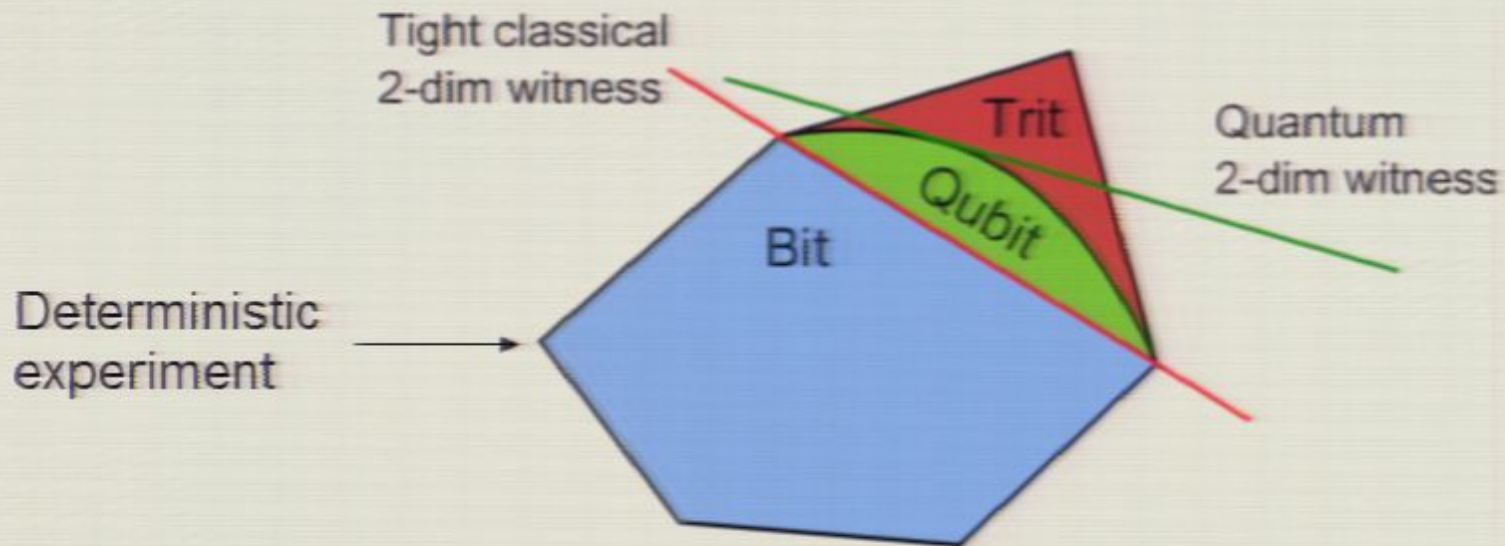
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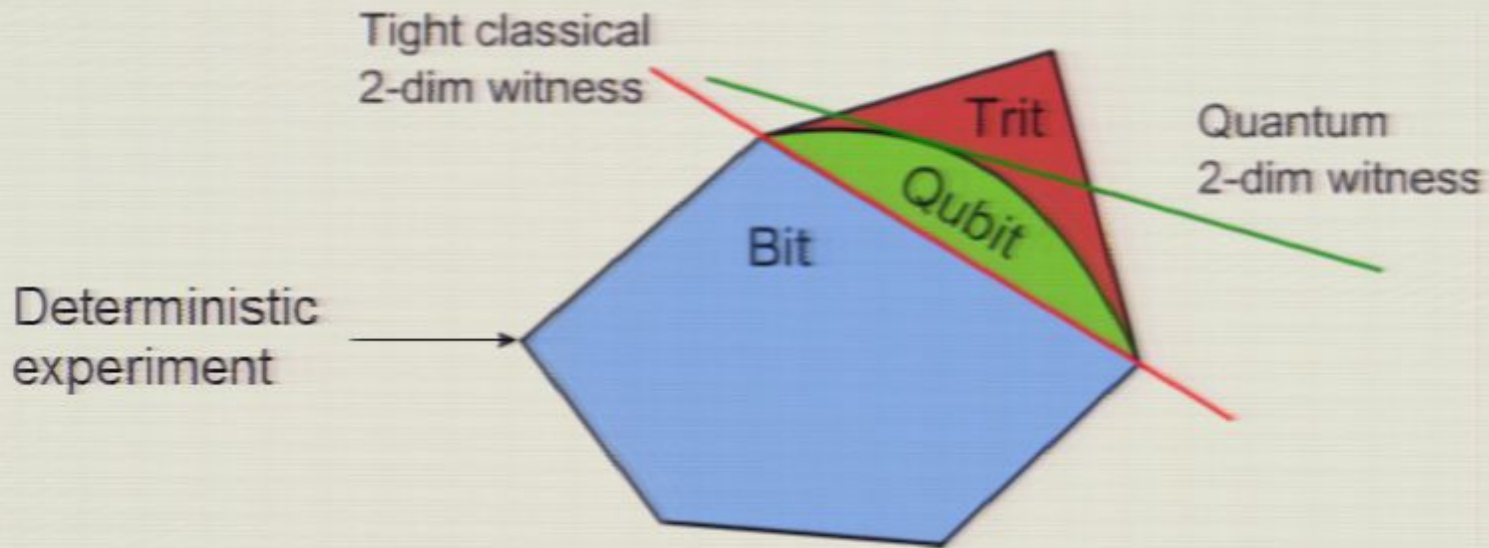
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# Geometry



Set of experiments possible with classical systems of dim  $d$  is a polytope



Facets = Tight classical dim-witness

$$\vec{W} \cdot \vec{E} = \sum_{x,y} w_{xy} E_{xy} \leq C_d$$

$$\leq Q_d$$

Quantum dimension witness

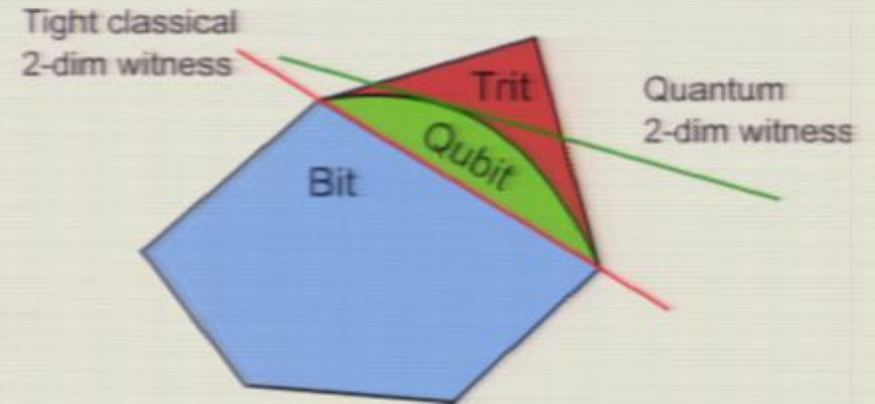


# Example

Simplest case: 3 preparations and 2 measurements

$$I_3 \equiv |E_{11} + E_{12} + E_{21} - E_{22} - E_{31}| \leq 3.$$

	M1	M2	
P1	+	+	$\leq 3$ (bit)
P2	+	-	
P3	-	-	$\leq 5$ (trit)



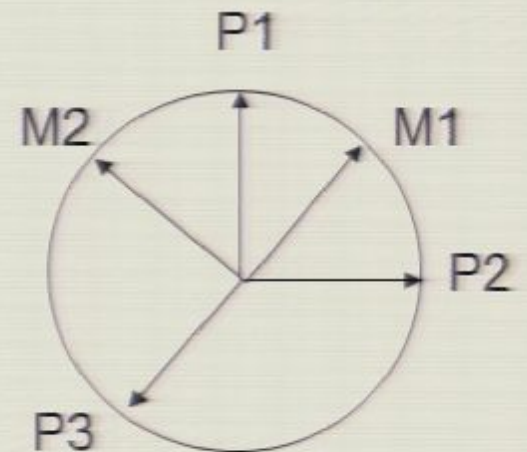
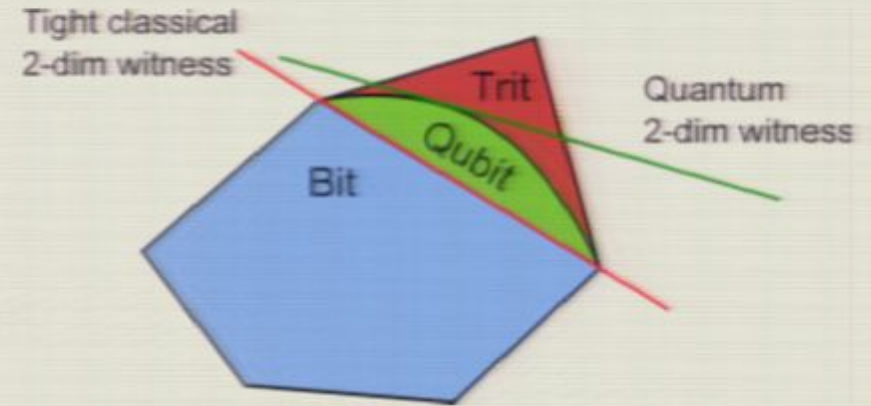


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Simplest case: 3 preparations and 2 measurements

$$I_3 \equiv |E_{111} + E_{112} + E_{211} - E_{222} - E_{311}| \leq 3.$$

	M1	M2	
P1	+	+	$\leq 3$ (bit)
P2	+	-	
P3	-	-	$\leq 5$ (trit)



With qubits:  $I_3 \leq 1 + 2\sqrt{2} \approx 3.8284$

# Quantum advantage

What can we do with this quantum advantage?

- Exponential separation (communication complexity)
- Security proof for 1-way QKD

# Exponential separation

Family of data tables leading to exponential separation

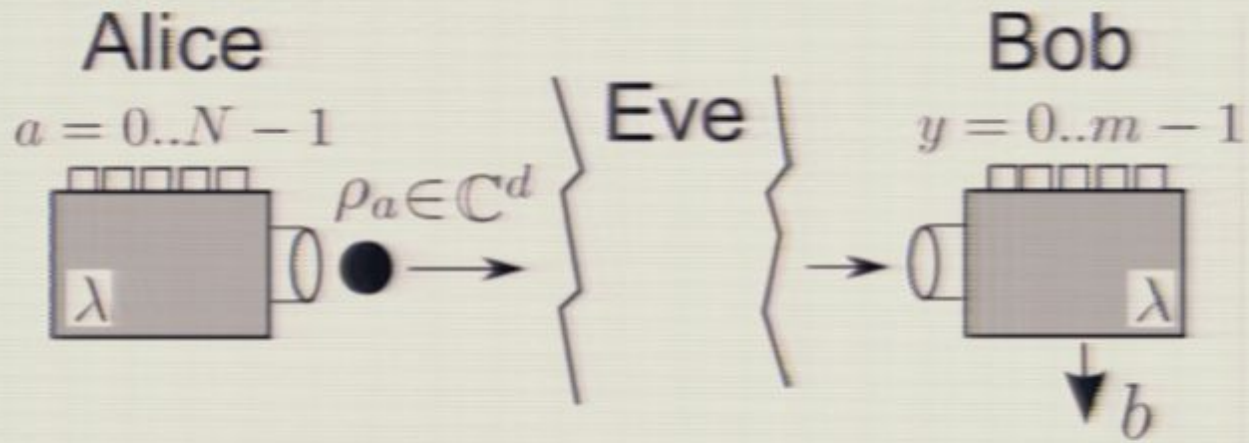
i.e. feasible with quantum systems of dim  $d$

Unfeasible (even with small errors) with classical systems of dim less than  $2^d$

Communication complexity (e.g. Klartag & Regev 2010)

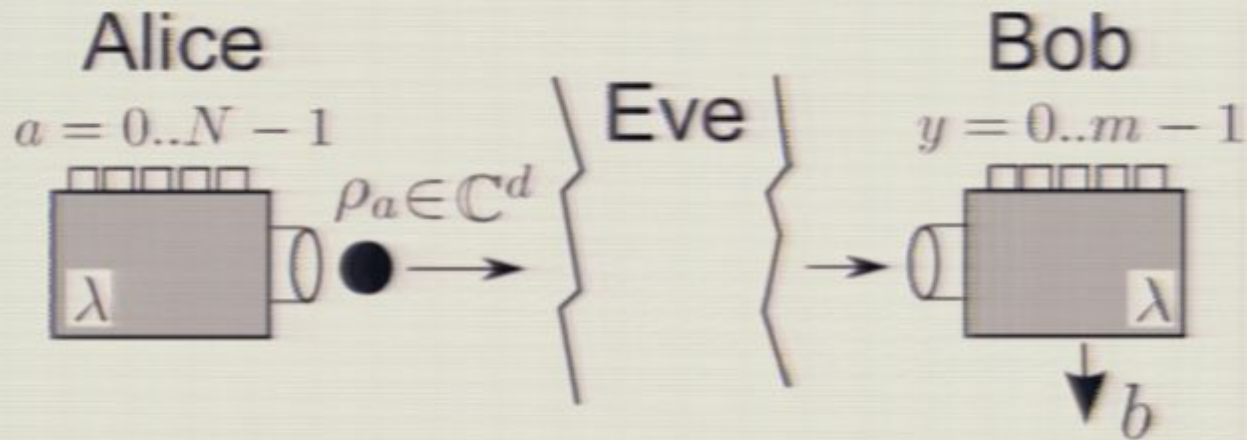
No-go theorem for ontological models (cf talk of Jon Barrett)

# QKD





# QKD



**Semi-DI scenario** Non-characterized devices, but systems of bound

Security proof against individual attacks

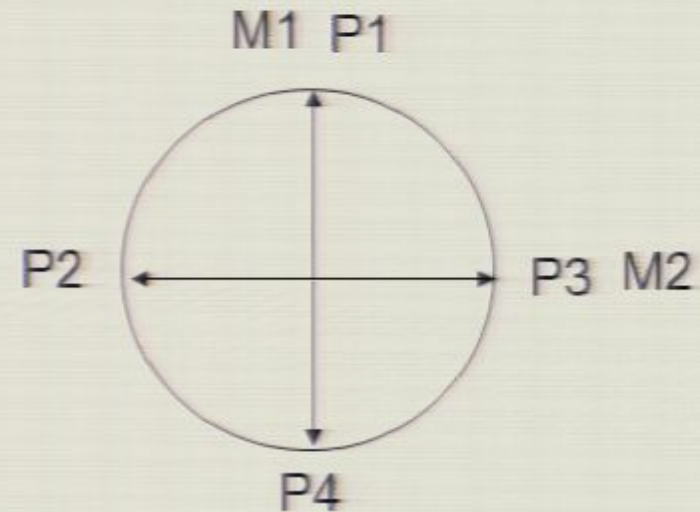
Based on the violation of a dimension witness

Not based on entanglement or nonlocality  
(First proof that applies to the one-way case)

# BB84

4 qubit preparations ( $|+z\rangle, |-z\rangle, |+x\rangle, |-x\rangle$ ) and 2 measurements (Z,X)

	M1	M2
P1	+1	0
P2	0	-1
P3	0	+1
P4	-1	0



Does not violate any 2-dim classical witness!

Can be reproduced by sending a classical bit

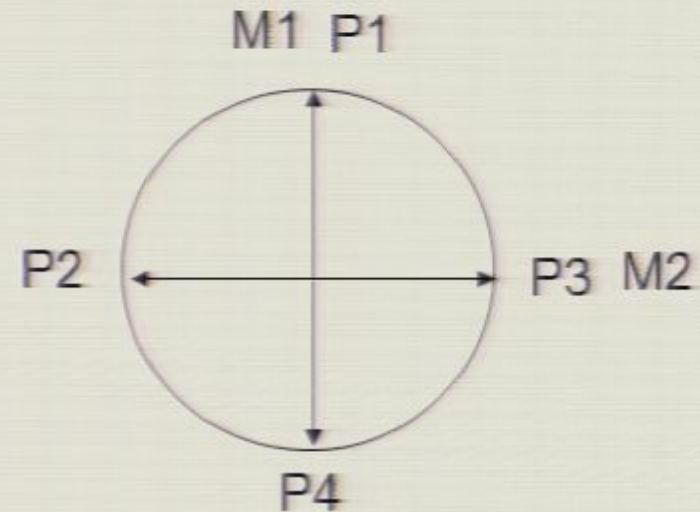


**No security in a semi-DI scenario**

# BB84

4 qubit preparations ( $|+z\rangle, |-z\rangle, |+x\rangle, |-x\rangle$ ) and 2 measurements (Z,X)

asis		outcome			
a0	a1			M1	M2
0	0	P1		+1	0
1	0	P2		0	-1
1	1	P3		0	+1
0	1	P4		-1	0



Does not violate any 2-dim classical witness!

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**No security in a semi-DI scenario**

**Strategy**  $\lambda=0$ : Alice sends  $m=a_0+a_1$ , Bob outputs  $b=m+y$

If  $y=a_0$ , then  $b=a_1$  else  $b=a_1+1$

$\lambda=1$ : Alice sends  $m=a_1$ , Bob outputs  $b=m=a_1$



# Dimension witness and random access codes

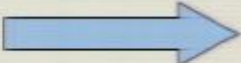
	M1	M2	
P1	+	+	
P2	+	-	$\leq 4$ (for classical bits)
P3	-	+	
P4	-	-	



## Dimension witness and random access codes

a0	a1		M1	M2	
0	0	P1	+	+	
0	1	P2	+	-	≤ 4 (for classical bits)
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This witness corresponds exactly to a 1-out-of-2 random access code (RAC)

  $P_{\text{guess}} = (I + 8) / 16$

$I \leq 4$  corresponds to  $P_{\text{guess}} \leq \frac{3}{4}$   
(classical limit for RAC)

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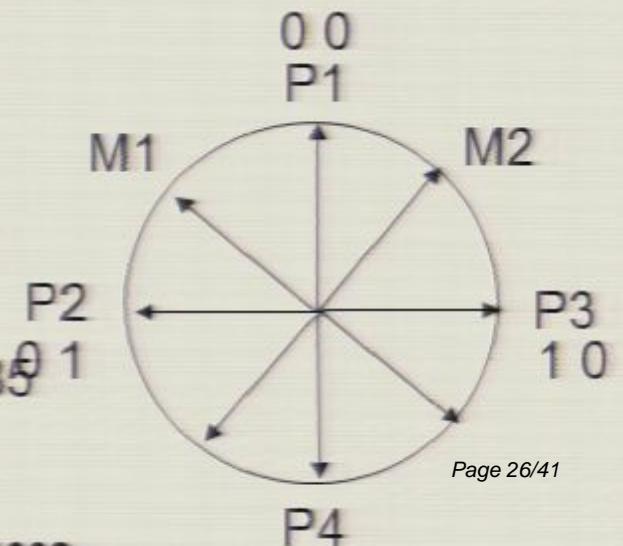
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For qubits,  $P_{\text{guess}} \leq \cos^2(\pi/8) \sim 0.85$



# Security proof

Individual attacks: Csiszar & Korner (1978)  $I(A : B) > I(A : E)$

$$P_B > P_E \quad \longrightarrow \quad \text{Positive key rate}$$

Proof based on a result by R. König (PhD thesis)

$F_n$  : set of balanced boolean functions on n-bit strings

Alice receives a (uniformly chosen) n-bit string; Bob receives a function in  $F_n$   
Alice sends s qubits to Bob. Bob's probability of guessing is bounded by

$$P_n \leq \frac{1}{2} \left( 1 + \sqrt{\frac{2^s - 1}{2^n - 1}} \right)$$



## Security proof

We have  $n=2, s=1$   $P_B(a_0) + P_B(a_1) + P_B(a_0 \oplus a_1) \leq \frac{3}{2} \left( 1 + \frac{1}{\sqrt{3}} \right)$

Assume Bob and Eve collaborate

$$P_{BE}(a_0) + P_{BE}(a_1) + P_{BE}(a_0 \oplus a_1) \geq 2P_B(a_0) + 2P_E(a_1) - 1$$

$$\begin{aligned} P_{BE}(a_0 \oplus a_1) &\geq P_{BE}(a_0, a_1) \\ &\geq P_{BE}(a_0) + P_{BE}(a_1) - 1 \end{aligned}$$

$$P_{BE}(a_i) \geq P_B(a_i)$$

$$\Rightarrow P_B(a_0) + P_E(a_1) \leq \frac{5 + \sqrt{3}}{4} \Rightarrow P_B + P_E \leq \frac{5 + \sqrt{3}}{4}$$

$$\Rightarrow P_B > P_E \quad \text{when} \quad P_B > \frac{5 + \sqrt{3}}{8} \approx 0.8415$$



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Qubits can reach  $P_B = \cos^2(\pi/8) \approx 0.8536$

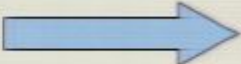
**Security**

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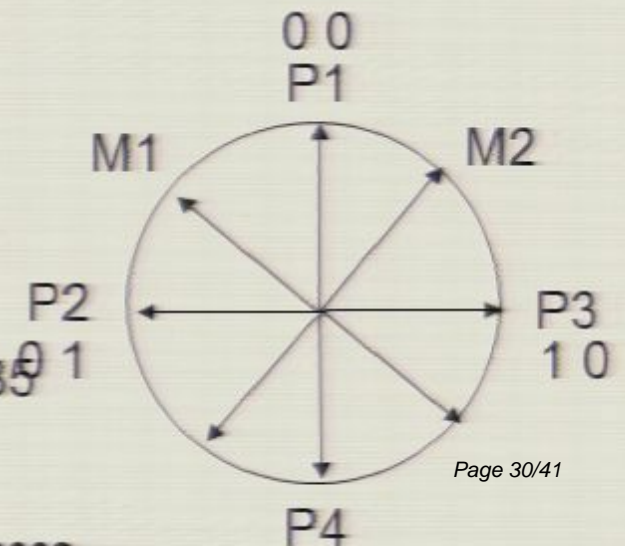
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## Is this semi-DI approach relevant?

Alice is Semi-DI (preparations are of given dimension but non-characterized)

Bob is fully DI

Relaxation compared to usual security proofs

Works for 1-way configuration

Security only against a specific type of attacks (what about more general ones?)



# Conclusion

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- DI tests of classical and quantum dimension
- Ontological models; exponential separation
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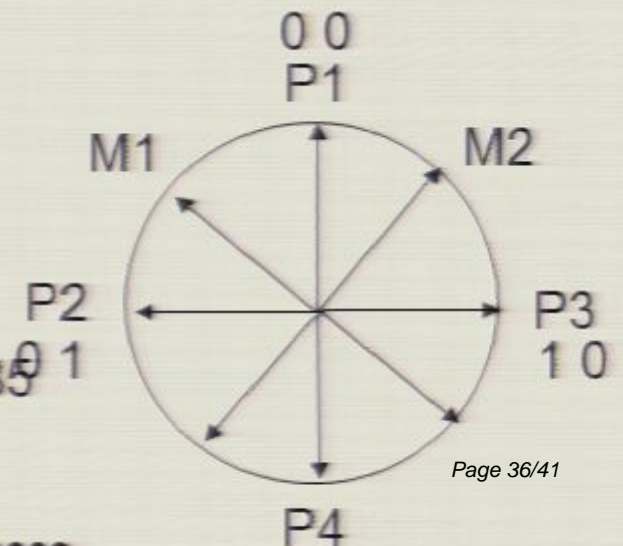
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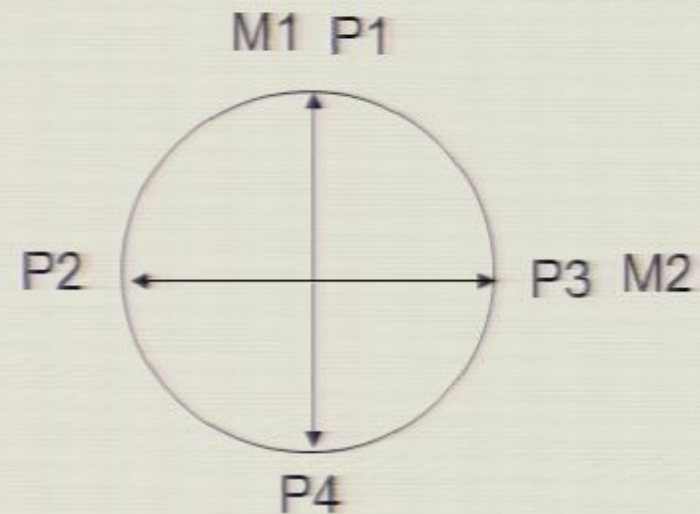




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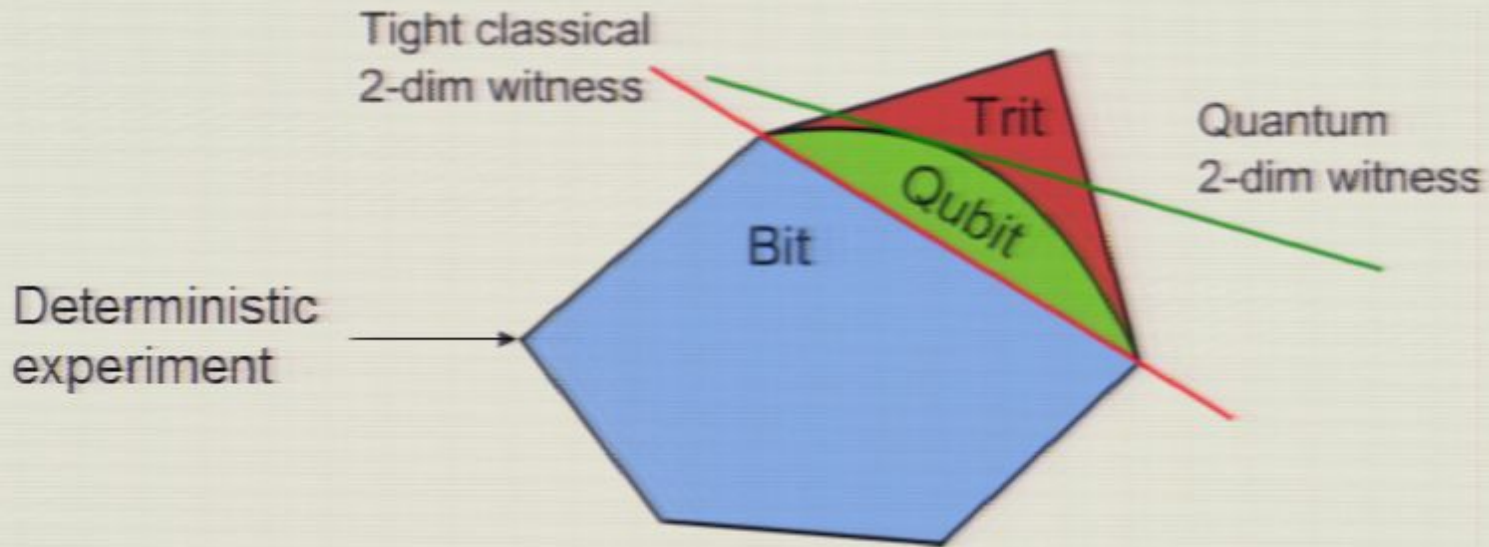
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