

Title: From operational axioms to quantum theory - and beyond?

Date: May 09, 2011 02:50 PM

URL: <http://pirsa.org/11050033>

Abstract: Usually, quantum theory (QT) is introduced by giving a list of abstract mathematical postulates, including the Hilbert space formalism and the Born rule. Even though the result is mathematically sound and in perfect agreement with experiment, there remains the question why this formalism is a natural choice, and how QT could possibly be modified in a consistent way. My talk is on recent work with Lluís Masanes, where we show that five simple operational axioms actually determine the formalism of QT uniquely. This is based to a large extent on Lucien Hardy's seminal work. We start with the framework of "general probabilistic theories", a simple, minimal mathematical description for outcome probabilities of measurements. Then, we use group theory and convex geometry to show that the state space of a bit must be a 3D (Bloch) ball, finally recovering the Hilbert space formalism. There will also be some speculation on how to find natural post-quantum theories by dropping one of the axioms.

Outline

1. Motivation

Why??

2. General Probabilistic Theories

Physics?

3. The Axioms

What do they mean?

4. Derivation of the Hilbert space formalism

Why are qubits 3D-balls??

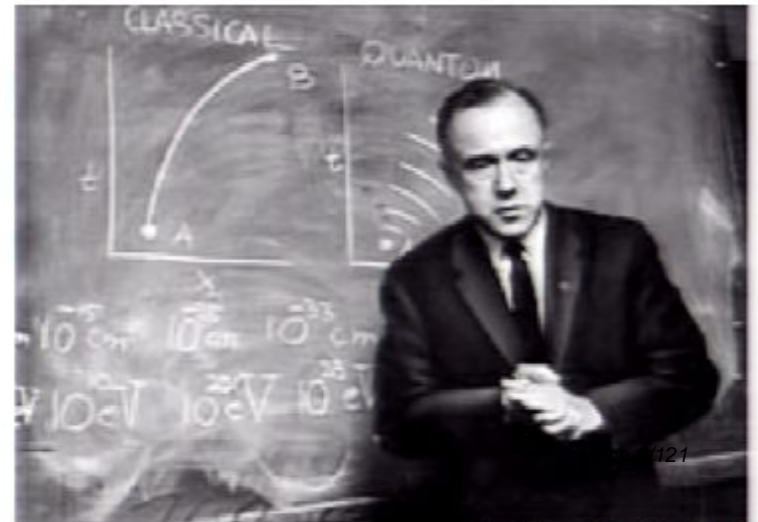
5. What's beyond QT?

I. Motivation

John A. Wheeler, New York Times, Dec. 12 2000:

„Quantum physics [...] has explained the structure of atoms and molecules, [...] the behavior of semiconductors [...] and the comings and goings of particles from neutrinos to quarks.

Successful, yes, but mysterious, too.
Why does the quantum exist?“



I. Motivation

Testing Quantum Mechanics

STEVEN WEINBERG*

*Theory Group, Department of Physics,
University of Texas, Austin, Texas 78712*

Received March 6, 1989

This paper presents a general framework for introducing nonlinear corrections into ordinary quantum mechanics, that can serve as a guide to experiments that would be sensitive to such corrections. In the class of generalized theories described here, the equations that determine the time-dependence of the wave function are no longer linear, but are of Hamiltonian type. Also, wave functions that differ by a constant factor represent the same physical state and satisfy the same time-dependence equations. As a result, there is no difficulty in combining separated subsystems. Prescriptions are given for determining the states in which observables have definite values and for calculating the expectation values of observables for general states, but the calculation of probabilities requires detailed analysis of the method of measurement. A study is presented of various



I. Motivation

WEINBERG'S NON-LINEAR QUANTUM MECHANICS AND SUPRALUMINAL COMMUNICATIONS

N. GISIN

Group on Applied Physics, University of Geneva, 1211 Geneva 4, Switzerland

Received 16 October 1989; accepted for publication 3 November 1989

Communicated by J.P. Vigiér

We show with an example that Weinberg's general framework for introducing non-linear corrections into quantum mechanics allows for arbitrarily fast communications.

Recently Weinberg has proposed a general framework for introducing non-linear corrections into ordinary quantum mechanics [1,2]. Although we fully support his emphasis on the importance of testing quantum mechanics, we would like in this Letter to draw attention to the difficulty of modifying quantum mechanics without introducing arbitrarily fast actions at a distance. Below we show how to construct, within Weinberg's framework, an arbitrarily fast telephone line. In ordinary quantum mechanics

to know what such an apparatus is... do you know what is inside your phone?) In order to simplify we consider only a single-bit message. The two directions z and u are in the xz -plane orthogonal to the incoming flow of particles, and are 45° from each other. The way the inhomogeneous magnetic field acts on the particles is well-known from experimental evidence. After the apparatus there are two counters. For each particle one of the counters will click. This click will be amplified until all readers of



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Builds on:

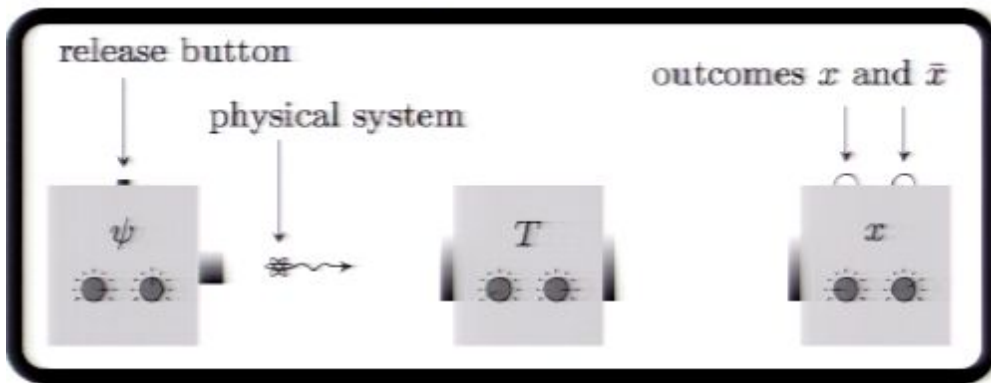
- L. Hardy, *Quantum Theory From Five Reasonable Axioms*, 2001
- B. Dakić and Č. Brukner, *Quantum Theory and Beyond: Is Entanglement Special?*, 2009



See also:

- G. Chiribella et al., *Informational derivation of Q.T.*, 2010
- L. Hardy, *Reformulating and Reconstructing Q.T.*, 2011

Basic physical / operational assumptions

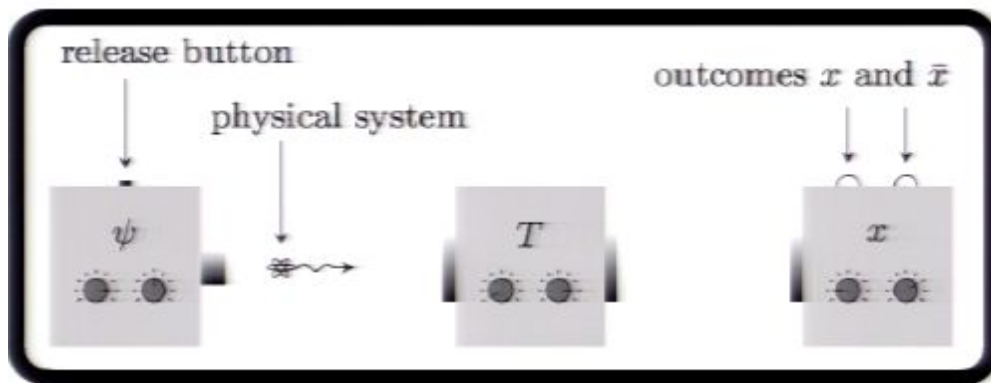


- States, transformations, and measurements with **outcome probabilities**.
- Combined systems: **no-signalling**.

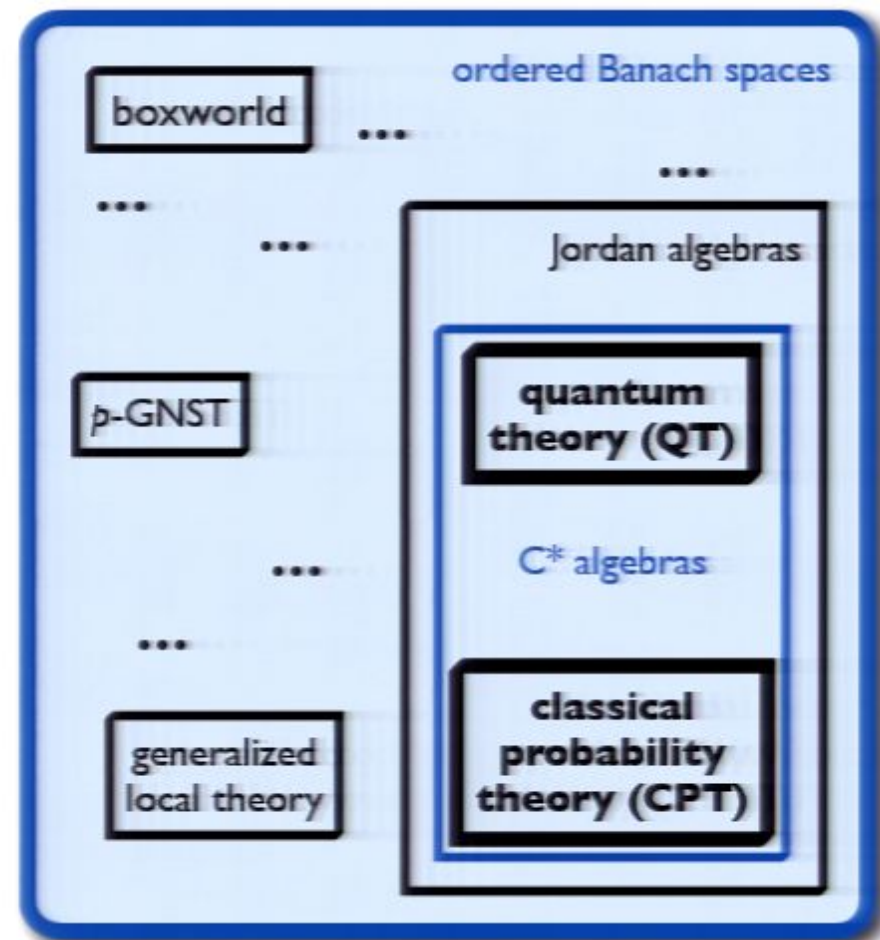
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General
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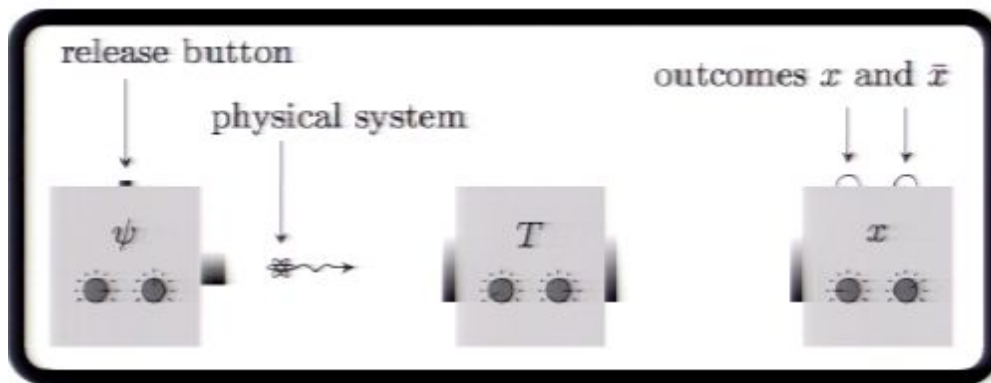


- **No** Hilbert spaces, complex numbers,...
- State spaces: **arbitrary convex sets**.
- Many ways to **combine systems**.

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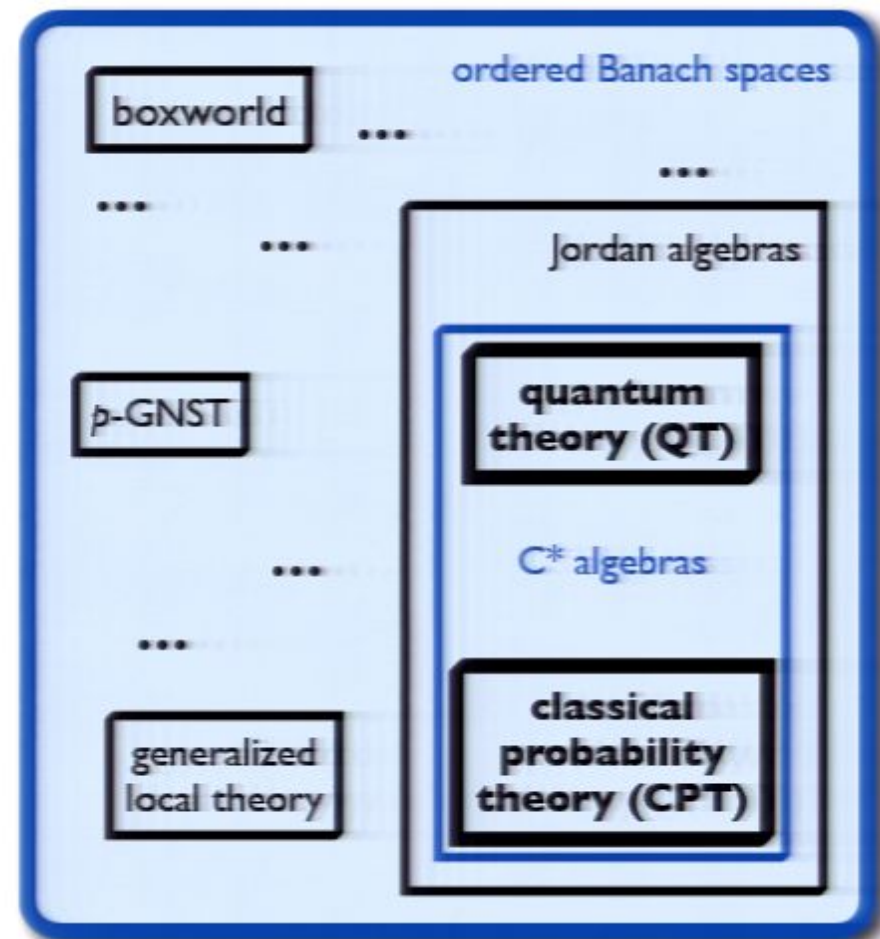


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The Axioms:

- I. Local tomography
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- IV. Finite-dimensionality
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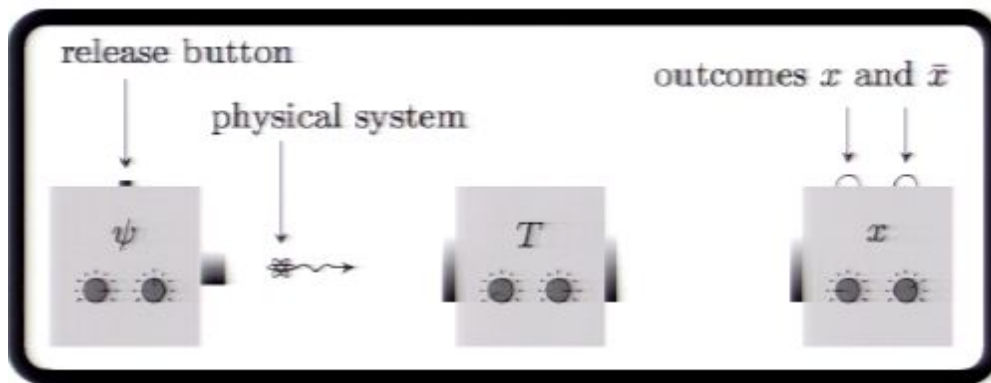


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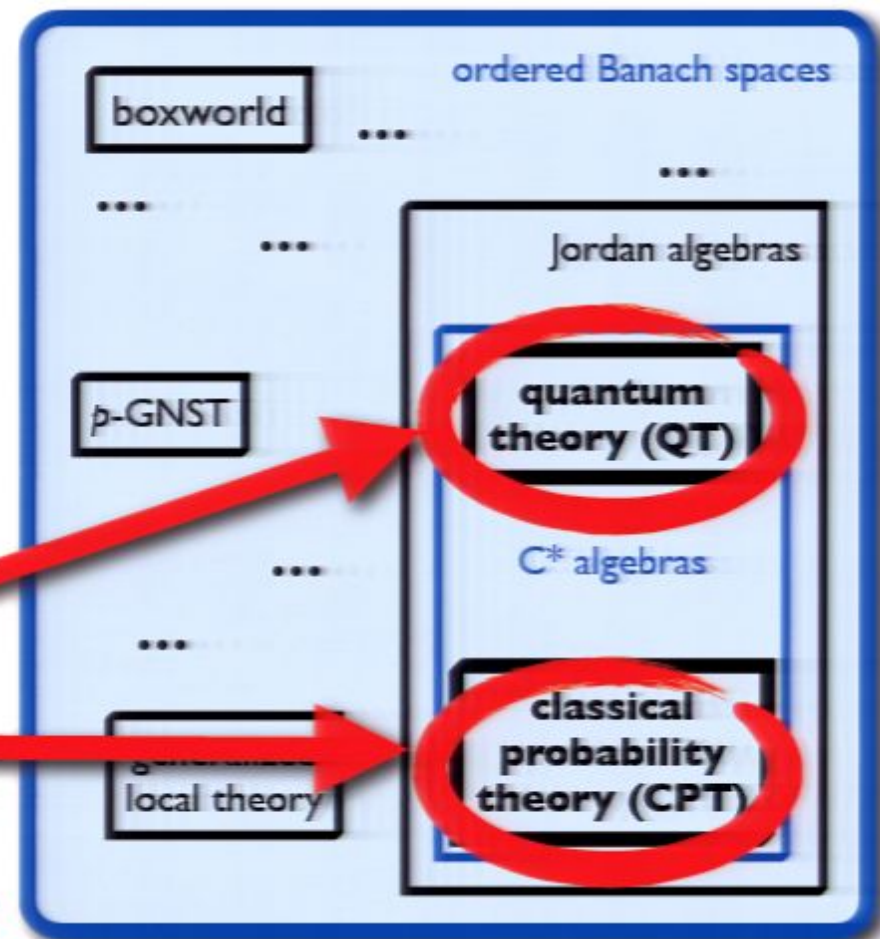
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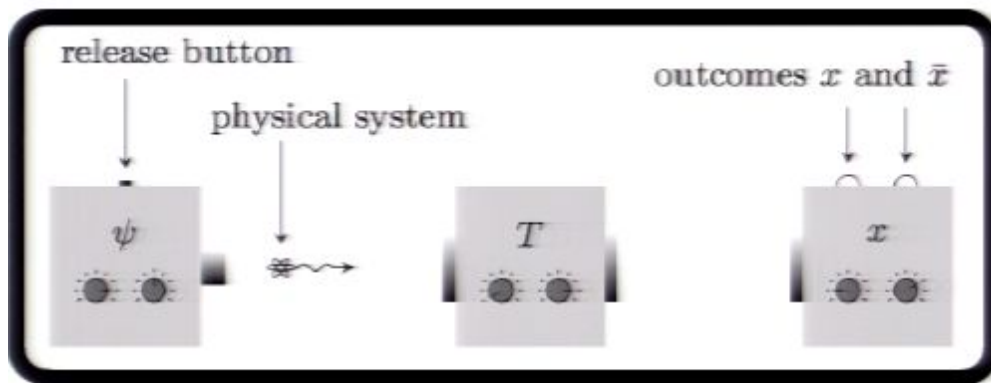


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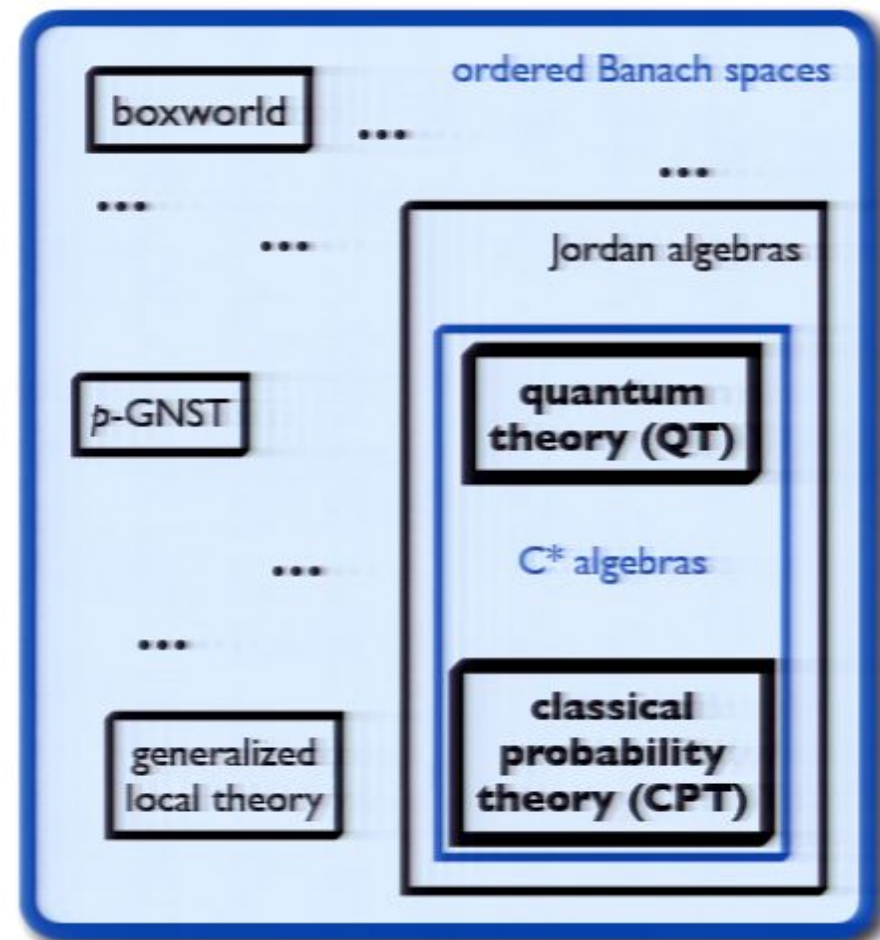


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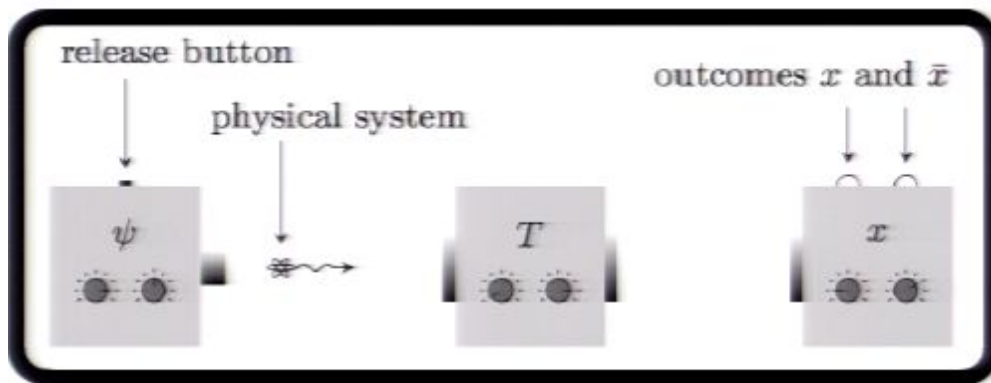
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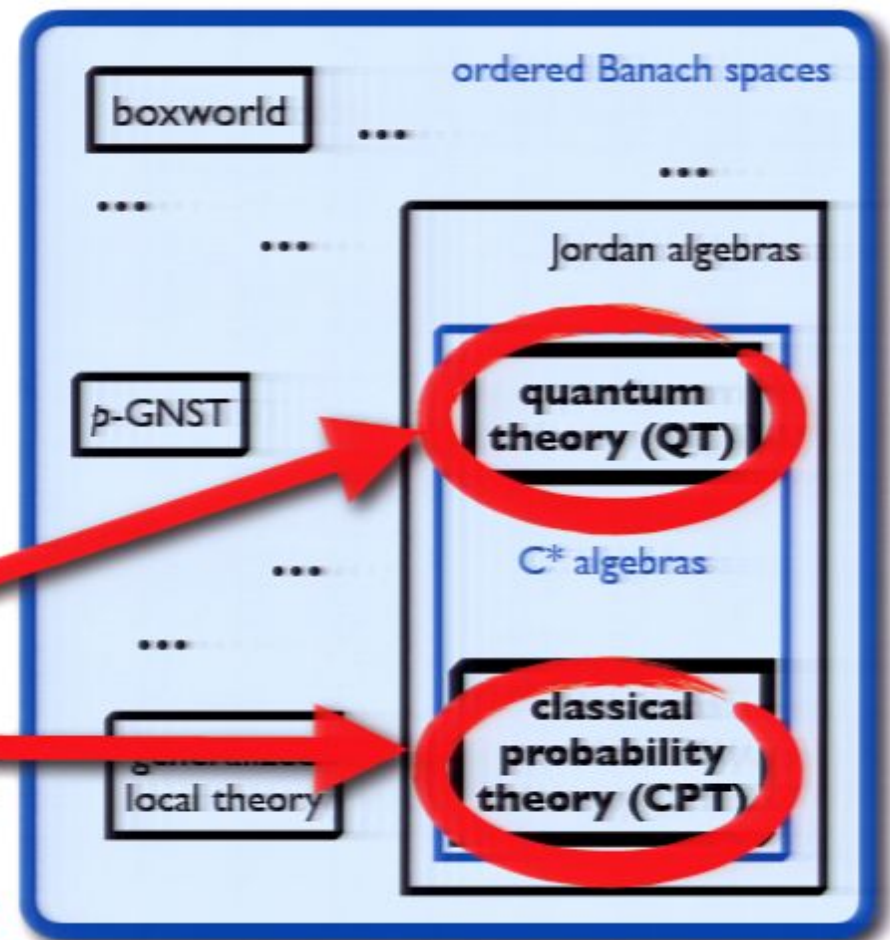
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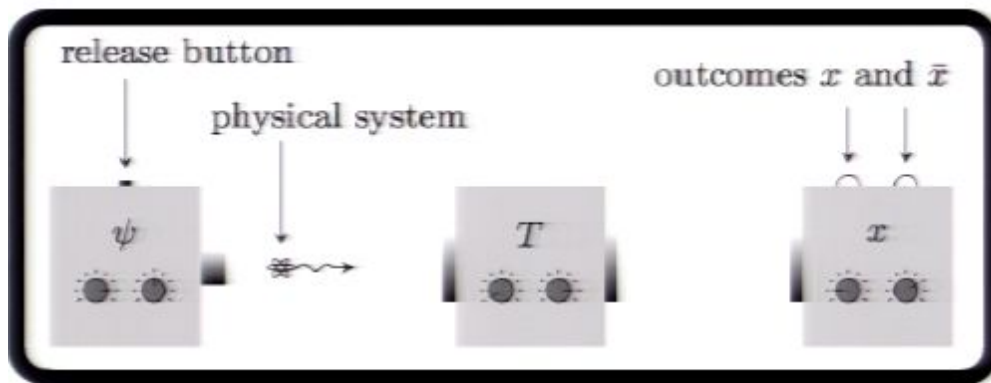


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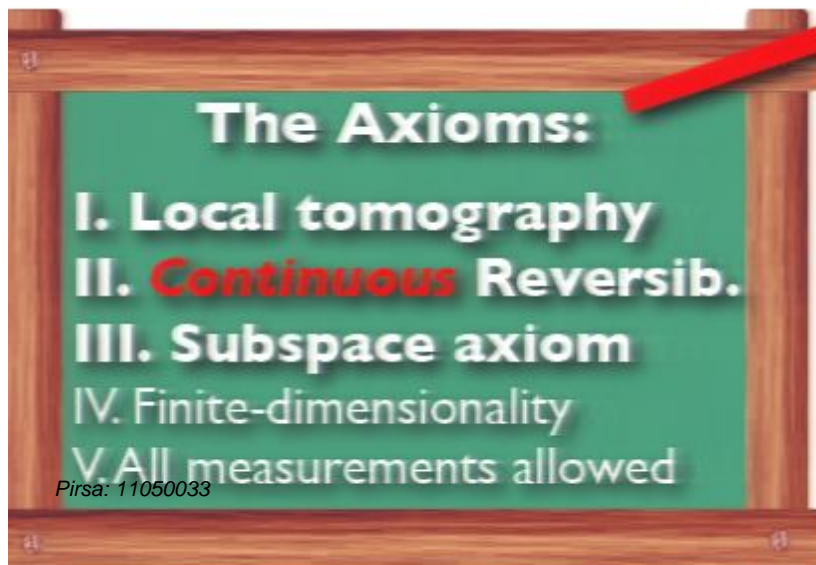
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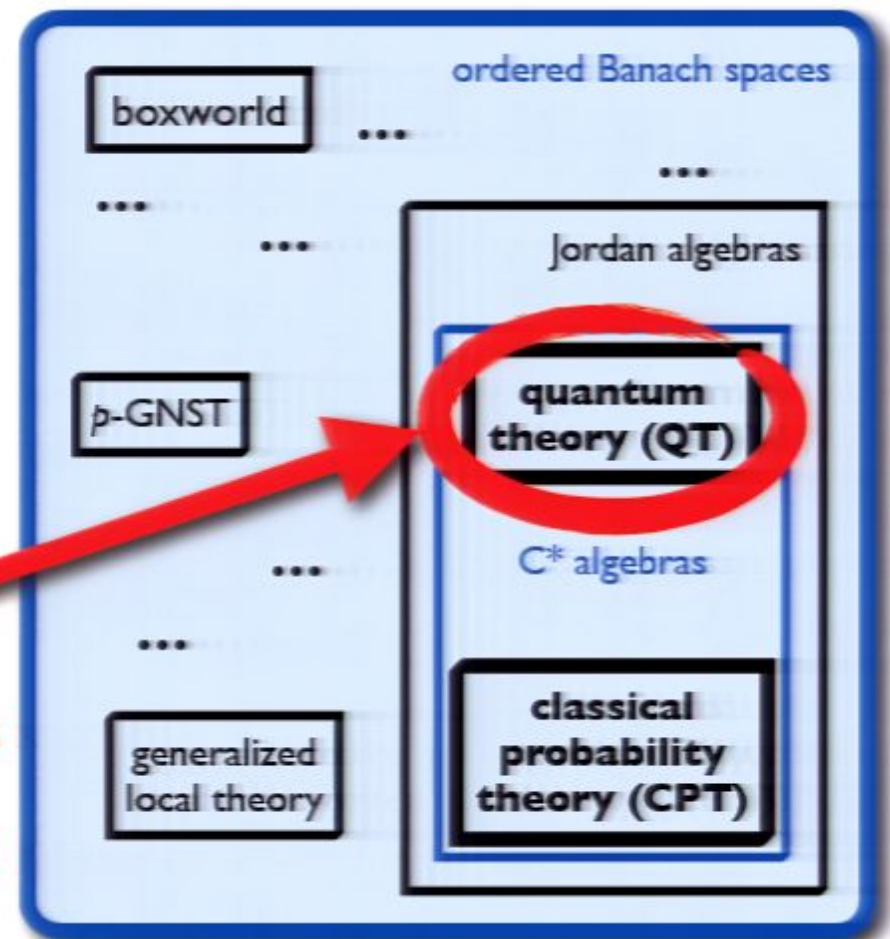


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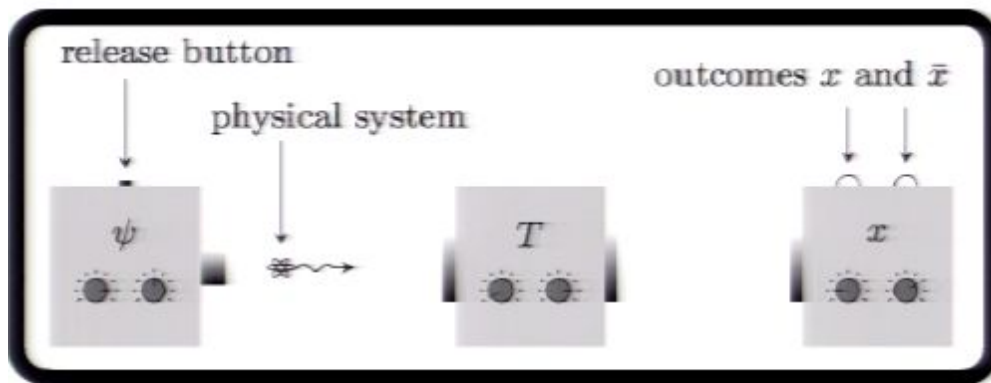
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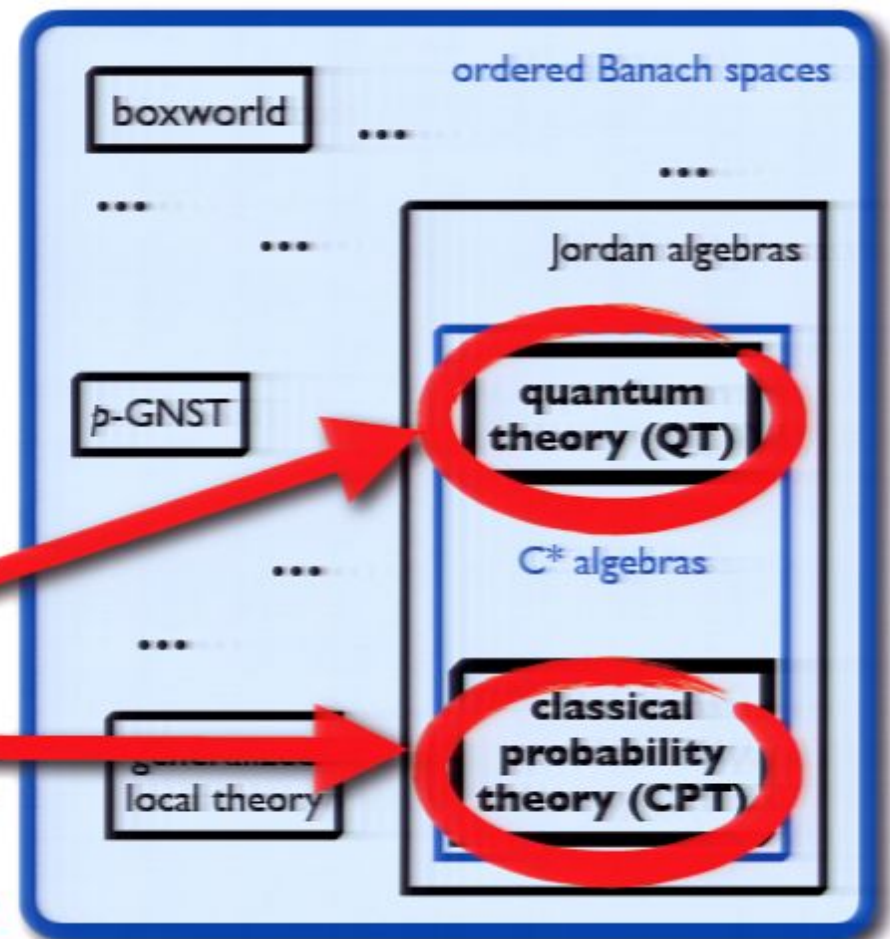
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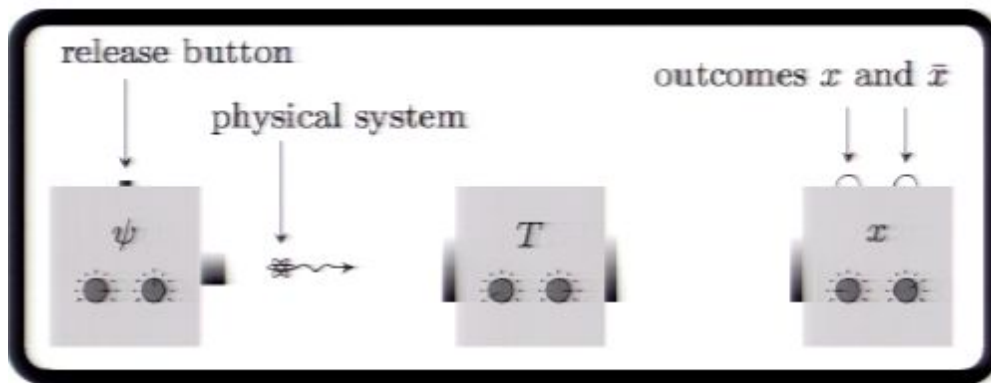


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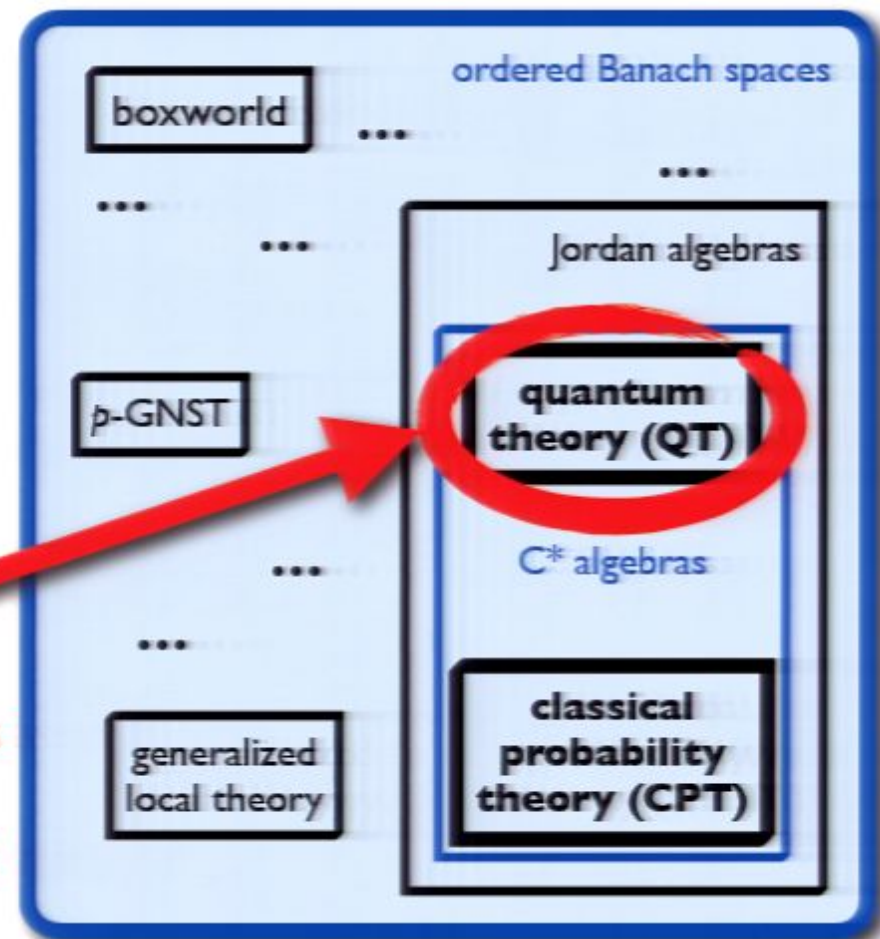
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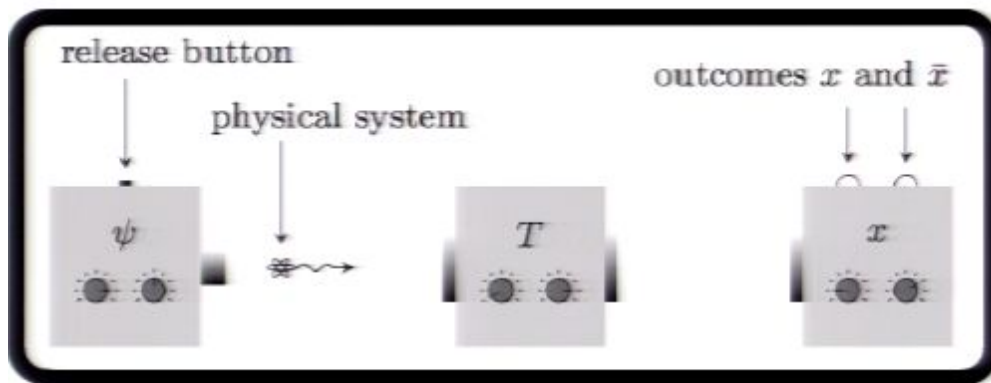
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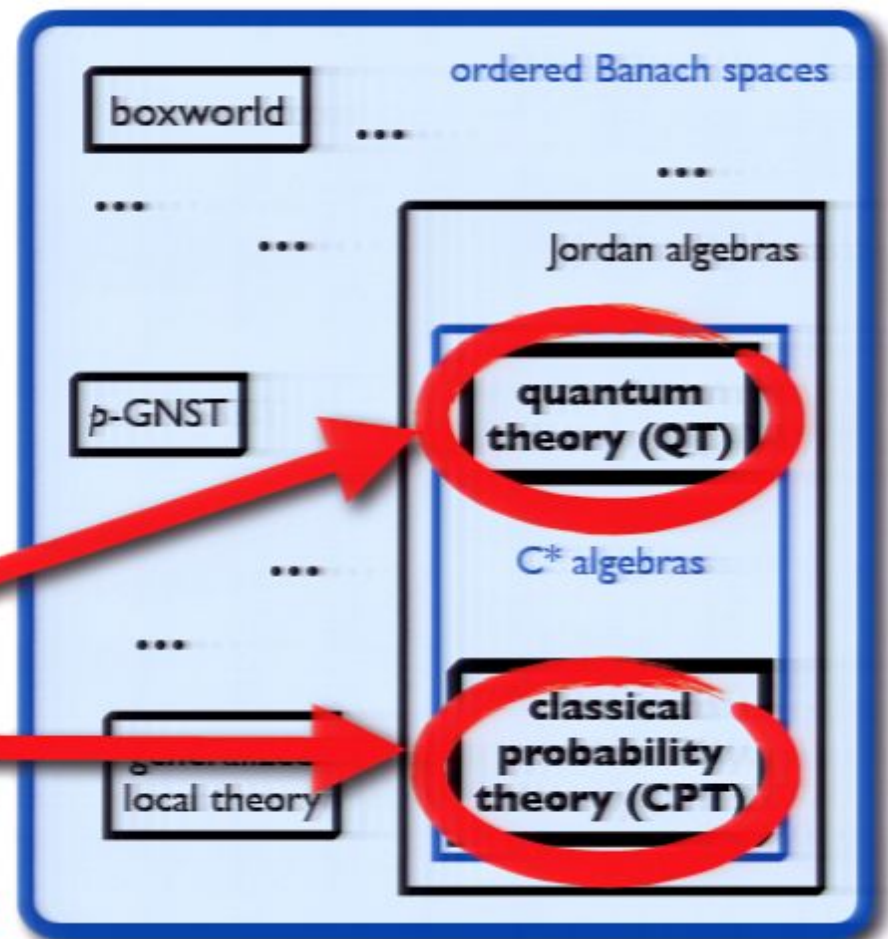
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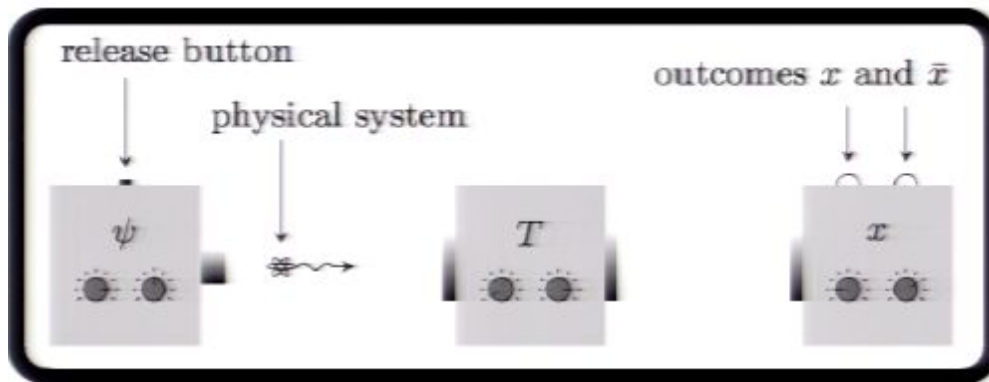
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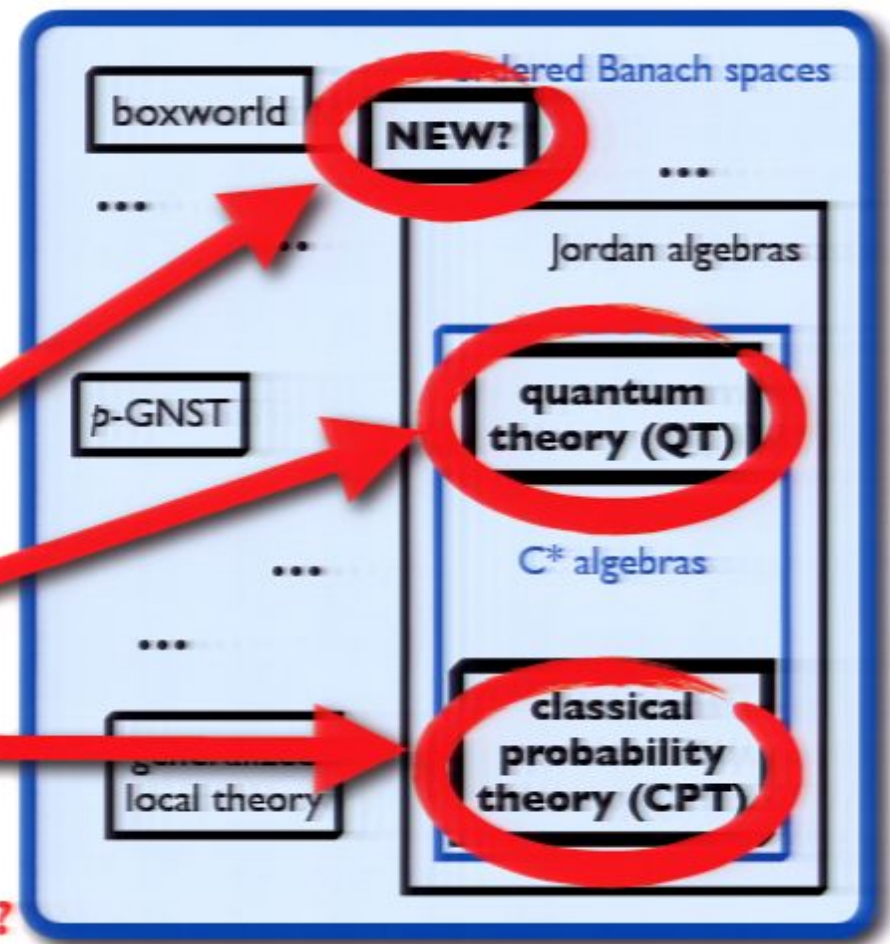


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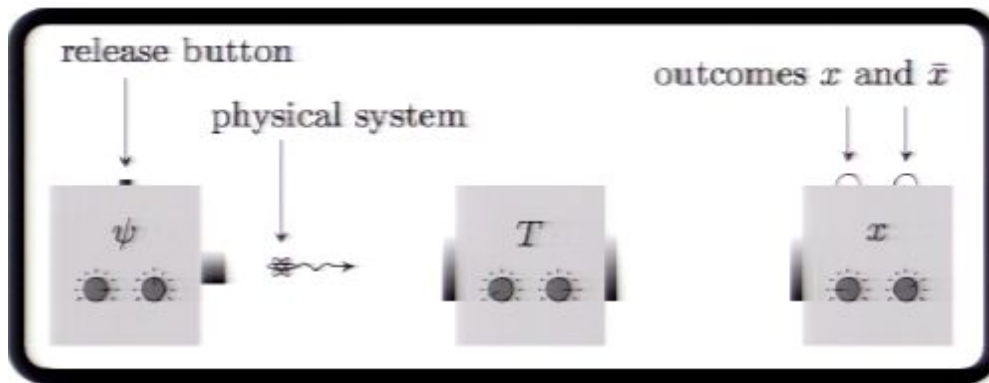
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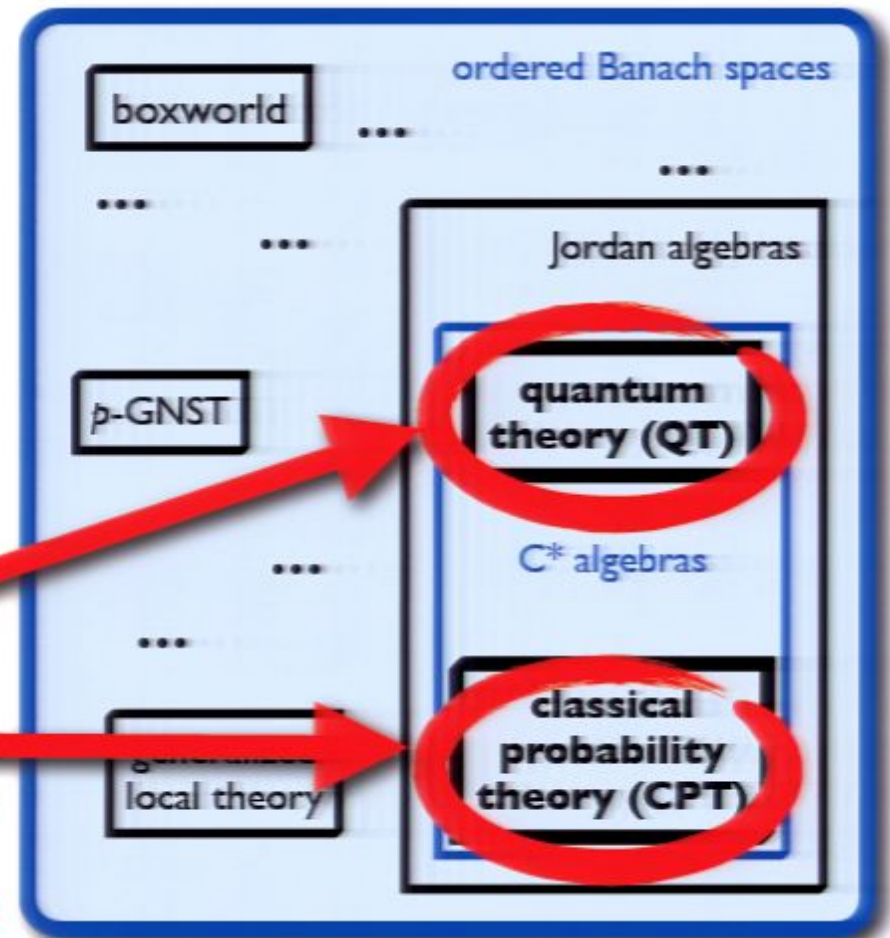
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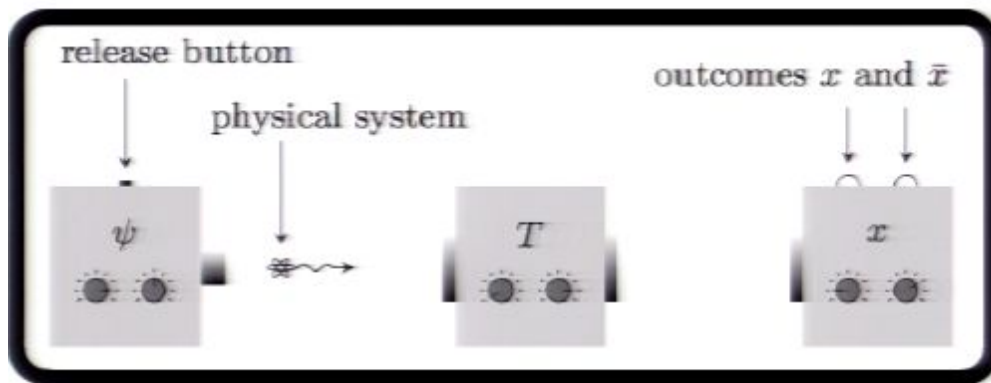
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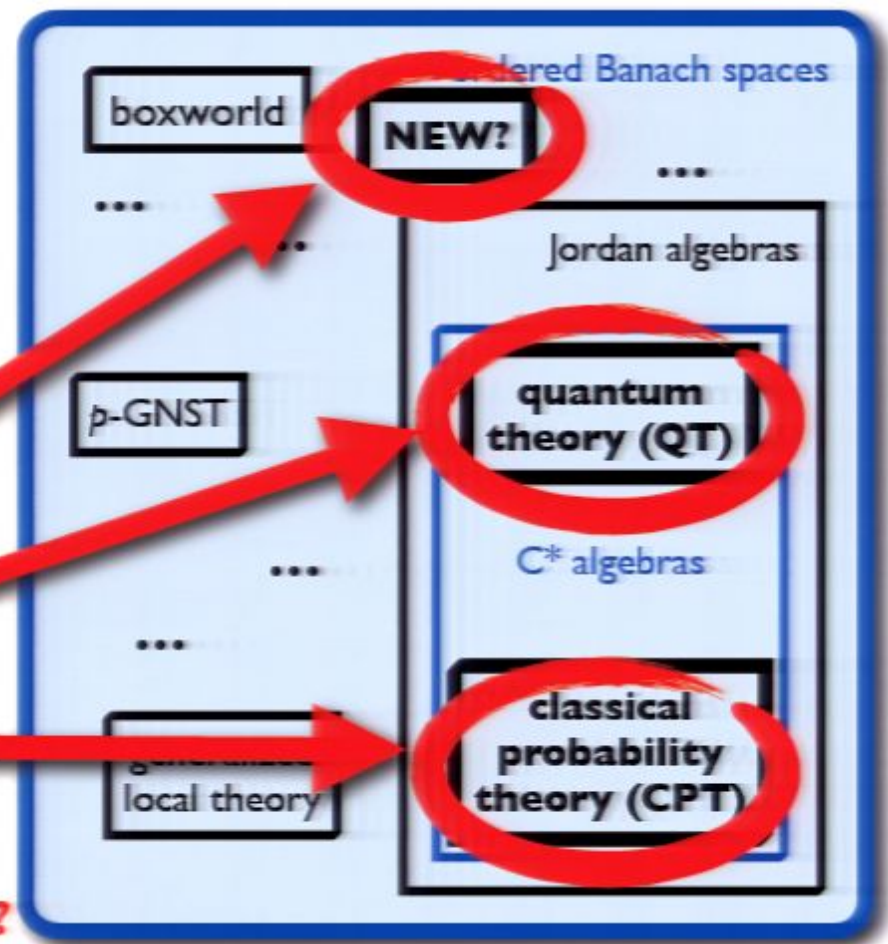


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What our results are **not**:



- They offer **no resolution** of the measurement problem.
- **No new interpretation** of quantum theory.
- We **assume** that **probabilities** exist.
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Mechanics
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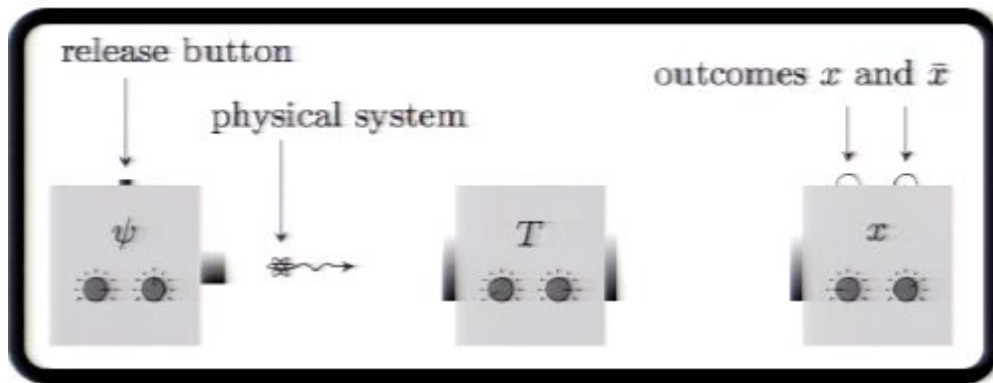
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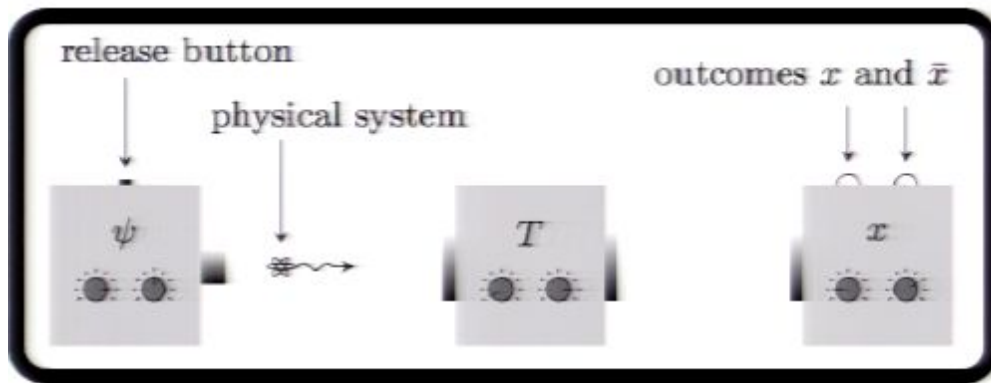
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Abstract
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2. The Physical Setup



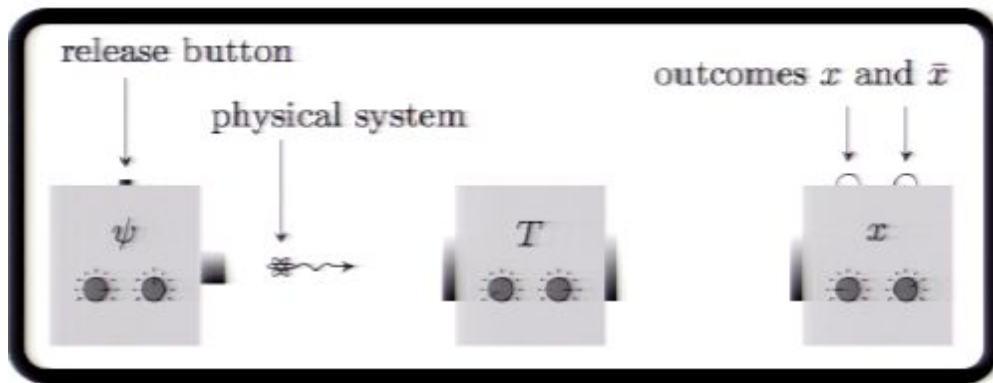
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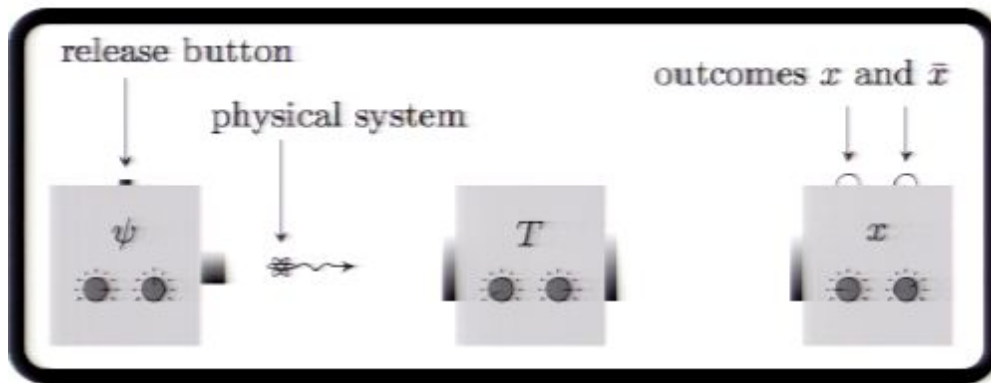
(Unnormalized) state ω =
list of all probabilities of „yes“-
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$$\omega = (p_1, p_2, p_3, p_4, p_5, p_6, \dots)$$

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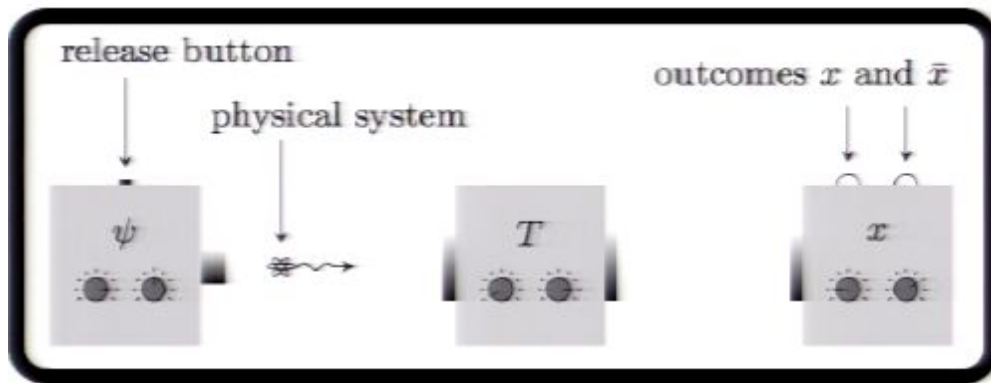
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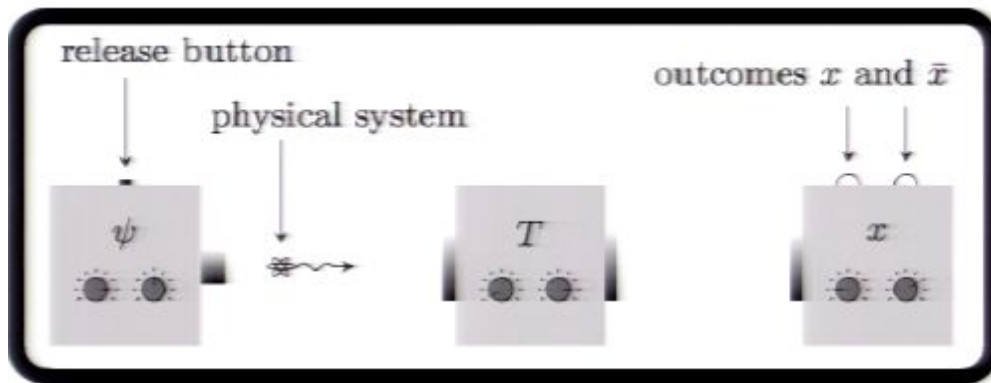
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Sometimes, all ω span a finite-dimensional subspace. Ex.: Qubit

- What's the prob. of „spin up“ in X-direction?
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- Is the particle there at all?

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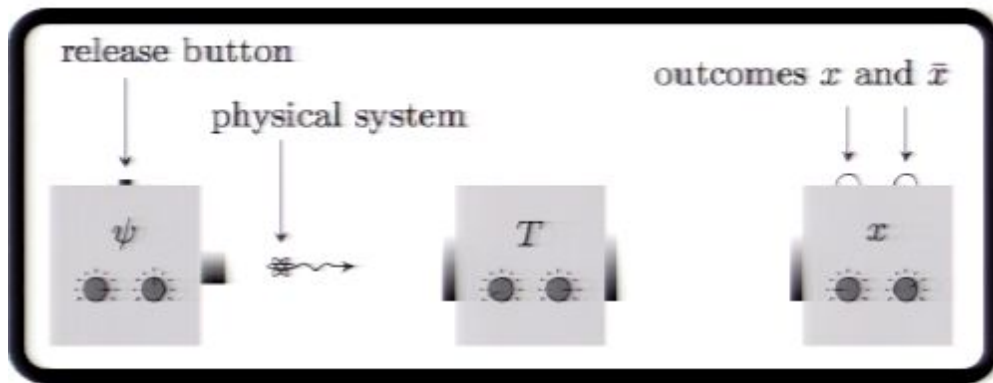
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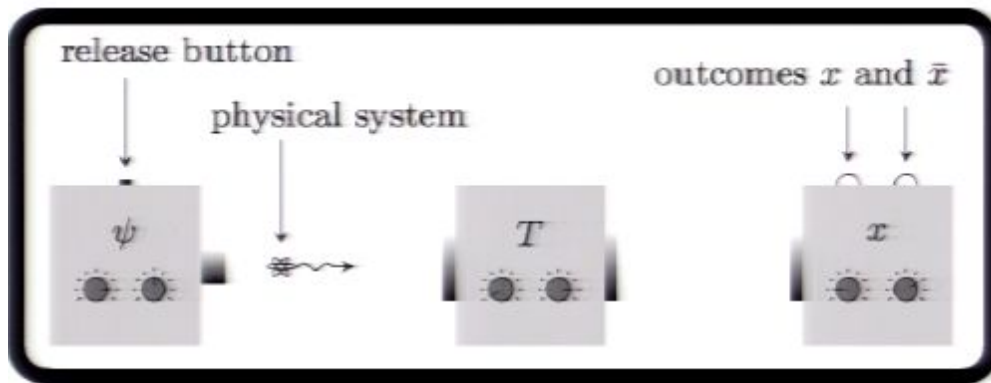
Axiom IV: All state spaces are finite-dimensional.

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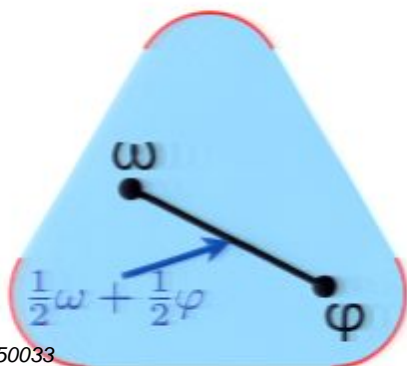
Prepare state ω or φ with prob. $\frac{1}{2}$. Result: $\frac{1}{2}\omega + \frac{1}{2}\varphi$

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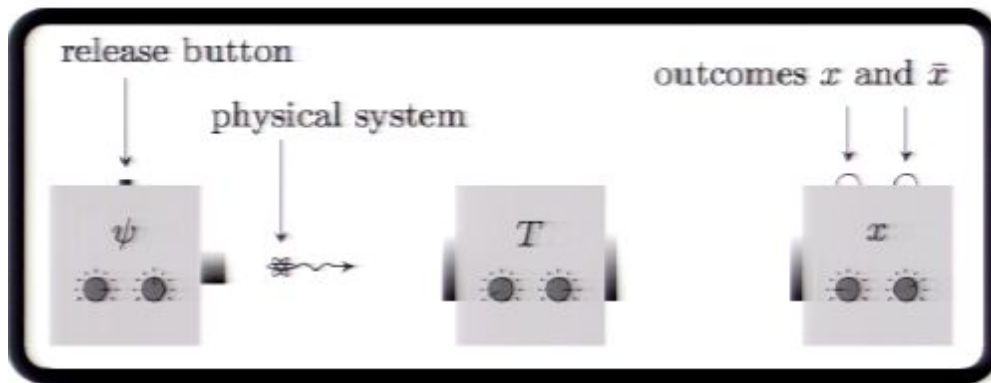


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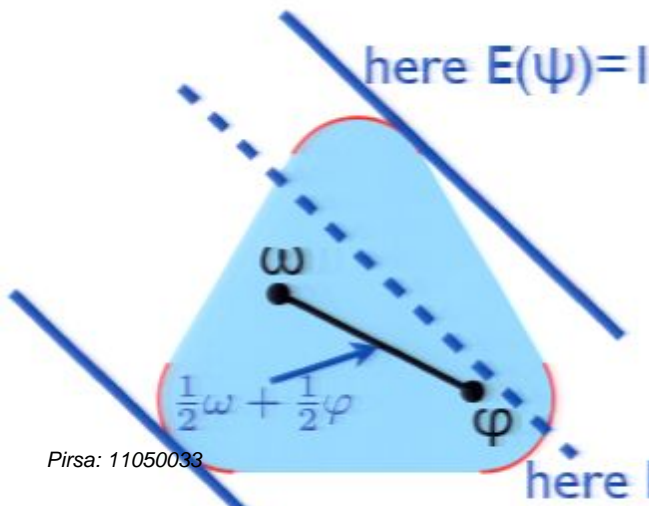
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Extremal points are **pure states**, others **mixed**.



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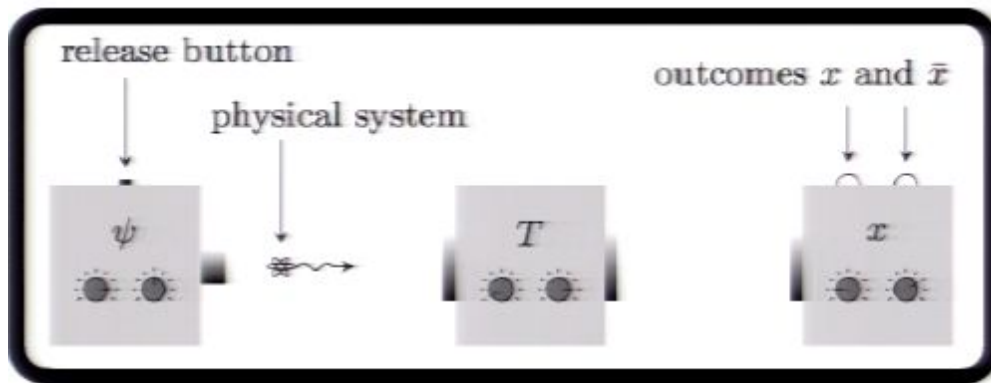
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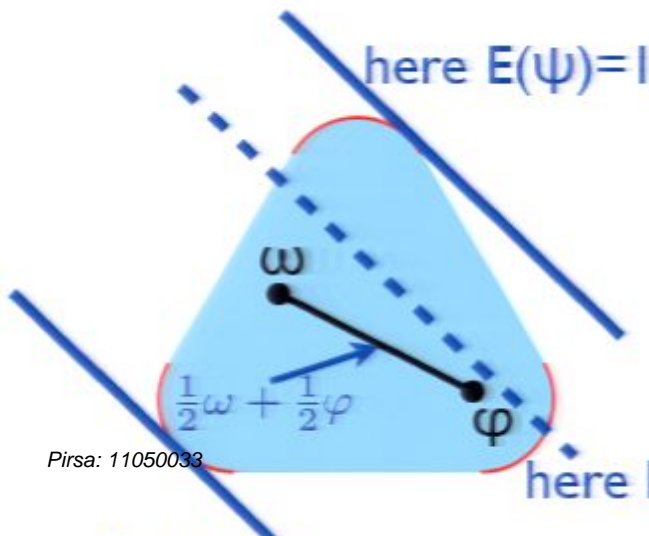
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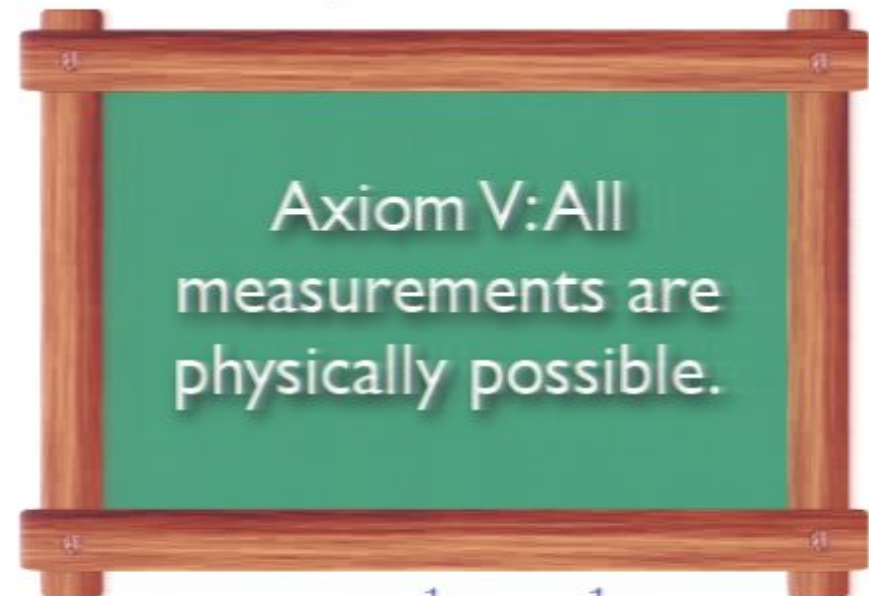
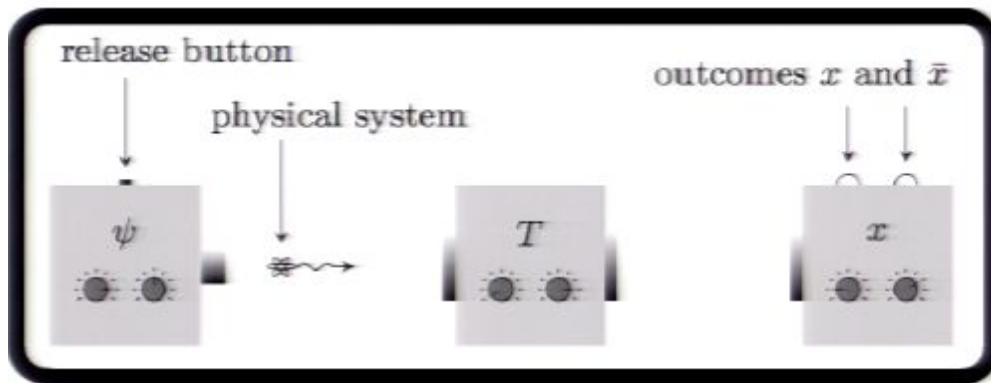
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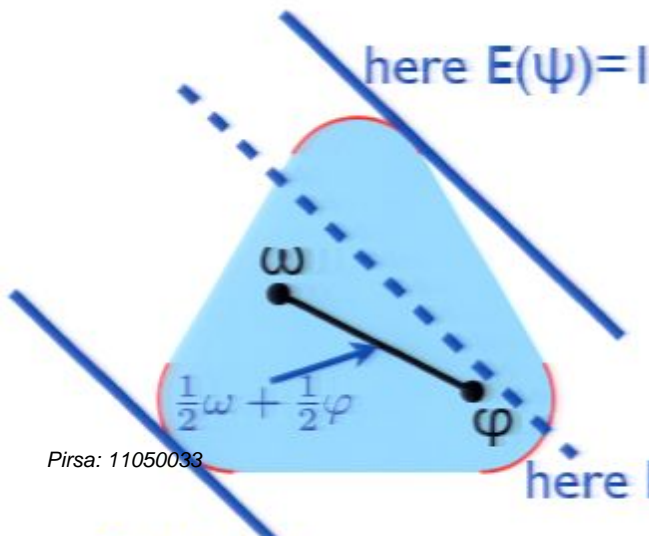
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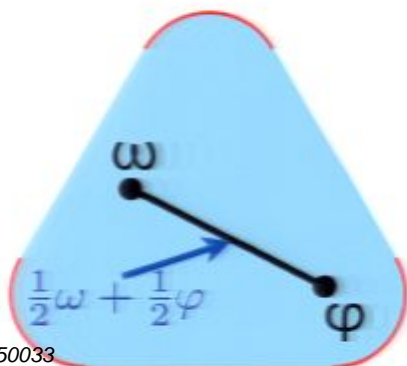
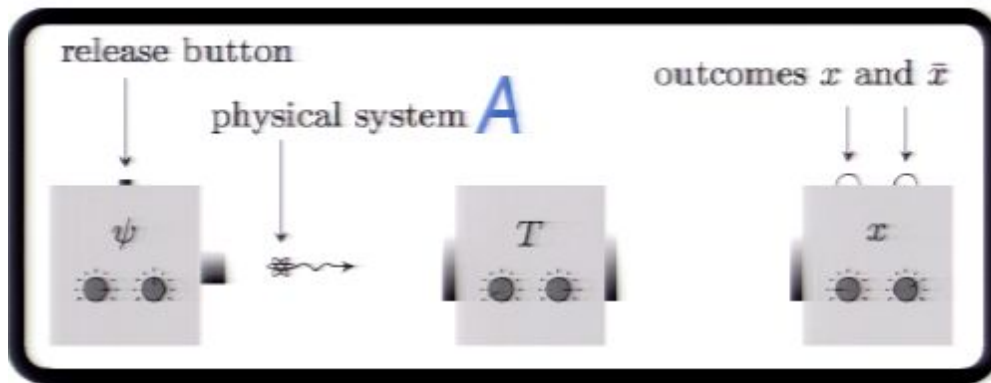
Prepare state ω or φ with prob. $1/2$. Result: $\frac{1}{2}\omega + \frac{1}{2}\varphi$



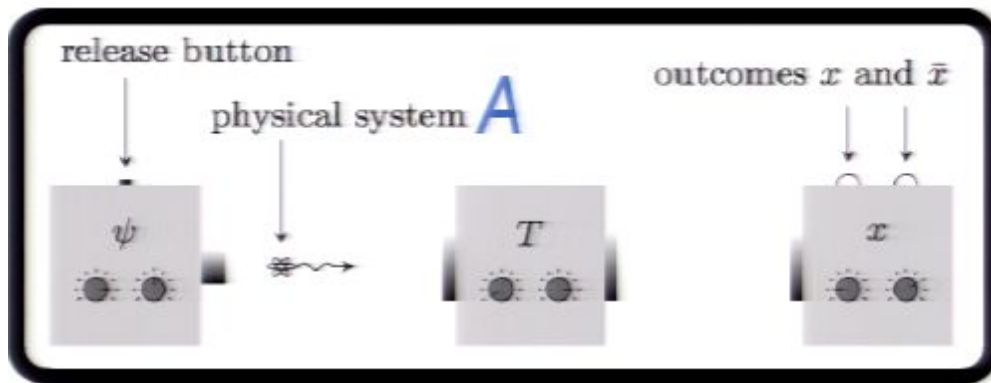
(Normalized) state spaces are **convex sets**.
Extremal points are **pure states**, others **mixed**.

Outcome **probabilities** are linear functionals E
with $0 \leq E(\psi) \leq 1$ for all ψ .

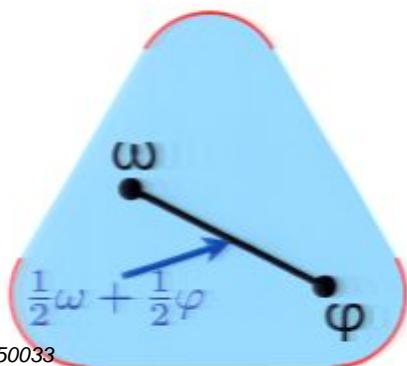
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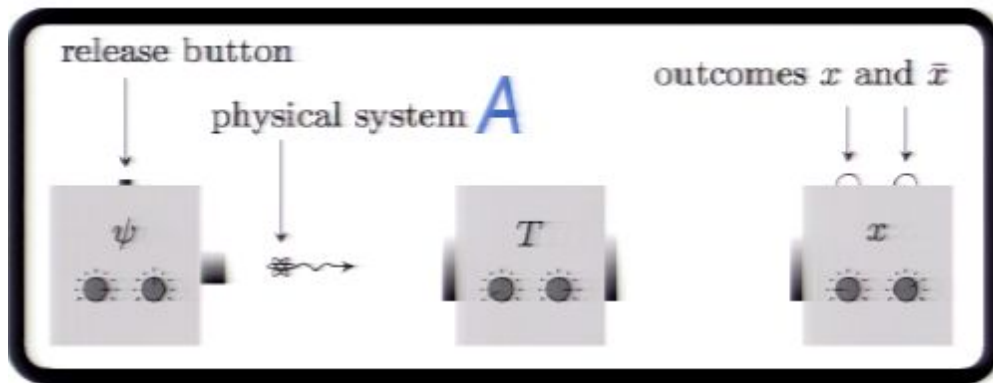
2. The Physical Setup



Transformations T map (unnormalized) states to states, and are **linear**.



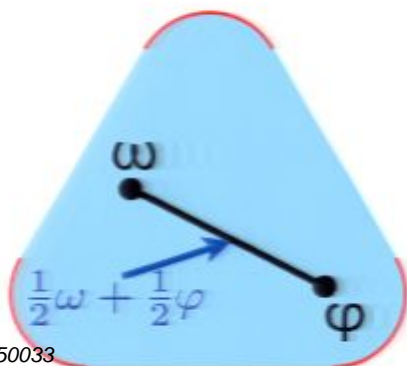
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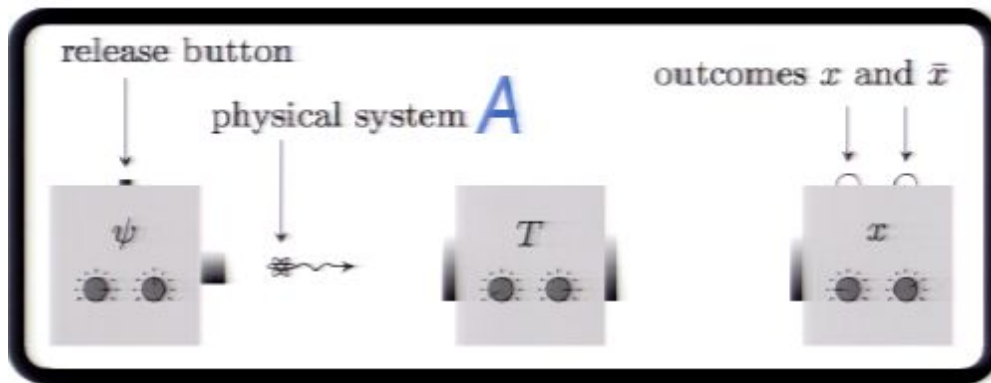
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Reversible transformations form a group \mathcal{G}_A . In quantum theory: $\rho \mapsto U\rho U^\dagger$

They are symmetries of state space: $T(\Omega_A) = \Omega_A$



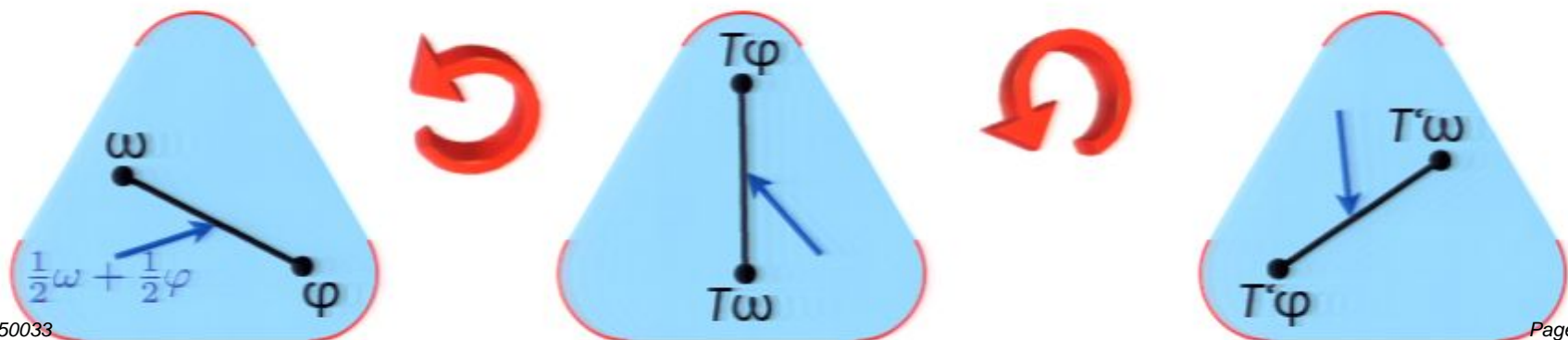
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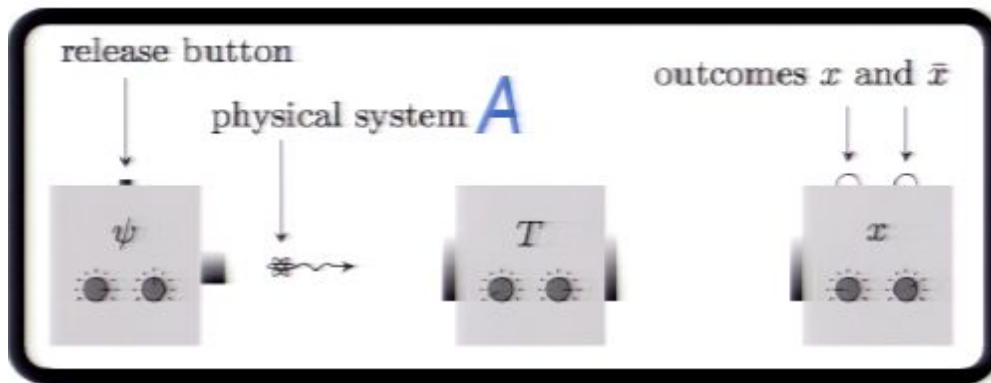
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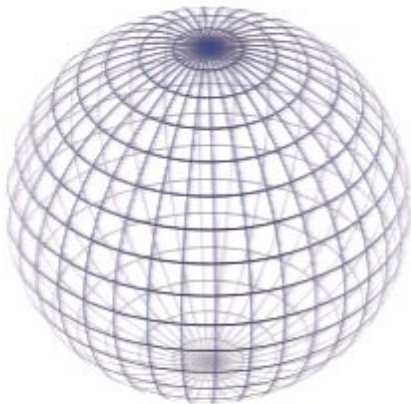
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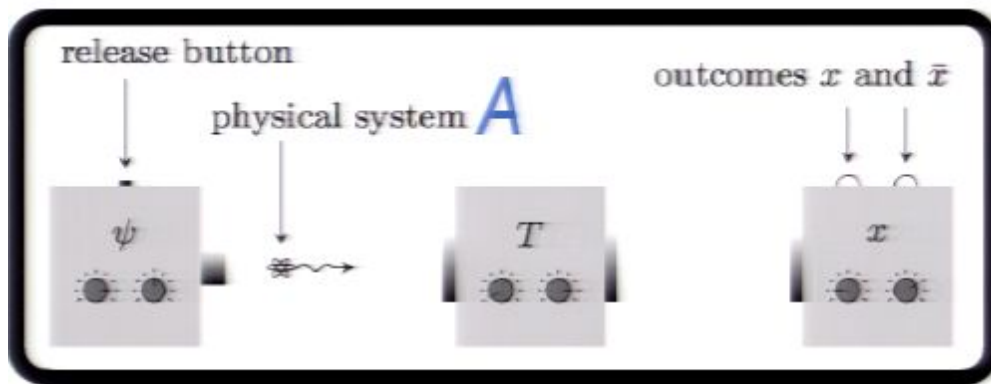


Not all symmetries have to be in \mathcal{G}_A .

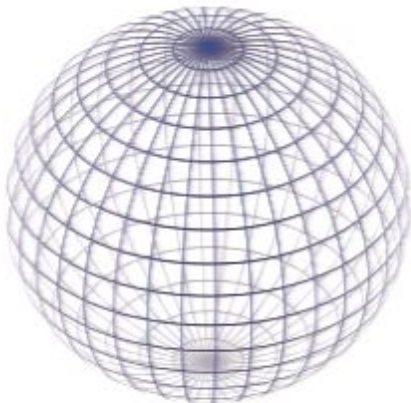


Qubit: Ω_A is the 3D unit ball,
 $\mathcal{G}_A = SO(3)$ (no reflections!)

2. The Physical Setup



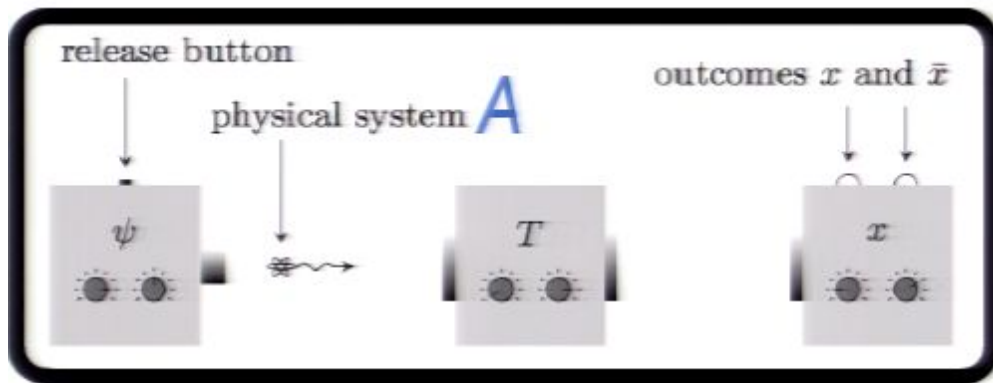
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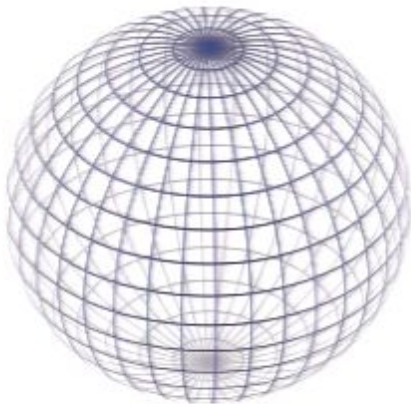
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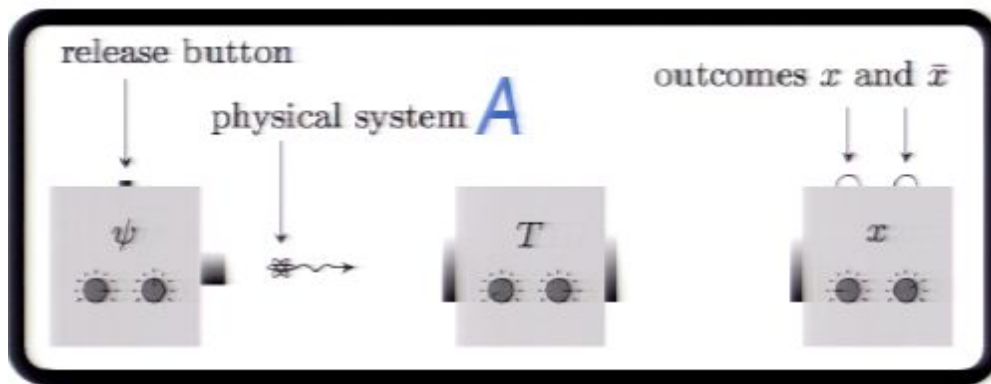


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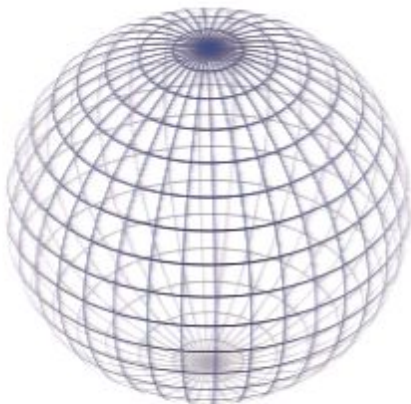


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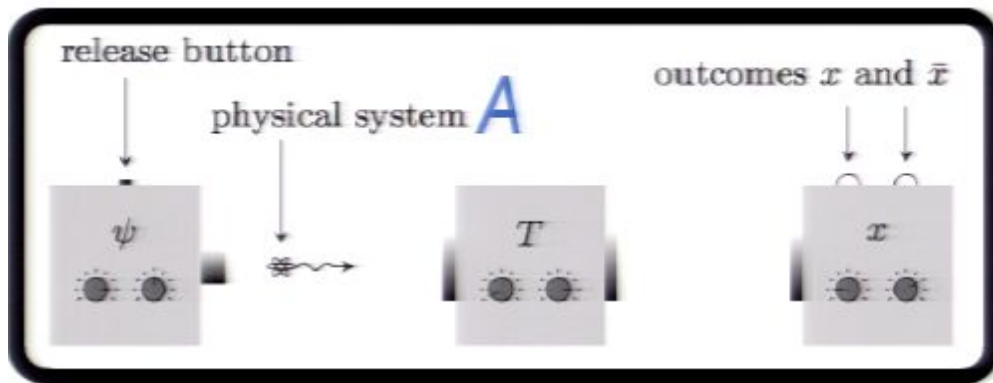
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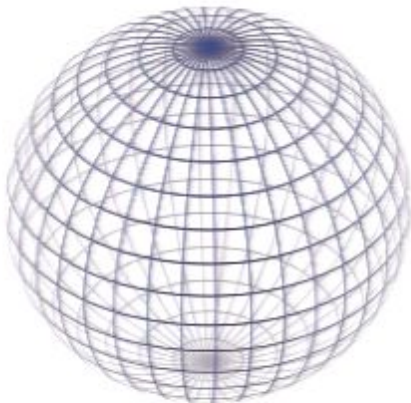
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2. The Physical Setup



Axiom II (Reversibility):
If φ and ω are **pure**, then
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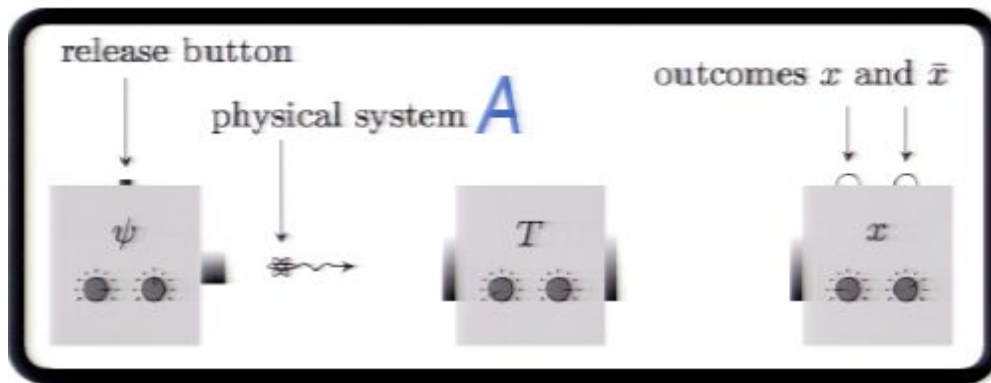
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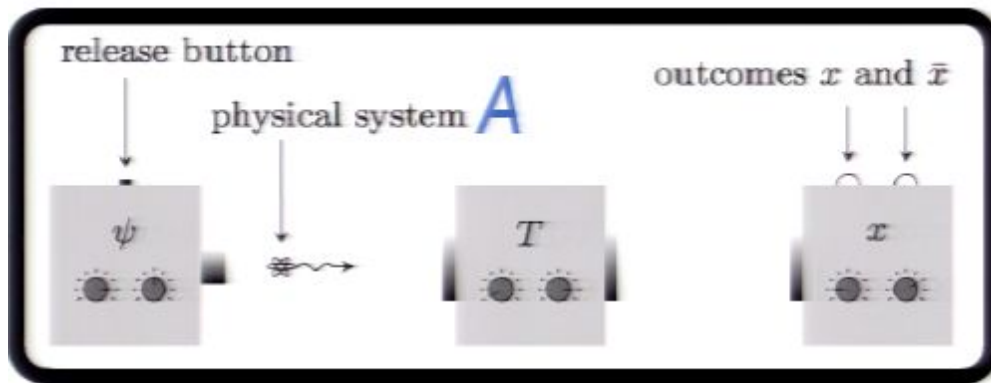
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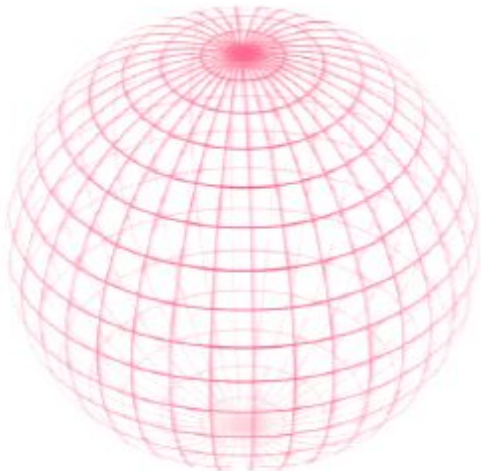
Enforces some **symmetry** in state space:

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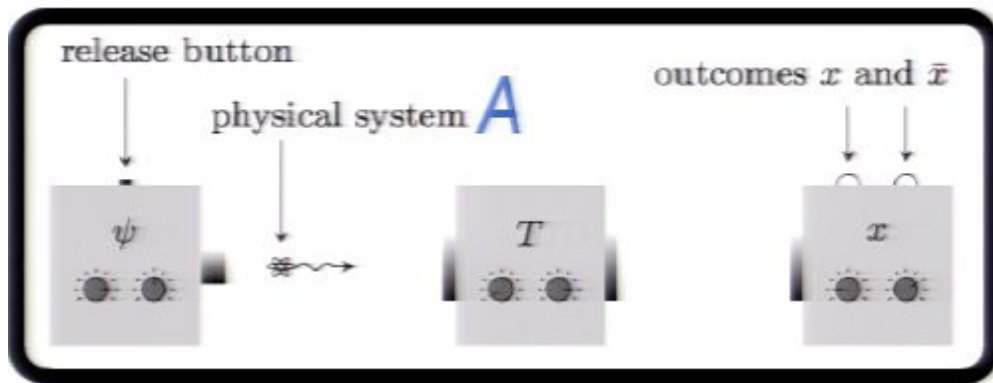


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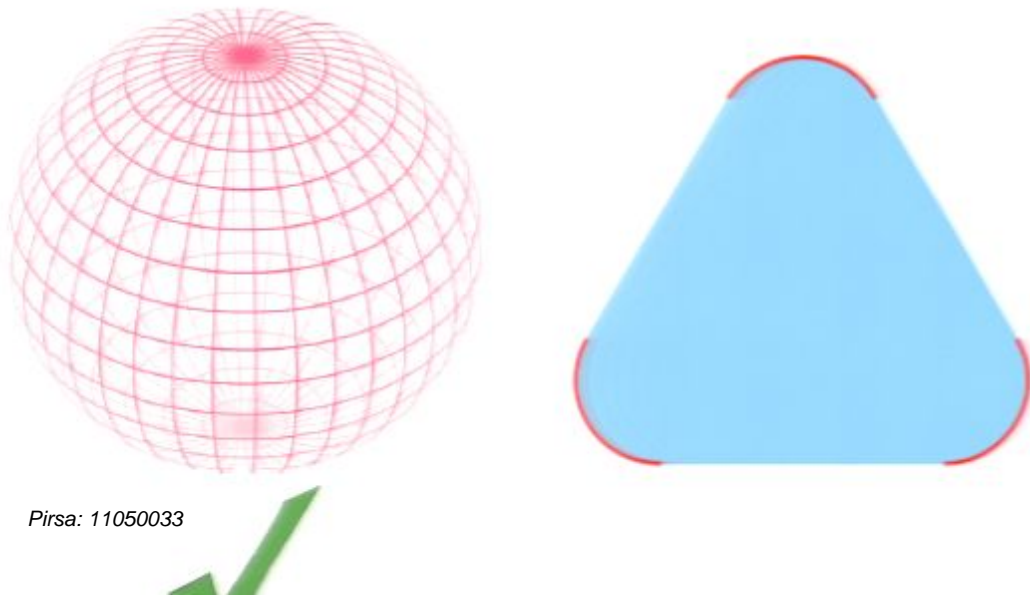


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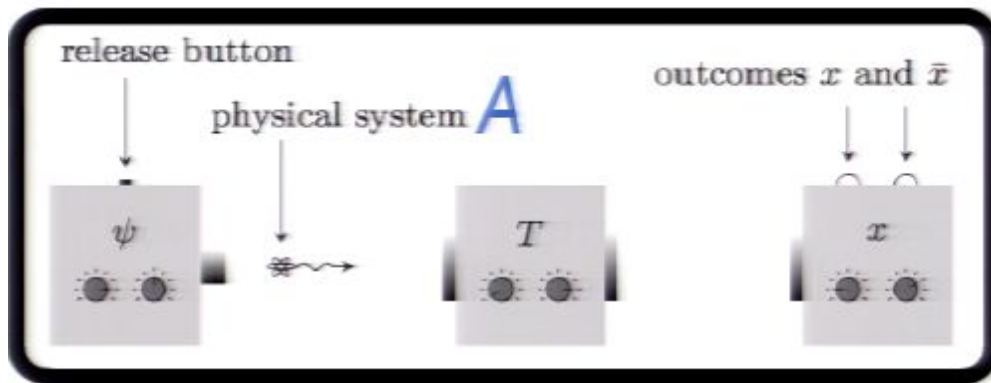


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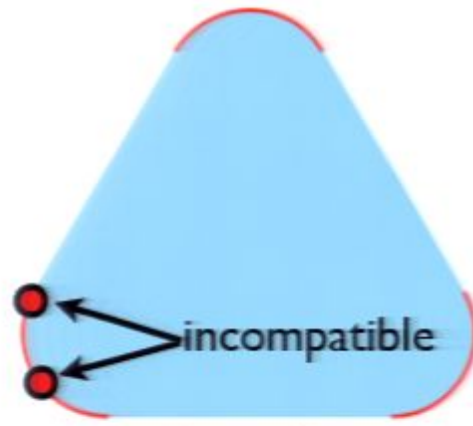
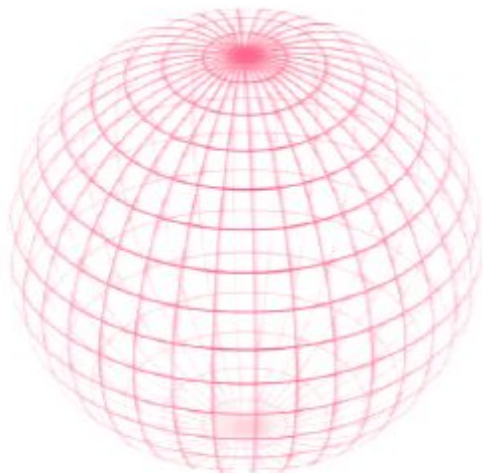


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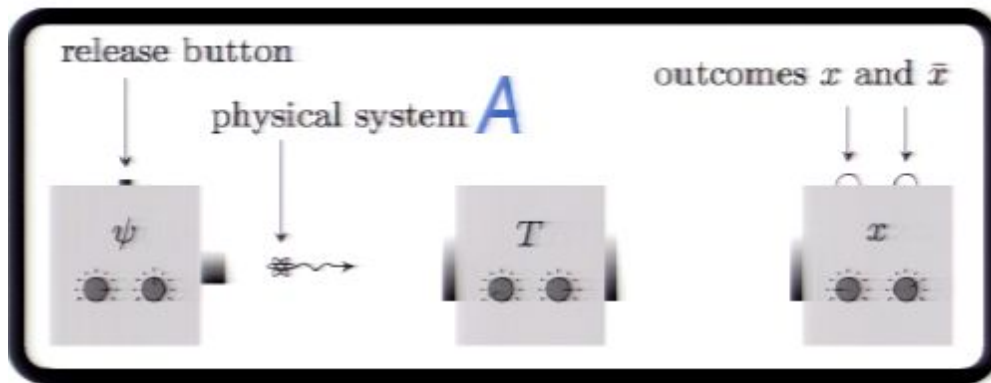


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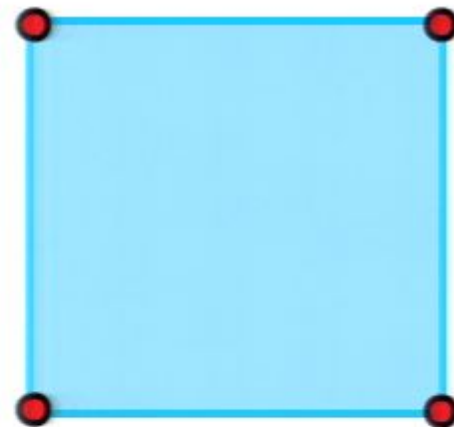
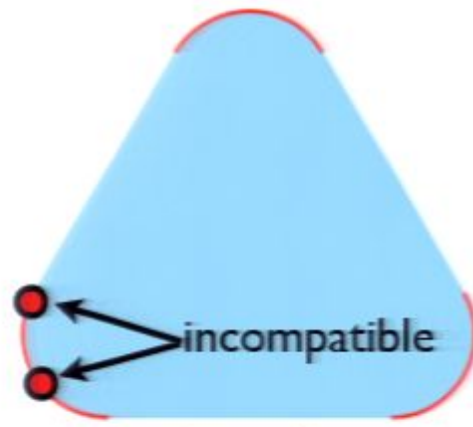
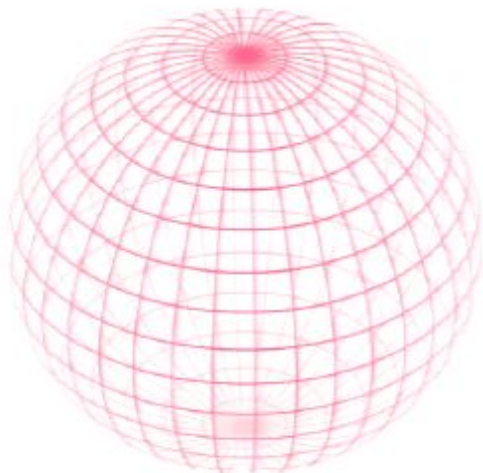


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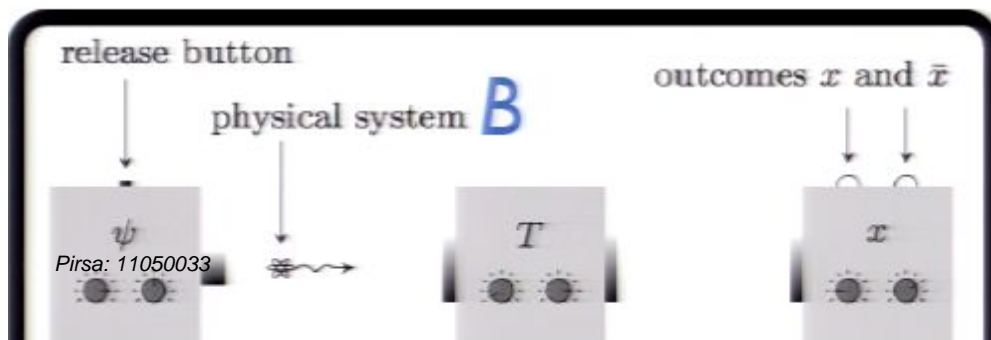
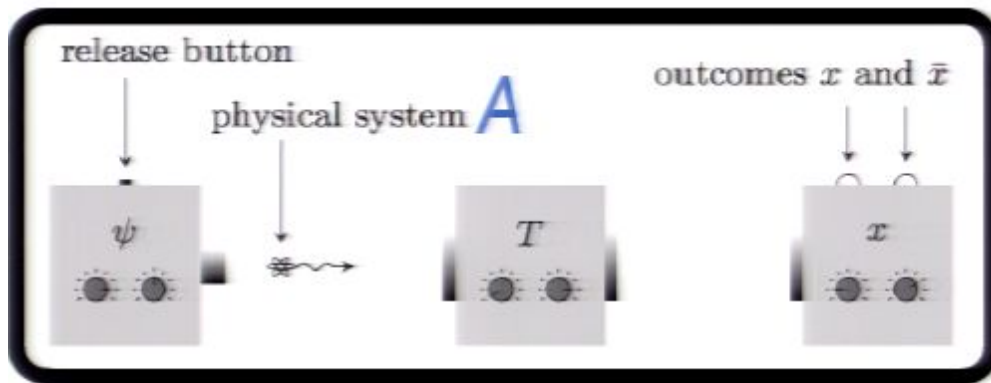


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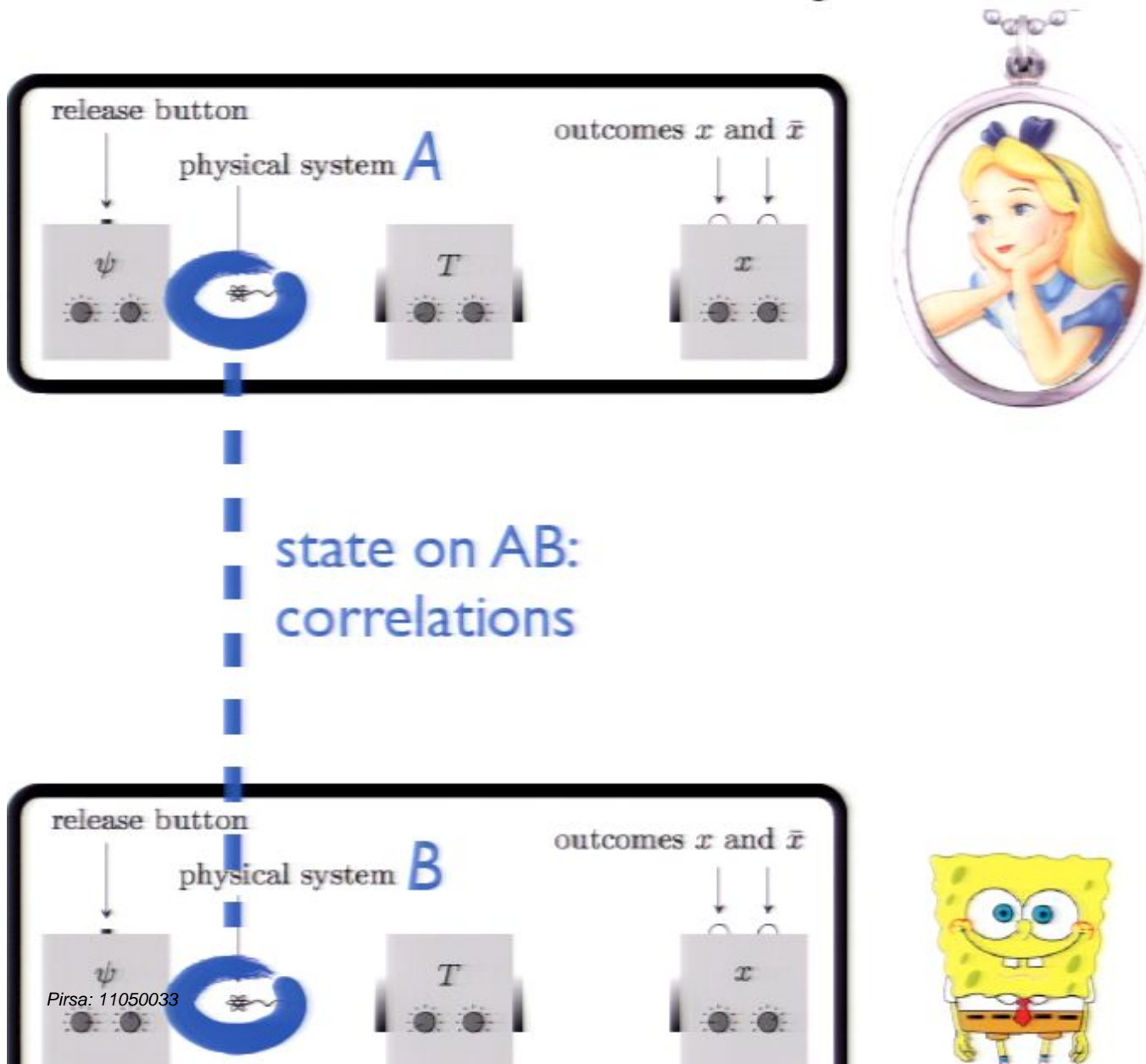
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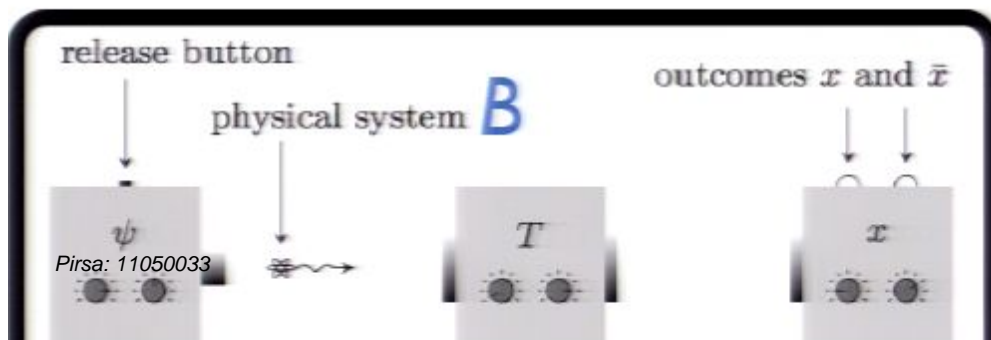
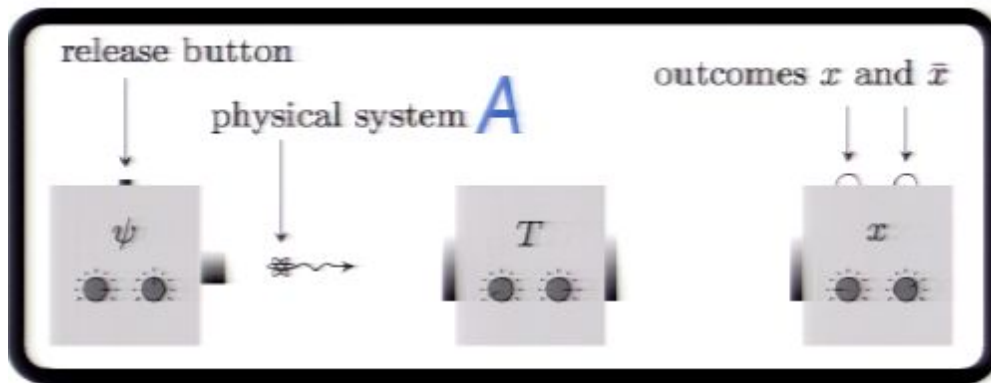
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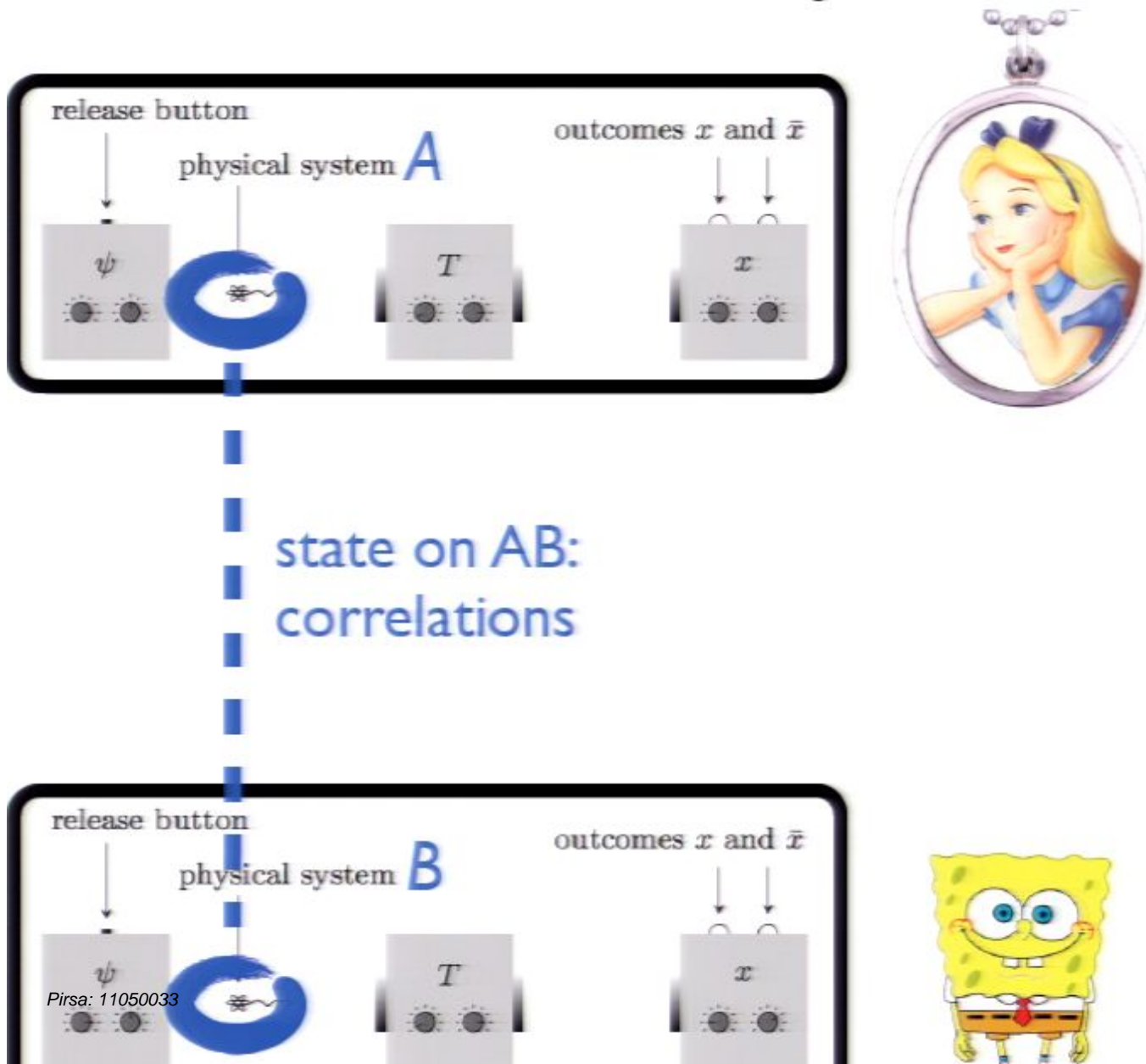
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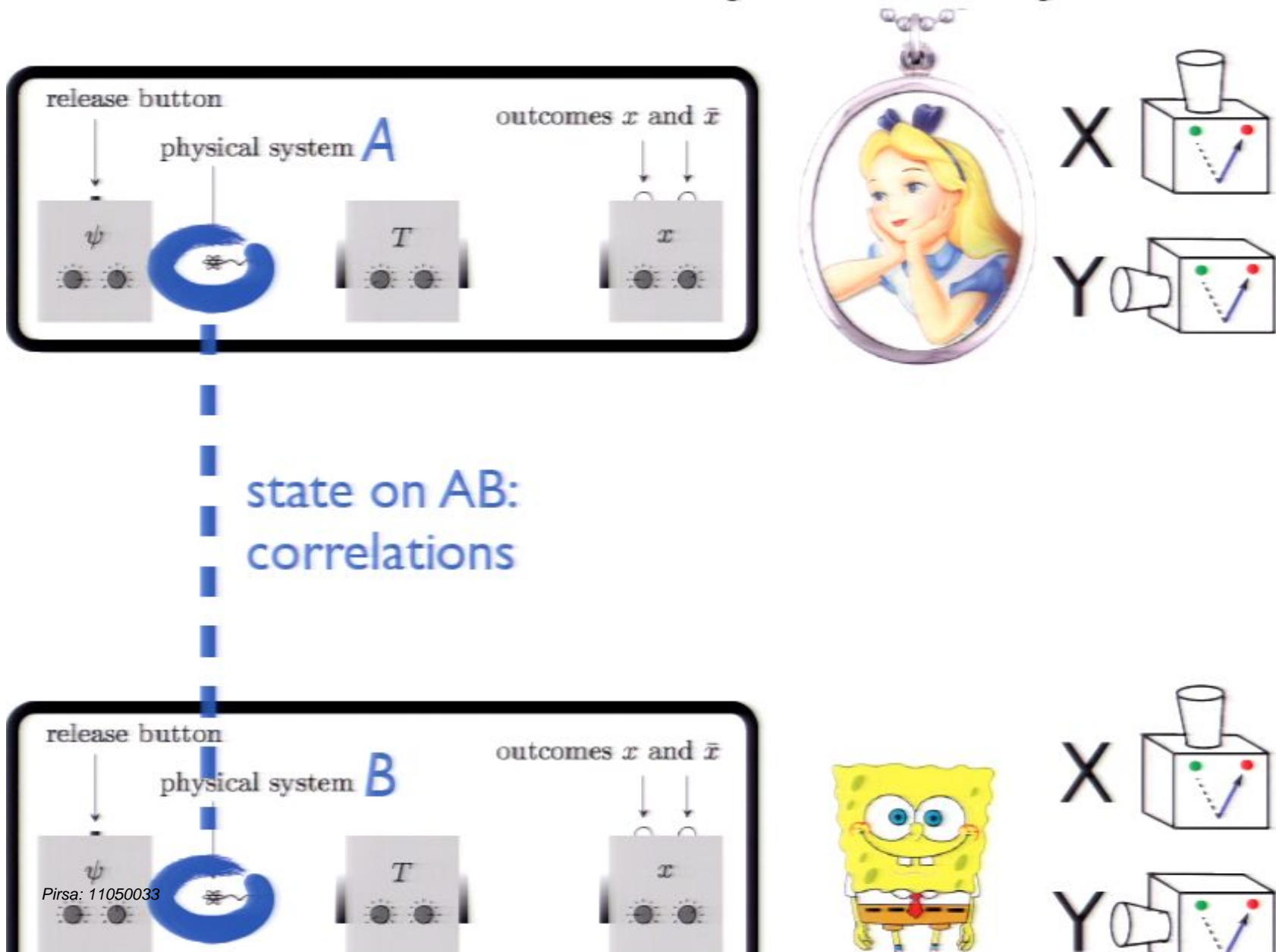
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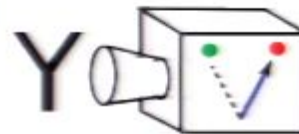
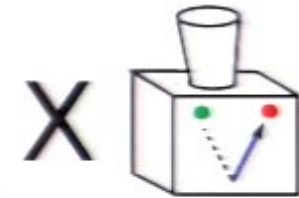
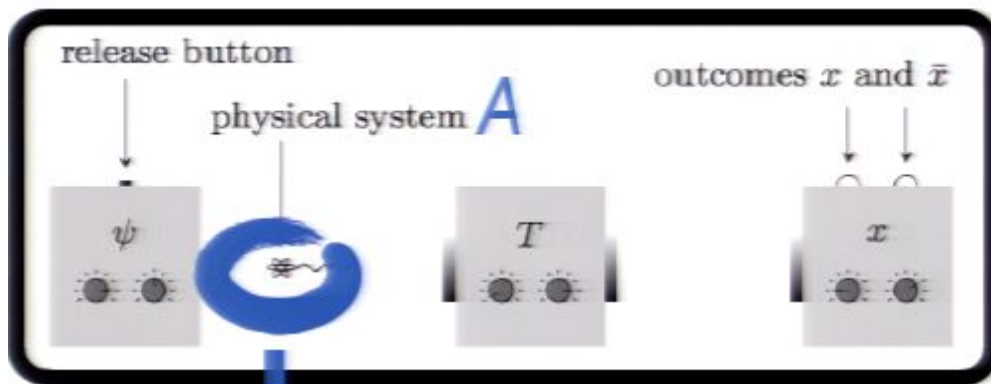
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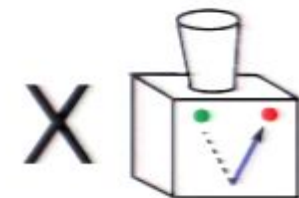
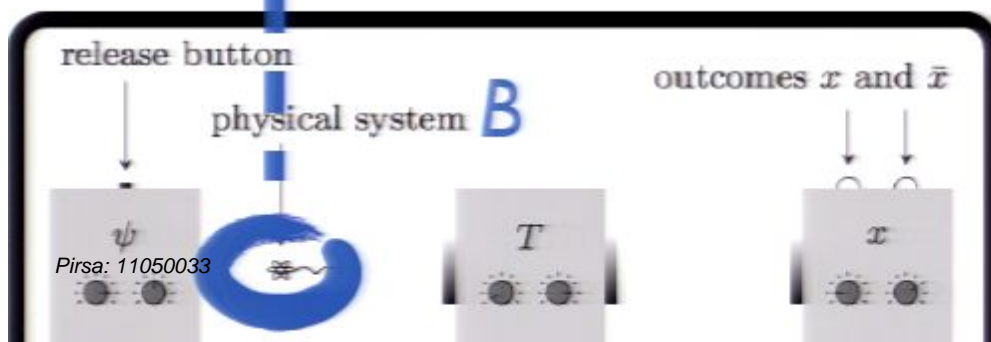


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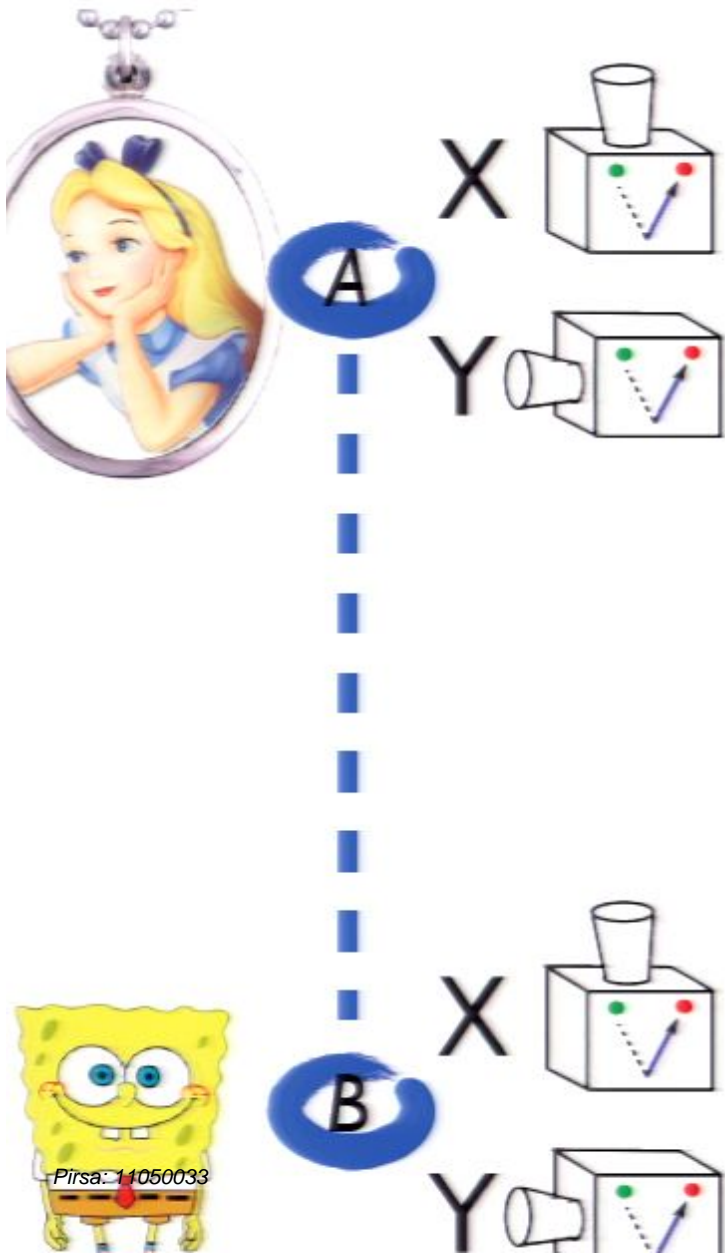


state on AB:
correlations

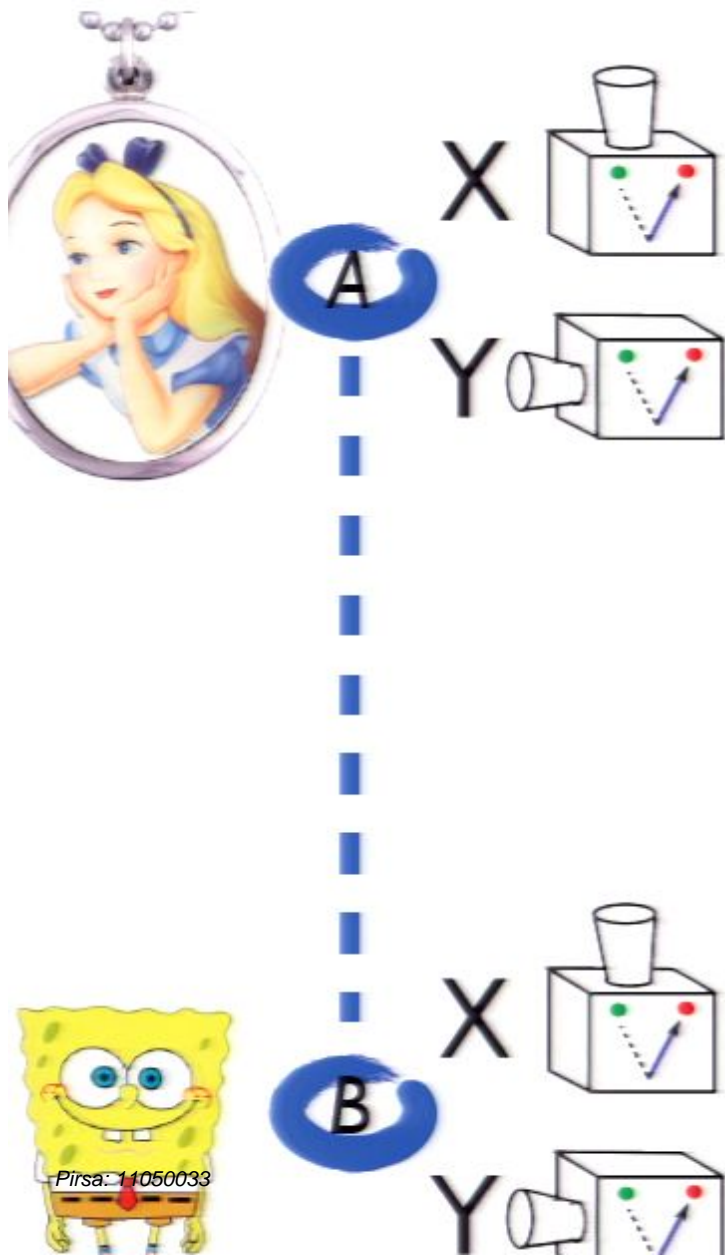
No-signalling condition:
Alice's probabilities **do not depend** on
Bob's choice of measurement.



2. The Physical Setup

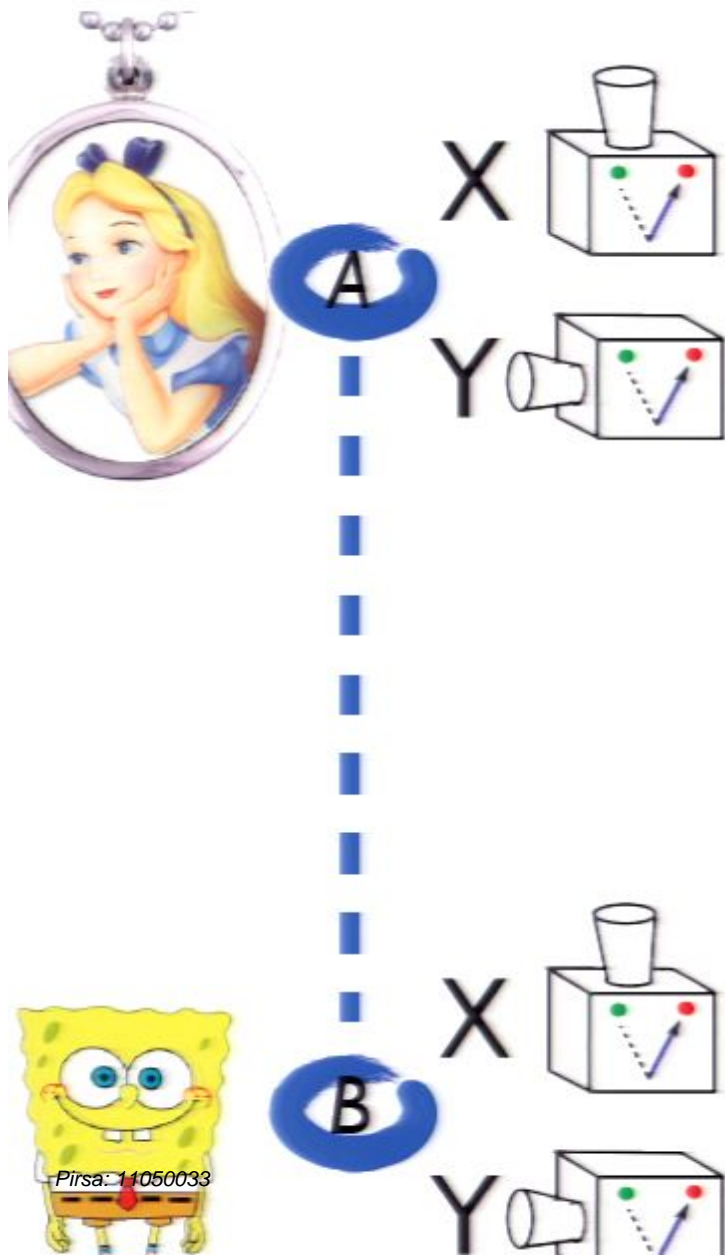


2. The Physical Setup



Axiom I: States on AB are uniquely determined by correlations of local measurements on A, B .

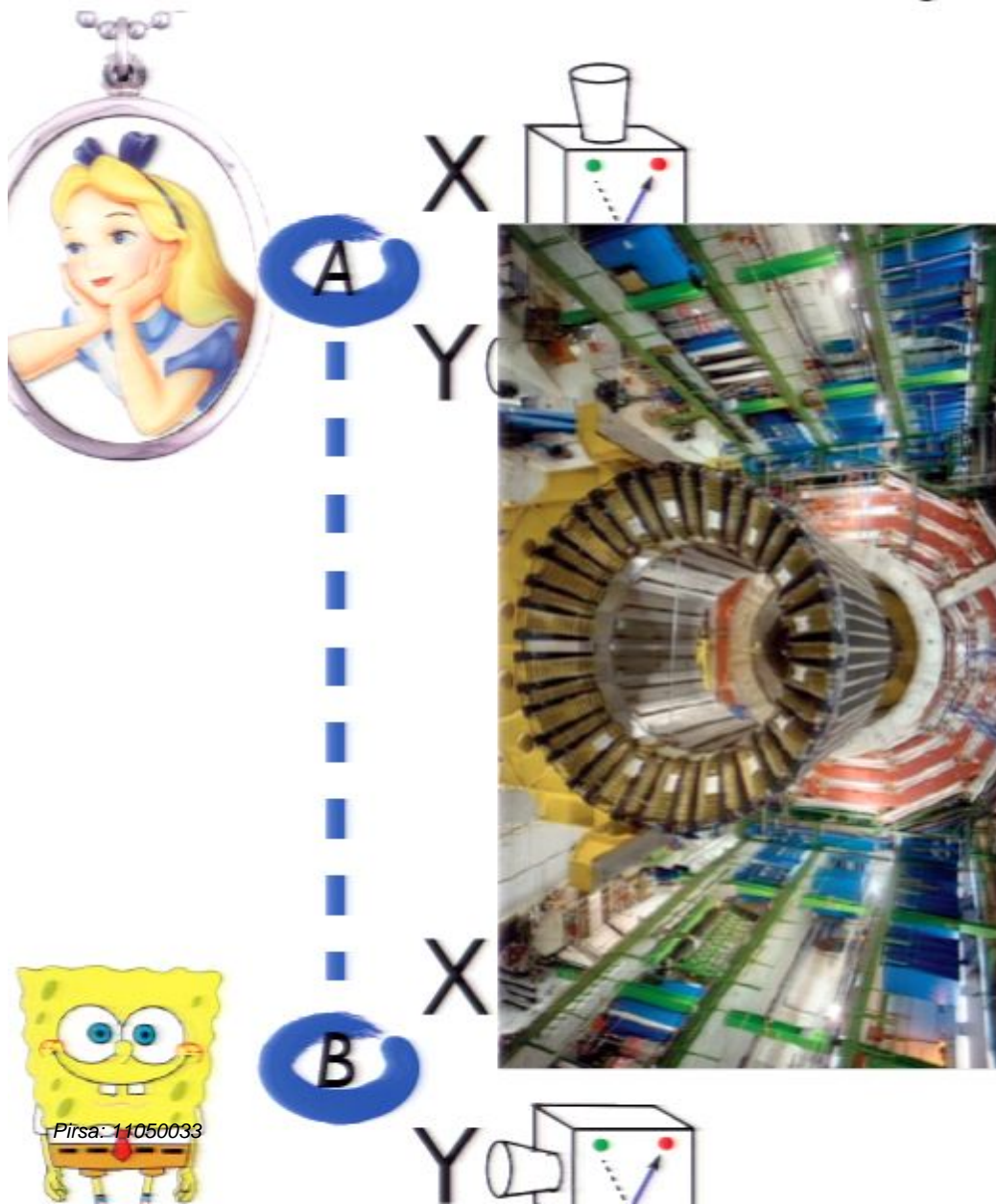
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= „Local tomography“:
No non-local measurements necessary.

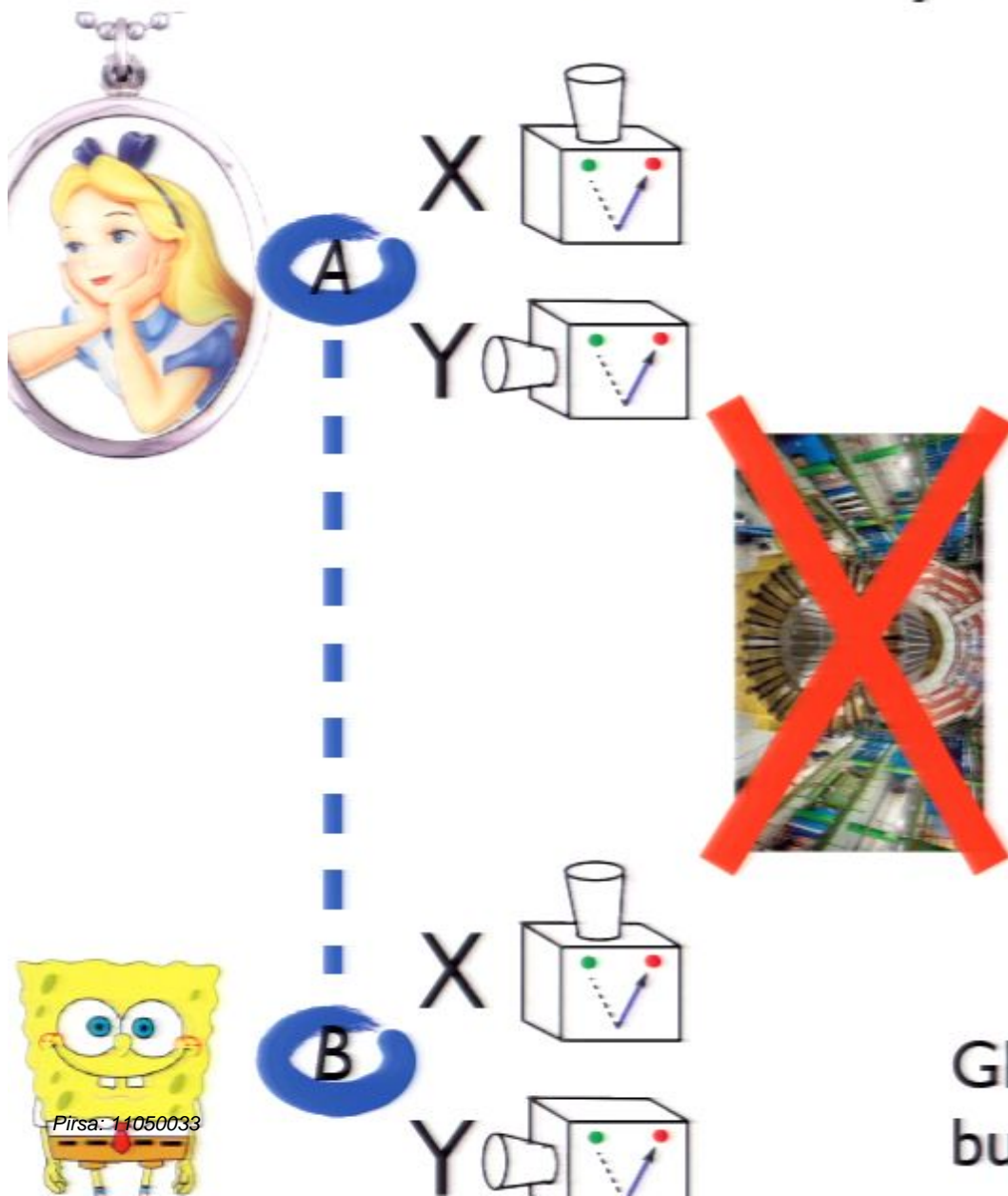
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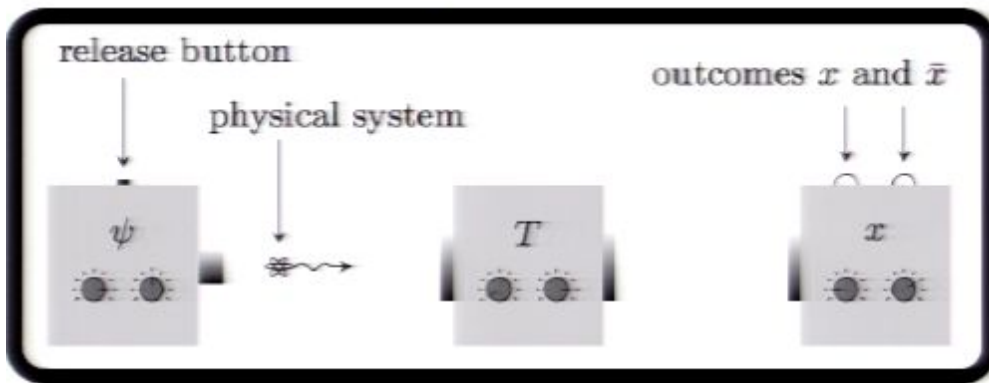


Axiom I: States on AB are uniquely determined by correlations of local measurements on A, B .

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Global state space $\Omega_{AB} \subset A \otimes B$
but not uniquely fixed!

Basic physical / operational assumptions

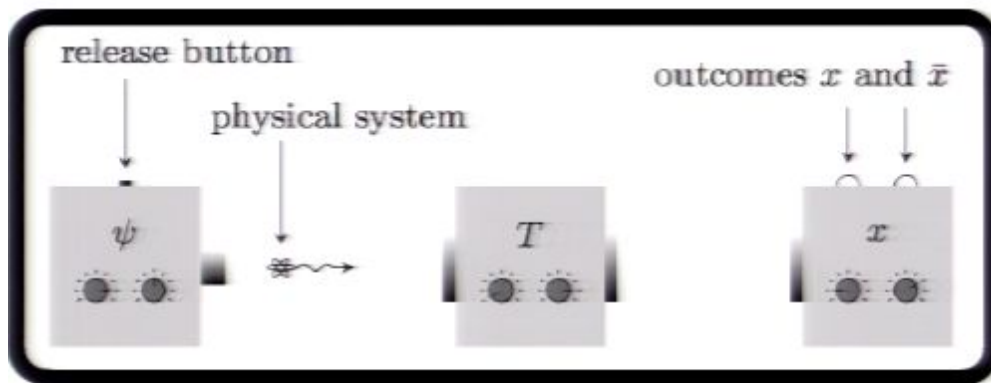


- States, transformations, and measurements with **outcome probabilities**.
- Combined systems: **no-signalling**.

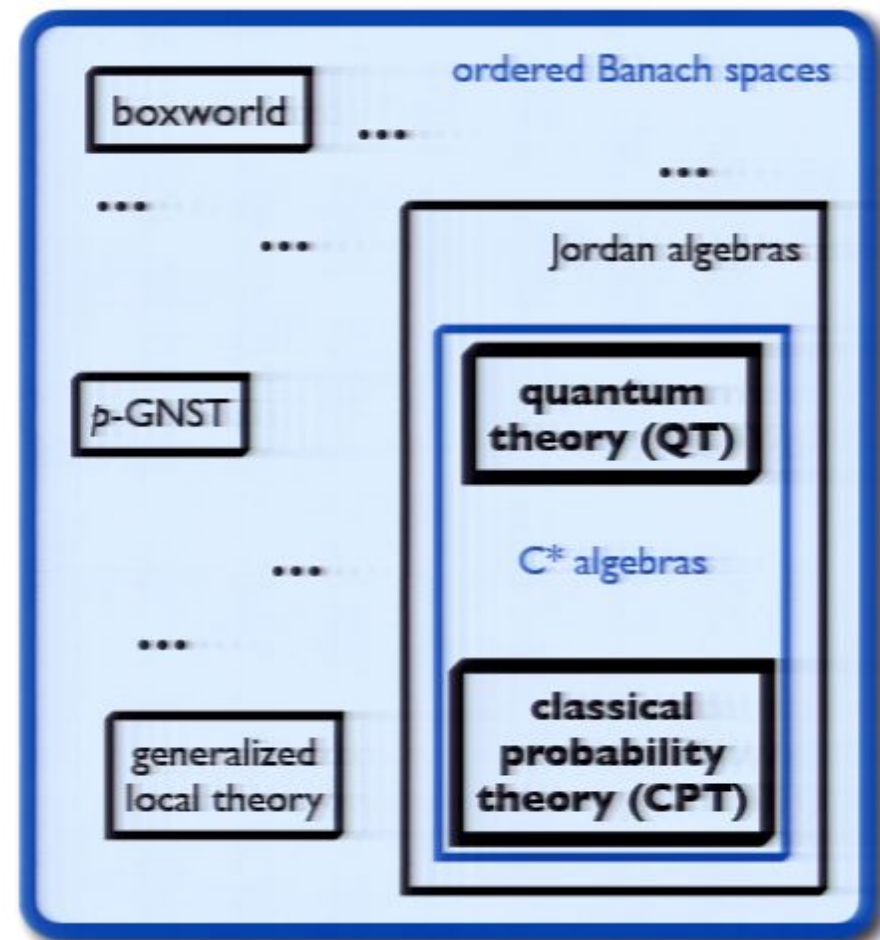
Basic physical /
operational
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General
probabilistic
theories



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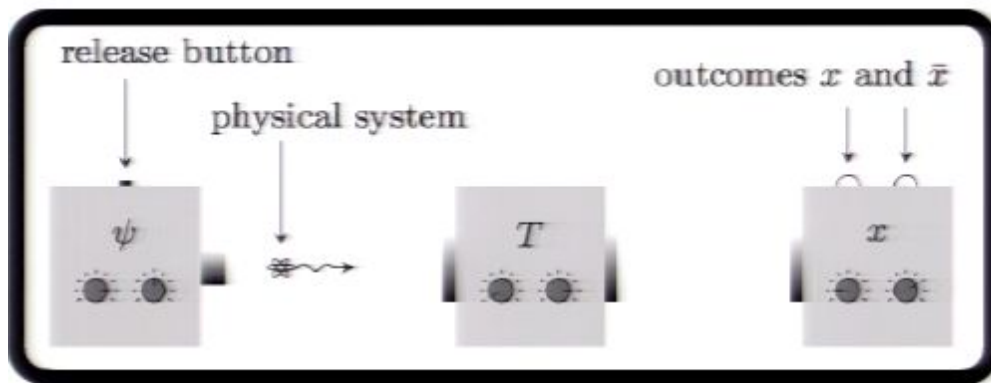


- **No** Hilbert spaces, complex numbers,...
- State spaces: **arbitrary convex sets**.
- Many ways to **combine systems**.

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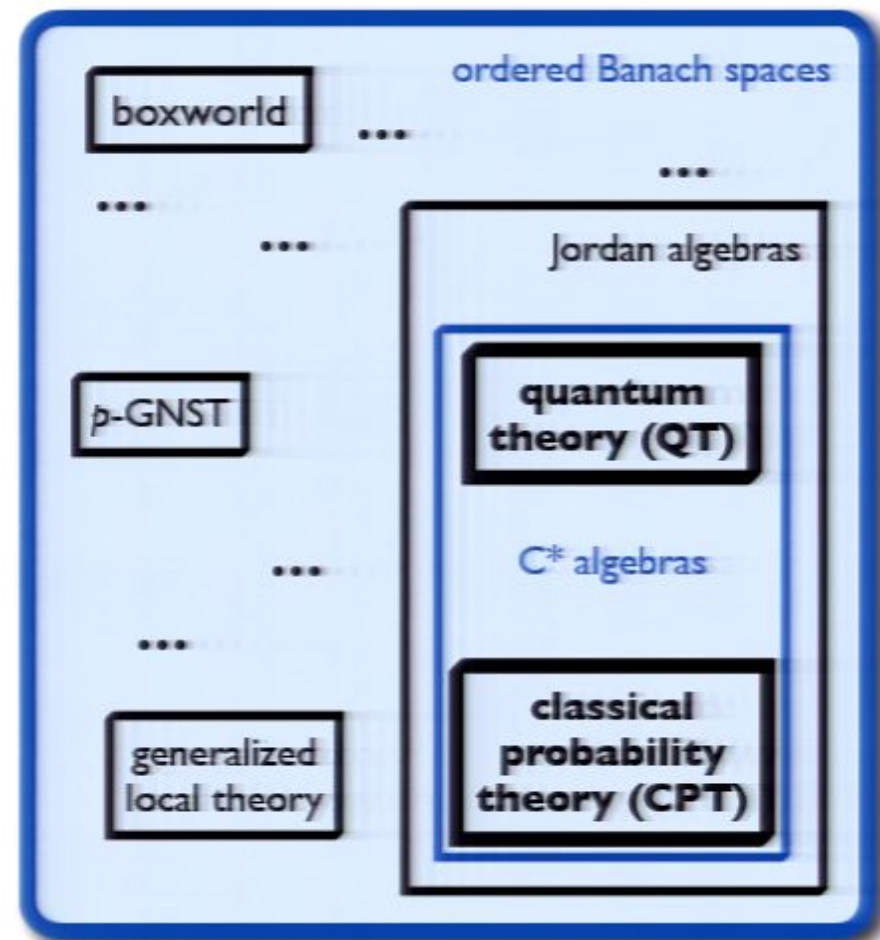


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The Axioms:

- I. Local tomography
- II. Reversibility
- III. Subspace axiom
- IV. Finite-dimensionality
- V. All measurements allowed

Pirsa: 11050033



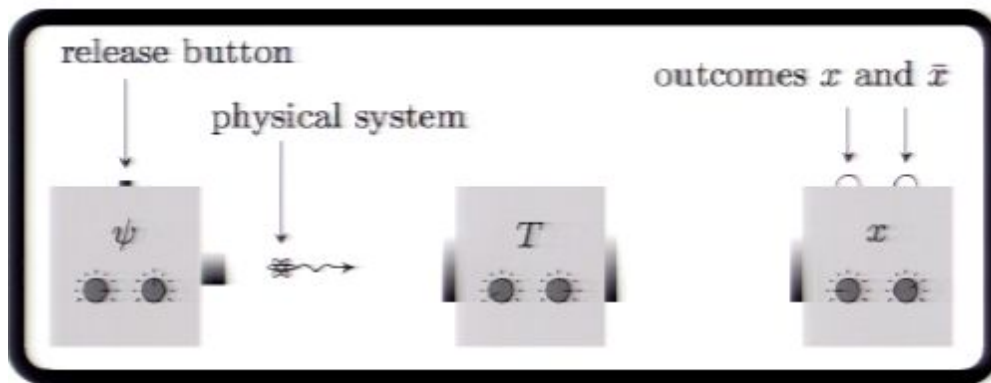
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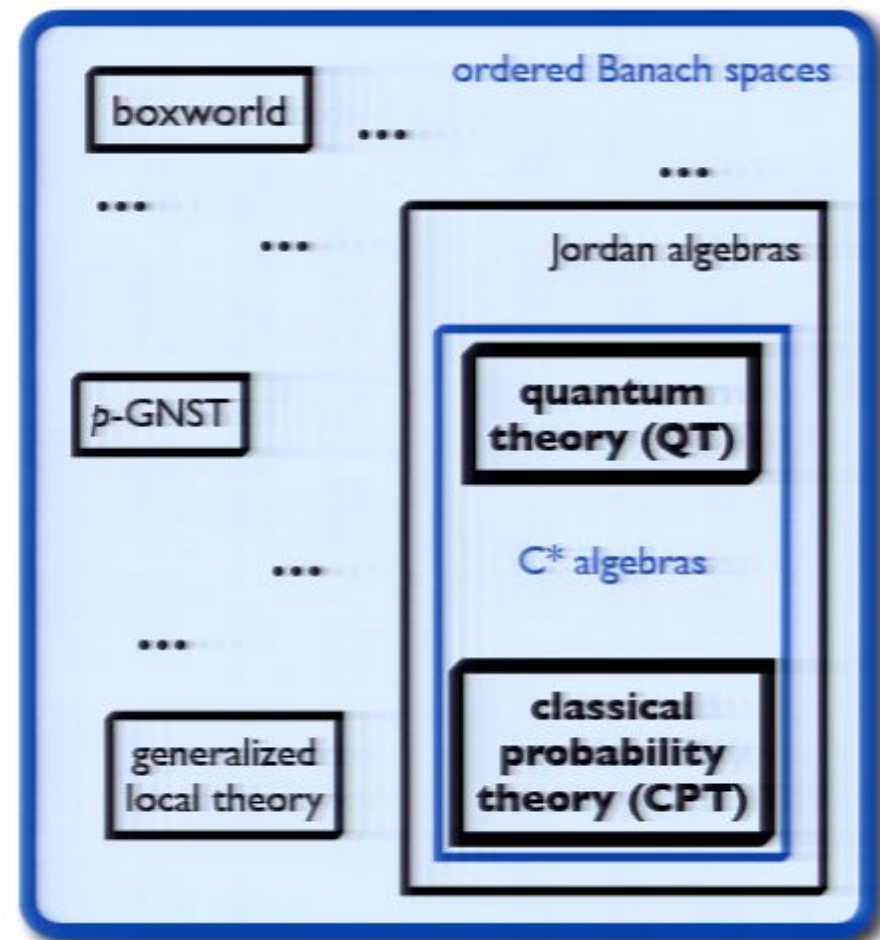


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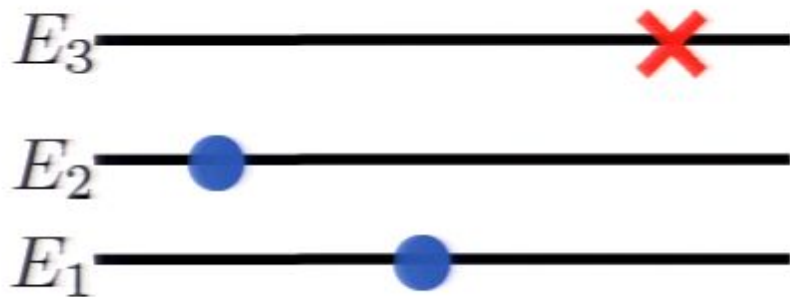
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3. The Subspace Axiom

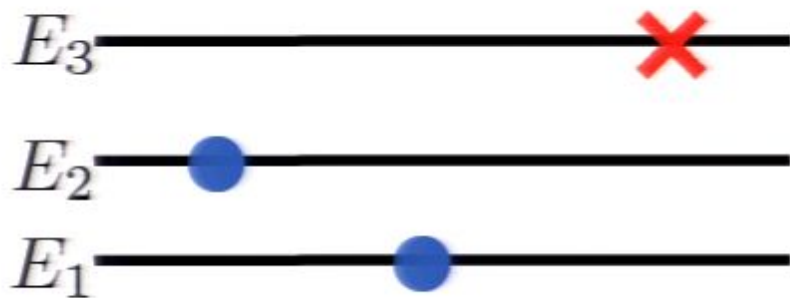
Some 3-level system:



Impossible to put system in 3rd level
 \Rightarrow find particle there with probab. 0

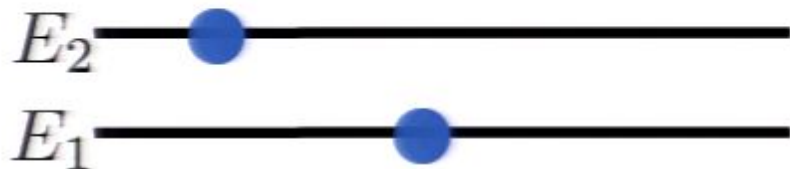
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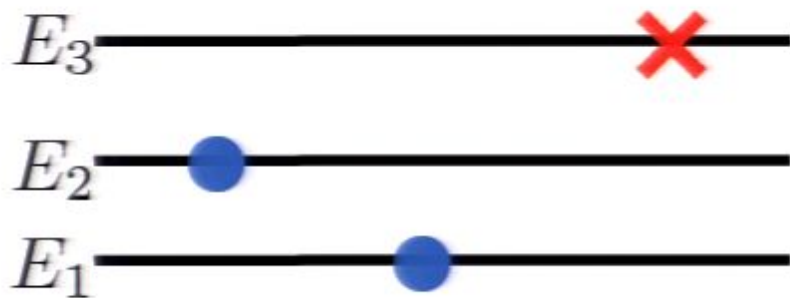
=



2-level system.

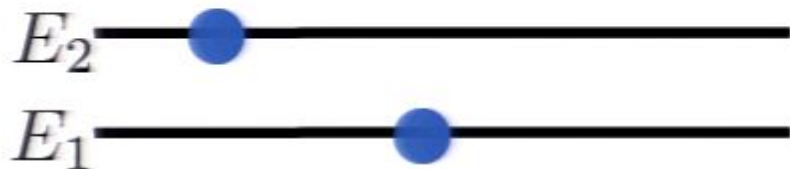
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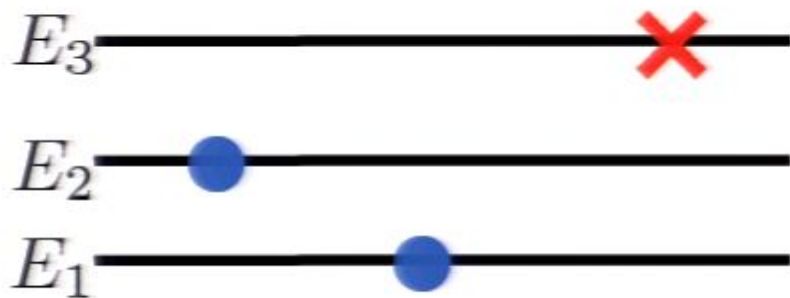
2-level system.

QT: $\rho^{(3)} = \begin{pmatrix} \bullet & \bullet & 0 \\ \bullet & \bullet & 0 \\ 0 & 0 & 0 \end{pmatrix} \longrightarrow \rho^{(2)} = \begin{pmatrix} \bullet & \bullet \\ \bullet & \bullet \end{pmatrix}$

CPT: $P^{(3)} = (P_1, P_2, 0) \longrightarrow P^{(2)} = (P_1, P_2)$

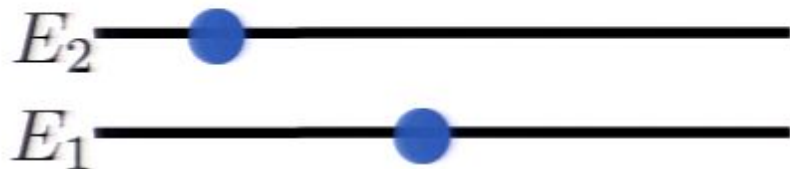
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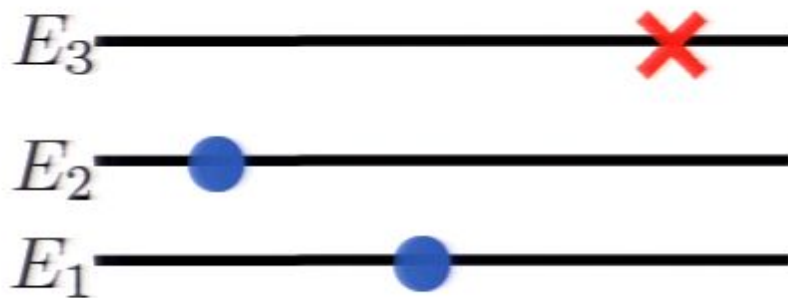
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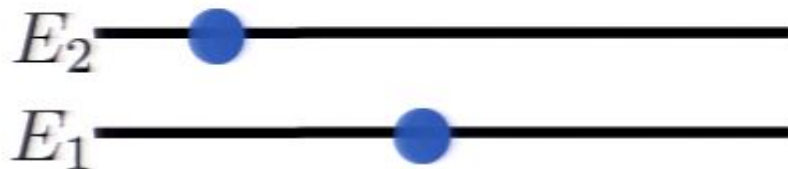
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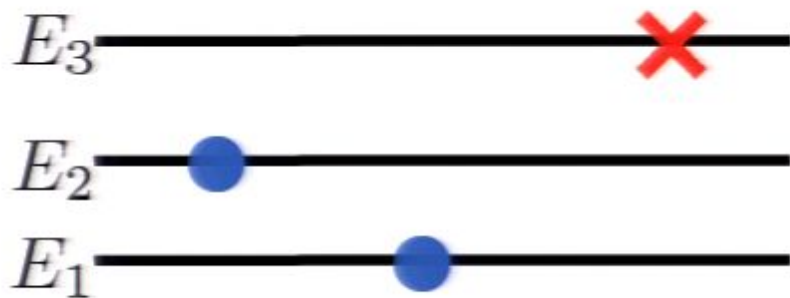
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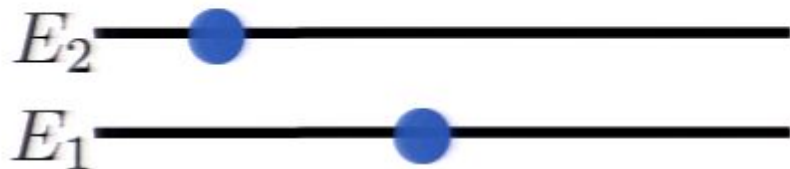
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3. The Subspace Axiom

Axiom III: Let Ω_N and Ω_{N-1} be systems with capacities N and $N-1$. If (E_1, \dots, E_N) is a complete measurement on Ω_N , then the set of states ω with $E_N(\omega) = 0$ is equivalent to Ω_{N-1} .

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Capacity N of Ω = maximal # of perfectly distinguishable states.

$(\omega_1, \dots, \omega_n)$ perfectly distinguishable, if there is a measurement (E_1, \dots, E_n) such that $E_i(\omega_j) = \delta_{ij}$.

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If $n = N$ then (E_1, \dots, E_n) is **complete**.

3. The Subspace Axiom

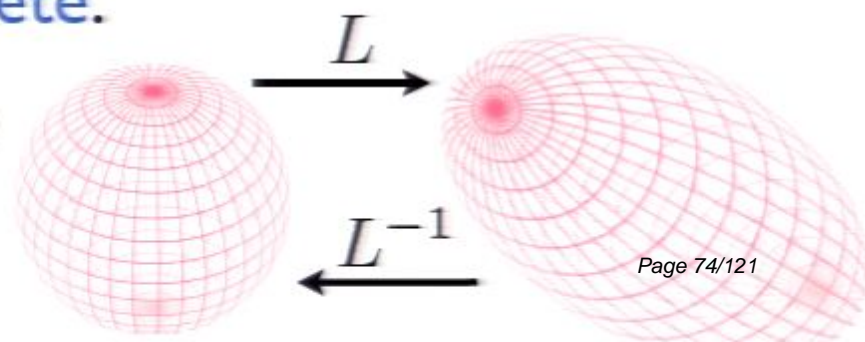
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Capacity N of Ω = maximal # of perfectly distinguishable states.

$(\omega_1, \dots, \omega_n)$ perfectly distinguishable, if there is a measurement (E_1, \dots, E_n) such that $E_i(\omega_j) = \delta_{ij}$.

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Equivalent = same state spaces up to a linear map (incl. transformations!)



4. Derivation of the Hilbert space formalism

Why a **bit** is described by a **ball**:



capacity 2 (bit)

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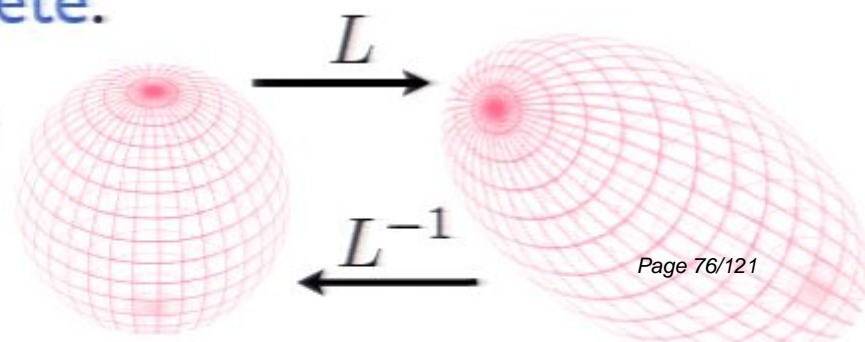
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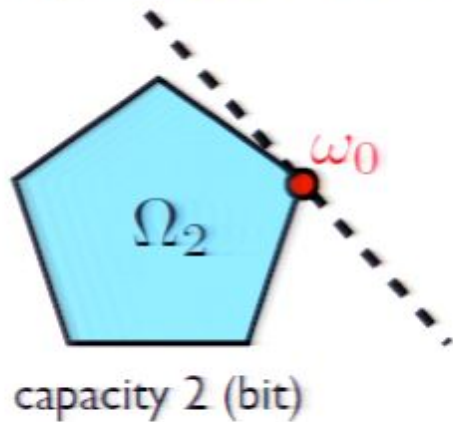
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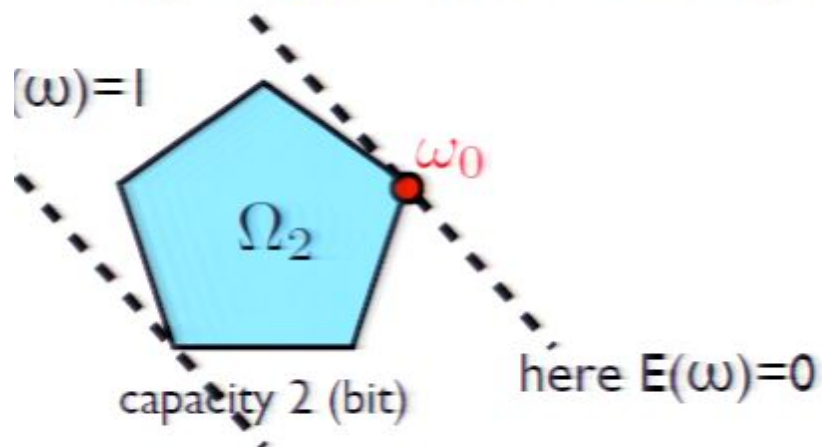
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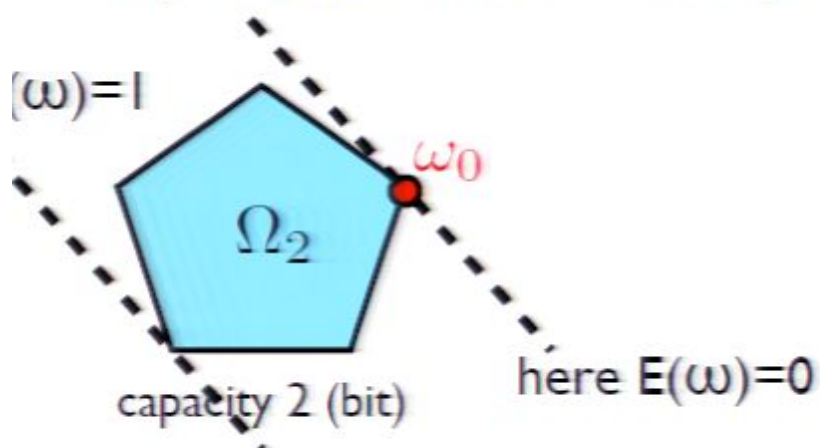


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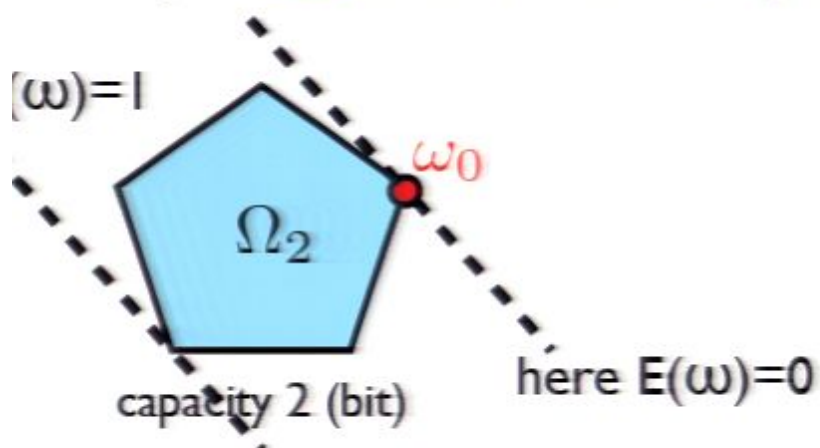
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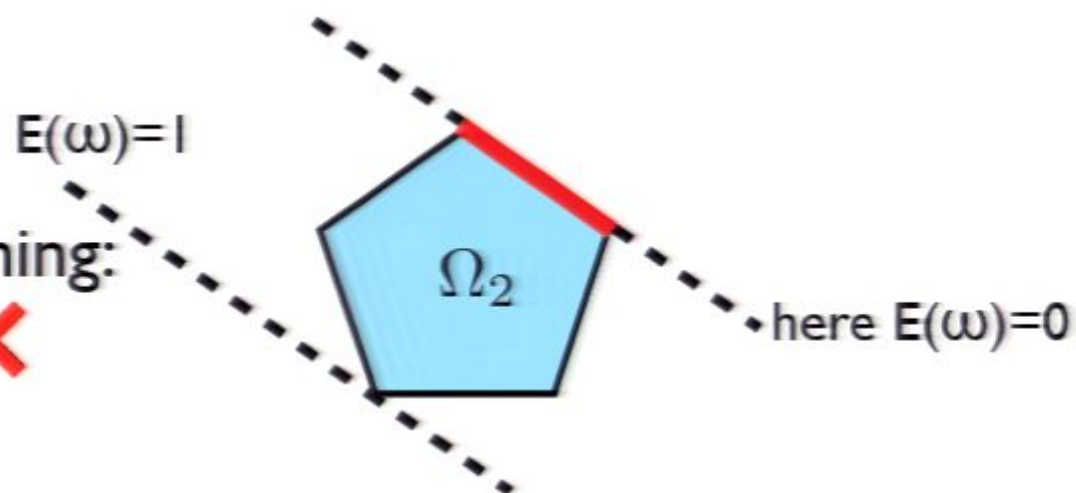
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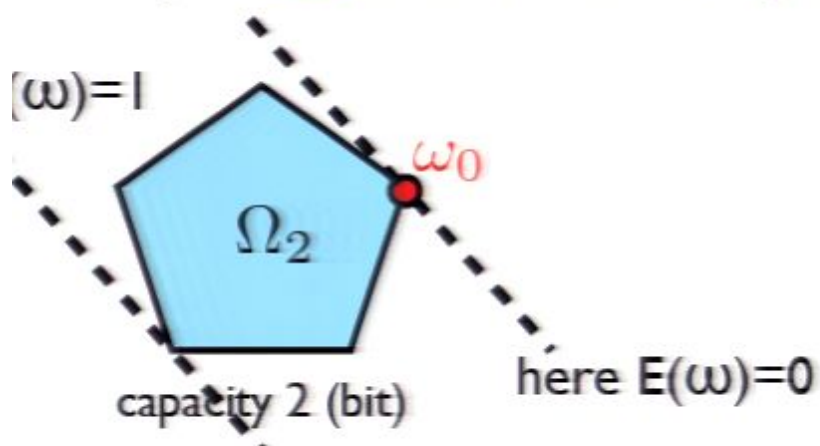
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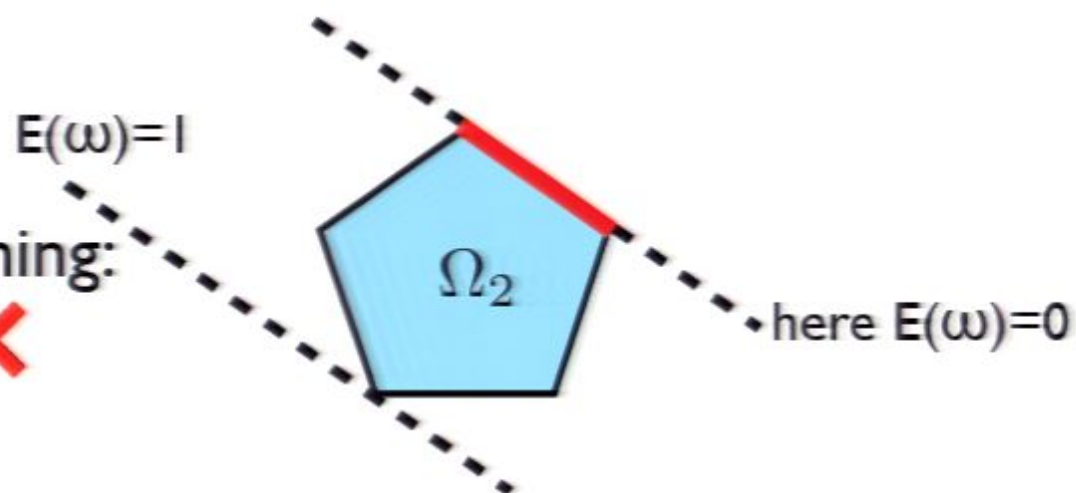
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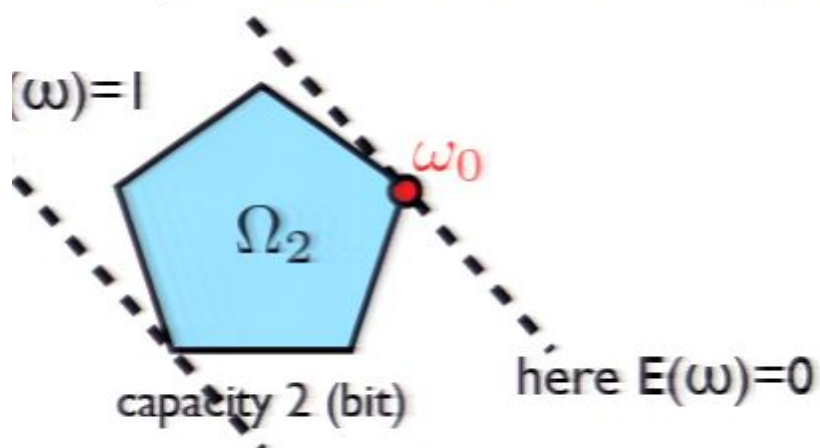
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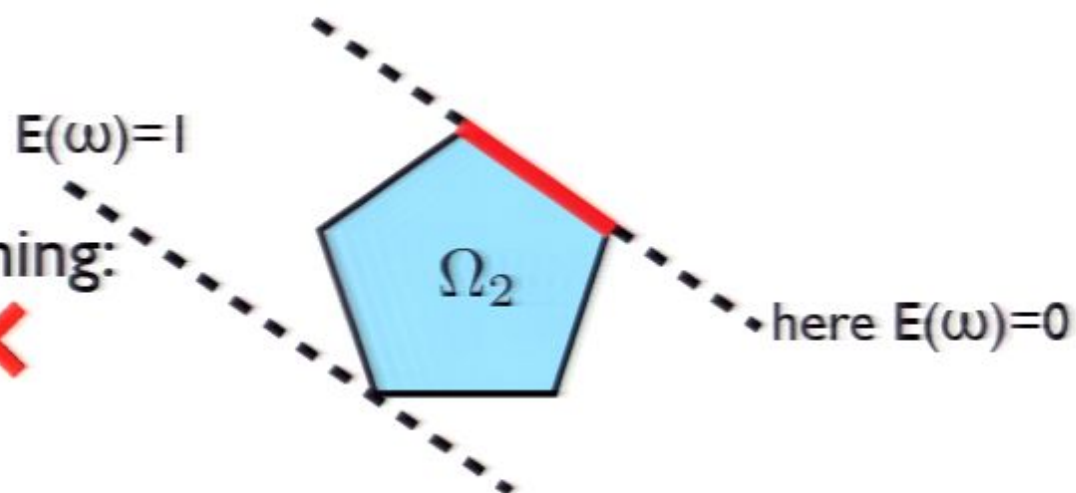
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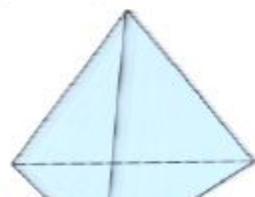
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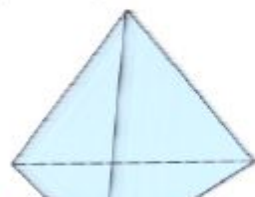
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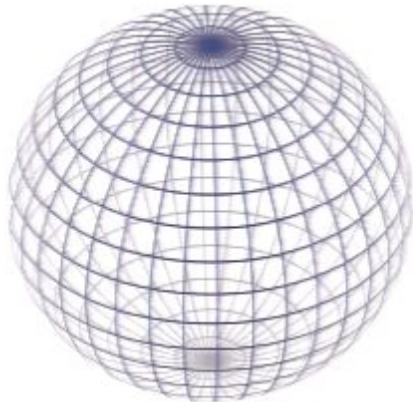
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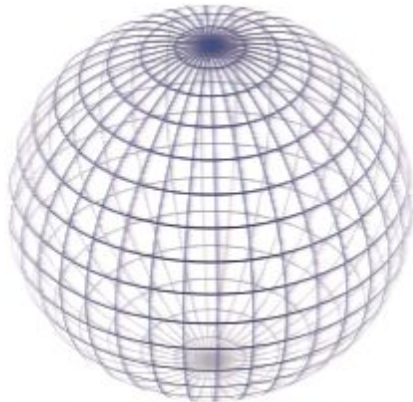
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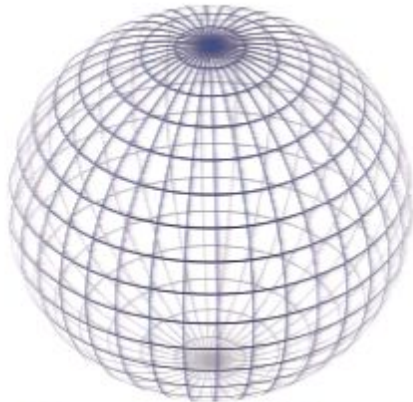
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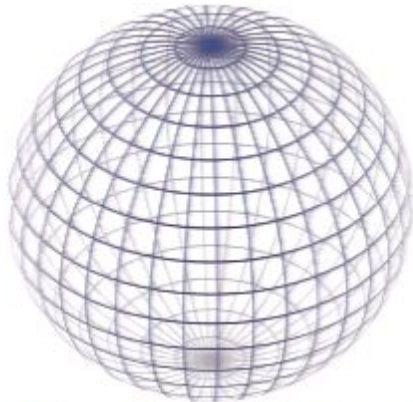
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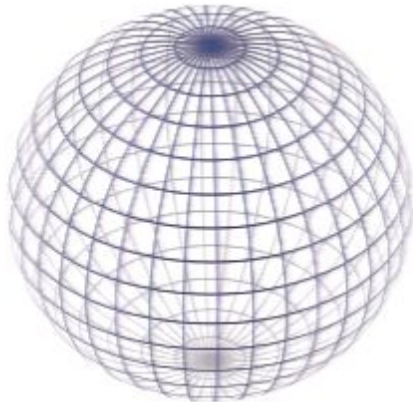
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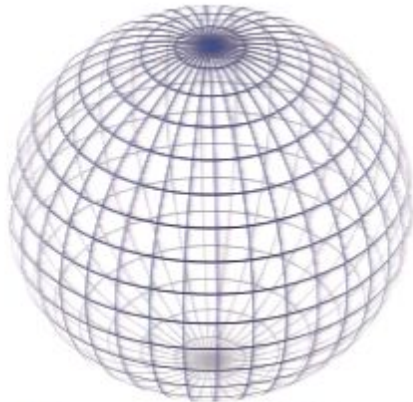
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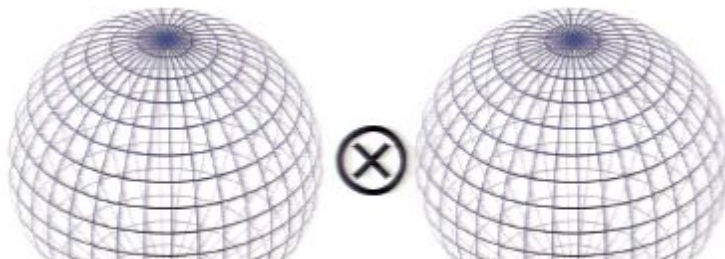
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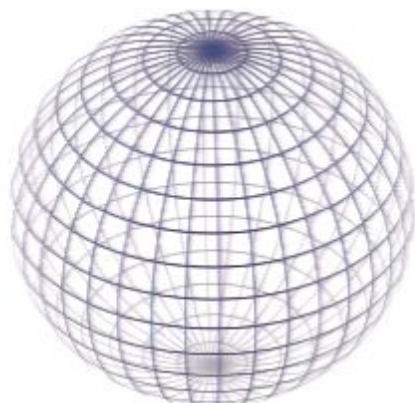
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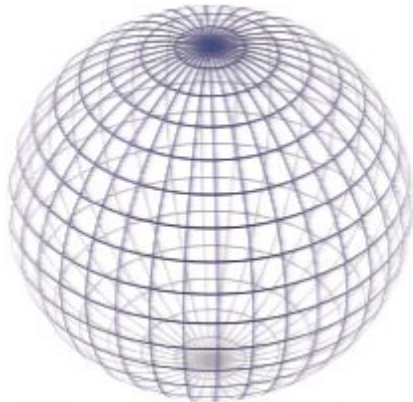
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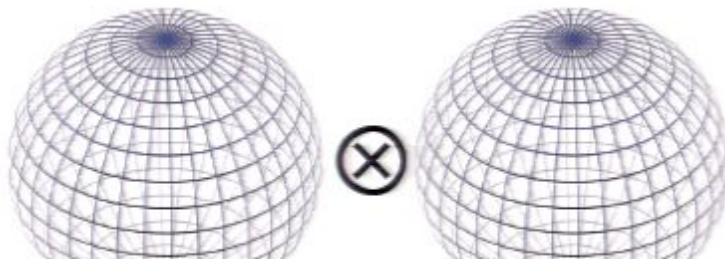
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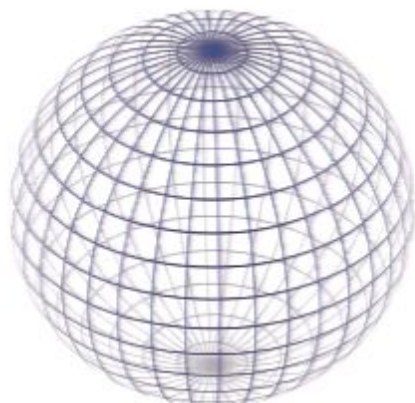
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Pirsa: 11050033



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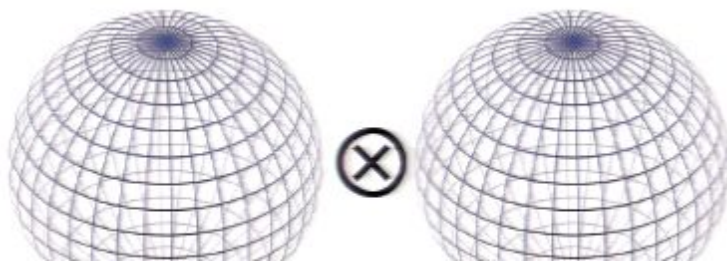
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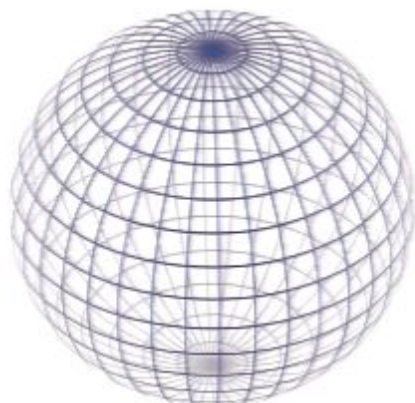
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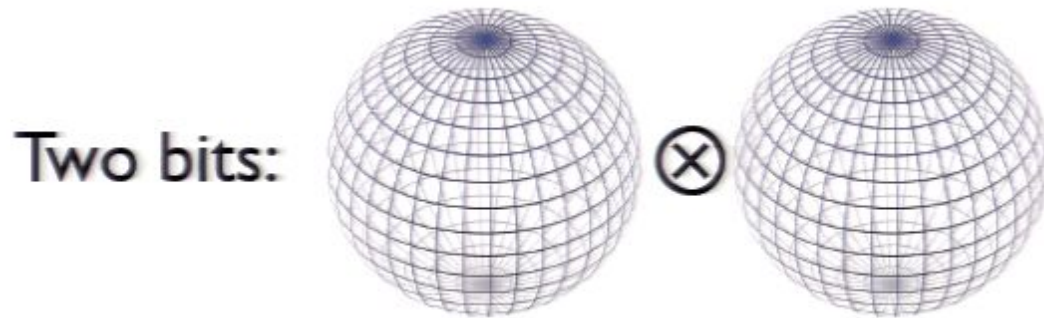
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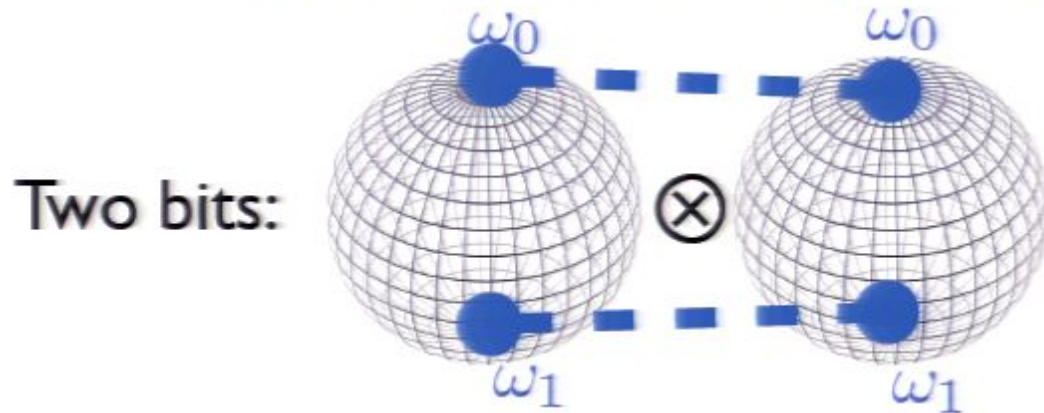
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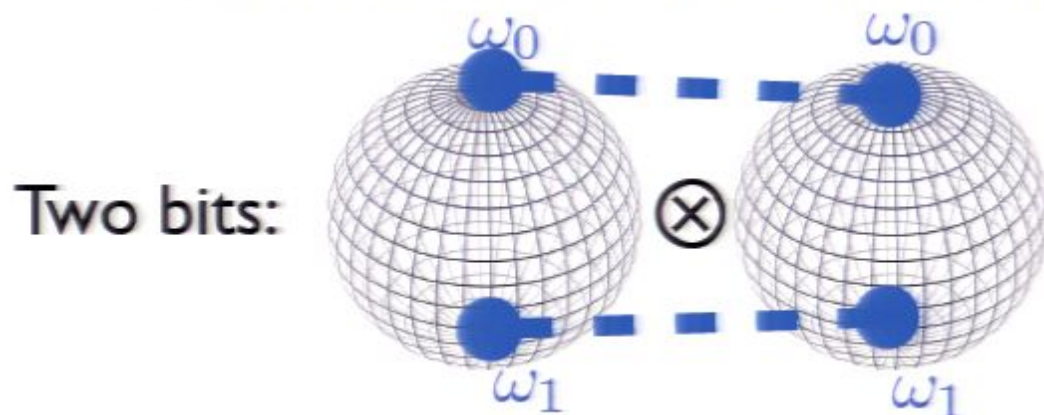
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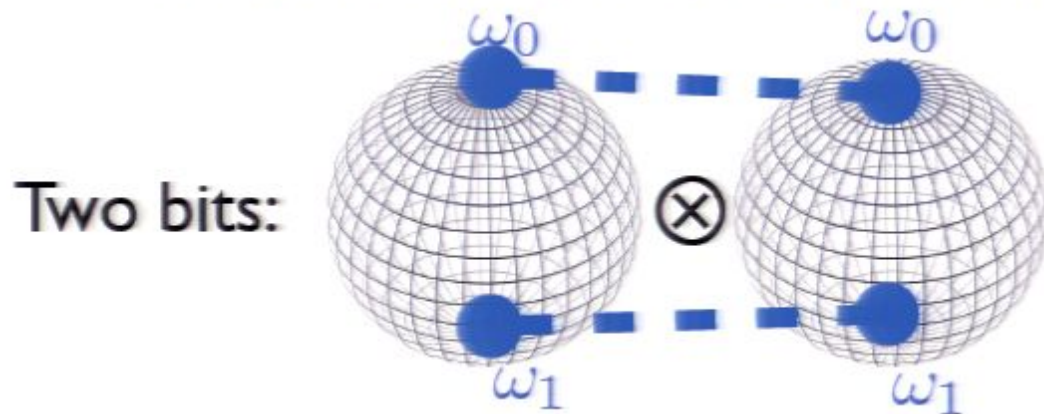


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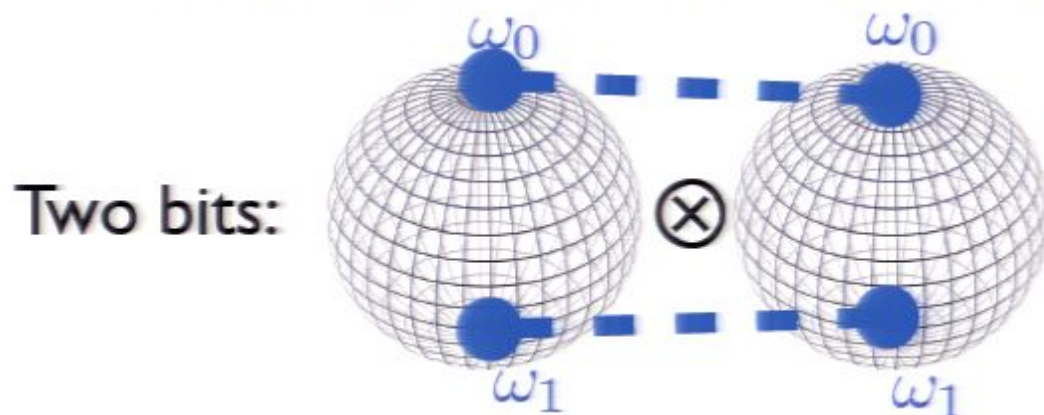
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Map 3-vectors to Hermitian matrices: $L(\omega) := \frac{1}{2} \left(\mathbf{1} + \sum_{i=1}^3 \omega_i \sigma_i \right)$

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The Axioms:

- I. Local tomography**
- II. Reversibility**
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- MM, O. Dahlsten, V. Vedral, *Subsystem randomization as a universal phenomenon* (in preparation).
Dynamical state space = state space + transformation group.
(time evolution / computation)

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Pirsa: 11050033

General probabilistic versions of:

- Purity, Pauli operators,
- Clifford group, H.S. inner product,
- formula for typical entanglement,
- decoupling theorem.

Thank you!

[arXiv:1004.1483v2](#)

See also: G. Chiribella et al., arXiv:1011.6451v2
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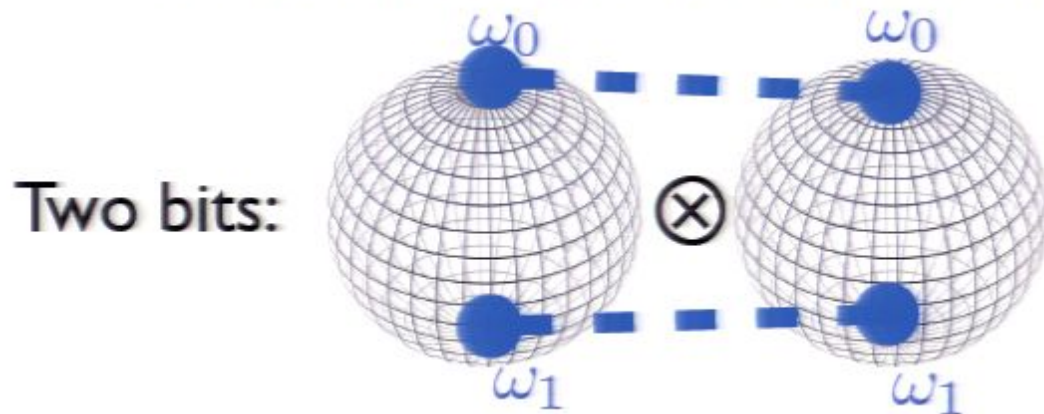
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- Ll. Masanes, G. de la Torre (previous talk):
If local state spaces are balls of dim. d , then entanglement & continuous reversibility for two balls only if $d=3$: only QT!

The Axioms:

- I. Local tomography
- II. Reversibility
- III. Subspace axiom
- IV. Finite-dimensionality
- V. All measurements allowed

4. Derivation of the Hilbert space formalism

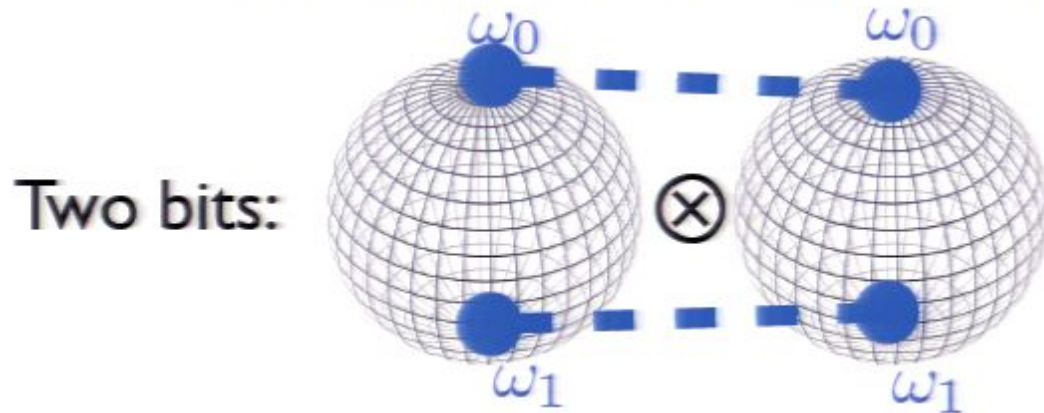


$d \neq 7$: Local transformations contain $SO(d) \otimes SO(d)$.

Consider face („subspace“) generated by $\omega_0 \otimes \omega_0$ and $\omega_1 \otimes \omega_1$ (again, a bit!)

- Stabilized by $SO(d-1) \otimes SO(d-1)$.
- Counting dimensions with group rep. theory:
if local transformations irreducible then orbit too large.
- But $SO(d-1)$ is complex-reducible iff $d=3$!

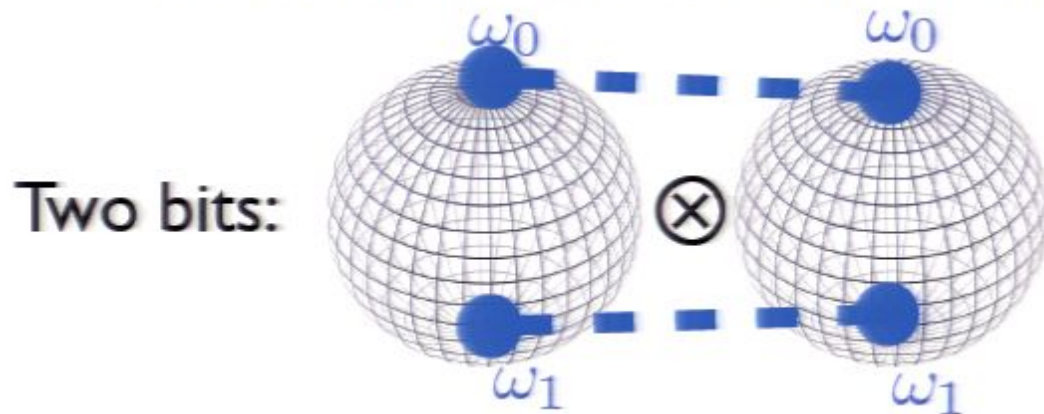
4. Derivation of the Hilbert space formalism



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4. Derivation of the Hilbert space formalism



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