

Title: Effective Field Theory in Inflation

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Abstract: Though the observed CMB is at very low energy, it encodes ultra high-energy physics in spatial variations of the photon temperature and polarization fluctuations. This effect is believed to be dominated by the initial quantum state of the Universe. I will describe the first theoretical tools by which to construct such a state from fundamental physics. There are three specific observational effects this initial state will produce: a ringing signal in the power spectrum of quantum field fluctuations, an enfolded type of non-Gaussian fluctuations, and a calculable primordial gravitational wave background. We may soon be able to compare these predictions against experiment, allowing one to rule out classes of quantum gravity models. Now is the critical time to undertake such investigations, with a number of ongoing and planned experiments such as WMAP, Planck, and CMBPol poised to collect a wealth of precision data.

Effective Field Theory in Inflation

Mark G. Jackson

Lorentz Institute for Theoretical Physics

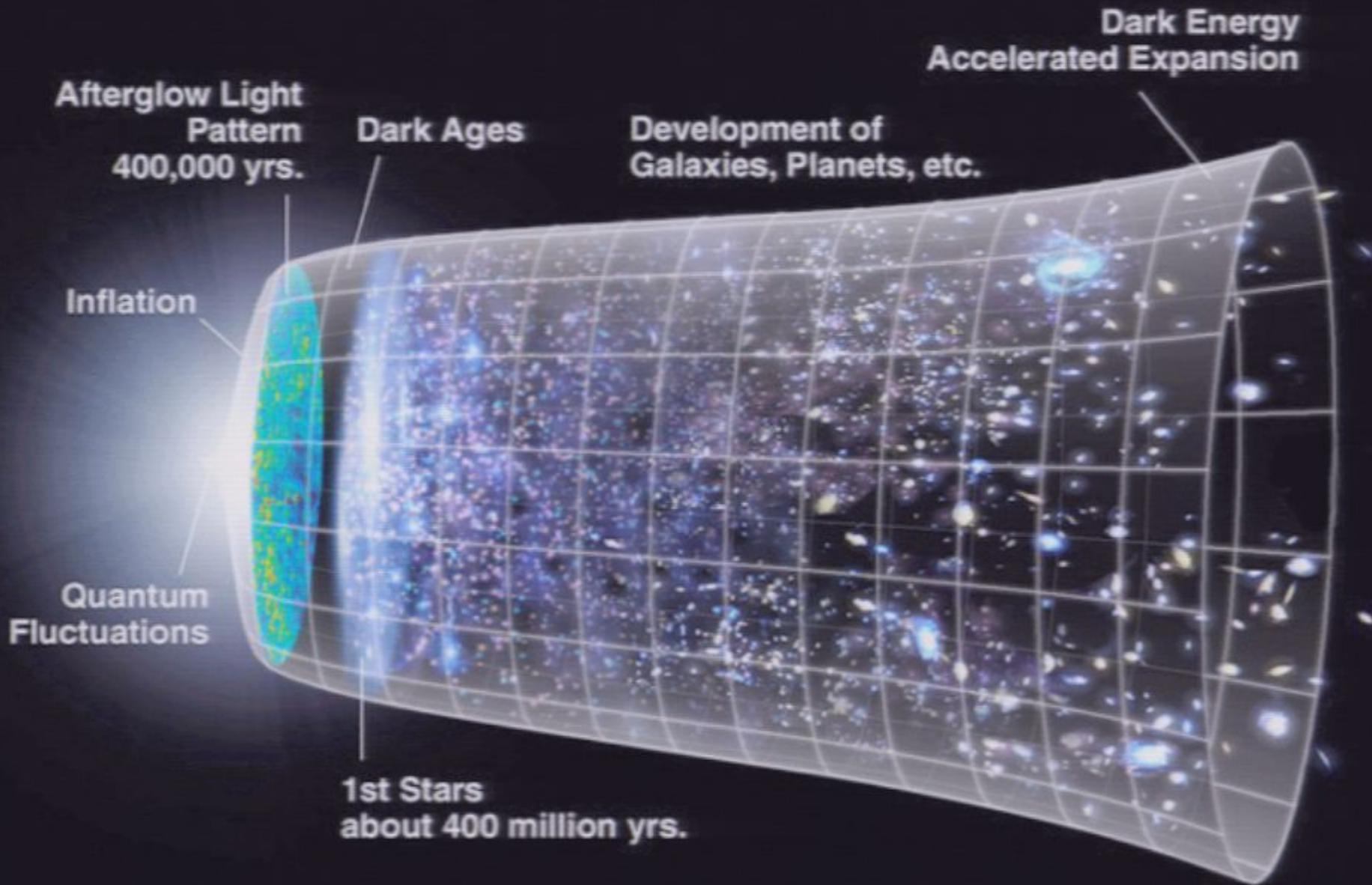
University of Leiden

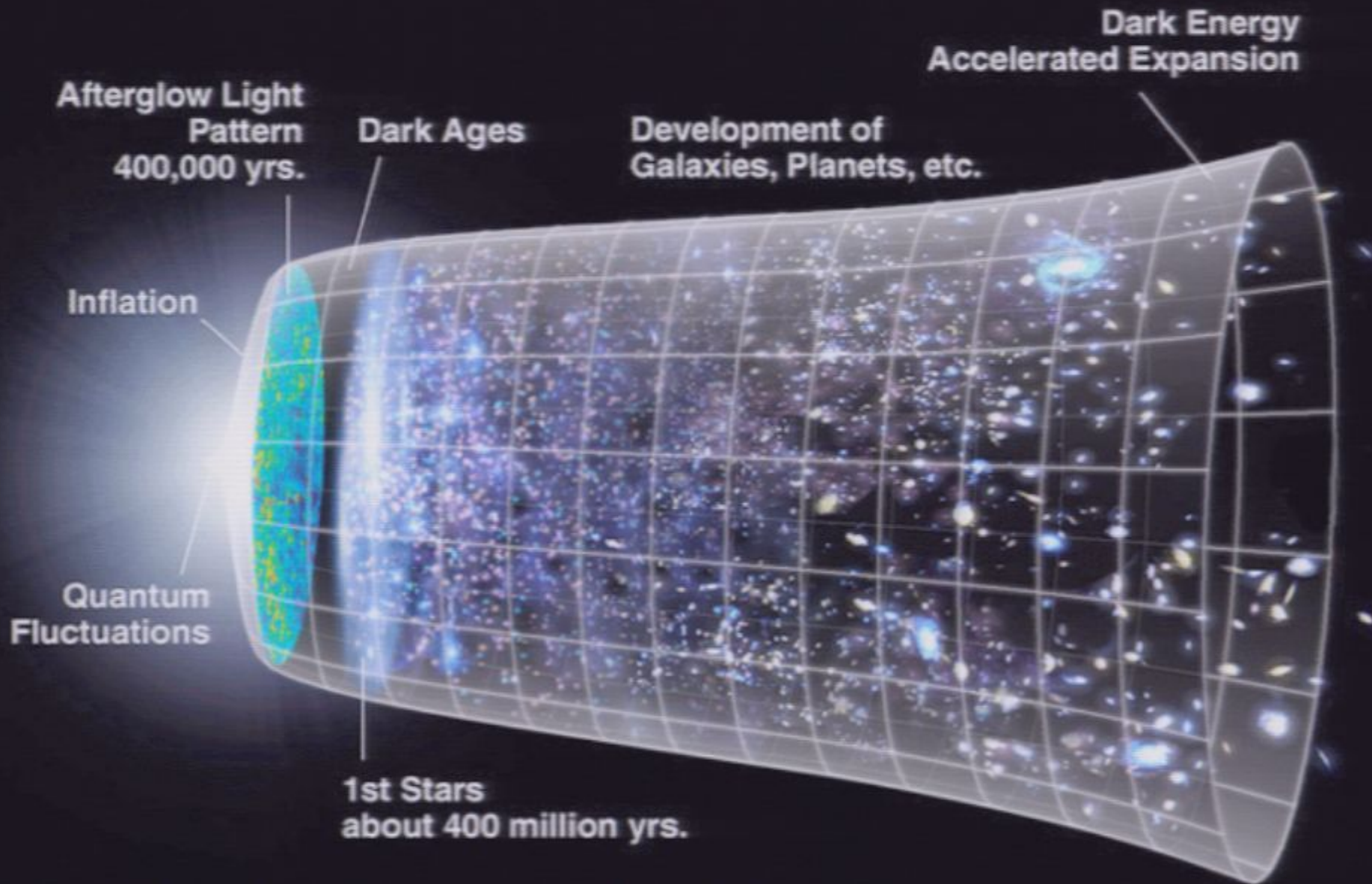
This Fall: APC-Paris

Collaborators: D. Baumann, P. D. Meerburg,
J. P. v.d. Schaar, K. Schalm

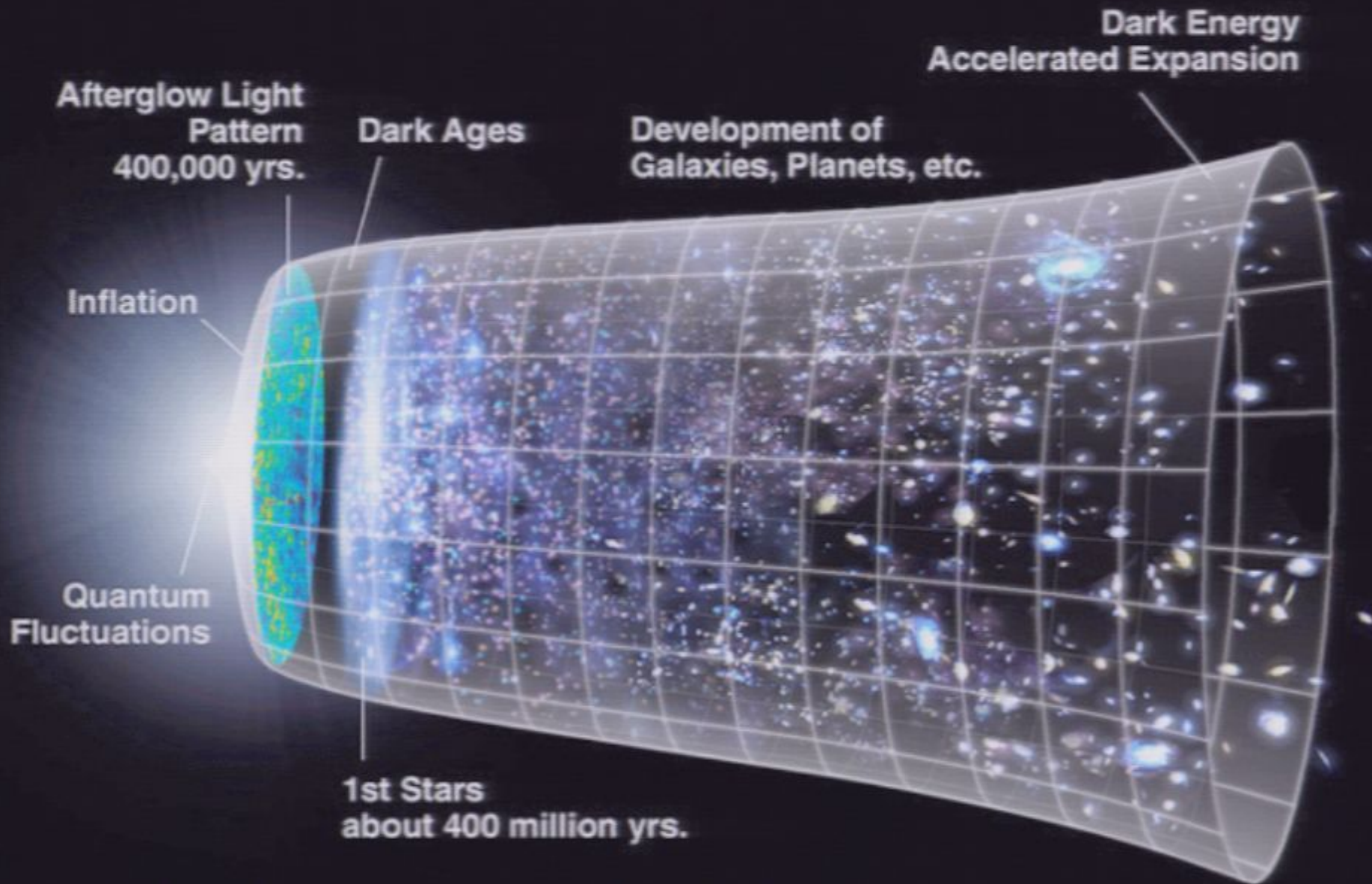
Perimeter Institute

May 31, 2011





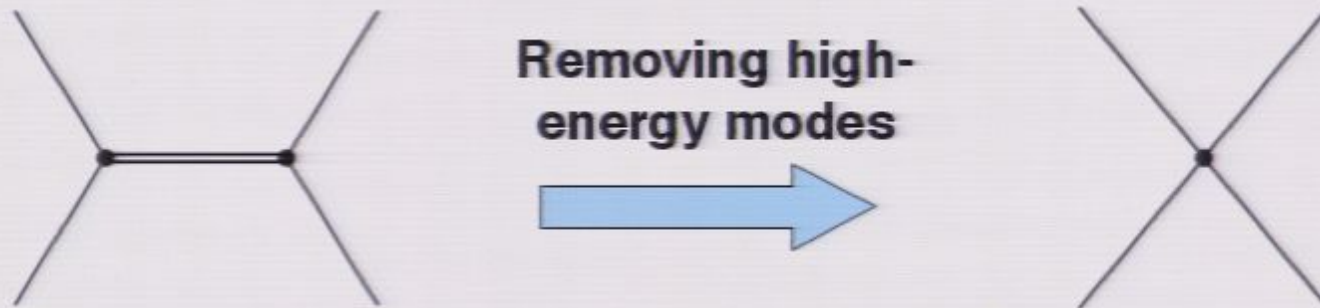
■ **Inflation is phenomenologically successful, but...**



- Inflation is phenomenologically successful, but...
- Ideally it should be embedded in a quantum theory of gravity such as superstring theory

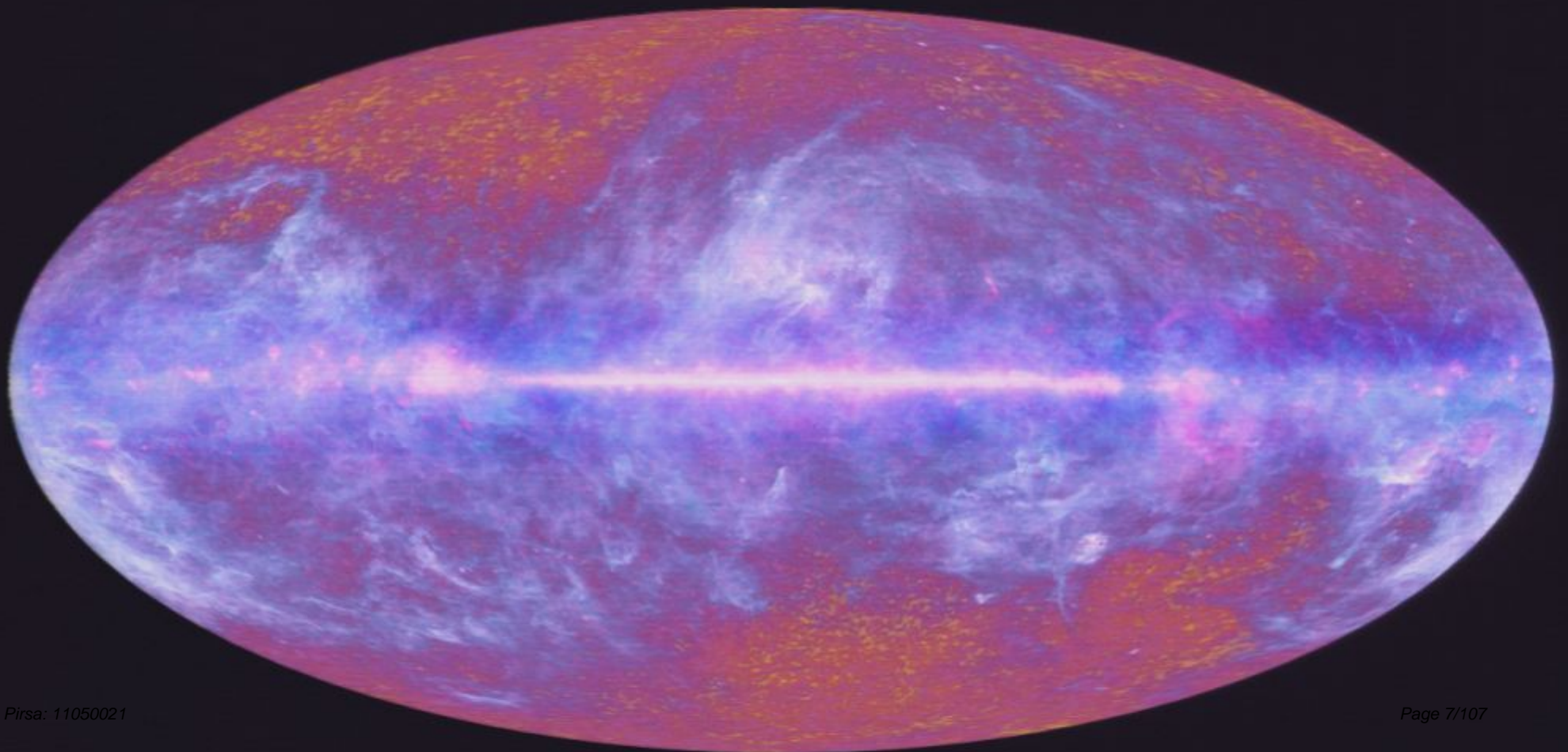
Effective Actions (in Cosmology)

- To test high-energy theories at low energy we rely upon Wilsonian effective actions:



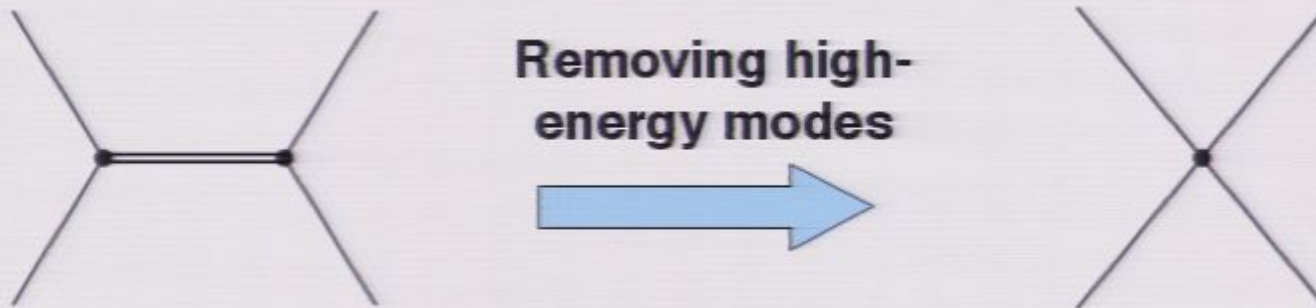
- Unfortunately, standard techniques rely upon energy conservation, which is absent during cosmological expansion
- To put inflation on a fundamental basis, we need to construct effective actions in a cosmological background.

A New Hope: The Dawn of Precision Cosmology



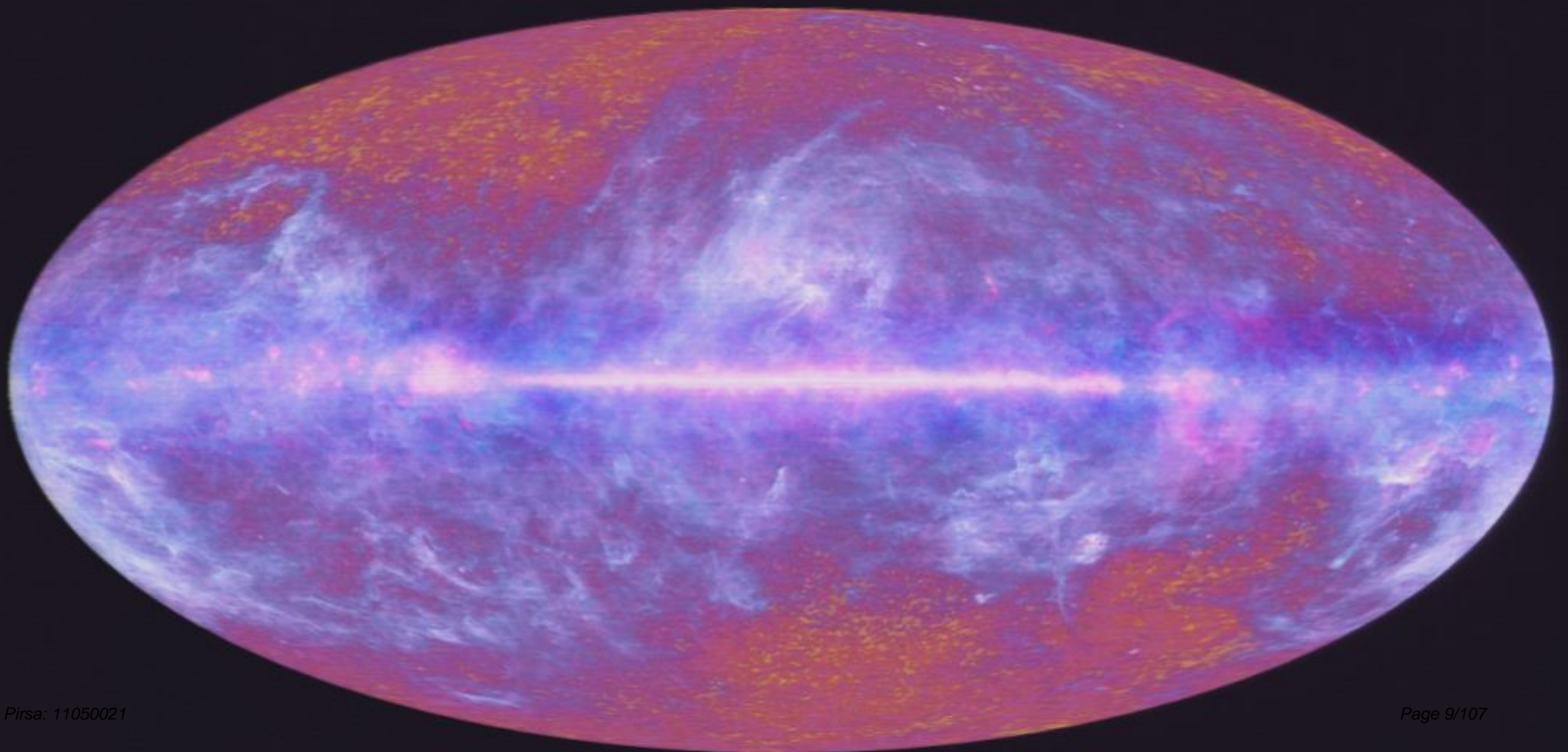
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Outline

- **The Opportunity of Cosmology**
- **Modified Vacua**
- **Power Spectrum Oscillations**
- **Non-Gaussianity**
- **CMB Polarization and Tensor Modes**

The Opportunity of Cosmology: Sensitivity to High Energies

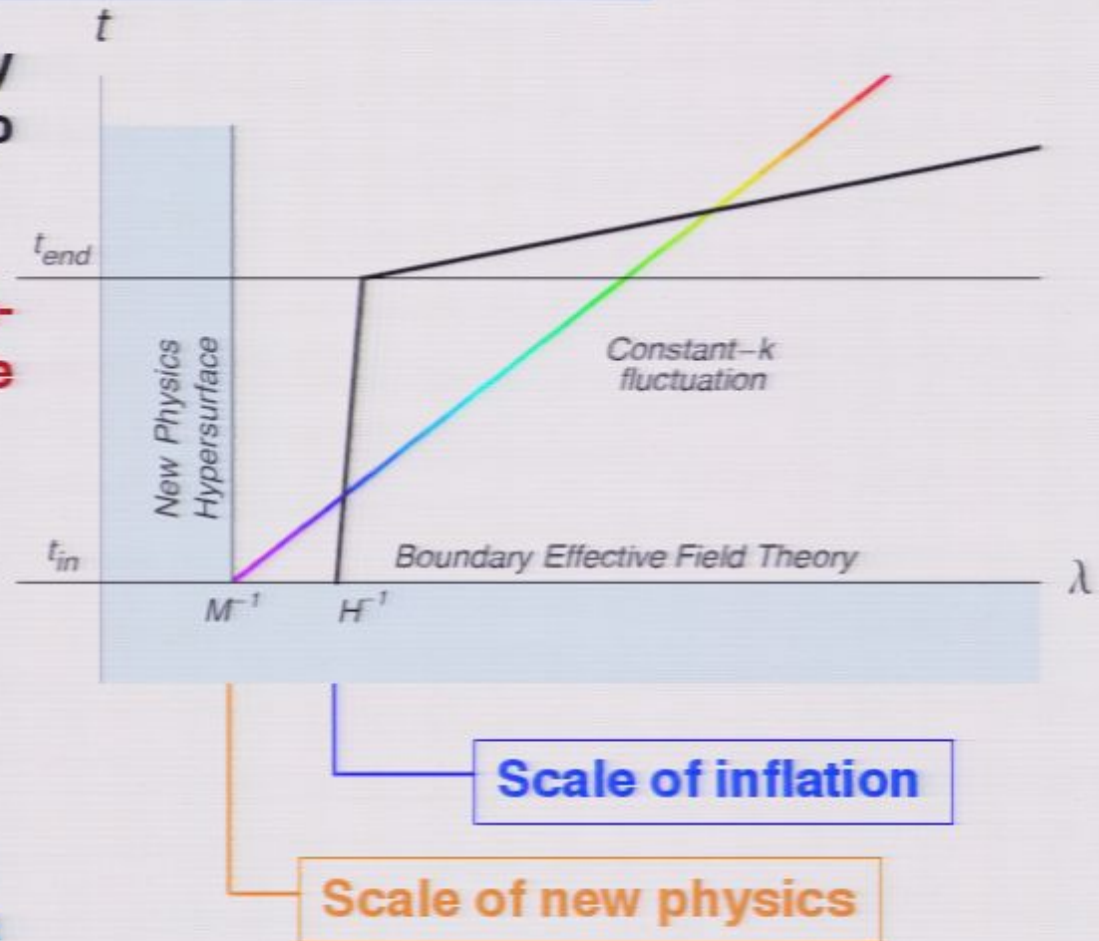
Observed CMB fluctuations today have low energy, but this is due to the cosmological redshifting.

They should be sensitive to high-energy physics, possibly even the Planck scale, as

$$\langle \mathcal{O} \rangle \sim \left(\frac{H}{M} \right)^n$$

Previous effective descriptions,

1. New Physics Hypersurface,
2. Boundary Effective Field Theory,
3. Weinberg; Senatore & Zaldarriaga



either lack a fundamental theory, rely upon energy conservation, or assume a particular vacuum state

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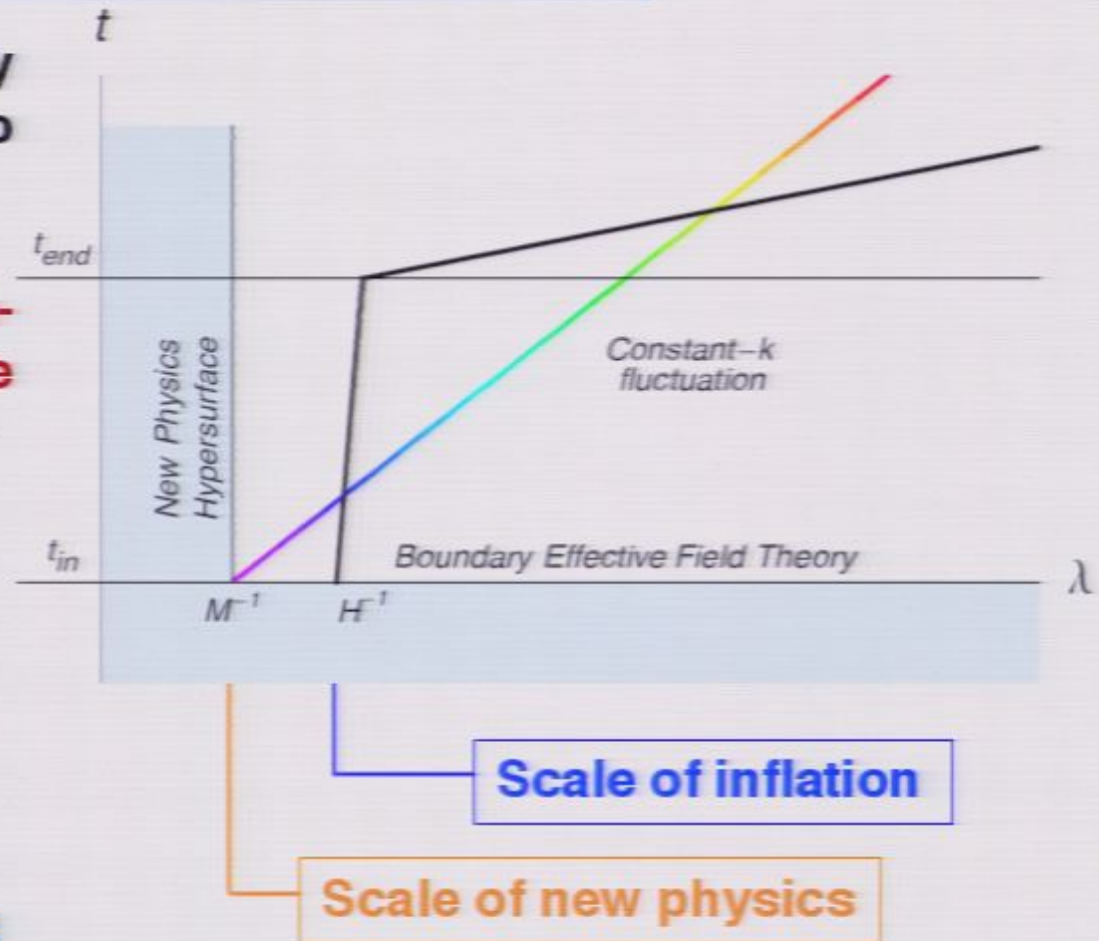
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First Observable: The Primordial Power Spectrum

- The power spectrum is simply the 2-pt correlation function of inflaton field fluctuations:

$$P_s(k) = \lim_{t \rightarrow \infty} \frac{k^3}{2\pi^2} \langle \delta\phi_{\mathbf{k}}(t) \delta\phi_{-\mathbf{k}}(t) \rangle = A_s(k_*) \left(\frac{k}{k_*} \right)^{n_s(k_*)-1}$$

WMAP7: $A_s = (2.43 \pm 0.11) \times 10^{-9}$, $n_s = 0.963 \pm 0.012$

- (Naively) interpreting this as a propagator, we expect that it encodes high-energy physics from e.g. via virtual heavy χ -exchange:



$$\langle \delta\phi \delta\phi \rangle \text{ —————}$$

$$\langle \chi \chi \rangle \text{ =====}$$

Inflaton Field Effective Action

- Consider the effective action for ϕ :

$$S_{eff}[\phi] = \int d^4p \phi(p)\phi(-p) \{ p^2/2 + H^2/2 + c_0 H^2 (H^2/M^2) + c_1 p^2 (H^2/M^2) + \dots \}$$

- The freezeout scale is $p=H$, thus the 2-pt function is

$$\langle \phi(p)\phi(-p) \rangle|_{p=H} = H^2 + c_0 H^2 (H^2/M^2) + c_1 H^2 (H^2/M^2)$$

- Only even powers of p are allowed in S_{eff} , so we have an expansion in $(H/M)^2$.

Which is disastrous, since $H/M \sim 0.01$

(Brandenberger, Burgess, Cline, Danielsson, Easther, Greene, Lemieux, Kaloper, Kinney, Kleban, Lawrence, Martin, Schalm, Shenker, Shiu, v.d. Schaar, Susskind)

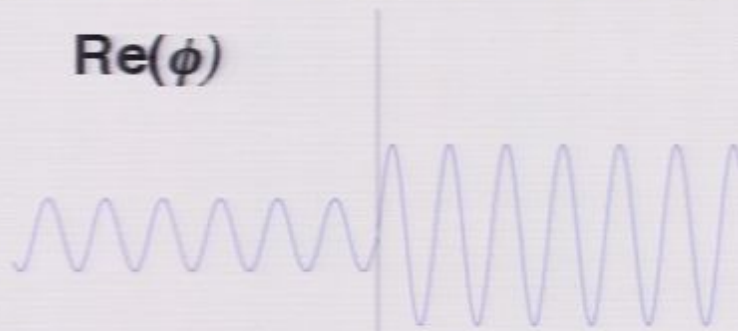
A Possible Solution: Vacuum State Modification

- **Fortunately, there appears to be a loophole** (Easther, Greene, Kinney, v.d. Schaar, Schalm, Shiu).
- Note that time-localized ('boundary') terms are one energy-dimension lower, and thus would scale only as H/M :

$$S_{\text{boundary}} = \int d^4x \sqrt{g} m \phi^2 \delta(t - t_c).$$

- This changes the boundary condition of the inflaton, much like QM scattering from a δ -function potential:

Re(ϕ)



Bunch-Davies
boundary conditions

Excited state
boundary conditions

A Possible Solution: Vacuum State Modification

- In the Hamiltonian description, the Bunch-Davies vacuum is simply the familiar condition

$$a_{\mathbf{k}}|0\rangle = 0,$$

which now becomes generalized to a squeezed coherent state :

$$\left(a_{\mathbf{k}} + \beta_{\mathbf{k}}a_{-\mathbf{k}}^{\dagger}\right)|\beta_{\mathbf{k}}\rangle = 0, \quad |\beta_{\mathbf{k}}\rangle = \mathcal{N} \exp\left[-\beta_{\mathbf{k}}a_{-\mathbf{k}}^{\dagger}a_{\mathbf{k}}^{\dagger}\right]|0\rangle.$$

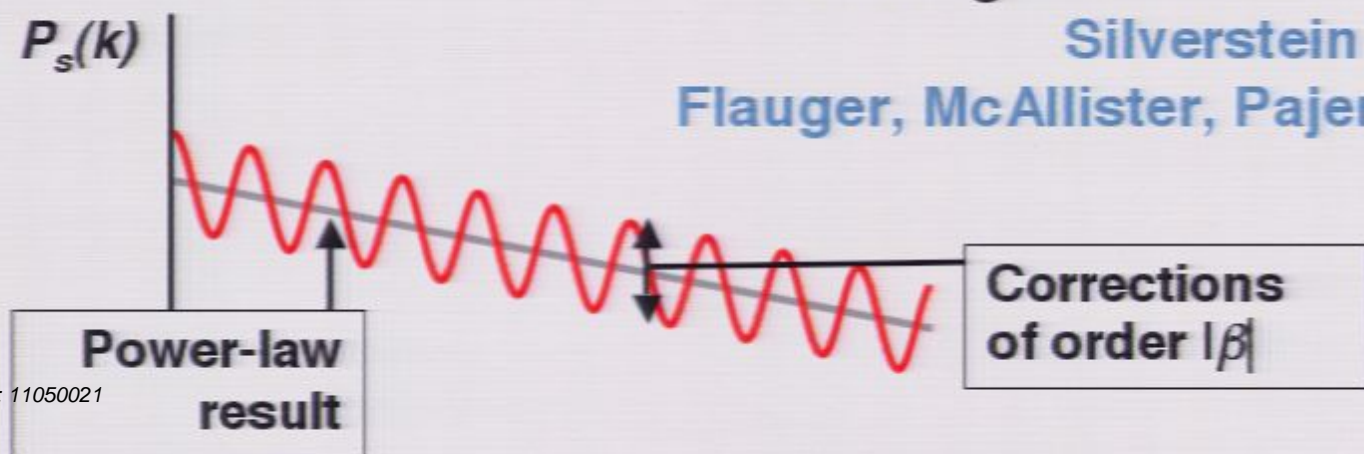
- **Such a modified state has a very characteristic signature!**

Effect of Vacuum Choice on Power Spectrum

$$\begin{aligned}
 P_{\varphi}^{\beta}(k) &= \frac{k^3}{2\pi^2} \langle \beta_{\mathbf{k}} | \varphi_{\mathbf{k}}(0) \varphi_{-\mathbf{k}}(0) | \beta_{\mathbf{k}} \rangle \\
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 \end{aligned}$$

- These ‘wiggles’ are a generic, model-independent feature of quantum gravity*, with all new physics encoded in β .

• And e.g. axion monodromy inflation by
 Silverstein and Westphal ‘08;
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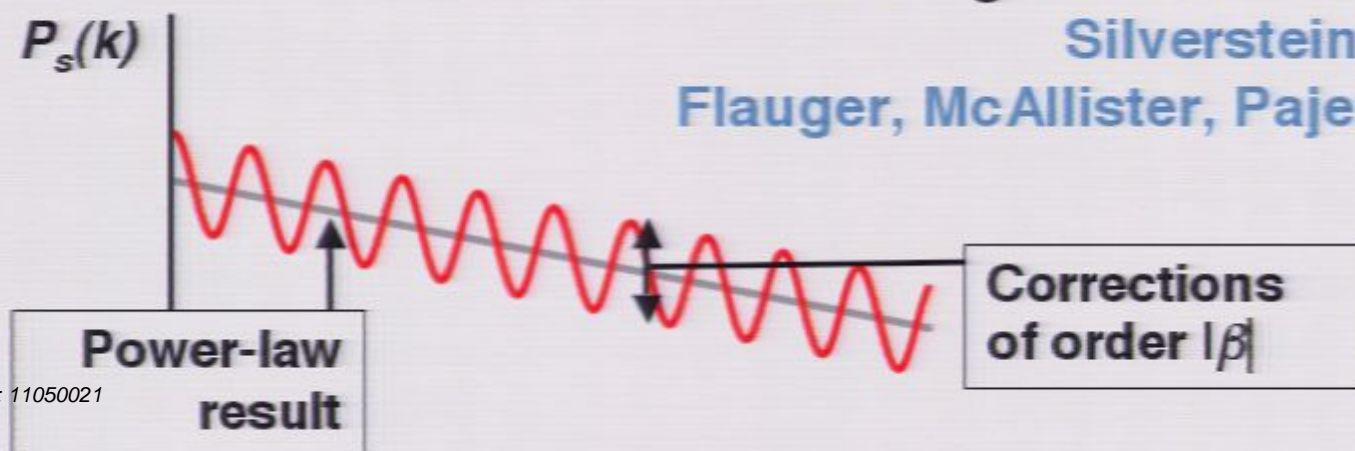
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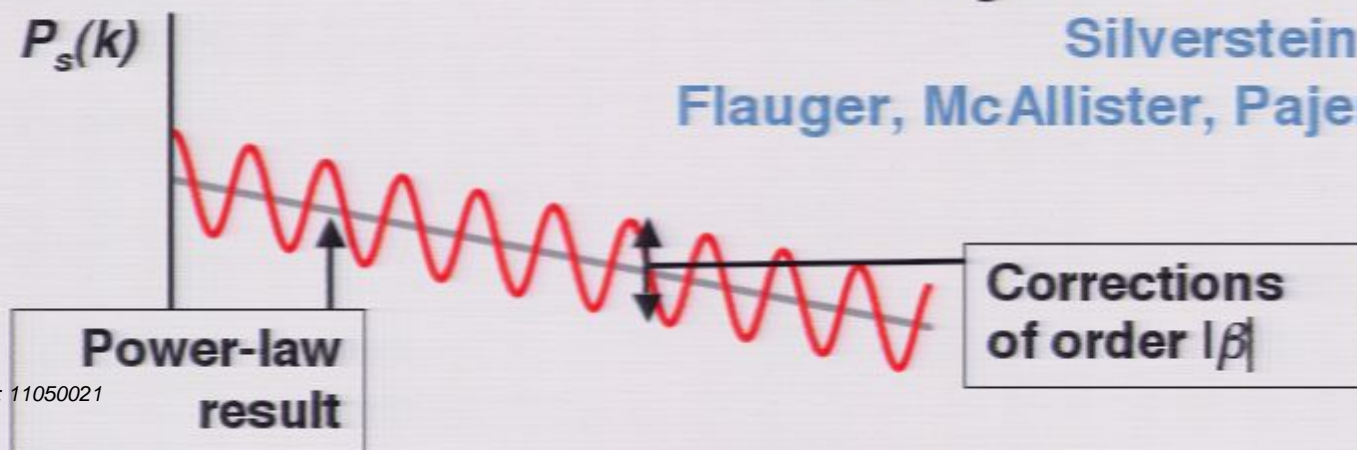
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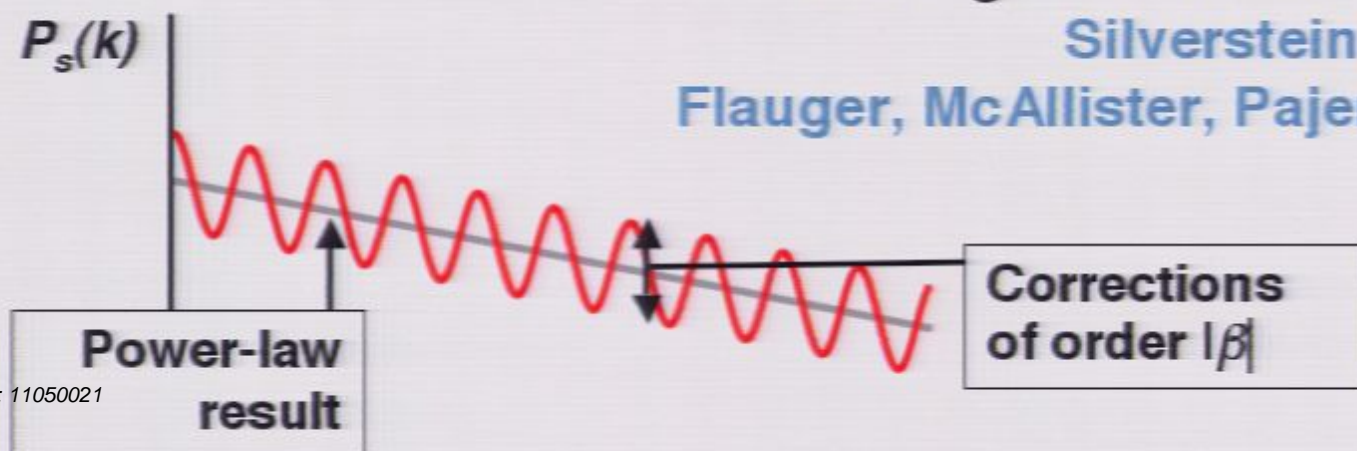
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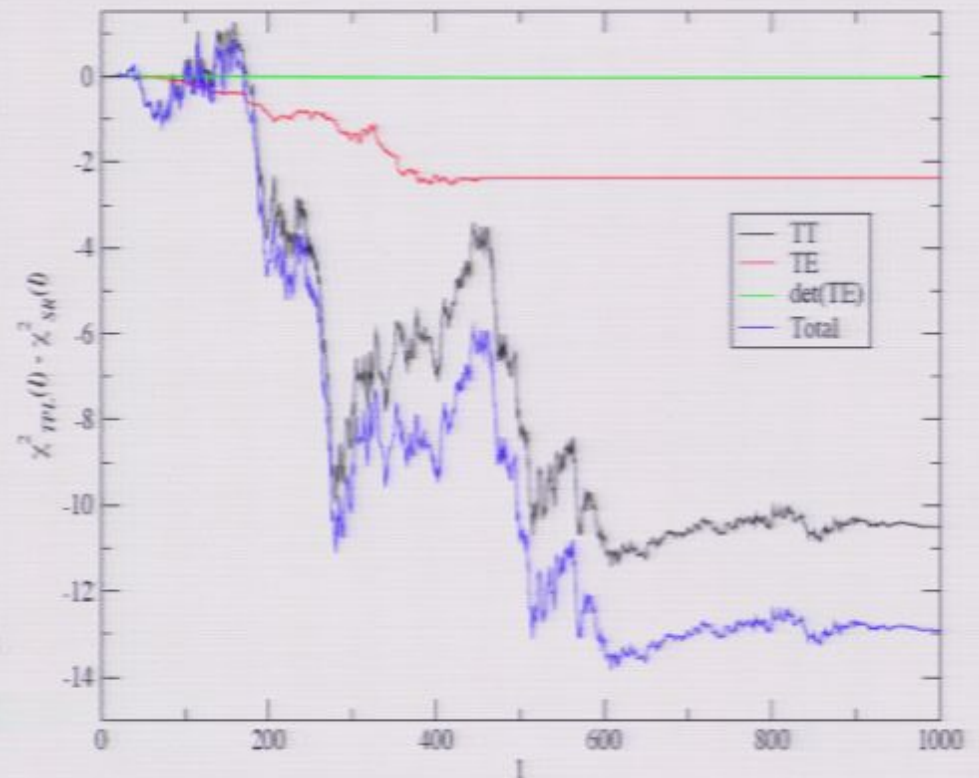
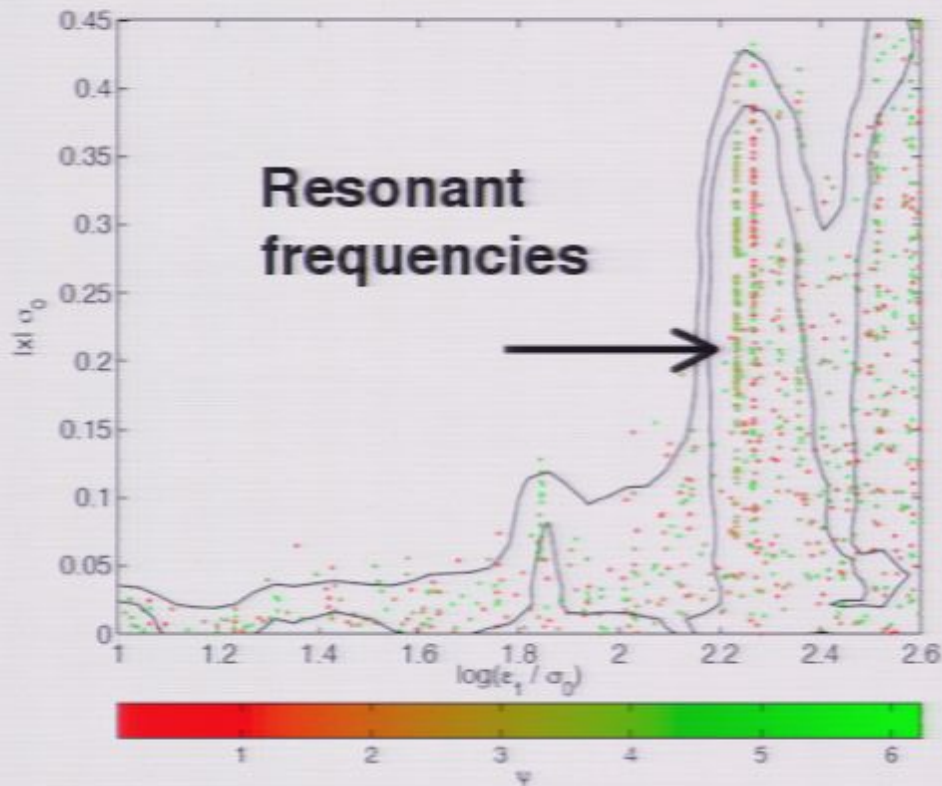
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Parametrizing TP Physics



- They found suggestions of such oscillations
- In the fortunate event of detection, what is the theoretical implication?

Effective Action Construction

- We (MGJ, Schalm '10) recently developed the procedure to construct the effective action representing high-energy physics.
- Begin with inflating system,

$$S_{\text{inf}}[\phi] = - \int d^4x \sqrt{g} \left[\frac{1}{2} (\partial\phi)^2 - V(\phi) \right]$$

and add (for example) Yukawa interactions to a heavy field χ :

$$S_{\text{new}}[\varphi, \chi] = - \int d^4x \sqrt{g} \left[\frac{1}{2} (\partial\chi)^2 + \frac{1}{2} M^2 \chi^2 + \frac{g}{2} \varphi^2 \chi \right]$$

- The power spectrum can then be computed using the in-in formalism:

$$P_\varphi(k) = \lim_{t \rightarrow \infty} \frac{k^3}{2\pi^2} \langle \mathbf{0}(t_0) | e^{i \int_{t_0}^t dt' \mathcal{H}(t')} |\varphi_{\mathbf{k}}(t)|^2 e^{-i \int_{t_0}^t dt'' \mathcal{H}(t'')} | \mathbf{0}(t_0) \rangle$$

- Note that this can be interpreted as an in-out correlation using

$$S \equiv S[\varphi_+, \chi_+] - S[\varphi_-, \chi_-]$$

Effective Action Construction

- This suggests we should transform into the new ‘Keldysh’ field basis given by

$$\begin{aligned}\bar{\varphi} &\equiv (\varphi_+ + \varphi_-)/2, & \Phi &\equiv \varphi_+ - \varphi_-, \\ \bar{\chi} &\equiv (\chi_+ + \chi_-)/2, & X &\equiv \chi_+ - \chi_-\end{aligned}$$

- In this basis the action is now

$$S[\bar{\varphi}, \Phi, \bar{\chi}, X] = - \int d^4x \sqrt{g} \left[\partial \bar{\varphi} \partial \Phi + \partial \bar{\chi} \partial X + M^2 \bar{\chi} X + g \bar{\chi} \bar{\varphi} \Phi + \frac{g}{2} X \left(\bar{\varphi}^2 + \frac{\Phi^2}{4} \right) \right].$$

- The free field solutions are

$$U_{\mathbf{k}}(\tau) = \frac{H}{\sqrt{2k^3}} (1 - ik\tau) e^{-ik\tau}, \quad V_{\mathbf{k}}(\tau) \approx \frac{H\tau \exp \left[-i \int^\tau d\tau' \sqrt{k^2 + \frac{M^2}{H^2\tau'^2}} \right]}{\sqrt{2} \left(k^2 + \frac{M^2}{H^2\tau^2} \right)^{1/4}}$$

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Feynman Rules in Keldysh Basis

- The correlations can now be evaluated using these:

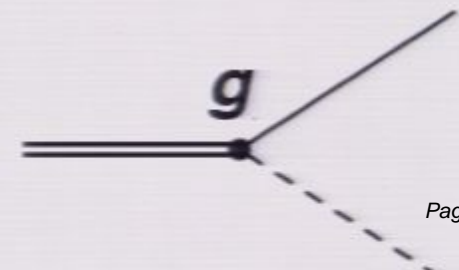
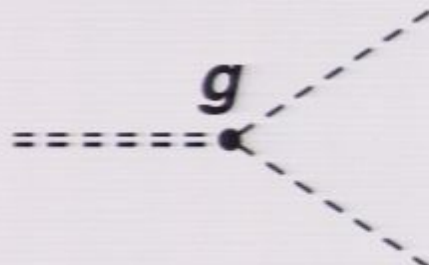
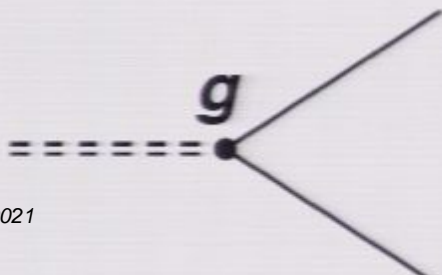
$$\begin{aligned}
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Feynman Rules in Keldysh Basis

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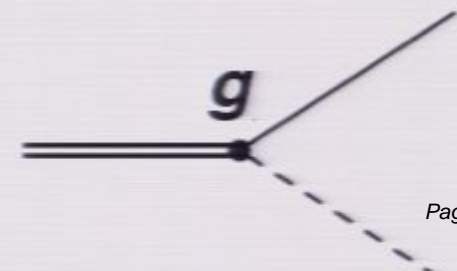
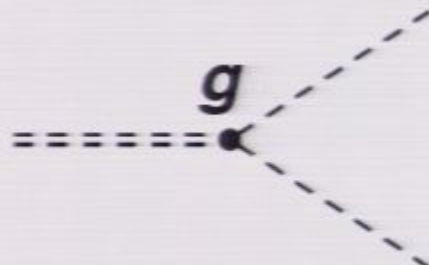
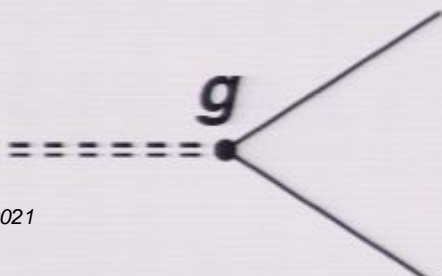
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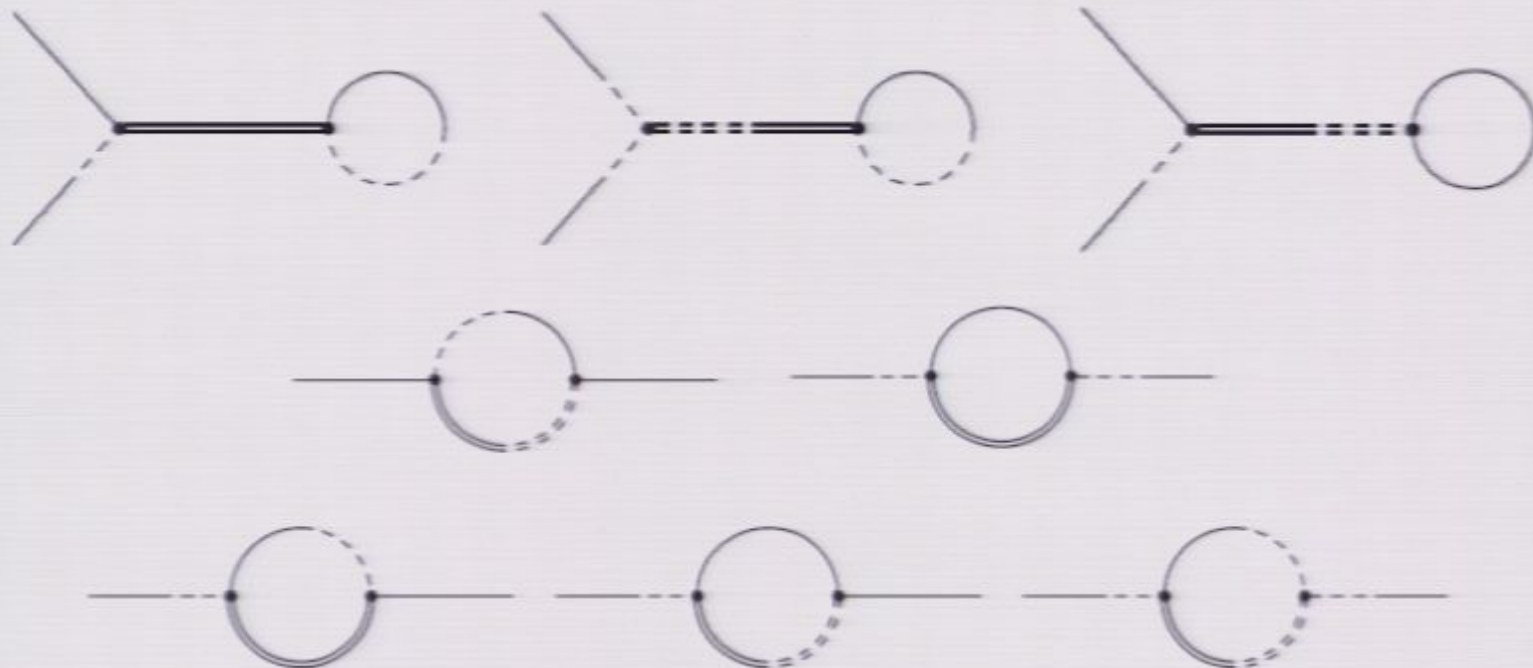
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Power Spectrum Corrections

- 2-pt correlation can then be computed using normal methods, producing eight Feynman diagrams:



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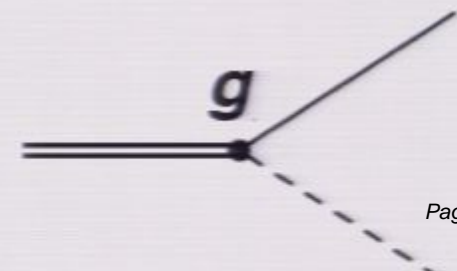
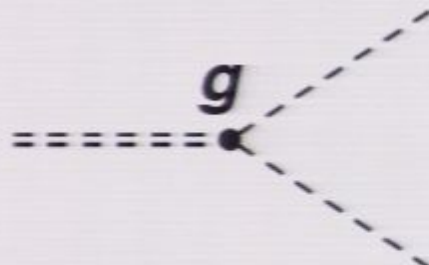
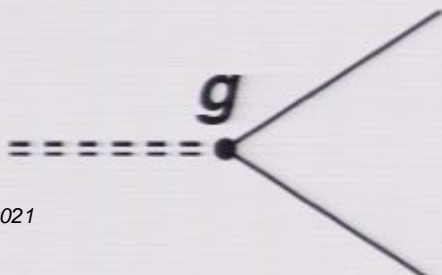
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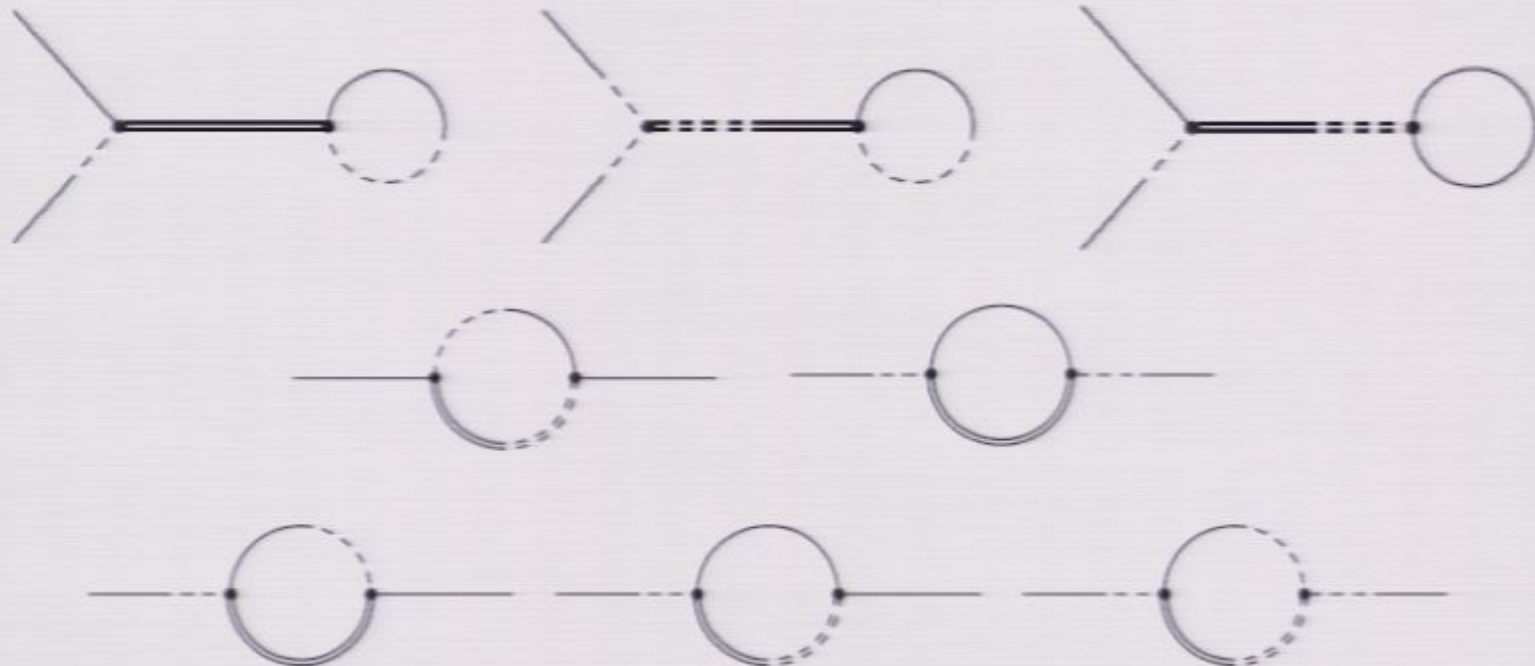
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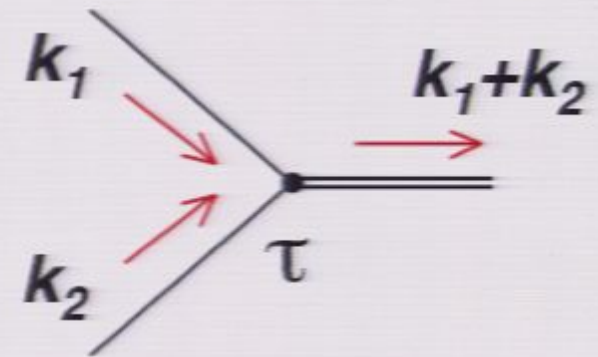


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Power Spectrum Corrections

- Each vertex is an integral over the time of interaction, and has the following form:

$$\begin{aligned}
 A_1(\mathbf{k}_1, \mathbf{k}_2) &\equiv \int_{\tau_0}^0 d\tau a^4(\tau) U_{\mathbf{k}_1}(\tau) U_{\mathbf{k}_2}(\tau) V_{-(\mathbf{k}_1+\mathbf{k}_2)}^*(\tau) \\
 &\approx -\frac{1}{2\sqrt{2k_1^3 k_2^3} H} \int_{\tau_0}^0 \frac{d\tau}{\tau^3} \frac{(1 - ik_1\tau)(1 - ik_2\tau)}{(|\mathbf{k}_1 + \mathbf{k}_2|^2 + \frac{M^2}{H^2\tau^2})^{1/4}} \\
 &\times \exp \left[-i(k_1 + k_2)\tau + i \int_{\tau}^{\tau_0} d\tau' \sqrt{|\mathbf{k}_1 + \mathbf{k}_2|^2 + \frac{M^2}{H^2\tau'^2}} \right].
 \end{aligned}$$



- This admits a stationary phase approximation near the moment of energy-conservation,

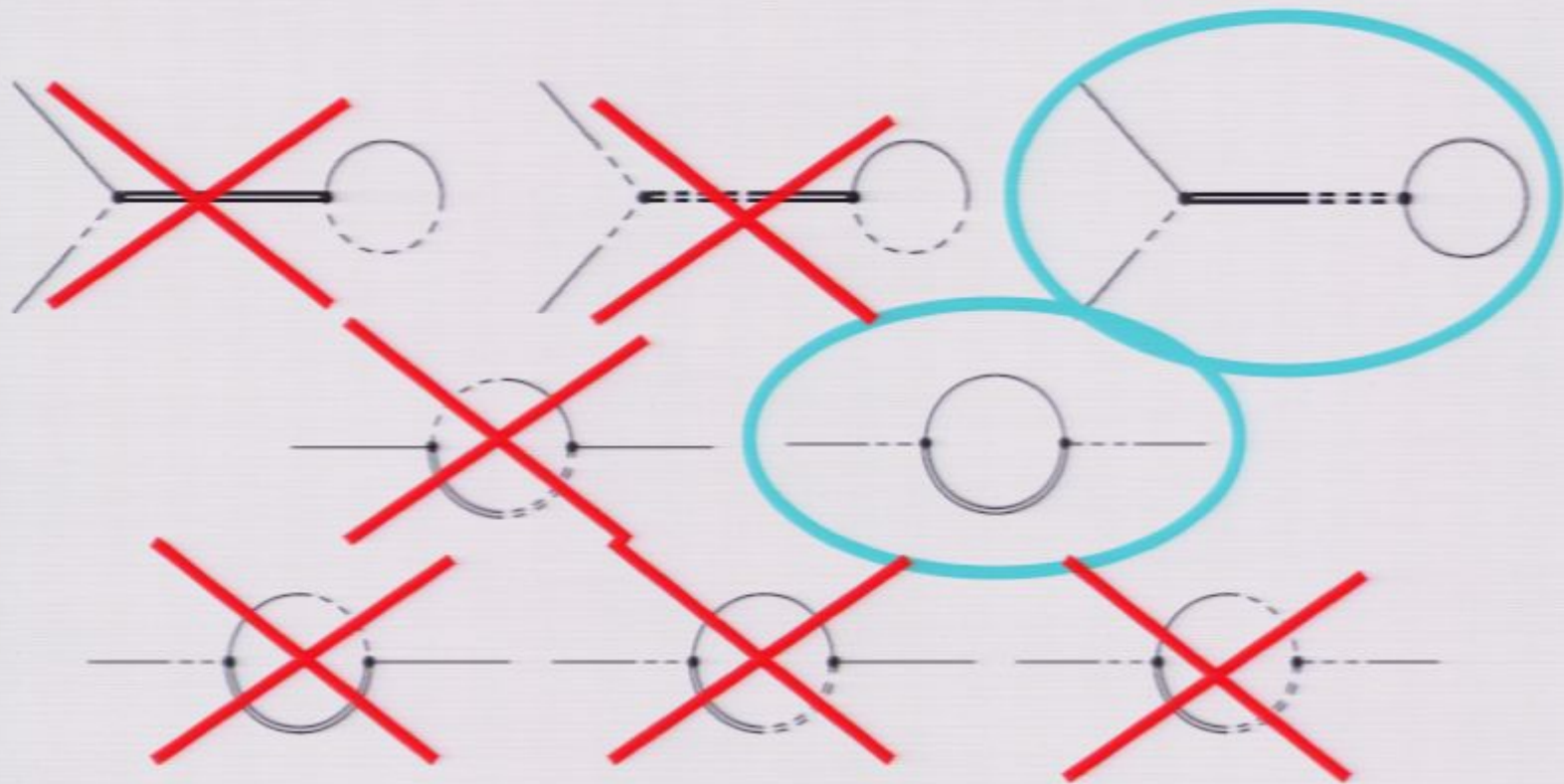
$$\tau_*^{-1} = -\frac{H}{M} \sqrt{2k_1 k_2 (1 - \cos \theta)}, \quad \cos \theta = \frac{\mathbf{k}_1 \cdot \mathbf{k}_2}{k_1 k_2}.$$

- The vertex (to leading order in H/M) is then simply

$$A_1(\mathbf{k}_1, \mathbf{k}_2) \approx -\frac{\sqrt{\pi i}}{2\sqrt{2k_1^3 k_2^3} H} \frac{1}{\tau_*^3} \sqrt{\frac{H}{M}} \left[\frac{2M}{H} \left(k_1 + k_2 + \sqrt{2k_1 k_2 (1 - \cos \theta)} \right) \right]^{-i}$$

Power Spectrum Corrections

- Of the eight, only two are found to be significant:



an Rules in Keldysh Basis ction

relations can now be evaluated using these: the new

$$\langle \Phi_{\mathbf{k}}(\tau_1) \Phi_{-\mathbf{k}}(\tau_2) \rangle$$

$$\theta(\tau_1 - \tau_2) \text{Im} [U_{\mathbf{k}}(\tau_1) U_{\mathbf{k}}^*(\tau_2)],$$

$$F_{\mathbf{k}}(\tau_1, \tau_2) \equiv \langle \bar{\varphi}_{\mathbf{k}}(\tau_1) \bar{\varphi}_{-\mathbf{k}}(\tau_2) \rangle$$

$$= \text{Re} [U_{\mathbf{k}}(\tau_1) U_{\mathbf{k}}^*(\tau_2)],$$

$$\langle \chi_{\mathbf{k}}^{(0)}(\tau_1) \chi_{-\mathbf{k}}^{(0)}(\tau_2) \rangle$$

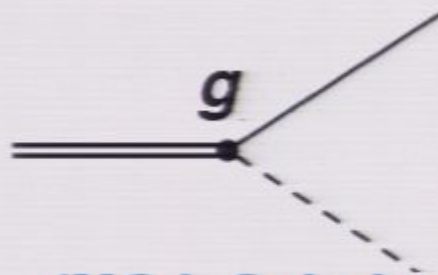
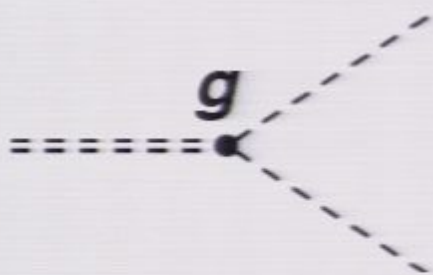
$$\theta(\tau_1 - \tau_2) \text{Im} [V_{\mathbf{k}}(\tau_1) V_{\mathbf{k}}^*(\tau_2)],$$

$$J_{\mathbf{k}}(\tau_1, \tau_2) \equiv \langle \chi_{\mathbf{k}}^{(0)}(\tau_1) \chi_{-\mathbf{k}}^{(0)}(\tau_2) \rangle$$

$$= \text{Re} [V_{\mathbf{k}}(\tau_1) V_{\mathbf{k}}^*(\tau_2)],$$

relations are given by:

$$\left[\dot{\tau}^2 + \frac{\Phi^2}{4} \right].$$



$$\frac{l\tau' \sqrt{k^2 + \frac{M^2}{H^2 \tau'^2}}}{\left(\frac{M^2}{H^2 \tau'^2} \right)^{1/4}}$$

Effective Action Construction

- This suggests we should transform into the new 'Keldysh' field basis given by

$$\begin{aligned}\bar{\varphi} &\equiv (\varphi_+ + \varphi_-)/2, & \Phi &\equiv \varphi_+ - \varphi_-, \\ \bar{\chi} &\equiv (\chi_+ + \chi_-)/2, & X &\equiv \chi_+ - \chi_-\end{aligned}$$

- In this basis the action is now

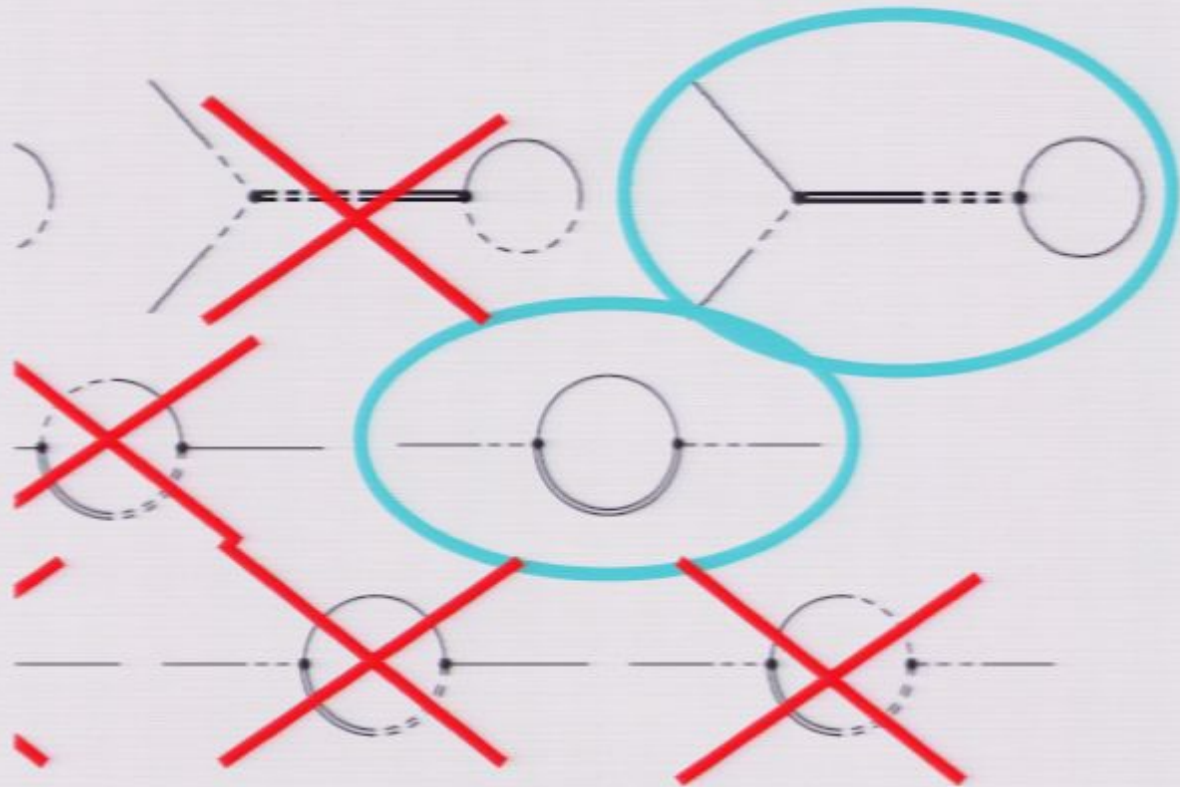
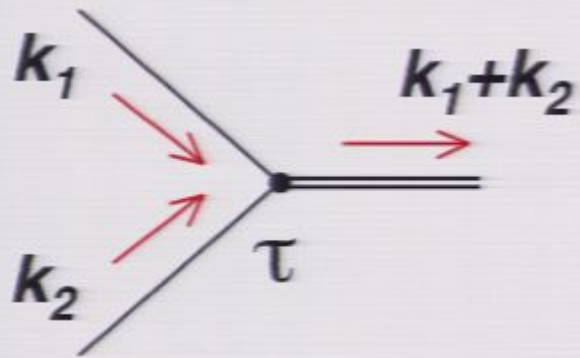
$$S[\bar{\varphi}, \Phi, \bar{\chi}, X] = - \int d^4x \sqrt{g} \left[\partial \bar{\varphi} \partial \Phi + \partial \bar{\chi} \partial X + M^2 \bar{\chi} X + g \bar{\chi} \bar{\varphi} \Phi + \frac{g}{2} X \left(\bar{\varphi}^2 + \frac{\Phi^2}{4} \right) \right].$$

- The free field solutions are

$$U_{\mathbf{k}}(\tau) = \frac{H}{\sqrt{2k^3}} (1 - ik\tau) e^{-ik\tau}, \quad V_{\mathbf{k}}(\tau) \approx \frac{H\tau \exp \left[-i \int^\tau d\tau' \sqrt{k^2 + \frac{M^2}{H^2\tau'^2}} \right]}{\sqrt{2} \left(k^2 + \frac{M^2}{H^2\tau^2} \right)^{1/4}}$$

Corrections Spectrum Corrections

of interaction, and has only two are found to be significant:



ation near the

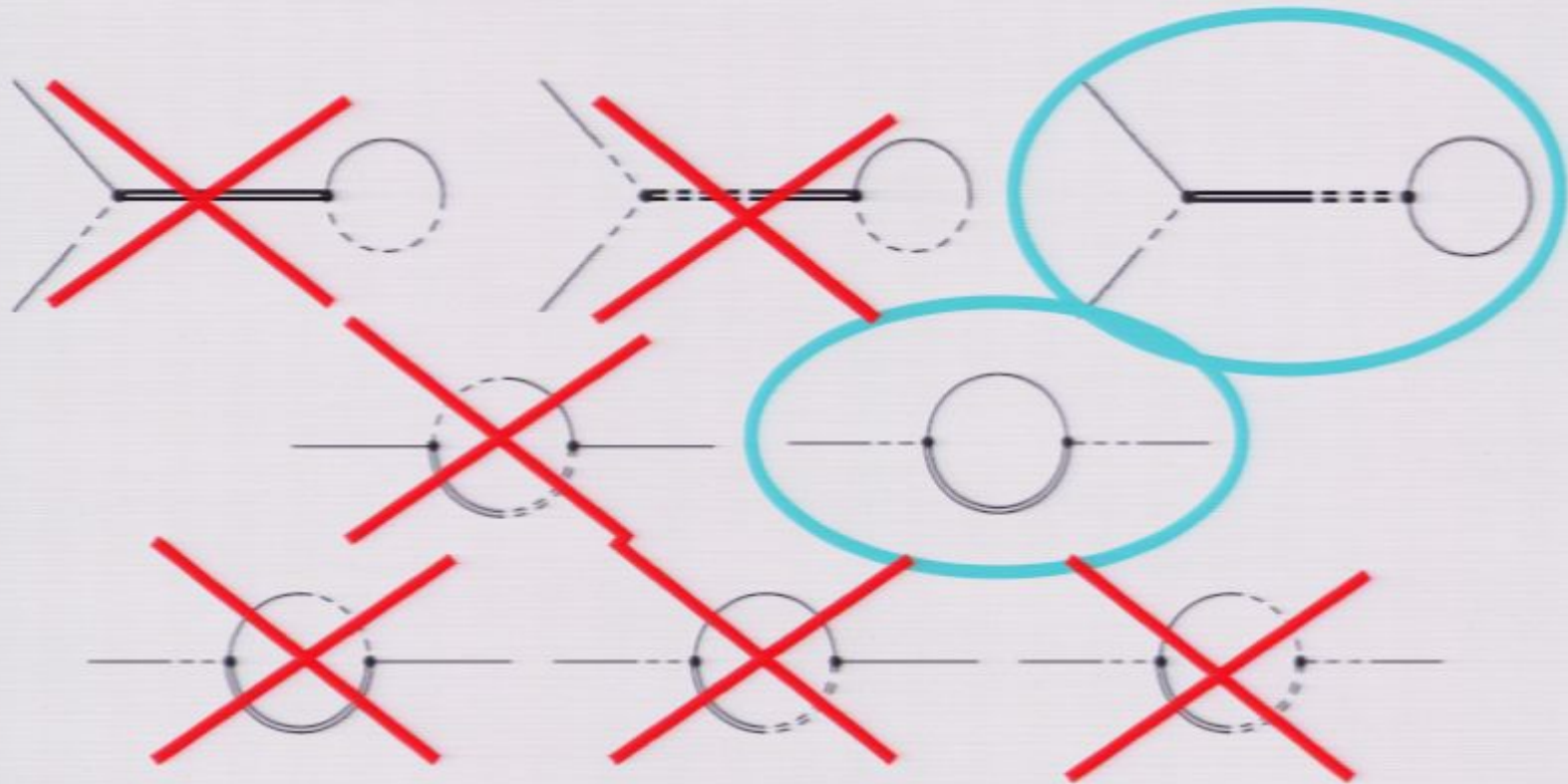
$$= \frac{k_1 \cdot k_2}{k_1 k_2}$$

en simply

$$\left[2 + \sqrt{2k_1 k_2 (1 - \cos \theta)} \right]^{-i \frac{M}{H}}$$

Power Spectrum Corrections

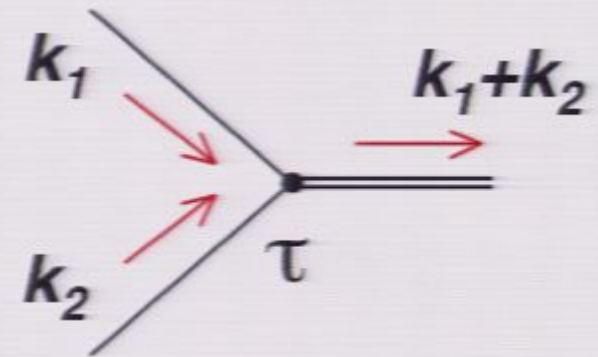
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- Consider this diagram, with loop momentum q :



$$\int \frac{d^3q}{(2\pi)^3} \rightarrow \frac{1}{(2\pi)^2} \int q^2 dq d(1 - \cos \theta).$$

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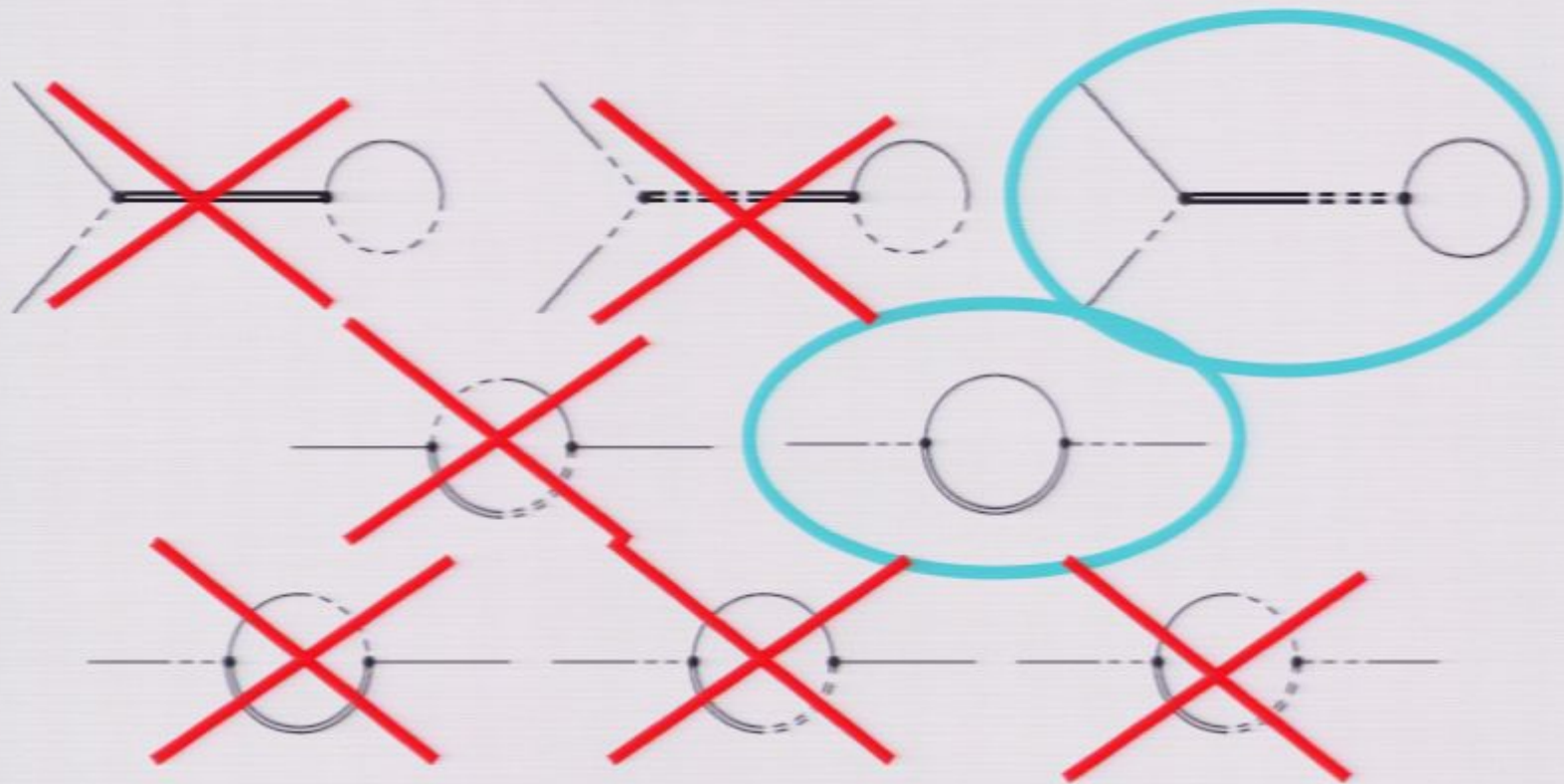
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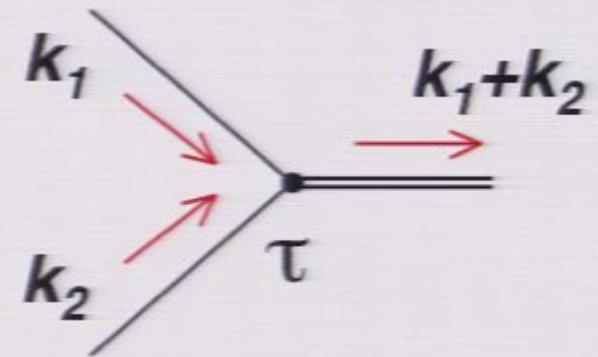
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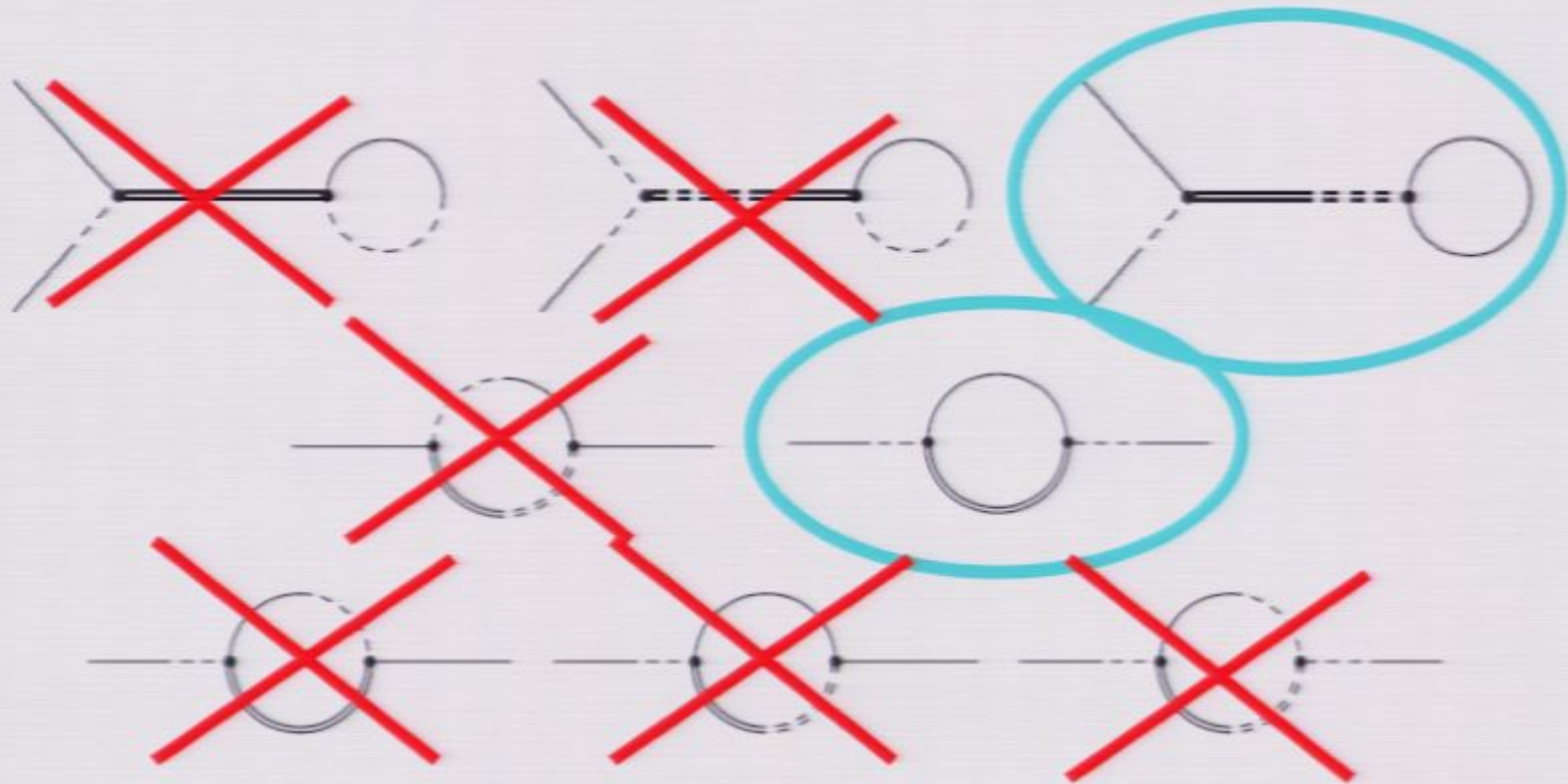
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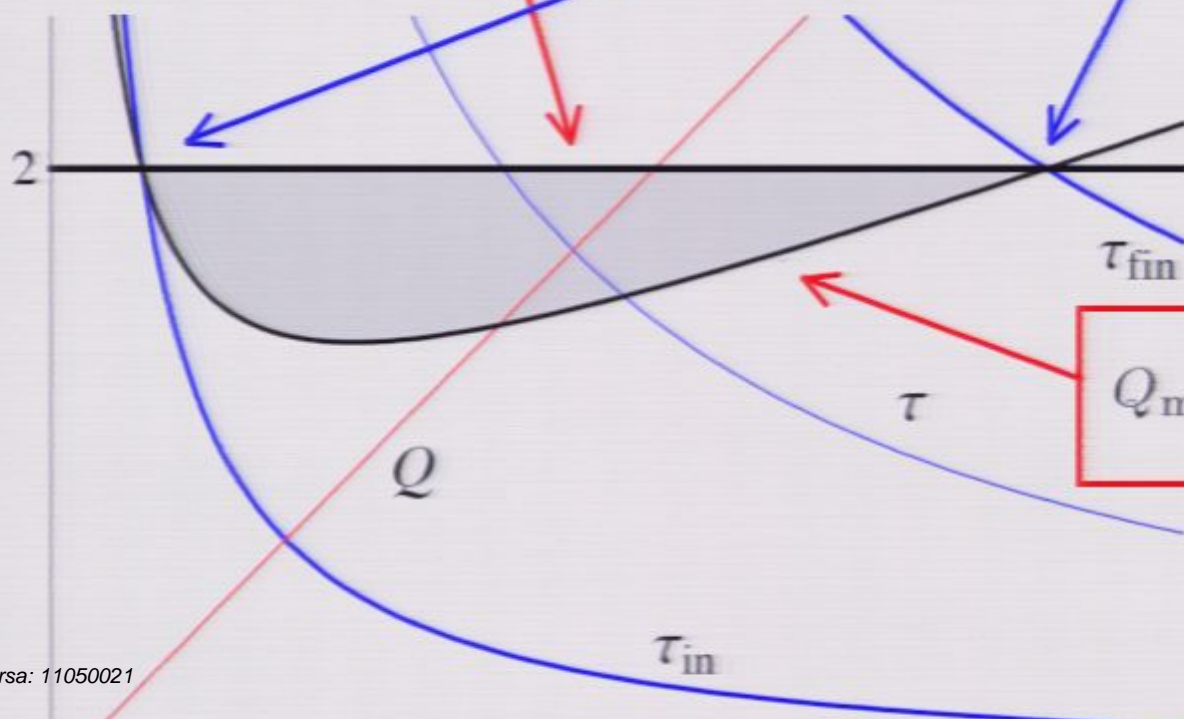
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Phase space of energy cutoff

$$Q_{\min} = \frac{M^2}{4H(\tau)^2 k|\tau|}$$

$$\tau_{\pm} \equiv -\frac{\Lambda \pm \sqrt{\Lambda^2 - M^2}}{2kH_*}$$

$1 - \cos \theta$



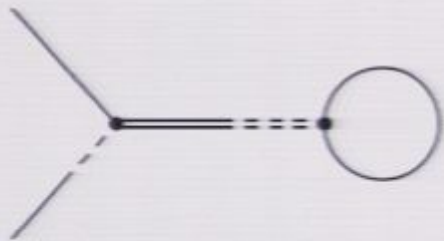
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Power Spectrum Corrections



Produces a nearly scale-invariant shift in the power spectrum.

$$\frac{P_\zeta(k)}{P_{\zeta 0}(k_*)} = \frac{g_1^2 \Lambda^3}{24\pi M^4 H_*} \left[1 - \frac{8\epsilon_1}{3} - (2\epsilon_1 + \epsilon_2)C - 4\epsilon_1 \ln\left(\frac{4\Lambda H_*}{M^2}\right) + (6\epsilon_1 + \epsilon_2) \ln\left(\frac{k}{k_*}\right) \right]$$



The slight deviation from scale-invariance produces an oscillating pattern in the power spectrum.

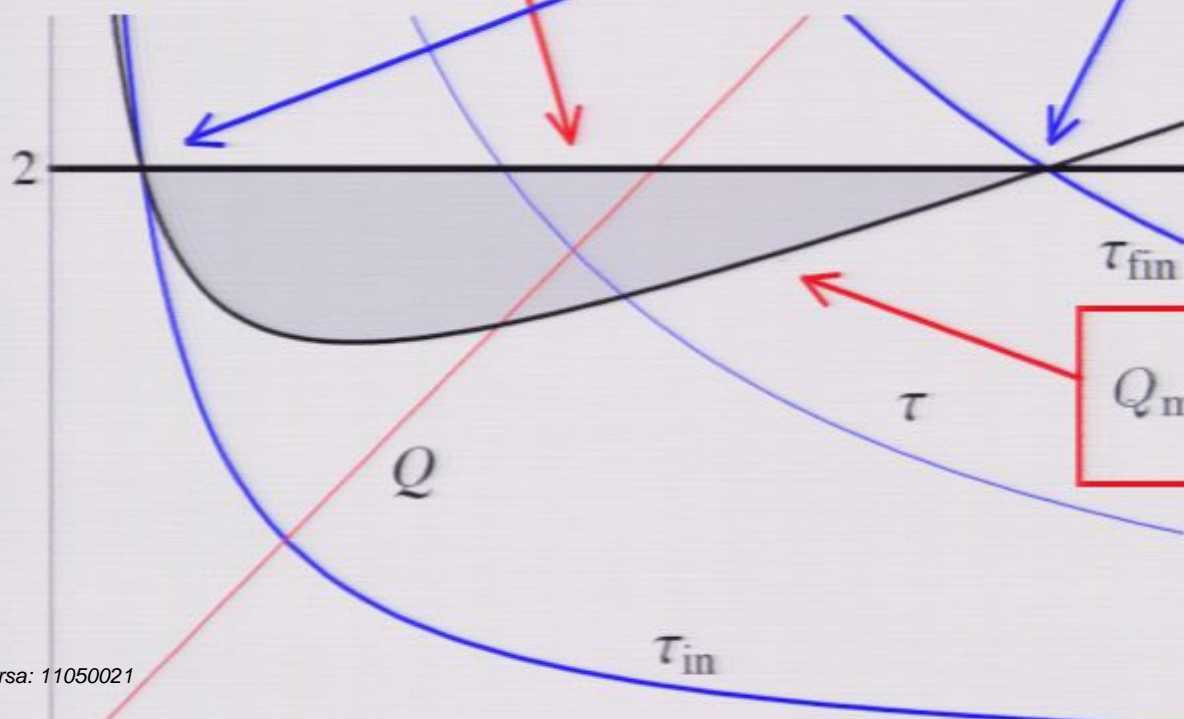
$$\frac{P_\zeta(k)}{P_{\zeta 0}(k_*)} = \frac{g_1^2 \sqrt{\pi} \Lambda}{8(2\pi)^2 M^2 \sqrt{H_*}} \left[1 - \frac{\epsilon_1}{2} - (2\epsilon_1 + \epsilon_2)C - \left[\epsilon_1 \left(\frac{3}{2} + \ln \frac{\Lambda}{H_*} \right) + \epsilon_2 \right] \ln\left(\frac{k}{k_*}\right) \right] \\ \times \sin\left(\epsilon_1 \frac{M}{H_*} \left(2 - \ln \frac{\Lambda}{M} \right) \ln \frac{k}{k_*} \right)$$

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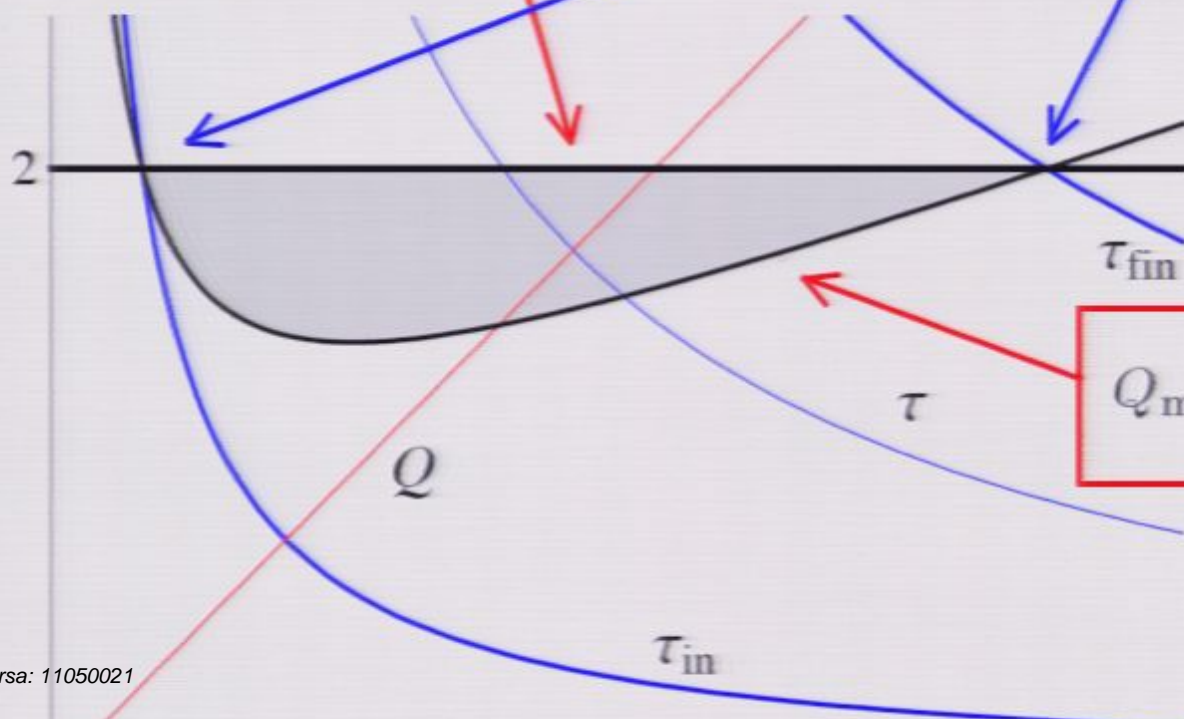
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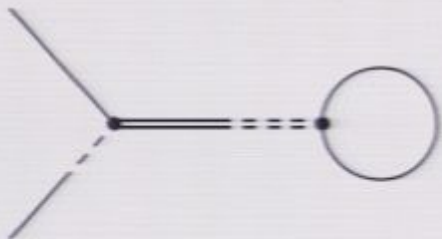
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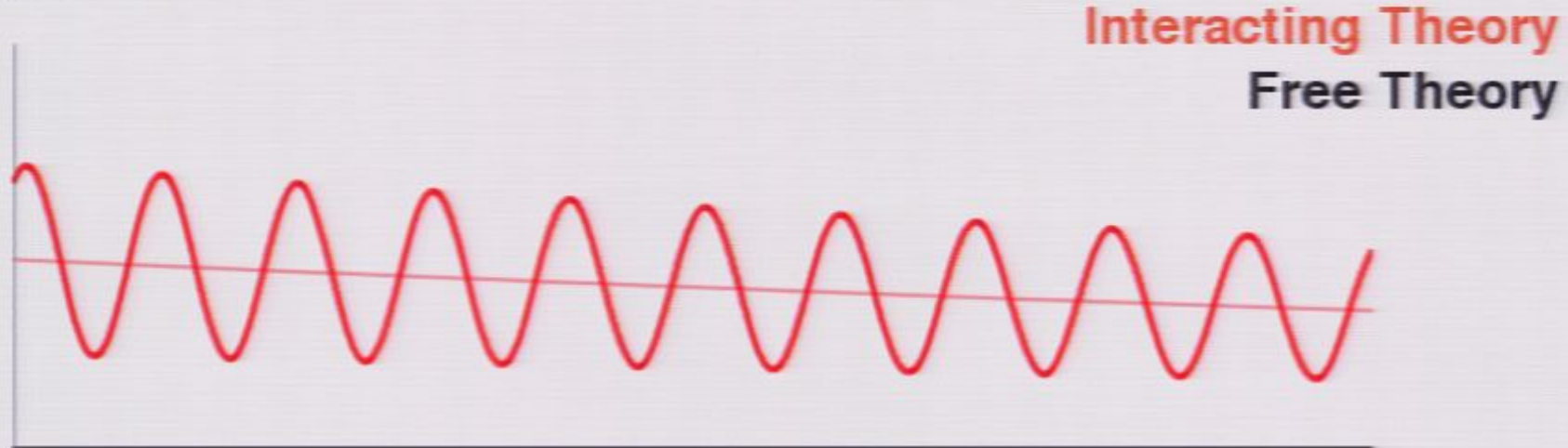


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Power Spectrum Corrections

$P_\varphi(k)$



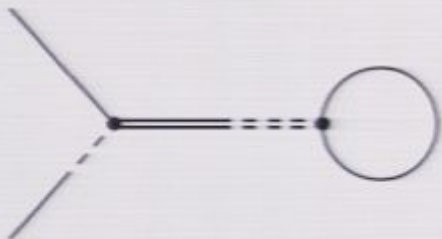
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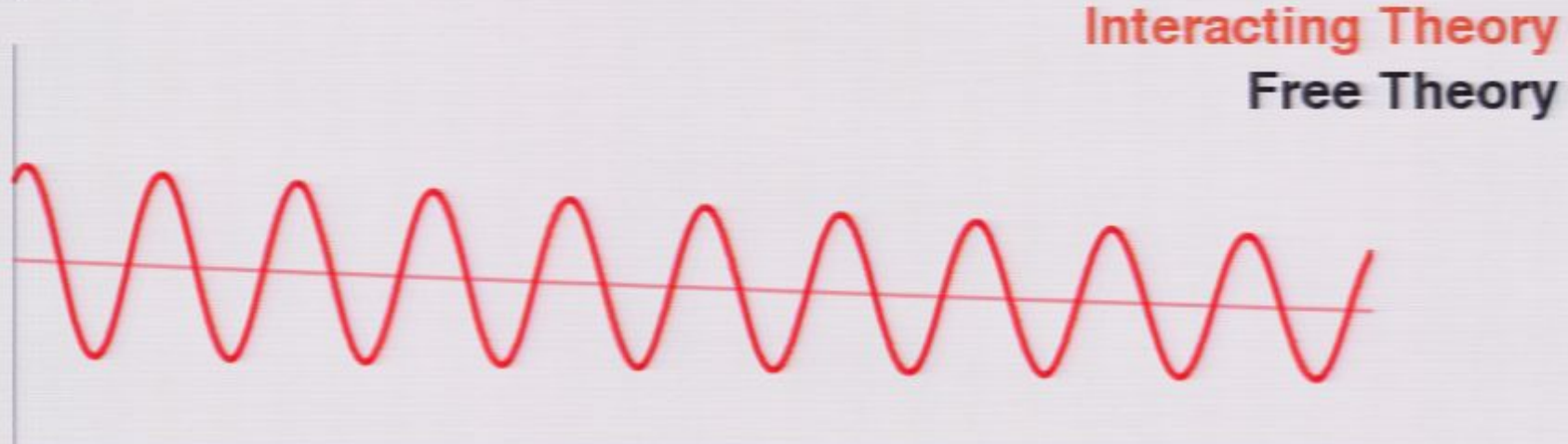


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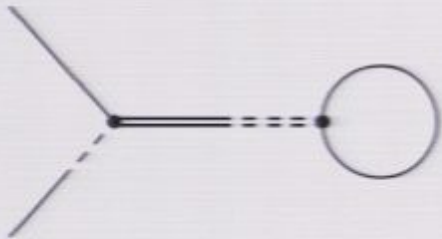
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How do you impose an energy cutoff with no energy conservation?

- Consider this diagram, with loop momentum q :



$$\int \frac{d^3q}{(2\pi)^3} \rightarrow \frac{1}{(2\pi)^2} \int q^2 dq d(1 - \cos \theta).$$

- k and q will determine the time of interaction τ via the stationary phase approximation. Let us also define a coordinate $Q \sim E/H$ orthogonal to τ ,

$$\tau^{-1} \equiv -\frac{H}{M} \sqrt{2kq(1 - \cos \theta)}, \quad Q \equiv q|\tau| = \frac{M}{H} \sqrt{\frac{q}{2k(1 - \cos \theta)}}.$$

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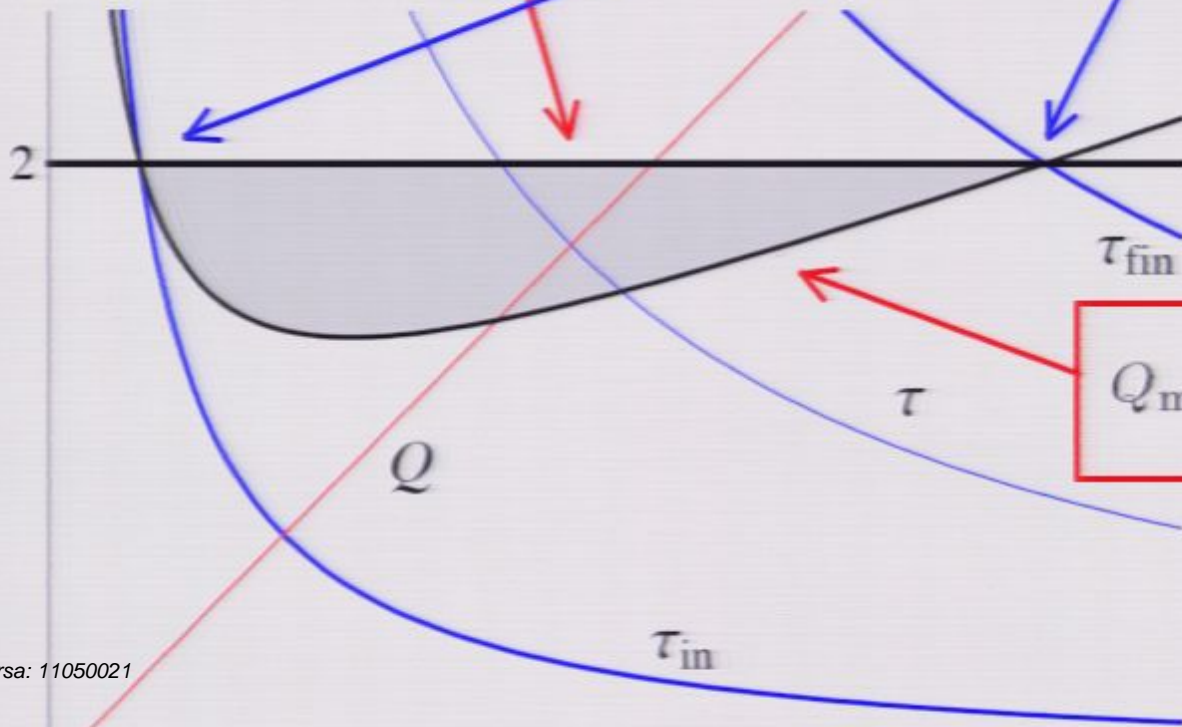
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Phase space of energy cutoff

$$Q_{\min} = \frac{M^2}{4H(\tau)^2 k|\tau|}$$

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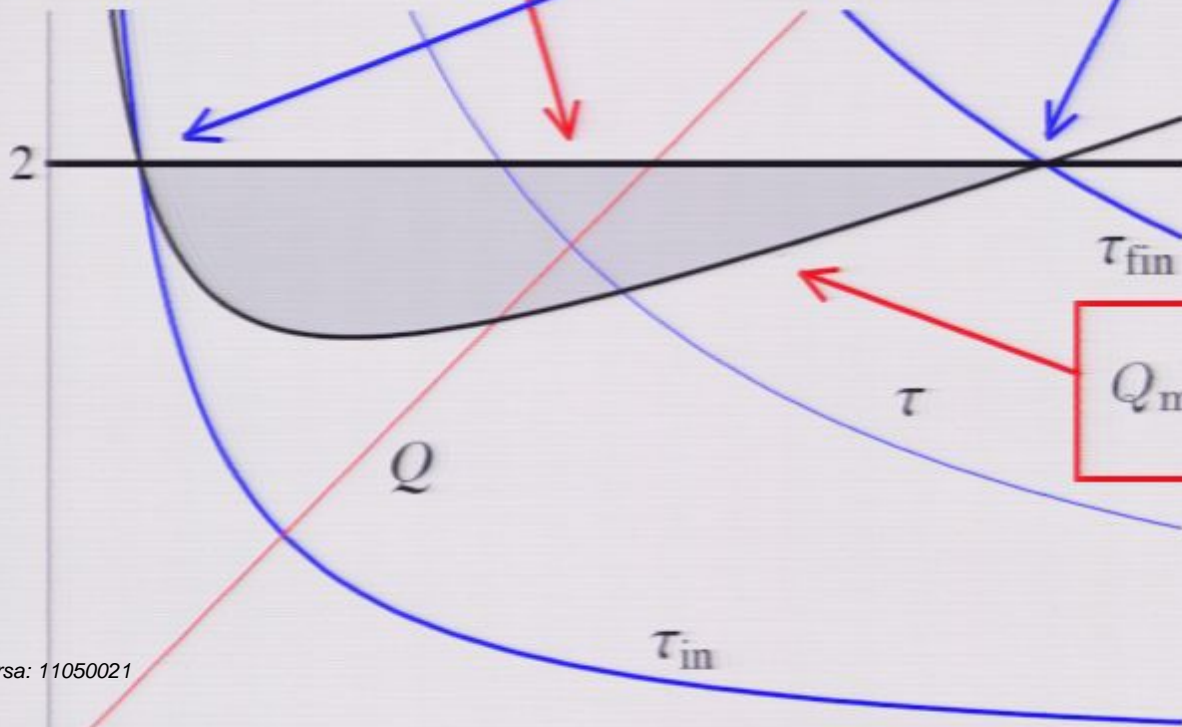
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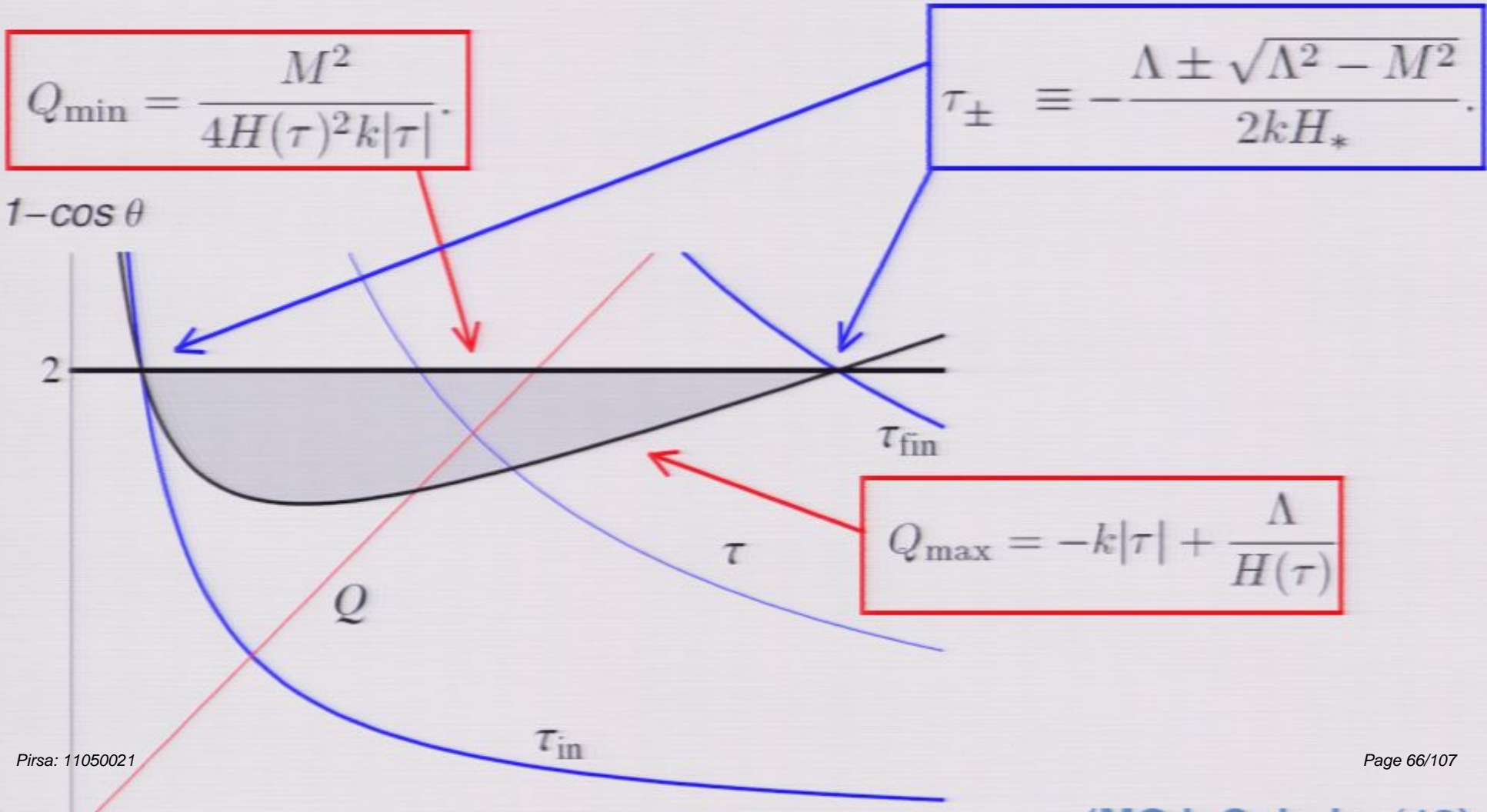
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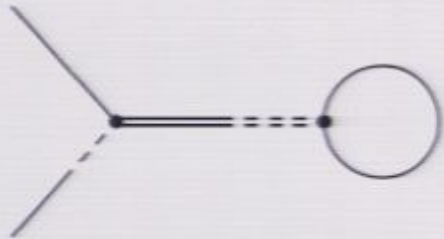


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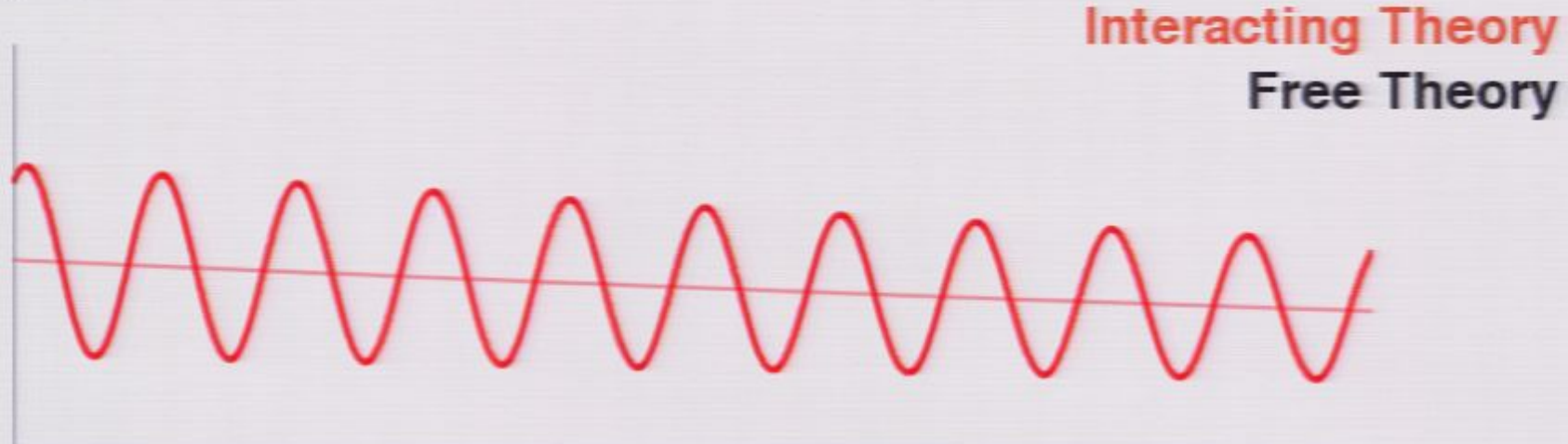


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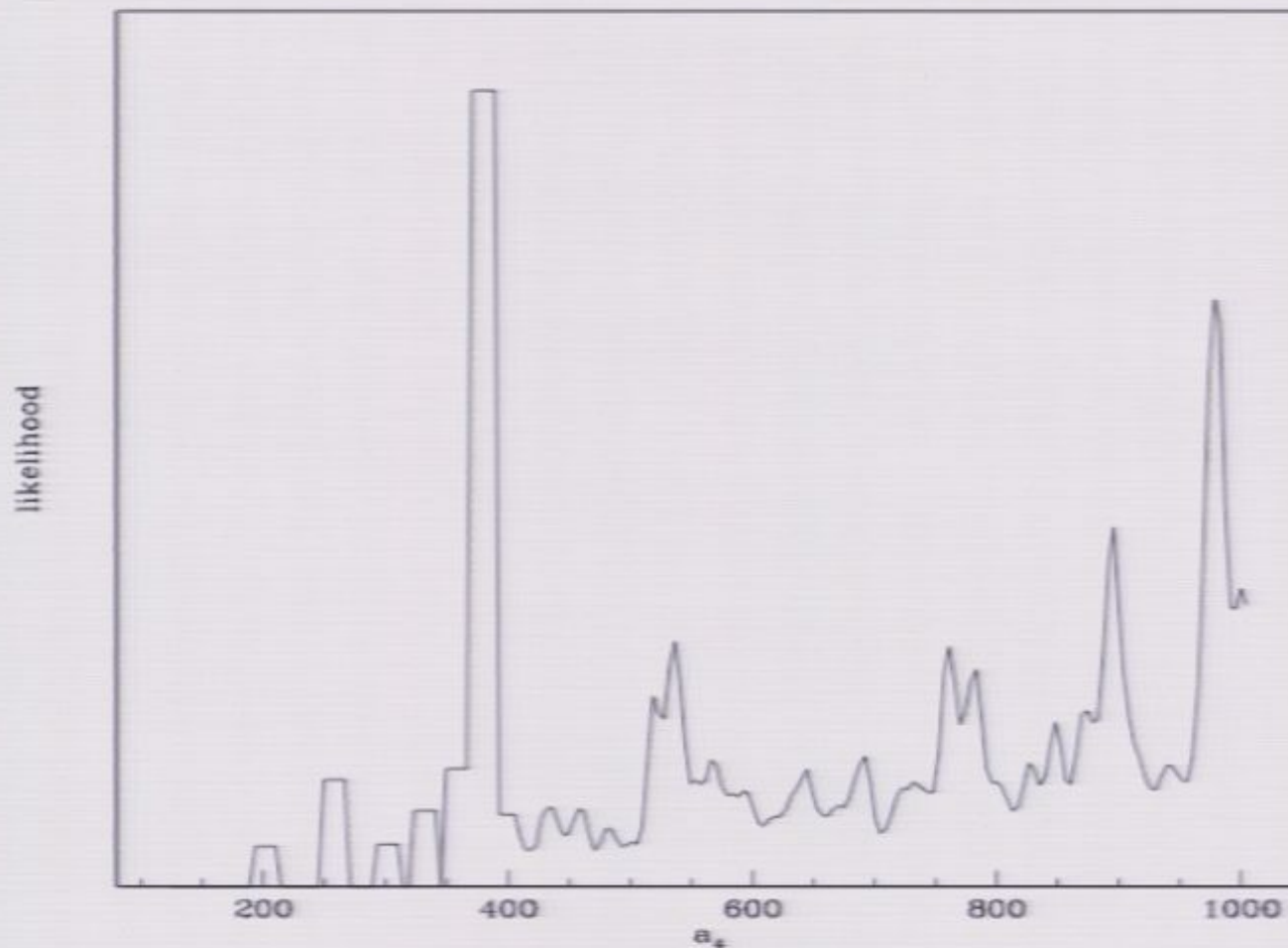
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$P_\varphi(k)$

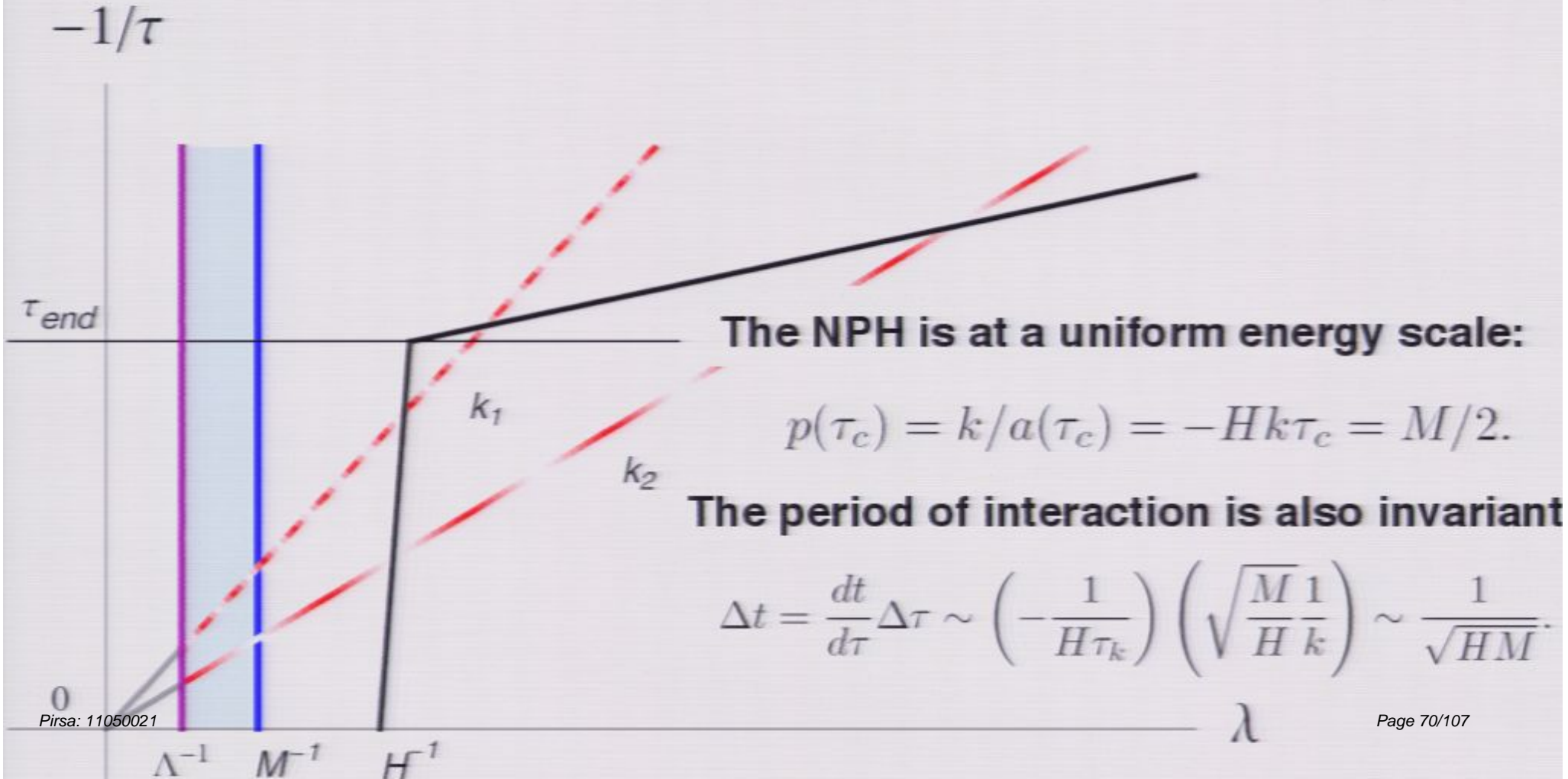


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(Very) Preliminary Oscillation Searches in *WMAP7*



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Low-Energy Effective Interactions

- Performing the path integral over χ gives the following action:

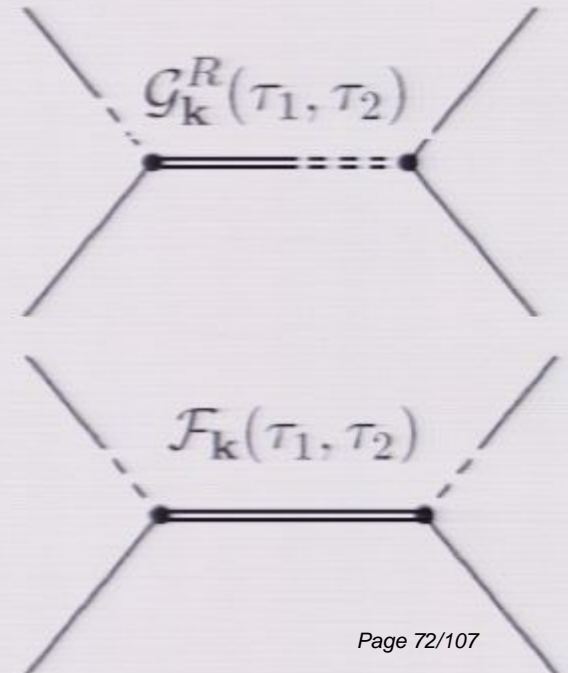
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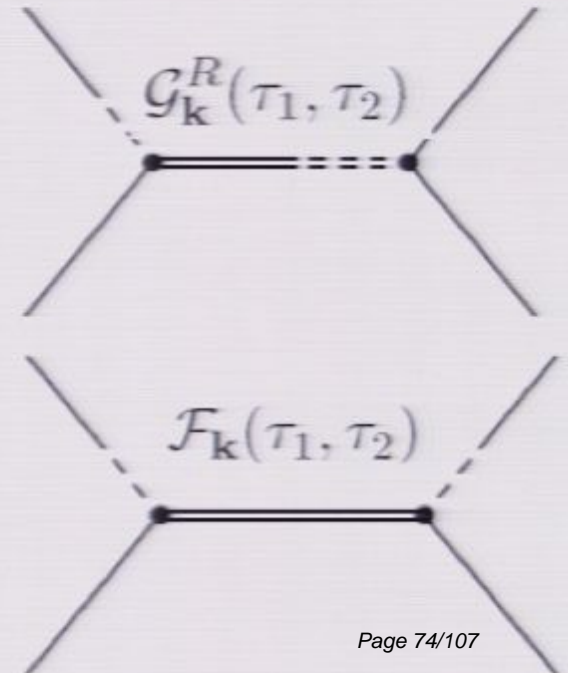
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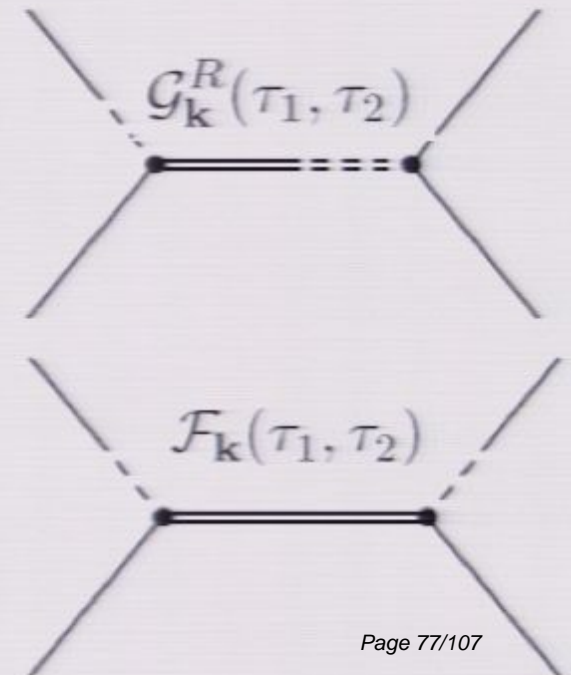
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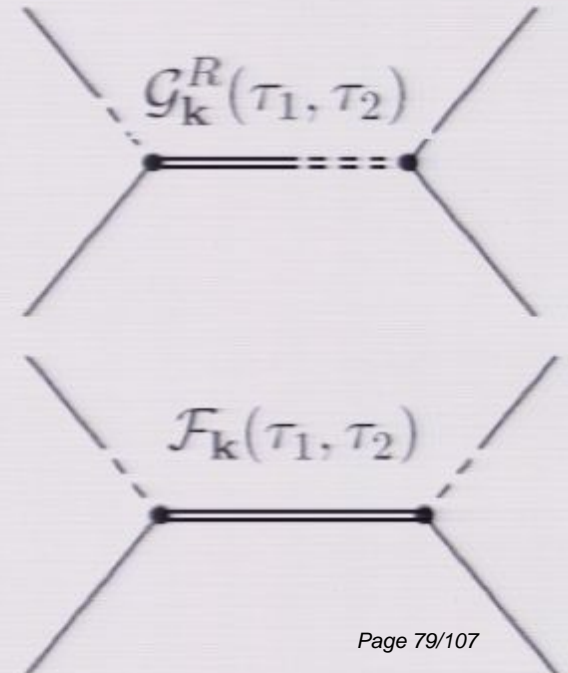
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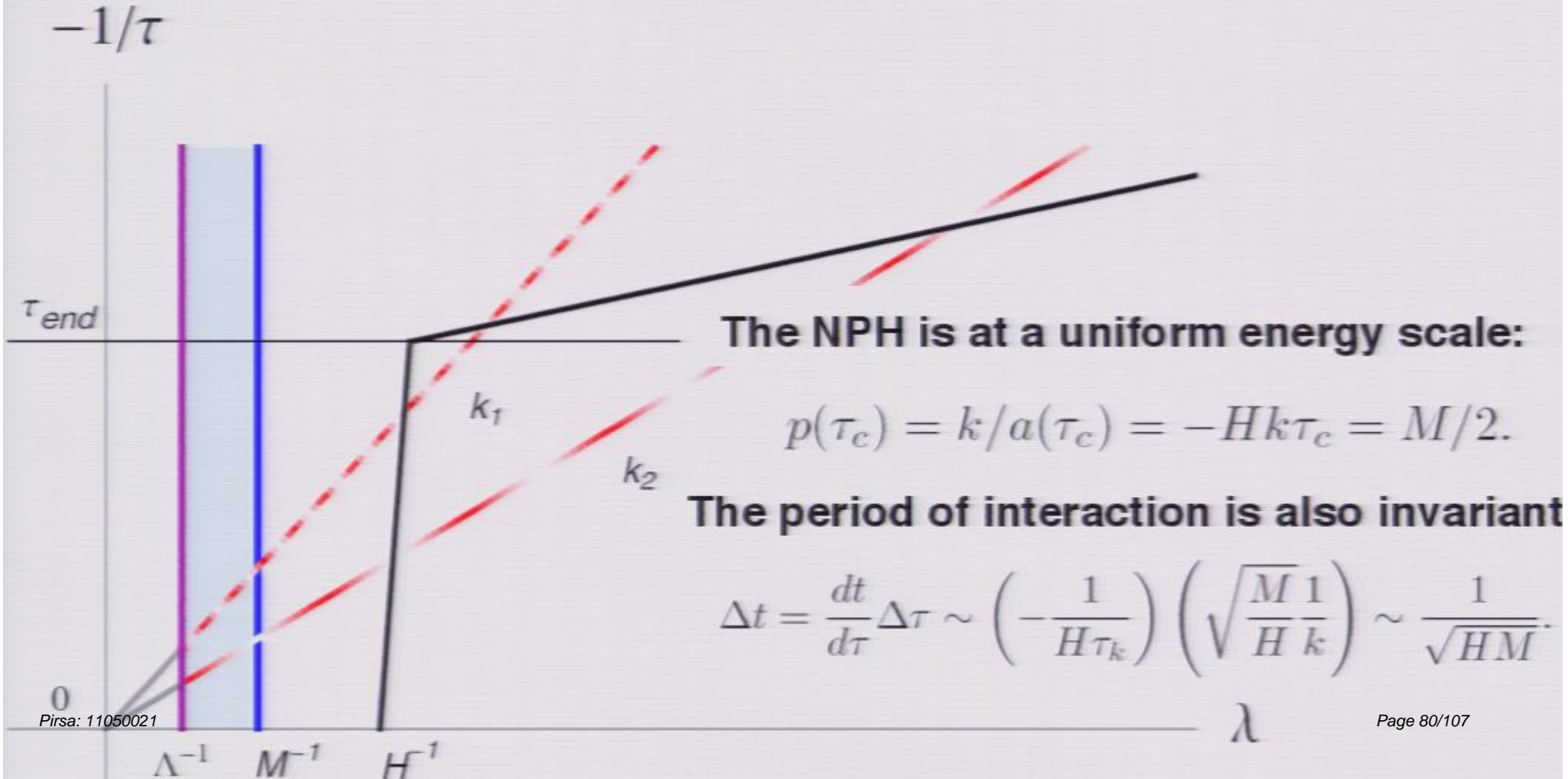
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Scale-Variance from a Scale-Invariant Theory



m Corrections

nearly scale-invariant shift in the power spectrum.

$$-4\epsilon_1 \ln\left(\frac{4\Lambda H_*}{M^2}\right) + (6\epsilon_1 + \epsilon_2) \ln\left(\frac{k}{k_*}\right)$$

from scale-invariance produces a peak pattern in the power spectrum.

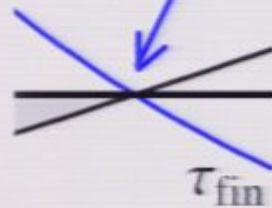
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$$- \ln\left(\frac{\Lambda}{M}\right) \ln\left(\frac{k}{k_*}\right)$$

Pirsa: 11050021

energy cutoff

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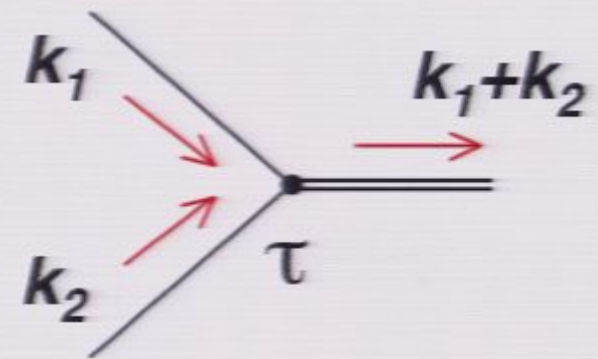


$$Q_{\max} = -k|\tau| + \frac{\Lambda}{H(\tau)}$$

Power Spectrum Corrections

- Each vertex is an integral over the time of interaction, and has the following form:

$$\begin{aligned}
 A_1(\mathbf{k}_1, \mathbf{k}_2) &\equiv \int_{\tau_0}^0 d\tau a^4(\tau) U_{\mathbf{k}_1}(\tau) U_{\mathbf{k}_2}(\tau) V_{-(\mathbf{k}_1+\mathbf{k}_2)}^*(\tau) \\
 &\approx -\frac{1}{2\sqrt{2k_1^3 k_2^3} H} \int_{\tau_0}^0 \frac{d\tau}{\tau^3} \frac{(1 - ik_1\tau)(1 - ik_2\tau)}{(|\mathbf{k}_1 + \mathbf{k}_2|^2 + \frac{M^2}{H^2\tau^2})^{1/4}} \\
 &\times \exp \left[-i(k_1 + k_2)\tau + i \int^{\tau} d\tau' \sqrt{|\mathbf{k}_1 + \mathbf{k}_2|^2 + \frac{M^2}{H^2\tau'^2}} \right].
 \end{aligned}$$



- This admits a stationary phase approximation near the moment of energy-conservation,

$$\tau_*^{-1} = -\frac{H}{M} \sqrt{2k_1 k_2 (1 - \cos \theta)}, \quad \cos \theta = \frac{\mathbf{k}_1 \cdot \mathbf{k}_2}{k_1 k_2}.$$

- The vertex (to leading order in H/M) is then simply

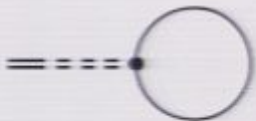
$$A_1(\mathbf{k}_1, \mathbf{k}_2) \approx -\frac{\sqrt{\pi i}}{2\sqrt{2k_1^3 k_2^3} H} \frac{1}{\tau_*^3} \sqrt{\frac{H}{M}} \left[\frac{2M}{H} \left(k_1 + k_2 + \sqrt{2k_1 k_2 (1 - \cos \theta)} \right) \right]^{-i}$$

f Power Spectrum Corrections



Produces a nearly scale-invariant shift in the power spectrum.

$$= \frac{g_1^2 \Lambda^3}{24\pi M^4 H_*} \left[1 - \frac{8\epsilon_1}{3} - (2\epsilon_1 + \epsilon_2)C - 4\epsilon_1 \ln \left(\frac{4\Lambda H_*}{M^2} \right) + (6\epsilon_1 + \epsilon_2) \ln \left(\frac{k}{k_*} \right) \right]$$



The slight deviation from scale-invariance produces an oscillating pattern in the power spectrum.

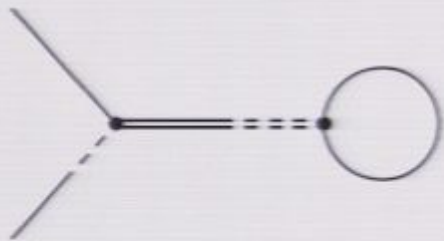
$$\frac{g_1^2 \sqrt{\pi\Lambda}}{8(2\pi)^2 M^2 \sqrt{H_*}} \left[1 - \frac{\epsilon_1}{2} - (2\epsilon_1 + \epsilon_2)C - \left[\epsilon_1 \left(\frac{3}{2} + \ln \frac{\Lambda}{H_*} \right) + \epsilon_2 \right] \ln \left(\frac{k}{k_*} \right) \right] \times \sin \left(\epsilon_1 \frac{M}{H_*} \left(2 - \ln \frac{\Lambda}{M} \right) \ln \frac{k}{k_*} \right)$$

Power Spectrum Corrections



Produces a nearly scale-invariant shift in the power spectrum.

$$\frac{P_\zeta(k)}{P_{\zeta 0}(k_*)} = \frac{g_1^2 \Lambda^3}{24\pi M^4 H_*} \left[1 - \frac{8\epsilon_1}{3} - (2\epsilon_1 + \epsilon_2)C - 4\epsilon_1 \ln\left(\frac{4\Lambda H_*}{M^2}\right) + (6\epsilon_1 + \epsilon_2) \ln\left(\frac{k}{k_*}\right) \right]$$




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How do you impose an energy cutoff with no energy conservation?

- Consider this diagram, with loop momentum q :



The diagram shows a circular loop with a red arrow indicating counter-clockwise momentum q . Two external lines enter from the left and exit to the right, both labeled with momentum k . The vertices are labeled with τ .

$$\int \frac{d^3q}{(2\pi)^3} \rightarrow \frac{1}{(2\pi)^2} \int q^2 dq d(1 - \cos \theta).$$

- k and q will determine the time of interaction τ via the stationary phase approximation. Let us also define a coordinate $Q \sim E/H$ orthogonal to τ ,

$$\tau^{-1} \equiv -\frac{H}{M} \sqrt{2kq(1 - \cos \theta)}, \quad Q \equiv q|\tau| = \frac{M}{H} \sqrt{\frac{q}{2k(1 - \cos \theta)}}.$$

- Inverting this allows us to transform the q -integral into (τ, Q) :

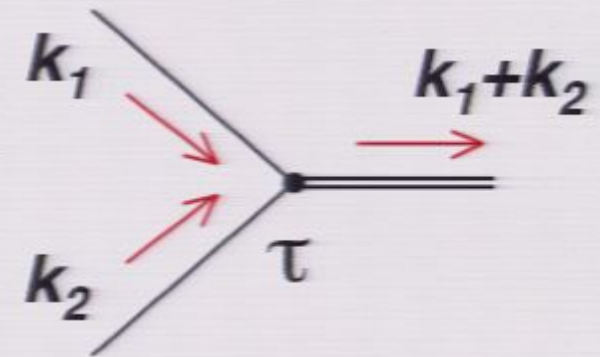
$$q = -\frac{Q}{\tau}, \quad 1 - \cos \theta = -\frac{M^2}{2H^2 Q \tau k}.$$

$$d(1 - \cos \theta) dq \rightarrow \frac{M^2(1 + \epsilon_1)}{H^2 Q \tau^3 k} dQ d\tau.$$

Power Spectrum Corrections

- Each vertex is an integral over the time of interaction, and has the following form:

$$\begin{aligned}
 \mathcal{A}_1(\mathbf{k}_1, \mathbf{k}_2) &\equiv \int_{\tau_0}^0 d\tau a^4(\tau) U_{\mathbf{k}_1}(\tau) U_{\mathbf{k}_2}(\tau) V_{-(\mathbf{k}_1+\mathbf{k}_2)}^*(\tau) \\
 &\approx -\frac{1}{2\sqrt{2k_1^3 k_2^3} H} \int_{\tau_0}^0 \frac{d\tau}{\tau^3} \frac{(1 - ik_1\tau)(1 - ik_2\tau)}{(|\mathbf{k}_1 + \mathbf{k}_2|^2 + \frac{M^2}{H^2\tau^2})^{1/4}} \\
 &\times \exp \left[-i(k_1 + k_2)\tau + i \int_{\tau_0}^{\tau} d\tau' \sqrt{|\mathbf{k}_1 + \mathbf{k}_2|^2 + \frac{M^2}{H^2\tau'^2}} \right].
 \end{aligned}$$



- This admits a stationary phase approximation near the moment of energy-conservation,

$$\tau_*^{-1} = -\frac{H}{M} \sqrt{2k_1 k_2 (1 - \cos \theta)}, \quad \cos \theta = \frac{\mathbf{k}_1 \cdot \mathbf{k}_2}{k_1 k_2}.$$

- The vertex (to leading order in H/M) is then simply

$$\mathcal{A}_1(\mathbf{k}_1, \mathbf{k}_2) \approx -\frac{\sqrt{\pi i}}{2\sqrt{2k_1^3 k_2^3} H} \frac{1}{\tau_*^3} \sqrt{\frac{H}{M}} \left[\frac{2M}{H} \left(k_1 + k_2 + \sqrt{2k_1 k_2 (1 - \cos \theta)} \right) \right]^{-i}$$

Feynman Rules in Keldysh Basis

- The correlations can now be evaluated using these:

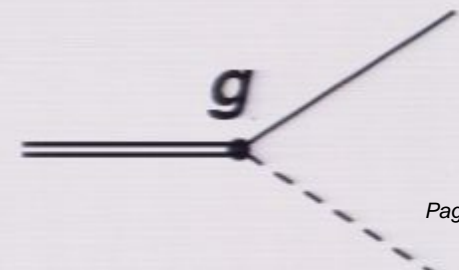
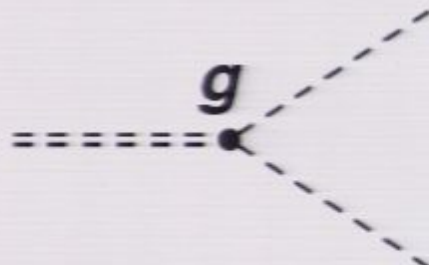
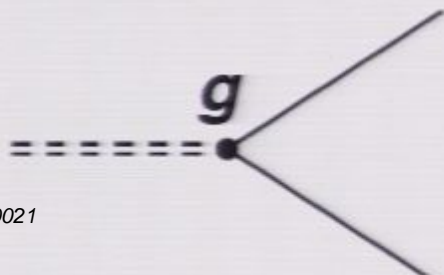
$$\begin{aligned}
 \overline{\text{-----}} \\
 G_{\mathbf{k}}^R(\tau_1, \tau_2) &\equiv i \langle \bar{\varphi}_{\mathbf{k}}(\tau_1) \Phi_{-\mathbf{k}}(\tau_2) \rangle \\
 &= -2\theta(\tau_1 - \tau_2) \text{Im} [U_{\mathbf{k}}(\tau_1) U_{\mathbf{k}}^*(\tau_2)],
 \end{aligned}$$

$$\begin{aligned}
 \overline{\text{-----}} \\
 F_{\mathbf{k}}(\tau_1, \tau_2) &\equiv \langle \bar{\varphi}_{\mathbf{k}}(\tau_1) \bar{\varphi}_{-\mathbf{k}}(\tau_2) \rangle \\
 &= \text{Re} [U_{\mathbf{k}}(\tau_1) U_{\mathbf{k}}^*(\tau_2)],
 \end{aligned}$$

$$\begin{aligned}
 \overline{\text{=====}} \\
 \mathcal{G}_{\mathbf{k}}^R(\tau_1, \tau_2) &\equiv i \langle \bar{\chi}_{\mathbf{k}}^{(0)}(\tau_1) X_{-\mathbf{k}}^{(0)}(\tau_2) \rangle \\
 &= -2\theta(\tau_1 - \tau_2) \text{Im} [V_{\mathbf{k}}(\tau_1) V_{\mathbf{k}}^*(\tau_2)],
 \end{aligned}$$

$$\begin{aligned}
 \overline{\text{=====}} \\
 \mathcal{F}_{\mathbf{k}}(\tau_1, \tau_2) &= \langle \bar{\chi}_{\mathbf{k}}^{(0)}(\tau_1) \bar{\chi}_{-\mathbf{k}}^{(0)}(\tau_2) \rangle \\
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 \end{aligned}$$

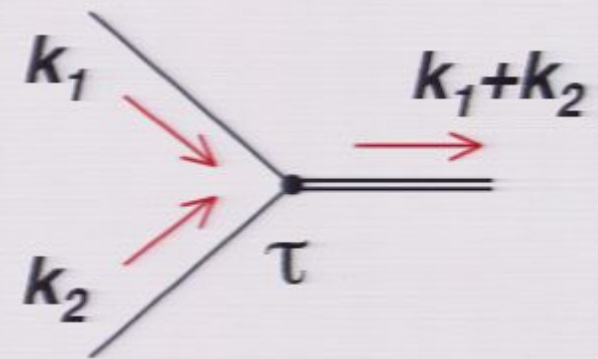
- The interactions are given by:



Power Spectrum Corrections

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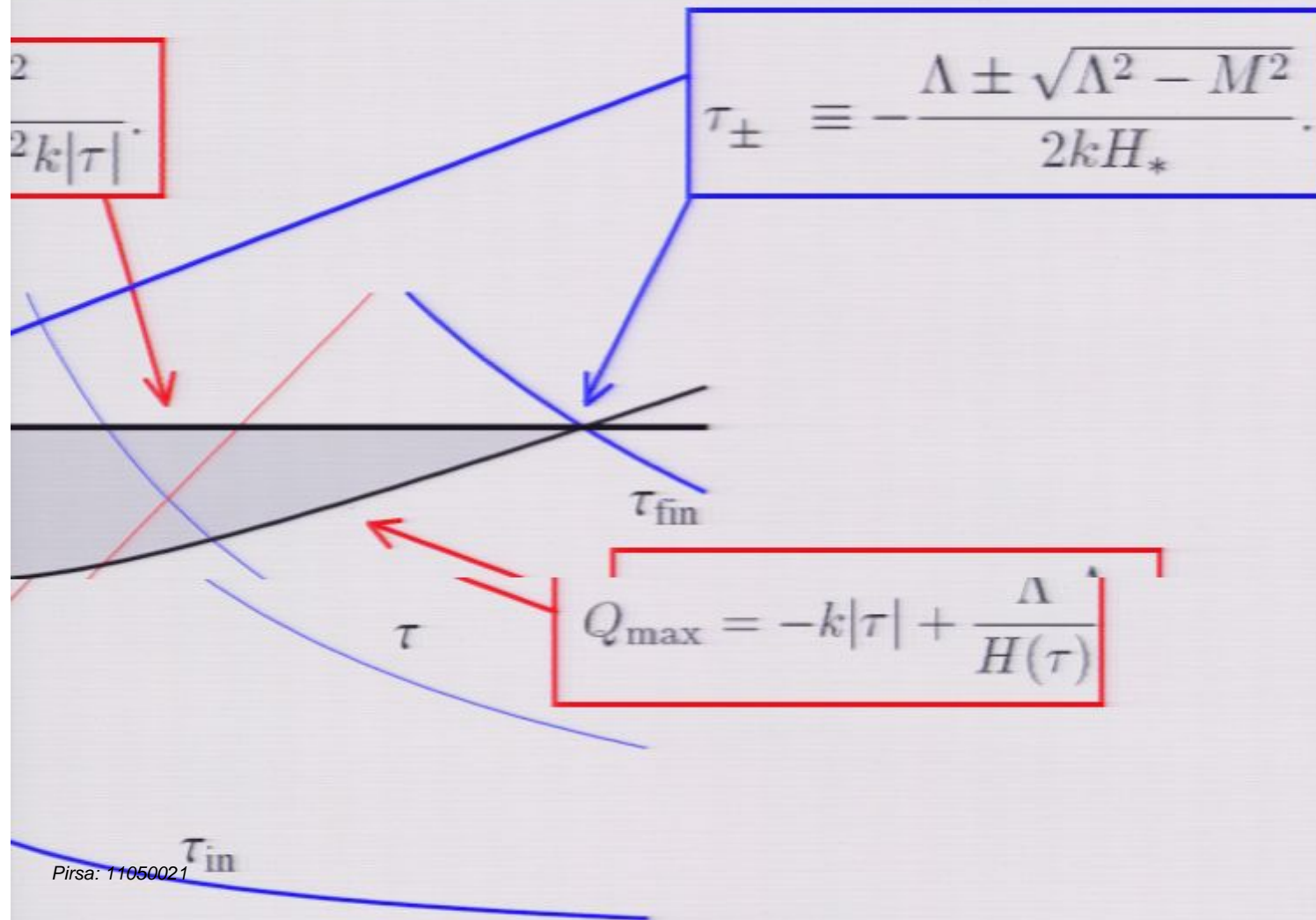
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$$A_1(\mathbf{k}_1, \mathbf{k}_2) \approx -\frac{\sqrt{\pi i}}{2\sqrt{2k_1^3 k_2^3} H} \frac{1}{\tau_*^3} \sqrt{\frac{H}{M}} \left[\frac{2M}{H} \left(k_1 + k_2 + \sqrt{2k_1 k_2 (1 - \cos \theta)} \right) \right]^{-i}$$

Phase space of energy cutoff conditions



significant shift in the lower spectrum.

$$(\epsilon_1 + \epsilon_2) \ln \left(\frac{k}{k_*} \right)$$

since produces lower spectrum.

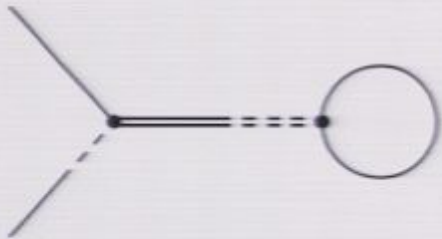
$$\left(\frac{\Lambda}{H_*} \right) + \epsilon_2 \left] \ln \left(\frac{k}{k_*} \right) \right]$$

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$$\frac{P_\zeta(k)}{P_{\zeta 0}(k_*)} = \frac{g_1^2 \Lambda^3}{24\pi M^4 H_*} \left[1 - \frac{8\epsilon_1}{3} - (2\epsilon_1 + \epsilon_2)C - 4\epsilon_1 \ln\left(\frac{4\Lambda H_*}{M^2}\right) + (6\epsilon_1 + \epsilon_2) \ln\left(\frac{k}{k_*}\right) \right]$$



The slight deviation from scale-invariance produces an oscillating pattern in the power spectrum.

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What about $(H/M)^n$?

- The analysis of H/M vs $(H/M)^2$ assumed an expansion in local operators, which works fine in a static background:

$$G(x-y) = - \int \frac{d^4 p}{(2\pi)^4} \frac{e^{-ip \cdot (x-y)}}{p^2 - M^2} \approx \frac{1}{M^2} \left[1 + \mathcal{O} \left(\frac{\partial^2}{M^2} \right) \right] \delta^4(x-y).$$

- In an expanding background, the Green's function produces non-local operators, which have very different scaling with energy...

Low-Energy Effective Interactions

- This allows use of the stationary phase approximation,

$$\mathcal{B}(\tau_k; \omega_1, \omega_2, \mathbf{q}) = \int_{\tau_{\text{in}}}^{\tau_k} d\tau a(\tau)^2 e^{-i(\omega_1 + \omega_2)\tau} V_{\mathbf{q}}^*(\tau)$$

$$\approx \frac{\sqrt{i\pi}}{H\tau} \frac{(1 + \epsilon_1)e^{-i\theta}}{(q^2 + \frac{M^2}{H^2\tau^2})^{1/4}} \left(\frac{d^2\theta}{du^2} \right)^{-1/2} \Bigg|_{\tau=\tau_c}$$

- The effective action, to leading order in H/M , is then

$$\mathcal{S}_{\text{int},4}[\bar{\varphi}, \Phi] = - \int \prod_i \frac{d\omega_i d^3\mathbf{q}_i}{(2\pi)^4} (2\pi)^3 \delta^3(\sum_i \mathbf{q}_i)$$

$$\times \frac{g_1^2}{2!} \left(2\bar{\varphi}_1 \bar{\Phi}_2 \theta(\tau_{1c} - \tau_{2c}) \right.$$

$$\text{Im} [\mathcal{B}^*(0; \omega_1, \omega_2, \mathbf{q}_1 + \mathbf{q}_2) \mathcal{B}(0; \omega_3, \omega_4, \mathbf{q}_3 + \mathbf{q}_4)] \bar{\varphi}_3 \bar{\varphi}_4$$

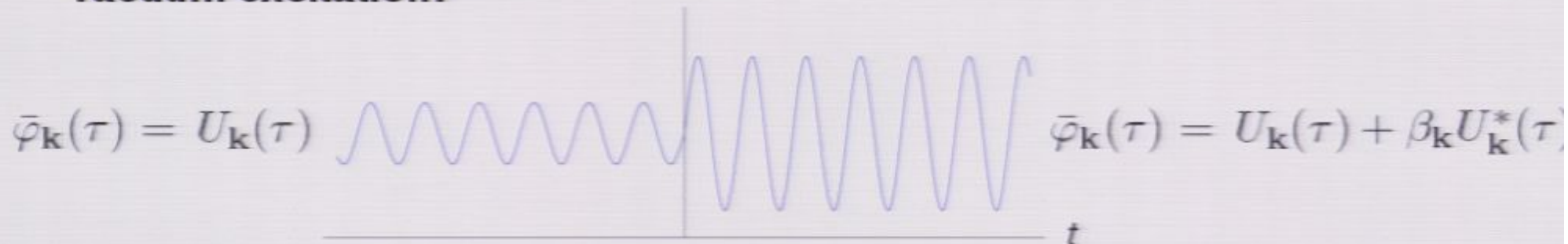
$$\left. + i\bar{\varphi}_1 \bar{\Phi}_2 \text{Re} [\mathcal{B}^*(0; \omega_1, \omega_2, \mathbf{q}_1 + \mathbf{q}_2) \mathcal{B}(0; \omega_3, \omega_4, \mathbf{q}_3 + \mathbf{q}_4)] \bar{\varphi}_3 \bar{\Phi}_4 \right).$$

Low-Energy Effective Interactions

- The high-energy terms produce a time-localized potential for the inflaton fluctuations:

$$\frac{d}{d\tau} [a^2 \bar{\varphi}'_{\mathbf{k}}(\tau)] = \delta(\tau - \tau_c) a^4 m_{\text{eff}}^2 \bar{\varphi}_{\mathbf{k}}(\tau)$$

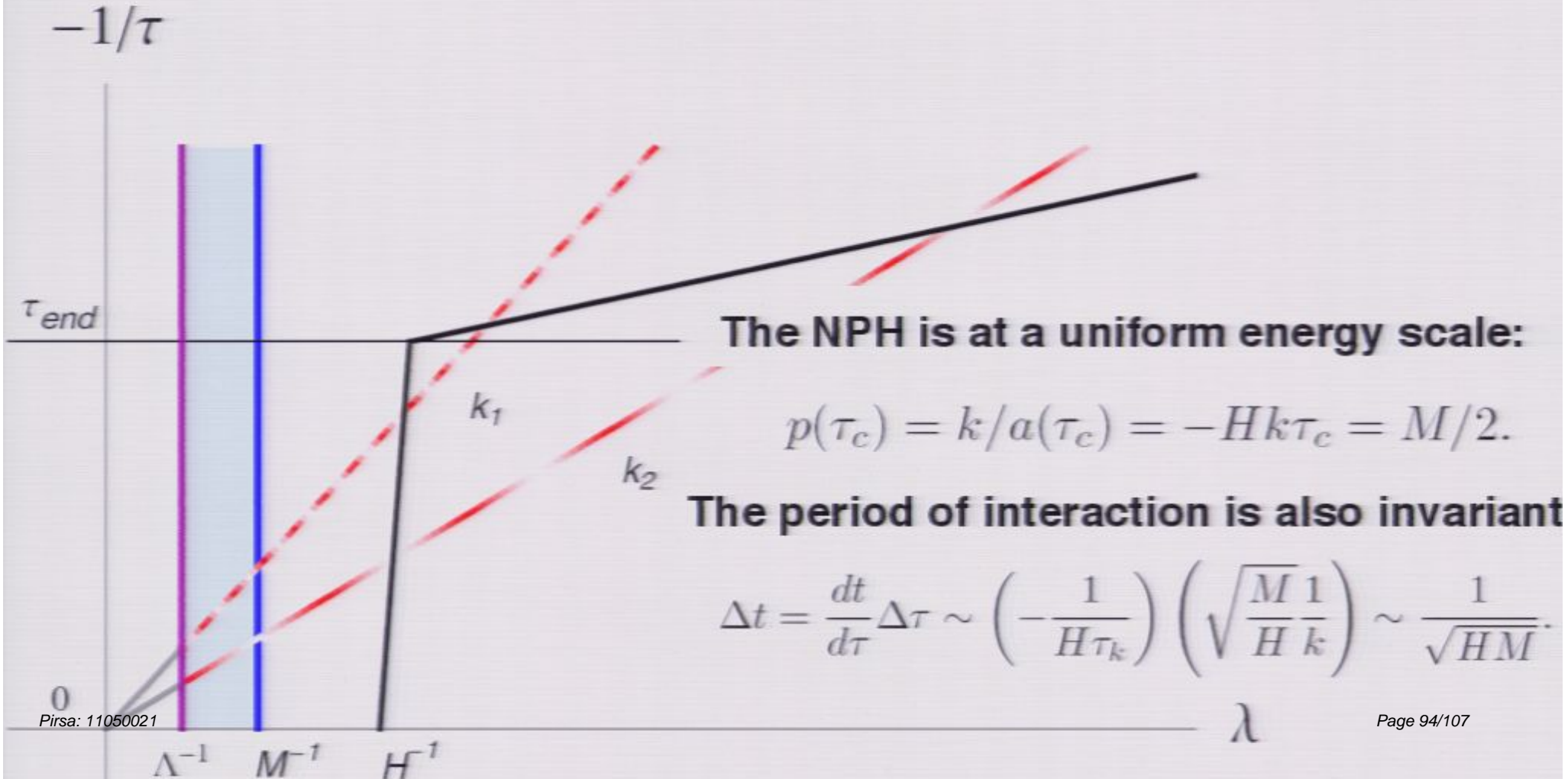
- This produces a change in the fluctuation solution, corresponding to a vacuum excitation:



- This is the first time the vacuum rotation has been calculated from a fundamental theory:

$$\beta_{\mathbf{k}} = -\frac{g_1^2 \sqrt{\pi} \Lambda}{8\sqrt{2} (2\pi)^2 M^2 \sqrt{H}} e^{i\frac{M}{H} (2 - \ln \frac{\Lambda}{M})}$$

Scale-Variance from a Scale-Invariant Theory

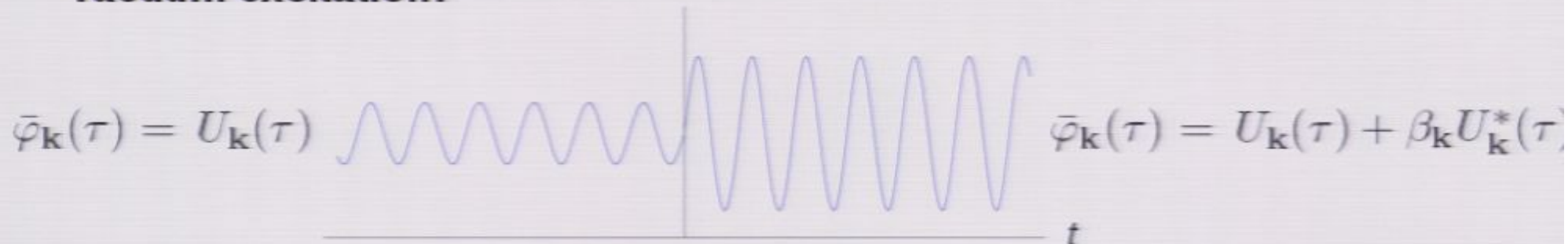


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Observability? (!)

- We see that integrating out high energy physics produces low energy interactions, but a cosmological background induces boundary terms
- These represent a modified vacuum, appearing in the power spectrum as oscillations
- **But is this observable?**
- We can see about four decades of comoving k in the CMB,

$$k_{\min} \leq k_{\text{obs}} \leq 10^4 k_{\min}$$

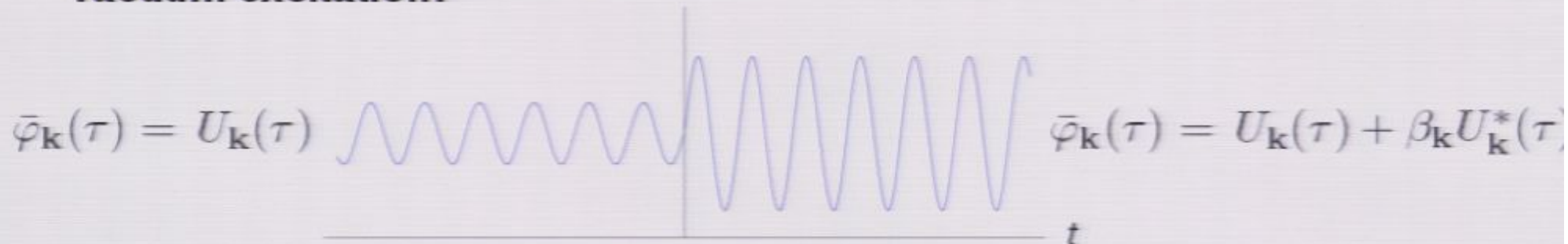
If $H/M_{\text{string}} \sim 10^{-2}$ then we should see about 10^2 oscillations, **just at the threshold of *Planck's* sensitivity.**

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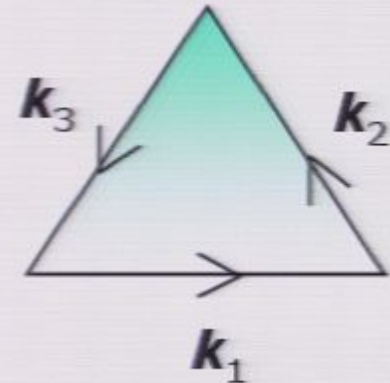
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Second Observable: Non-Gaussianity

- We may then consider the 3-pt correlation,

$$\langle \zeta_{\mathbf{k}_1} \zeta_{\mathbf{k}_2} \zeta_{\mathbf{k}_3} \rangle = (2\pi)^3 \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) f_{\text{NL}} F(k_1, k_2, k_3) .$$



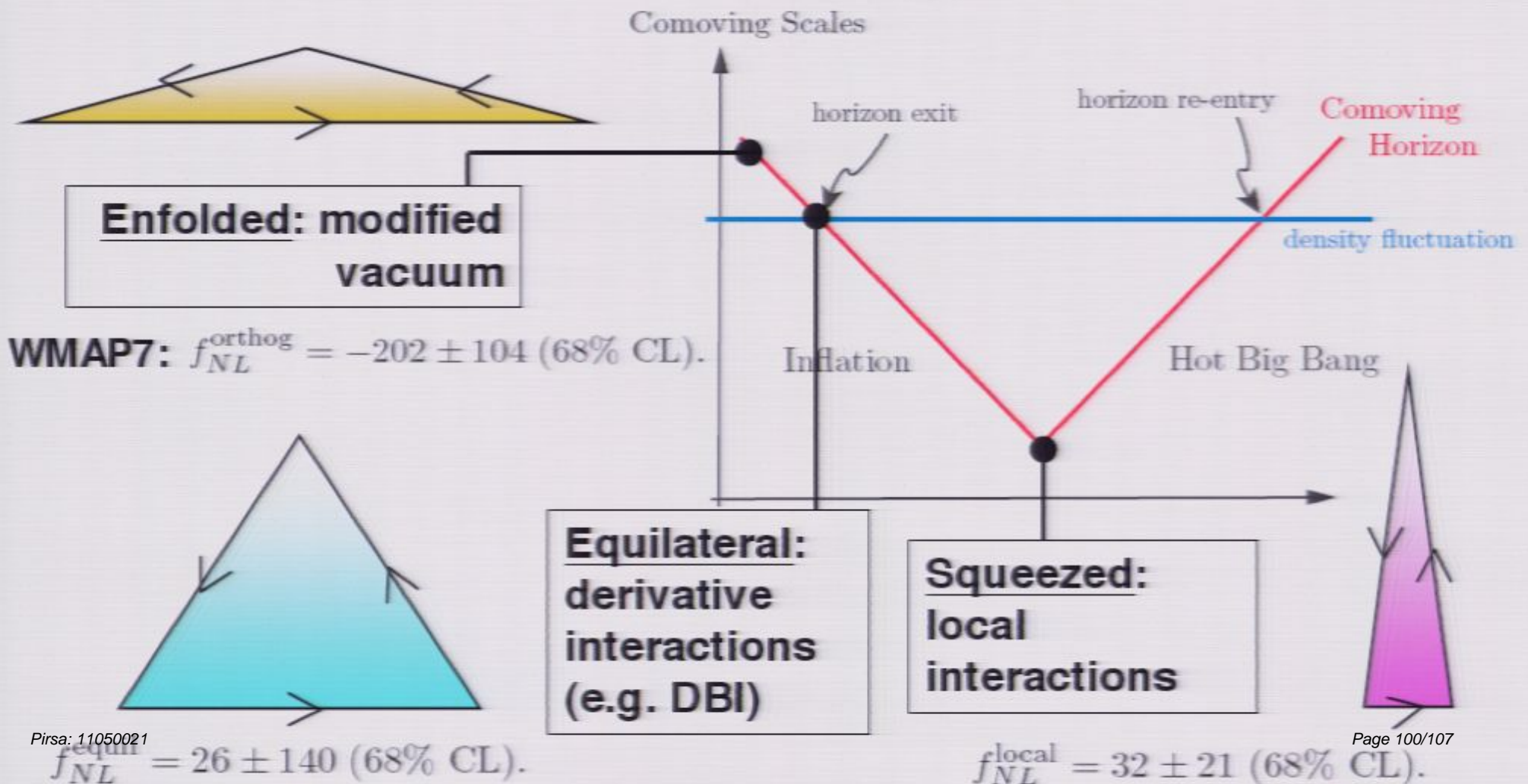
- A free field theory will produce a vanishing 3-pt correlation, and so nG measures interactions: (Creminelli '03)

$$S = \int d^4x \sqrt{-g} \left[\frac{1}{2} M_P^2 R - \frac{1}{2} (\nabla\phi)^2 - V(\phi) \right] \longrightarrow f_{\text{NL}}^{\text{equil.}} = \frac{5}{6} \left(\eta - \frac{23}{6} \epsilon \right) . \quad \text{Very small}$$

$$\text{Adding } \frac{1}{8M^4} (\nabla\phi)^2 (\nabla\phi)^2 \longrightarrow f_{\text{NL}}^{\text{equil.}} = \frac{35}{108} \frac{\phi^2}{M^4} . \quad \text{Potentially very large}$$

- The shape of the momenta triangle indicates when it was produced (Babich, Creminelli, Zaldarriaga '04) and can serve to easily differentiate models (eg Khoury and Piazza '08)

Types of Non-Gaussianity



Small- c_s Models

Consider a more general class of small- c_s

$$[M_p^2 R - 2P(X, \phi)], \quad \left\{ \begin{array}{l} \epsilon = \frac{XP_{,X}}{M_p^2 H^2}, \\ c_s^2 = \frac{P_{,X}}{P_{,X} + 2XP_{,XX}} = \frac{M_p^2 H^2 \epsilon}{\Sigma}, \\ \Sigma = XP_{,X} + 2X^2 P_{,XX}, \\ \lambda = X^2 P_{,XX} + \frac{2}{3} X^3 P_{,XXX} = \frac{1}{3} \left(X \frac{\partial \Sigma}{\partial X} - \Sigma \right). \end{array} \right.$$

as a special case,

$$\sqrt{1 - 2Xf(\phi) + f(\phi)^{-1}} - V(\phi) \quad \left\{ \begin{array}{l} \Sigma = \frac{H^2 M_p^2 \epsilon}{c_s^2}, \\ \lambda = \frac{H^2 M_p^2 \epsilon}{2c_s^4} (1 - c_s^2) \end{array} \right.$$

Stability: Vacua

is equivalent to
early times:

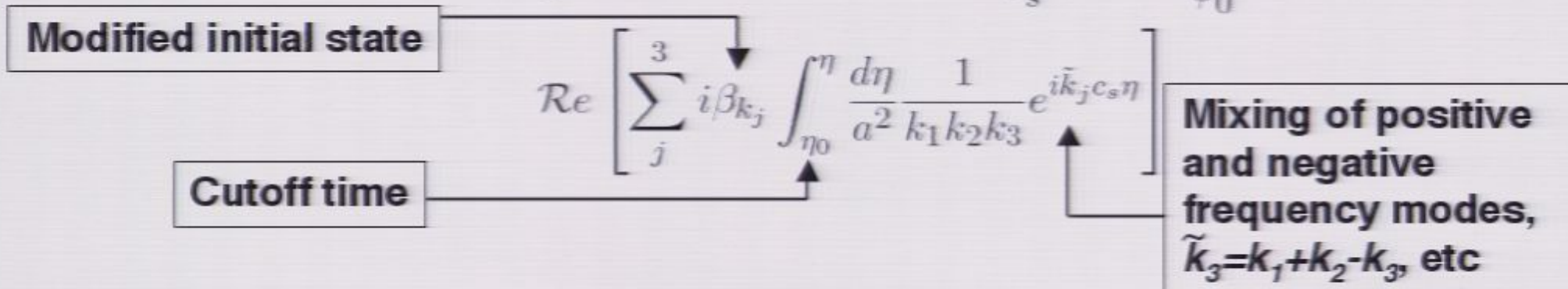
$$\lambda) \frac{H^6}{\dot{\phi}_0^6} c_s^3 \times$$

Mixing of positive
and negative
frequency modes,
 $\tilde{k}_3 = k_1 + k_2 - k_3$, etc

Extreme non-Gaussianity: Small c_s with Modified Vacua

- The nG will be multiplied, being equivalent to particles interacting strongly since early times:

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle_{\text{nBDI}} = \frac{4}{8} (2\pi)^3 \delta^{(3)}(\sum \vec{k}_i) \left(\Sigma \left(1 - \frac{1}{c_s^2} \right) + 2\lambda \right) \frac{H^6}{\dot{\phi}_0^6} c_s^3 \times$$



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Modified initial state

$$\mathcal{R}e \left[\sum_j^3 i\beta_{k_j} \int_{\eta_0}^{\eta} \frac{d\eta}{a^2 k_1 k_2 k_3} e^{i\vec{k}_j c_s \eta} \right]$$

Cutoff time

Mixing of positive and negative frequency modes, $\tilde{k}_3 = k_1 + k_2 - k_3$, etc

$$= (2\pi)^7 \mathcal{R}e[\beta] P_k^2 \delta(\sum \vec{k}_i) \frac{1}{k_1^3 k_2^3 k_3^3} \times$$

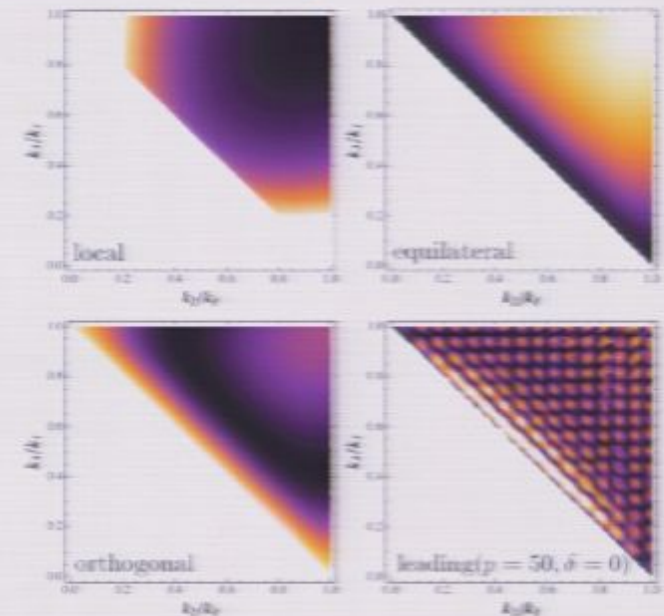
Huge enhancement, $p \sim (10^3)^3$

$$\left[\sum_j \left(\frac{1}{c_s^2} - 1 + \frac{2\lambda}{\Sigma} \right) (k_1 c_s \eta_0)^3 \mathcal{B}_{k_j}^{(1)} + \dots \right]$$

Oscillating function which peaks near $\tilde{k}_j = 0$

Constraining Small- c_s Models

- We must project our signal onto the ‘equilateral’, ‘orthogonal’, and ‘local’ templates (Babich, Creminelli, Zaldarriaga ‘04; Furgesson and Shellard ‘08; Senatore ‘10)
- This lets us use the existing bounds on f_{NL}^{local} , f_{NL}^{equi} , f_{NL}^{ortho} to constrain this type of nG:

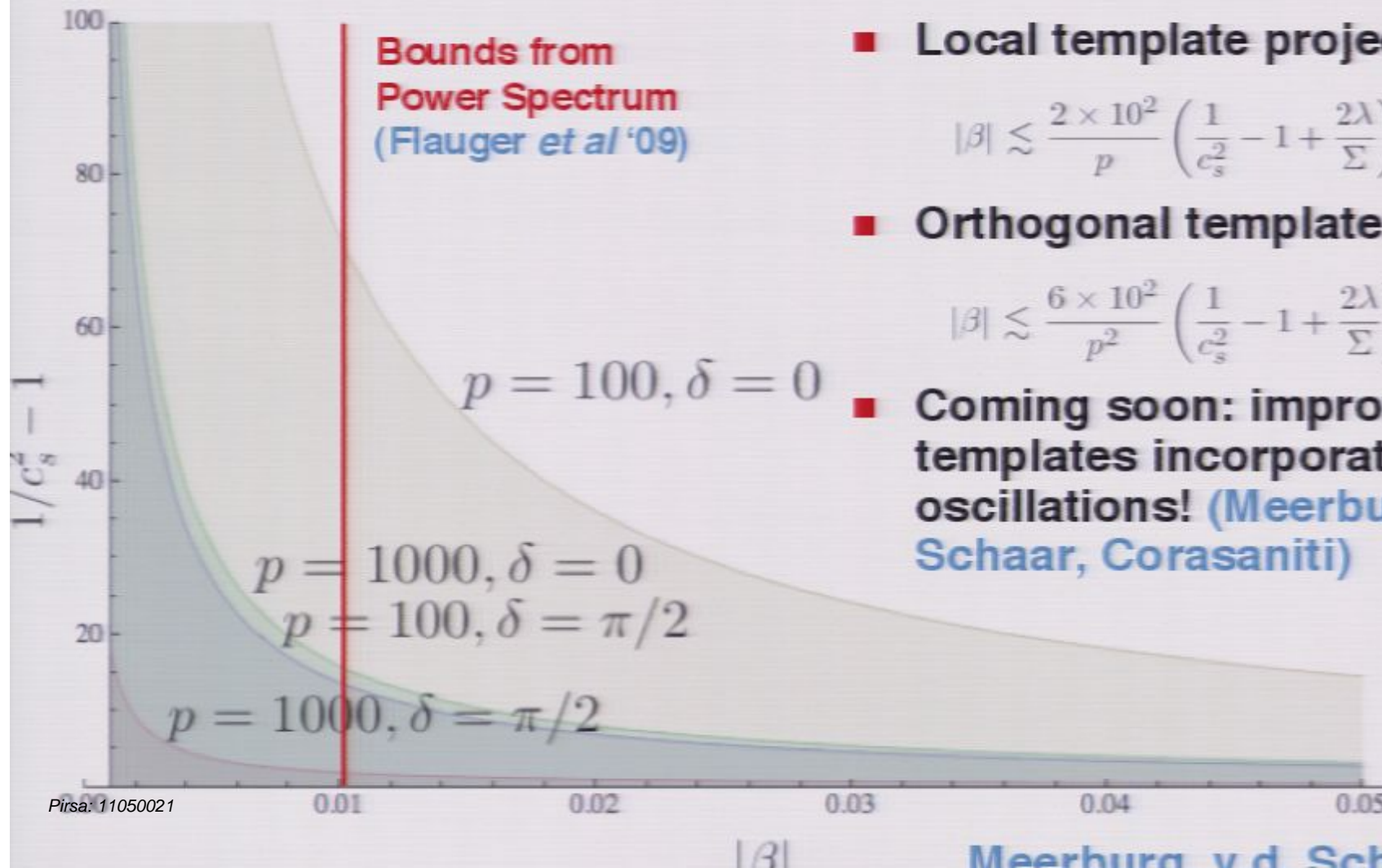


$$f_{NL}^{\text{local}} \simeq \frac{1}{176.5} \left(-\frac{5}{4} \cos \delta + \frac{5}{3} p^{-1} \sin \delta \right) \left(\frac{1}{c_s^2} - 1 + \frac{2\lambda}{\Sigma} \right) |\beta| p^2,$$

$$f_{NL}^{\text{eq}} \simeq -\frac{5}{3} \left[\left(\frac{1}{c_s^2} - 1 \right) \left(\frac{-2}{7.9} |\beta| p \sin \delta + 0.04 \right) + \left(\frac{-2}{7.9} |\beta| p \sin \delta - 0.01 \right) \frac{2\lambda}{\Sigma} \right],$$

$$f_{NL}^{\text{ort}} \simeq \frac{1}{13.8} \left(\frac{5}{6} \cos \delta + 5p^{-1} \sin \delta \right) \left(\frac{1}{c_s^2} - 1 + \frac{2\lambda}{\Sigma} \right) |\beta| p^2.$$

Constraining Vacuum Modification



- Local template projection:

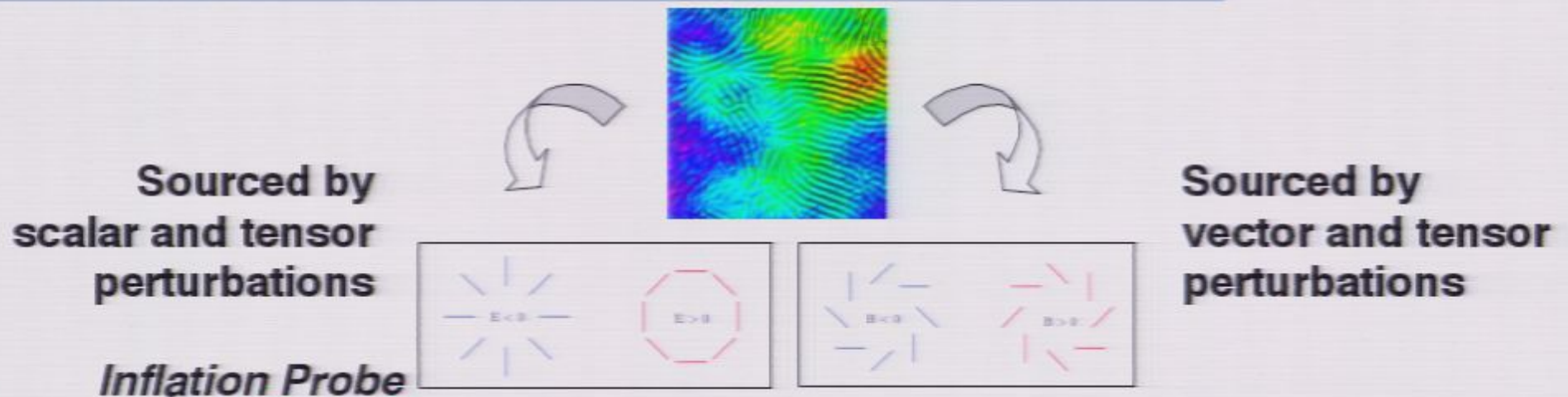
$$|\beta| \lesssim \frac{2 \times 10^2}{p} \left(\frac{1}{c_s^2} - 1 + \frac{2\lambda}{\Sigma} \right)^{-1}, \quad (\delta = \pi/2).$$

- Orthogonal template projection:

$$|\beta| \lesssim \frac{6 \times 10^2}{p^2} \left(\frac{1}{c_s^2} - 1 + \frac{2\lambda}{\Sigma} \right)^{-1}, \quad (\delta = 0).$$

- Coming soon: improved templates incorporating oscillations! (Meerburg, van der Schaar, Corasaniti)

CMB Polarization and High-Energy Signatures



- Utility of ~~CMBPol~~, a polarization-dedicated experiment, studied in NASA/Fermilab Decadal Survey White Paper (Baumann, MGJ '08; 57 contributors; 7 countries)
- **Conclusion:** A detectably large tensor amplitude would demonstrate that inflation occurred at a very high energy scale, comparable to GUTs,

$$P_t \sim (H/M_{\text{pl}})^2$$

implying that we should see high-energy effects in upcoming data

Conclusion

- We are anticipating the deluge of upcoming precision cosmological data in several ways:
 1. **Effective Field Theory in Inflation** can now be performed for fundamental theories
 2. **Non-Gaussianity** templates including modified vacuum-signatures to study interactions
 3. **CMB *B*-Polarization** detection implies we will see high-energy effects in e.g. *Planck* data