

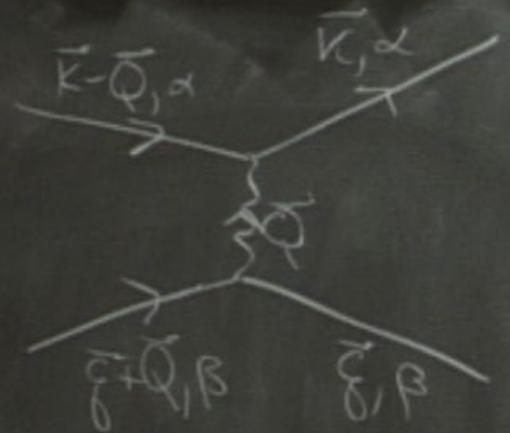
Title: Condensed Matter Review - Lecture 5

Date: Jan 07, 2011 10:15 AM

URL: <http://pirsa.org/11010023>

Abstract:

$$H_{\text{int}} = \frac{1}{2N} \sum_{\vec{Q}} V(\vec{Q}) \sum_{\substack{\vec{k}, \vec{\delta} \\ \alpha, \beta}} C_{\vec{k}, \alpha}^{\dagger} C_{\vec{\delta}, \beta}^{\dagger} C_{\vec{\delta} + \vec{Q}, \beta} C_{\vec{k} - \vec{Q}, \alpha}$$



To do Hartree-Fock for  $\mathcal{H}$   
consider a variational Hamiltonian

$$\mathcal{H}_V = \sum_{\vec{k}, \sigma} (E_{\sigma}(\vec{k}) - \mu) C_{\vec{k}, \sigma}^{\dagger} C_{\vec{k}, \sigma}$$

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for which the distribution function is

$$n(\vec{k}, \sigma) = \frac{1}{e^{\beta(E_{\sigma}(\vec{k}) - \mu)} + 1}$$

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$$\tilde{\mathcal{F}}_V(\{n(\vec{k}, \sigma)\}) = \langle \mathcal{H} \rangle_V$$

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$$n(\vec{k}, \sigma) = \frac{1}{e^{\beta(E_{\sigma}(\vec{k}) - \mu)} + 1}$$

$$f(\{n_{\vec{k}, \sigma}\}) = \langle \mathcal{H} \rangle_V + T \sum_{\vec{k}, \sigma} [n(\vec{k}, \sigma) \ln n(\vec{k}, \sigma) + (1 - n(\vec{k}, \sigma)) \ln(1 - n(\vec{k}, \sigma))]$$

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Hartree-Fock for  $\mathcal{H}$   
 Consider a variational Hamiltonian

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$$n(\vec{k}, \sigma) = \frac{1}{e^{\beta(E_{\sigma}(\vec{k}) - \mu)} + 1}$$

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What is  $\langle \mathcal{H} \rangle_V$ ?

$$\langle \mathcal{H} \rangle_V$$

What is  $\langle \eta_b \rangle_V$ ?

$$\langle \eta_b \rangle_V = \sum_{R, \alpha} (\mathcal{E}(R) - \mu) \langle C_{R, \alpha}^+ C_{R, \alpha} \rangle_V$$

$$+ (1 - \nu(R, \sigma)) \mu (1 - \nu(R, \sigma))$$

What is  $\langle \hat{b} \rangle_V$ ?

$$\langle \hat{b} \rangle_V = \sum_{\vec{R}, \alpha} (\epsilon(\vec{R}) - \mu) \langle C_{\vec{R}, \alpha}^\dagger C_{\vec{R}, \alpha} \rangle_V$$

$$+ \frac{1}{2} \sum_{\vec{Q}} V(\vec{Q}) \sum_{\substack{\vec{k} \vec{q} \\ \alpha \beta}} \langle C_{\vec{k}, \alpha}^\dagger C_{\vec{q}, \beta}^\dagger C_{\vec{q} + \vec{Q}, \beta} C_{\vec{k} - \vec{Q}, \alpha} \rangle_V$$

$$+ (1 - V(\vec{K}, \sigma)) n(1 - n(\vec{K}, \sigma))$$

What is  $\langle \eta \bar{\eta} \rangle_V$ ?

$$\langle \eta \bar{\eta} \rangle_V = \sum_{\vec{k}, \alpha} (\epsilon(\vec{k}) - \mu) \langle C_{\vec{k}, \alpha}^{\dagger} C_{\vec{k}, \alpha} \rangle_V$$

Wick's  
Theorem

$$+ \frac{1}{2} \sum_{\vec{Q}} V(\vec{Q}) \sum_{\substack{\vec{k} \vec{q} \\ \alpha \beta}} \langle C_{\vec{k}, \alpha}^{\dagger} C_{\vec{q}, \beta}^{\dagger} C_{\vec{q} + \vec{Q}, \beta} C_{\vec{k} - \vec{Q}, \alpha} \rangle_V$$

$$+ (1 - V(\vec{k}, \sigma)) n(1 - n(\vec{k}, \sigma))$$

What is  $\langle T_6 \rangle_V$ ?

Wick's  
Theorem

$$\langle T_6 \rangle_V = \sum_{K,\alpha} (\mathcal{E}(K) - \mu) \langle C_{K,\alpha}^+ C_{K,\alpha} \rangle_V$$

$$+ \frac{1}{2} \sum_{K,Q} V(K,Q) \sum_{\alpha,\beta} \langle C_{K,\alpha}^+ C_{Q,\beta}^+ C_{Q,\beta} C_{K-Q,\alpha} \rangle_V$$

$$\rightarrow \langle C_{K-Q,\alpha}^+ \rangle_V \langle C_{Q,\beta}^+ C_{Q,\beta} \rangle_V$$

$(1 - V(K,0)) \mu$

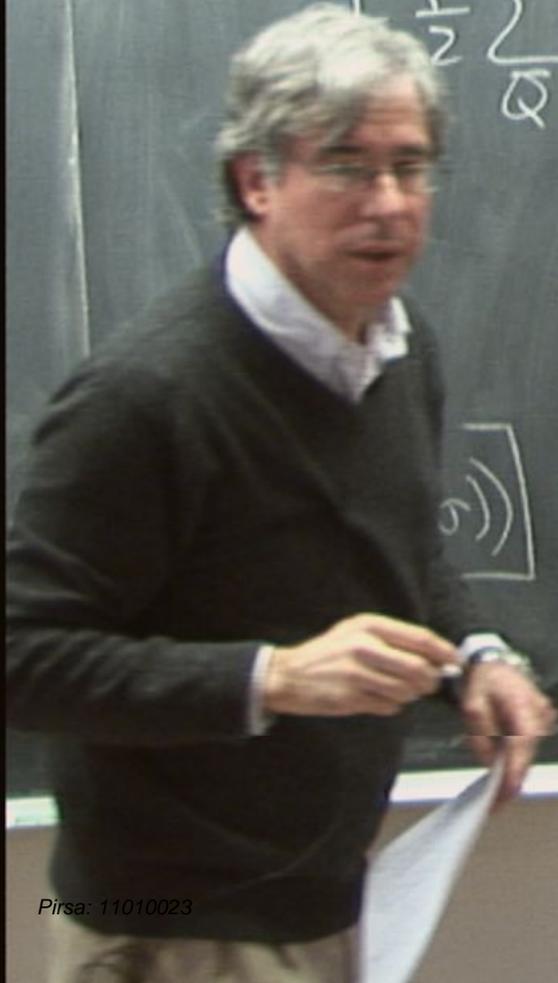
What is  $\langle T_b \rangle_V$ ?

Wick's Theorem

$$\langle T_b \rangle_V = \sum_{\vec{k}, \alpha} (\mathcal{E}(\vec{k}) - \mu) \langle C_{\vec{k}, \alpha}^{\dagger} C_{\vec{k}, \alpha} \rangle_V$$

$$+ \frac{1}{2} \sum_{\vec{Q}} V(\vec{Q}) \sum_{\substack{\vec{k}, \alpha \\ \vec{g}, \beta}} \langle C_{\vec{k}, \alpha}^{\dagger} C_{\vec{g}, \beta}^{\dagger} C_{\vec{g}+\vec{Q}, \beta} C_{\vec{k}-\vec{Q}, \alpha} \rangle_V$$

$$\rightarrow \langle C_{\vec{k}, \alpha}^{\dagger} C_{\vec{k}-\vec{Q}, \alpha} \rangle_V \langle C_{\vec{g}, \beta}^{\dagger} C_{\vec{g}+\vec{Q}, \beta} \rangle_V$$



What is  $\langle T_b \rangle_V$ ?

Wick's  
Theorem

$$\langle T_b \rangle_V = \sum_{\vec{K}, \alpha} (\mathcal{E}(\vec{K}) - \mu) \langle C_{\vec{K}, \alpha}^+ C_{\vec{K}, \alpha} \rangle_V$$

$$+ \frac{1}{2} \sum_{\vec{Q}} V(\vec{Q}) \sum_{\substack{\vec{K}, \alpha \\ \vec{g}, \beta}} \langle C_{\vec{K}, \alpha}^+ C_{\vec{g}, \beta}^+ C_{\vec{g} + \vec{Q}, \beta} C_{\vec{K} - \vec{Q}, \alpha} \rangle_V$$

$$\rightarrow \langle C_{\vec{K}, \alpha}^+ C_{\vec{K} - \vec{Q}, \alpha} \rangle_V \langle C_{\vec{g}, \beta}^+ C_{\vec{g} + \vec{Q}, \beta} \rangle_V$$

$$- \langle C_{\vec{K}, \alpha}^+ C_{\vec{g} + \vec{Q}, \beta} \rangle_V \langle C_{\vec{g}, \beta}^+ C_{\vec{K} - \vec{Q}, \alpha} \rangle_V$$

$$\left[ (1 - n(\vec{K}, \sigma)) n(1 - n(\vec{K}, \sigma)) \right]$$

To do Hartree-Fock for  $\mathcal{H}$   
 consider a variational Hamiltonian

$$\mathcal{H}_V = \sum_{\vec{k}, \sigma} (E_{\sigma}(\vec{k}) - \mu) C_{\vec{k}, \sigma}^{\dagger} C_{\vec{k}, \sigma}$$

for which the distribution function is

$$n(\vec{k}, \sigma) = \frac{1}{e^{\beta(E_{\sigma}(\vec{k}) - \mu)} + 1}$$

$$\mathcal{F}_V(\{n(\vec{k}, \sigma)\}) = \langle \mathcal{H}_V \rangle_V + T \sum_{\vec{k}, \sigma} [n(\vec{k}, \sigma) \ln n(\vec{k}, \sigma) + (1 - n(\vec{k}, \sigma)) \ln(1 - n(\vec{k}, \sigma))]$$

$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_{int}$

What is  $\langle \eta \rangle_V$ ?

$n$

Wick's Theorem

$$\langle \eta \rangle_V = \sum_{\vec{K}, \alpha} (\mathcal{E}(\vec{K}) - \mu) \langle C_{\vec{K}, \alpha}^+ C_{\vec{K}, \alpha} \rangle_V$$

$$+ \frac{1}{2} \sum_{\vec{Q}} V(\vec{Q}) \langle C_{\vec{K}, \alpha}^+ C_{\vec{K} + \vec{Q}, \beta} C_{\vec{K} - \vec{Q}, \alpha} \rangle_V$$

$$\langle C_{\vec{K}, \alpha}^+ C_{\vec{K} - \vec{Q}, \alpha} \rangle_V \langle C_{\vec{K} + \vec{Q}, \beta} C_{\vec{K} - \vec{Q}, \beta} \rangle_V$$

$$\langle C_{\vec{K} + \vec{Q}, \beta} C_{\vec{K} - \vec{Q}, \beta} \rangle_V \langle C_{\vec{K}, \alpha}^+ C_{\vec{K} - \vec{Q}, \alpha} \rangle_V$$

$$= [(1 - V(\vec{K}, \sigma)) n(1 - n(\vec{K}, \sigma))] \dots$$

What is  $\langle \eta \rangle_V$  ?  $n(\vec{k}, \sigma)$

Wick's Theorem

$$\langle \eta \rangle_V = \sum_{\vec{k}, \alpha} (\epsilon(\vec{k}) - \mu) \langle C_{\vec{k}, \alpha}^+ C_{\vec{k}, \alpha} \rangle_V$$

$$+ \frac{1}{2} \sum_{\vec{Q}} V(\vec{Q}) \sum_{\substack{\vec{k}, \alpha \\ \vec{q}, \beta}} \langle C_{\vec{k}, \alpha}^+ C_{\vec{q}, \beta}^+ C_{\vec{q}+\vec{Q}, \beta} C_{\vec{k}-\vec{Q}, \alpha} \rangle_V$$

$$\rightarrow \langle C_{\vec{k}, \alpha}^+ C_{\vec{k}-\vec{Q}, \alpha} \rangle_V \langle C_{\vec{q}, \beta}^+ C_{\vec{q}+\vec{Q}, \beta} \rangle_V$$

$$- \langle C_{\vec{k}, \alpha}^+ C_{\vec{q}+\vec{Q}, \beta} \rangle_V \langle C_{\vec{q}, \beta}^+ C_{\vec{k}-\vec{Q}, \alpha} \rangle_V$$

$$= (1 - V(\vec{Q})) n(1 - n(\vec{k}, \sigma))$$

To do Hartree-Fock for  $\mathcal{H}$   
 consider a variational Hamiltonian

$$\mathcal{H}_V = \sum_{\vec{k}, \sigma} (E_{\sigma}^V(\vec{k}) - \mu) C_{\vec{k}, \sigma}^{\dagger} C_{\vec{k}, \sigma}$$

for which the distribution function is

$$n(\vec{k}, \sigma) = \frac{1}{e^{\beta(E_{\sigma}^V(\vec{k}) - \mu)} + 1}$$

$$\tilde{f}_V(\{n_{\vec{k}, \sigma}\}) = \langle \mathcal{H}_V \rangle_V + T \sum_{\vec{k}, \sigma} [n_{\vec{k}, \sigma} \ln n_{\vec{k}, \sigma} + (1 - n_{\vec{k}, \sigma}) \ln (1 - n_{\vec{k}, \sigma})]$$

$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_{int}$

What is  $\langle \rho \rangle_V$  ?  $n(\vec{k}, \alpha)$

Wick's Theorem

$$\langle \rho \rangle_V = \sum_{\vec{k}, \alpha} (\epsilon(\vec{k}) - \mu) \langle C_{\vec{k}, \alpha}^{\dagger} C_{\vec{k}, \alpha} \rangle_V$$

$$+ \frac{1}{2} \sum_{\vec{Q}} V(\vec{Q}) \sum_{\substack{\vec{k}, \alpha \\ \vec{l}, \beta}} \langle C_{\vec{k}, \alpha}^{\dagger} C_{\vec{l}, \beta}^{\dagger} C_{\vec{k}-\vec{Q}, \alpha} C_{\vec{l}, \beta} \rangle_V$$

$$\rightarrow \langle C_{\vec{k}, \alpha}^{\dagger} C_{\vec{k}-\vec{Q}, \alpha} \rangle_V \langle C_{\vec{l}, \beta}^{\dagger} C_{\vec{l}, \beta} \rangle_V$$

$$- \langle C_{\vec{k}, \alpha}^{\dagger} C_{\vec{l}, \beta} \rangle_V \langle C_{\vec{k}-\vec{Q}, \alpha} C_{\vec{l}, \beta} \rangle_V$$

$$= (1 - V(\vec{Q})) n(1 - n(\vec{k}, \sigma))$$



check for  $\mathcal{H}$   
 ground Hamiltonian

$(c_{k,\sigma}^\dagger - u) c_{k,\sigma}$   
 function is

$$f(k, \sigma) = [v_n n(k, \sigma) + (1 - v_n) n(k, \sigma)]$$

What is  $\langle \mathcal{H} \rangle_V$  ?  $n(k, \alpha)$

$$\langle \mathcal{H} \rangle_V = \sum_{k, \alpha} (\epsilon(k) - \mu) \langle c_{k, \alpha}^\dagger c_{k, \alpha} \rangle_V$$

Wick's Theorem

$$+ \frac{1}{2} \sum_Q V(Q) \sum_{\substack{k, \alpha \\ q, \beta}} \langle c_{k, \alpha}^\dagger c_{q, \beta}^\dagger c_{q+Q, \beta} c_{k-Q, \alpha} \rangle_V$$

$$\rightarrow \langle c_{k, \alpha}^\dagger c_{k-Q, \alpha} \rangle_V \langle c_{q, \beta}^\dagger c_{q+Q, \beta} \rangle_V$$

$$- \langle c_{k, \alpha}^\dagger c_{q+Q, \beta} \rangle_V \langle c_{q, \beta}^\dagger c_{k-Q, \alpha} \rangle_V \delta_{\alpha, \beta}$$

$$= n(k, \alpha) n(q, \beta) \delta_{k-Q, 0} - n(k, \alpha) n(k-Q, \alpha) \delta_{q, k-Q}$$

What is  $\langle \psi | \psi \rangle_V$  ?  $n(k, \alpha)$

Wick's Theorem

$$\langle \psi | \psi \rangle_V = \sum_{R, \alpha} (\epsilon(R) - 1) \langle C_{R, \alpha}^+ C_{R, \alpha} \rangle_V$$

$$+ \frac{1}{2} \sum_Q V(Q) \sum_{\substack{k, \alpha \\ \delta, \beta}} \langle C_{k, \alpha}^+ C_{\delta, \beta}^+ C_{\delta+Q, \beta} C_{k-Q, \alpha} \rangle_V$$

$$\rightarrow \langle C_{k, \alpha}^+ C_{k-Q, \alpha} \rangle_V \langle C_{\delta, \beta}^+ C_{\delta+Q, \beta} \rangle_V$$

$$- \langle C_{k, \alpha}^+ C_{\delta+Q, \beta} \rangle_V \langle C_{\delta, \beta}^+ C_{k-Q, \alpha} \rangle_V \delta_{\alpha, \beta}$$

$$= n(k, \alpha) n(\delta, \beta) \delta_{\alpha, \beta} - n(k, \alpha) n(k-Q, \alpha) \delta_{\delta, k-Q}$$

$$\langle H \rangle_V = \sum_{R, \alpha} (\epsilon(R) - u) n(R, \alpha) +$$

What is

$$\langle H \rangle_V = \sum_{R, \alpha} (\epsilon(R) - u) n(R, \alpha) + \frac{1}{2} \sum_{\alpha} \dots$$

$$\langle H \rangle_V = \sum_{R, \alpha} (E(R) - u) n(R, \alpha) +$$

What is

$$\langle H \rangle_V = \sum_{R, \alpha} (E(R) - u) n(R, \alpha) + \frac{1}{2} \sum_{R, \alpha} \dots$$

$$\langle H \rangle_V = \sum_{R, \alpha} (E(k) - \mu) n(R, \alpha) + \frac{V \langle \phi \rangle}{2N}$$

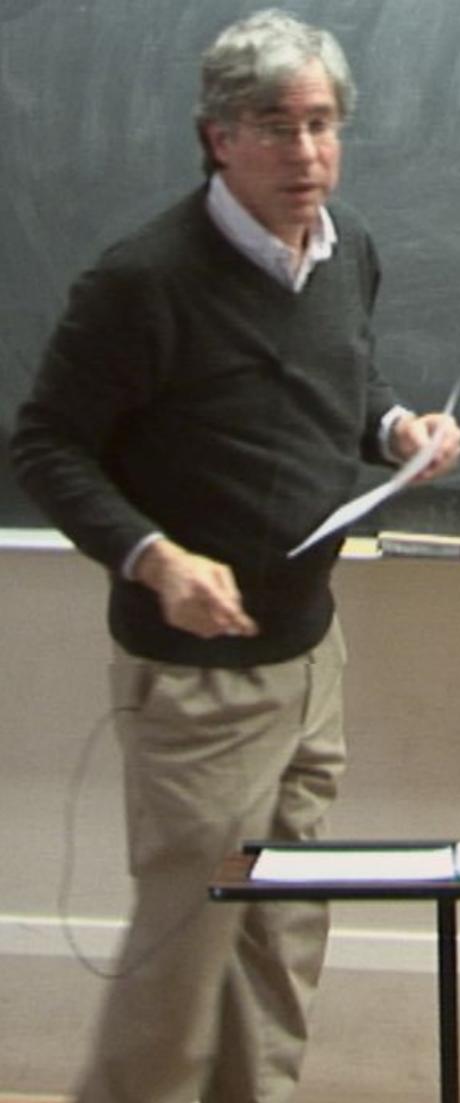
What is

$$\langle H \rangle_V = \sum_{R, \alpha} (E(k) - \mu) n(R, \alpha) + \frac{1}{2} \sum_{\alpha} \dots$$

$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - \mu) n(K, \alpha) + \frac{V(\phi)}{2N} \left( \sum_{K, \alpha} n(K, \alpha) \right)^2$$

What is

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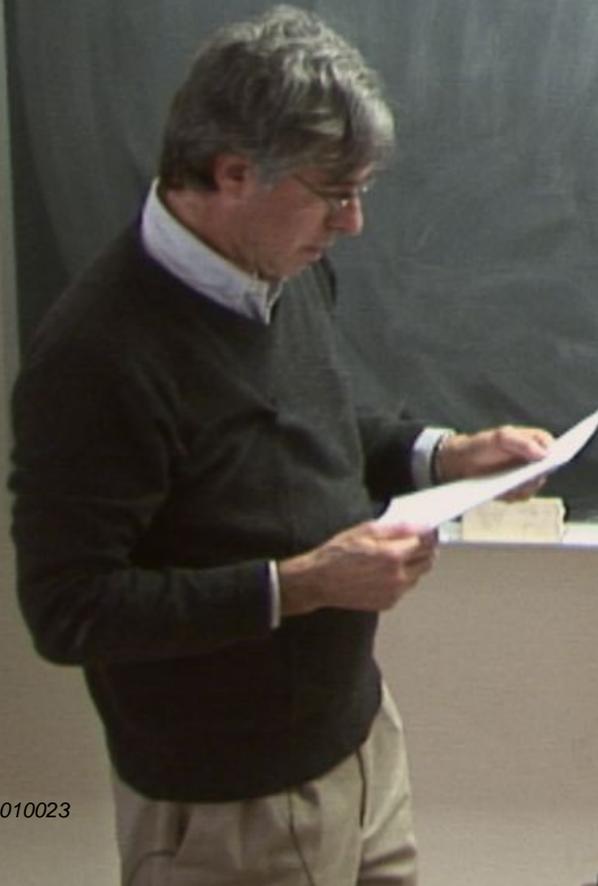


$$\langle H \rangle_V = \sum_{\mathbf{k}, \alpha} (\epsilon(\mathbf{k}) - \mu) n(\mathbf{k}, \alpha) + \frac{V(\mathbf{Q})}{2N} \left( \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_{\mathbf{Q}} V(\mathbf{Q}) \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) n(\mathbf{k} - \mathbf{Q}, \alpha)$$

What is  $\langle H \rangle_V$

$$\langle H \rangle_V = \sum_{\mathbf{k}, \alpha} (\epsilon(\mathbf{k}) - \mu) n(\mathbf{k}, \alpha) + \frac{1}{2} \sum_{\mathbf{Q}} V(\mathbf{Q}) \left( \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) \right)^2 - \sum_{\mathbf{Q}} V(\mathbf{Q}) \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) n(\mathbf{k} - \mathbf{Q}, \alpha)$$



$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - u) n(K, \alpha) + \frac{V(\phi)}{2N} \left( \sum_{K, \alpha} n(K, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_{\langle ij \rangle} V(\phi) \sum_{K, \alpha} n(K, \alpha) n(K - \langle ij \rangle, \alpha)$$

Minimizing  $\mathcal{F}_V$  with respect to  
the  $n(K, \alpha)$

What is  $\langle H \rangle_V$

$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - u) n(K, \alpha) + \frac{1}{2} \sum_{\langle ij \rangle} V(\phi) n(K, \alpha) n(K - \langle ij \rangle, \alpha)$$

$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - \mu) n(K, \alpha) + \frac{V(\Omega)}{2N} \left( \sum_{K, \alpha} n(K, \alpha) \right)^2$$

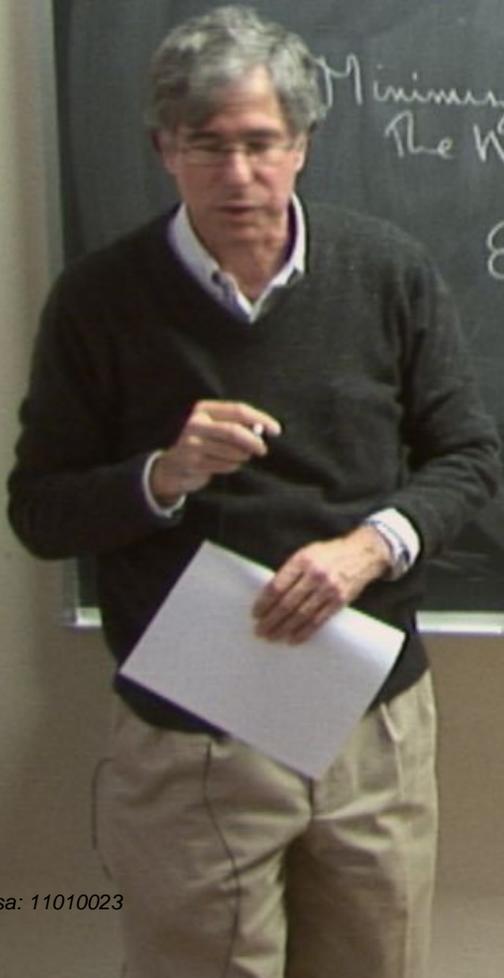
$$- \frac{1}{2N} \sum_{\Omega} V(\Omega) \sum_{K, \alpha} n(K, \alpha) n(K - \Omega, \alpha)$$

What is  $\langle H \rangle_V$

$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - \mu) n(K, \alpha) + \frac{1}{2} \sum_{\Omega} V(\Omega) \left( \sum_{K, \alpha} n(K, \alpha) \right)^2$$

Minimizing  $\mathcal{F}_V$  with respect to  
 The  $n(K, \alpha)$  # of  $\alpha$ 's  $\rightarrow N_e = N f_e$  ← density of  $\alpha$ 's

$$\epsilon(K) - \mu + \frac{V(\Omega)}{N} \left( \sum_{\Omega, \beta} n(\Omega, \beta) \right)$$



$$\langle H \rangle_V = \sum_{\mathbf{k}, \alpha} (\epsilon(\mathbf{k}) - \mu) n(\mathbf{k}, \alpha) + \frac{V(\mathbf{Q})}{2N} \left( \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_{\mathbf{Q}} V(\mathbf{Q}) \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) n(\mathbf{k} - \mathbf{Q}, \alpha)$$

Minimizing  $\mathcal{F}_V$  with respect to  
 The  $n(\mathbf{k}, \alpha)$  # of  $\alpha$ s  $\rightarrow N_e = N \rho_e$  ← density of  $\alpha$  els

$$\epsilon(\mathbf{k}) - \mu + \frac{V(\mathbf{Q})}{N} \left( \sum_{\delta \neq \beta} n(\delta, \beta) \right) - \frac{1}{2} \sum_{\mathbf{Q}} V(\mathbf{Q}) [n(\mathbf{k} - \mathbf{Q}, \alpha) + n(\mathbf{k} + \mathbf{Q}, \alpha)]$$

What is  $\langle H \rangle_V$

$$\langle H \rangle_V = \sum_{\mathbf{k}, \alpha} (\epsilon(\mathbf{k}) - \mu) n(\mathbf{k}, \alpha) + \frac{1}{2} \sum_{\mathbf{Q}} V(\mathbf{Q}) \left( \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) \right)^2 - \frac{1}{2} \sum_{\mathbf{Q}} V(\mathbf{Q}) \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) n(\mathbf{k} - \mathbf{Q}, \alpha)$$

$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - \mu) n(K, \alpha) + \frac{V(\phi)}{2N} \left( \sum_{K, \alpha} n(K, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_{\phi} V(\phi) \sum_{K, \alpha} n(K, \alpha) n(K-\phi, \alpha)$$

What is

$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - \mu) n(K, \alpha) + \frac{1}{2} \sum_{\phi} V(\phi) [n(K-\phi, \alpha) + n(K+\phi, \alpha)]$$

Minimizing  $\tilde{F}_V$  with respect to  
 the  $n(K, \alpha)$  # of el's  $\rightarrow N_e = N \rho_e$  density of el's

$$\epsilon(K) - \mu + \frac{V(\phi)}{N} \left( \sum_{\delta, \beta} n(\delta, \beta) \right) - \frac{1}{2} \sum_{\phi} V(\phi) [n(K-\phi, \alpha) + n(K+\phi, \alpha)]$$

$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - \mu) n(K, \alpha) + \frac{V(Q)}{2N} \left( \sum_{K, \alpha} n(K, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_Q V(Q) \sum_{K, \alpha} n(K, \alpha) n(K-Q, \alpha)$$

Minimizing  $\tilde{S}_V$  with respect to  
 The  $n(K, \alpha)$  # of el's  $\rightarrow N_e = N \rho_e$  density of el's

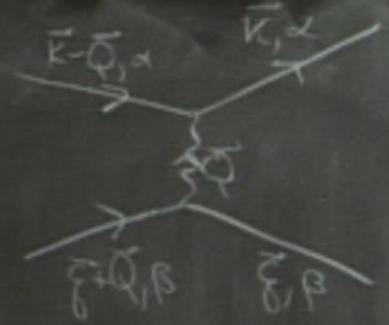
$$\epsilon(K) - \mu + \frac{V(Q)}{N} \left( \sum_{\delta, \beta} n(\delta, \beta) \right) - \frac{1}{2} \sum_Q V(Q) [n(K-Q, \alpha) + n(K+Q, \alpha)]$$

What is

$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - \mu) n(K, \alpha) + \frac{1}{2} \sum_Q V(Q) [n(K-Q, \alpha) + n(K+Q, \alpha)]$$

From  
Grains of  
Pollen to  
Evidence  
for Atoms

$$H_{\text{int}} = \frac{1}{2N} \sum_Q V(Q) \sum_{\substack{K, Q \\ a, B}} C_{K, \alpha}^+ C_{Q, \beta}^+ C_{Q, \beta} C_{K, \alpha}$$



$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - \mu) n(K, \alpha) + \frac{V(\phi)}{2N} \left( \sum_{K, \alpha} n(K, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_{\phi} V(\phi) \sum_{K, \alpha} n(K, \alpha) n(K-\phi, \alpha)$$

What is

$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - \mu) n(K, \alpha) + \frac{1}{2} \sum_{\phi} V(\phi) \left[ n(K-\phi, \alpha) + n(K+\phi, \alpha) \right]$$

Minimizing  $\tilde{F}_V$  with respect to the  $n(K, \alpha)$  # of obs  $\rightarrow N_e = N p_e$  density of obs

$$\frac{\delta \tilde{F}_V}{\delta n(K, \alpha)} = \left[ \epsilon(K) - \mu + \frac{V(\phi)}{N} \left( \sum_{\beta} n(K, \beta) \right) - \frac{1}{2N} \sum_{\phi} V(\phi) [n(K-\phi, \alpha) + n(K+\phi, \alpha)] + T [\ln n(K, \alpha) - (1 - n(K, \alpha))] \right] = 0$$



$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - \mu) n(K, \alpha) + \frac{V(\phi)}{2N} \left( \sum_{K, \alpha} n(K, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_{\phi} V(\phi) \sum_{K, \alpha} n(K, \alpha) n(K-\phi, \alpha)$$

What is

$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - \mu) n(K, \alpha) + \frac{1}{2} \sum_{\phi} V(\phi) \left[ n(K-\phi, \alpha) + n(K+\phi, \alpha) \right]$$

Minimizing  $\tilde{F}_V$  with respect to the  $n(K, \alpha)$  # of obs  $\rightarrow N_e = N f_e$  density of els

$$\frac{\delta \tilde{F}_V}{\delta n(K, \alpha)} = \left[ \epsilon(K) - \mu + \frac{V(\phi)}{N} \left( \sum_{\beta} n(K, \beta) \right) - \frac{1}{2N} \sum_{\phi} V(\phi) [n(K-\phi, \alpha) + n(K+\phi, \alpha)] \right] + T \left[ \ln n(K, \alpha) - (1 - n(K, \alpha)) \right] = 0$$



The  $n(\mathbf{k}, \alpha)$  # of els  $\rightarrow N_e = N \rho_e$  ← density of els

$$\frac{\delta f_v}{\delta n(\mathbf{k}, \alpha)} = \left[ \begin{aligned} & \epsilon(\mathbf{k}) - \mu + \frac{V(\mathbf{r})}{N} \left( \sum_{\beta} n(\beta, \mathbf{r}) \right) - \frac{1}{2N} \sum_{\mathbf{q}} V(\mathbf{q}) \\ & + T \left[ \ln n(\mathbf{k}, \alpha) - \ln(1 - n(\mathbf{k}, \alpha)) \right] \end{aligned} \right] =$$

$$\langle H \rangle_V = \sum_{K, \alpha} (\epsilon(K) - \mu) n(K, \alpha) + \frac{V(\phi)}{2N} \left( \sum_{K, \alpha} n(K, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_{\phi} V(\phi) \sum_{K, \alpha} n(K, \alpha) n(K-\phi, \alpha)$$

Minimizing  $\tilde{F}_V$  with respect to

The  $n(K, \alpha)$  # of el's  $\rightarrow N_e = N \rho_e$  ← density of el's

$$\frac{\delta \tilde{F}_V}{\delta n(K, \alpha)} = \left[ \epsilon(K) - \mu + \frac{V(\phi)}{N} \left( \sum_{\beta} n(\beta, \beta) \right) - \frac{1}{2N} \sum_{\phi} V(\phi) [n(K-\phi, \alpha) + n(K+\phi, \alpha)] \right] + T [\ln n(K, \alpha) - \ln(1 - n(K, \alpha))] = 0$$

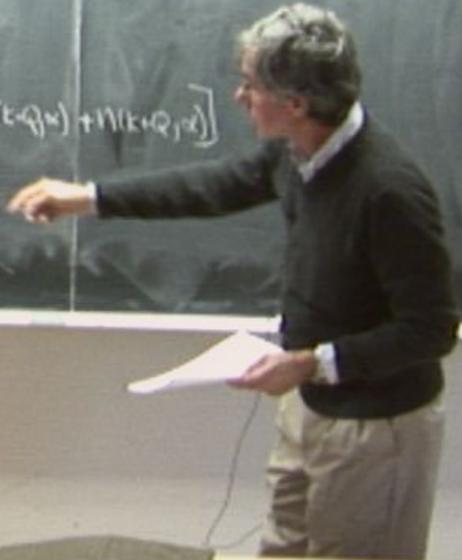
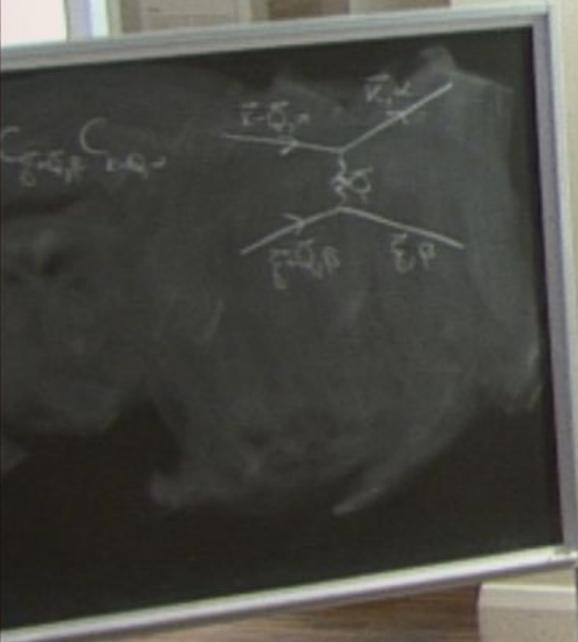
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$$\langle H \rangle_V = \sum_{K,p} (\epsilon(K) - \mu) N(K,p) + \frac{V(\Omega)}{2N} \left( \sum_{K,p} N(K,p) \right)^2 - \frac{1}{2N} \sum_{K,p} V(\Omega) \sum_{K',p'} N(K,p) N(K',p')$$

Minimizing  $\tilde{S}_V$  with respect to the  $N(K,p)$  yields  $N_e = N \left( \frac{\epsilon(K) - \mu}{2\epsilon_0} \right)$

$$\frac{\delta \tilde{S}_V}{\delta N(K,p)} = \left( \epsilon(K) - \mu + \frac{V(\Omega)}{N} \left( \sum_{K',p'} N(K',p') \right) - \frac{1}{2N} \sum_{K',p'} V(\Omega) [N(K-p) + N(K+Q,p)] + T \left[ \ln N(K,p) - \ln \frac{n}{N} \right] \right) = 0$$



$$V(\vec{k}, \alpha) + \frac{V(0)}{2N} \left( \sum_{\vec{k}, \alpha} n(\vec{k}, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_{\vec{Q}} V(\vec{Q}) \sum_{\vec{k}, \alpha} n(\vec{k}, \alpha) n(\vec{k}-\vec{Q}, \alpha)$$

expect to

$$f_e = N f_e \leftarrow \text{density of electrons}$$

$$\sum_{\vec{k}, \alpha} n(\vec{k}, \alpha) = \frac{1}{2N} \sum_{\vec{Q}} V(\vec{Q}) [n(\vec{k}-\vec{Q}, \alpha) + n(\vec{k}+\vec{Q}, \alpha)]$$

$$\left[ \ln \frac{n}{1-n} - \ln(-n(\vec{k}, \alpha)) \right] = 0$$

Solution is

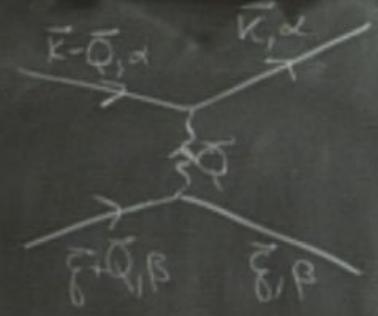
$$n(\vec{k}, \alpha) = \frac{1}{e^{\beta(E_{\alpha}(\vec{k}) - \mu)} + 1} \quad \text{where}$$

$$E_{\alpha}(\vec{k}) = \epsilon(\vec{k}) + V(0) f_e - \frac{1}{N} \sum_{\vec{Q}} V(\vec{Q}) n(\vec{k}-\vec{Q}, \alpha)$$

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$$H_{int} = \frac{1}{2N} \sum_Q V(Q) \sum_{\substack{K, \alpha \\ a, B}} C_{K, \alpha}^+ C_{\delta + Q, B} C_{\delta + Q, B} C_{K, \alpha}$$



$$\langle H \rangle_V = \sum_{\mathbf{k}, \alpha} (\epsilon(\mathbf{k}) - \mu) n(\mathbf{k}, \alpha) + \frac{V(\mathbf{Q})}{2N} \left( \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_{\mathbf{Q}} V(\mathbf{Q}) \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) n(\mathbf{k} - \mathbf{Q}, \alpha)$$

Minimizing  $\tilde{F}_V$  with respect to  
 the  $n(\mathbf{k}, \alpha)$  # of  $\alpha$ 's  $\rightarrow N_e = N \rho_e$  density of electrons

$$\frac{\delta \tilde{F}_V}{\delta n(\mathbf{k}, \alpha)} = \left[ \epsilon(\mathbf{k}) - \mu + \frac{V(\mathbf{Q})}{N} \left( \sum_{\mathbf{Q}} n(\mathbf{Q}, \alpha) \right) - \frac{1}{2N} \sum_{\mathbf{Q}} V(\mathbf{Q}) [n(\mathbf{k} - \mathbf{Q}, \alpha) + n(\mathbf{k} + \mathbf{Q}, \alpha)] \right] + T \left[ \ln n(\mathbf{k}, \alpha) - \ln(1 - n(\mathbf{k}, \alpha)) \right] = 0$$

$\ln \frac{n}{1-n}$

$$V(k, \alpha) + \frac{V(0)}{2N} \left( \sum_{k, \alpha} n(k, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_Q V(Q) \sum_{k, \alpha} n(k, \alpha) n(k-Q, \alpha)$$

expect to  
 $\rho_e = N \rho_e$  ← density of electrons

$$\frac{\partial}{\partial \beta} \ln Z = \frac{1}{2N} \sum_Q V(Q) [n(k-Q, \alpha) + n(k+Q, \alpha)]$$

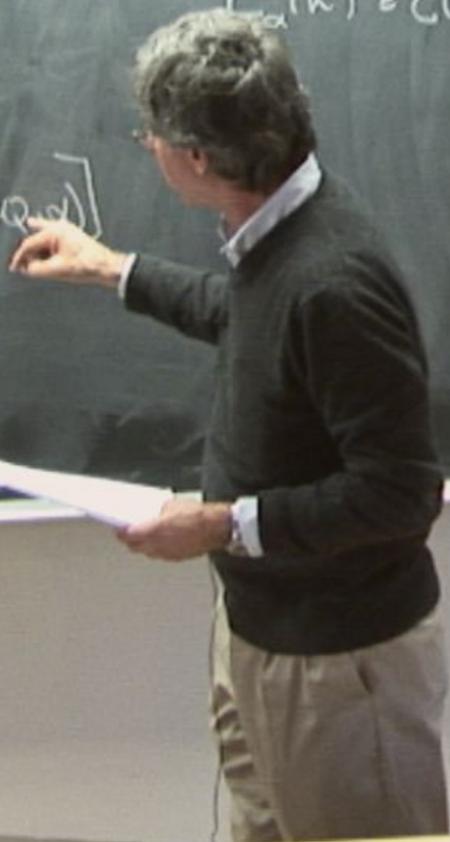
$$\left[ \ln \left( \frac{n}{1-n} \right) - \ln \left( \frac{1-n}{n} \right) \right] = 0$$

$$\ln \frac{n}{1-n}$$

Solution is

$$n(k, \alpha) = \frac{1}{e^{\beta(E_\alpha(k) - \mu)} + 1} \quad \text{where}$$

$$E_\alpha(k) = \epsilon(k) + V(0) \rho_e - \frac{1}{N} \sum_Q V(Q) n(k-Q, \alpha)$$



Solution is

$$n(k, \alpha) = \frac{1}{e^{\beta(E_\alpha(k) - \mu)} + 1} \quad \text{where}$$

$$E_\alpha(k) = \underbrace{\epsilon(k) + V(0)}_{\text{Hartree}} \underbrace{f_\alpha - \frac{1}{N} \sum_Q V(Q) n(k-Q, \alpha)}_{\text{Fock}}$$



$$\langle H \rangle_V = \sum_{\mathbf{k}, \alpha} (\epsilon(\mathbf{k}) - \mu) n(\mathbf{k}, \alpha) + \frac{V(\mathbf{Q})}{2N} \left( \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_{\mathbf{Q}} V(\mathbf{Q}) \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) n(\mathbf{k} - \mathbf{Q}, \alpha)$$

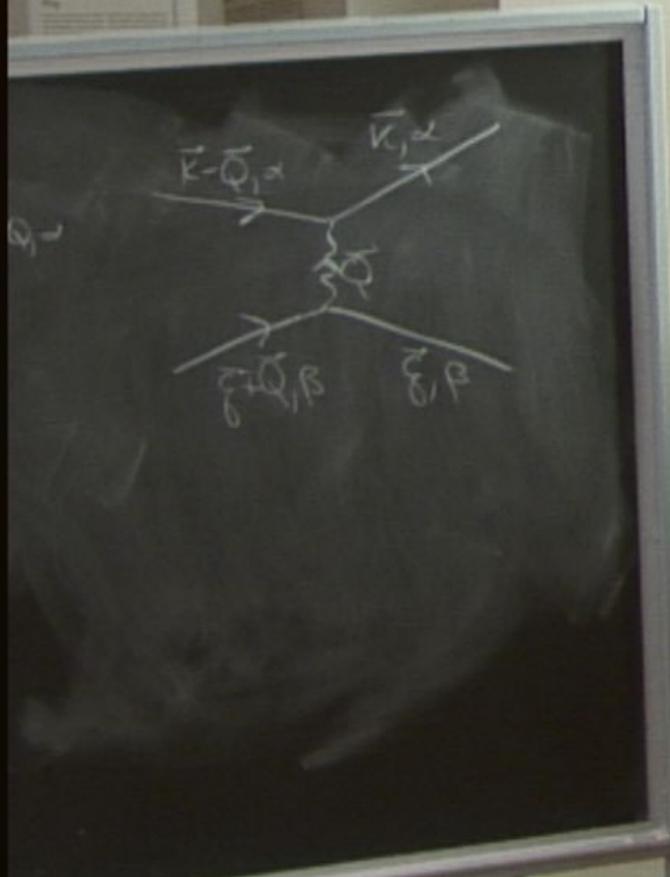
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$E_{\alpha}(\mathbf{k})$

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$$\langle H \rangle_V = \sum_{\mathbf{k}, \alpha} (\epsilon(\mathbf{k}) - \mu) n(\mathbf{k}, \alpha) + \frac{V(\mathbf{Q})}{2N} \left( \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) \right)^2$$

Excitations

$$- \frac{1}{2N} \sum_{\mathbf{Q}} V(\mathbf{Q}) \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) n(\mathbf{k} - \mathbf{Q})$$

$$H_V = \sum_{\vec{k}, \alpha} (\epsilon(\vec{k}) - \mu) n(\vec{k}, \alpha) + \frac{V(\vec{Q})}{2N} \left( \sum_{\vec{k}, \alpha} n(\vec{k}, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_{\vec{Q}} V(\vec{Q}) \sum_{\vec{k}, \alpha} n(\vec{k}, \alpha) n(\vec{k} - \vec{Q}, \alpha)$$

Excitations

Say for  $T=0$  the system is defined by  $\{n^0(\vec{k}, \alpha)\}$ . Consider the low lying excitations

$$n(\vec{k}, \alpha) = n^0(\vec{k}, \alpha) + \delta n(\vec{k}, \alpha)$$

Solution is

$$n(\vec{k}, \alpha) = e^{i\alpha \vec{k} \cdot \vec{r}}$$

$$E_\alpha(\vec{k}) = \epsilon(\vec{k}) + \dots$$

$\delta n(\vec{k}, \alpha) = 0$  or  $1$  for  $E_\alpha(\vec{k}) > \mu$



$$\langle H \rangle_V = \sum_{\mathbf{k}, \alpha} (\epsilon(\mathbf{k}) - \mu) n(\mathbf{k}, \alpha) + \frac{V(\mathbf{Q})}{2N} \left( \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) \right)^2$$

$$- \frac{1}{2N} \sum_{\mathbf{Q}} V(\mathbf{Q}) \sum_{\mathbf{k}, \alpha} n(\mathbf{k}, \alpha) n(\mathbf{k} - \mathbf{Q}, \alpha)$$

Excitations

Say for  $T=0$  the system is defined by  $\{n^0(\mathbf{k}, \alpha)\}$ . Consider the low-lying excitations

$$n(\mathbf{k}, \alpha) = n^0(\mathbf{k}, \alpha) + \delta n(\mathbf{k}, \alpha)$$

Solution

$$n(\mathbf{k}, \alpha) =$$

$$E_\alpha(\mathbf{k}) = \epsilon(\mathbf{k})$$

$$\delta n(\mathbf{k}, \alpha) = 0 \text{ or } 1 \text{ for } E_\alpha(\mathbf{k}) > \mu$$

$$\downarrow \delta n(\mathbf{k}, \alpha) = 0 \text{ or } -1 \text{ for } E_\alpha(\mathbf{k}) < \mu$$

Then  $\langle H \rangle_{\text{excited}} = \langle H_0 \rangle + \sum_{\mathbf{k}, \alpha} \overbrace{\delta n(\mathbf{k}, \alpha)}^{\text{all } \geq 0} (E_{\alpha}(\mathbf{k}) - \mu)$

Excitation

say for 0 the system is

state  $\{n^0(\mathbf{k}, \alpha)\}$  Consider the  
 eigen excitations  $n(\mathbf{k}, \alpha) = n^0(\mathbf{k}, \alpha) + \delta n(\mathbf{k}, \alpha)$

Solution

$$n(\mathbf{k}, \alpha) =$$

$$E_{\alpha}(\mathbf{k}) = \epsilon(\mathbf{k})$$

$$\delta n(\mathbf{k}, \alpha) = 0 \text{ or } 1 \text{ for } E_{\alpha}(\mathbf{k}) > \mu$$

$$\delta n(\mathbf{k}, \alpha) = 0 \text{ or } -1 \text{ for } E_{\alpha}(\mathbf{k}) < \mu$$

$$n(\mathbf{k}, \alpha) = n^0(\mathbf{k}, \alpha) + \delta n(\mathbf{k}, \alpha)$$

Then  $\langle H \rangle_{\text{exptd}} = \langle H \rangle_0 + \sum_{k,d} \delta n(k,\alpha) \overbrace{(E_d(k) - \mu)}^{\text{all } \geq 0}$

Excitations  $+ \frac{1}{2N} \sum_{\substack{k, k' \\ \alpha, \beta}} \delta n(k,\alpha) \delta n(k',\beta) [V(0) - \delta_{\alpha\beta} V(k-k')] E_d(k) = E(k)$

Setting  $\delta n(k,\alpha) = 0$  the system is

Consider the relations

$$n(k,\alpha) = n^0(k,\alpha) + \delta n(k,\alpha)$$

Solution

$$n(k,\alpha) =$$

$$\delta n(k,\alpha) = 0 \text{ or } 1 \text{ for } E_d(k) > \mu$$

$$\delta n(k,\alpha) = 0 \text{ or } -1 \text{ for } E_d(k) < \mu$$

Then  $\langle H \rangle_{\text{excited}} = \langle H \rangle_0 + \sum_{\mathbf{k}, \alpha} \delta n(\mathbf{k}, \alpha) (E_{\alpha}(\mathbf{k}) - \mu)$  all  $\geq 0$

Excitations  $+ \frac{1}{2N} \sum_{\mathbf{k}, \mathbf{k}'} \sum_{\alpha, \beta} \delta n(\mathbf{k}, \alpha) \delta n(\mathbf{k}', \beta) [V(0) - \delta_{\alpha\beta} V(\mathbf{k}-\mathbf{k}')] E_{\alpha}(\mathbf{k}) = E(\mathbf{k})$

Say for  $T=0$  the system is defined by  $\{\delta n(\mathbf{k}, \alpha)\}$ . Consider the low-lying excitations  $n(\mathbf{k}, \alpha) = n_0(\mathbf{k}, \alpha) + \delta n(\mathbf{k}, \alpha)$

$\delta n(\mathbf{k}, \alpha) = 0$  or  $1$  for  $E_{\alpha}(\mathbf{k}) > \mu$

$\delta n(\mathbf{k}, \alpha) = 0$  or  $-1$  for  $E_{\alpha}(\mathbf{k}) < \mu$

$n(\mathbf{k}, \alpha) = n_0(\mathbf{k}, \alpha) + \delta n(\mathbf{k}, \alpha)$

Solution

$n(\mathbf{k}, \alpha) =$

Then  $\langle H \rangle_{\text{excited}} = \langle H \rangle_0 + \sum_{k,d} \delta n(k,\alpha) \overbrace{(E_d(k) - \mu)}^{\text{all } \geq 0}$

Excitations  $+ \frac{1}{2N} \sum_{\substack{k, k' \\ \alpha, \beta}} \delta n(k,\alpha) \delta n(k',\beta) [V(0) - \delta_{\alpha\beta} V(k-k')] E_d(k) = \epsilon(k)$

Say for  $T=0$  the system is defined by  $\{n^0(k,\alpha)\}$ . Consider the low lying excitations

$$n(k,\alpha) = n^0(k,\alpha) + \delta n(k,\alpha)$$

Solution

$$n(k,\alpha) =$$

$$\delta n(k,\alpha) = 0 \text{ or } 1 \text{ for } E_d(k) > \mu$$

$$\delta n(k,\alpha) = 0 \text{ or } -1 \text{ for } E_d(k) < \mu$$