

Title: Condensed Matter Review - Lecture 2

Date: Jan 04, 2011 10:15 AM

URL: <http://pirsa.org/11010020>

Abstract:

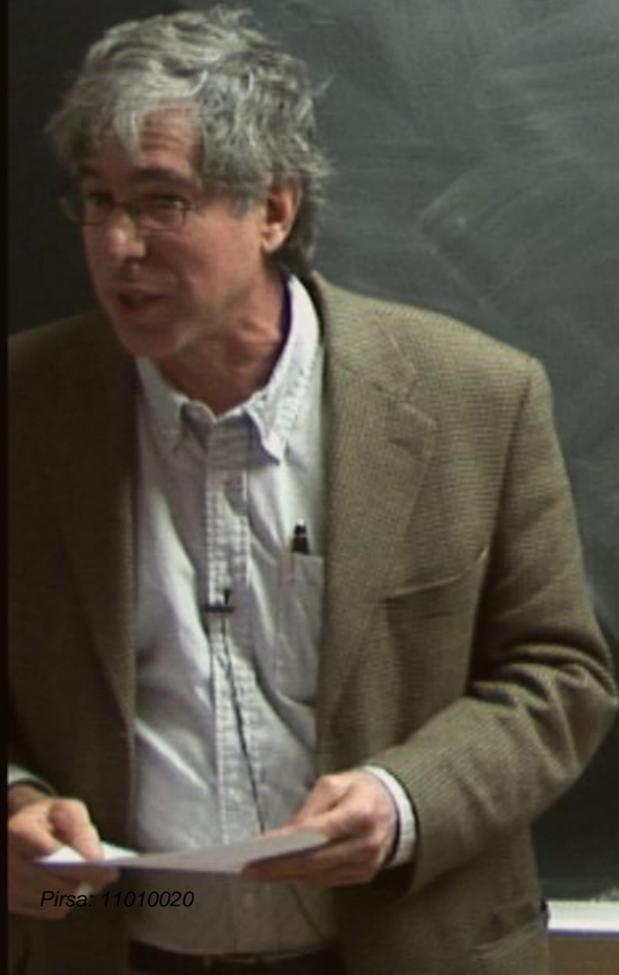


perimeter scholars  
INTERNATIONAL

# Counting States

$$\sum_{\mathcal{E}} \rightarrow$$

3



# Counting States

$$\sum_{\mathbf{k}} \rightarrow \frac{V}{(2\pi)^3} \int_{-\infty}^{\infty} d^3k_x \int_{-\infty}^{\infty} d^3k_y \int_{-\infty}^{\infty} d^3k_z$$

3

# Counting States

$$\sum_{\mathbf{g}} \rightarrow \frac{V}{(2\pi)^3} \int_{-\infty}^{\infty} d^3g = \frac{4\pi V}{(2\pi)^3} \int_0^{\infty} g^2 dg$$

# Counting States

$$\sum_{\mathbf{g}} \rightarrow \frac{V}{(2\pi)^3} \int_{-\infty}^{\infty} d\mathbf{g}_x \int_{-\infty}^{\infty} d\mathbf{g}_y \int_{-\infty}^{\infty} d\mathbf{g}_z = \frac{4\pi V}{(2\pi)^3} \int_0^{\infty} g^2 dg$$

$$E_g = \frac{\hbar^2 g^2}{2m} \rightarrow g = \sqrt{\frac{2mE_g}{\hbar^2}} dg \dots$$

$$\sum_{\mathbf{g}} \rightarrow \frac{4\pi V}{(2\pi)^3} \int_0^{\infty} \frac{2mE_g}{\hbar^2} \sqrt{\frac{m}{2\hbar^2 E_g}} dE_g$$

$$= \frac{V}{4\pi^2} \left(\frac{2m}{\hbar^2}\right)^{3/2} \int_0^{\infty} \sqrt{E} dE$$

Density of states  $\rho(E)$

$$\rho(\epsilon) \sim V$$

8

## Counting States

$$\sum_{\vec{g}} \rightarrow \frac{V}{(2\pi)^3} \int_{-\infty}^{\infty} d g_x \int_{-\infty}^{\infty} d g_y \int_{-\infty}^{\infty} d g_z = \frac{4\pi V}{(2\pi)^3} \int_0^{\infty} g^2 dg$$

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Density of states  $\rho(E)$

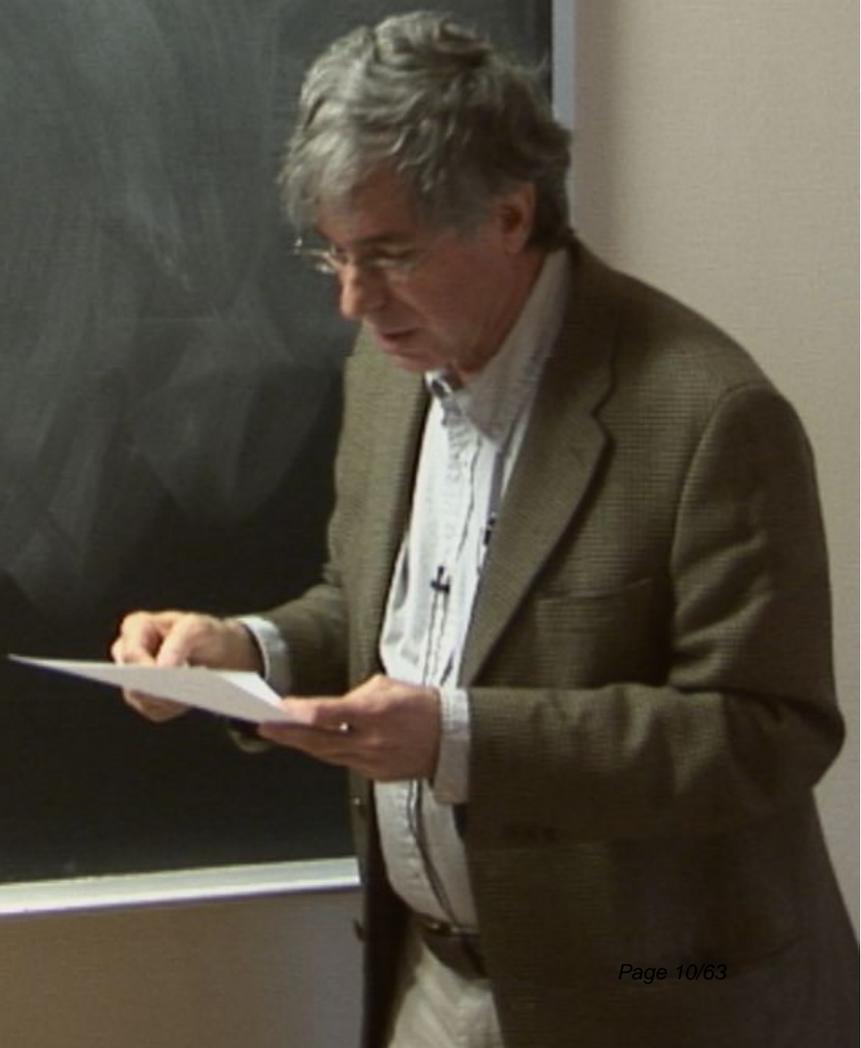
o  
o

$$g^2 dg$$

$$\rho(\epsilon) \sim \sqrt{\epsilon} \\ \sim M^{3/2} \text{ for } d=3$$



$$\begin{aligned} \rho(\epsilon) &\sim \sqrt{\epsilon} \\ &\sim m^{3/2} \text{ for } d=3 \\ &\sim \sqrt{\epsilon} \text{ for } d=3 \end{aligned}$$



$$\rho(\epsilon) \sim \sqrt{\epsilon}$$
$$\sim m^{3/2} \text{ for } d=3$$
$$\sim \sqrt{\epsilon} \text{ for } d=3$$

$$\rho(\epsilon) \sim \epsilon^{\frac{d-2}{2}}$$

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$$\rho(\epsilon) \sim \epsilon^{\frac{d-2}{2}}$$

$$\text{Fermi Energy} \equiv \mu(T=0, N)$$

$$\rho(\epsilon) \sim \sqrt{V}$$
$$\sim m^{3/2} \text{ for } d=3$$
$$\sim \sqrt{\epsilon} \text{ for } d=3$$

$$\rho(\epsilon) \sim \epsilon^{\frac{d-2}{2}}$$

Fermi Energy  $\equiv \mu(T=0, N)$

$$E_F = \left( 3\pi^2 \frac{N}{V} \right)^{2/3} \frac{\hbar^2}{2m}$$

$$\rho(\epsilon) \sim \sqrt{V}$$

$$\sim m^{3/2} \text{ for } d=3$$

$$\sim \sqrt{\epsilon} \text{ for } d=3$$

$$\rho(\epsilon) \sim \epsilon^{\frac{d-2}{2}}$$

$$\text{Fermi Energy} = \mu(T=0, N)$$

$$E_F = \underbrace{\left(3\pi^2 \frac{N}{V}\right)^{2/3}}_{K_F} \frac{\hbar^2}{2m}$$

$$\rho(\epsilon) \sim V$$

$$\sim m^{3/2} \text{ for } d=3$$

$$\sim \sqrt{\epsilon} \text{ for } d=3$$

$$\rho(\epsilon) \sim \epsilon^{\frac{d-2}{2}}$$

$$\text{Fermi Energy} = \mu(T=0, N)$$

$$E_F = \left( 3\pi^2 \frac{N}{V} \right)^{2/3} \frac{\hbar^2}{2m}$$

$\underbrace{\hspace{10em}}_{K_F}$

Fermi Surface  
Surface in

$$\rho(\epsilon) \sim V$$

$$\sim m^{3/2} \text{ for } d=3$$

$$\sim \sqrt{\epsilon} \text{ for } d=3$$

$$\rho(\epsilon) \sim \epsilon^{\frac{d-2}{2}}$$

$$\text{Fermi Energy} = \mu(T=0, N)$$

$$E_F = \left( 3\pi^2 \frac{N}{V} \right)^{2/3} \frac{\hbar^2}{2m}$$

Fermi Surface  $k_F$

Surface in  $\vec{k}$ -space  $E(\vec{k}) = E_F$

$$\rho(\epsilon) \sim V$$

$$\sim m^{3/2} \text{ for } d=3$$

$$\sim \sqrt{\epsilon} \text{ for } d=3$$

$$\rho(\epsilon) \sim \epsilon^{\frac{d-2}{2}}$$

$$\text{Fermi Energy} = \mu(T=0, N)$$

$$E_F = \left( 3\pi^2 \frac{N}{V} \right)^{2/3} \frac{\hbar^2}{2m} = \frac{\hbar^2 K_F^2}{2m}$$

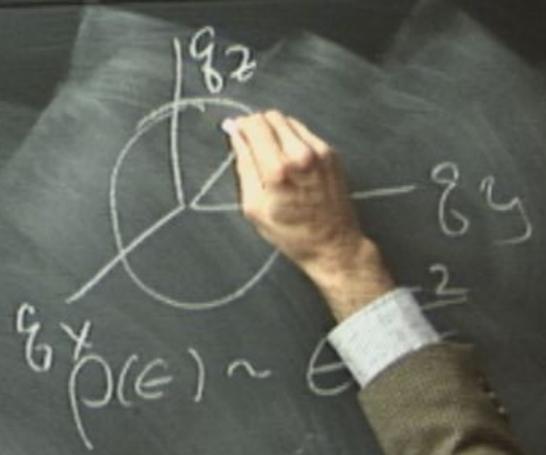
Fermi Surface

Surface in  $\vec{k}$ -space  $E(\vec{k}) = E_F$

$$\rho(\epsilon) \sim V$$

$$\sim m^{3/2} \text{ for } d=3$$

$$\sim \sqrt{\epsilon} \text{ for } d=3$$



Fermi Energy  $\equiv \mu(T=0, N)$

$$E_F = \left( 3\pi^2 \frac{N}{V} \right)^{2/3} \frac{\hbar^2}{2m}$$

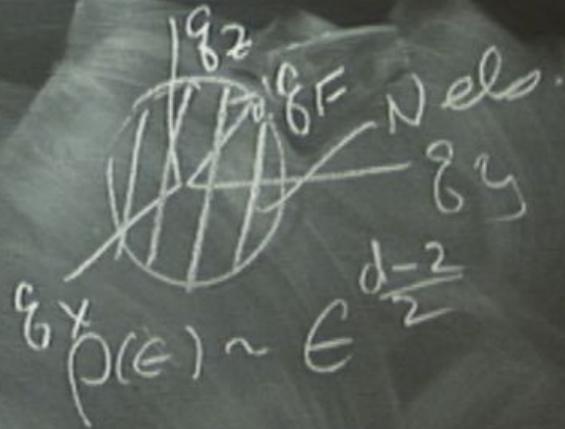
Fermi Surface  $k_F$

Surface in  $\vec{g}$ -space  $E(\vec{g})$

$$\rho(\epsilon) \sim V$$

$$\sim M^{3/2} \text{ for } d=3$$

$$\sim \sqrt{\epsilon} \text{ for } d=3$$



$$\text{Fermi Energy} = \mu(T=0, N)$$

$$\epsilon_F = \left( \underbrace{3\pi^2 \frac{N}{V}}_2 \right)^{2/3} \frac{\hbar^2}{2m} = \frac{\hbar^2 k_F^2}{2m}$$

Fermi Surface

Surface in  $\vec{k}$ -space  $\epsilon(\vec{k}) = \epsilon_F$

# Motion in a Periodic Potential

# Motion in a Periodic Potential

Translational Symmetry - discrete symmetry

$$G_T = \{ T_{R_{l,m,n}} ; l, m, n = 0, \dots, L-1 \}$$

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Translational Symmetry - discrete symmetry

$$G_T = \{ T_{\vec{R}_{l,m,n}} ; l, m, n = 0, \dots, L-1 \}$$

primitive lattice vectors  $L \times L \times L$

$$\vec{R}_{l,m,n} = l\vec{a} + m\vec{b} + n\vec{c}$$

$$T_{0,0,0}$$

$$T_{R_{L,0}}$$

# Motion in a Periodic Potential

Translational Symmetry - discrete symmetry

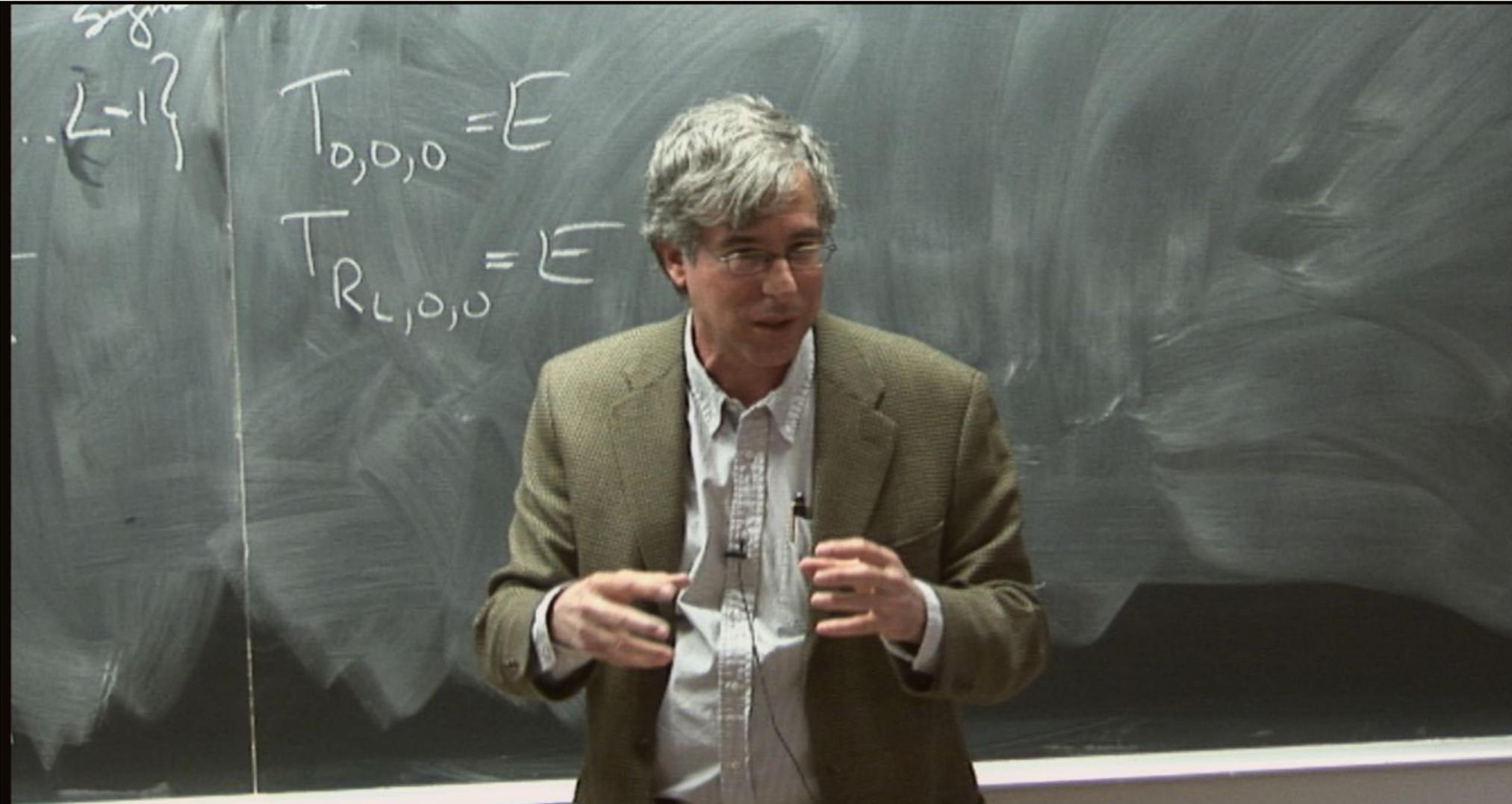
$$G_T = \{ T_{\vec{R}_{l,m,n}} ; l, m, n = 0, \dots, L-1 \}$$

primitive lattice vectors  $L \times L \times L$

$$\vec{R}_{l,m,n} = l\vec{a} + m\vec{b} + n\vec{c}$$

$$T_{0,0,0} =$$

$$T_{L,0,0} =$$



$$G_T = \{T_{\vec{R}_{l,m,n}}; l, m, n = 0, \dots, L-1\}$$

pbcs  $L \times L \times L$

$$\vec{R}_{l,m,n} = l\vec{a} + m\vec{b} + n\vec{c}$$

$\vec{a}, \vec{b}, \vec{c} =$  "primitive" translations

10 translations symmetry

$$G_T = \{ T_{R_{l,m,n}}; l, m, n = 0, \dots, L-1 \}$$

pbcs  $L \times L \times L$

$$R_{l,m,n} = l\vec{a} + m\vec{b} + n\vec{c}$$

$\vec{a}, \vec{b}, \vec{c}$  = "primitive" translation vectors

$$T_{0,0,0} = E$$

$$T_{R_{L,0,0}} = E$$

10 translations symmetry 28

$$G_T = \{ T_{\vec{R}_{l,m,n}}; l, m, n = 0, \dots, L-1 \}$$

parameters  $L \times L \times L$

$$\vec{R}_{l,m,n} = l\vec{a} + m\vec{b} + n\vec{c}$$

$\vec{a}, \vec{b}, \vec{c}$  = "primitive" translation vectors

$$|\vec{a} \cdot \vec{b} \times \vec{c}| \neq 0$$

$V_c$

$$T_{0,0,0} = E$$

$$T_{R_{L,0,0}} = E$$

1.) Functions with the symmetry of  $G_T$

$$T_{\vec{R}} f(\vec{r}) = f(\vec{r} + \vec{R}) = f(\vec{r})$$

$$T_{0,0,0} = E$$

$$T_{R_L,0,0} = E$$

vectors

1.) Functions with the symmetry of  $G_T$

$$T_{\vec{R}} f(\vec{r}) = f(\vec{r} + \vec{R}) = f(\vec{r})$$

$\delta$

$$T_{0,0,0} = E$$

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vectors

1.) Functions with the symmetry of  $G_T$

$$T_{\vec{R}} f(\vec{r}) = f(\vec{r} + \vec{R}) = f(\vec{r})$$

$$f(\vec{r}) = \sum_{\vec{Q}} F(\vec{Q}) e^{i\vec{Q} \cdot \vec{r}}$$

$$T_{0,0,0} = E$$

$$T_{R,0,0} = E$$

vectors

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vectors

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$$T_{\vec{R}} f(\vec{r}) = f(\vec{r} + \vec{R}) = f(\vec{r})$$

$$f(\vec{r}) = \sum_{\vec{Q} \in G_T} F(\vec{Q}) e^{i\vec{Q} \cdot \vec{r}}$$

Sum over all  $\vec{Q}$  for which  $\vec{Q} \cdot \vec{R} = 2\pi n$

$\delta$

$$T_{0,0,0} = E$$

$$T_{L,0,0} = E$$

vectors

1.) Functions with the symmetry of  $G_T$

$$T_{\vec{R}} f(\vec{r}) = f(\vec{r} + \vec{R}) = f(\vec{r})$$

$$f(\vec{r}) = \sum_{\vec{Q} \in \text{MOT}} F(\vec{Q}) e^{i\vec{Q} \cdot \vec{r}}$$

Sum over all  $\vec{Q} \in \text{MOT}$  for which  $\vec{Q} \cdot \vec{R} = 2\pi n$

$$\vec{Q} = L\vec{A} + M\vec{B} + N\vec{C}$$

where

$$\vec{a} \cdot \vec{A} = 2\pi$$

$$\vec{a} \cdot \vec{B} = \vec{a} \cdot \vec{C} = 0$$

etc

$$\vec{Q} = L\vec{A} + M\vec{B} + N\vec{C}$$

Then  $\vec{A} = \lambda$

where

$$\vec{a} \cdot \vec{A} = 2\pi$$

$$\vec{a} \cdot \vec{B} = \vec{a} \cdot \vec{C} = 0$$

etc

$$\vec{Q} = L\vec{A} + M\vec{B} + N\vec{C}$$

$$\text{Then } \vec{A} = \frac{2\pi \vec{b} \times \vec{c}}{\vec{a} \cdot \vec{b} \times \vec{c}}$$

where

$$\vec{a} \cdot \vec{A} = 2\pi$$

$$\vec{a} \cdot \vec{B} = \vec{a} \cdot \vec{C} = 0$$

etc

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etc

$$\vec{A} \cdot \vec{b} = \vec{A} \cdot \vec{c} = 0$$

$$\vec{Q} = L\vec{A} + M\vec{B} + N\vec{C}$$

$$\text{Then } \vec{A} = \frac{2\pi \vec{b} \times \vec{c}}{\vec{a} \cdot \vec{b} \times \vec{c}}$$

etc

$\vec{Q}$  are called "reciprocal lattice vectors"

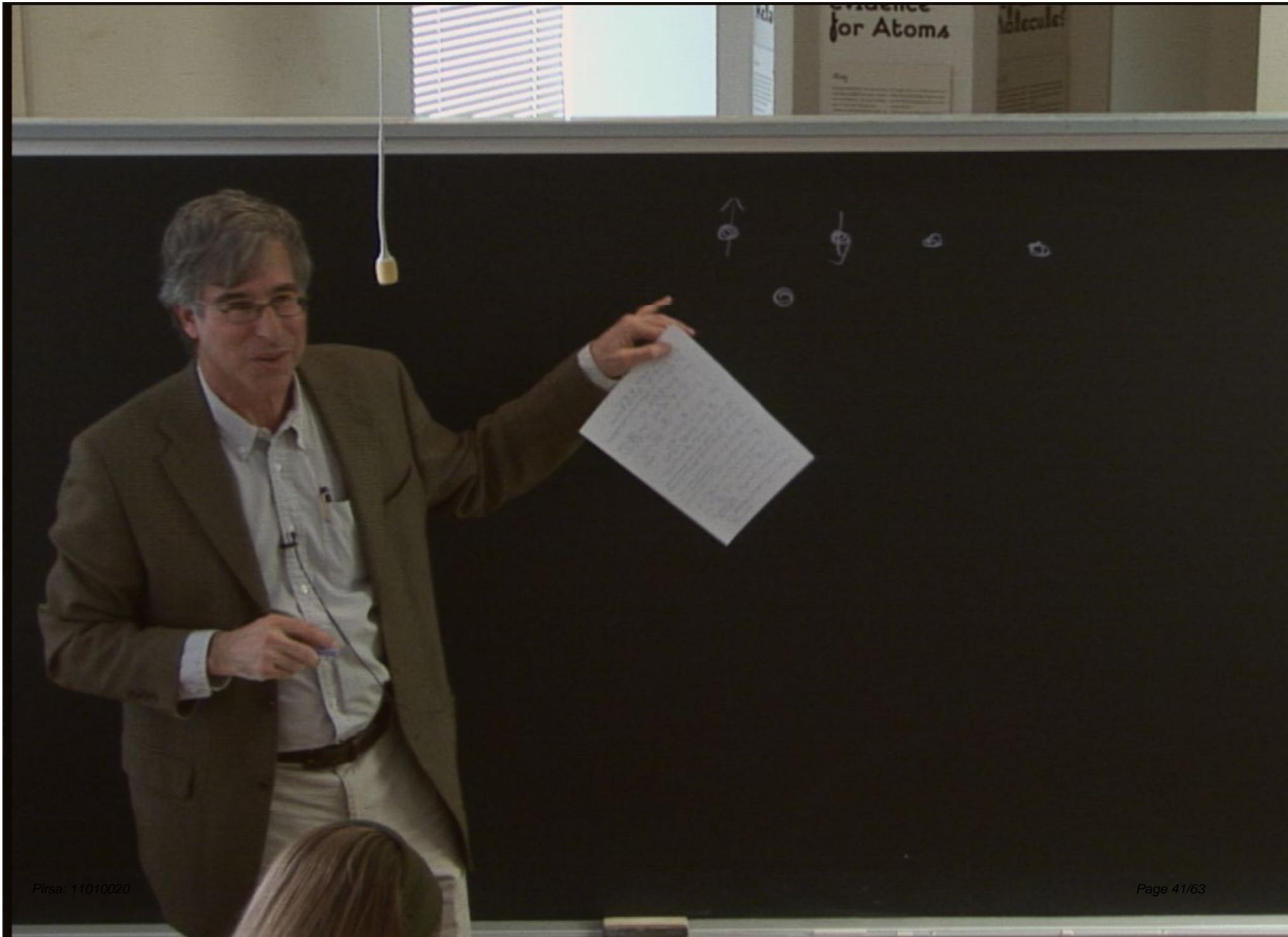
where

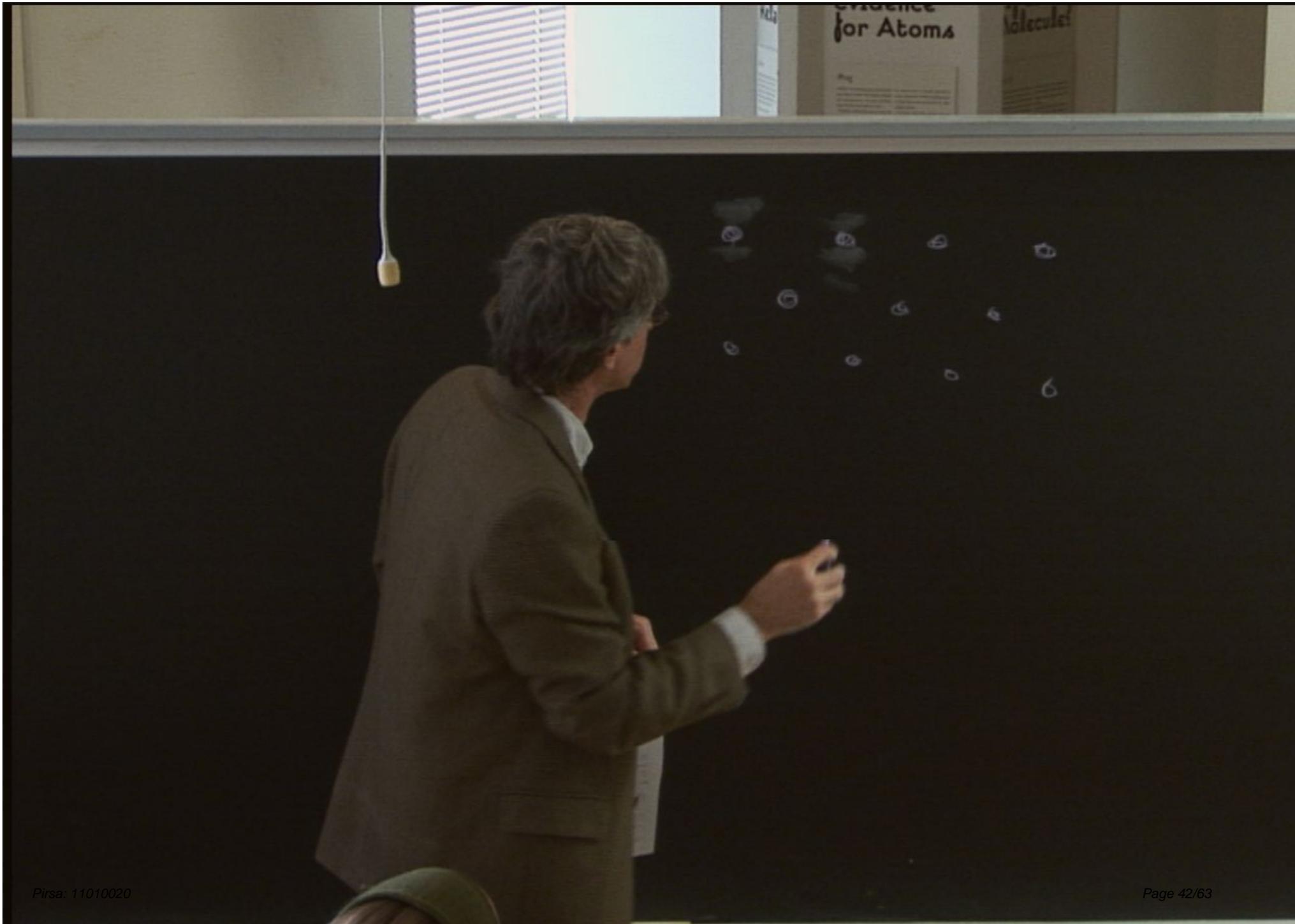
$$\vec{a} \cdot \vec{A} = 2\pi$$

$$\vec{a} \cdot \vec{B} = \vec{a} \cdot \vec{C} = 0$$

etc

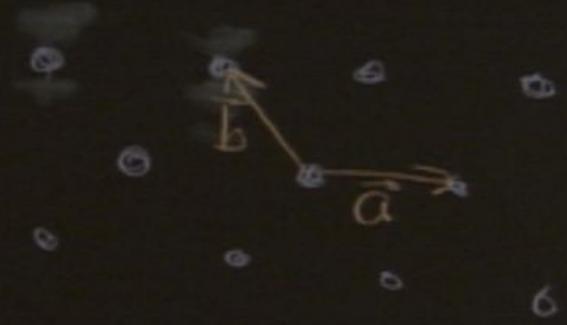
$$\vec{A} \cdot \vec{b} = \vec{A} \cdot \vec{c} = 0$$





evidence  
for Atoms

Molecules!



$$\vec{Q} = L\vec{A} + M\vec{B} + N\vec{C}$$

$$\text{Then } \vec{A} = \frac{2\pi \vec{b} \times \vec{c}}{\vec{a} \cdot \vec{b} \times \vec{c}}$$

etc

$\vec{Q}$  are called "reciprocal lattice vectors"

RLV's form a periodic lattice with primitive vectors  $\vec{A}, \vec{B}, \vec{C}$

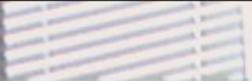
where

$$\vec{a} \cdot \vec{A} = 2\pi$$

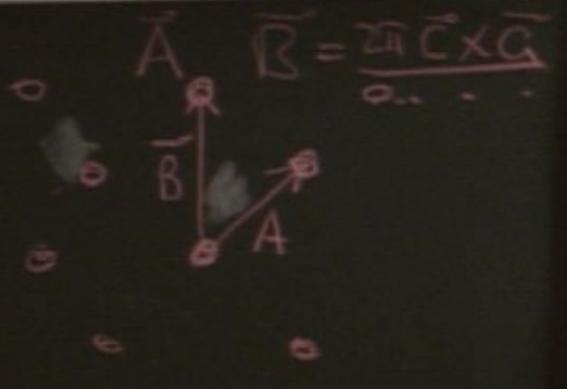
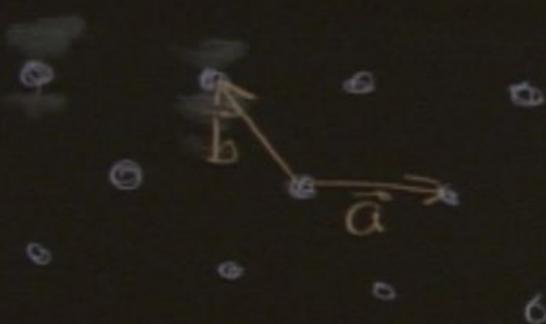
$$\vec{a} \cdot \vec{B} = \vec{a} \cdot \vec{C} = 0$$

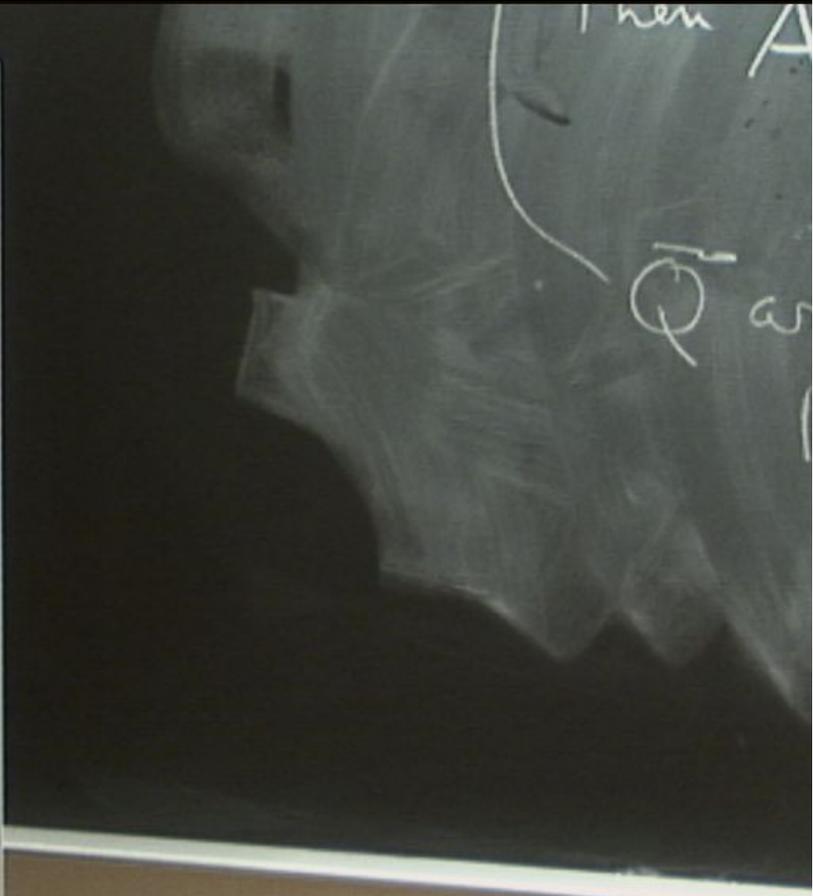
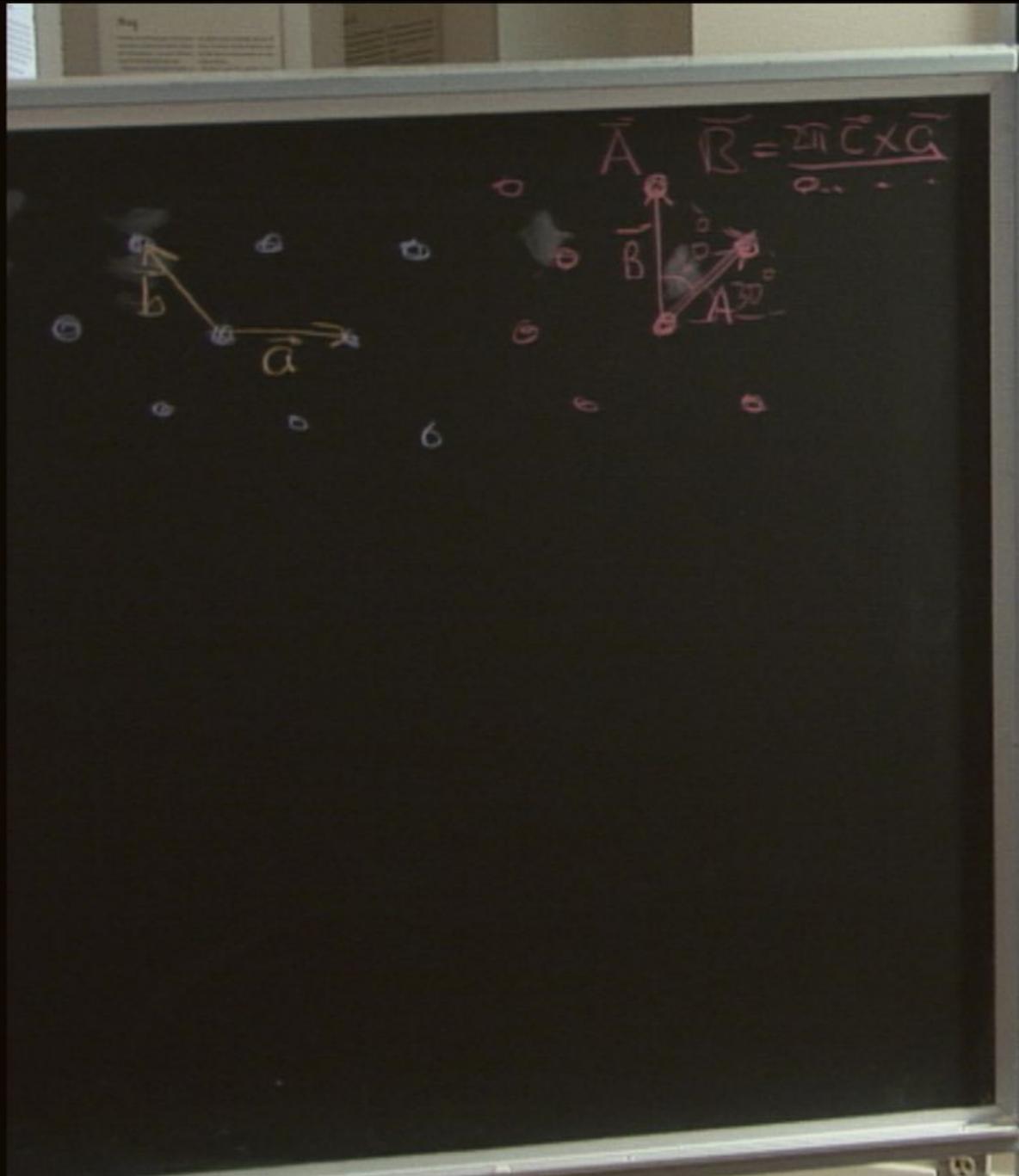
etc

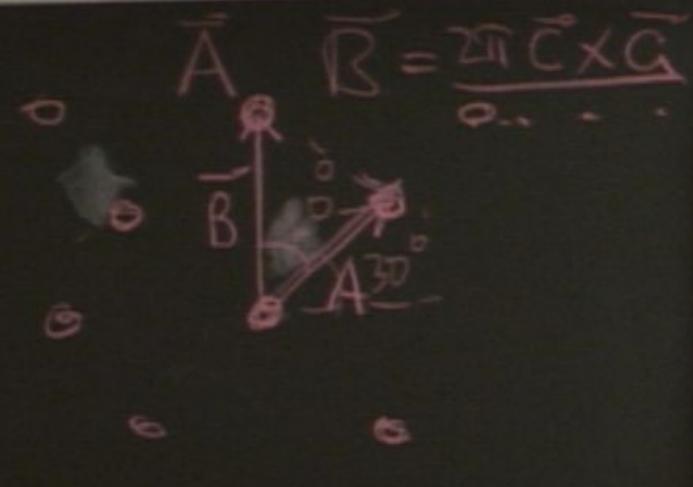
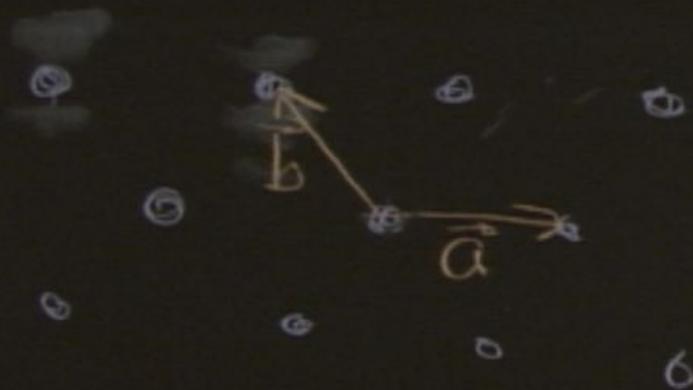
$$\vec{A} \cdot \vec{b} = \vec{A} \cdot \vec{c} = 0$$



Handwritten notes on a piece of paper pinned to the top of the chalkboard.







$$\vec{B} = \frac{2\pi \vec{C} \times \vec{G}}{\dots}$$

Basis functions for irreducible reps of  $G_T$

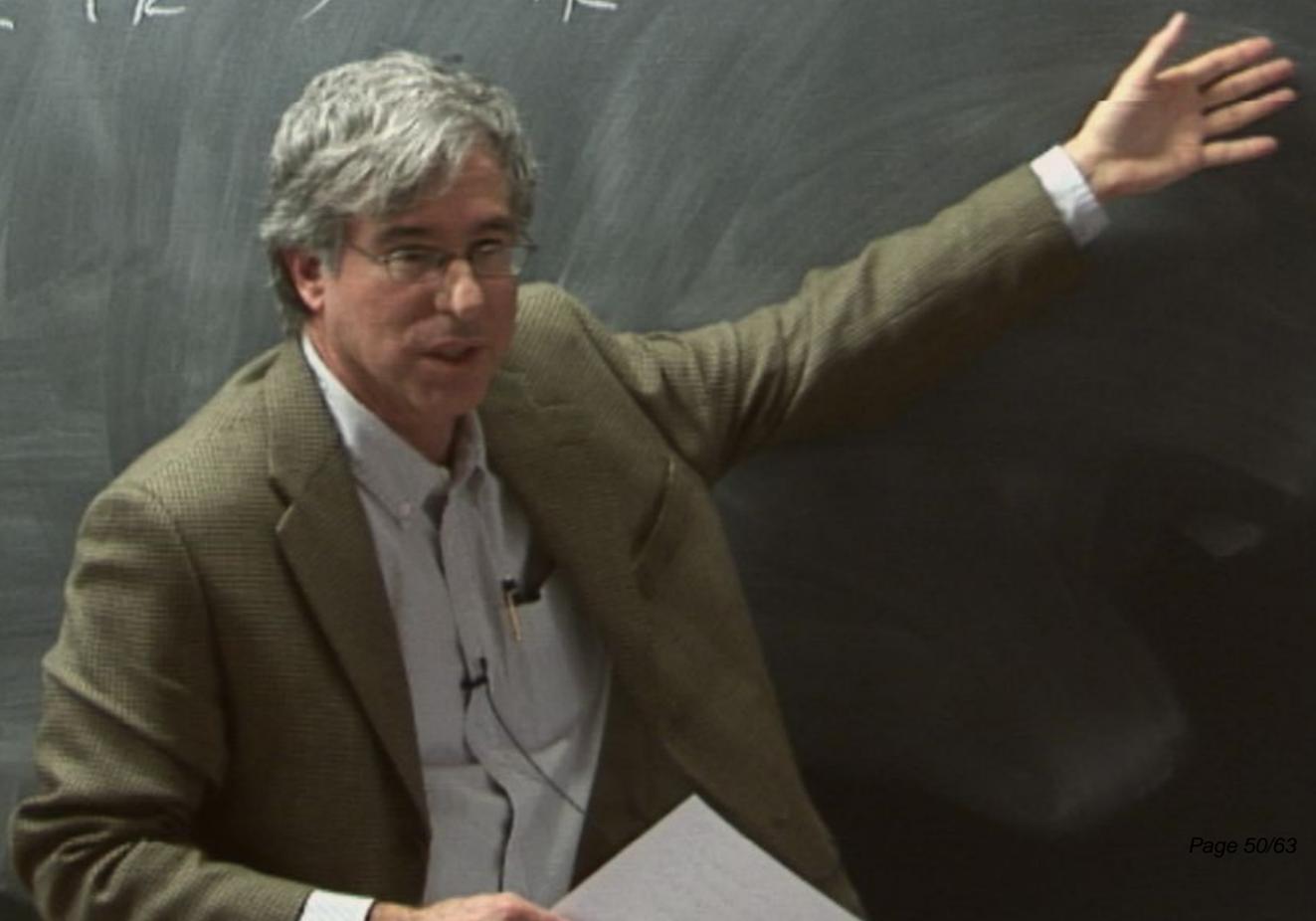
$$T_R \Psi_{E^2}(\vec{r})$$

Basis functions for irreducible reps of  $G_T$

$$T_{\vec{R}} \psi_{\vec{K}}(\vec{r}) = \psi_{\vec{K}}(\vec{r} + \vec{R}) = e^{i\vec{K} \cdot \vec{R}} \psi_{\vec{K}}(\vec{r})$$

Basis functions for irreducible reps of  $G_T$

$$T_{\vec{R}} \psi_{\vec{K}}(\vec{r}) = \psi_{\vec{K}}(\vec{r} + \vec{R}) = e^{i\vec{K} \cdot \vec{R}} \psi_{\vec{K}}(\vec{r})$$



Basis functions for irreducible reps of  $G_T$

$$T_{\vec{R}} \psi_{\vec{K}}(\vec{r}) = \psi_{\vec{K}}(\vec{r} + \vec{R}) = e^{i\vec{K} \cdot \vec{R}} \psi_{\vec{K}}(\vec{r})$$

For pbc's

$$\vec{K} = \frac{l}{L} \vec{A} + \frac{m}{L} \vec{B} + \frac{n}{L} \vec{C}$$

Basis functions for irreducible reps of  $G_T$

$$T_{\vec{R}} \psi_{\vec{K}}(\vec{r}) = \psi_{\vec{K}}(\vec{r} + \vec{R}) = e^{i\vec{K} \cdot \vec{R}} \psi_{\vec{K}}(\vec{r})$$

For pbc's

$$\vec{K} = \frac{l}{L} \vec{A} + \frac{m}{L} \vec{B} + \frac{n}{L} \vec{C}$$

$$\psi_{\vec{K}}(\vec{r} + L\vec{a}) = e^{i\vec{K} \cdot L\vec{a}} \psi_{\vec{K}}(\vec{r})$$

$L\vec{A} \cdot \vec{a}$

Basis functions for irreducible reps of  $G_T$

Bloch's Theorem  $T_{\vec{R}} \psi_{\vec{K}}(\vec{r}) = \psi_{\vec{K}}(\vec{r} + \vec{R}) = e^{i\vec{K} \cdot \vec{R}} \psi_{\vec{K}}(\vec{r})$

For pbc's

$$\vec{K} = \frac{l}{L} \vec{A} + \frac{m}{L} \vec{B} + \frac{n}{L} \vec{C}$$

$$\psi_{\vec{K}}(\vec{r} + L\vec{a}) = e^{i\vec{K} \cdot L\vec{a}} \psi_{\vec{K}}(\vec{r})$$

$$L\vec{a} \cdot \vec{a} = 2\pi l$$

$$n = 0, \dots, L-1$$

modulo a  $2\pi$ .

Basis functions for irreducible reps of  $G_T$

Bloch's Theorem  $T_{\vec{R}} \psi_{\vec{K}}(\vec{r}) = \psi_{\vec{K}}(\vec{r} + \vec{R}) = e^{i\vec{K} \cdot \vec{R}} \psi_{\vec{K}}(\vec{r})$

(Floquet's Thm) For pbc's

$$\vec{K} = \frac{l}{L} \vec{A} + \frac{m}{L} \vec{B} + \frac{n}{L} \vec{C}$$

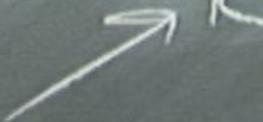
Then  $\psi_{\vec{K}}(\vec{r} + L\vec{a}) = e^{i\vec{K} \cdot L\vec{a}} \psi_{\vec{K}}(\vec{r})$

$$L\vec{a} \cdot \vec{a} = 2\pi l$$

$$l, m, n = 0, \dots, L-1$$

Defined modulo a R.L.V.

Alternative form  
of Bloch's The

$$\Psi_{\vec{k}}(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} u_{\vec{k}}(\vec{r})$$


Alternative form  
of Bloch's Theorem

$$\psi_{\vec{k}}(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} u_{\vec{k}}(\vec{r})$$

$$u_{\vec{k}}(\vec{r}) = u_{\vec{k}}(\vec{r} + \vec{R})$$

$\overline{B-A}$

$\overline{B+A}$

$B$

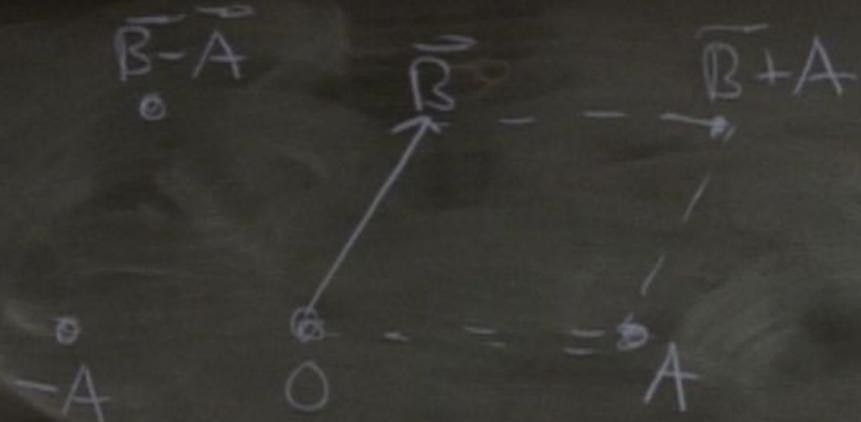
$-A$

$O$

$A$

$-\overline{B}$

$-\overline{B+A}$



$$\vec{B}-\vec{A}$$

$$\vec{B}+\vec{A}$$

$$-\vec{A}$$



Brillouin Zone

$$-\vec{B}$$

$$-\vec{B}+\vec{A}$$

Continuum of wave-vectors not related in  $R_1$

$\vec{B}-\vec{A}$

$\vec{B}+\vec{A}$

$-\vec{A}$



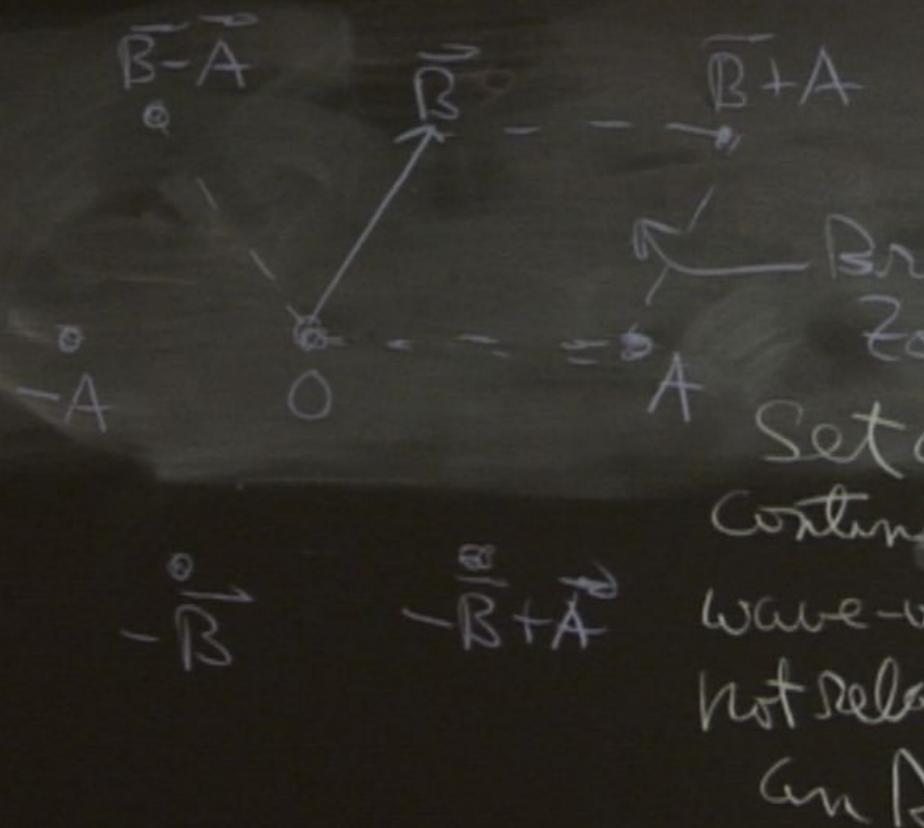
Brillouin Zone

Set of  
 contiguous  
 wave-vectors  
 not related by  
 an RLV

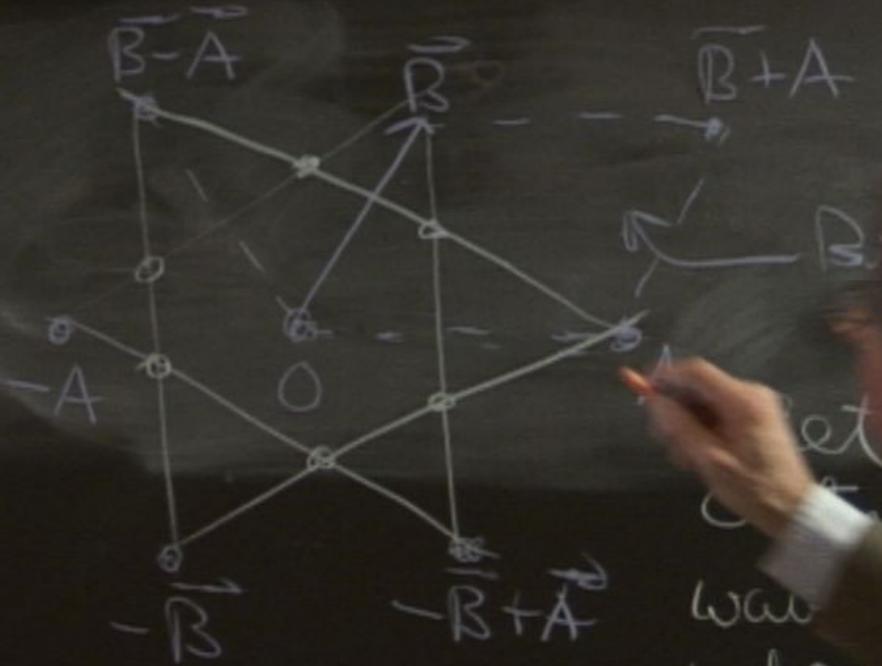
$-\vec{B}$

$-\vec{B}+\vec{A}$

# Wigner-Seitz Construction

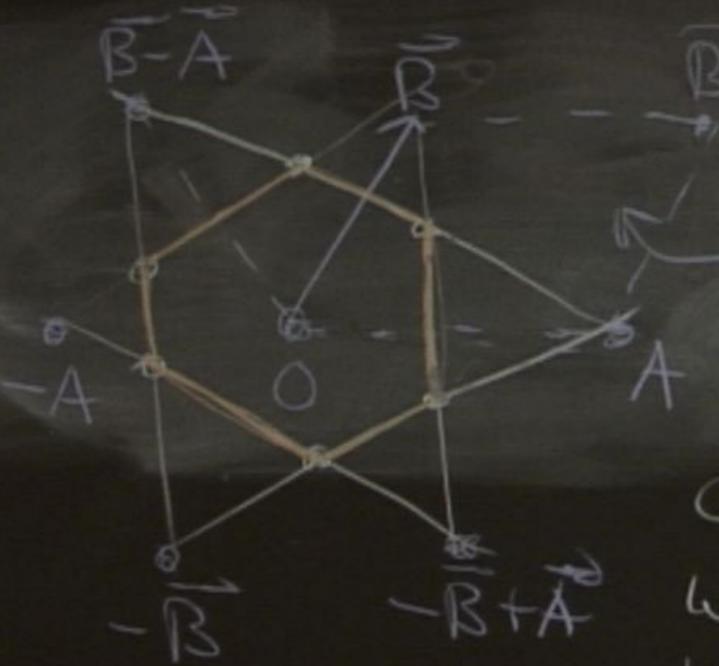


# Wigner-Seitz Construction



...et  
 was  
 not rele  
 an A

# Wigner-Seitz Construction



Brillouin Zone  
Set of  
contiguous  
wave-vectors  
not related by  
an RLV