

Title: Topological quantum order and quantum codes

Date: Dec 01, 2010 02:00 PM

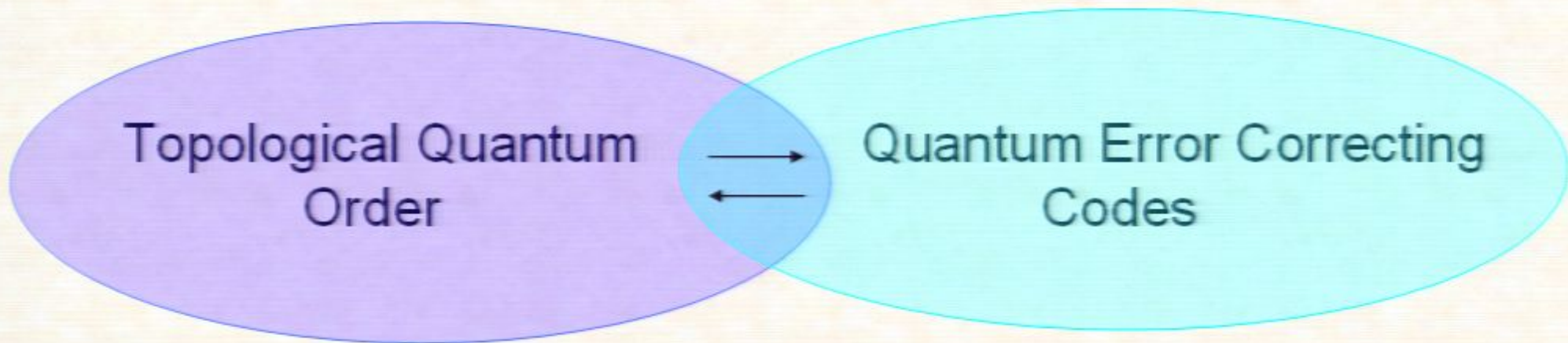
URL: <http://pirsa.org/10120017>

Abstract: Quantum error correcting codes and topological quantum order (TQO) are inter-connected fields that study non-local correlations in highly entangled many-body quantum states. In this talk I will argue that each of these fields offers valuable techniques for solving problems posed in the other one. First, we will discuss the zero-temperature stability of TQO and derive simple conditions that guarantee stability of the spectral gap and the ground state degeneracy under generic local perturbations. These conditions thus can be regarded as a rigorous definition of TQO. Our results apply to any quantum spin Hamiltonian that can be written as a sum of geometrically local commuting projectors on a D-dimensional lattice. This large class of Hamiltonians includes Levin-Wen string-net models and Kitaev's quantum double models. Secondly, we derive upper bounds on the parameters of quantum codes with local check operators and discuss the implications for feasibility of a quantum self-correcting memory.

# *Topological quantum order and quantum error correcting codes*

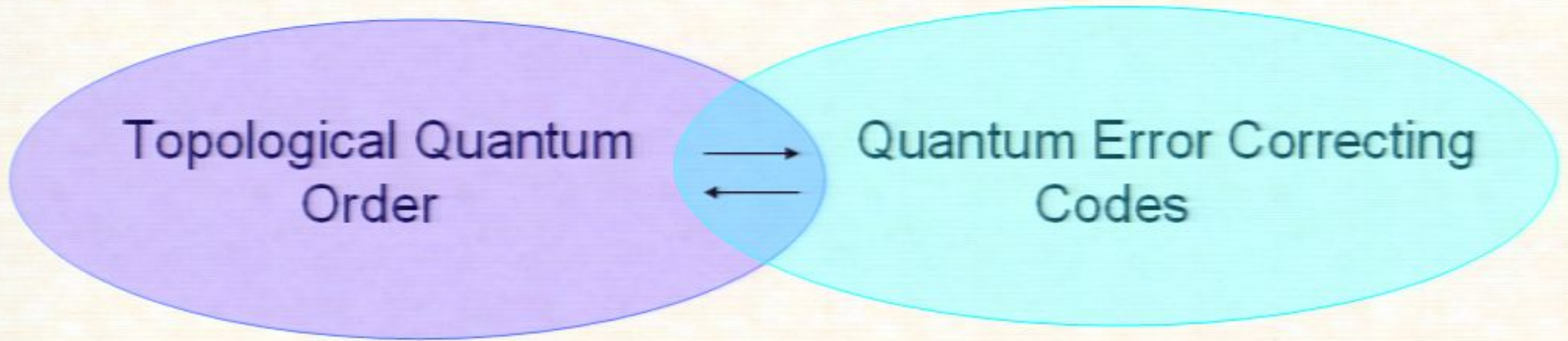
*Sergey Bravyi*

*IBM Research*



Local Hamiltonians	Quantum codes with local check operators
Ground state	Codespace
Braiding and fusion of anyons	Logical operators
Spectral gap, phase transitions	Code distance, error threshold

Let's try to solve problems posed in one field using techniques and ideas developed in the other



Stability of the spectral gap  
under local perturbations

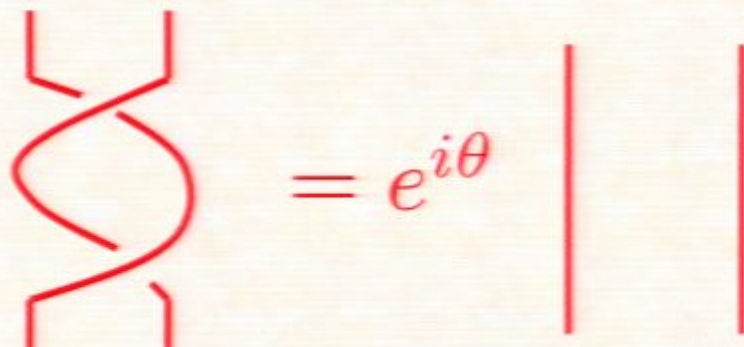
Upper bounds on the distance  
of geometrically local codes

Implementation of TQO models  
using "perturbation gadgets"

## Topological Quantum Order in 2D (Xiao-Gang Wen 90)

Collective property of some strongly interacting 2D spin or electron systems. Main signatures are

1. All excitations are gapped; correlation functions decay exponentially.
2. Ground state is degenerate on a torus; the degeneracy can not be lifted by local perturbations.
3. Excitations are anyons: quasiparticles transforming according to non-trivial representations of the braid group.


$$\text{Braid} = e^{i\theta} \text{Crossing}$$

## Mathematical perspective

TQO

Topological Quantum  
Field Theory

Chern-Simons gauge  
theory

Knot theory

Topological invariants  
of knots and links

Conformal Field  
Theory

Anyons = primary fields  
Wave functions of anyons  
= conformal blocks

Unitary Modular  
Categories

Abstract axioms

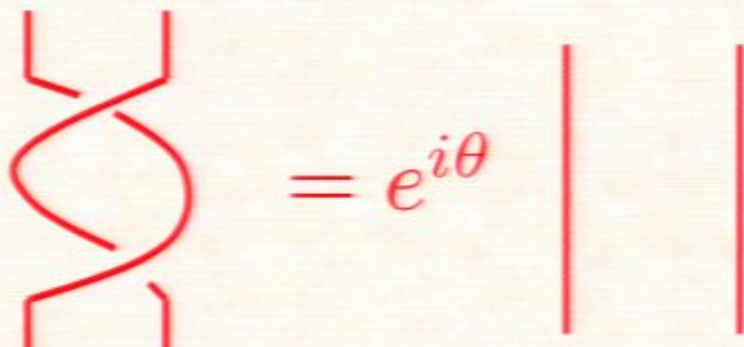
Quantum Error  
Correcting Codes

Ground states are degenerate  
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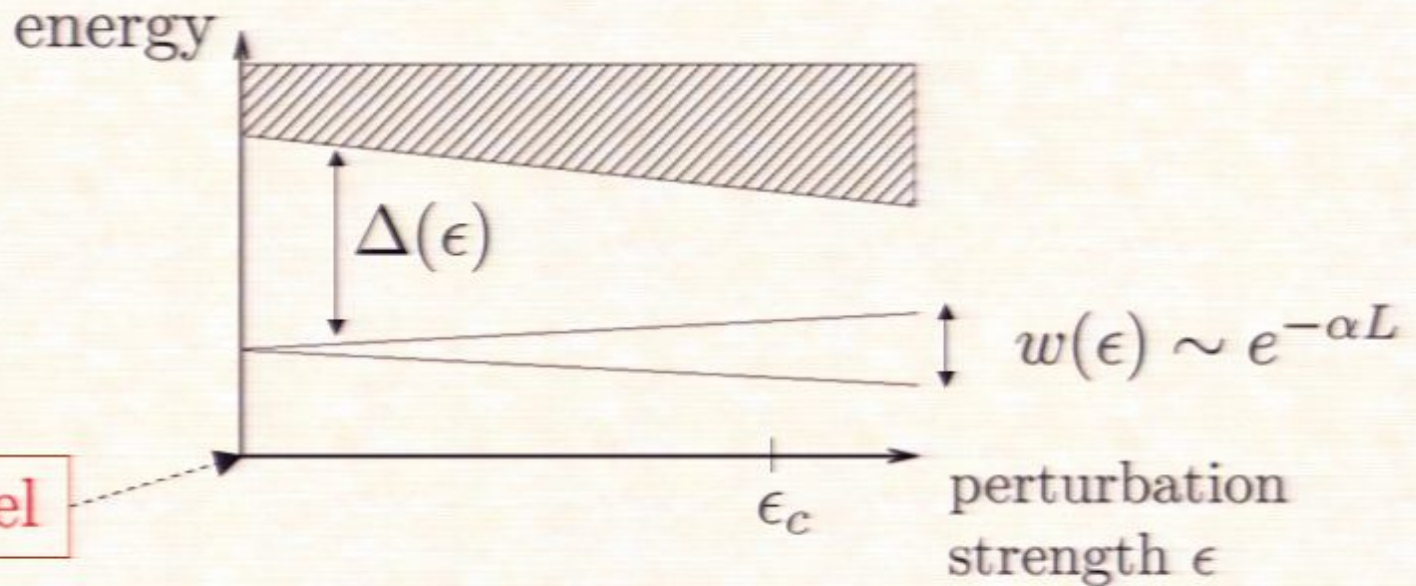
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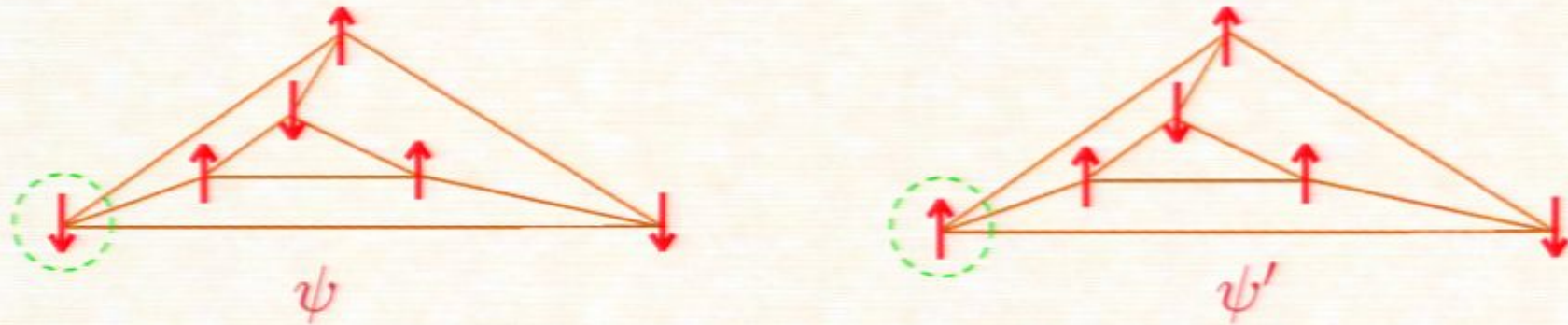
# Problem 1: stability of the spectral gap



*Goal:* find a realistic spin lattice Hamiltonian  $H_0$  that combines these properties:

- (1) Degenerate ground state.
- (2) Constant spectral gap.
- (3) These properties are retained in a presence of a generic perturbation  $\epsilon V$ .
- (4) Stability radius  $\epsilon_c$  is independent of the system size  $L$ .

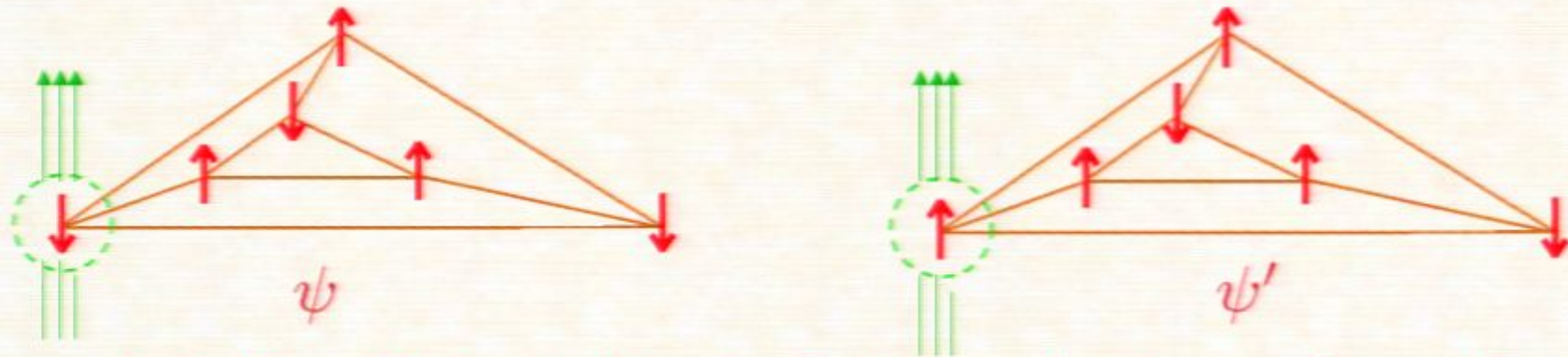
## The role of the quantum entanglement



Two globally distinct classical states (spin configurations) must be locally distinct.

In the classical world the ground state degeneracy can always be lifted by a local perturbation.

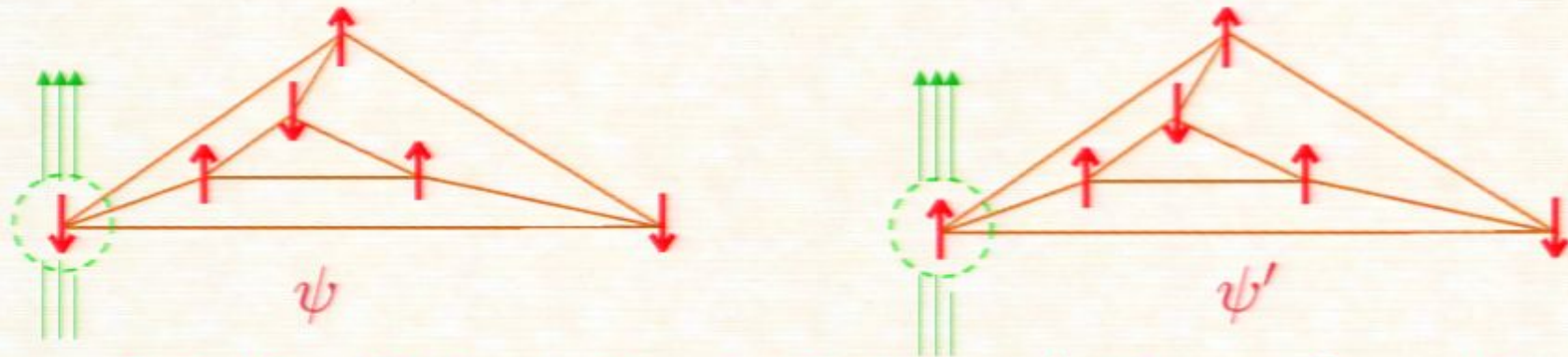
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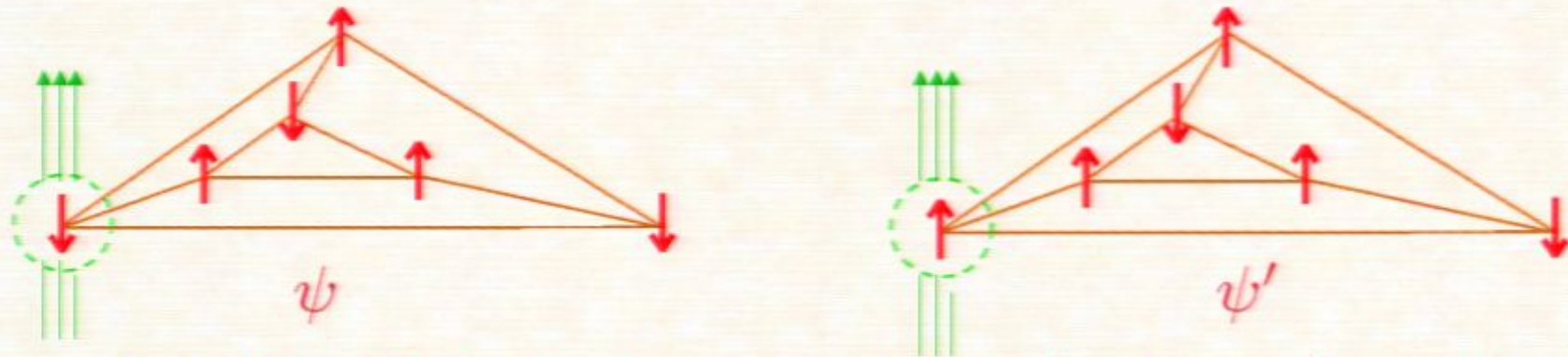
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$$|\psi\rangle \sim |0, 0\rangle + |1, 1\rangle$$

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However  $\psi + \psi'$  and  $\psi - \psi'$  are locally distinguishable...

## Quantum error correcting codes

$$|\psi\rangle \sim |0, 0, 0, 0\rangle + |1, 1, 1, 1\rangle$$

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All linear combinations  $\alpha\psi + \beta\psi'$  are locally indistinguishable!

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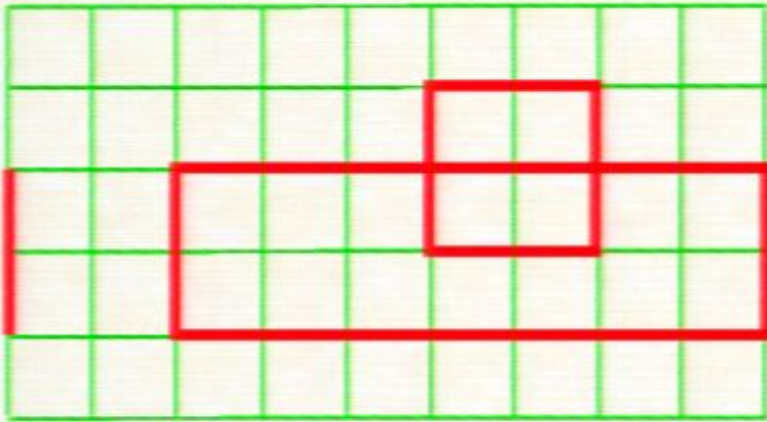
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A linear subspace  $\mathcal{L}$  is a quantum code with distance  $d$  iff no operator  $O$  acting on less than  $d$  qubits can distinguish different states in  $\mathcal{L}$ :

$$\langle\psi|O|\psi\rangle = \langle\psi'|O|\psi'\rangle \quad \text{for all } \psi, \psi' \in \mathcal{L}$$

## Toric code state (Kitaev 97)

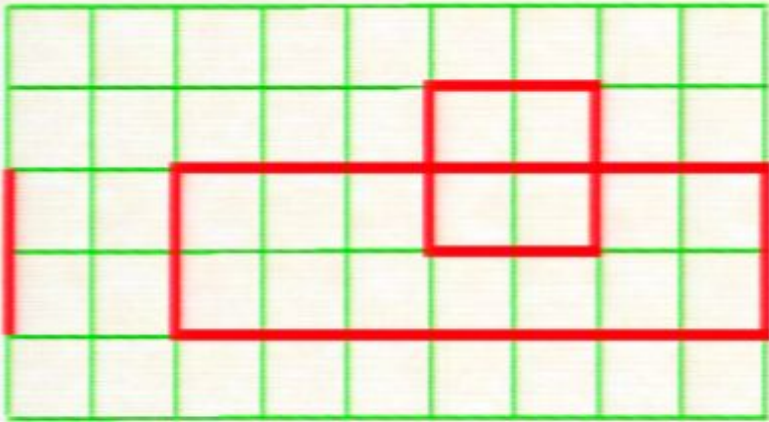
Qubits live on links of a 2D lattice with periodic boundary conditions.



Cycle is a set of links  $C$  such that any site has even number of incident links from  $C$ .

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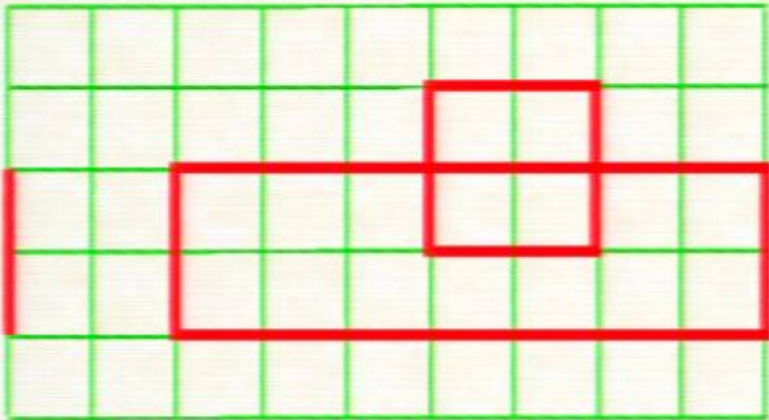


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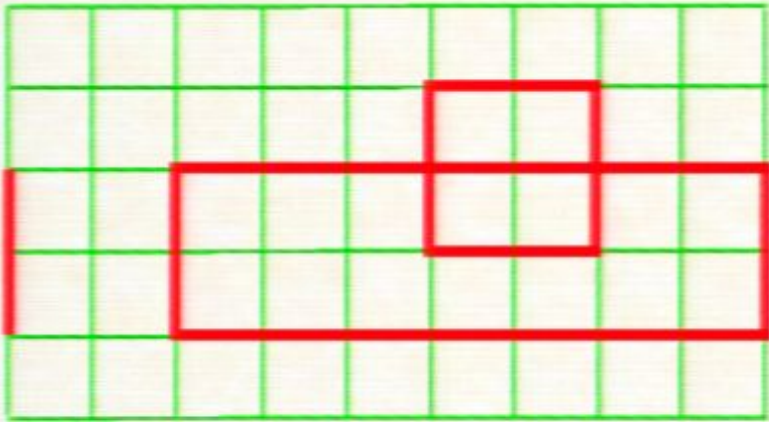
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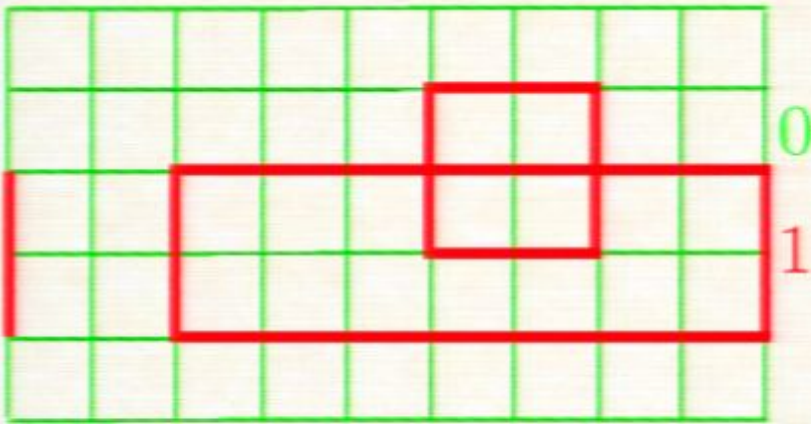


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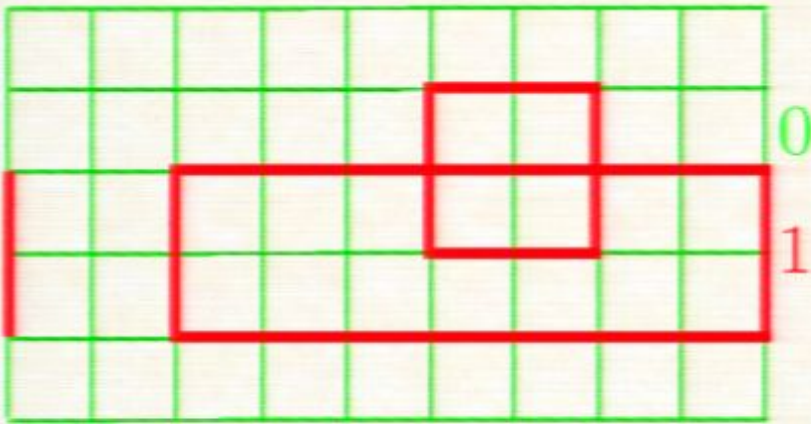
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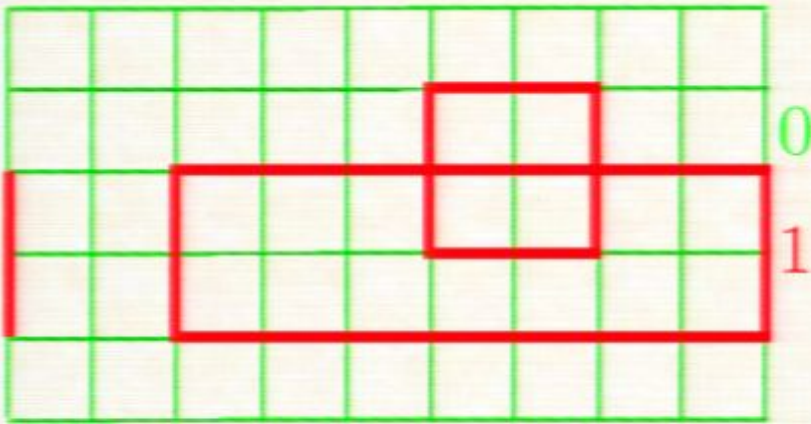
1. Choose any cycle  $C$ .
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$$|\psi\rangle \sim \sum_{\text{cycles}} |C\rangle$$



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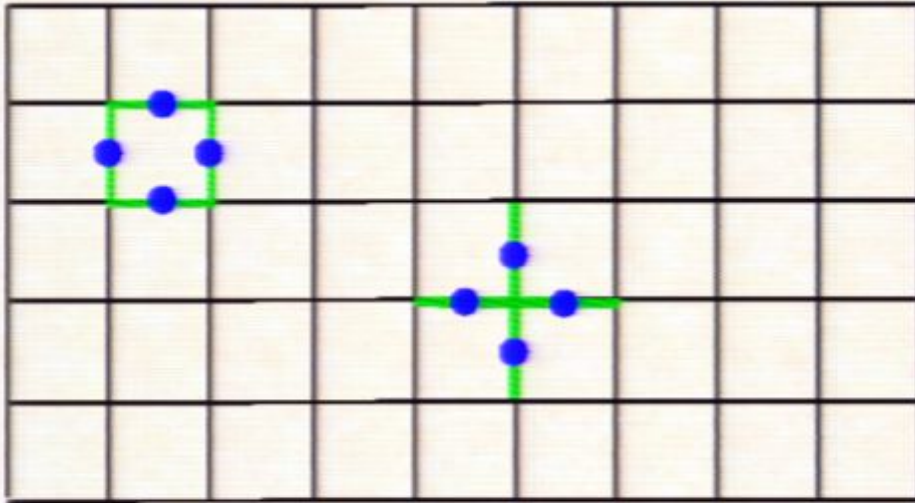
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# Toric code Hamiltonian



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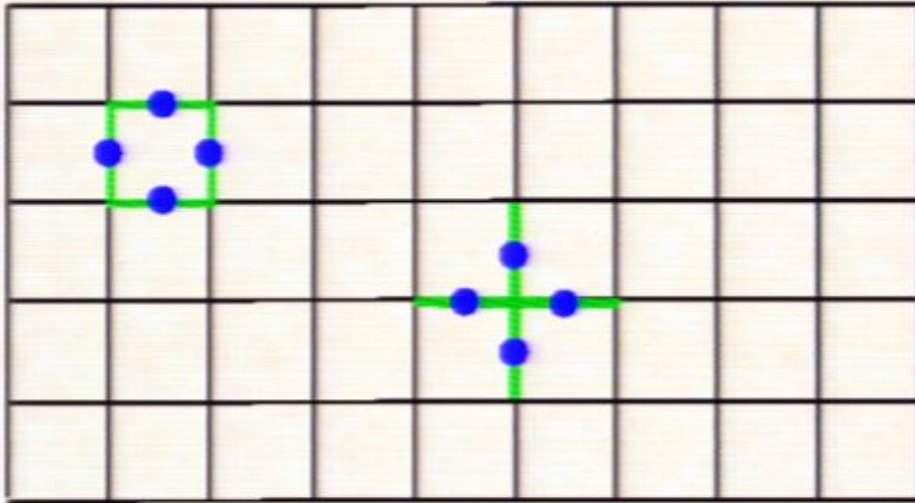
Star operators:

$$A_s = \begin{array}{c} Z \bullet Z \\ \bullet \text{---} \bullet \\ Z \bullet Z \end{array}$$

Plaquette operators:

$$B_p = \begin{array}{c} \bullet \\ \text{---} \bullet \\ X \quad X \\ \bullet \end{array}$$

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$$H_0 = - \sum_{\text{stars}} A_s - \sum_{\text{plaquettes}} B_p$$

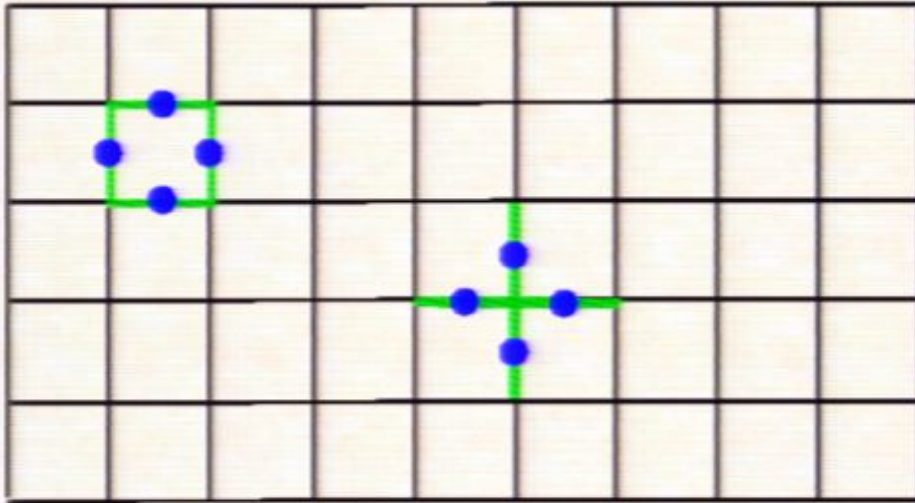
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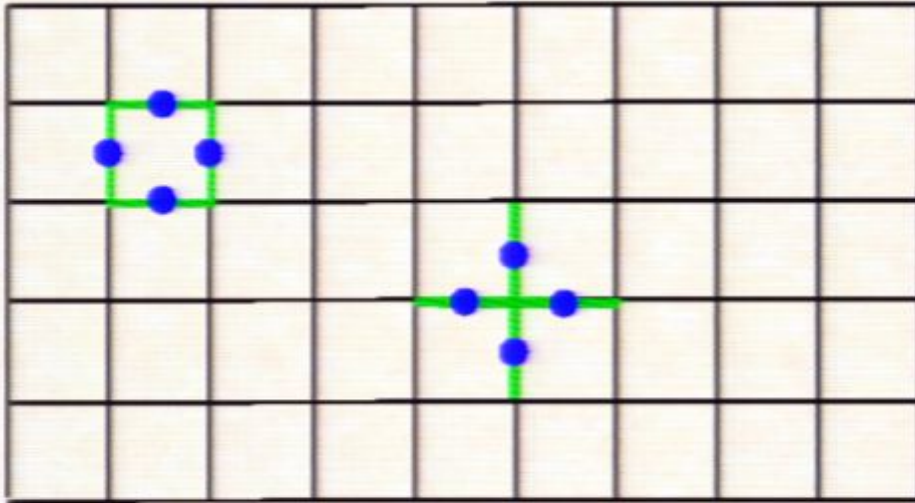
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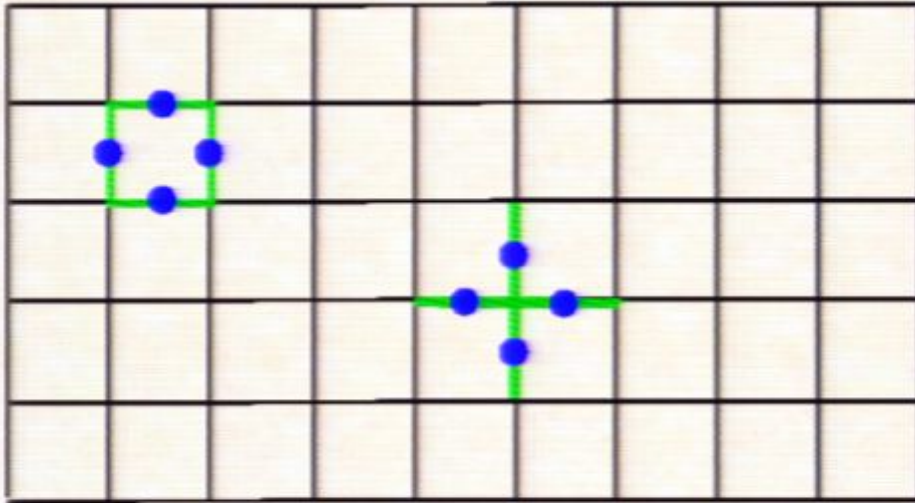
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Exact solvability: all  $A_s, B_p$  commute with each other

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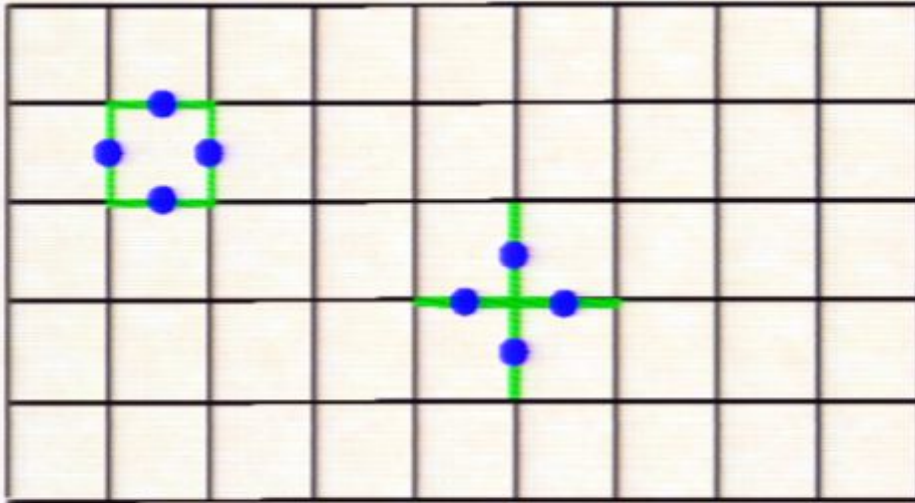
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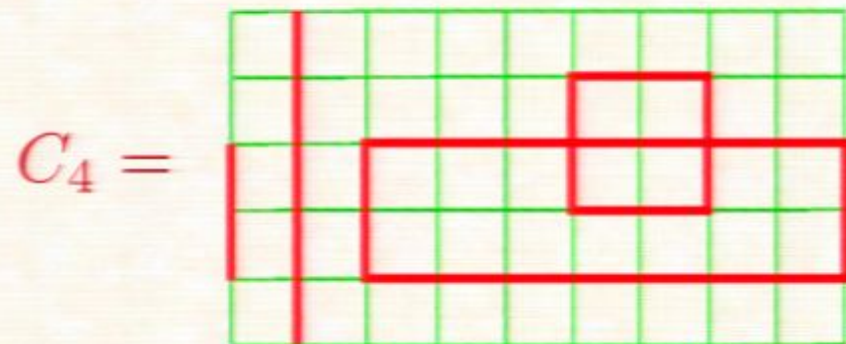
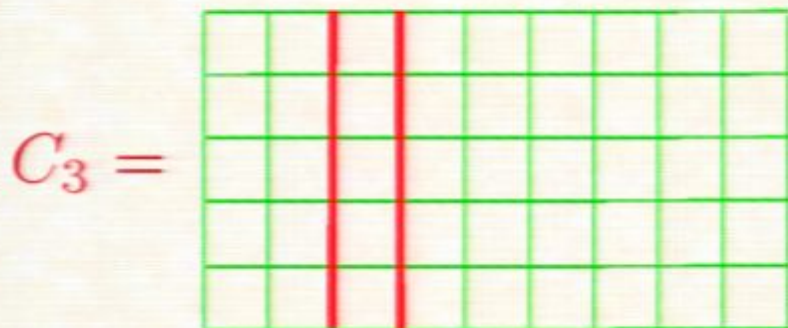
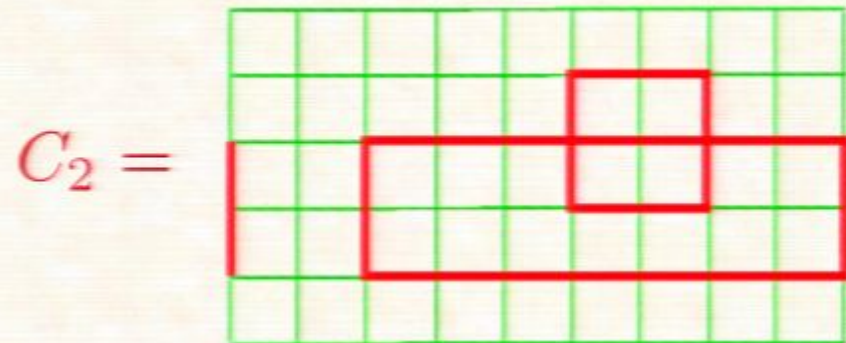
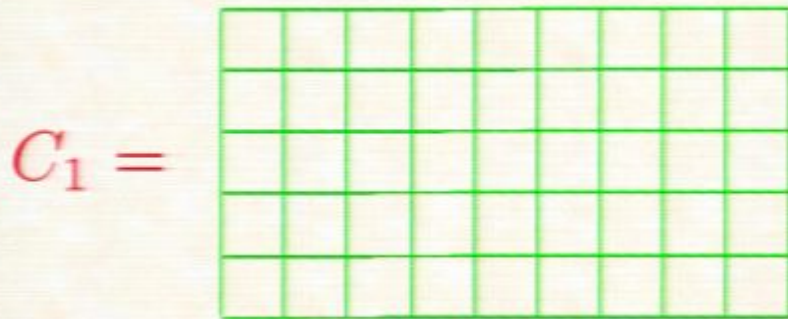
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Excited states:  $A_s \equiv +1, B_p \equiv +1$ . Abelian anyons.

Two cycles are homological equivalent iff they differ by a linear combination (mod 2) of contractible loops.



$[C]$  — homological class of a cycle  $C$

$$[C_1] = [C_2] = [C_3] \neq [C_4]$$



Orthogonal ground states are the uniform superpositions of cycles with a fixed homological class:

$$|\psi_\alpha\rangle = \sum_{C: [C]=\alpha} |C\rangle$$

$$|\psi_{00}\rangle = \begin{array}{c} \text{[empty grid]} \\ + \text{[red cycle]} \\ + \text{[two vertical red lines]} \\ + \dots \end{array}$$

$$|\psi_{10}\rangle = \begin{array}{c} \text{[one vertical red line]} \\ + \text{[red cycle]} \\ + \text{[three vertical red lines]} \\ + \dots \end{array}$$

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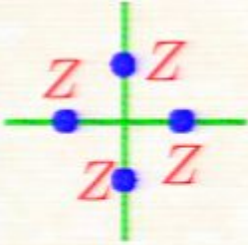
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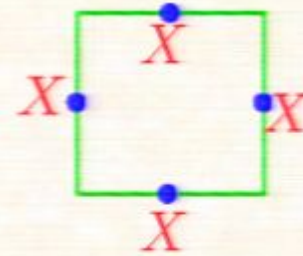
Homological class of a cycle  $C$  cannot be determined by looking at any local piece of  $C$ . Hence orthogonal ground states are locally indistinguishable. Distance  $d \sim L$ .

# Logical operators in 2D

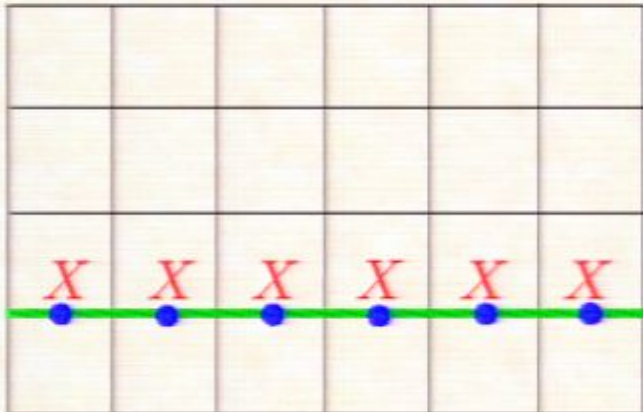
Z-stabilizers



X-stabilizers



Logical X



Logical Z



$$\bar{X} = \prod_{e \in \gamma} X_e$$

$$\bar{Z} = \prod_{e \in \gamma^*} Z_e$$

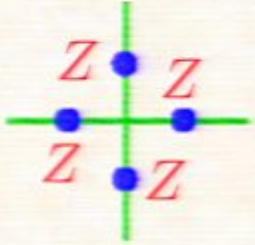
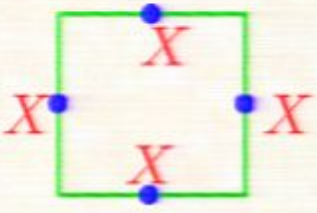
# Toric codes in higher dimensions

Qubits

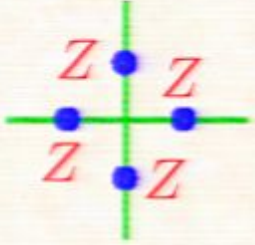
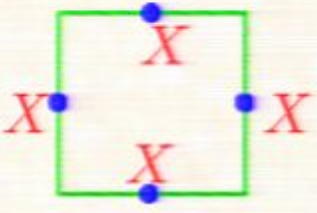

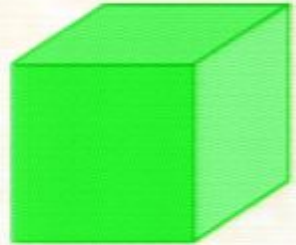
Z-stabilizers

X-stabilizers

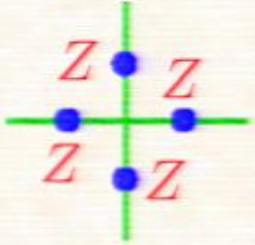
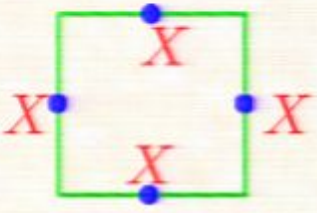

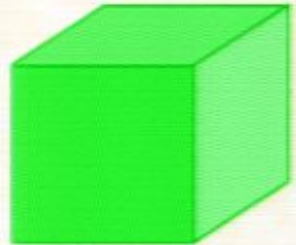

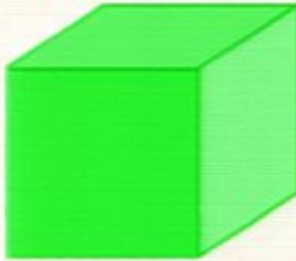
# Toric codes in higher dimensions

	Qubits	Z-stabilizers	X-stabilizers
2D	links	vertices 	plaquettes 

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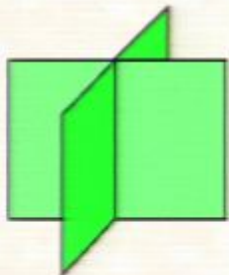
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3D	plaquettes	links 	cubes 

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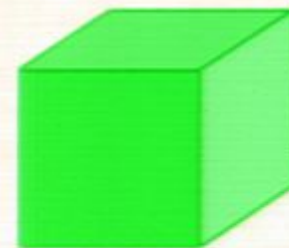
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4D	plaquettes	links 	3-cubes 

# Logical operators in 3D

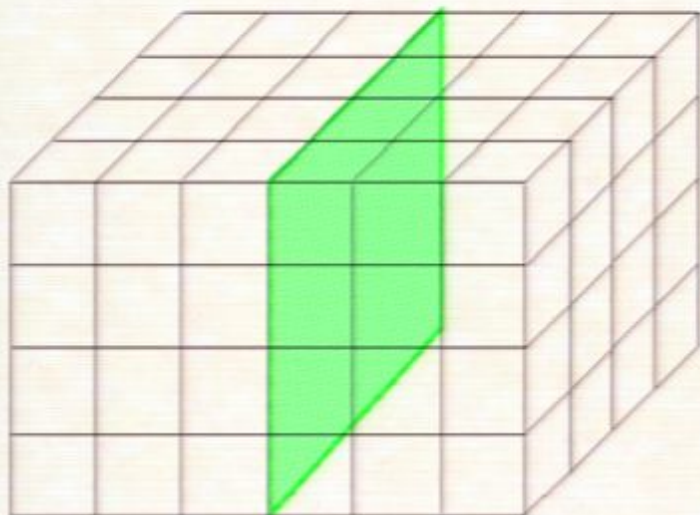
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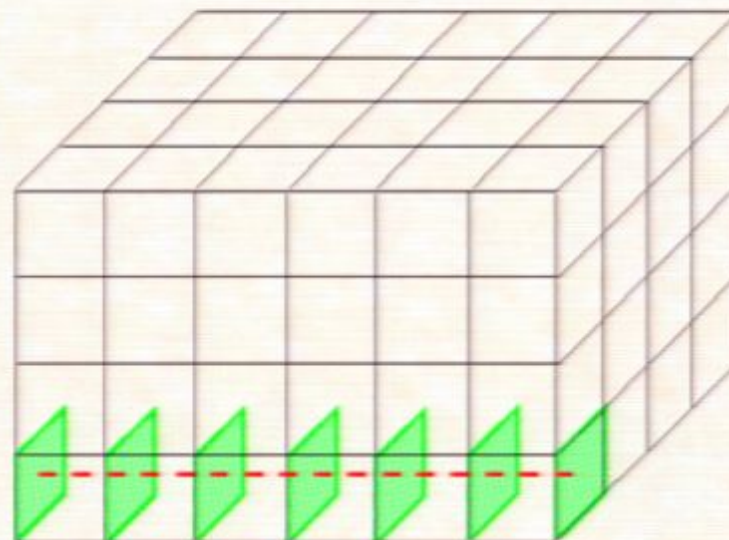
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Logical Z



Pirsa: 10120017

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The distance of the toric code is determined by the minimum length of homologically non-trivial loop and/or the minimum area of homologically non-trivial surface.

	min. weight of $\bar{Z}$	min. weight of $\bar{X}$
2D	$O(L)$	$O(L)$
3D	$O(L)$	$O(L^2)$
4D	$O(L^2)$	$O(L^2)$

Here  $L$  is a linear size of the lattice.

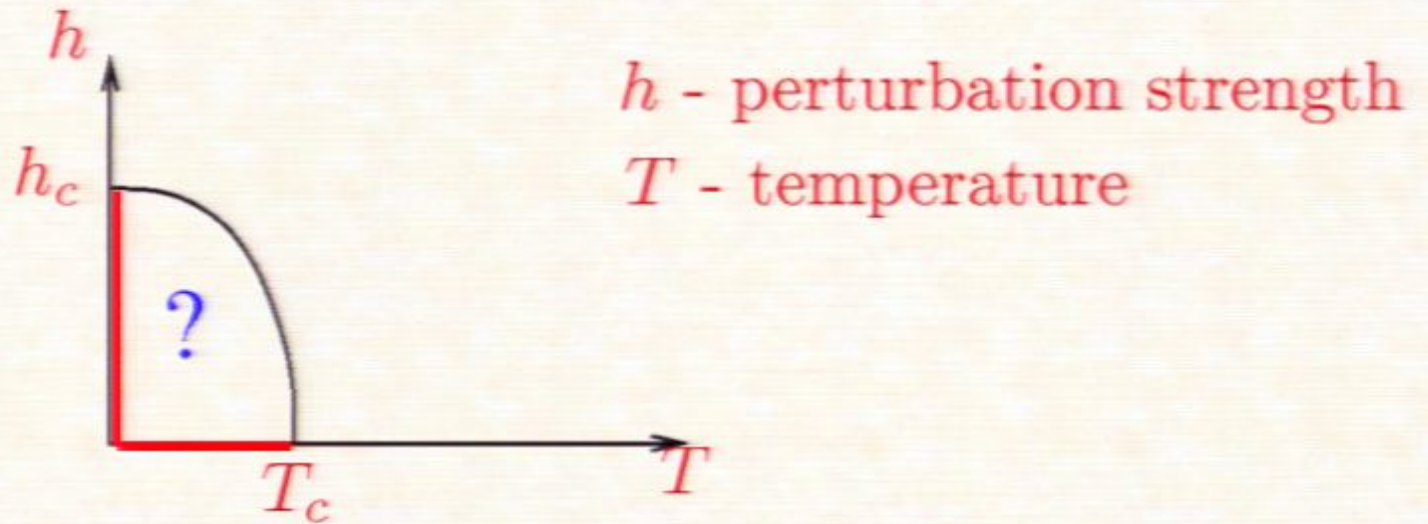
The distance of the toric code is determined by the minimum length of homologically non-trivial loop and/or the minimum area of homologically non-trivial surface.

	min. weight of $\bar{Z}$	min. weight of $\bar{X}$
2D	$O(L)$	$O(L)$
3D	$O(L)$	$O(L^2)$
4D	$O(L^2)$	$O(L^2)$

Here  $L$  is a linear size of the lattice.

Curious fact: 4D toric codes defined on lattices embedded into 4D Riemannian manifolds may have minimal distance  $L^2 \sqrt{\log L}$  (Freedman & Meyer 2002)

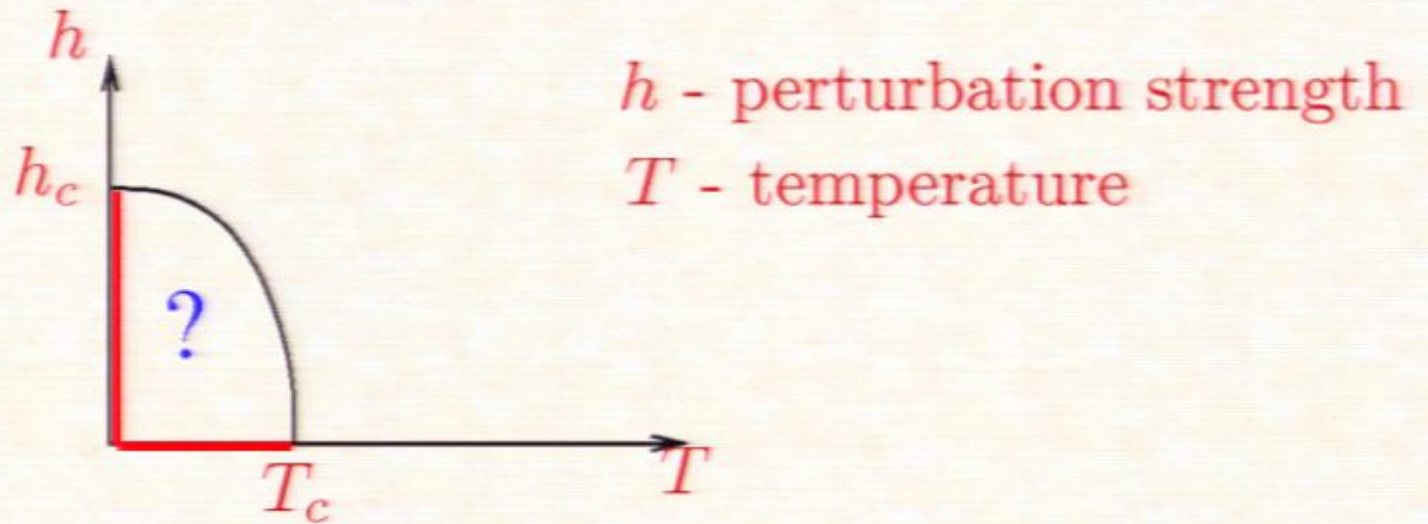
## Stability of topological qubits



Is TQO stable under thermal fluctuations ( $T > 0$ ) ?

Is TQO stable under static perturbations ( $T = 0$ ) ?

## Stability of topological qubits



Is TQO stable under thermal fluctuations ( $T > 0$ ) ?

Is TQO stable under static perturbations ( $T = 0$ ) ?

Toric codes:

	$T = 0$	$T > 0$
2D	yes	no
3D	yes	no
4D	yes	yes

Dennis, Kitaev, Landahl, Preskill (2001)

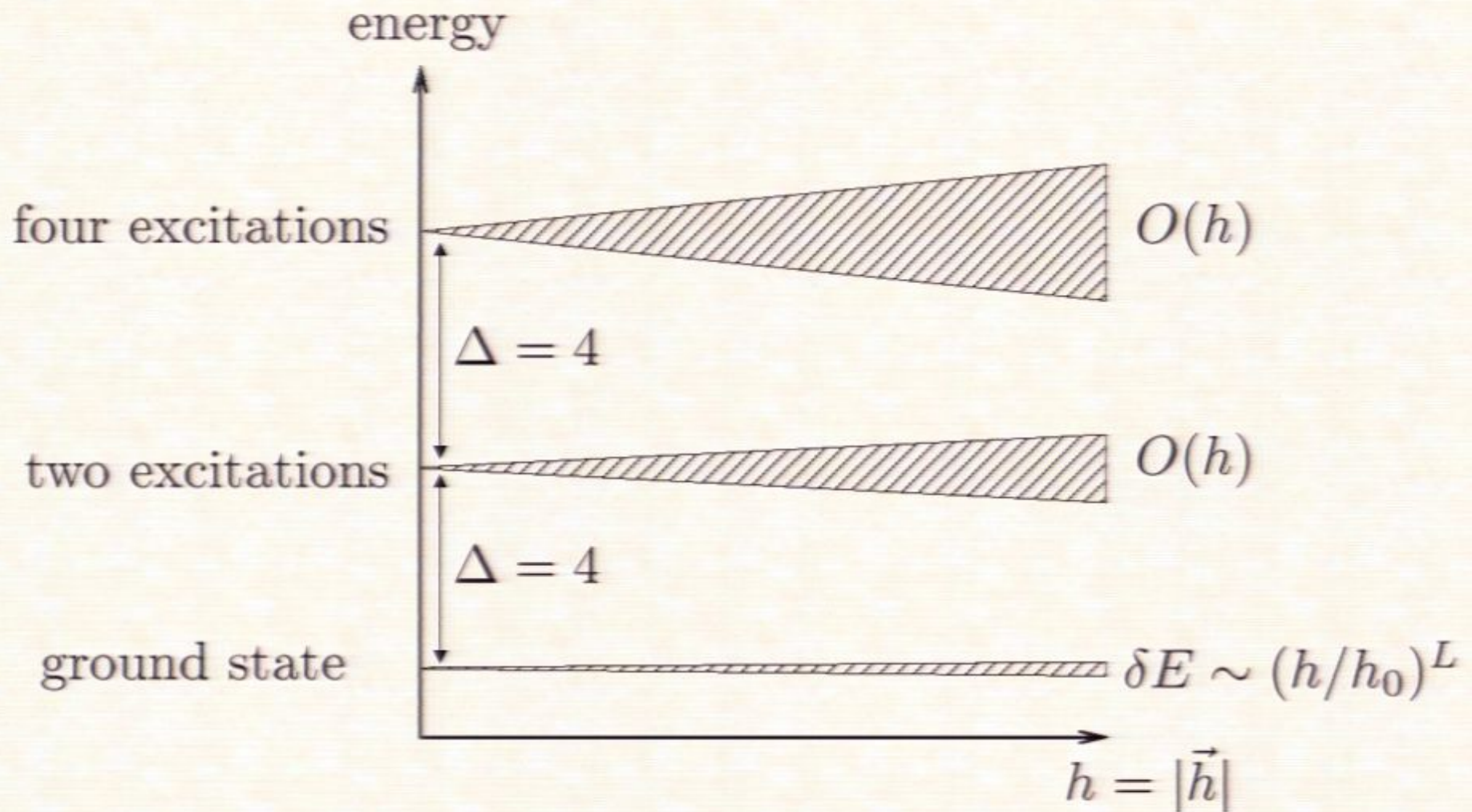
Nussinov and Ortiz (2007)

Alicki, Fannes, Horodecki (2008)

Alicki, Horodecki's (2008)

Chesi, Loss, S.B., Terhal (2009)

S.B., Hastings, Michalakis (2009)



Naive perturbation theory:

Anticipated level structure of a perturbed Hamiltonian

$$H = - \sum_{\text{sites}} A_s - \sum_{\text{plaquettes}} B_p - \sum_{\text{links}} h_x X_e + h_y Y_e + h_z Z_e$$

The predictions of the naive PT were confirmed (partially) in several special cases:

Trebst, Werner, Troyer, Shtengel, Nayak (2007)

Magnetic field diagonal in the  $Z$ -basis

Reduction to the 2D transverse field Ising model

Vidal, Thomale, Schmidt, Dusuel (2009)

Magnetic field diagonal in the  $Y$ -basis

Reduction to the Xu-Moore model; use of self-duality

Klich (2009)

Generic perturbations; non-degenerate ground state

Generic perturbations diagonal in the  $Z$ -basis

Cluster expansions for the partition function

Stability requires some extra conditions on  $H_0$

TQO-1: Ground subspace of  $H_0$  is a quantum code with a macroscopic distance.

TQO-2: Consistency between the global and the local ground subspaces of  $H_0$   
(formal definition will appear later)

We shall prove that TQO-1,2 together are sufficient for stability

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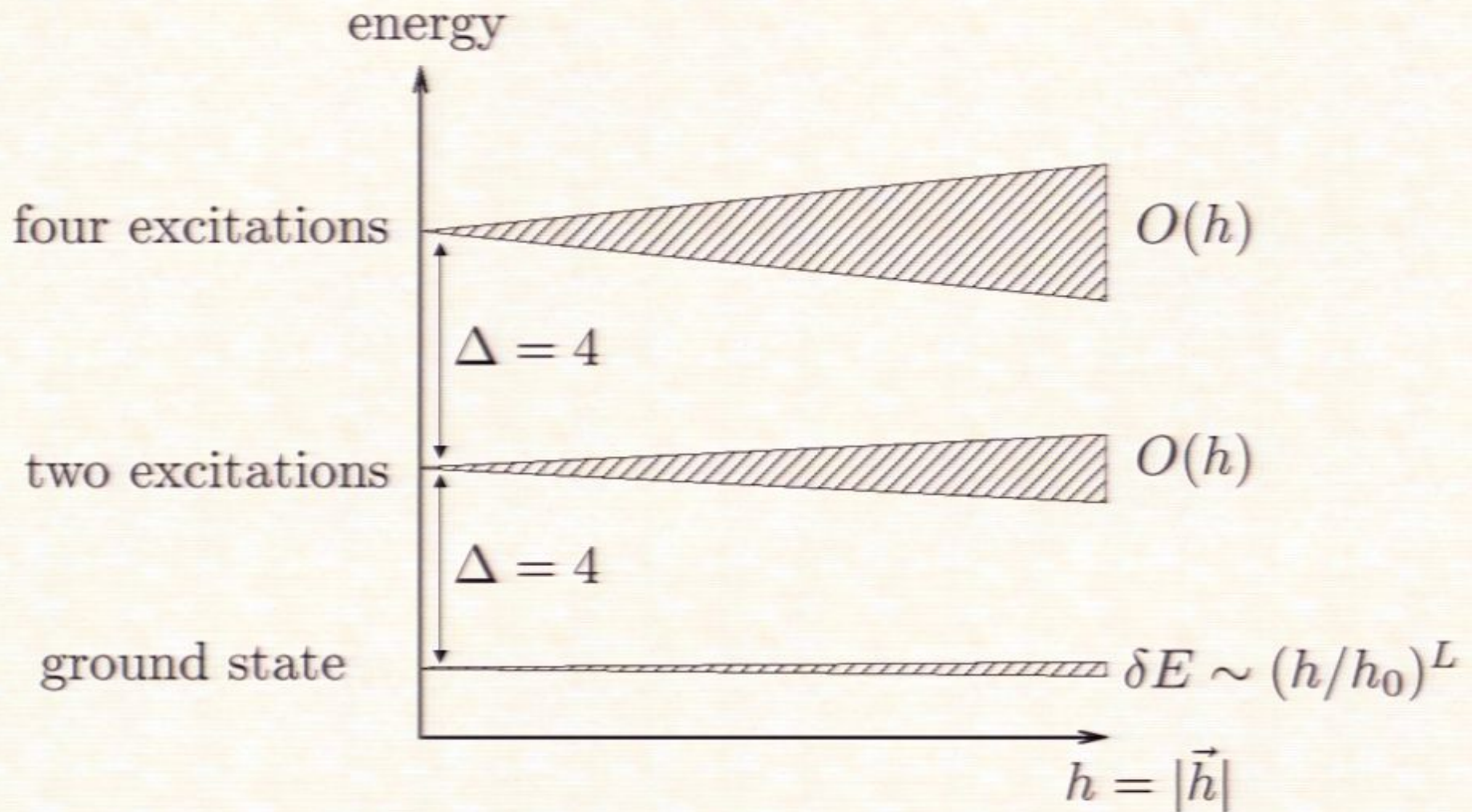
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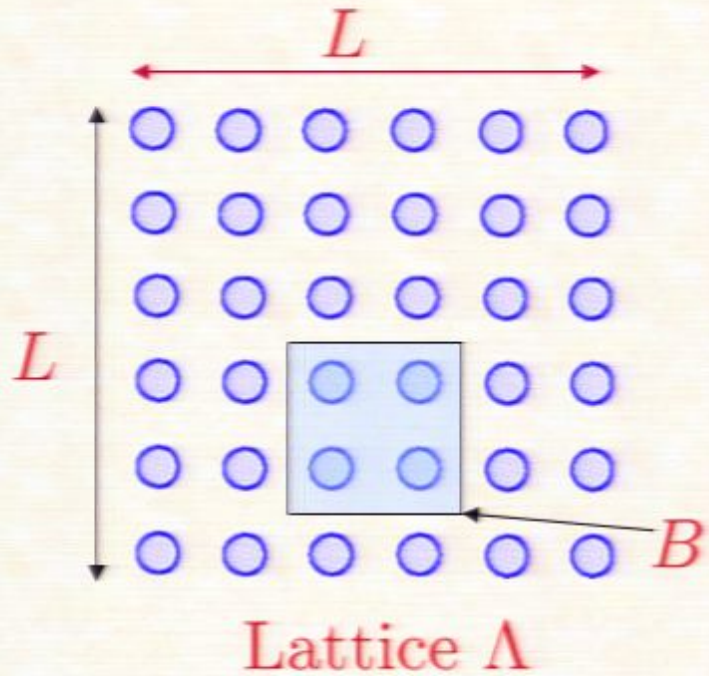
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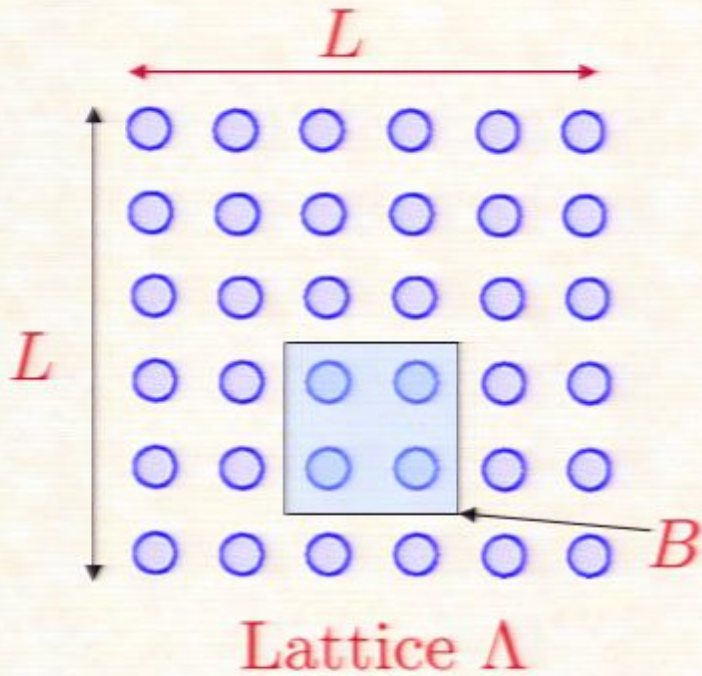


Hilbert space:  $\mathcal{H} = \bigotimes_{j \in \Lambda} \mathcal{H}_j$

$\dim \mathcal{H}_j = O(1)$

Unperturbed Hamiltonian:

$$H_0 = \sum_{B \subseteq \Lambda} Q_B$$



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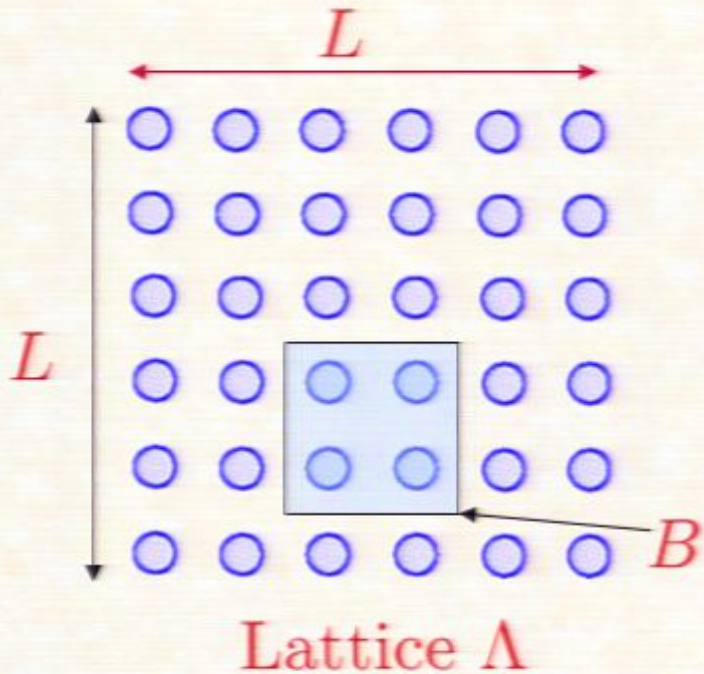
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$Q_B$  is a Hermitian operator acting only on a cluster  $B$

Only  $2 \times 2$  clusters



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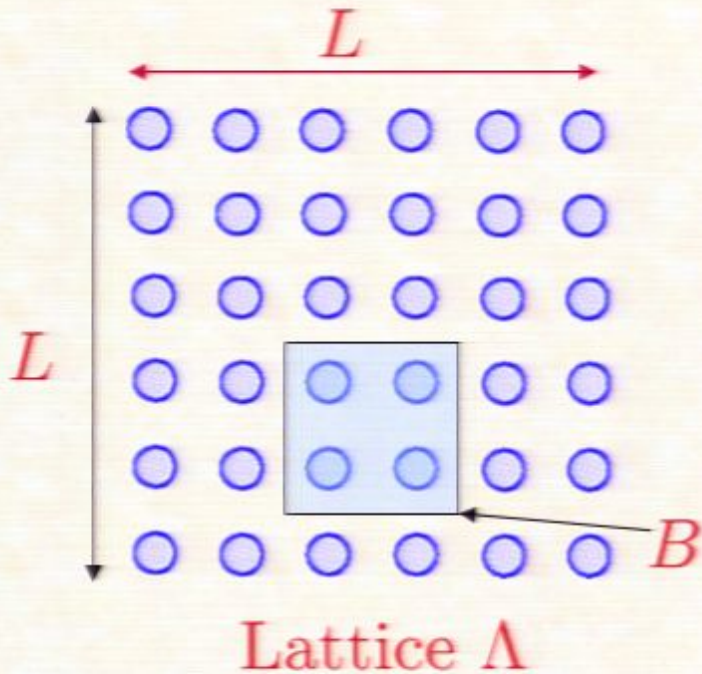
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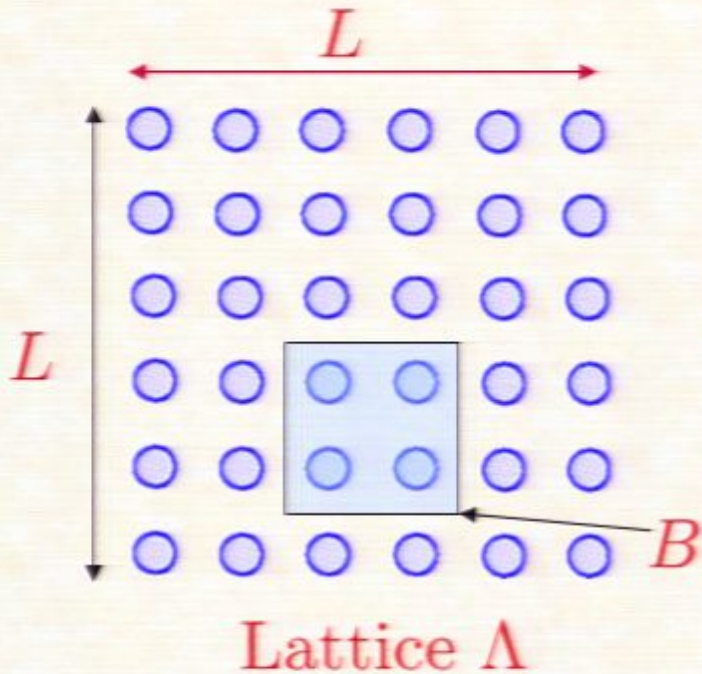
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Only  $2 \times 2$  clusters

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Projectors must pairwise commute:  $Q_B Q_C = Q_C Q_B$

Ground states of  $H_0$  are zero-eigenvectors of every projector  $Q_B$



To summarize, we need three properties of the ideal model:

- Spatially local
- Frustration free
- Term-wise commuting

Several extra conditions related to TQO will be introduced later...

## Examples:

- The toric codes and the surface codes
- Topological color codes
- Quantum double models
- String-net models
- Any of the above models with excitations

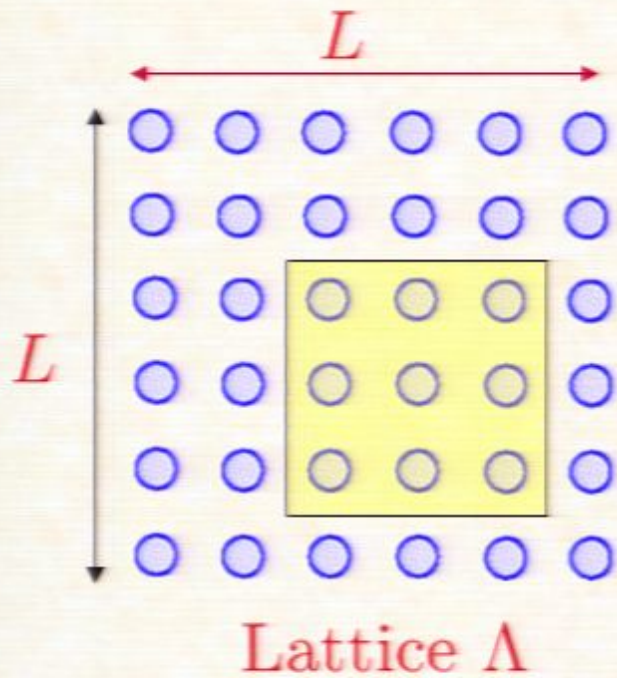
$$H_0 = \sum_B Q_B$$

Kitaev 97

Bombin and Martin-Delgado 06

Levin and Wen 05

Commutativity guarantees that  $H_0$  has constant spectral gap above the ground state !



Generic perturbations:

$$V = \sum_{B \subseteq \Lambda} V_B$$

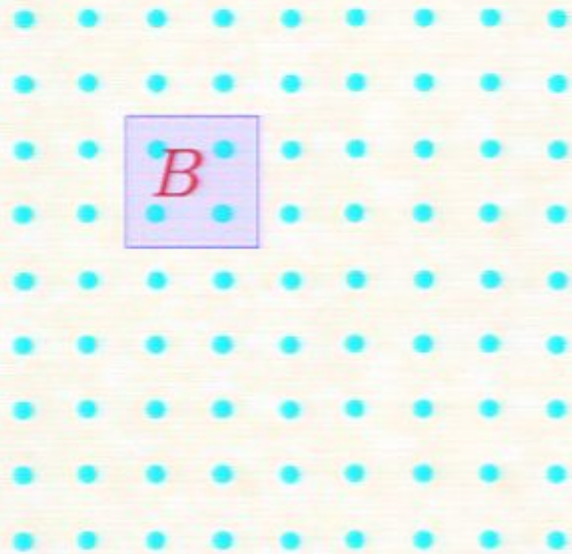
$V_B$  is a Hermitian operator acting only on a cluster  $B$

Exponential decay of interactions

For clusters of size  $r \times r$   $\max_B \|V_{r,B}\| \leq J e^{-\mu r}$

$J$  = interaction strength

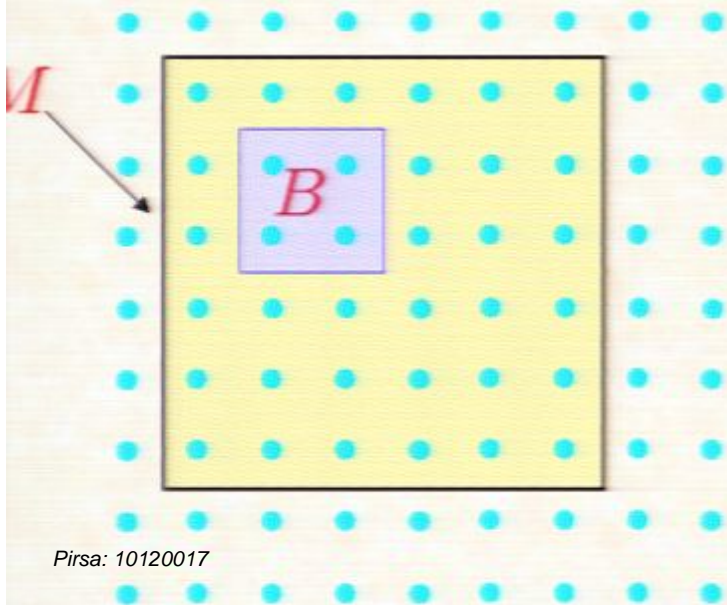
$\mu$  = decay rate (inverse correlation length)



$$H_0 = \sum_B Q_B$$

Global ground subspace:

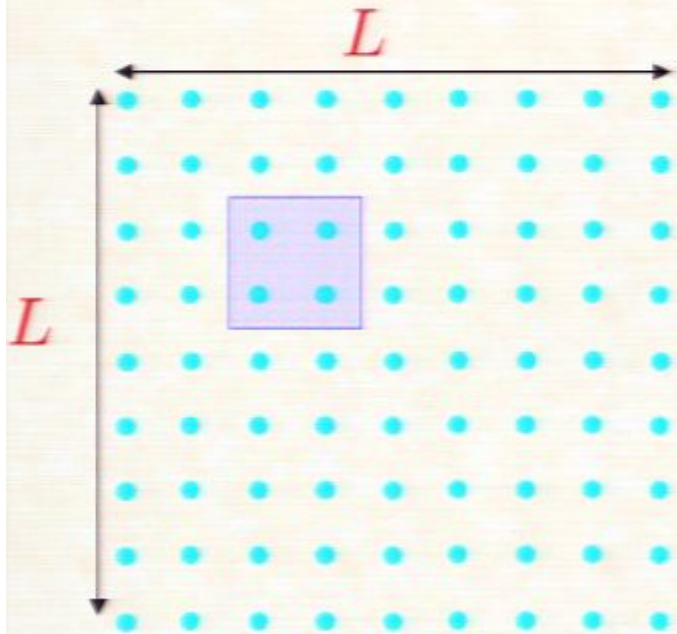
$$P = \{|\psi\rangle : Q_B |\psi\rangle = 0 \quad \forall B\}$$



Local ground subspace:

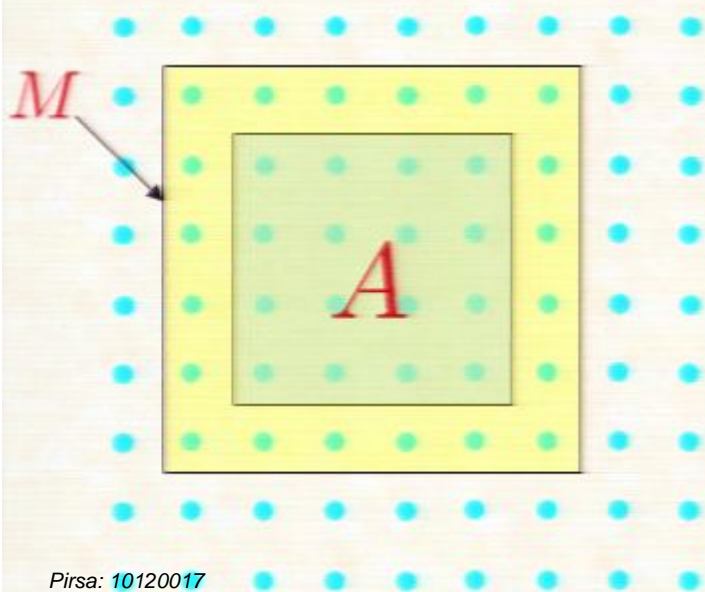
$$P_M = \{|\psi\rangle : Q_B |\psi\rangle = 0 \quad \forall B \subseteq M\}$$

zero eigenvectors only for projectors  $Q_B$  whose support is contained in  $M$ .



### TQO-1 (macroscopic distance):

Global ground states cannot be distinguished by looking at  $d$  or less qubits, where  $d \sim L^\alpha$  for some constant  $\alpha > 0$ .



### TQO-2 (global-local consistency):

Global ground states  $\psi \in P$  and local ground states  $\phi \in P_M$  cannot be distinguished inside  $A$ .

Holds for all  $M$ 's of size  $\leq L^\alpha$ .

To summarize, we need five properties of the ideal model  $H_0$ :

- Spatially local
- Frustration free
- Term-wise commuting
- Macroscopic distance (TQO-1)
- Local-global consistency (TQO-2)

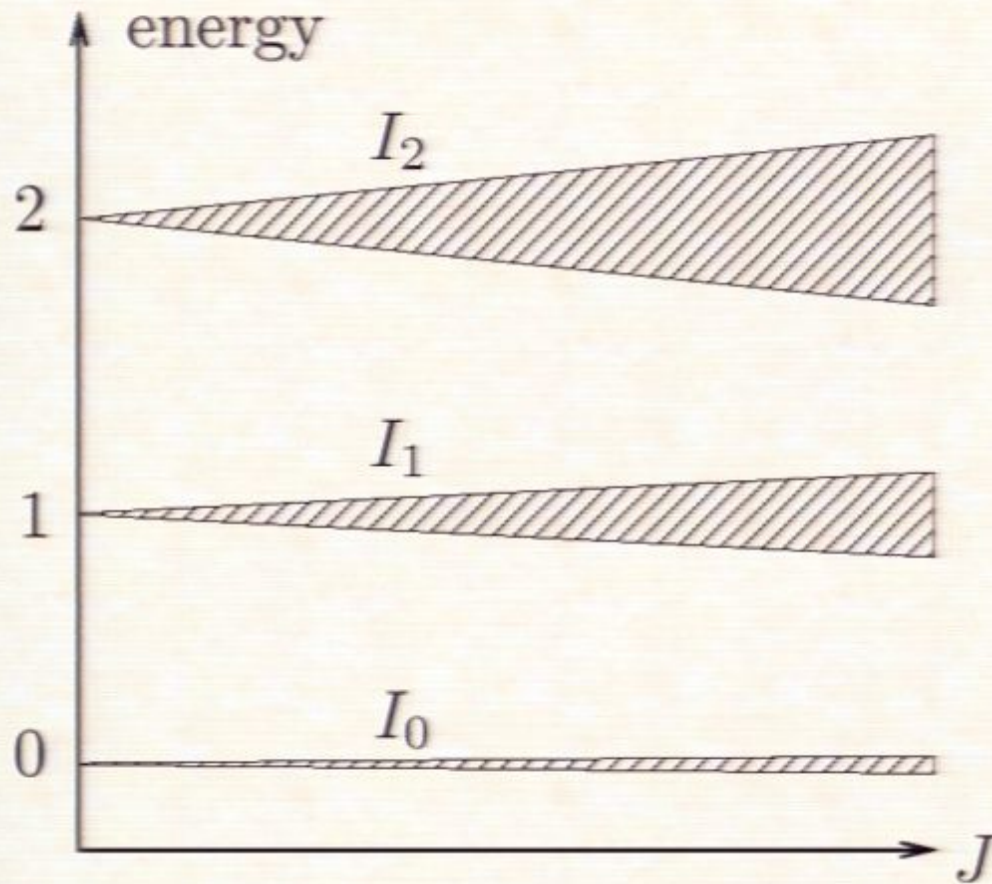
The perturbation  $V$  involves exponentially decaying interactions with strength  $J$  and decay rate  $\mu > 0$ .

## Main result:

If the interaction strength  $J$  is below a certain constant threshold  $J_0 > 0$  then the spectrum of  $H_0 + V$  is contained (up to an overall energy shift) in the union of intervals

$$\bigcup_k I_k$$

- $k$  runs over eigenvalues of  $H_0$
- Interval  $I_k$  is centered at  $k$
- $|I_k| = O(Jk)$  for  $k > 0$
- $|I_0| = O(J) \text{poly}(L) \exp(-c\sqrt{L})$



$$|I_k| = O(kJ) \quad \text{for } k > 0$$

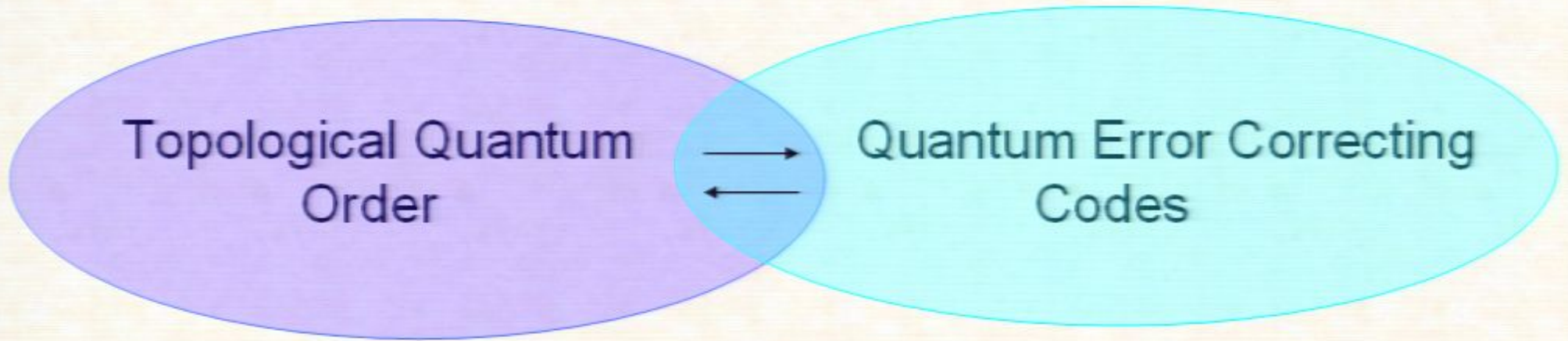
$$|I_0| = O(1) \exp(-c\sqrt{L})$$

Interval  $I_k$  is separated from the rest of the spectrum by a gap at least  $1/2$  if

$$J < \frac{1}{c(2k+1)}, \quad c = O(1)$$

$\Rightarrow$  number of excitations is well defined for the perturbed model for small enough  $k$ .



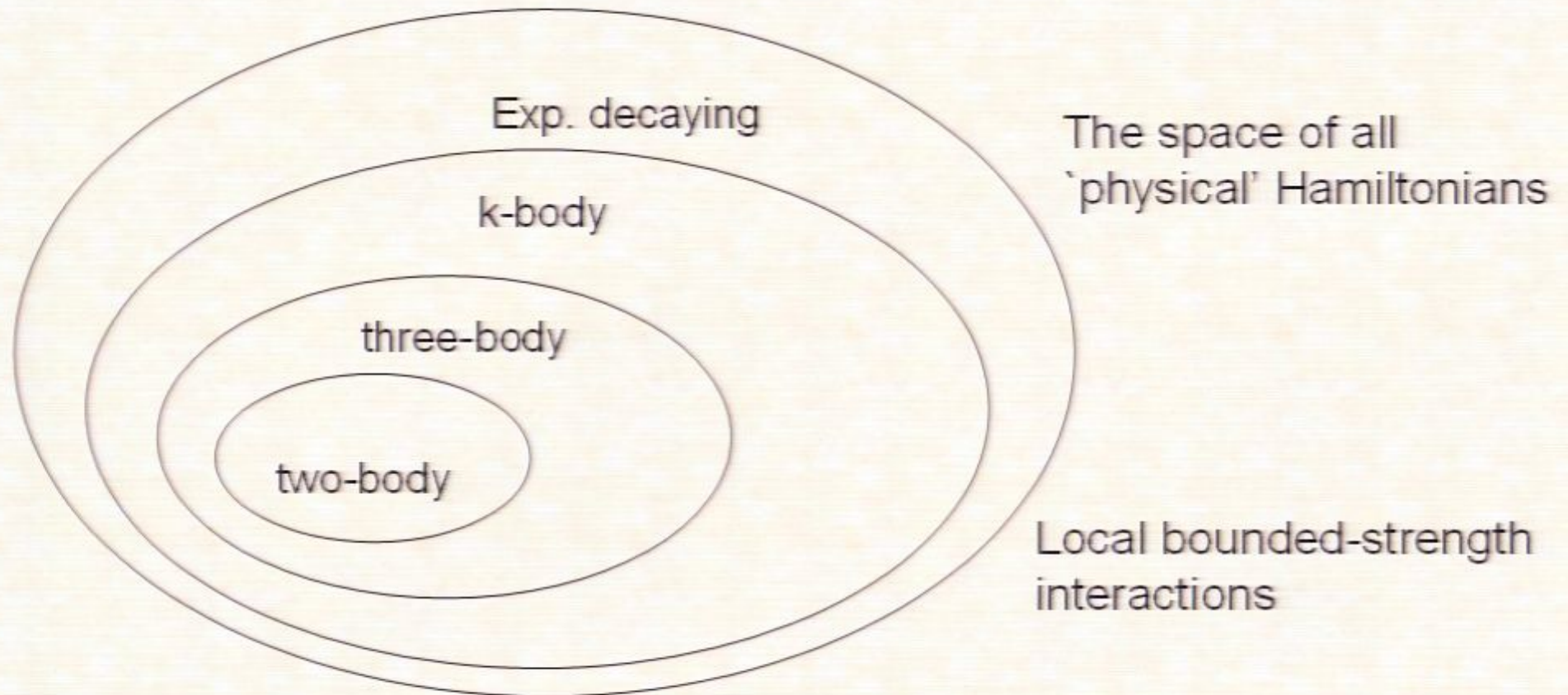


Stability of the spectral gap  
under local perturbations

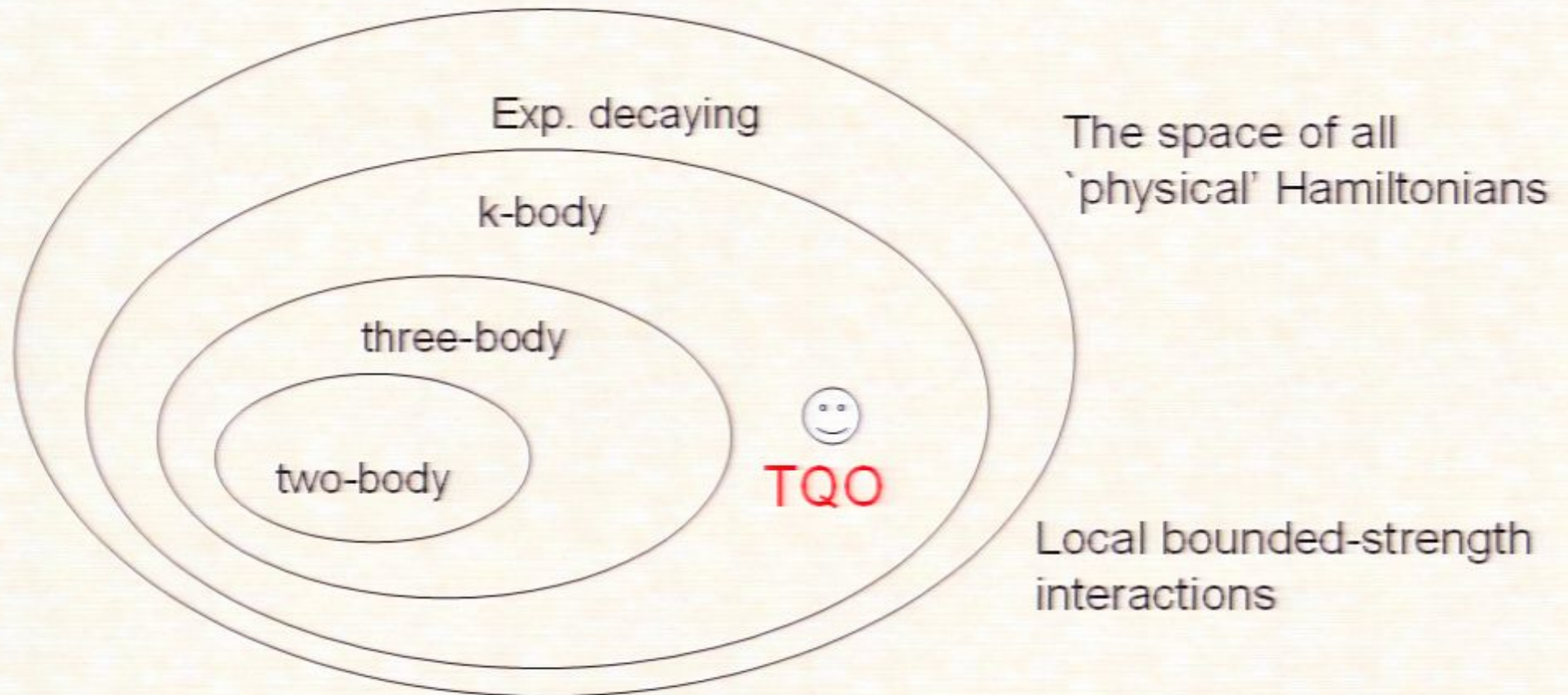
Upper bounds on the distance  
of geometrically local codes

Implementation of TQO models  
using ``perturbation gadgets''

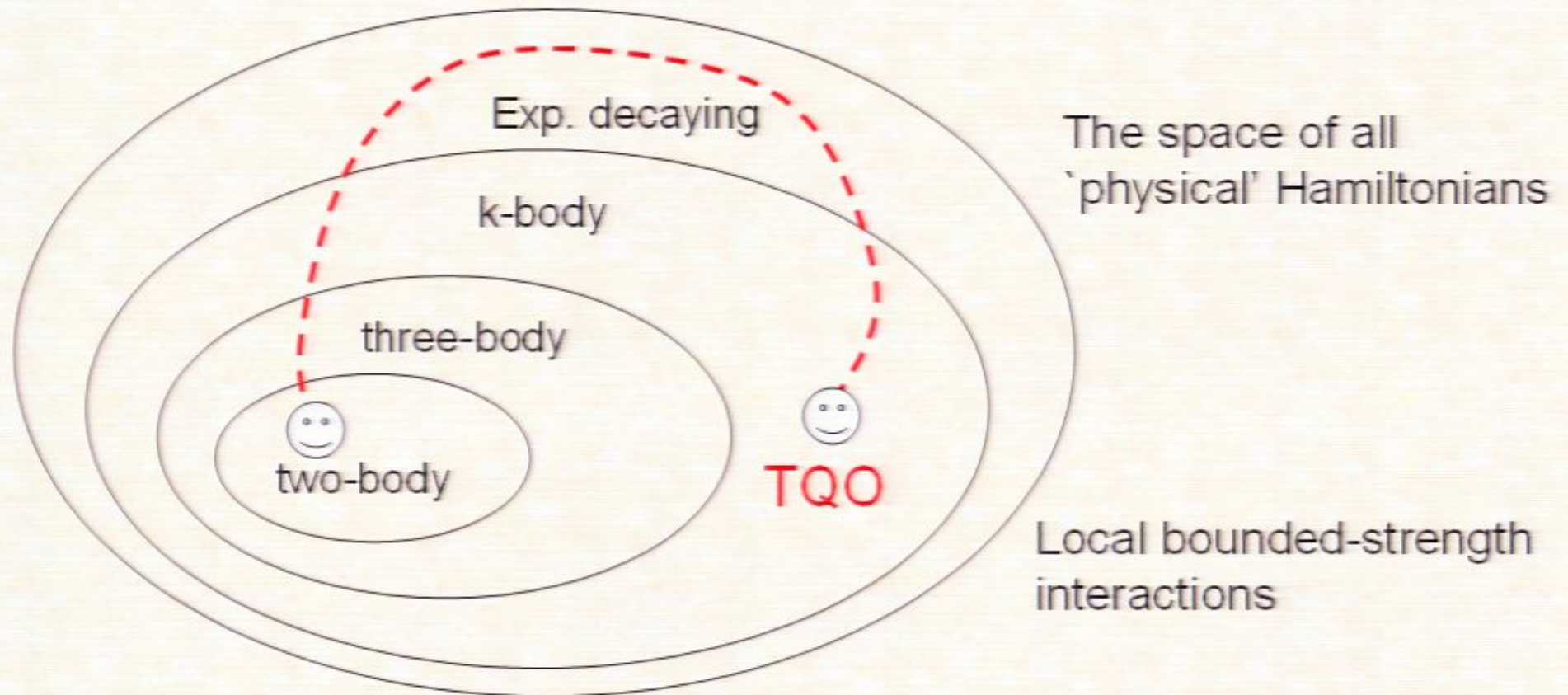
# Can TQO emerge in systems with two-body interactions?



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*Corollary: a TQO model with many-body interactions can be connected to a two-body Hamiltonian without closing the gap*

# Perturbative expansions in physics and QC

Physics

High-energy fundamental  
theory, full Hamiltonian  $H$   
(simple)



Effective low-energy  
Hamiltonian  
(complex)

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High-energy fundamental theory, full Hamiltonian  $H$   
(simple)



Effective low-energy Hamiltonian  
(complex)

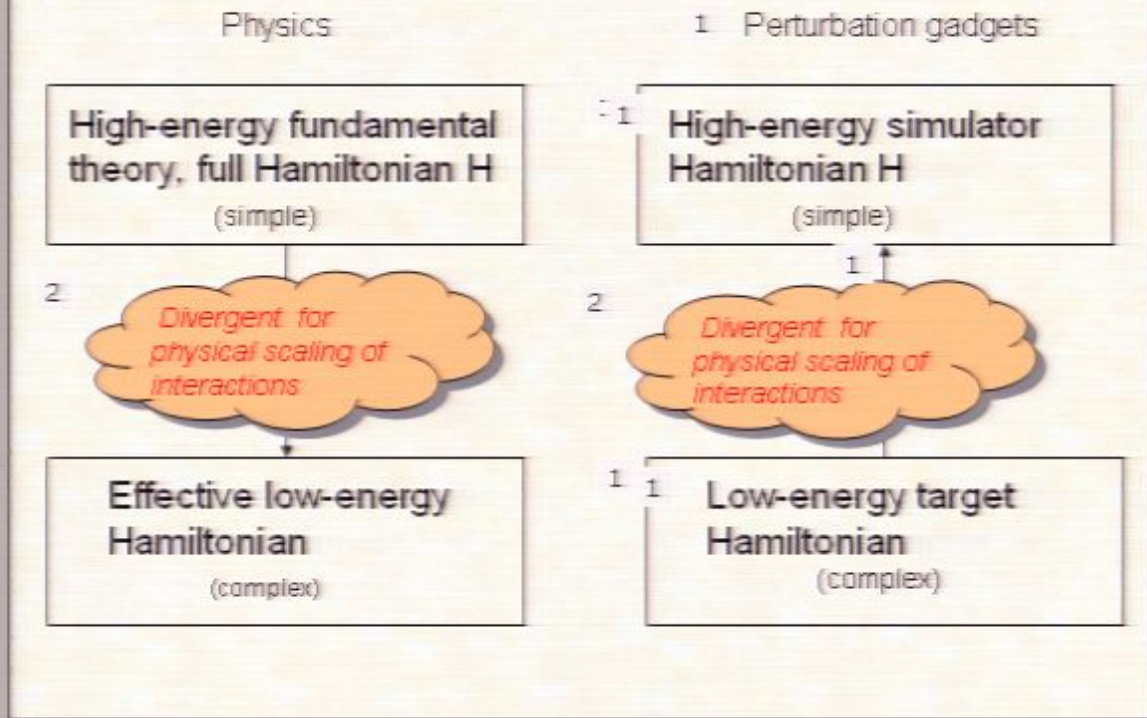
Perturbation gadgets

High-energy simulator Hamiltonian  $H$   
(simple)



Low-energy target Hamiltonian  
(complex)

### Perturbative expansions in physics and QC



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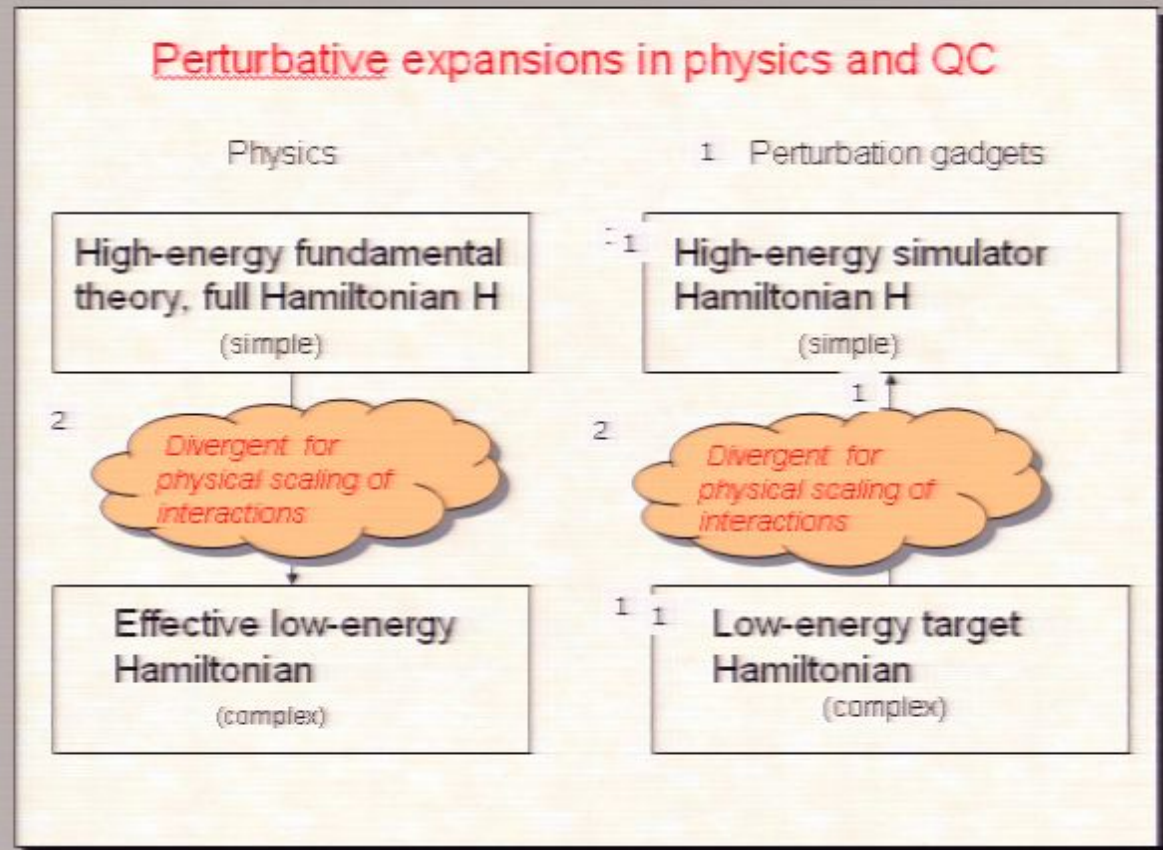
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- [green star] Rectangle 8
- [green star] Shape 3: High-energy simula...
- [green star] Shape 4: Low-energy target...
- [green star] Shape 13: Perturbation gadg...
- [green star] Straight connector 10
- 2- [checkbox] [green star] Shape 14: Divergent for p...
- [green star] Shape 15: Divergent for p...

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- 2- [icon] [icon] Shape 14: Divergent for p...
- [icon] Shape 15: Divergent for p...

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### Open problems

- (1) Generalize the gap stability analysis to spatially local, frustration-free, non-commuting Hamiltonians. Our result  $\Rightarrow$  it suffices to prove the stability for perturbations preserving the ground state.
- (2) Upper bounds for the distance of 3D quantum codes. Best known code  $d \sim L^{3/2}$ . Best upper bound  $d = O(L^2)$ . Stability of TQO phases in 3D (beyond the toric codes).
- (3) Stability of TQO phases in 3D (beyond the toric codes).
- (4) Stability of TQO phases under perturbations for non-zero temperature. Does quantum entanglement help to build a more stable classical memory?

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# *Topological quantum order and quantum error correcting codes*

*Sergey Bravyi*

*IBM Research*

*Topological quantum order  
and  
quantum error correcting codes*

*Sergey Bravyi*

*IBM Research*

*Perimeter Institute  
December 1, 2010*

TexPoint fonts used in EMF.  
Read the TexPoint manual before you  
delete this box.: AAAAAAAAAA

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Custom Animation

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## Simulation of TQO by perturbation gadgets

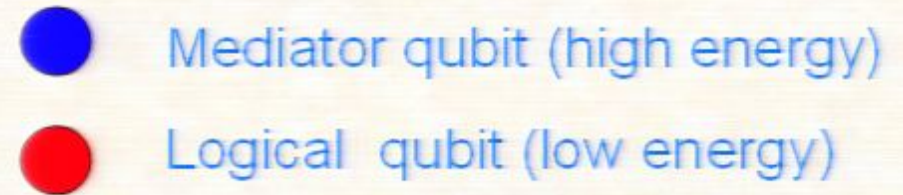
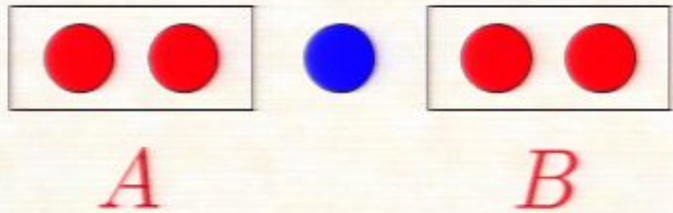
1. Add ancillary high-energy “mediator” qubits to the “logical” qubits acted on by  $H_{\text{target}}$
2. Choose appropriate couplings between the mediator and the logical qubits
3. Construct a unitary operator generating an effective low-energy Hamiltonian on the logical qubits. Creates byproduct higher-order terms (exp. decaying).
4. Treat higher-order corrections as a perturbation. Apply the gap stability theorem.

*S.B., DiVincenzo, Loss, Terhal, Phys. Rev. Lett. **101**, 070503 (2008)*

*S.B., Hastings, Michalakis, J. Math. Phys. **51**, 093512 (2010)*



## Toy model: 2-nd order gadget



$H_{\text{target}} = (1/\Delta)AB$  is a four-body interaction

# Perturbative expansions in physics and QC

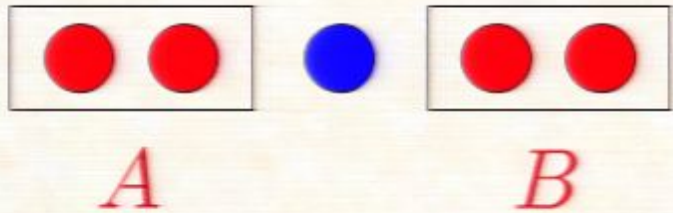
Physics

High-energy fundamental  
theory, full Hamiltonian  $H$   
(simple)



Effective low-energy  
Hamiltonian  
(complex)

## Toy model: 2-nd order gadget



- Mediator qubit (high energy)
- Logical qubit (low energy)

$H_{\text{target}} = (1/\Delta)AB$  is a four-body interaction

$$H = \underbrace{\Delta|1\rangle\langle 1|_m \otimes I_l}_{\text{unperturbed Hamiltonian}} + \underbrace{X_m \otimes (A - B) + I_m \otimes H_{\text{else}}}_{\text{perturbation}}$$

Using 2nd order degenerate perturbation theory one gets an effective Hamiltonian  $H_{\text{eff}}$  acting on the logical qubits:

$$H_{\text{eff}} = H_{\text{else}} - \frac{1}{\Delta} (A - B)^2$$



[Kempe, Kitaev, Regev 05] 3-local to 2-local

Idea of perturbation  
gadget

[Oliveira, Terhal 05] k-local to 2-local on 2D lattice

[Bravyi, DiVincenzo, Oliveira, Terhal 06] k-local to 2-local for  
stoquastic Hamiltonians

[Biamonte, Love 07] simulator with XZ, X, Z only

[Schuch, Verstraete 07] simulator with Heisenberg interactions

[Jordan, Farhi 08] k-th order perturbative gadgets

**Main shortcoming:** rigorous only if the interaction strength of the simulator Hamiltonian is  $\text{poly}(n)$ .

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