

Title: IR divergence problem in single-field models of inflation

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Abstract: We clarify the origin of IR divergence in single-field models of inflation and provide the correct way to calculate the observable fluctuations. First, we show the presence of gauge degrees of freedom in the frequently used gauges such as the comoving gauge and the flat gauge. These gauge degrees of freedom are responsible for the IR divergences that appear in loop corrections of primordial perturbations. We propose, in this talk, one simple but explicit example of gauge-invariant quantities. Then, we explicitly calculate such a quantity to find that the IR divergence is absent in the slow-roll approximation. In this formalism, we revisit the consistency relation that connects the three-point function in the squeezed limit with the spectral index.

# IR divergence problem in single field models of inflation



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*Y.U. and T. Tanaka 0902.3209[hep-th], PTP122:779-803,2009*

*Y.U. and T. Tanaka 1007.0468[hep-th],*

*Y.U. and T. Tanaka 1009.2947[hep-th],*

# Primordial perturbation

Important tools to study models of inflation

Curvature perturbation  $\zeta$

■ 2-point fn.

$$\langle \zeta \zeta \rangle = \langle \zeta \zeta \rangle_{\text{tree}} + \langle \zeta \zeta \rangle_{1\text{loop}} + \langle \zeta \zeta \rangle_{2\text{loop}} + \dots$$

■ 3-point fn.

$$\langle \zeta \zeta \zeta \rangle = \langle \zeta \zeta \zeta \rangle_{\text{tree}} + \langle \zeta \zeta \zeta \rangle_{1\text{loop}} + \langle \zeta \zeta \zeta \rangle_{2\text{loop}} + \dots$$

Leading

Sub-leading

Consistent with CMB

Divergent due to IR modes

→ Break down of perturbation theory??

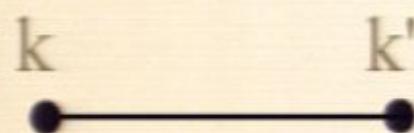
# Infrared(IR) divergence

- Two point function  $\langle \zeta_k \zeta_{k'} \rangle$

$$\mathcal{L}_{\text{int}} \propto \zeta^4$$

$\zeta$ : mass-less field

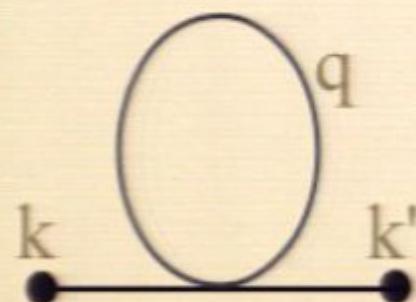
## ■ Leading order



$$\langle \zeta_k \zeta_{k'} \rangle = |\zeta_k|^2 \propto k^{-3}$$

Scale-invariant

## ■ Next to leading order



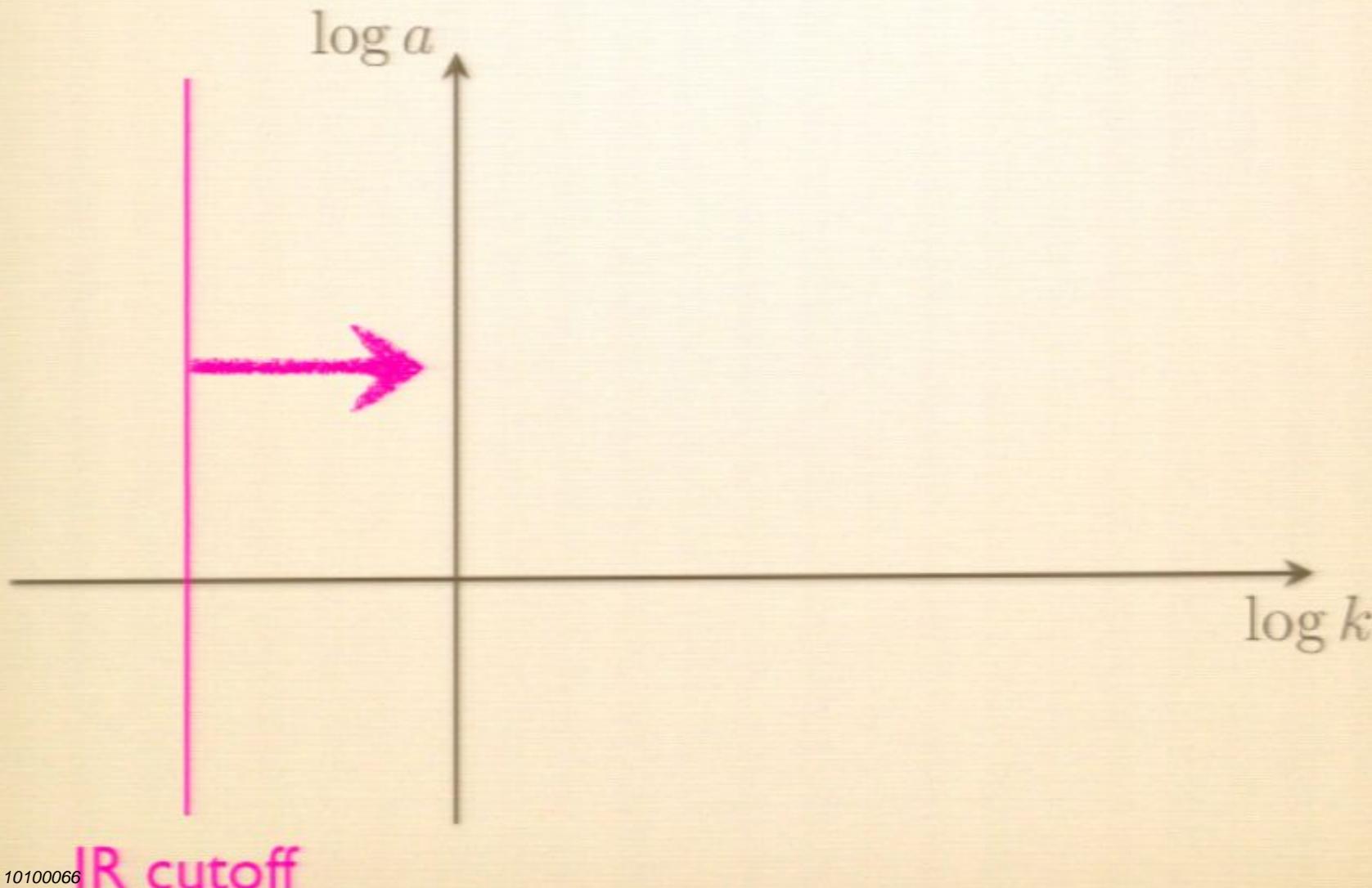
Momentum (Loop)integral

$$\int d^3 q |\zeta_q|^2 = \int d^3 q / q^3$$

Logarithmic divergence

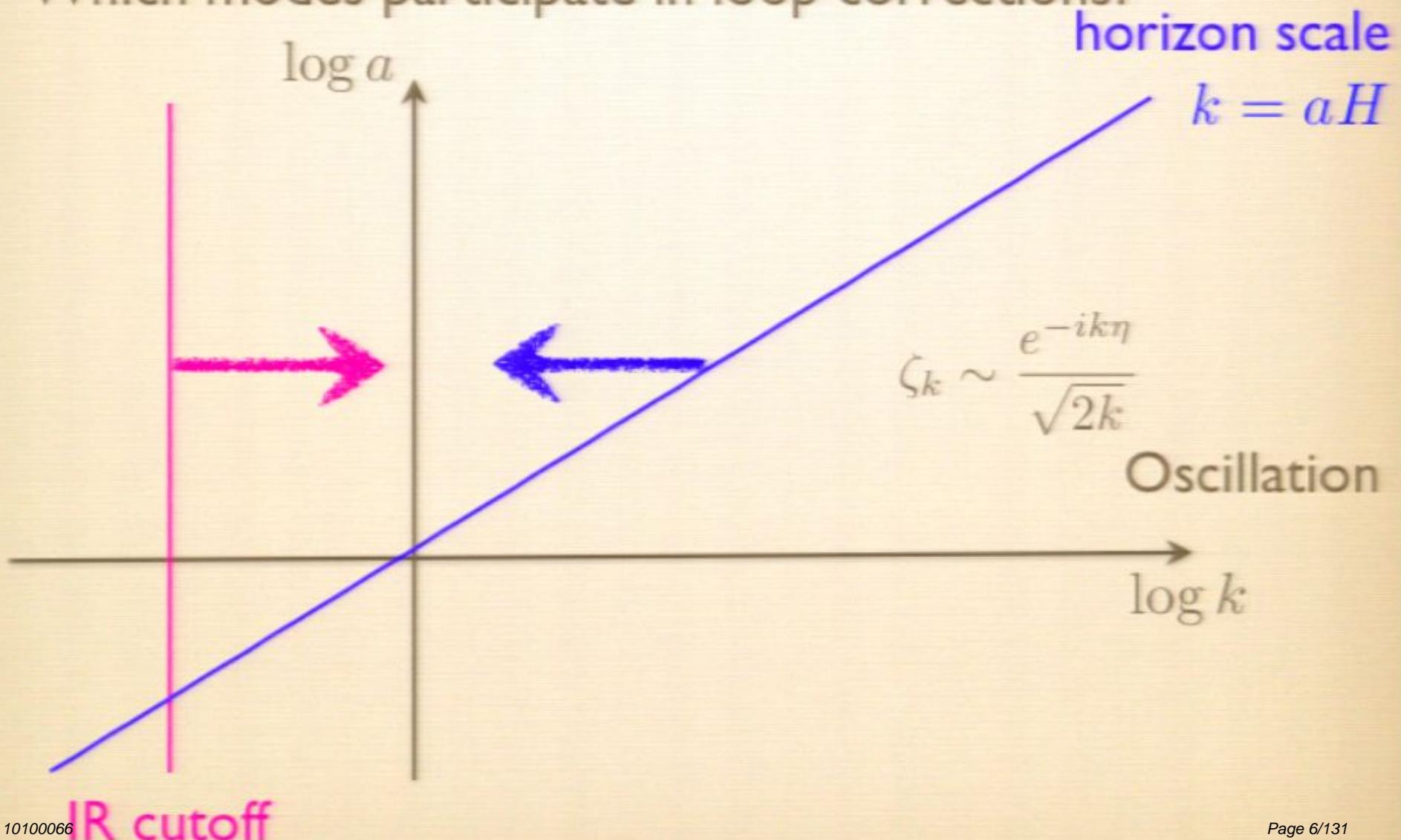
# Introduction of IR cutoff

Which modes participate in loop corrections?



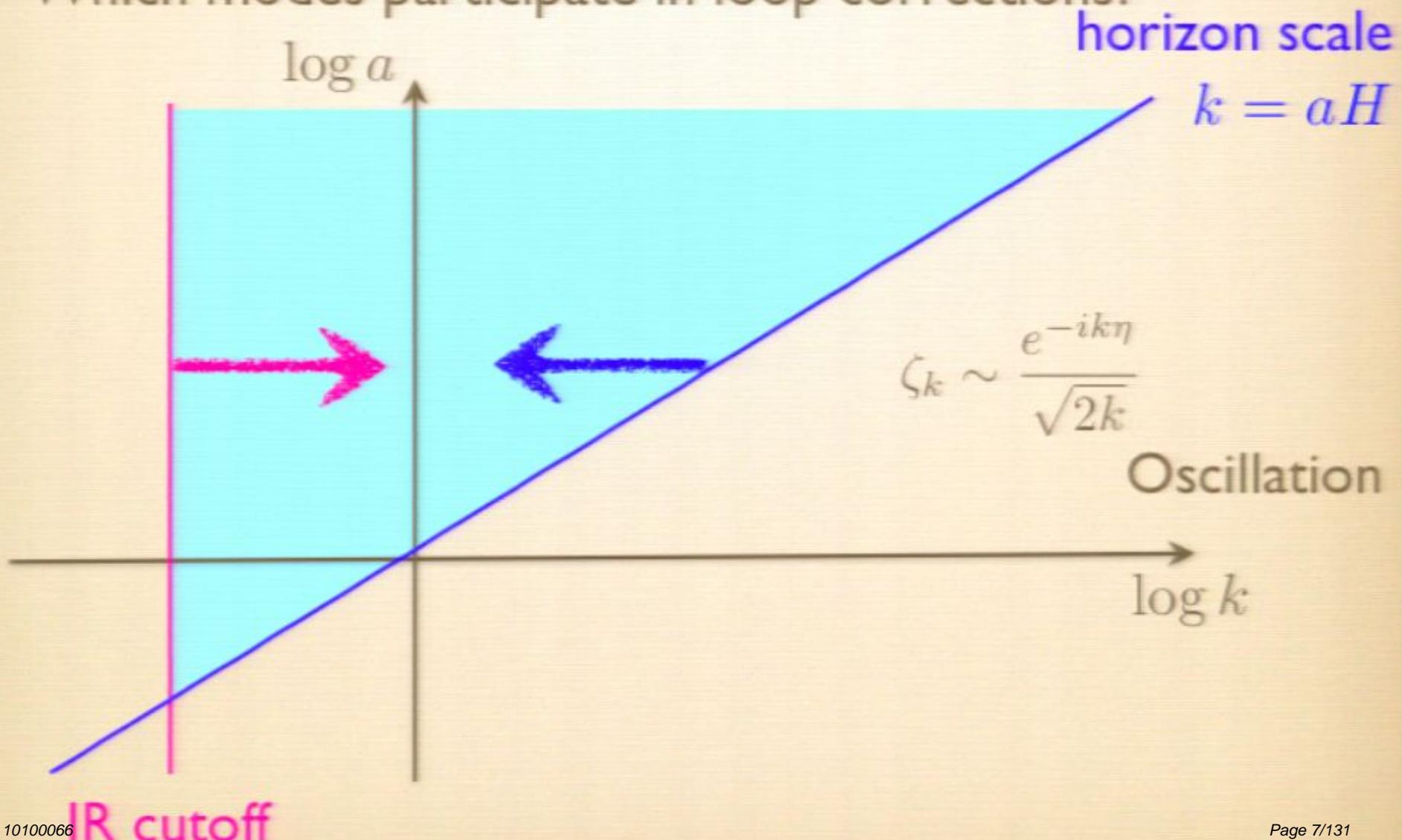
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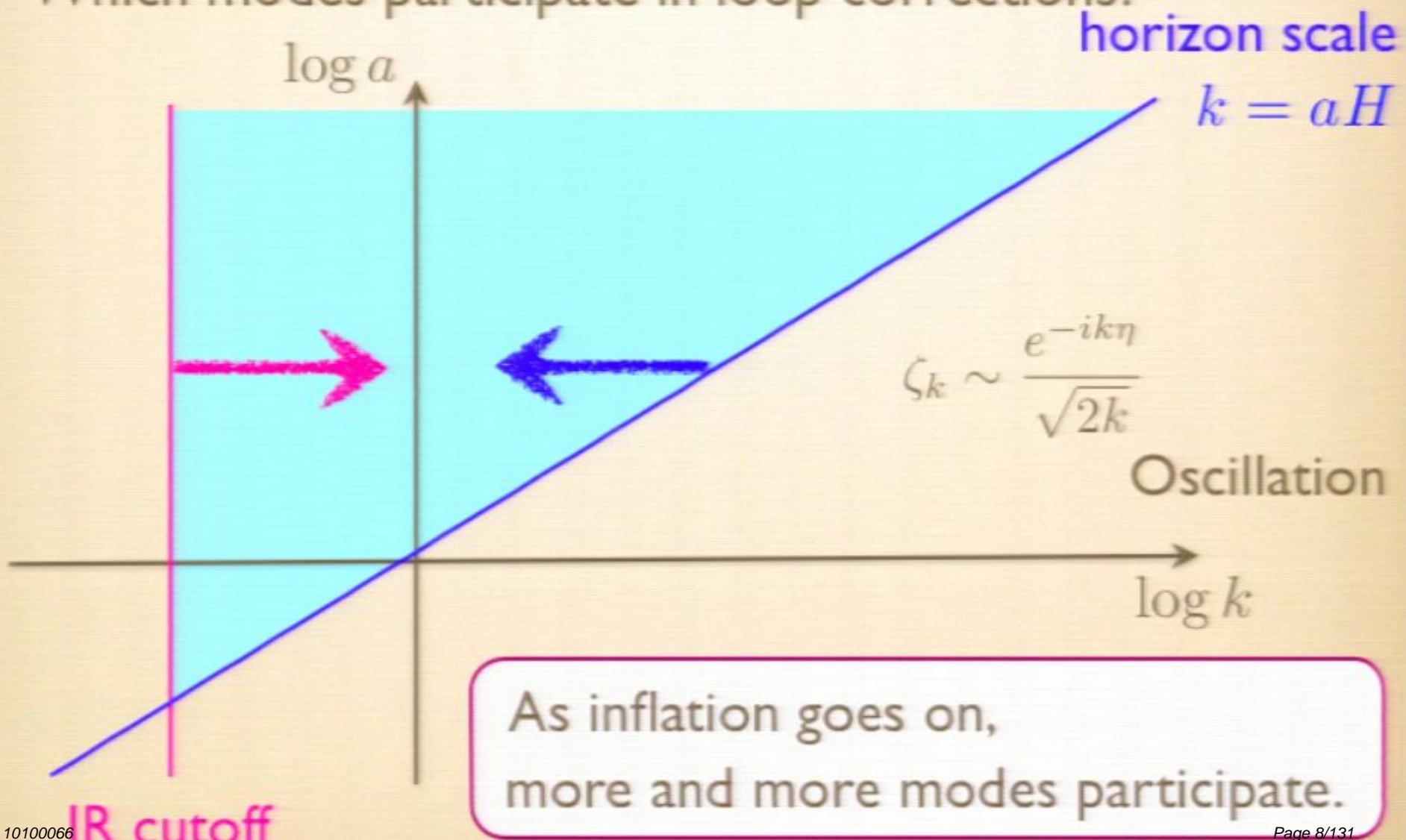
# Introduction of IR cutoff

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# Introduction of IR cutoff

Which modes participate in loop corrections?



In this talk, ...

“IR divergence is physical or not?”

in single field models of inflation

## ● Contents

- I. Origin of Infrared divergence
2. Two ways of regularization

# ADM formalism

$$ds^2 = -N^2 dt^2 + h_{ij} (dx^i + N^i dt) (dx^j + N^j dt)$$

Comoving gauge

Maldacena (2002)

$$\delta\phi = 0 \quad h_{ij} = e^{2(\rho+\zeta)} (\delta_{ij} + \delta\gamma_{ij})$$

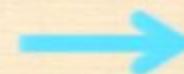
$$\gamma^{ij} \delta\gamma_{ij} = 0 \quad \partial^i \delta\gamma_{ij} = 0 \quad e^\rho: \text{scale factor}$$

$$S = S_{\text{EH}} + S_\phi = S[N, N_i, \zeta, \delta\gamma_{ij}]$$

## ● Lagrange multiplier $N / N_i$

Hamiltonian constraint  $\partial\mathcal{L}/\partial N = 0$

$$N = N[\zeta]$$



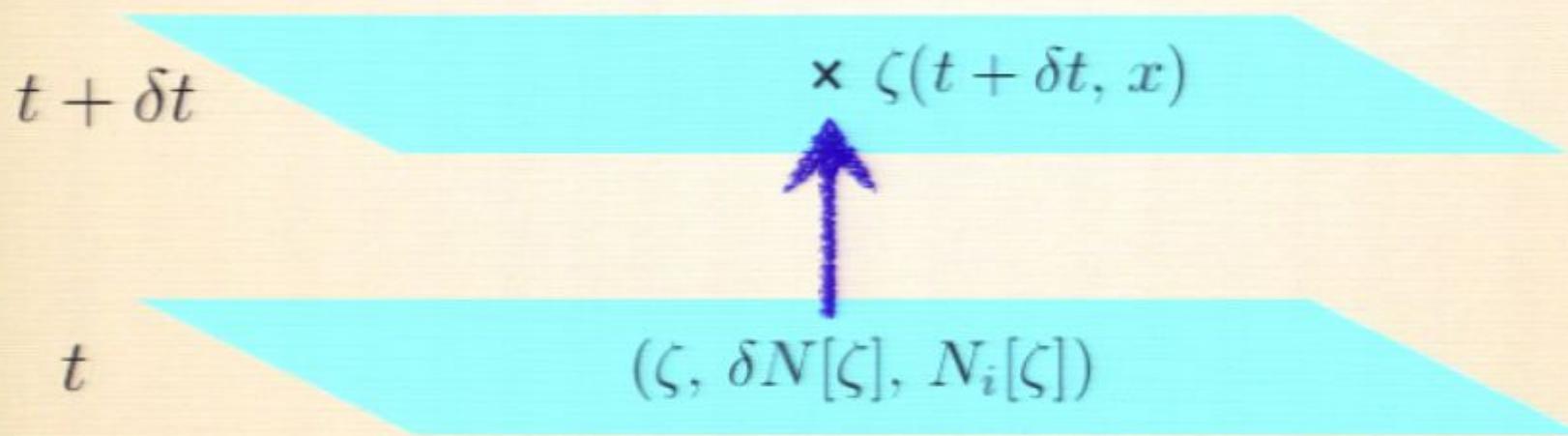
Momentum constraints  $\partial\mathcal{L}/\partial N^i = 0$

$$N_i = N_i[\zeta]$$



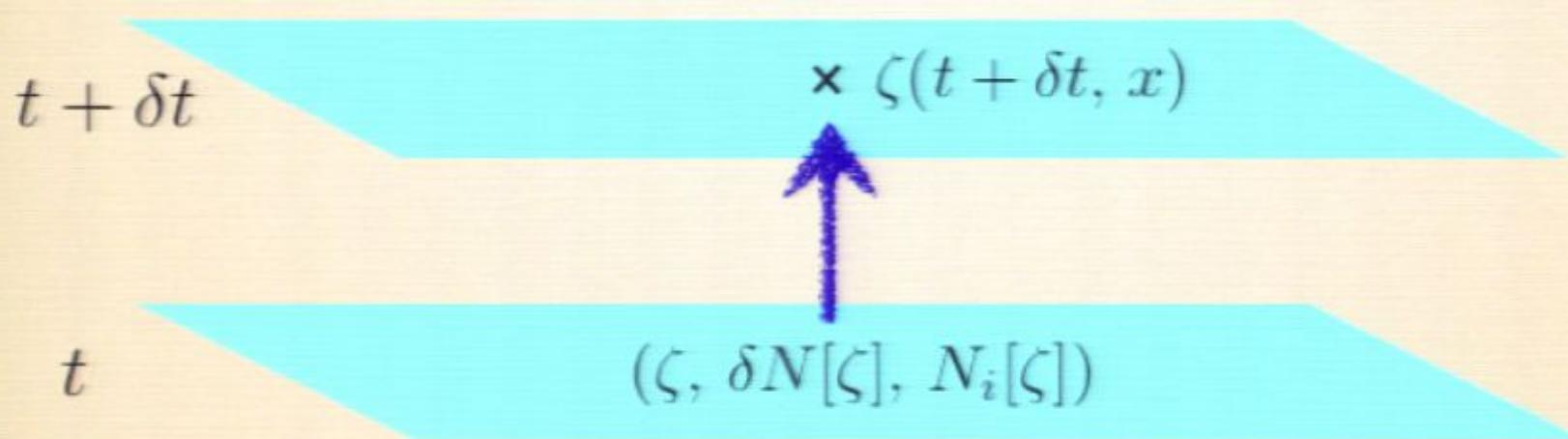
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$\delta\phi = 0$  Fix the temporal gauge



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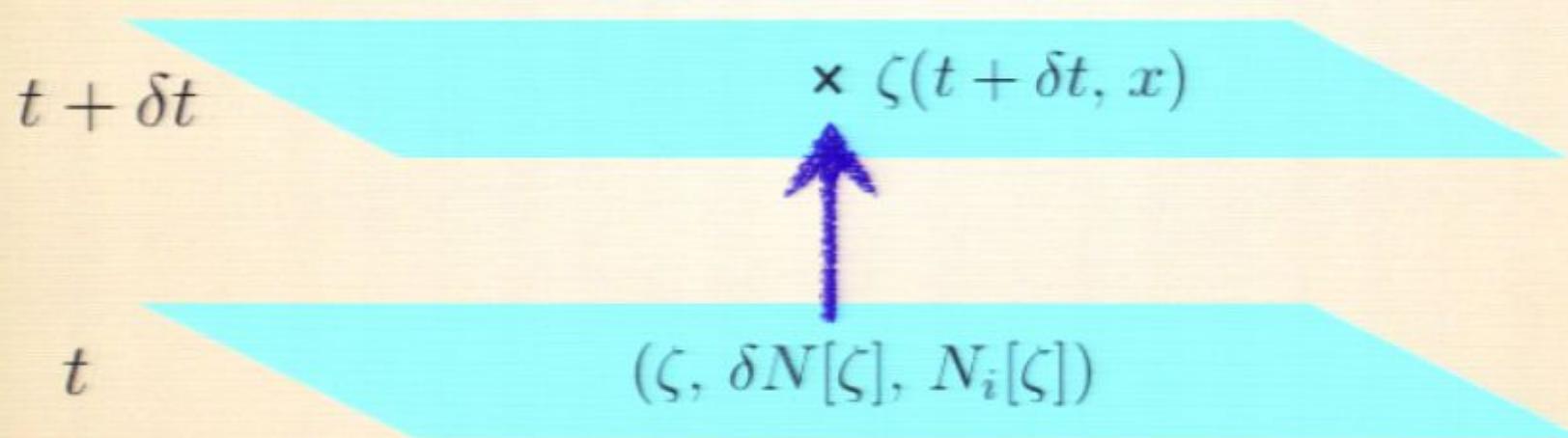


Constraint eqs.

$$\partial^2 \delta N = \mathcal{S}[\zeta] \quad \partial^2 N_i = \mathcal{S}_i[\zeta] \quad \partial^2 = \partial_i \partial^i$$

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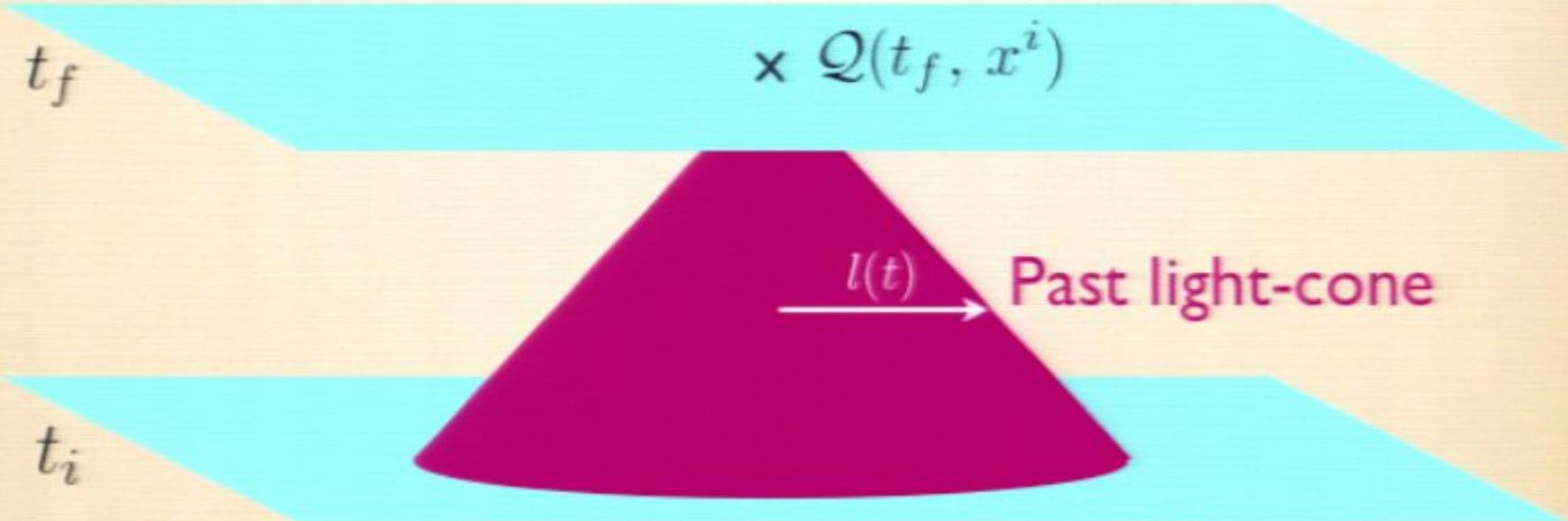
Elliptic-type eqs.  $\rightarrow$  DOFs in boundary conditions

Change the evolution of  $\zeta$

# DOFs in boundary conditions 2

Time evolution from  $t_i$  to  $t_f$

## ● Hyperbolic system



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$t_f$

$\times \mathcal{Q}(t_f, x^i)$

$t_i$

$l(t)$

Past light-cone

Vertexes in  $\mathcal{Q}(t_f, x^i)$

$$\int_{t_i}^{t_f} dt \int_{|x| \leq l(t)} d^3x$$

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Wavelengths of fluctuation that affect  $\zeta(t_f, x)$

→ Bounded by  $k \geq 1/l(t)$

# DOFs in boundary conditions 3

Time evolution from  $t_i$  to  $t_f$

## ● Evolution of $\zeta$

$t_f$

$\times \zeta(t_f, x)$

$(\delta N[\zeta], N_i[\zeta])$

Boundary

$b(t)$

$t_i$

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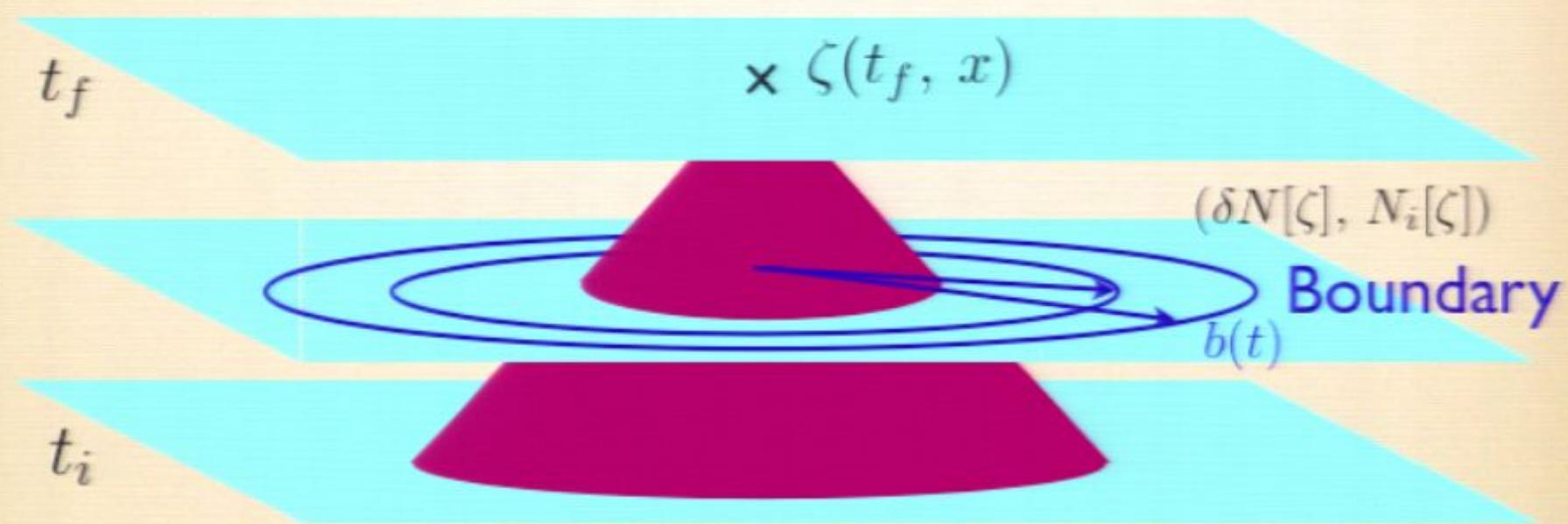
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$$\int dt \int_{|x| \leq b(t)} d^3x$$

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Vertexes in  $\zeta(t_f, x)$

$$\int dt \int_{|x| \leq b(t)} d^3x$$

Wavelengths of fluctuation that affect  $\zeta(t_f, x)$

→ Unbounded. For  $b(t) \rightarrow \infty$  IR div appear

# Residual gauge modes

Single field inflation

$$S_\phi = -\frac{1}{2} \int \sqrt{-g} [g^{\mu\nu} \phi_{,\mu} \phi_{,\nu} + 2V(\phi)] d^4x$$

● General solutions of  $\delta N$ ,  $\check{N}_i = e^{-\rho} N_i$

From Hamiltonian & Momentum constraints at 1st order

$$\delta N_1(x) = \frac{1}{\rho'} \left( \zeta'_1(x) - \frac{1}{4} \partial^i G_{i,1}(x) \right) \quad \partial^2 G_{i,1}(x) = 0$$

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DOFs in  $\delta N$  &  $N_i \rightarrow$  Residual gauge DOFs

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$$(\delta N, \check{N}_i) \text{ for } G_i = 0 \longrightarrow (\delta \tilde{N}, \tilde{\check{N}}_i) \text{ for } G_i \neq 0$$

● Gauge transformation:  $(t, x^i) \rightarrow (t + \delta t, x^i + \delta x^i)$

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IR corrections, that yield divergence, are changed by the residual gauge DOFs.

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# Local gauge condition

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Remove gauge DOFs associated with boundary cond.

## ● Boundary conditions for $\delta N$ & $N_i$

$\mathcal{O}$ : Observable region = Causally connected region

Boundary conditions at  $\partial\mathcal{O}$

Fluctuations within  $\mathcal{O} \rightarrow$  Not affected by outside of  $\mathcal{O}$

$t_f$

x

$\zeta(t_f, x)$

$t$

$\partial\mathcal{O}$

Blue region:  $\mathcal{O}$

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Y.U.G.T.Tanaka(09)

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## ● Momentum integral

$t_f$

$\times$   $\zeta(t_f, x)$

$t$

$L(t)$

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$t_i$

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$$L(t) = L_f + \int_t^{t_f} \frac{dt}{a(t)} \simeq L_f + \frac{1}{a(t)H}$$

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$(\delta N[\zeta], N_i[\zeta])$

Boundary

$b(t)$

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$$S_\phi = -\frac{1}{2} \int \sqrt{-g} [g^{\mu\nu} \phi_{,\mu} \phi_{,\nu} + 2V(\phi)] d^4x$$

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IR corrections, that yield divergence, are changed by the residual gauge DOFs.

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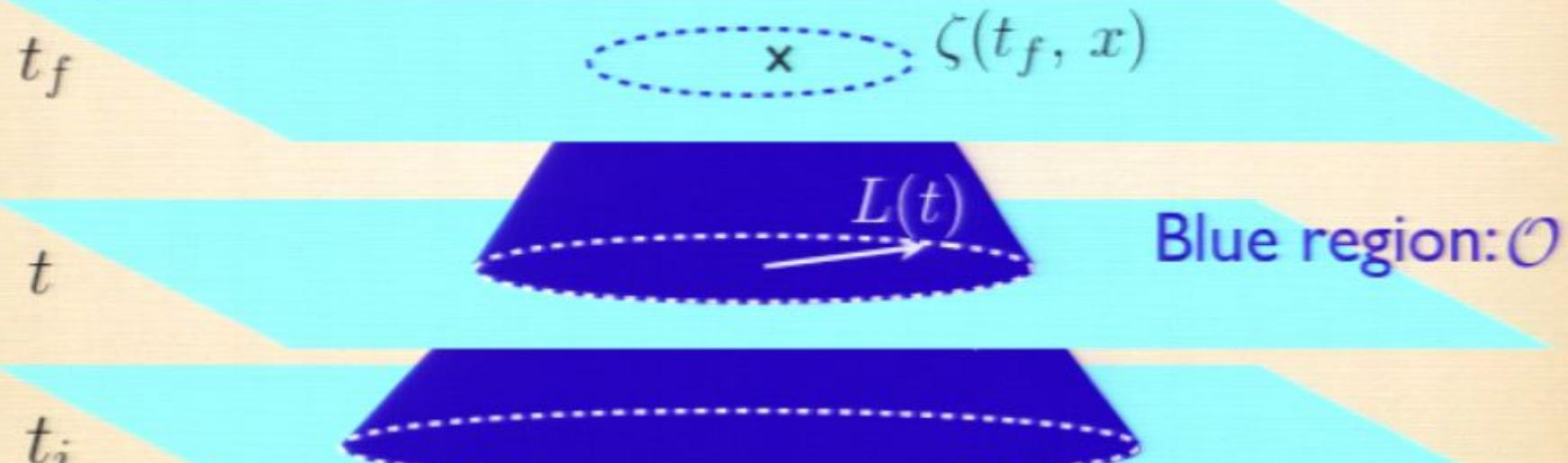
Y.U.G.T.Tanaka( $10^1, 10^2$ )

# Regularization scheme

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Vertex integral  $\int dt \int d^3k$

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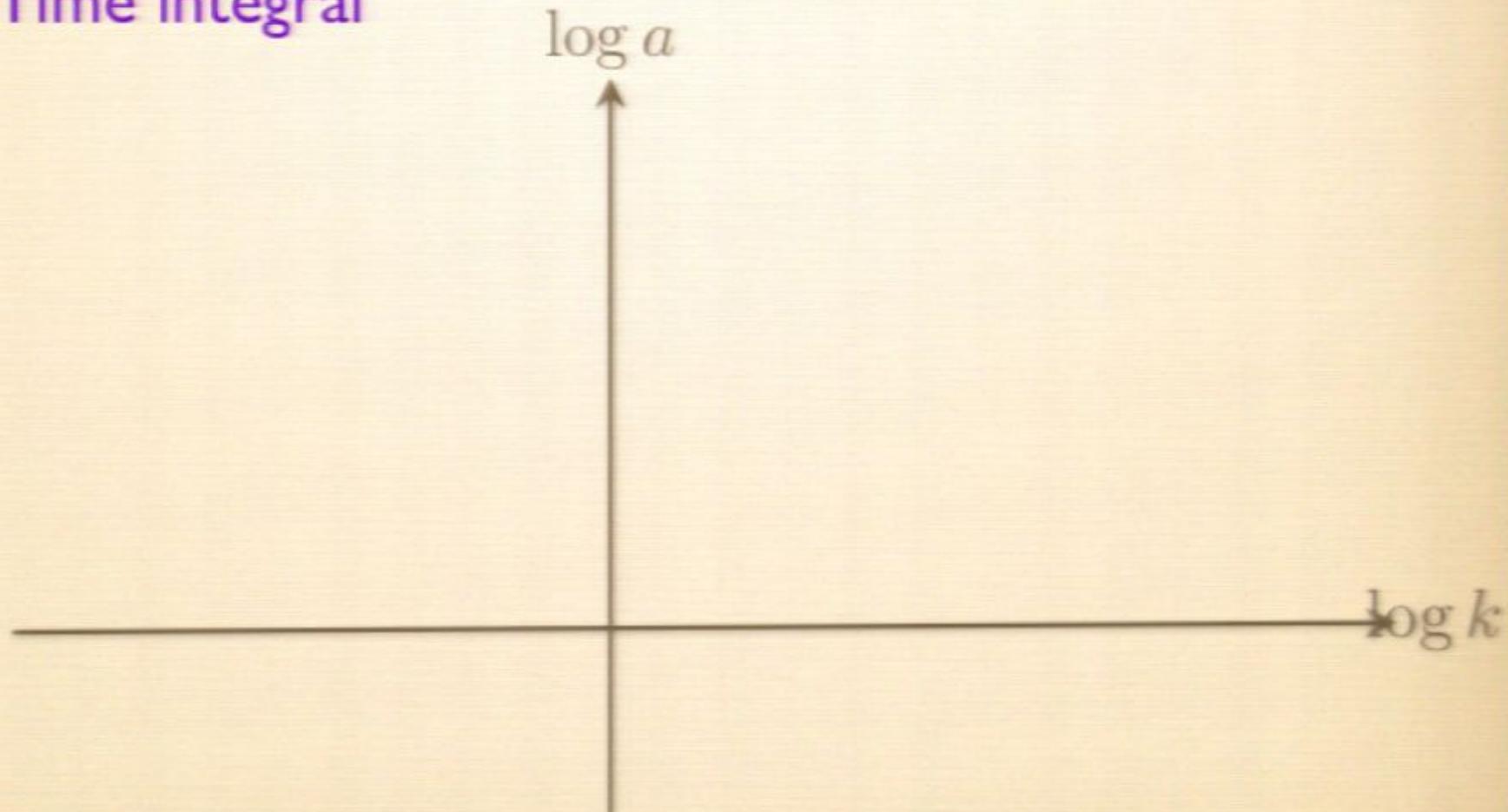


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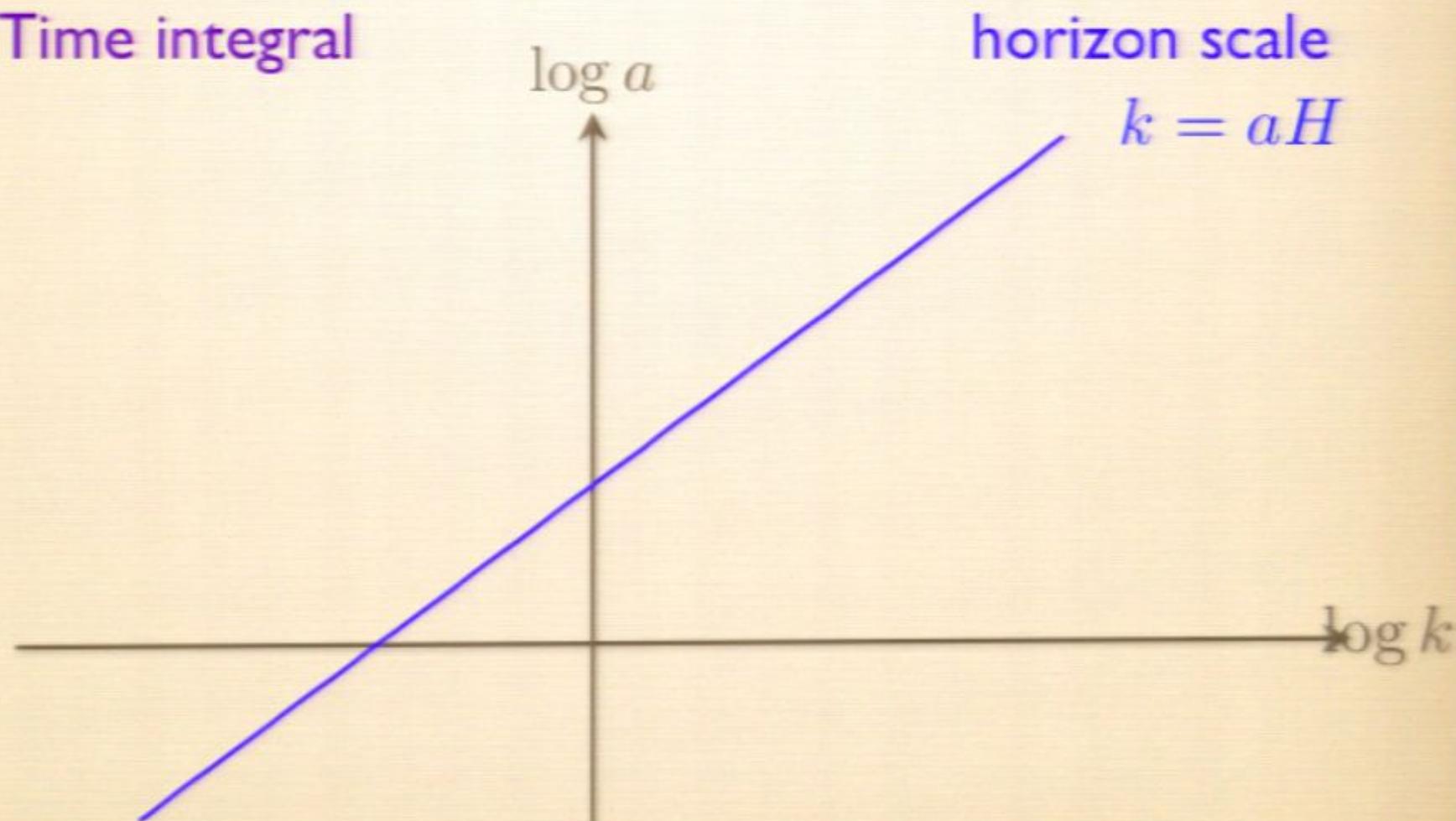
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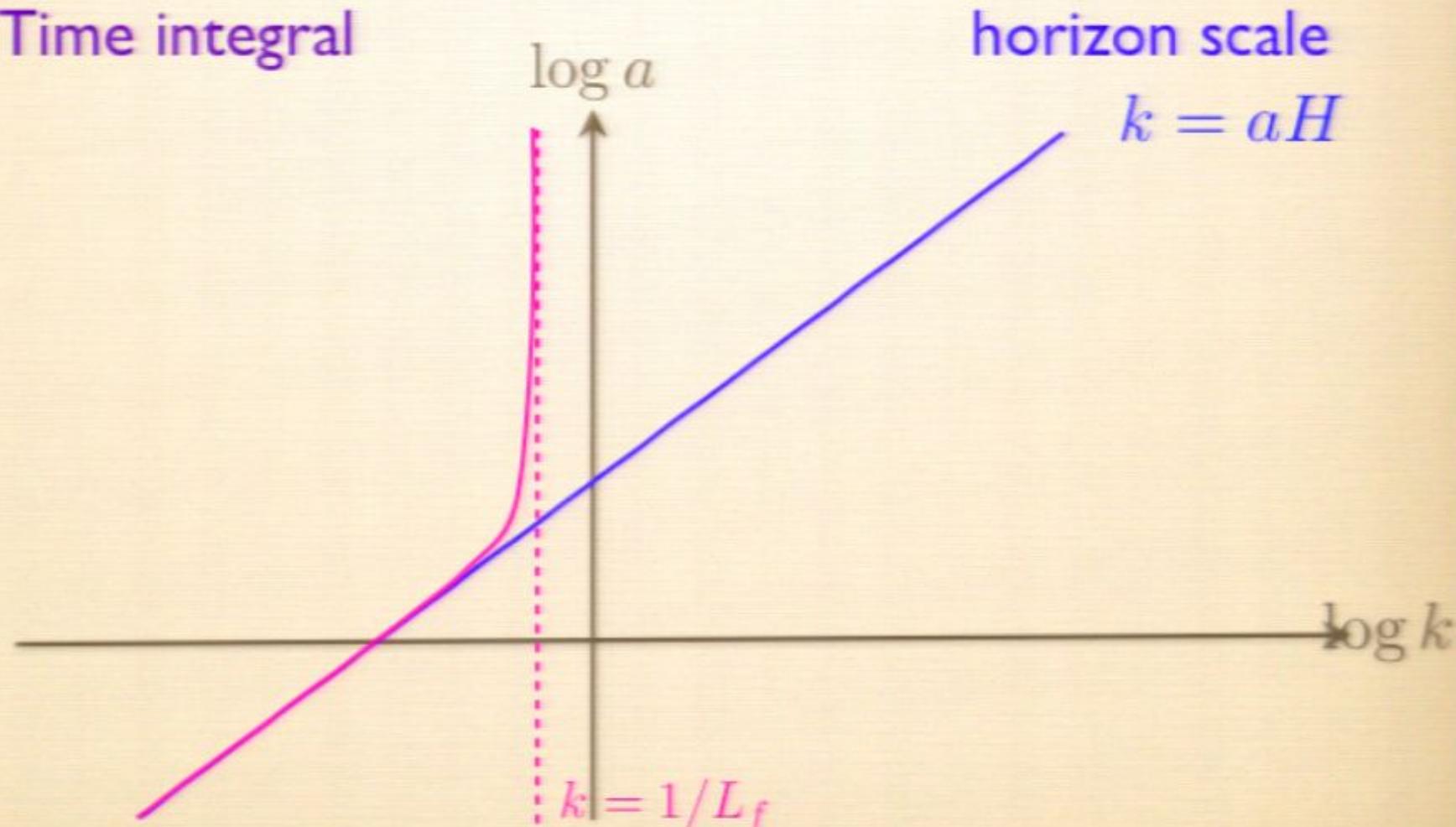
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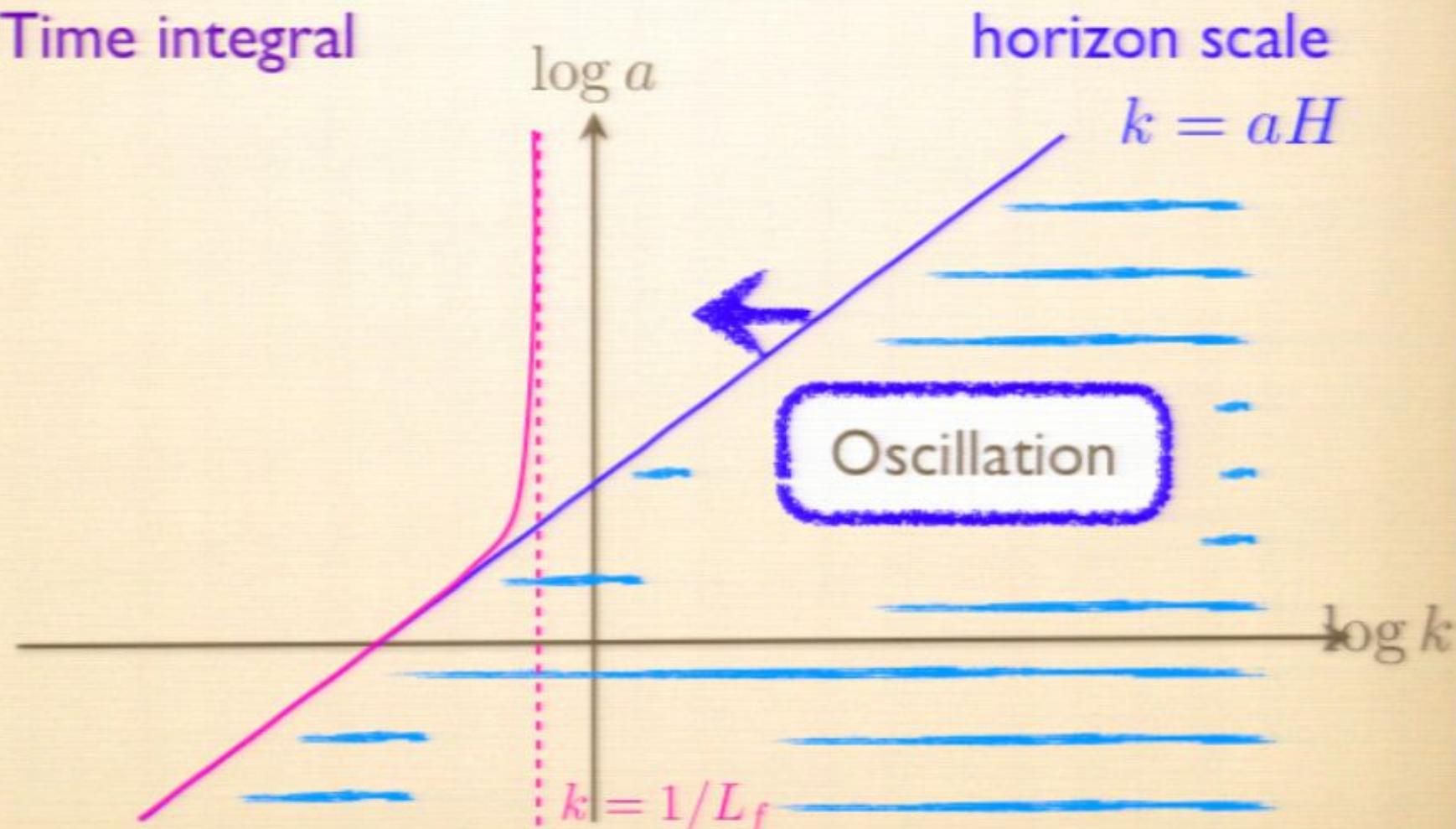
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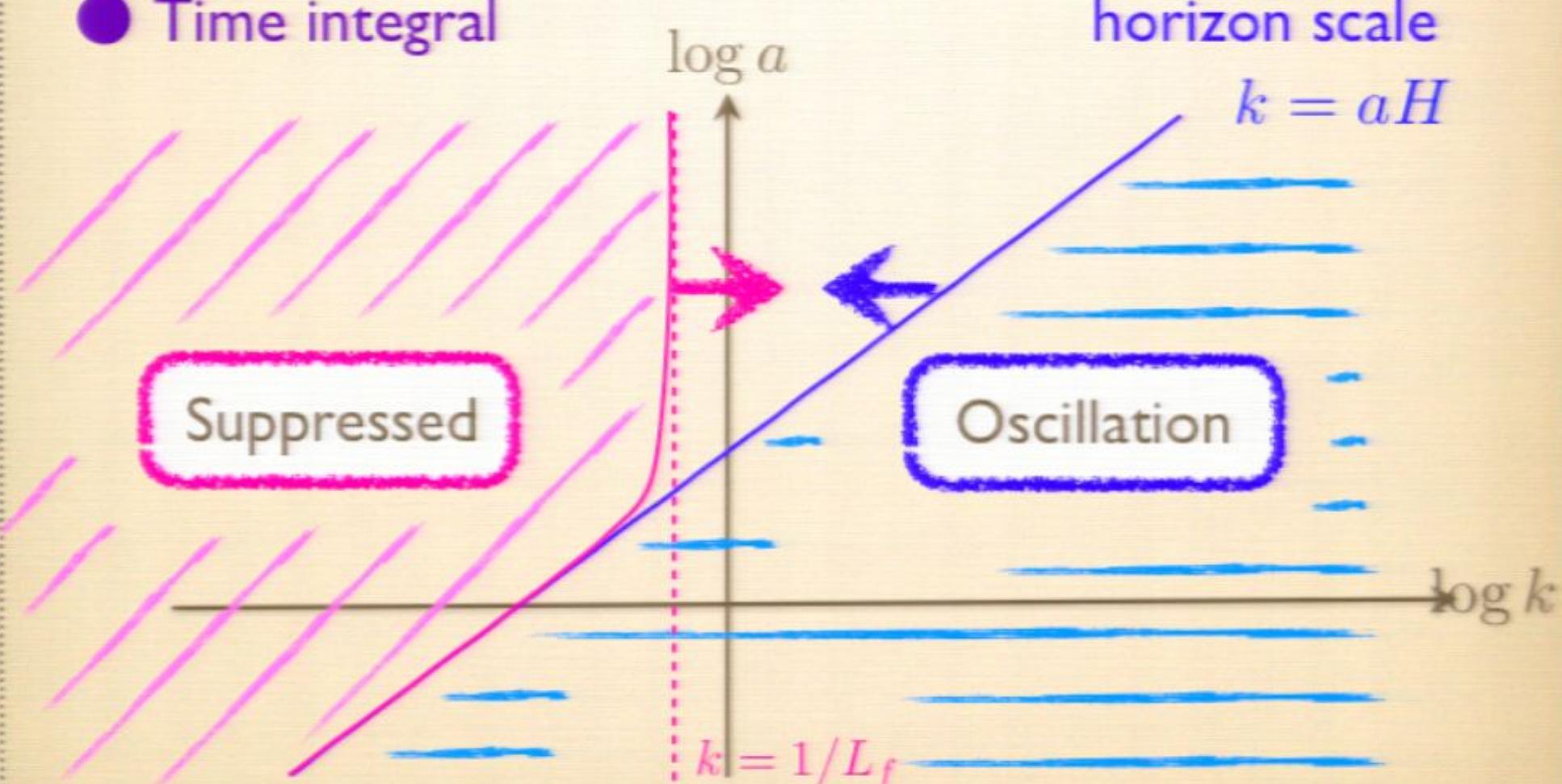
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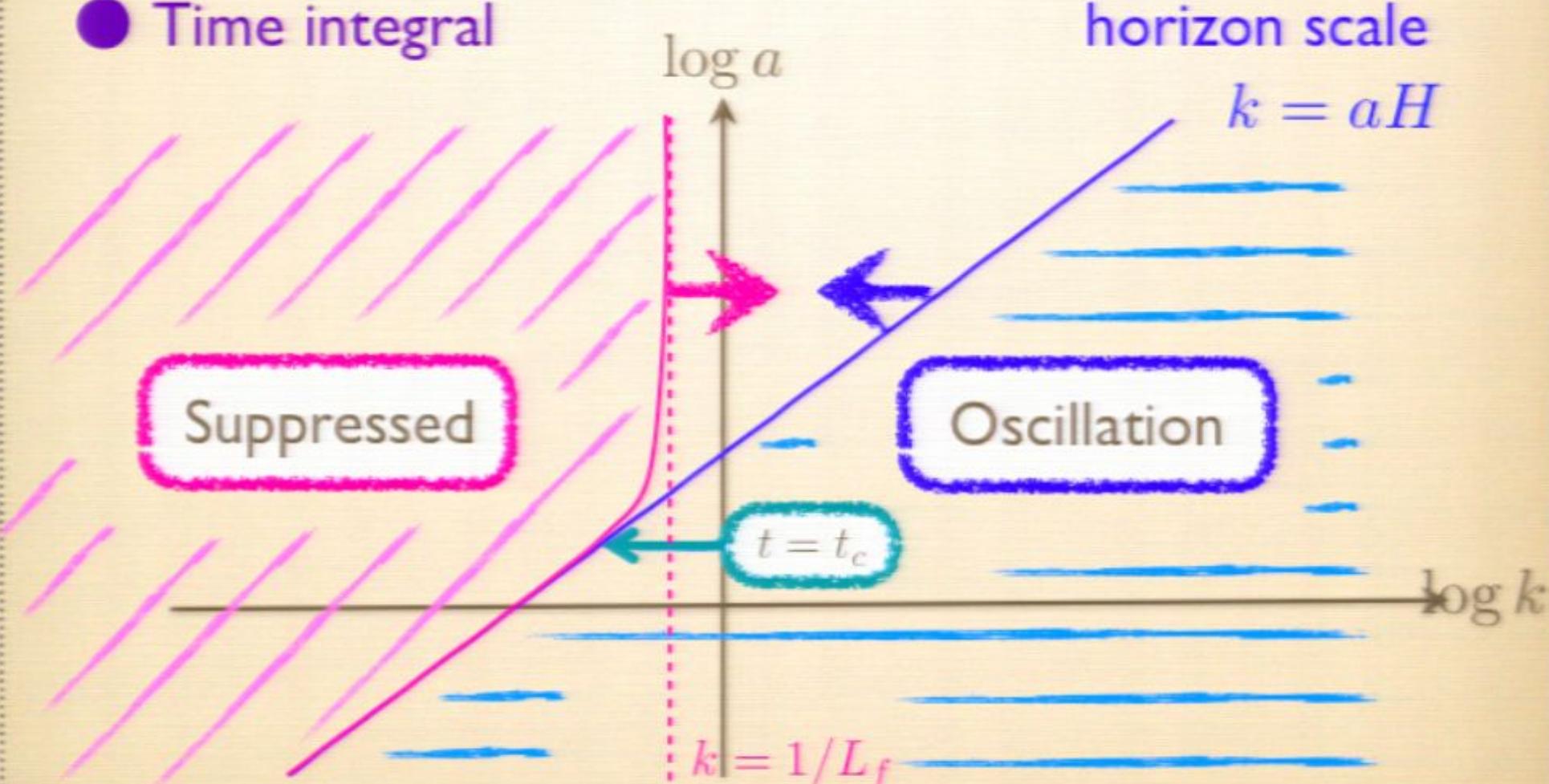
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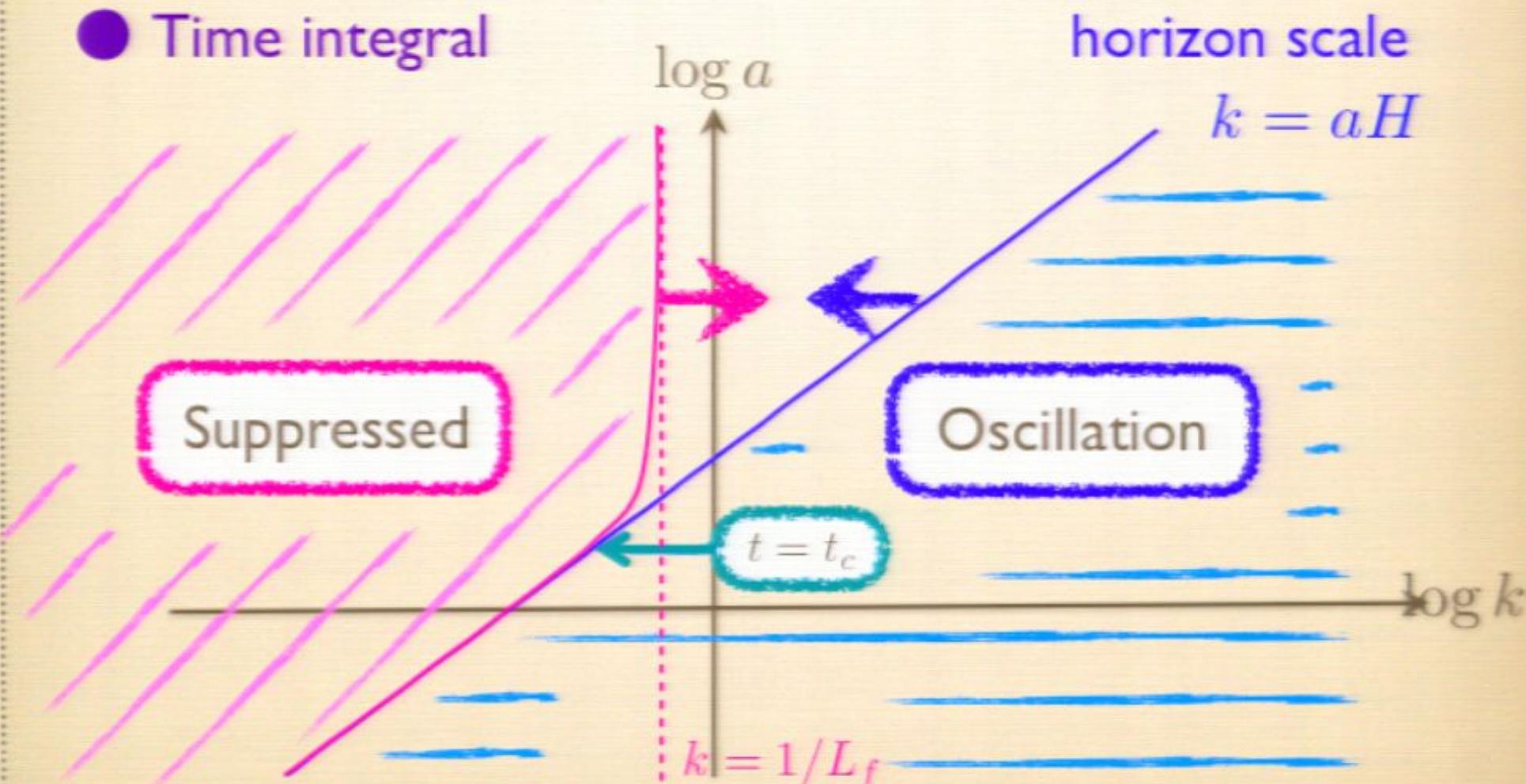
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# Regularization scheme 2

Vertex integral  $\int_{t_c} dt \int d^3k \frac{1}{L(t)}$

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# Upper bound on secular growth

## Assumption

UV renormalization is safely performed.

### ● Upper bound

Correlation fns.  $\langle \zeta \zeta \zeta \dots \rangle$   


Expanded by interaction picture field  $\zeta_I$

### ■ Amplitude of $\zeta_n$

n: # of the included  $\zeta_I$ s

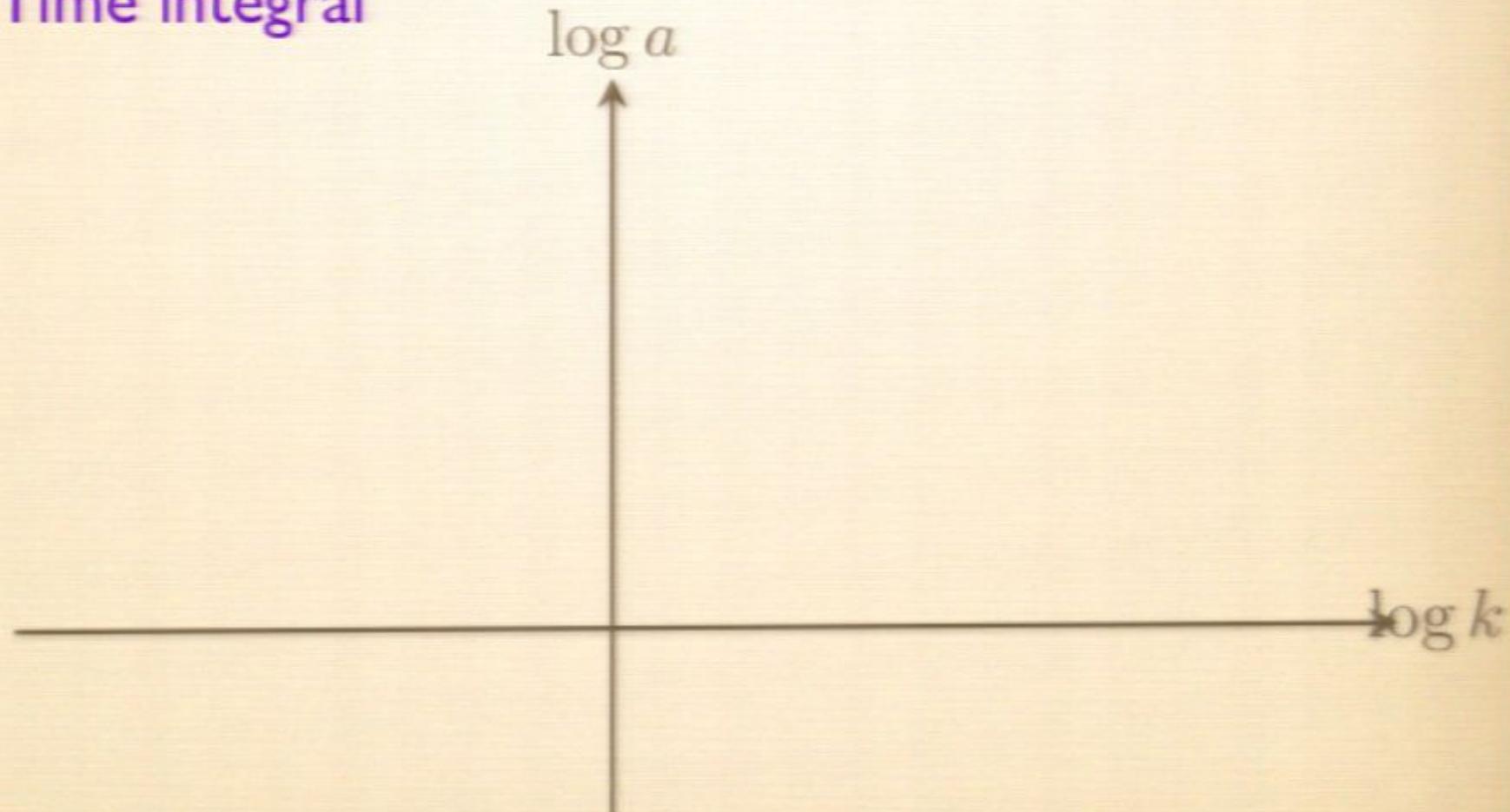
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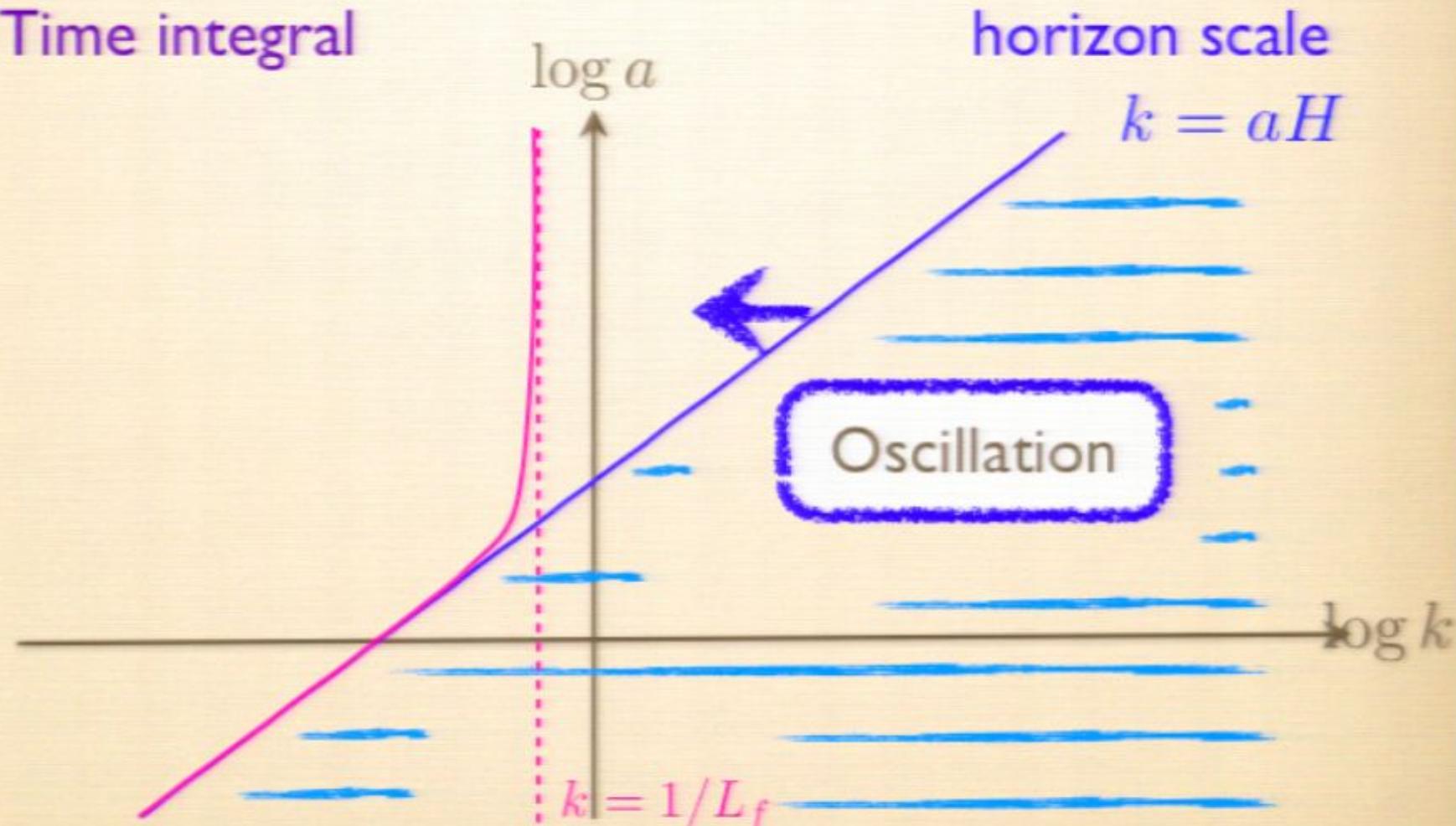
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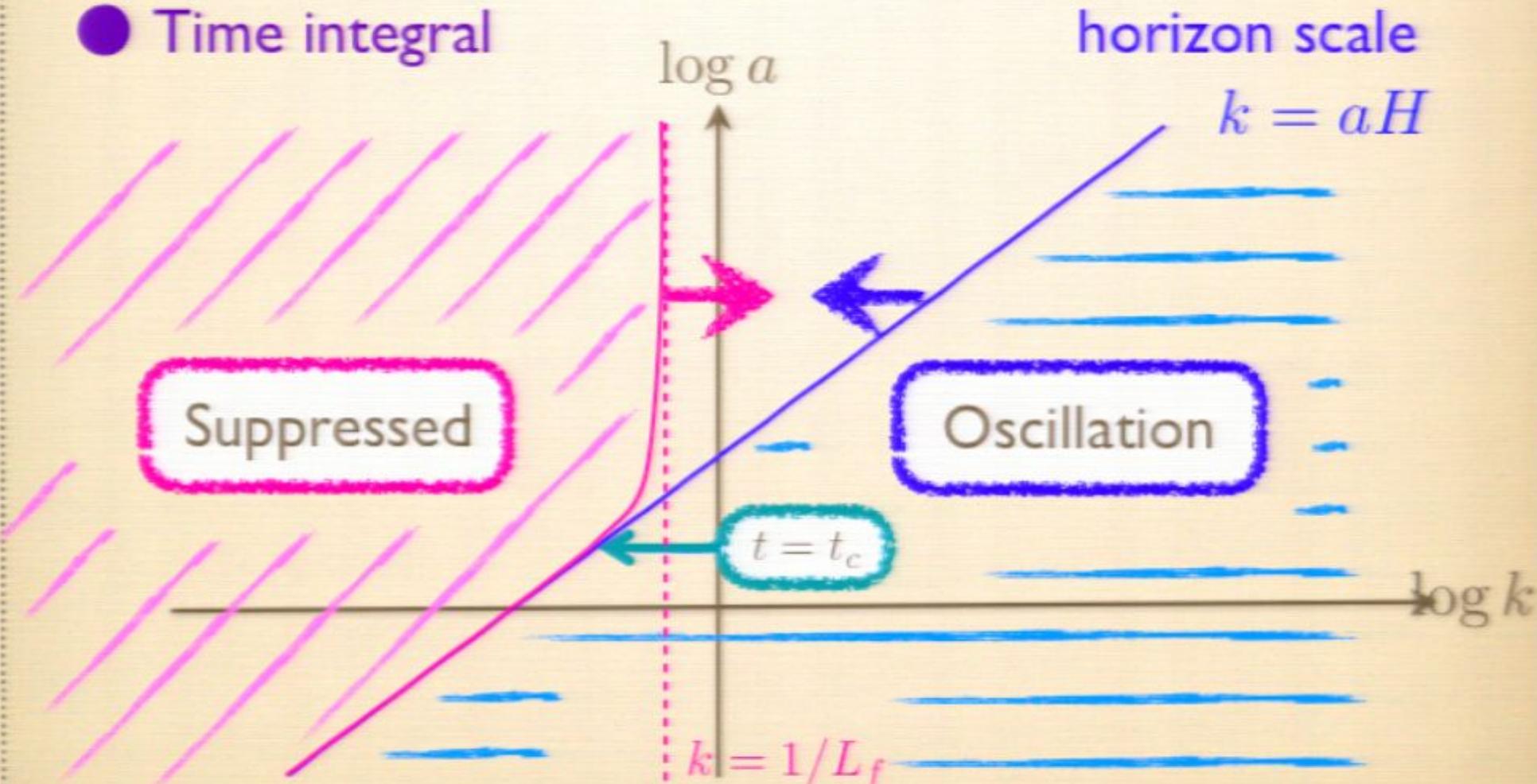
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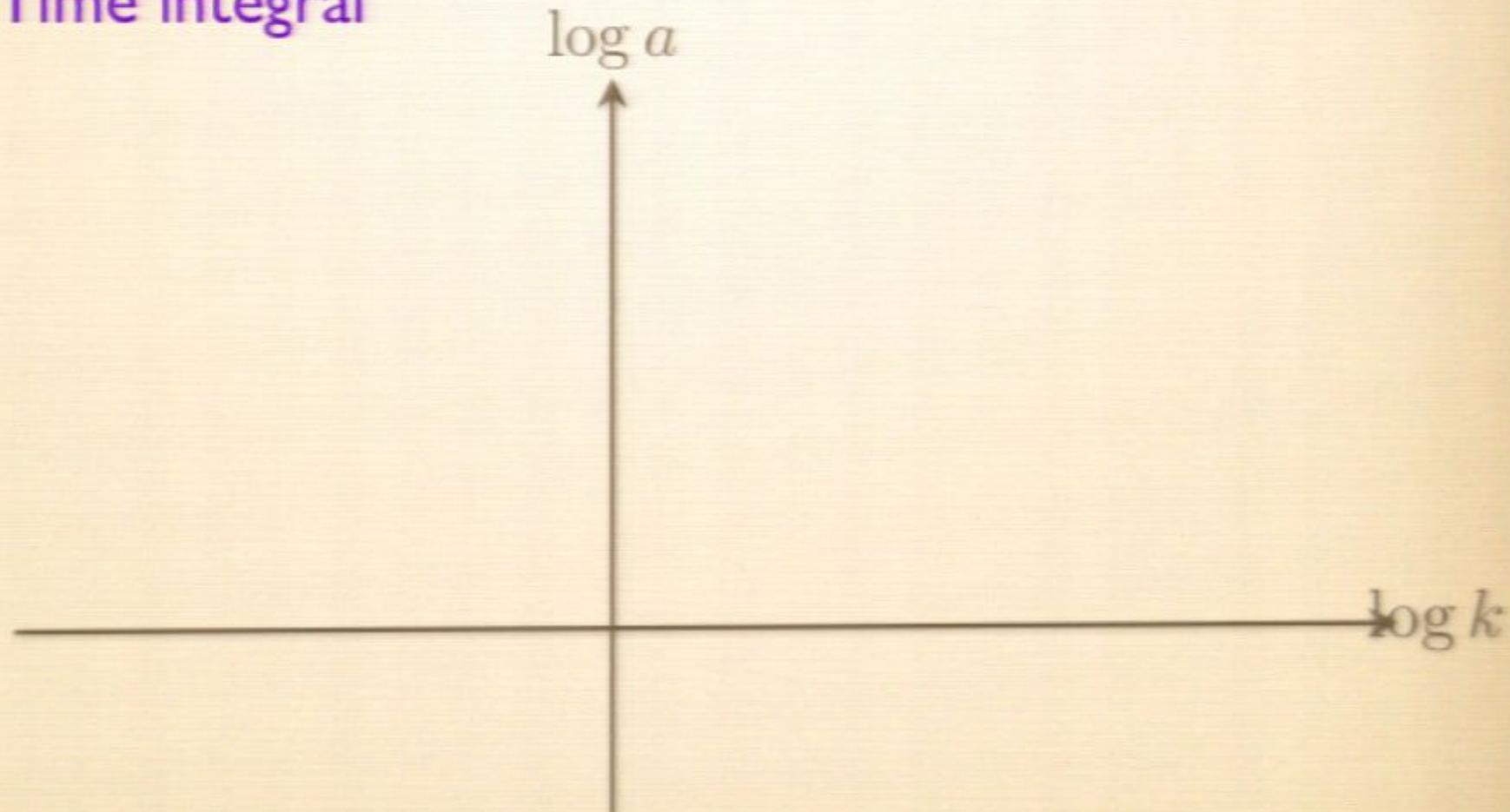
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Y.U.G.T.Tanaka(09)

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$t_f$

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Blue region:  $\mathcal{O}$

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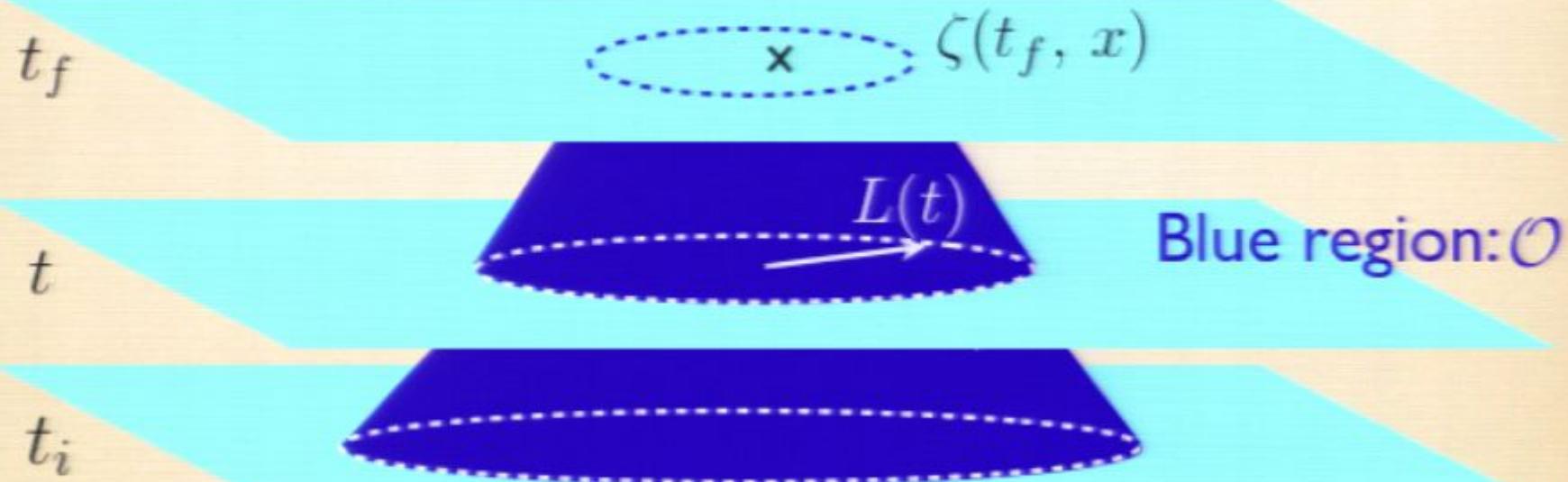
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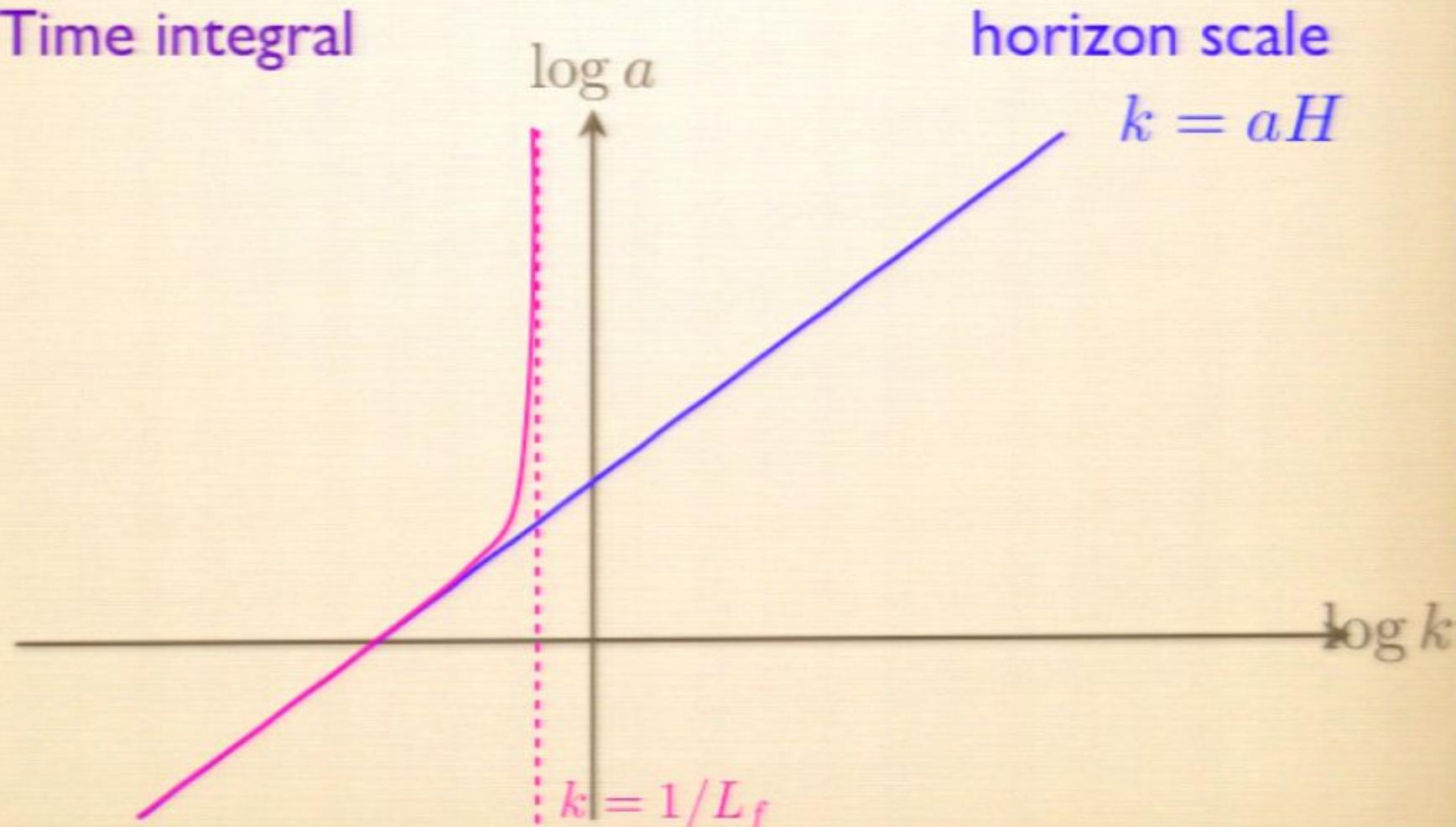
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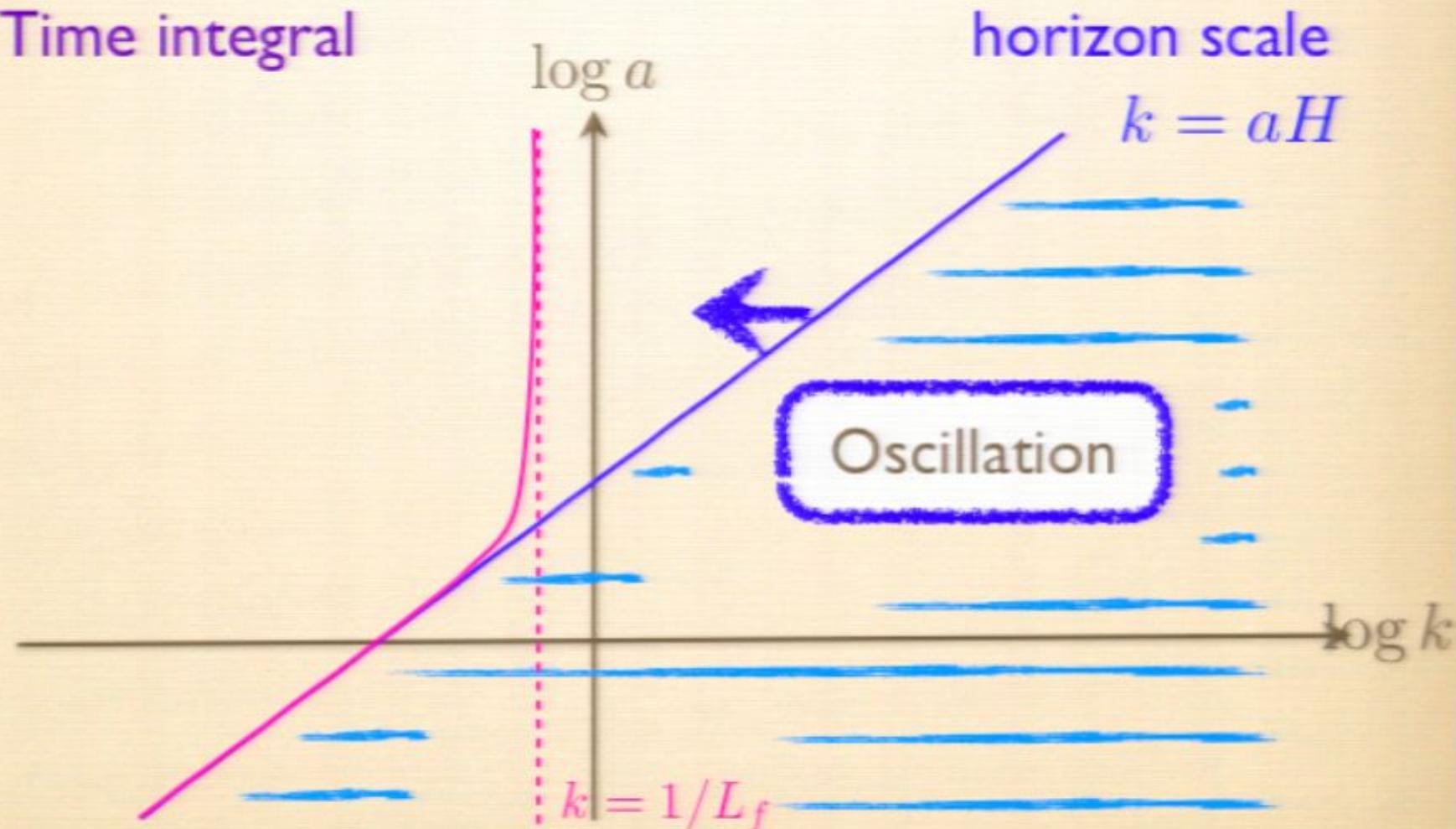
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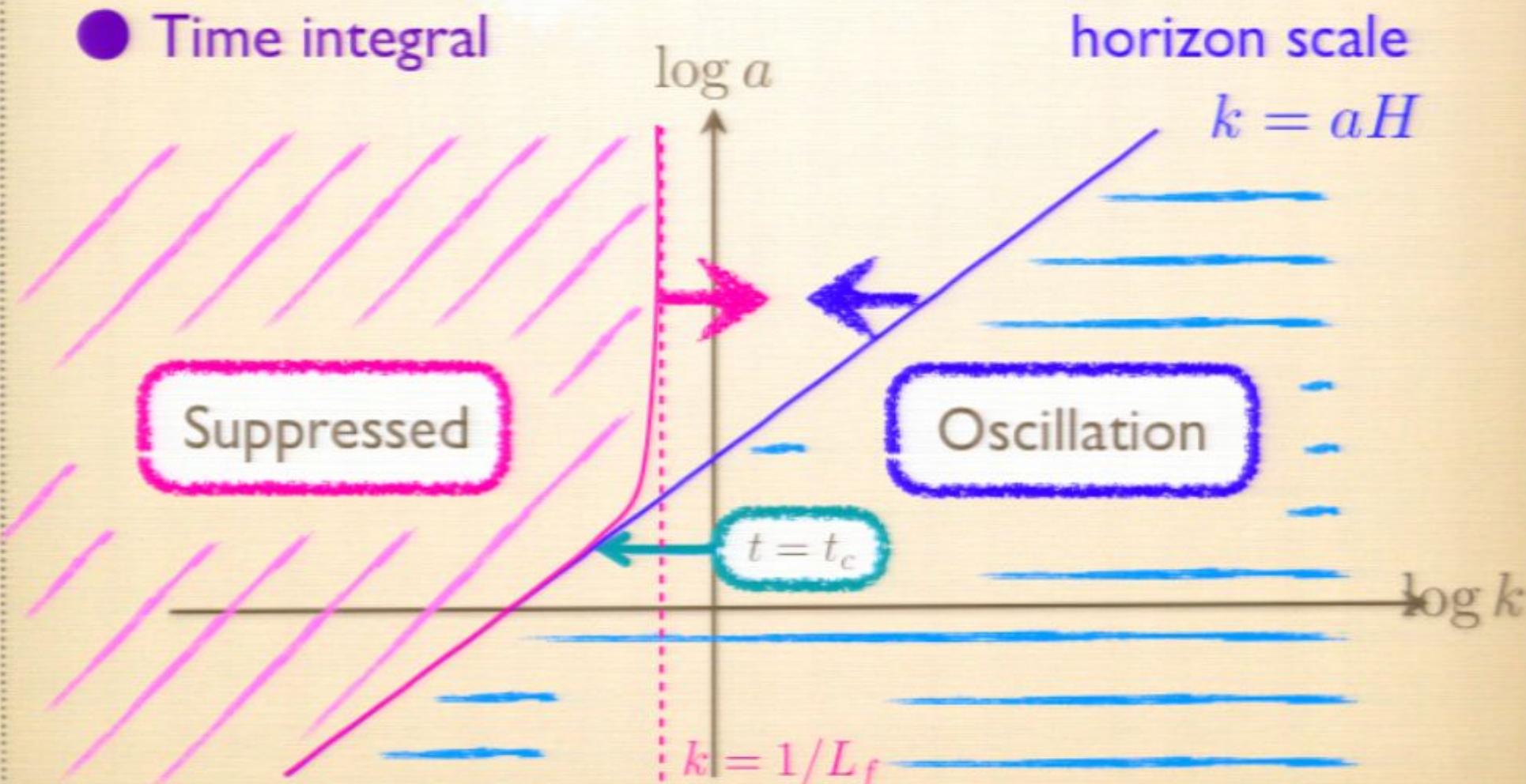
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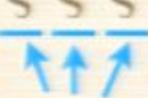


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# Summary of the local gauge

Comoving gauge

$$\delta\phi = 0$$

$$\delta\gamma_{ii} = 0 \quad \partial^i \delta\gamma_{ij} = 0$$

$\zeta$  with all  $k$

**+ Locality**

Local comoving gauge

$$\int_{\mathcal{O}} d^3x \tilde{\zeta}(t, x^i) = 0 \text{ etc}$$

$\tilde{\zeta}$  with  $k \geq 1/L(t)$

Initial condition

$\zeta_I$ : Adiabatic vacuum



$$\tilde{\zeta}_I(x) = \zeta_I(x) - \int_{\mathcal{O}} d^3x \zeta_I(x)$$

Gauge trans.

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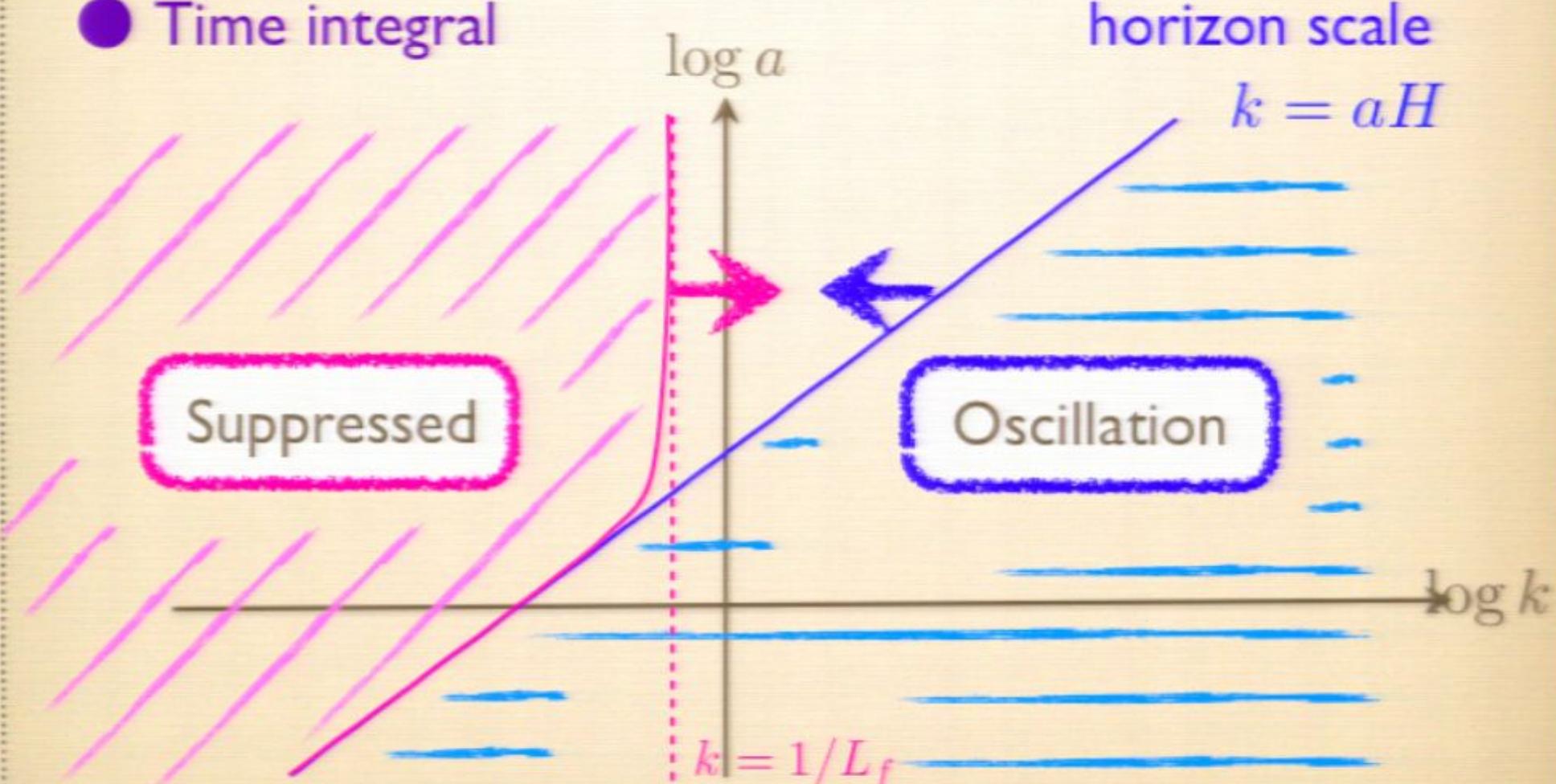
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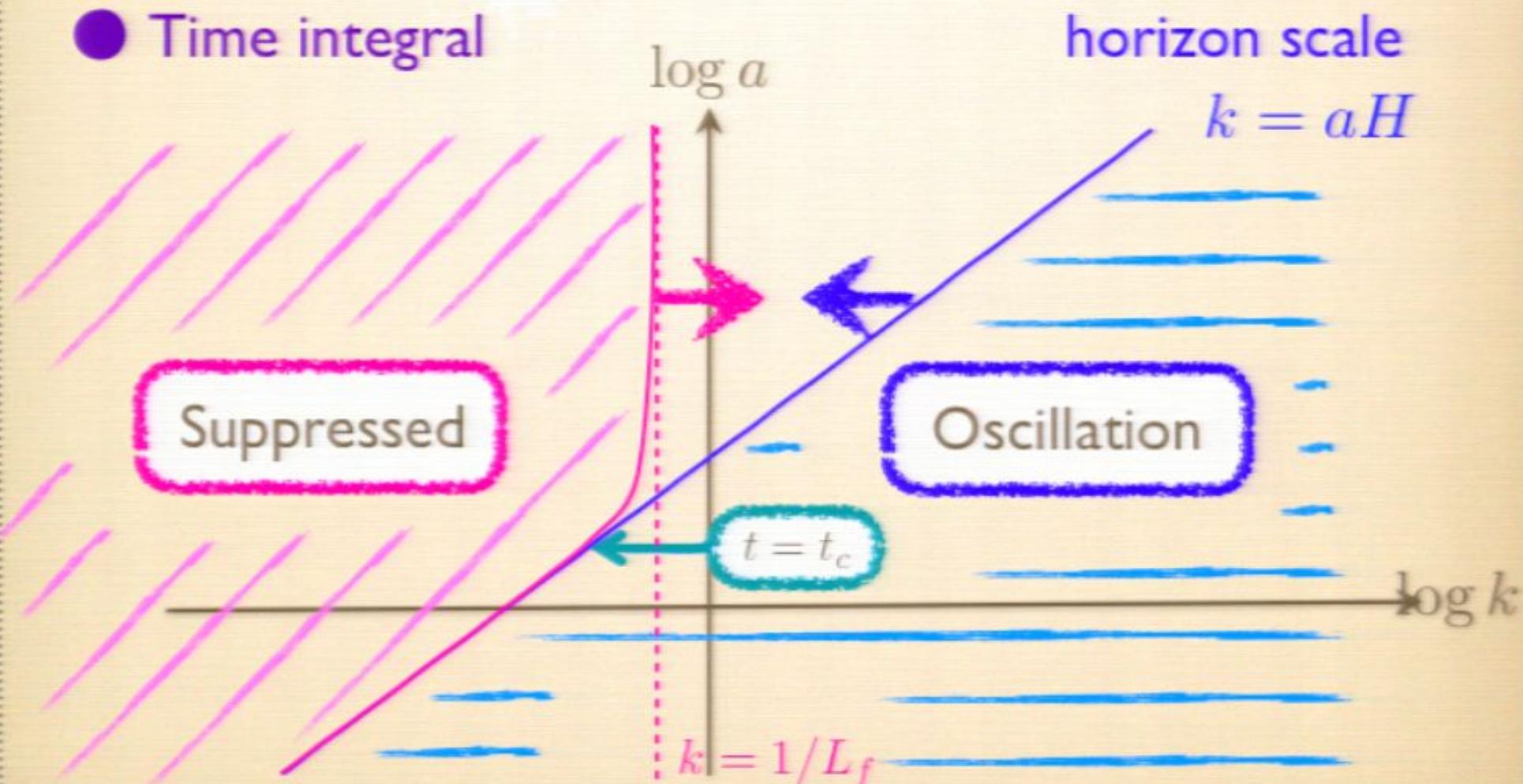
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Local comoving gauge

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$\tilde{\zeta}$  with  $k \geq 1/L(t)$

Initial condition

$\zeta_I$ : Adiabatic vacuum



$$\tilde{\zeta}_I(x) = \zeta_I(x) - \int_{\mathcal{O}} d^3x \zeta_I(x)$$

Gauge trans.

$$\tilde{\zeta}(t_i, x^i) = \tilde{\zeta}_I(t_i, x^i)$$

# Proposal of IR regularization

IR corrections, that yield divergence, are changed by the residual gauge DOFs.

Why don't you perform gauge-inv. perturbations?

I. Complete gauge fixing

Y.U.G.T.Tanaka(09)

2. Construction of gauge-invariant variables

Y.U.G.T.Tanaka( $10^1, 10^2$ )

# Genuine gauge-inv. quantities

It's only necessary to evaluate the gauge-inv. quantities.

## ● Genuine gauge-inv. quantities

Y.U.G.T.Tanaka(10)

Gauge invariance regarding  $x^i \rightarrow \tilde{x}^i = x^i + \delta x^i$

Scalar quantity, labeled by the gauge-invariant argument

${}^sR$ : 3D scalar curvature  ${}^sR \propto \partial^2 \zeta$

${}^sR(x) \rightarrow {}^sR(x) - \underline{\delta x^i \partial_i {}^sR(x)}$

Due to change of the argument

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Not appear if we specify the arguments of  ${}^sR$

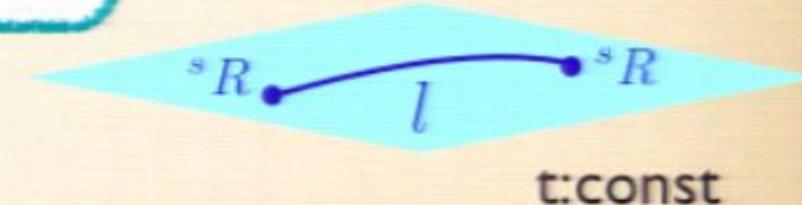
by gauge-invariant quantity

# Genuine gauge-inv. quantities 2

## I. Geodesic normal coordinate

$\langle {}^s R {}^s R \rangle(l), \langle {}^s R {}^s R {}^s R \rangle(l_1, l_2), \dots$

$l_m$ : Geodesic distance



## 2. Gauge-invariant initial state

Quantization  $\left\{ \begin{array}{l} \text{Physical DOFs} \\ \text{Gauge DOFs} \end{array} \right.$

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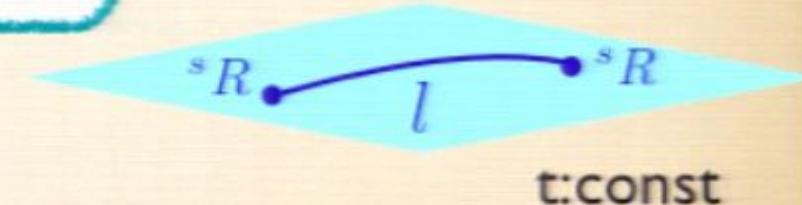
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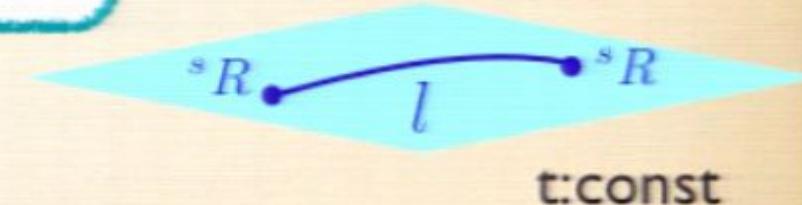
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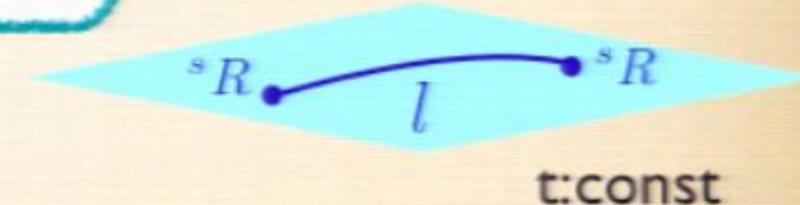
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# Gauge-invariant initial state

## ● Initial condition in interaction picture

$\zeta$  : Heisenberg picture field

Y.U.G.T.Tanaka(10)

$\zeta_I$  : Interaction picture field

1.  $\zeta(t_i) = \zeta[\zeta_I(t_i)]$

2. Positive frequency fn. for  $\zeta_I$

## ■ One-loop corrections

$$\langle {}^s R {}^s R \rangle(l) \simeq \int d(\log k) \partial_{\log k} (\dots)$$

Total derivatives

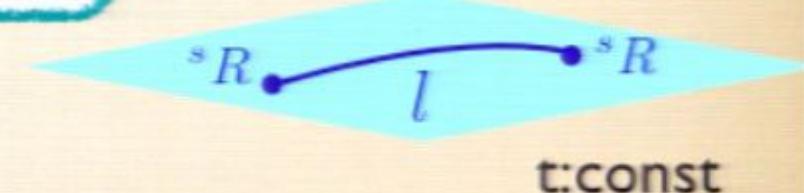
+ (Divergent terms) + (Regular terms)

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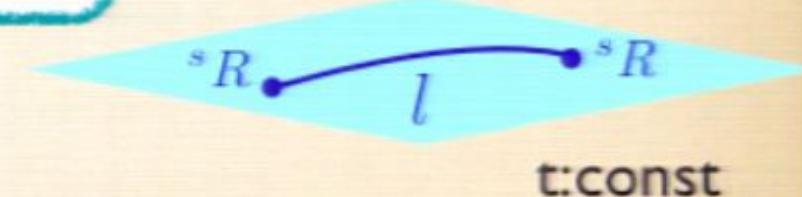
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Choose initial conditions 1&2

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Choose initial conditions 1&2  $= 0$

# Gauge-invariant initial state 2

I.  $\zeta(t_i) = \zeta[\zeta_I(t_i)]$

Heisenberg eq.  $\mathcal{L}\zeta = \mathcal{S}[\zeta]$

$$\zeta = \underline{\sum_i a_i F[\zeta_I]} + \mathcal{L}^{-1} \mathcal{S}$$

homogeneous solution

Y.U.G.T.Tanaka(10)

$\mathcal{L}$ : Derivative op.

$$\mathcal{L}F[\zeta_I] = 0$$

Conditions on  $a_i \rightarrow (\text{CI})$

2. Positive frequency fn. for  $\zeta_I$

$\rho$ : e-folding

$$(1 + \varepsilon) \partial_\rho \zeta_k - x^i \partial_i \zeta_k + \varepsilon \zeta_k + \dots = -(\partial_{\log k} + 3/2) \zeta_k$$

(C2)

# Remarks

## ● Slow-roll approximation

- Leading order  $\mathcal{O}(\varepsilon^0)$

Bunch-Davies vacuum (C1), (C2) OK!

- Higher orders

Adiabatic vacuum &  $\zeta_H(t_i) = \zeta_I(t_i)$

→ (C1), (C2) are not satisfied

## ● Canonical commutation relations

Choosing the appropriate  $a_i$

→ Commutation relations can be compatible  
with the gauge-invariance condition

# Concluding this talk, ...

1. Origin of Infrared divergence  
→ Presence of non-local gauge DOFs
2. Two ways of regularization  
→ Gauge inv. perturbations
  - (1) Gauge fixing

Momentum integrals are regularized.  
No secular growth for  $n < n_c = \mathcal{O}(100)$
  - (2) Construct genuine gauge inv. variables
    - a. Geodesic normal coordinate
    - b. Gauge-inv. initial vacuum

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  - 3. Implications on observable fluctuations, such as NGs

# Origins of IR divergences

	Single field (Adiabatic)	Multi field (Isocurvature)
Momentum integral	<p>Gauge artifacts</p> <p>→ This talk</p> <p>→ Arthur's talk</p>	Absence of decoherence → Takahiro's talk
Time integral		Controversial

# Concluding this talk, ...

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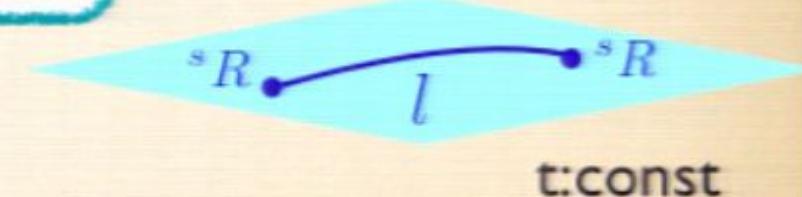
+ (Divergent terms) + (Regular terms)

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Y.U.G.T.Tanaka( $10^1, 10^2$ )

# Upper bound on secular growth

## Assumption

UV renormalization is safely performed.

## ● Upper bound

Correlation fns.  $\langle \underline{\zeta} \underline{\zeta} \underline{\zeta} \dots \rangle$

Expanded by interaction picture field  $\zeta_I$

## ■ Amplitude of $\zeta_n$

n: # of the included  $\zeta_I$ s

$$\mathcal{A}[\zeta_n(t, x^i)] = \begin{cases} \left[ \frac{H(t)}{M_{\text{pl}} \varepsilon^{1/2}} \right]^n & \text{for } n < n_c \\ \{a_i H_i L(t)\} \left[ \frac{H_i}{M_{\text{pl}} \varepsilon^{1/2}} \right]^n & \text{for } n > n_c \end{cases}$$

$$n_c := \varepsilon^{-1} - 1 = \mathcal{O}(100)$$

# Regularization scheme

Y.U.G.T.Tanaka(09)

Vertex integral  $\int dt \int d^3k$

## ● Momentum integral

$t_f$

$\times$   $\zeta(t_f, x)$

$t$

$L(t)$

Blue region:  $\mathcal{O}$

$t_i$

Vertexes in  $\tilde{\zeta}(t_f, x^i)$

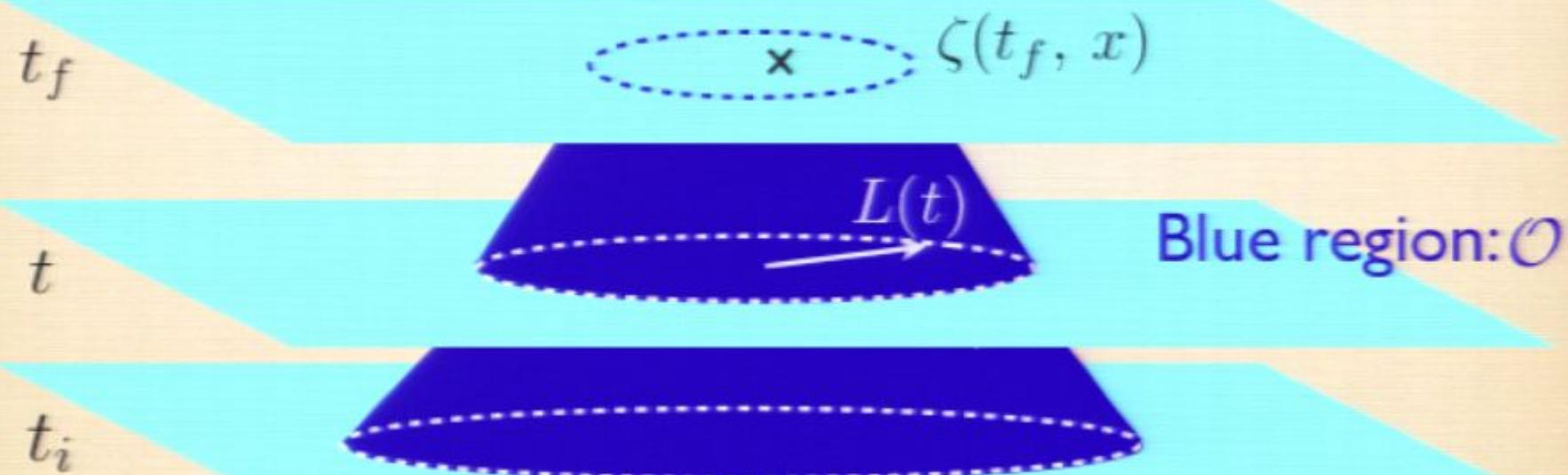
$$\int dt \int_{|x| \leq L(t)} d^3x$$

# Regularization scheme

Y.U.G.T.Tanaka(09)

Vertex integral  $\int dt \int_{\mathbb{R}^3} d^3k$

## ● Momentum integral



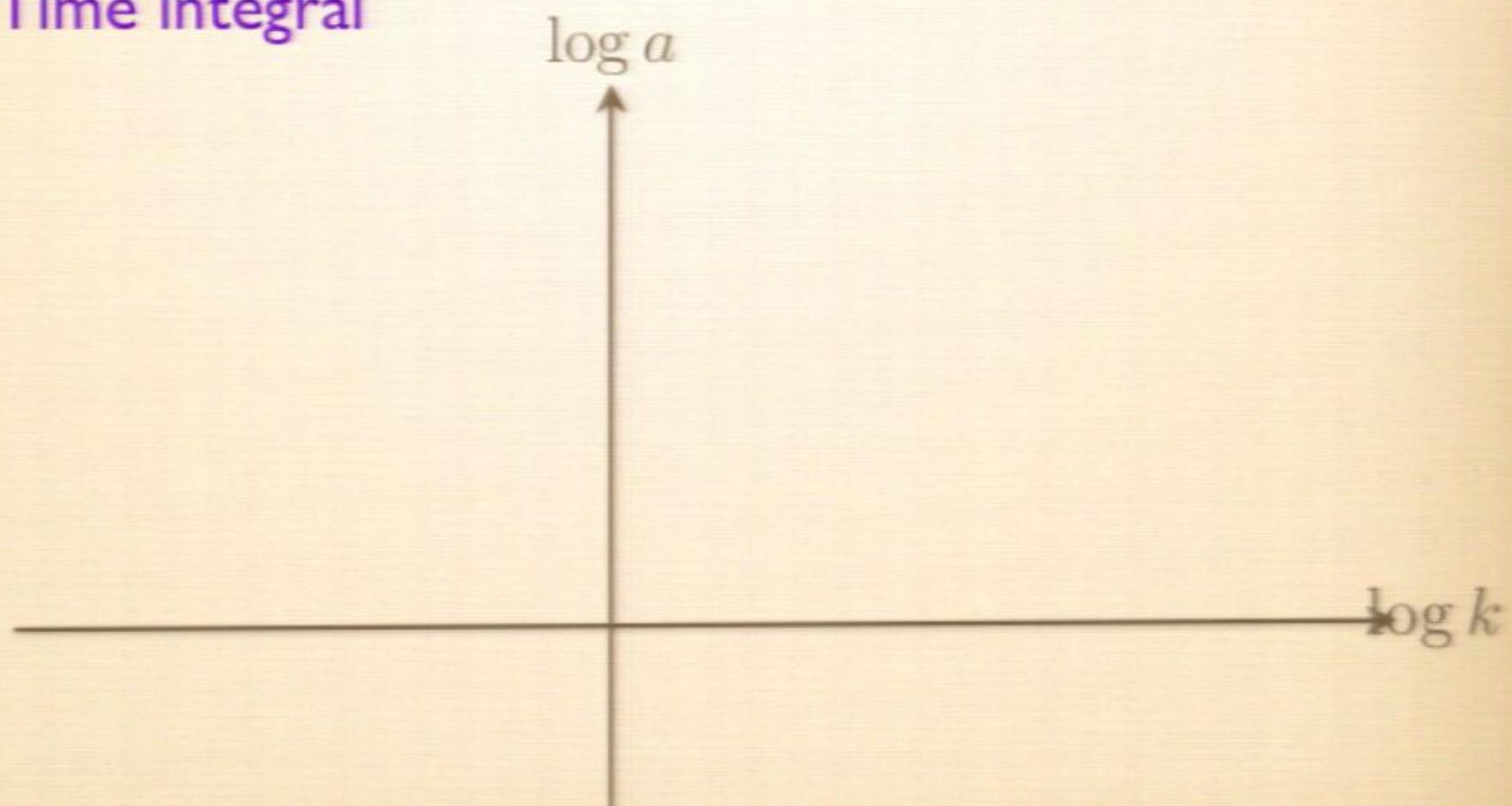
Vertices in  $\tilde{\zeta}(t_f, x^i)$   $\int dt \int_{|x| \leq L(t)} d^3x$

→ Effective cutoff at  $L(t) = L_f + \int_t^{t_f} \frac{dt}{a(t)} \simeq L_f + \frac{1}{a(t)H}$

# Regularization scheme 2

Vertex integral  $\int dt \int_{1/L(t)} d^3 k$

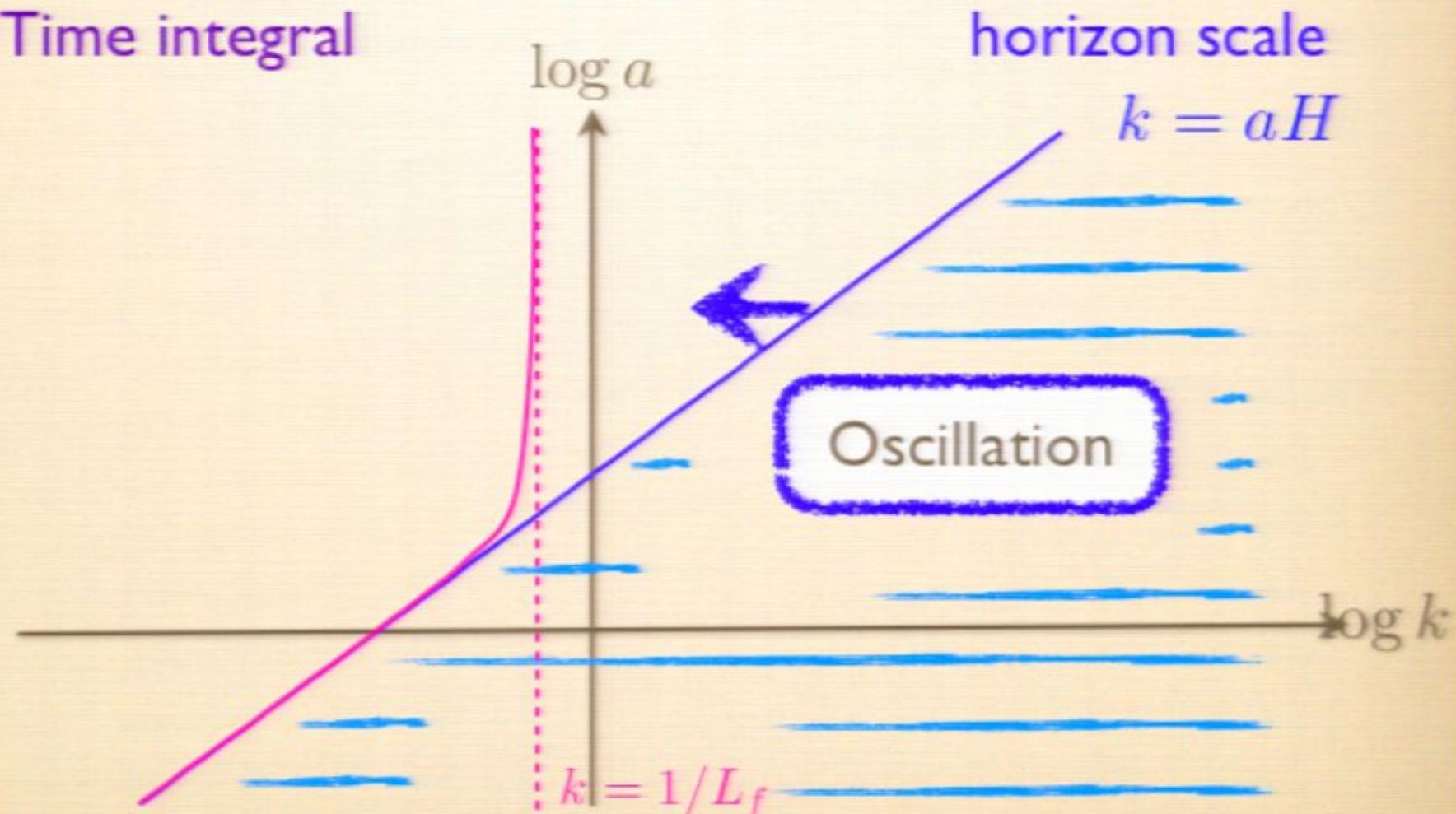
## ● Time integral



## Regularization scheme 2

Vertex integral  $\int dt \int_{1/L(t)} d^3k$

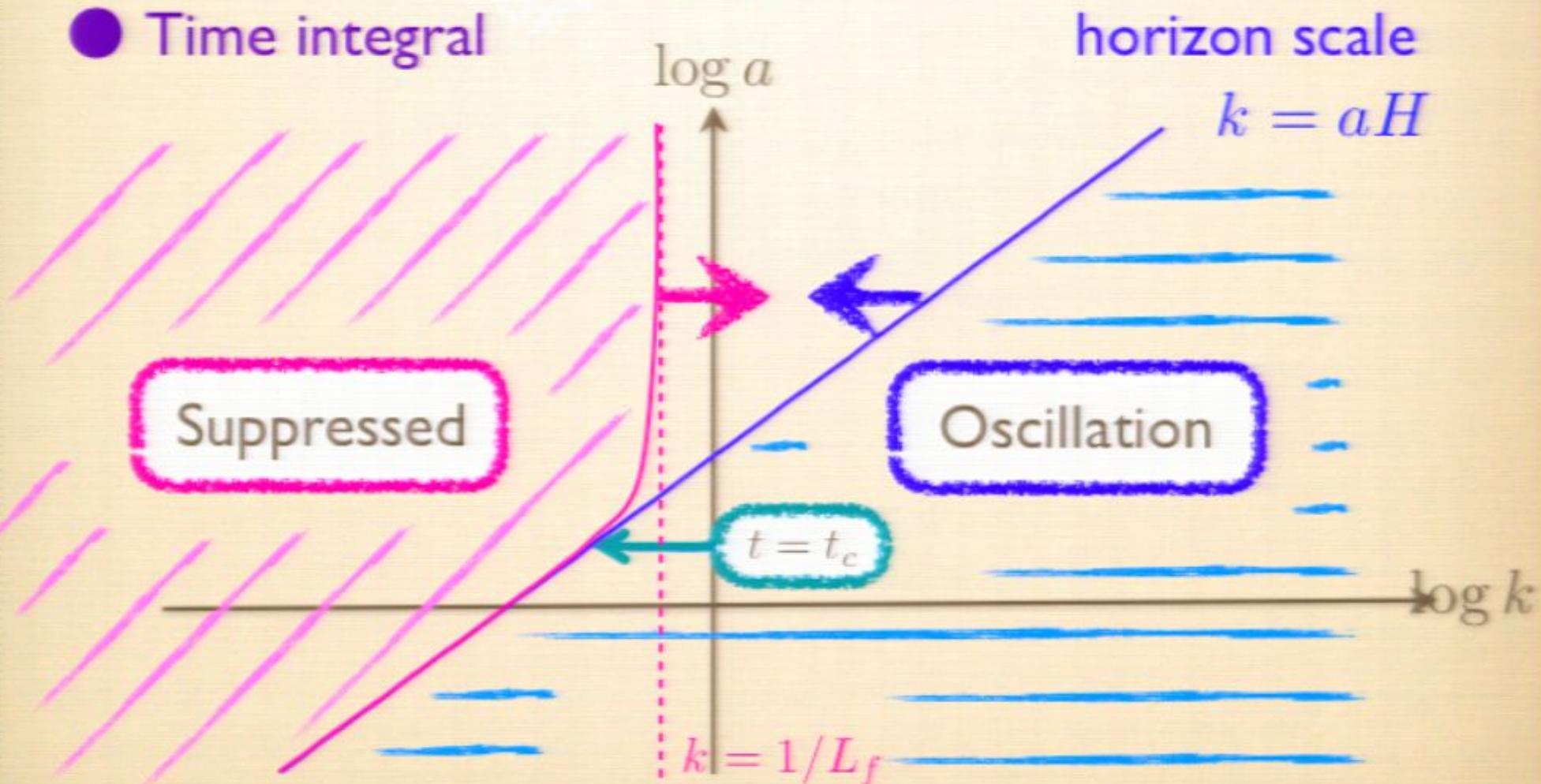
### ● Time integral



# Regularization scheme 2

Vertex integral  $\int_{t_1}^{\infty} dt \int d^3k \frac{1}{1/L(t)}$

## ● Time integral



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Perimeter

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# Summary of the local gauge

## Comoving gauge

$$\delta\phi = 0$$

+ Locality

$$\delta\gamma_{ii} = 0 \quad \partial^i \delta\gamma_{ij} = 0$$

$\zeta$  with all  $k$

Initial condition

$\zeta_I$ : Adiabatic vacuum → Gauge transformation

Time Machine

Summary of the local gauge

Comoving gauge

Locality

Initial condition

Adiabatic vacuum → Gauge trans.

NOTE! Slight gauge dependence

Through initial condition this specifies the relation between the Heisenberg & interaction picture

イン アウト アクション

エフェクト なし

方向 順番

表示方式

Pirsa: 10100066 100% Page 123/131

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Perimeter

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スライド

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Page 125/131

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Perimeter

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Gauge-invariant initial state 2

$\zeta(t_i) = \zeta[\zeta_I(t_i)]$

Heisenberg eq.  $\mathcal{L}\zeta =$

$\zeta = \sum_i a_i F[\zeta_I] + \mathcal{L}^{-1}$   
homogeneous sol.

Conditions on  $a_i \rightarrow (CI)$

2. Positive frequency fn. for  $\zeta$

1.  $(1+i)\partial_t \zeta_i - i\omega_i \zeta_i = \partial_t \zeta_i + i\zeta_i + \dots = i\partial_t \zeta_i$

Heisenberg eq.  $\zeta = \mathcal{L}^{-1} S$   $\mathcal{L}^{-1}$  Dens.

$\zeta = \sum_i a_i F[\zeta_I] + \mathcal{L}^{-1} S$

Homogeneous solution  $\zeta = \mathcal{L}^{-1} S$

Conditions on  $a_i \rightarrow (CI)$

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Page 126/131

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スライド 22 Gauge-invariant initial state

23 Initial condition in interaction picture

24 Heisenberg picture field

25 Interaction picture field

26  $\zeta(t_i) = \zeta[\zeta_I(t_i)]$

27 Positive frequency

28 One-loop corrections

29  $\langle {}^sR{}^sR \rangle(l) \simeq \int d(\log k) \partial_{\log k}$

+ (Divergent)

Perimeter

Gauge-invariant initial state

- Initial condition in interaction picture
  - Heisenberg picture field
  - Interaction picture field
  - $L(\zeta) = \zeta[G(\zeta)]$
- Positive frequency fn. for  $\zeta$
- One-loop corrections:
  $\langle {}^sR{}^sR \rangle(l) \simeq \int d(\log k) \partial_{\log k}$ 
  - (Divergent terms) → (Regularized)

Choose initial conditions / 82

Necessary condition for gauge-invariance

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表示方式

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# Gauge-invariant initial state

## ● Initial condition in interaction picture

$\zeta$  : Heisenberg picture field

Y.U.G.T.Tanaka(10)

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Total derivatives

+ (Divergent terms) + (Regular terms)

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# Gauge-invariant init

- Initial condition in interaction picture field

$\zeta$ : Heisenberg picture field  
 $\zeta_I$ : Interaction picture field

- $\zeta(t_i) = \zeta[\zeta_I(t_i)]$
- Positive frequency

- One-loop corrections

$$\langle {}^s R {}^s R \rangle(l) \simeq \int d(\log k) \partial_{\log k} + \text{(Divergent terms)}$$

Perimeter

Gauge-invariant initial state

- Initial condition in interaction picture field
- Heisenberg picture field
- Interaction picture field
- $L(G) \rightarrow \zeta[G]$
- Positive frequency fn. for  $\zeta$
- One-loop corrections

$\langle {}^s R {}^s R \rangle(l) \simeq \int d(\log k) \partial_{\log k}$

Choose initial conditions:  $\zeta(0) = 0$

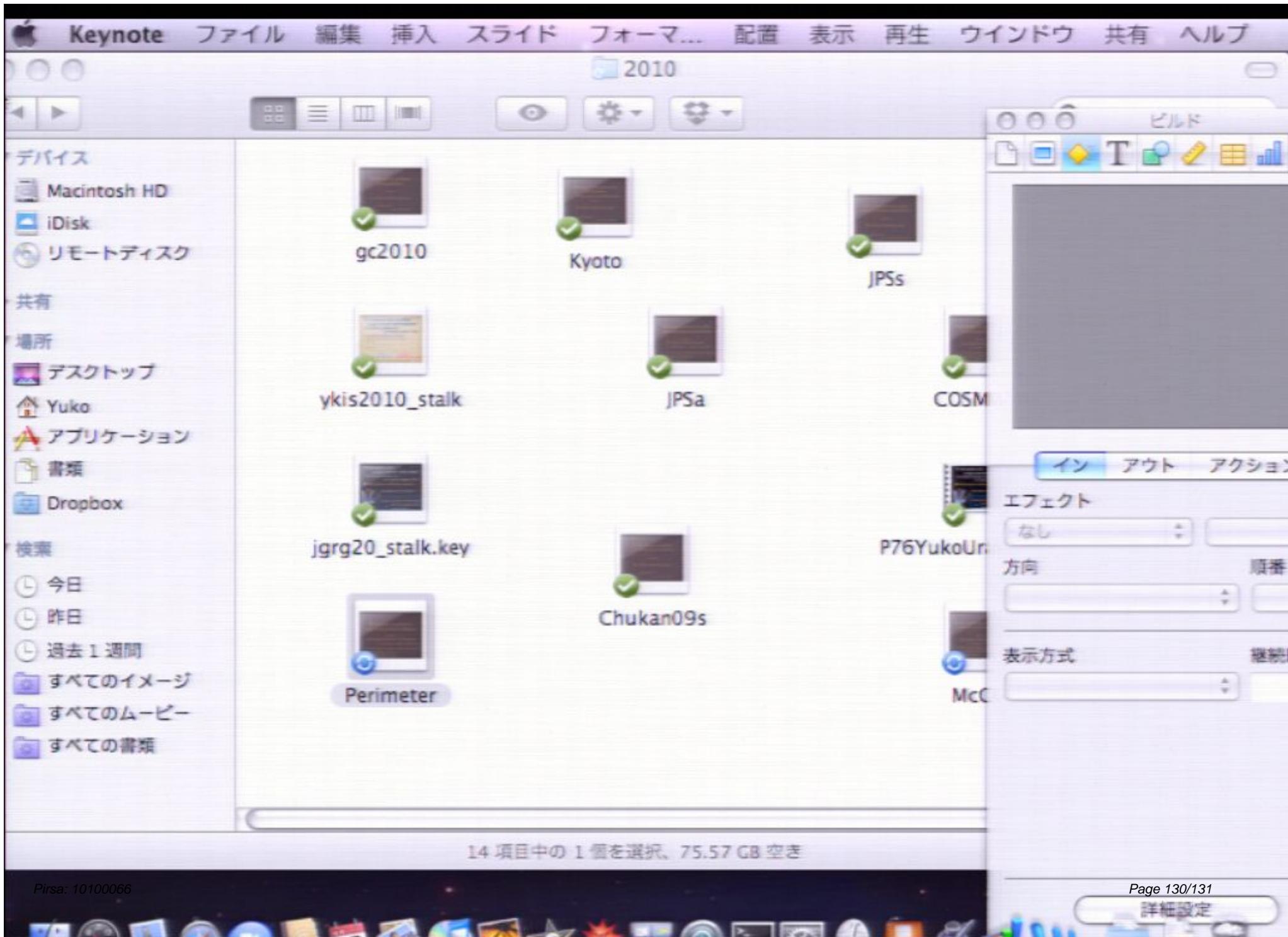
Necessary condition for gauge-invariance

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エフェクト なし 方向 順番

表示方式 繰り返し

Pirsa: 10100066 100% Page 129/131

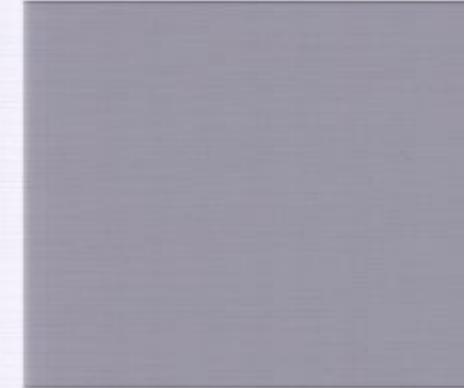
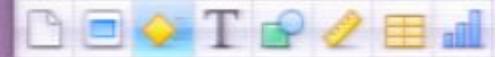




Keynote ファイル 編集 挿入 スライド フォーマ... 配置 表示 再生 ウィンドウ 共有 ヘルプ



ビルト



イン アウト アクション

エフェクト

なし

方向

順番

表示方式

標準