

Title: Proposal for Divergence-Free Quantization of Covariant Scalar Fields

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Abstract: Guided by idealized but soluble nonrenormalizable models, a nontraditional proposal for the quantization of covariant scalar field theories is advanced, which achieves a term-by-term, divergence-free perturbation analysis of interacting models expanded about a suitable pseudofree theory [differing from a free theory by an $\mathcal{O}(\hbar^2)$ term]. This procedure not only provides acceptable solutions for models for which no acceptable solution currently exists, e.g., φ^n , for spacetime dimension $n \geq 4$, but offers a new, divergence-free solution, for less-singular models as well, e.g., φ^n , for $n=2,3$.

Proposal for Divergence-Free
Quantization of Covariant Scalar Fields

A Mystery Drama in Four Acts

John R. Klauder
University of Florida
Gainesville, FL

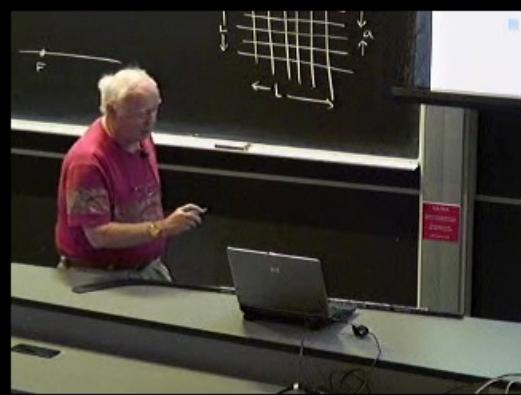
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ACT 1: What and How

- Seek “faithful quantization” of interacting scalar fields for all spacetime dimensions
- Solve high dimension models first and then extend to lower dimensions
- Use soluble models as principal guide

Three Guiding Themes

- Faithful quantization: Quantize a classical theory; then the classical limit of that quantum theory yields the original classical theory
- Quantum corrections should not be arbitrary but restricted in nature
- When coupling constant continuity fails, the free theory is no longer relevant

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The classical limit of any quantum theory should yield the original classical theory

- Classical Hamiltonian $H(p,q)$
- Quantum Hamiltonian

$$\mathcal{K}(P,Q) = H(P,Q) + \hbar Y(P,Q, \hbar)$$

Examples

- (i) $\mathcal{K}(P,Q) = :H(P,Q):$
- (ii) $\mathcal{K}(P,Q) = H(P,Q) + (\text{perturbation terms})$
- (iii) $\mathcal{K}(P,Q) = H(P,Q) + \hbar^2 Y(Q)$

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Quantum corrections should not be arbitrary but restricted in nature

$$\mathcal{H}(P, Q) = H(P, Q) + \hbar^2 Y(Q)$$

Make a scale transformation :

$$P \rightarrow S^{-1}P, \quad Q \rightarrow SQ, \quad (S > 0)$$

$$\mathcal{H}(S^{-1}P, SQ) = H(S^{-1}P, SQ) + \hbar^2 Y(SQ)$$

$$\mathcal{H}(P, Q) = \frac{1}{2}(P^2 + m^2 Q^2) + gQ^4 + \hbar^2 \Sigma_\beta c_\beta Q^\beta$$

$$\text{Favored } \beta : (\beta \neq 6), \quad \beta = 4, \quad \beta = 2, \quad \underline{\beta = -2}$$

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Coupling constant continuity may fail

$$A_g = \int [\frac{1}{2}(\dot{x}^2 - x^2) - gx^{-4}]dt \quad , \quad g \geq 0 \quad (hc)$$

$$W_f\{x = B\cos(t+b)\} \equiv W_{g=0} \quad \text{[green oval]}$$

$$\neq \lim_{g \rightarrow 0} W_g \equiv W_{pf}\{x = \pm |B\cos(t+b)|\}$$

$$\lim_{g \rightarrow 0} \int_{x(0)=x'}^{x(T)=x''} \exp\{-\int_0^T [\frac{1}{2}(\dot{x}^2 + x^2) + gx^{-4}]dt\} Dx$$

$$= \theta(x''x') \sum_{n=0}^{\infty} h_n(x'') [h_n(x') - h_n(-x')] e^{-(n+1/2)T}, \quad (pf)$$

$$\neq \sum_{n=0}^{\infty} h_n(x'') h_n(x') e^{-(n+1/2)T}, \quad (f) \quad ; [x^4, \exp(x^4)]$$

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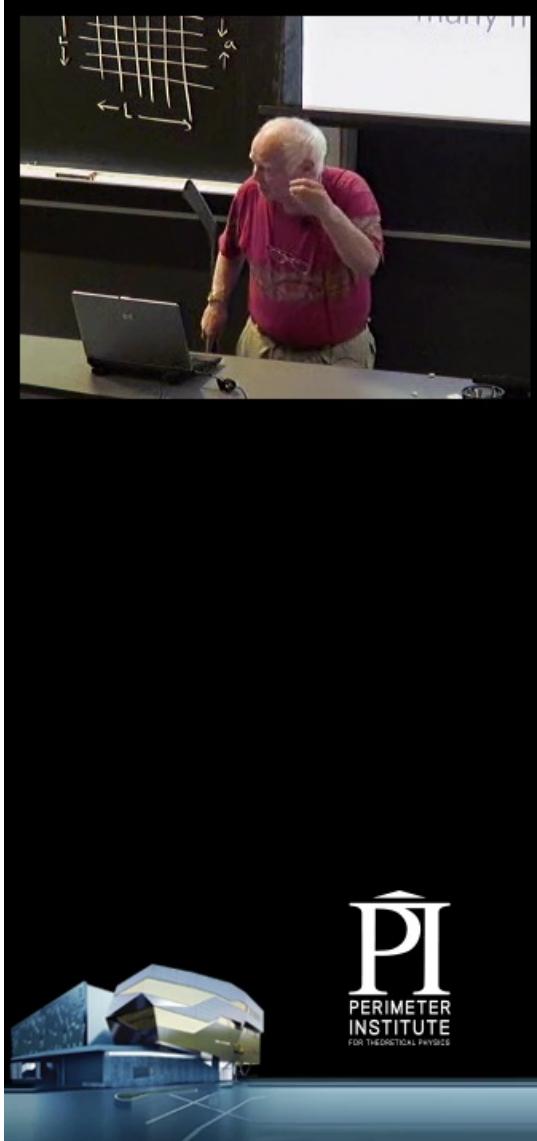
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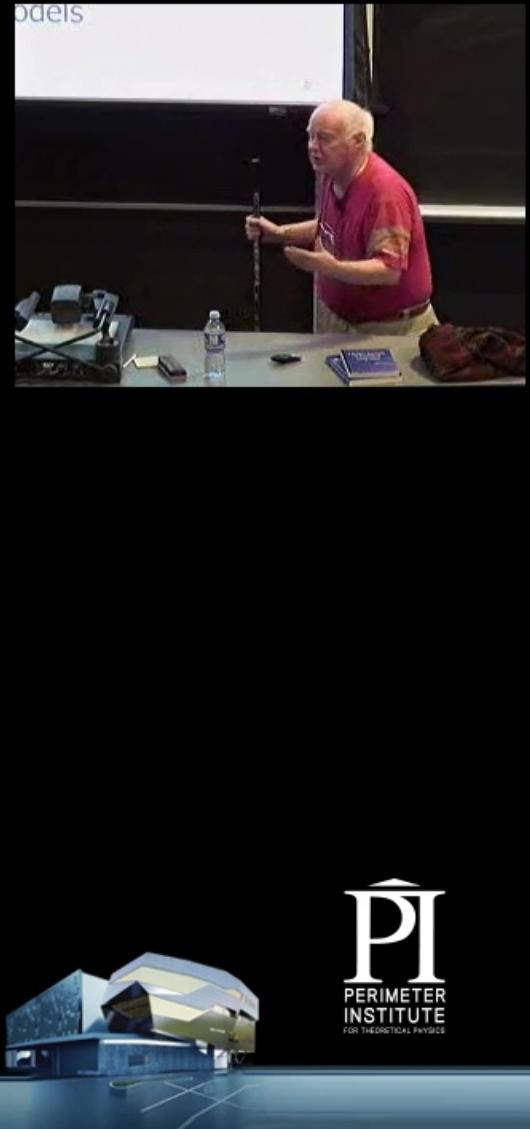
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Fundamental Features

- Pseudofree model can become more important than the usual free model
- Perturbation analysis must take place about the pseudofree model
- To determine the pseudofree model, we may need a new physical principle
- A good physical principle applies to many models



Outline of Talk

- Covariant nonrenormalizable models involve a hard-core interaction
- Solve a nonrenormalizable model
- Discover the main physical principle
- Apply this principle to covariant nonrenormalizable models
- Extend principle to covariant renormalizable models

Conventional Viewpoint (1)

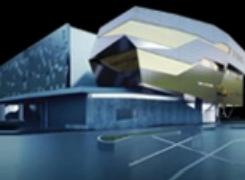
Lattice point $k \in \mathbf{Z}^n$; lattice spacing a

$$I = \sum_k [\frac{1}{2}(\phi_k - \phi_{k'})^2 a^{n-2} + \frac{1}{2}m_0^2 \phi_k^2 a^n + \lambda_0 \phi_k^4 a^n]$$

Continuum limit $(n \geq 5)(n=4)$, $(\hbar=1)$

$$\lim M \int e^{\sum_k h_k \phi_k a^n - I} \prod_k d\phi_k = e^{\int h(x) A(x-y) h(y) d^n x d^n y}$$

Hence the phrase : "Triviality of the ϕ^4 theory"
(nonfaithful quantization). Can we do better?



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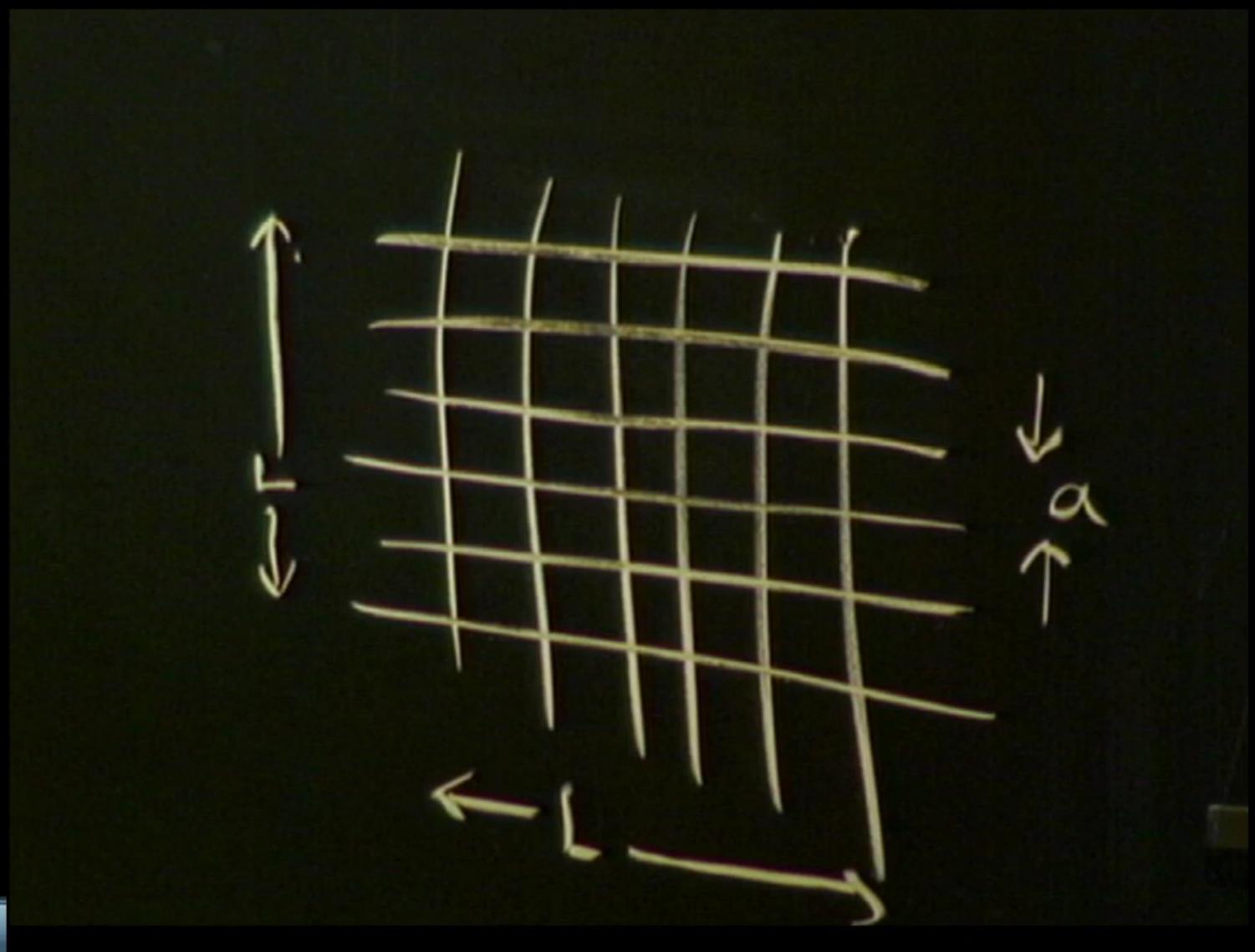
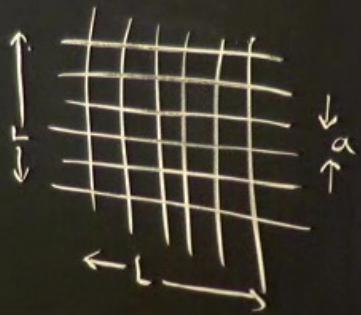
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Conventional Viewpoint (2)

For $n \geq 5$, perturbation theory leads to infinitely many distinct counter terms of an ever increasing degree of divergence. Hence the label: "Nonrenormalizable".

This behavior can be understood as due to a hard - core interaction.

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Hard-core Behavior (1)

Consider the Sobolev - type inequality

$$\{\int \phi(x)^4 d^n x\}^{1/2} \leq C \int \{[\nabla \phi(x)]^2 + \phi(x)^2\} d^n x$$

if $n \leq 4$, $C = 4/3$; if $n \geq 5$, $C = \infty$



Free solutions are strictly limited

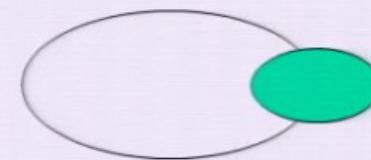
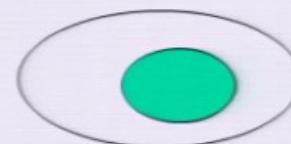
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Hard-core Behavior (2)

Quantum implications of the same inequality

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Interaction acts partially as a hard - core; the interacting theory does NOT converge to the free theory as coupling constant goes to zero



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Strategy for Divergences

Dealing with divergences :

Re-active : Repair every outbreak

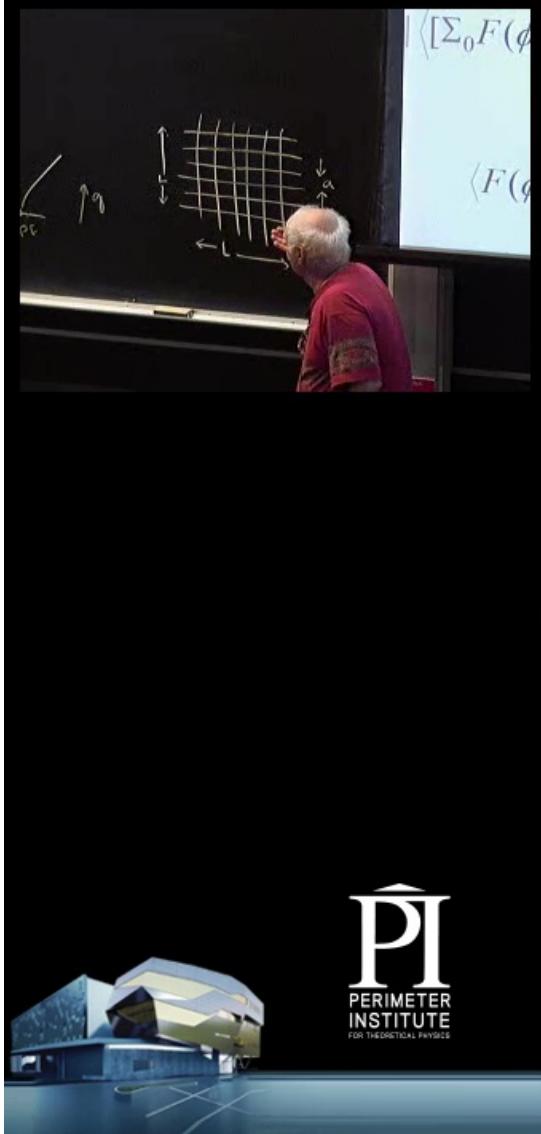
Pro-active : Remove the source

Each approach may involve different counter terms
(E.g., Homes located in a flood plain)

May need to guess how to remove the source

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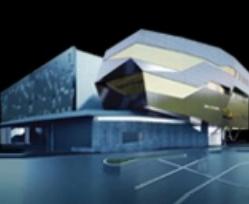


ACT 2: The Study of a Soluble Nonrenormalizable Scalar Field

- Classical action for the ultralocal scalar quartic interaction is

$$A = \int \left\{ \frac{1}{2} [\dot{\phi}^2(t, x) - m_0^2 \phi^2(t, x)] - \lambda_0 \phi^4(t, x) \right\} dt d^3x$$

- Classical free and pseudofree theories are strictly different
- The absence of a gradient term leads to a nonrenormalizable quantum model for any spatial dimension
- Limited to mass and coupling constant renormalization, quantization is trivial (CLT); this is a nonfaithful quantization



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Ultralocal Scalar Models (1)

Classical Hamiltonian; no $(\nabla \phi)^2$ term; (pf)

$$H = \int \left\{ \frac{1}{2} [\pi^2 + m_0^2 \phi^2] + \lambda_0 \phi^4 \right\} d^s x \quad , \quad \lambda_0 \geq 0$$

Free ground - state distribution ($\lambda_0 = 0$)

$$\Psi_0^2(\phi) = K \exp[-m_0 \int \phi^2 d^s x]$$

Generator for free distribution (G)

$$S(h) = K \int e^{i h \phi d^s x - m_0 \int \phi^2 d^s x} \prod'_x d\phi(x) = e^{\frac{i}{4} m_0^{-1} \int h^2 d^s x}$$

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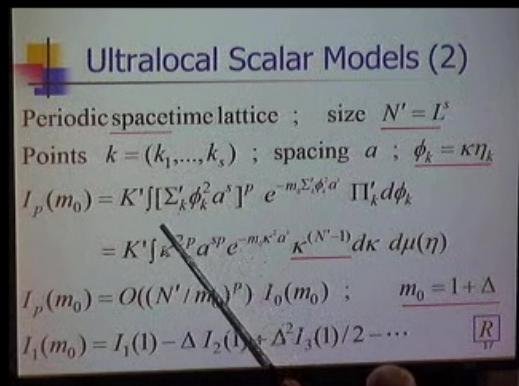
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Ultralocal Scalar Models (2)

Periodic spacetime lattice ; size $N' = L$

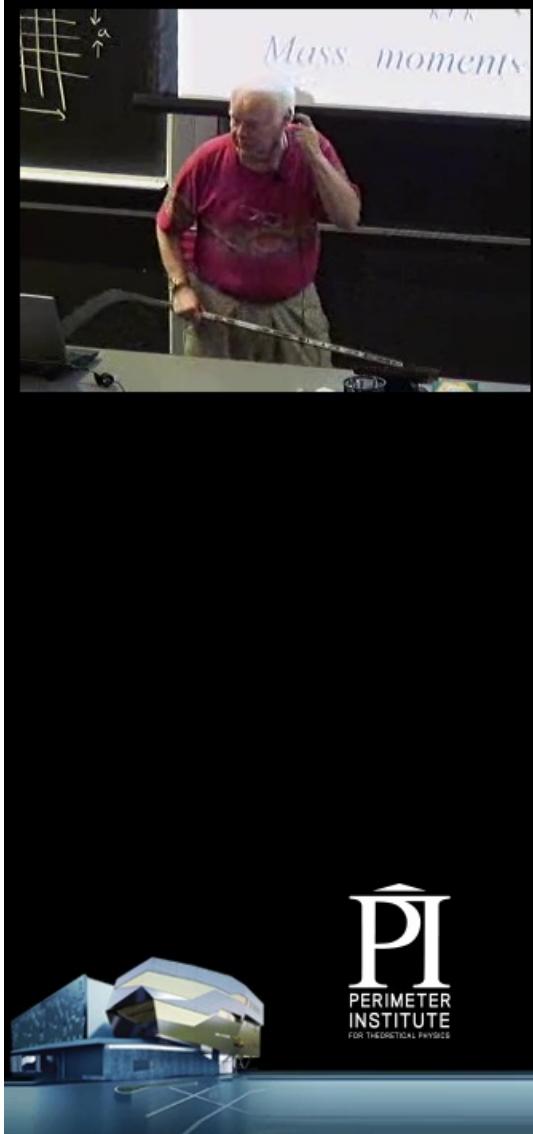
Points $k = (k_1, \dots, k_s)$; spacing a ; $\phi_k = \kappa \eta_k$

$$I_p(m_0) = K' \int [\sum'_k \phi_k^2 a^s]^p e^{-m_0 \sum'_k \phi_k^2 a^s} \Pi'_k d\phi_k$$

$$= K' \int \kappa^{2p} a^{sp} e^{-m_0 \kappa^2 a^s} \kappa^{(N'-1)} d\kappa d\mu(\eta)$$

$$I_p(m_0) = O((N'/m_0)^p) I_0(m_0) ; \quad m_0 = 1 + \Delta$$

$$I_1(m_0) = I_1(1) - \Delta I_2(1) + \Delta^2 I_3(1)/2 - \dots \quad [R]$$

Ultralocal Scalar Models (3)

Free ground state distribution

$$\Psi_G(\phi)^2 \propto \exp[-m_0 \sum'_k \phi_k^2 a^s]$$

Pseudofree ground state distribution

$$\Psi_I(\phi)^2 \propto \{\kappa^{-(N'-R)}\} \exp[-m_0 \sum'_k \phi_k^2 a^s]$$

$$\kappa^2 = \sum'_k \phi_k^2 ; \quad R = b a^s N' < \infty$$

Mass moments are now finite!

Ultralocal Scalar Models (3)

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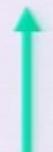
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Ultralocal Scalar Models (4)

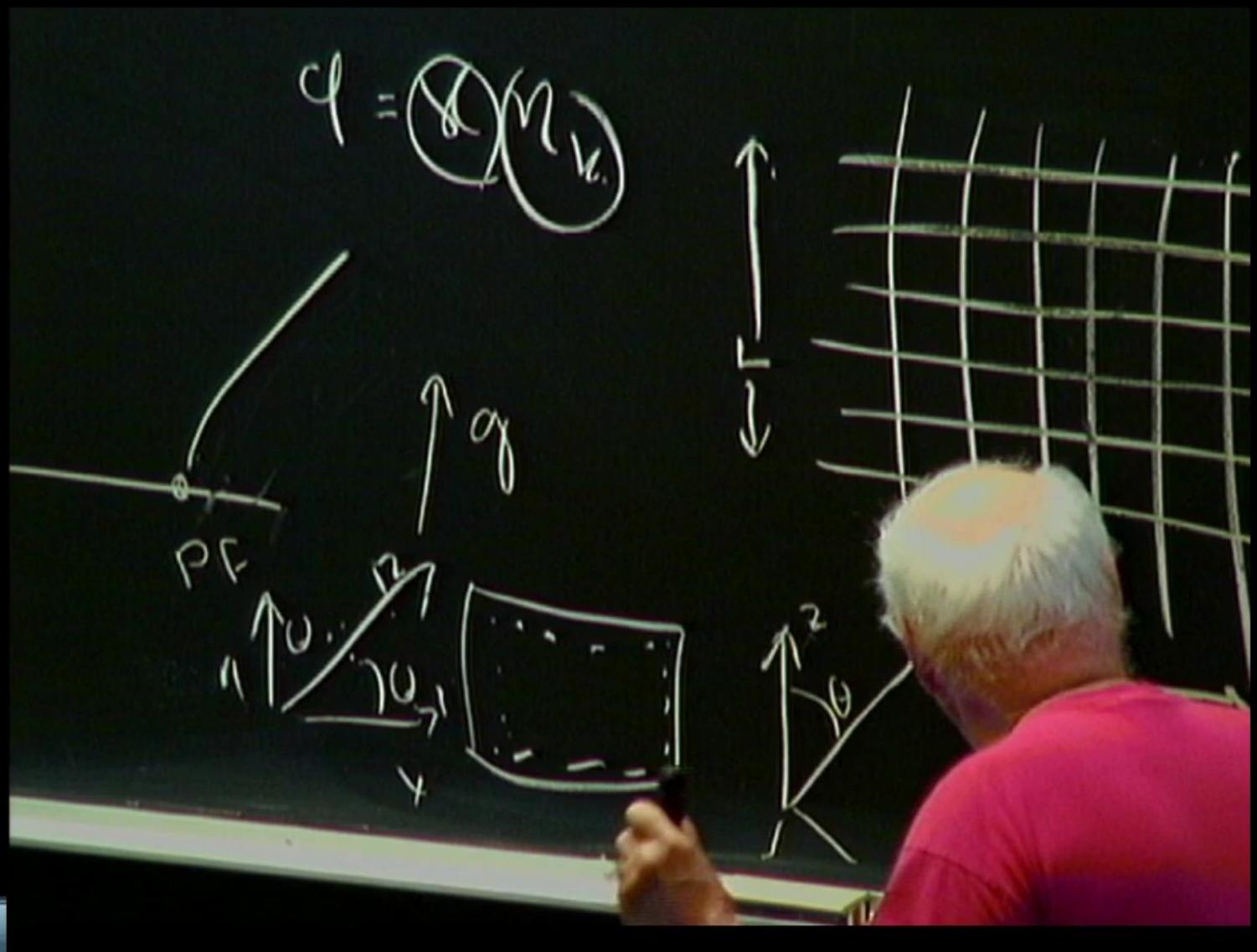
$$\begin{aligned}
 S_{pf}(h) &\quad \leftarrow \boxed{m_0 = b a^s m} \\
 &= " \widetilde{K} \int e^{\kappa \Sigma'_k h_k \eta_k a^s} e^{-m_0 \kappa^2 a^s} \underline{\kappa^{(ba^s N' - 1)}} d\kappa d\mu(\eta) " \\
 &= \prod'_k \left\{ \frac{1}{2} b a^s \int e^{h_k \phi a^s} e^{-m_0 \phi^2 a^s} d\phi / |\phi|^{1-ba^s} \right\} \\
 &= \prod'_k \left\{ 1 + \frac{1}{2} b a^s \int [e^{h_k \phi} - 1] e^{-bm\phi^2} d\phi / |\phi|^{1-ba^s} \right\} \\
 &\rightarrow \exp \left\{ \frac{1}{2} b \int d^s x \int [e^{h(x)\phi} - 1] e^{-bm\phi^2} d\phi / |\phi| \right\} (P)
 \end{aligned}$$

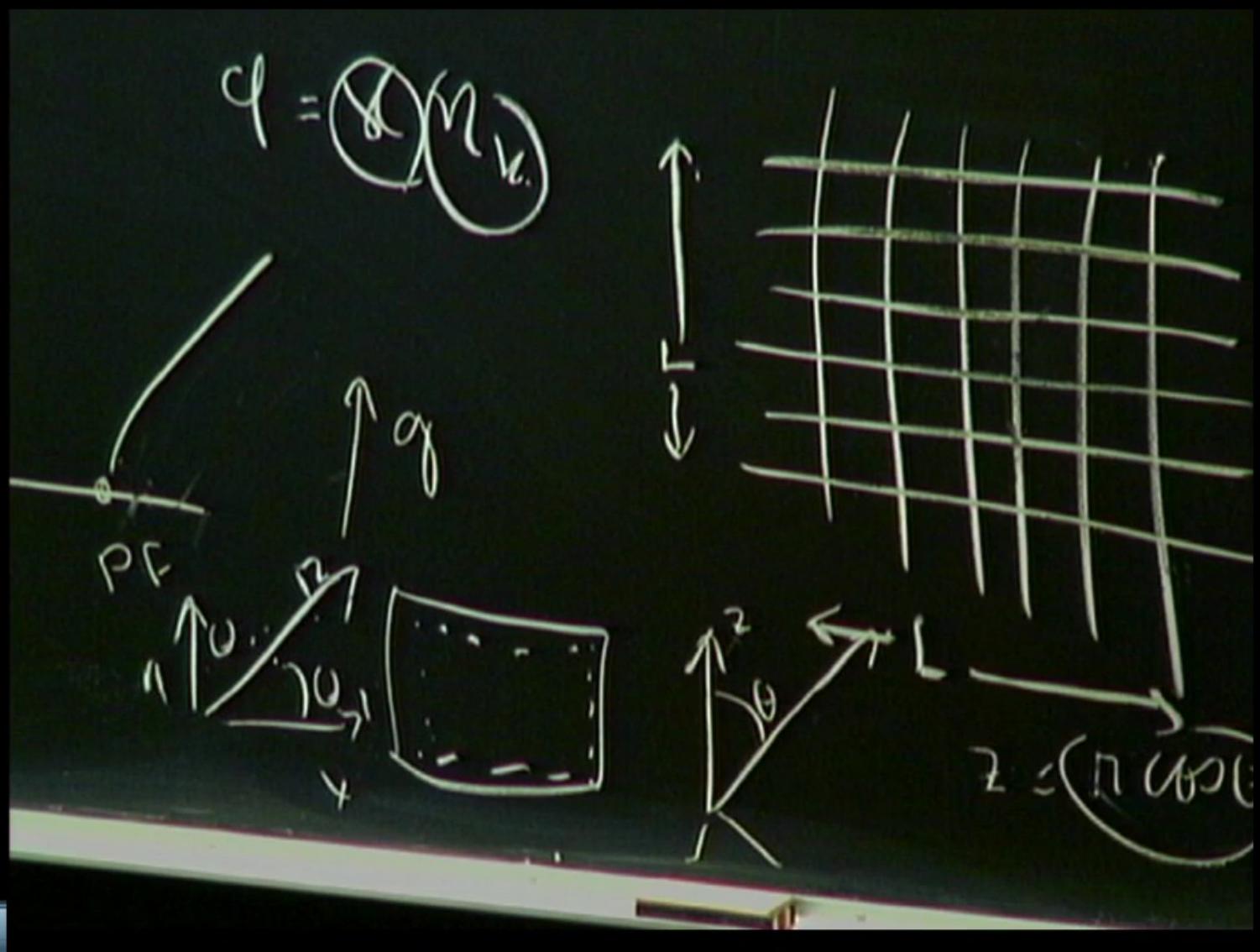


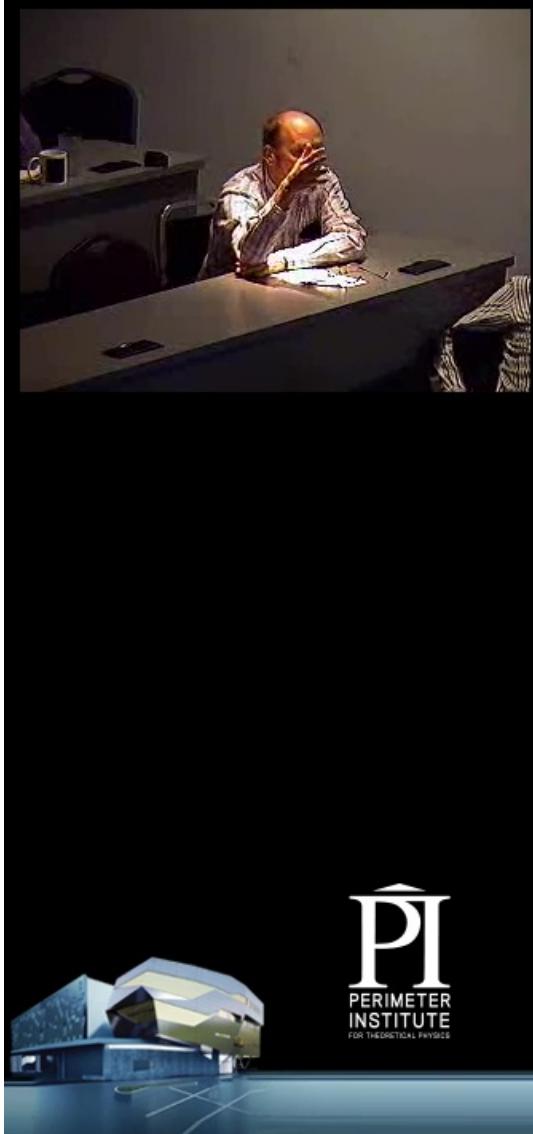
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Ultralocal Scalar Models (5)

Old, interacting, ground - state distribution

$$\Psi_0(\phi)^2 \propto \exp[-U_0(\phi, a, \hbar)]$$

New, interacting, ground - state distribution

$$\Psi_0'(\phi)^2 \propto \{\kappa^{-(N' - b\alpha^* N)}\} \exp[-U'_0(\phi, a, \hbar)]$$

$$\phi_k = \kappa \eta_k \quad ; \quad \kappa^2 = \sum_k \phi_k^2 \quad ; \quad 1 = \sum_k \eta_k^2$$

Ultralocal symmetry dictates proper form



Ultralocal Scalar Models (6)

$$I(\phi, a, \hbar) = \frac{1}{2} \sum_k (\phi_{k^*} - \phi_k)^2 a^{n-2} + \frac{1}{2} m_0^2 \sum_k \phi_k^2 a^n$$
$$+ \lambda_0 \sum_k \phi_k^4 a^n + \frac{1}{2} \hbar^2 F \sum_k \underline{\phi_k^2} a^n$$

Inverse square field

$$F = a^{-2s} \frac{(1 - ba^s)(3 - ba^s)}{4} < a^{-2s} \frac{3}{4}$$

F is independent of λ_0 !

21

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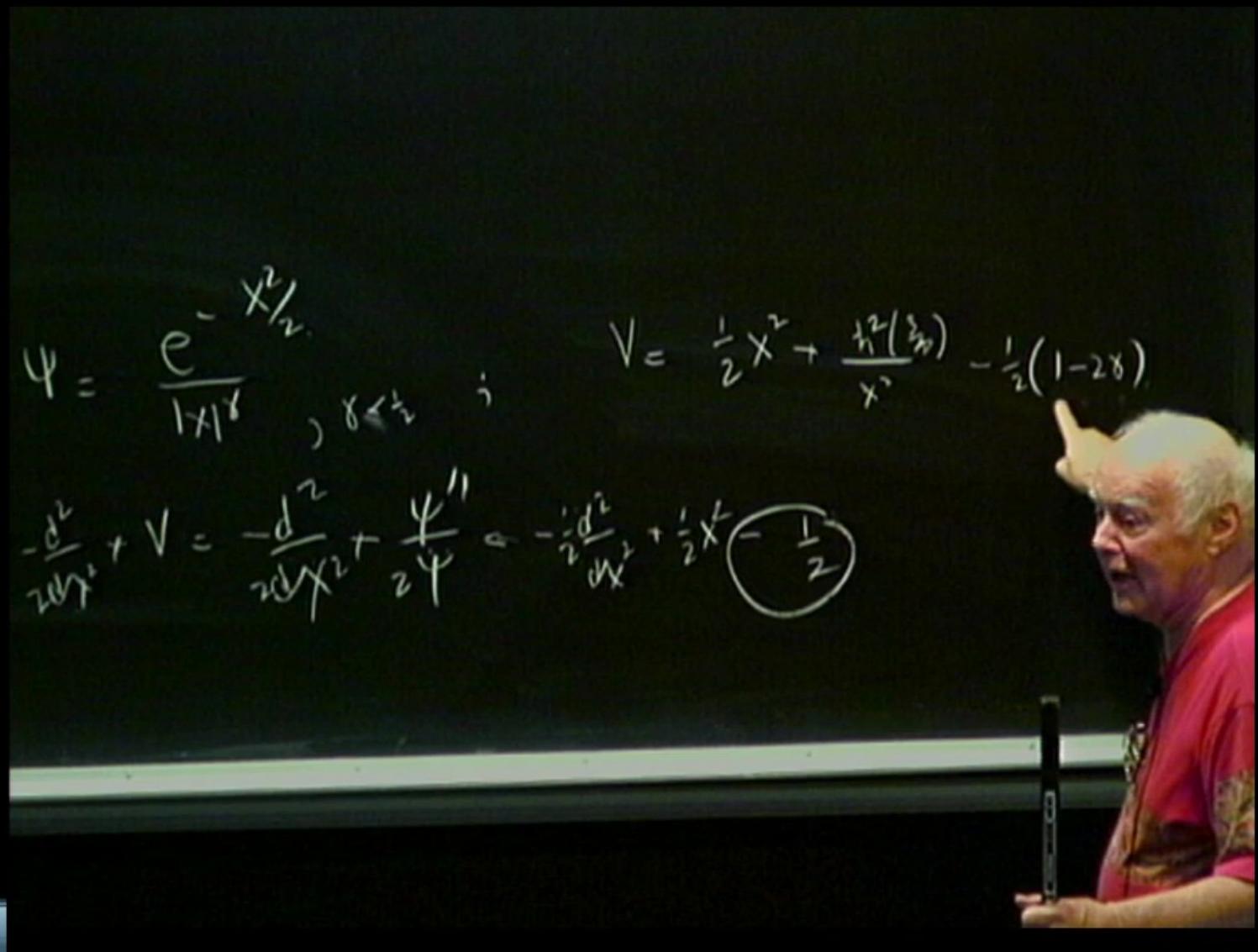
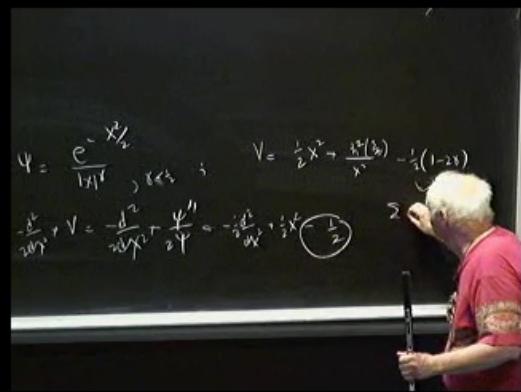
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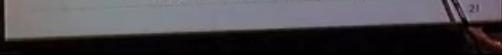
Ultralocal Scalar Models (6)

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$$V = \frac{1}{2} x^2 + \frac{\hbar^2 \left(\frac{3}{40} \right)}{x^2} - \frac{1}{2} (1-2\delta)$$

$$\Sigma = \text{N}' b a^\delta$$

$$L = -\frac{1}{2} \frac{d^2}{dx^2} + \frac{1}{2} x^2 - \frac{1}{2}$$





A Simple Remark: Cov 1

For one variable ($I = [-1, 1]$)

$$\int_I \frac{d\phi}{[\phi^2]^{1/2}} = \infty$$

For several variables ($p > 1$)

$$\int_{I^p} \frac{d^p \phi}{[\phi_1^2 + \dots + \phi_p^2]^{1/2}} < \infty$$

Covariant models connect neighboring points.
Use that fact to eliminate divergence at $\phi_k = 0$.

Proposed Ground State: Cov 2

$$\phi_k^2 \Rightarrow \frac{1}{2s+1} [\phi_k^2 + \sum_{l \in \{nn \text{ to } k\}} \phi_l^2] \equiv <\phi_k^2>$$

Ground state solution

$$\Psi(\phi) = \frac{e^{-U(\phi,a,\hbar)/2}}{\prod_k' [<\phi_k^2>]^{(1-ba^s)/4}} ; \quad R = ba^s N'$$

Normalization

$$\int \frac{e^{-U(\phi,a,\hbar)/2}}{\prod_k' [<\phi_k^2>]^{(1-ba^s)/2}} \Pi'_k d\phi_k = 1$$

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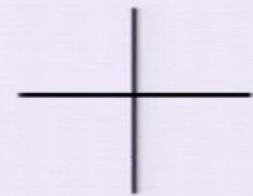
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$s = 2$

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25





Proposed Lattice Action: Cov 3

$$I(\phi, a, \hbar) = \frac{1}{2} \sum_k (\phi_{k+} - \phi_k)^2 a^{n-2} + \frac{1}{2} m_0^2 \sum_k \phi_k^2 a^n + \lambda_0 \sum_k \phi_k^4 a^n + \frac{1}{2} \hbar^2 \sum_k F_k(\phi) a^n$$

$$F_k = a^{-2s} D^{-1} D_{,kk} , \quad D = \Pi'_k [\langle \phi_k^2 \rangle]^{-\gamma}$$

$$\gamma = (1 - b a^s)/4 , \quad N' = L^s$$

No new parameters; scales as kinetic term





Proposed Lattice Action: Cov 4

$$\mathcal{F}_k(\phi) = \frac{1}{4}(1 - ba^s)^2 (\Sigma'_t \frac{a^{-s} J_{t,k} \phi_k}{[\langle \phi_t^2 \rangle]})^2 + \frac{1}{2}(1 - ba^s) \Sigma'_t \{ 2 \frac{a^{-2s} J_{t,k}^2 \phi_k^2}{[\langle \phi_t^2 \rangle]^2} - \frac{a^{-2s} J_{t,k}}{[\langle \phi_t^2 \rangle]} \}$$

$$\langle \phi_k^2 \rangle \equiv \Sigma'_l J_{k,l} \phi_l^2 \quad (lp)$$

$$J_{k,l} \equiv \frac{1}{2s+1} \delta_{k,l \in \{k \cup k_m\}}$$

$$s = 2$$

Basic Ingredients: Cov 5

$$\Psi(\phi) = \frac{e^{-U(\phi, a, \hbar)/2}}{\prod'_k [\sum'_l J_{k,l} \phi_l^2]^{(1-ba^s)/4}} ; \quad \Im\Psi(\phi) = 0$$

$$\mathcal{H} = -\frac{\hbar^2}{2} \sum'_k \frac{\partial^2}{\partial \phi_k^2} a^{-s} + \frac{1}{2} \sum'_k (\phi_k^* - \phi_k)^2 a^{s-2} - E_0$$

$$+ \frac{1}{2} m_0^2 \sum'_k \phi_k^2 a^s + \lambda_0 \sum'_k \phi_k^4 a^s + \frac{\hbar^2}{2} \sum'_k \mathsf{F}_k(\phi) a^s$$

$$S(h) = M \int e^{Z^{-1/2} \sum_k h_k \phi_k a^n / \hbar - I(\phi, a, \hbar) / \hbar} \prod_k d\phi_k ; \quad (Z)$$

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Perturbation Expansion: Cov 6

$$S(h) = M \int \exp[A - B - C - D] \prod_k d\phi_k \quad ; \quad (\hbar=1)$$

$$= \sum_{k,l,m=0}^{\infty} \frac{(-1)^{l+m} M}{k! l! m!} \int A^k B^l C^m \exp[-D] \prod_k d\phi_k$$

$$A = Z^{-1} \sum_k h_k \phi_k a^n; \quad B = \frac{1}{2} m_0^2 \sum_k \phi_k^2 a^n; \quad C = \lambda_0 \sum_k \phi_k^4 a^n$$

$$D = \frac{1}{2} \sum_k [(\phi_k - \bar{\phi}_k)^2 a^{-2} + \hbar^2 F_k(\phi)] a^n; \sigma = k + l + m$$

$$|\langle A^k B^l C^m \rangle| \leq |\langle A^\sigma \rangle^{k/\sigma} \langle B^\sigma \rangle^{l/\sigma} \langle C^\sigma \rangle^{m/\sigma}|$$

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Approximate Ground State: C7

$$\Psi_A(\phi)^2 = \frac{K e^{-\sum_i \phi_i A_i \phi_i a^{i^*} / \hbar}}{\prod_k' [\sum_l J_{k,l} \phi_l^2]^{(1-ba^*)/2}}$$

$$\phi_k = \kappa \eta_k, \quad \sum_k' \phi_k^2 = \kappa^2, \quad \sum_k' \eta_k^2 = 1$$

$$\int [\sum_k' \phi_k^2 a^s]^p \Psi_A(\phi)^2 \prod_k' d\phi_k$$

$$= K \int \kappa^{2p} a^{sp} \frac{e^{-\kappa^2 \sum_i \eta_i A_i \eta_i a^{i^*} / \hbar} \kappa^{(ba^* N^* - 1)}}{\prod_k' [\sum_l J_{k,l} \eta_l^2]^{(1-ba^*)/2}} d\kappa d\mu(\eta)$$



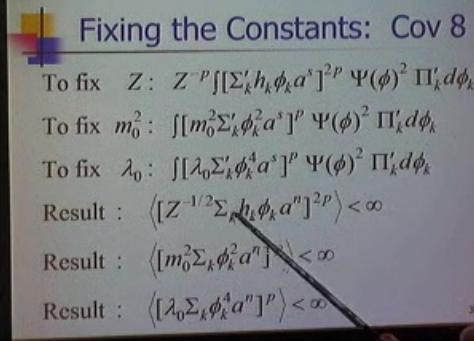
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Fixing the Constants: Cov 8

To fix $Z : Z^{-p} \int [\Sigma'_k h_k \phi_k a^s]^{2p} \Psi(\phi)^2 \Pi'_k d\phi_k$

To fix $m_0^2 : \int [m_0^2 \Sigma'_k \phi_k^2 a^s]^p \Psi(\phi)^2 \Pi'_k d\phi_k$

To fix $\lambda_0 : \int [\lambda_0 \Sigma'_k \phi_k^4 a^s]^p \Psi(\phi)^2 \Pi'_k d\phi_k$

Result : $\langle [Z^{-1/2} \Sigma_k h_k \phi_k a^n]^{2p} \rangle < \infty$

Result : $\langle [m_0^2 \Sigma_k \phi_k^2 a^n]^p \rangle < \infty$

Result : $\langle [\lambda_0 \Sigma_k \phi_k^4 a^n]^p \rangle < \infty$





Fixing the Constants: Cov 9

$\int [m_0^2 \Sigma'_k \phi_k^2 a^s]^p \Psi_A(\phi)^2 \Pi'_k d\phi_k$
 $= K \int m_0^{2p} a^{sp} \kappa^{2p} \frac{e^{-\kappa^2 \sum_{k,l} \eta_k A_{k,l} \eta_l a^{2s}/\hbar}}{\prod_k [\sum_l J_{k,l} \eta_l^2]^{(1-ba^s)/2}} d\kappa d\mu(\eta)$
 $\propto m_0^{2p} a^{sp} / (N' a^{(s-1)})^p ; \quad \underline{m_0^2 = N'(qa)^{-1} m^2}$

Result : $\langle [m_0^2 \Sigma'_k \phi_k^2 a^n]^p \rangle < \infty$



Fixing the Constants: Cov 10

$$Z^{-p} \int [\Sigma'_k h_k \phi_k a^s]^2 p \Psi(\phi)^2 \Pi'_k d\phi_k : Z = N'^{-2}(qa)^{1-s}$$

$$\int [m_0^2 \Sigma'_k \phi_k^2 a^s]^p \Psi(\phi)^2 \Pi'_k d\phi_k : m_0^2 = N'(qa)^{-1} m^2$$

$$\int [\lambda_0 \Sigma'_k \phi_k^4 a^s]^p \Psi(\phi)^2 \Pi'_k d\phi_k : \lambda_0 = N'^3(qa)^{s-2} \lambda$$

$$\langle [m_0^2 \Sigma'_k \phi_k^2 a^n]^p \rangle < \infty ; \quad Z m_0^2 = m^2 / [N'(qa)^s]$$

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Fixing the Constants: Cov 10

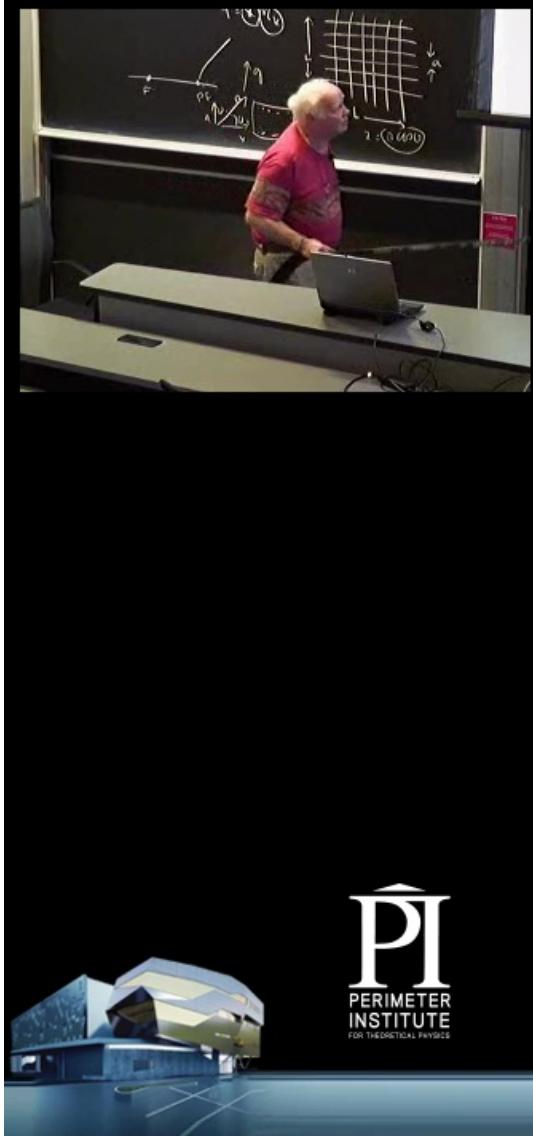
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$$\langle [\lambda_0 \Sigma'_k \phi_k^4 a^n]^p \rangle < \infty ; \quad Z^2 \lambda_0 = \lambda / [N'(qa)^s]$$

ACT 4: Commentary Response to Critics

- - RG? The continuum limit with suitable inverse square fields is nontrivial
 - SUSY and SS? Designed to eliminate traditional divergences; not needed
 - Effective theory? Maybe; more likely a nonfaithful quantization
 - Fermions? Under investigation

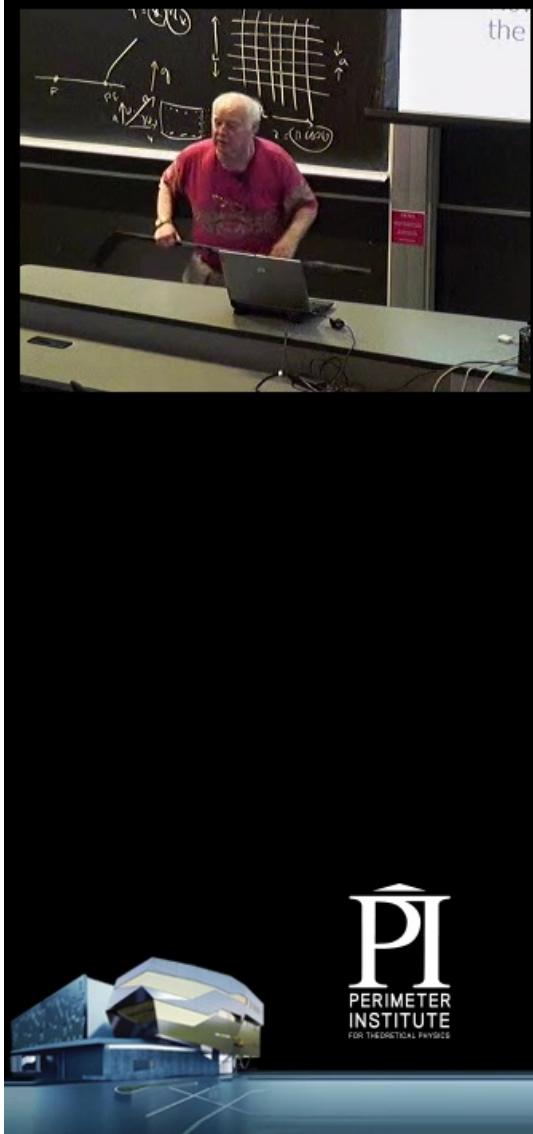
Extension to Other Models

- For $n < 5$ the classical pseudofree and free theories coincide. Use of the counter term is still allowed. Advantages:
- Local products are from the OPE rather than normal ordering
- Recall that $:\phi(x)^2:$ is *not* positive while the OPE square *is* positive; thus the OPE appears to be more physical
- All divergences disappear



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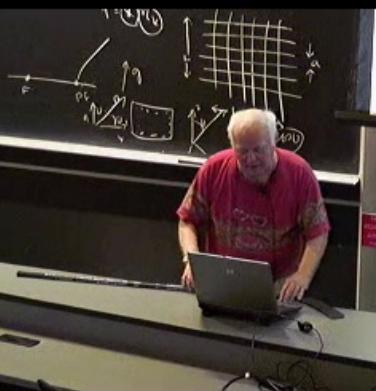
Frequently Asked Questions

- Is it a “different” theory? No, since there are no new terms under field scaling: $\phi \rightarrow S\phi, \pi \rightarrow S^{-1}\pi$
- As the quartic coupling goes to zero, why is the result not the free theory? Because the “hard core” remains.
- How does one calculate things? Perhaps the best way is by Monte Carlo studies.



Possible Applications

- Applies to any scalar field, e.g.,
 ϕ_n^p ; $n = 2, 3, 4, \dots$; $p = 2, 4, 6, \dots$
- Principle may work for quantum gravity.
Cosmological constant term should
be the counter term. May well lead to
a different “zero-point” energy.

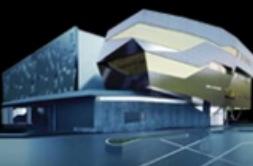


Summary

- Add a fixed counter term that is \hbar dependent and scales as kinetic term
- Determine parameters by self consistency in continuum limit
- Leads to divergence-free perturbative formalism about pseudofree model which contains the counter term

Further Questions?

Thank You
&
Merci



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Further Questions?

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