

Title: Black hole microstate counting and its macroscopic counterpart II

Date: Jul 08, 2010 11:00 AM

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Abstract: In this talk I shall describe a general formalism based on  $\text{AdS}_2/\text{CFT}_1$  correspondence that allows us to systematically calculate the entropy, index and other physical observables of an extremal black hole taking into account higher derivative and quantum corrections to the action. I shall also describe precise microscopic computation of the same quantities for a class of supersymmetric extremal black holes and compare this with the prediction of  $\text{AdS}_2/\text{CFT}_1$  correspondence.

## Review

**AdS<sub>2</sub>/CFT<sub>1</sub> correspondence**  $\Rightarrow$  a prescription for computing the degeneracy  $d_{\text{hor}}$  associated with the black hole horizon

– given by the finite part of the partition function of string theory on the near horizon AdS<sub>2</sub>  $\times$  K geometry.

$$Z_{\text{AdS}_2} = d_{\text{hor}} e^{CL + \mathcal{O}(L^{-1})}$$

**L:** length of the boundary of regularized AdS<sub>2</sub>.

**C:** some constant

Note: Near the boundary of  $AdS_2$ , the  $\theta$  independent solution to the Maxwell's equation has the form:

$$\mathbf{A}_r = \mathbf{0}, \quad \mathbf{A}_\theta = \mathbf{C}_1 + \mathbf{C}_2 r$$

$\mathbf{C}_1$  (chemical potential) represents normalizable mode

$\mathbf{C}_2$  (electric charge) represents non-normalizable mode

→ the path integral must be carried out keeping  $\mathbf{C}_2$  (charge) fixed and integrating over  $\mathbf{C}_1$  (chemical potential).

Thus the  $AdS_2$  path integral computes the entropy in the microcanonical ensemble.

This is also the reason why we need to insert the boundary term  $\exp[-iq_k \oint_{\partial(AdS_2)} d\theta A_\theta^{(k)}]$  in the path integral.

**Consistency check:**

In the classical limit

$$\begin{aligned}
Z_{\text{AdS}_2} &= \exp[-\text{Action} - iq_k \int_{\partial(\text{AdS}_2)} d\theta A_\theta^{(k)}] \Big|_{\text{classical}} \\
&= \exp \left[ -2\pi \left( \mathbf{q}_i \mathbf{e}_i - \sqrt{\det \mathbf{g}_{\text{AdS}_2}} \mathcal{L}_{\text{AdS}_2} \right) (\cosh \eta_0 - 1) \right] \\
&= \exp \left[ 2\pi \left( \mathbf{q}_i \mathbf{e}_i - \sqrt{\det \mathbf{g}_{\text{AdS}_2}} \mathcal{L}_{\text{AdS}_2} \right) + \mathbf{CL} \right] \\
&= \exp [\mathbf{S}_{\text{wald}} + \mathbf{CL}]
\end{aligned}$$

**Note:**  $\mathbf{L} = \mathbf{a} \sinh \eta_0$ 

$$\Rightarrow \cosh \eta_0 = \mathbf{L}/\mathbf{a} + \mathcal{O}(\mathbf{L}^{-1})$$

**Thus  $\mathbf{d}_{\text{hor}} = \exp[\mathbf{S}_{\text{wald}}]$  in the classical limit.**

2. Define  $Z_{\text{AdS}_2}$ : Path integral over string fields in the euclidean near horizon background geometry weighted by

$$\exp[-\text{Action} - iq_k \oint_{\partial(\text{AdS}_2)} d\theta A_\theta^{(k)}]$$

$\{q_k\}$ : electric charges carried by the black hole under the U(1) gauge field  $A^{(k)}$ .

3. By  $\text{AdS}_2/\text{CFT}_1$  correspondence:

$$Z_{\text{AdS}_2} = Z_{\text{CFT}_1}$$

$$Z_{\text{CFT}_1} = \text{Tr}(e^{-LH}) = d_0 e^{-LE_0}$$

**H**: Hamiltonian of dual  $\text{CFT}_1$  at the boundary of  $\text{AdS}_2$ .

$(d_0, E_0)$ : (degeneracy, energy) of the states of  $\text{CFT}_1$

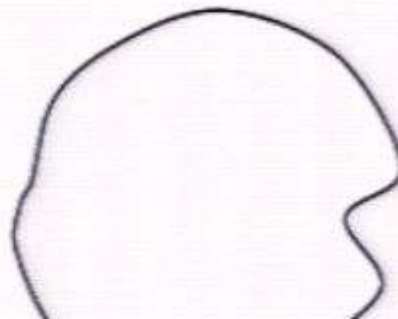
## Steps for computing $d_{\text{hor}}$

1. Consider the euclidean  $\text{AdS}_2$  metric:

$$\begin{aligned}
 ds^2 &= v \left( (r^2 - 1) d\theta^2 + \frac{dr^2}{r^2 - 1} \right), \quad 1 \leq r < \infty, \quad \theta \equiv \theta + 2\pi \\
 &= v (\sinh^2 \eta d\theta^2 + d\eta^2), \quad r \equiv \cosh \eta, \quad 0 \leq \eta < \infty
 \end{aligned}$$

Regularize the infinite volume of  $\text{AdS}_2$  by putting a cut-off  $r \leq r_0 f(\theta)$  for some smooth periodic function  $f(\theta)$ .

This makes the  $\text{AdS}_2$  boundary have a finite length  $L$ .



$$\left\{ \frac{d^2}{dx^2} \left( \begin{array}{c} \phantom{0} \\ \phantom{0} \end{array} \right) \right. \quad \left. \begin{array}{l} \phantom{0} \\ \phantom{0} \end{array} \right\} \rightarrow \ln u$$

$$\left( \cosh \eta_0 - 1 \right)$$

$$\Rightarrow \sinh \eta_0 - 1 + o\left(\frac{1}{t}\right)$$

$$\int d^2x \left( \begin{array}{c} \phantom{0} \\ \phantom{0} \end{array} \right) \rightarrow \ln U$$

$$(\cosh \eta_{0-1})$$

$$\rightarrow \sinh \eta_{0-1} + O\left(\frac{1}{t}\right)$$

$$\det \int d^2x \left( \begin{array}{c} \phantom{0} \\ \phantom{0} \end{array} \right)$$

x-independent

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$$\ln \det \int d^2 x \left( \begin{array}{c} \phantom{0} \\ \phantom{0} \end{array} \right)$$

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## Weighted degeneracy

In string theory one often finds vacua with discrete symmetries.

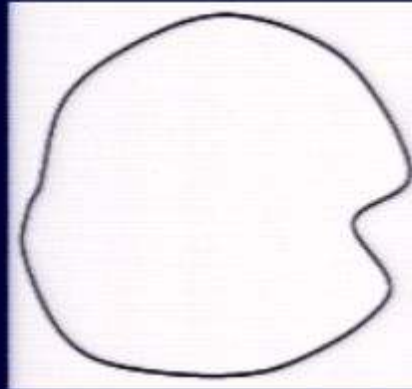
**Example:** As we move around in the moduli space of heterotic string theory on  $T^6$ , on special subspaces the theory develops discrete  $\mathbb{Z}_N$  symmetries ( $N = 2, 3, 4, 5, 6, 7, 8$ ) which commute with supersymmetry.

Suppose we want to compute the weighted degeneracy

$$\text{Tr}_{\text{hor}}(\mathbf{g})$$

$\mathbf{g}$ : some  $\mathbb{Z}_N$  symmetry generator.

**What macroscopic computation should we carry out?**



By following the logic of  $\text{AdS}_2/\text{CFT}_1$  correspondence we find that we need to again compute the partition function on  $\text{AdS}_2$ , but this time with a  $g$  twisted boundary condition on the fields under  $\theta \rightarrow \theta + 2\pi$ .

**Other than this the asymptotic boundary condition must be identical to that of the original near horizon geometry since the charges have not changed**

**Recall AdS<sub>2</sub> metric:**

$$ds^2 = v \left[ (r^2 - 1) d\theta^2 + \frac{dr^2}{r^2 - 1} \right] = v \left[ \sinh^2 \eta d\theta^2 + d\eta^2 \right]$$

**The circle at infinity, parametrized by  $\theta$ , is contractible at the origin  $r = 1$ .**

Thus a  $g$  twist under  $\theta \rightarrow \theta + 2\pi$  is not admissible.

**→ the AdS<sub>2</sub> × S<sup>2</sup> geometry is not a valid saddle point of the path integral.**

Question: Are there other saddle points which could contribute to the path integral?

**Constraints:**

1. It must have the same asymptotic geometry as the  $\text{AdS}_2 \times \text{S}^2$  geometry.
2. It must have a  $g$  twist under  $\theta \rightarrow \theta + 2\pi$ .
3. It must preserve sufficient amount of supersymmetries so that integration over the fermion zero modes do not make the integral vanish.

Beasley, Gaiotto, Guica, Huang, Strominger, Yin;  
N. Banerjee, S. Banerjee, Gupta, Mandal, A.S.

**There are indeed such saddle points in the path integral, constructed as follows.**

**1. Take the original near horizon geometry of the black hole.**

**2. Take a  $\mathbb{Z}_N$  orbifold of this background with  $\mathbb{Z}_N$  generated by simultaneous action of**

**a)  $2\pi/N$  rotation in  $\text{AdS}_2$**

**a)  $2\pi/N$  rotation in  $S^2$  (needed for preserving SUSY)**

**c) g.**

To see that this has the same asymptotic geometry as the attractor geometry we make a rescaling:

$$\theta \rightarrow \theta/\mathbf{N}, \quad r \rightarrow \mathbf{N}r$$



The metric takes the form:

$$v \left( (r^2 - \mathbf{N}^{-2}) d\theta^2 + \frac{dr^2}{r^2 - \mathbf{N}^{-2}} \right)$$

Orbifold action:  $\theta \rightarrow \theta + 2\pi, \phi \rightarrow \phi + 2\pi/\mathbf{N}, g$

**The  $g$  transformation provides us with the correct boundary condition.**

The  $\phi$  shift can be regarded as a Wilson line, and hence is an allowed fluctuation in  $\text{AdS}_2$ .

The classical action associated with this saddle point, after removing the divergent part proportional to the length of the boundary, is  $S_{\text{wald}}/N$ .

Thus the contribution to the weighted degeneracy from this saddle point is

$$\text{Tr}_{\text{hor}}(\mathbf{g}) = Z_{\mathbf{g}}^{\text{finite}} = \exp [S_{\text{wald}}/N]$$

(agrees with the microscopic results)

**Note:**  $\exp [S_{\text{wald}}/N] \ll \exp [S_{\text{wald}}]$

Thus the  $\mathbb{Z}_N$  quantum numbers must be delicately distributed among the microstates of the black hole so that a charge of order unity, averaged over  $\exp [S_{\text{wald}}]$  number of states, gives a contribution of order  $\exp [S_{\text{wald}}/N]$ .

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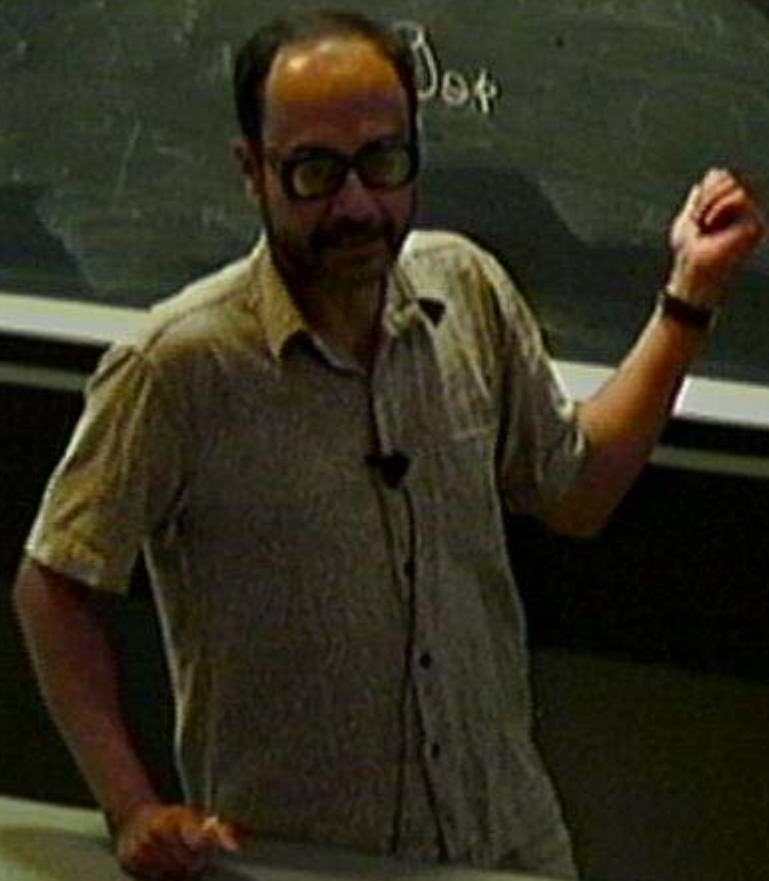
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CFT<sub>1</sub>

Fixed charge

) Fixed angular mom.

Dot



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## Perturbative corrections to $d_{\text{hor}}$

The effect of integrating out the massive mode contribution to  $Z_{\text{AdS}_2}$  can be regarded as a modification of the effective Lagrangian density.

– can be accommodated using Wald's formula.

**What about the contribution from the massless modes?**

At one loop this requires finding the eigenvalues of the kinetic operator in the near horizon geometry and then calculating the determinant.

## Example:

Consider a massless scalar field with standard kinetic term in the near horizon  $\text{AdS}_2 \times S^2$  background for a spherically symmetric extremal black hole in  $D=4$ .

All the eigenvalues and eigenfunctions of  $\square$  on  $\text{AdS}_2 \times S^2$  can be found explicitly.

⇒ can be used to compute  $\det \square$  and hence one loop contribution to  $Z_{\text{AdS}_2}$ .

Result: A contribution to  $\ln d_{\text{hor}}$  of the form:

$$-\frac{1}{180} \ln A$$

For black holes in supergravity / superstring theory the kinetic operator for fluctuations around the near horizon geometry mixes scalars, vectors and tensors.

One needs to diagonalize the kinetic operator, find the determinant and then compute its contribution to  $Z_{\text{AdS}_2}$  and hence  $d_{\text{hor}}$ .

**Example:**

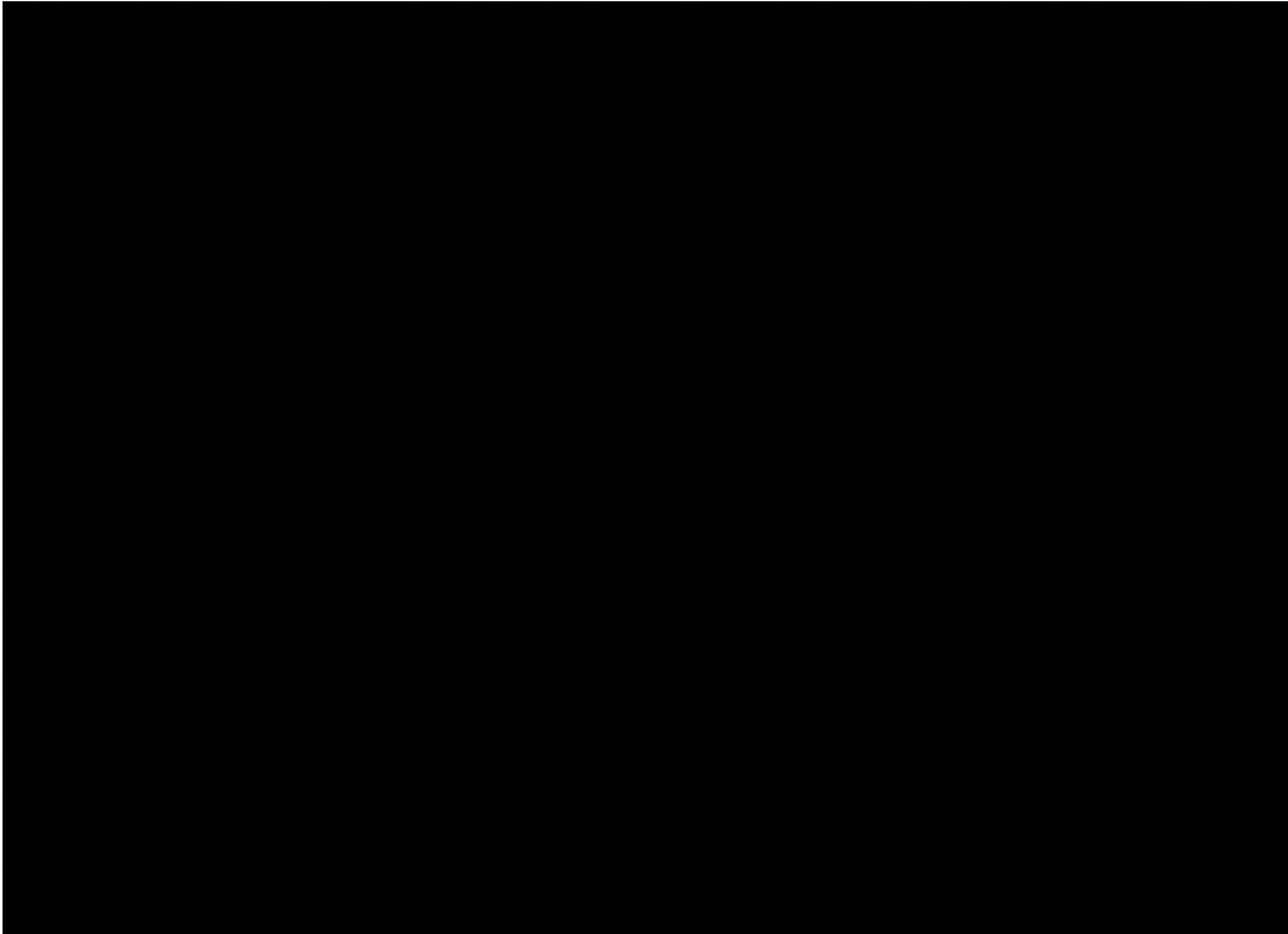
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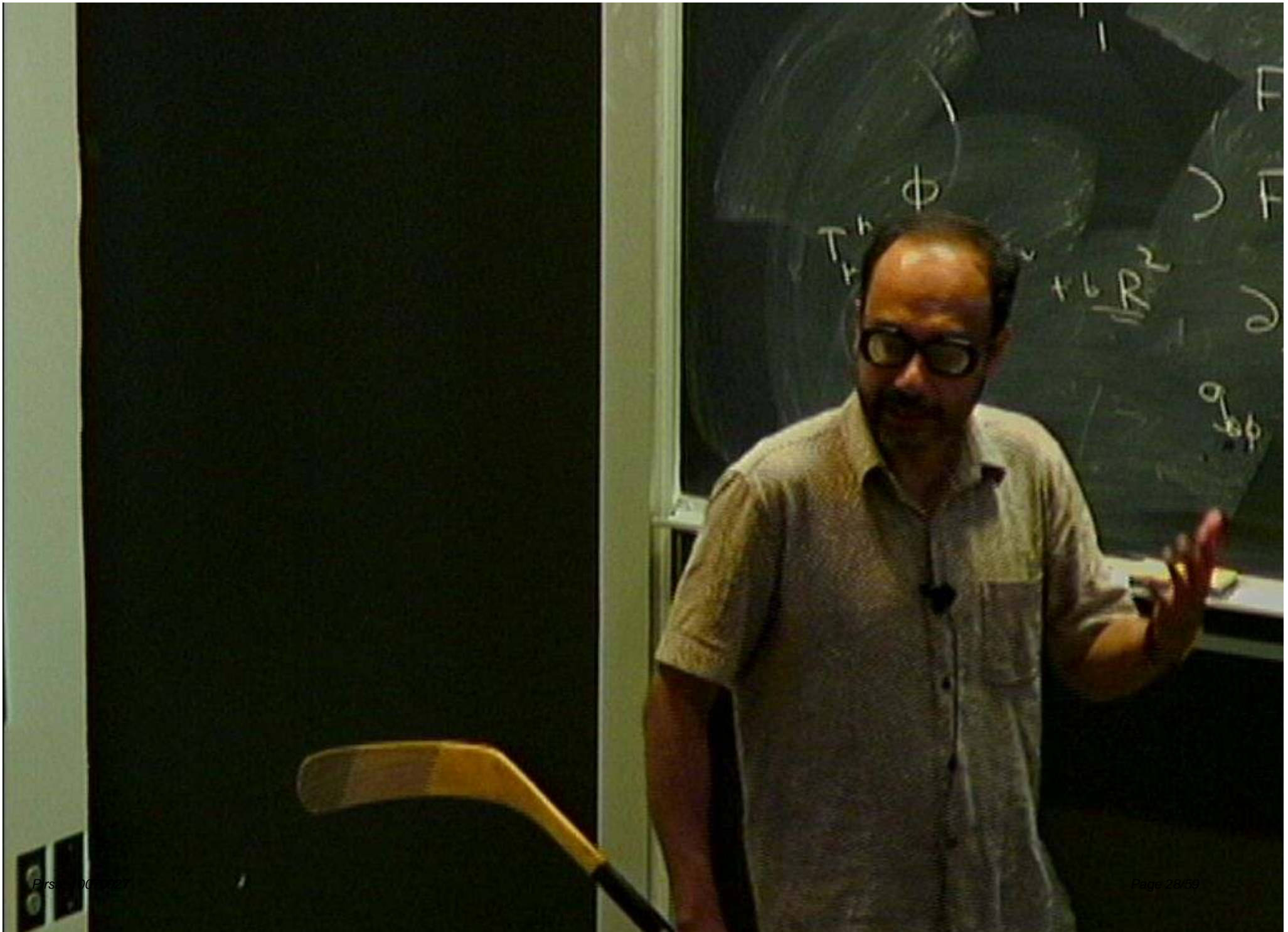
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$\vec{R}_1$   $\vec{R}_2$   $\vec{R}_3$   $\vec{R}_4$   $\vec{R}_5$   $\vec{R}_6$   $\vec{R}_7$   $\vec{R}_8$   $\vec{R}_9$   $\vec{R}_{10}$   $\vec{R}_{11}$   $\vec{R}_{12}$   $\vec{R}_{13}$   $\vec{R}_{14}$   $\vec{R}_{15}$   $\vec{R}_{16}$   $\vec{R}_{17}$   $\vec{R}_{18}$   $\vec{R}_{19}$   $\vec{R}_{20}$   $\vec{R}_{21}$   $\vec{R}_{22}$   $\vec{R}_{23}$   $\vec{R}_{24}$   $\vec{R}_{25}$   $\vec{R}_{26}$   $\vec{R}_{27}$   $\vec{R}_{28}$   $\vec{R}_{29}$   $\vec{R}_{30}$   $\vec{R}_{31}$   $\vec{R}_{32}$   $\vec{R}_{33}$   $\vec{R}_{34}$   $\vec{R}_{35}$   $\vec{R}_{36}$   $\vec{R}_{37}$   $\vec{R}_{38}$   $\vec{R}_{39}$   $\vec{R}_{40}$   $\vec{R}_{41}$   $\vec{R}_{42}$   $\vec{R}_{43}$   $\vec{R}_{44}$   $\vec{R}_{45}$   $\vec{R}_{46}$   $\vec{R}_{47}$   $\vec{R}_{48}$   $\vec{R}_{49}$   $\vec{R}_{50}$   $\vec{R}_{51}$   $\vec{R}_{52}$   $\vec{R}_{53}$   $\vec{R}_{54}$   $\vec{R}_{55}$   $\vec{R}_{56}$   $\vec{R}_{57}$   $\vec{R}_{58}$   $\vec{R}_{59}$   $\vec{R}_{60}$   $\vec{R}_{61}$   $\vec{R}_{62}$   $\vec{R}_{63}$   $\vec{R}_{64}$   $\vec{R}_{65}$   $\vec{R}_{66}$   $\vec{R}_{67}$   $\vec{R}_{68}$   $\vec{R}_{69}$   $\vec{R}_{70}$   $\vec{R}_{71}$   $\vec{R}_{72}$   $\vec{R}_{73}$   $\vec{R}_{74}$   $\vec{R}_{75}$   $\vec{R}_{76}$   $\vec{R}_{77}$   $\vec{R}_{78}$   $\vec{R}_{79}$   $\vec{R}_{80}$   $\vec{R}_{81}$   $\vec{R}_{82}$   $\vec{R}_{83}$   $\vec{R}_{84}$   $\vec{R}_{85}$   $\vec{R}_{86}$   $\vec{R}_{87}$   $\vec{R}_{88}$   $\vec{R}_{89}$   $\vec{R}_{90}$   $\vec{R}_{91}$   $\vec{R}_{92}$   $\vec{R}_{93}$   $\vec{R}_{94}$   $\vec{R}_{95}$   $\vec{R}_{96}$   $\vec{R}_{97}$   $\vec{R}_{98}$   $\vec{R}_{99}$   $\vec{R}_{100}$

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## Degeneracy to index

The microscopic analysis is always done in a region of the moduli space where gravity can be ignored and hence the states do not form a black hole.

In order to be able to compare it with the results from the black hole side we must focus on quantities which do not change as we change the coupling from small to large value.

– needs appropriate supersymmetric index.

The appropriate index in  $D=4$  is the helicity trace index.

**Bachas, Kiritsis**

Suppose we have a BPS state that breaks  $4n$  supersymmetries.

→ there will be  $4n$  fermion zero modes (goldstino) on the world-line of the state.

Quantization of these zero modes will produce Bose-Fermi degenerate states.

Thus  $\text{Tr}(-1)^F$  vanishes.

Define:  $B_{2n} = \frac{1}{(2n)!} \text{Tr}(-1)^F (2h)^{2n} = \frac{1}{(2n)!} \text{Tr}(-1)^{2h} (2h)^{2n}$

$h$ : third component of angular momentum in rest frame.

For every pair of fermion zero modes  $\text{Tr}(-1)^F (2h)$  gives a non-vanishing result, leading to a non-zero  $B_{2n}$ .

Most of our studies will be on 1/4 BPS black holes in  $\mathcal{N} = 4$  supersymmetric string theories in  $D=4$ .

**Preserves 4 out of 16 supersymmetries**

⇐ breaks 12 supersymmetries.

**Thus the relevant helicity trace index is  $B_6$ .**

Since on the microscopic side we compute an index, we must ensure that on the black hole side also we compute an index.

**Otherwise we cannot compare the two results.**

How can we use  $d_{\text{hor}}$  to compute the index  $B_6$  on the black hole side?

**In general the macroscopic degeneracy / index can have two kinds of contributions:**

- 1. From the horizon.**
- 2. From degrees of freedom living outside the horizon (hair).**

**N. Banerjee, Mandal, A.S.; Jatkar, A.S., Srivastava**

**Example: The fermion zero modes associated with the broken supersymmetry generators are always part of the hair modes.**

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**Otherwise we cannot compare the two results.**

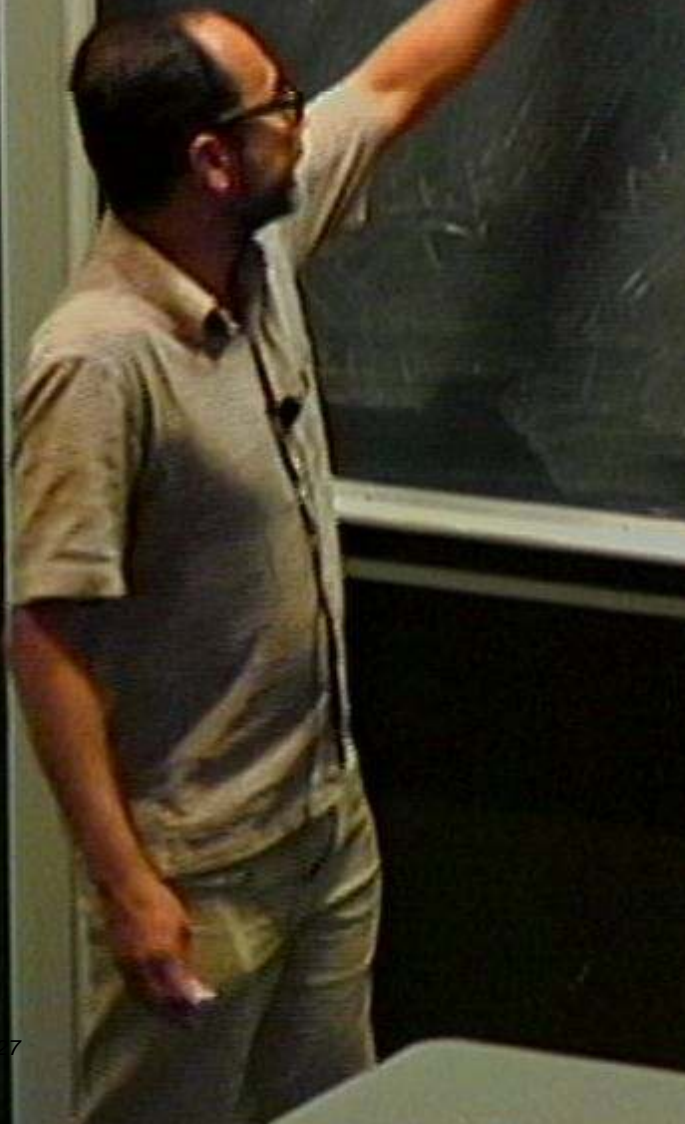
How can we use  $d_{\text{hor}}$  to compute the index  $B_6$  on the black hole side?

$$T_{\text{horiz}}(-1)^{2h}$$



$$T_{\text{horiz}}(-1)^{2h_{\text{horiz}}}$$

$$= (-1)^{h_{\text{horiz}}} T_{\text{horiz}}(1)$$



$$T_{\text{horiz}}(-1)^{2h_{\text{horiz}}} \\ = (-1)^{2h_{\text{horiz}}} T_{\text{horiz}}(1)$$



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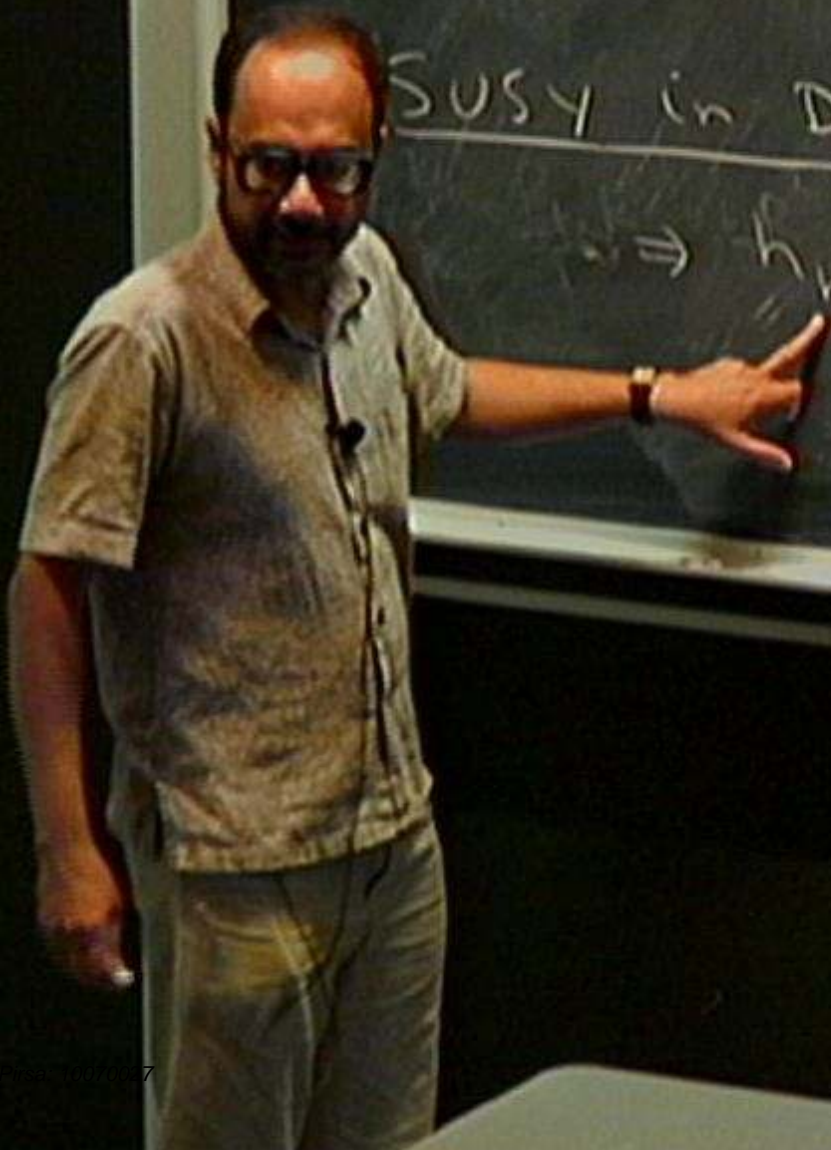
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SUSY

$$T_{\text{horz}} (-1)^{2h_{\text{horz}}} \\ = (-1)^{2h_{\text{horz}}} T_{\text{horz}} (1) = 2h_{\text{horz}}$$

SUSY in D=4

$$\Rightarrow h_{\text{horz}} = 0$$



$$T_{\text{horz}} (-1)^{2h_{\text{horz}}}$$

$$= (-1)^{2(h_{\text{horz}})} T_{\text{horz}} (1) = 2h_{\text{horz}}$$

SUSY in D=4

$\Rightarrow h$

$q_i \subset$  angular momentum

$$T_{\text{horz}} (-1)^{2h_{\text{horz}}} = (-1)^{2h_{\text{horz}}} T_{\text{horz}} (1) = d_{\text{horz}}$$

SUSY in

$\Rightarrow$

$CP_{2,1}$

$q_i \subset$  angular momentum

$S^1 \times AdS_2$

$\rightarrow$   $su(2)$  gauge fields

$$T_{\text{horz}} (-1)^{2h_{\text{horz}}} (2h)^6$$

$$= (-1)^{2h_{\text{horz}}} T_{\text{horz}} (1) = d_{\text{horz}}$$

SUSY in D=4

$$\Rightarrow h_{\text{horz}} = 0$$

$$C\gamma_3 \times S^2 \times \text{AdS}_2$$

$q_i \subset$  angular momentum

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$AdS_2$   
 $\Rightarrow$  Isometry group  
 $\supset SU(2, \mathbb{R})$



$AdS_2$

$\Rightarrow$  Isometry group

$\supset SO(2, R)$

Unbroken susy.

$AdS_2$

$\Rightarrow$  Isometry group

$$\supset SL(2, \mathbb{R})$$

Unbroken SUSY  $\rightarrow 4$  in number

isometry of SUSY +  $SL(2, \mathbb{R})$

$$\supset PSU(1, 1|2) \supset SL(2, \mathbb{R}) \times SU(2)$$

$$h_{NS} = 0$$

$\supset SL(2, \mathbb{R})$   
Unbroken SUSY  $\rightarrow 4$  in number

Closure of SUSY +  $SL(2, \mathbb{R})$

$\rightarrow PSU(1, 1|2) \supset SL(2, \mathbb{R}) \times SU(2)$

8 susy

$\Downarrow$   
 $h_{\text{hor}} = 0$

Isometry group

0

hair

$$\supset SL(2, \mathbb{R})$$

$$(-1)^{2h}$$

$$(2h)^6$$

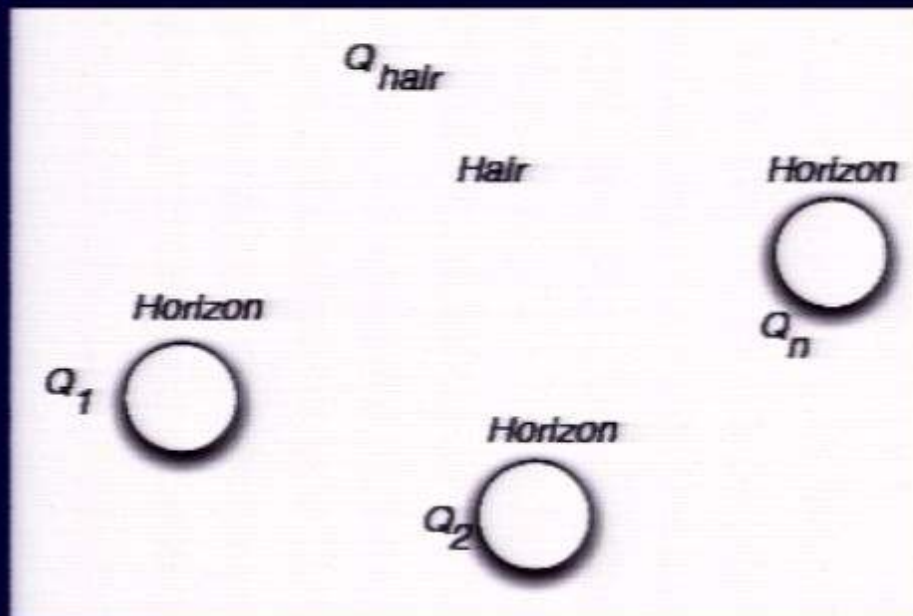
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Closure of susy +  $SL(2, \mathbb{R})$

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8 susy

$$h_{\text{hor}} = 0$$



$Q_i$  denotes both electric and magnetic charges of the  $i$ -th black hole.

Now let us compute  $B_6$  for the same configuration.

$$B_6 = \frac{1}{6!} \text{Tr}(-1)^{2h} (2h)^6 = \frac{1}{6!} \text{Tr}(-1)^{h_{\text{hor}}+h_{\text{hair}}} (2h_{\text{hor}} + 2h_{\text{hair}})^6$$

In four dimensions, supersymmetry  $\rightarrow h_{\text{hor}} = 0$ .

Thus

$$B_6 = \frac{1}{6!} \text{Tr}(-1)^{2h_{\text{hair}}} (2h_{\text{hair}})^6$$

||

$$\sum_n \sum_{\substack{\{\vec{Q}_i\}, \vec{Q}_{\text{hair}} \\ \sum_{i=1}^n \vec{Q}_i + \vec{Q}_{\text{hair}} = \vec{Q}}} \left\{ \prod_{i=1}^n d_{\text{hor}}(\vec{Q}_i) \right\} B_{6;\text{hair}}(\vec{Q}_{\text{hair}}; \{\vec{Q}_i\})$$

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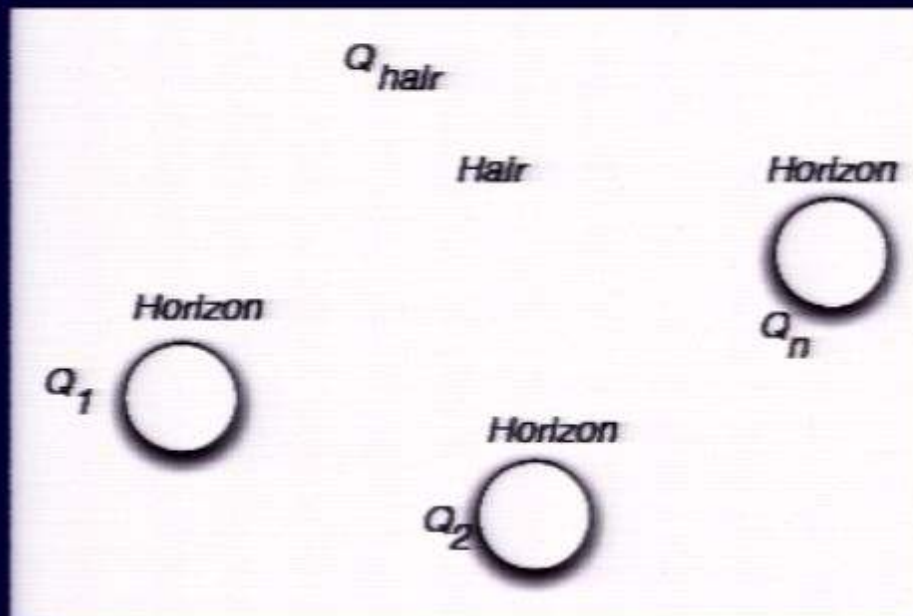
$$\sum_n \sum_{\substack{\{\vec{Q}_i\}, \vec{Q}_{\text{hair}} \\ \sum_{i=1}^n \vec{Q}_i + \vec{Q}_{\text{hair}} = \vec{Q}}} \left\{ \prod_{i=1}^n d_{\text{hor}}(\vec{Q}_i) \right\} B_{6;\text{hair}}(\vec{Q}_{\text{hair}}; \{\vec{Q}_i\})$$

We shall denote the degeneracy associated with the hair degrees of freedom by  $d_{\text{hair}}$ .

$d_{\text{hair}}$  can be calculated by explicitly identifying and quantizing the hair modes.

**The total degeneracy:**

$$\sum_n \sum_{\substack{\{\vec{Q}_i\}, \vec{Q}_{\text{hair}} \\ \sum_{i=1}^n \vec{Q}_i + \vec{Q}_{\text{hair}} = \vec{Q}}} \left\{ \prod_{i=1}^n d_{\text{hor}}(\vec{Q}_i) \right\} d_{\text{hair}}(\vec{Q}_{\text{hair}}; \{\vec{Q}_i\})$$



$Q_i$  denotes both electric and magnetic charges of the  $i$ -th black hole.

We shall denote the degeneracy associated with the hair degrees of freedom by  $d_{\text{hair}}$ .

$d_{\text{hair}}$  can be calculated by explicitly identifying and quantizing the hair modes.

**The total degeneracy:**

$$\sum_n \sum_{\substack{\{\vec{Q}_i\}, \vec{Q}_{\text{hair}} \\ \sum_{i=1}^n \vec{Q}_i + \vec{Q}_{\text{hair}} = \vec{Q}}} \left\{ \prod_{i=1}^n d_{\text{hor}}(\vec{Q}_i) \right\} d_{\text{hair}}(\vec{Q}_{\text{hair}}; \{\vec{Q}_i\})$$

Now let us compute  $B_6$  for the same configuration.

$$B_6 = \frac{1}{6!} \text{Tr}(-1)^{2h} (2h)^6 = \frac{1}{6!} \text{Tr}(-1)^{h_{\text{hor}} + h_{\text{hair}}} (2h_{\text{hor}} + 2h_{\text{hair}})^6$$

In four dimensions, supersymmetry  $\rightarrow h_{\text{hor}} = 0$ .

Thus

$$B_6 = \frac{1}{6!} \text{Tr}(-1)^{2h_{\text{hair}}} (2h_{\text{hair}})^6$$

||

$$\sum_n \sum_{\substack{\{\vec{Q}_i\}, \vec{Q}_{\text{hair}} \\ \sum_{i=1}^n \vec{Q}_i + \vec{Q}_{\text{hair}} = \vec{Q}}} \left\{ \prod_{i=1}^n d_{\text{hor}}(\vec{Q}_i) \right\} B_{6;\text{hair}}(\vec{Q}_{\text{hair}}; \{\vec{Q}_i\})$$

AdS<sub>2</sub>

→ Isometry group

$SO(2,1) \supset SL(2, \mathbb{R})$

Unbroken susy →

Closure of su

→  $PSU(1,1|2)$

8 susy

$0 \sim e^{\theta^2}$

$e^{\theta}$

0

$(-1)^{2k}$

in number

$(2, \mathbb{R})$

$(2, \mathbb{R}) \times S$