

Title: Noncommutative algebras and (commutative) algebraic geometry

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Abstract: The study of D-branes at singular points of Calabi-Yau threefolds has revealed interesting connections between certain noncommutative algebras and singular algebraic varieties. In many respects, the choice of an appropriate noncommutative algebra is analogous to finding a resolution of singularities of the variety. We will explain this connection in detail, and outline a program for studying such "noncommutative resolutions" globally, for compact algebraic (Calabi-Yau) threefolds.

# Noncommutative algebras and (commutative) algebraic geometry

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Connections in Geometry and Physics  
Perimeter Institute  
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**Abstract:**

The study of D-branes at singular points of Calabi-Yau threefolds has revealed interesting connections between certain noncommutative algebras and singular algebraic varieties. In many respects, the choice of an appropriate noncommutative algebra is analogous to finding a resolution of singularities of the variety. We will explain this connection in detail, and outline a program for studying such “noncommutative resolutions” globally, for compact algebraic (Calabi–Yau) threefolds.

# D-branes

D-branes were introduced into string theory in 1995. In the original formulation, D-branes are submanifolds on which strings end, and the submanifold must support a gauge field which provides a gauge degree of freedom at the endpoints of the string.

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In practice, when compactifying on a Calabi–Yau threefold  $X$ , the D-brane should be supported on a calibrated submanifold of  $X$ . For today's lecture, we set aside the interesting case of special Lagrangian submanifolds and focus on complex submanifolds. So the data for a D-brane in [version one](#) of our story is a complex submanifold and a holomorphic vector bundle on it.

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In [version two](#) of our D-brane story, one recognizes that a holomorphic vector bundle on a complex submanifold of  $X$  is a special case of a coherent sheaf on  $X$ , and that more general coherent sheaves can be used. So version two of D-branes is the category  $Coh(X)$  of coherent sheaves on  $X$ .

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In topologically twisted string theory, Douglas showed that the natural structure is the [\(bouded\) derived category](#)  $D^b(Coh(X))$ . This is [version three](#) of D-branes, and was anticipated by Kontsevich's homological mirror symmetry conjecture, which was formulated before D-branes were even defined!

# Gauge theory on D-branes

There is a physical theory which exists directly on the branes: the gauge theory defined by the gauge fields we have specified. This theory is a Yang–Mills theory with maximal supersymmetry, whose gauge group is  $U(N)$  when the corresponding vector bundle has rank  $N$ .

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An often-studied case is that of D3-branes located at a point of the associated Calabi–Yau manifold, and filling the effective  $3 + 1$ -dimensional spacetime. In this case, one gets the  $\mathcal{N} = 4$  super Yang–Mills theory in 4 dimensions.

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More generally, one can consider Calabi–Yau threefolds with singularities and place the D3-branes at the singularities. A field theory with less supersymmetry results, and this will be the topic of today's talk.

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## Large $N$ limit

In 1998, Maldacena conjectured that (conformal) field theories describing D3-branes have a well-behaved “large  $N$  limit” which is described by an anti-de Sitter vacuum of type IIB string theory. Even discussing a large  $N$  limit requires a certain uniformity for the D3-brane theories with  $U(N)$  gauge group, independent of  $N$ , and this uniformity can be seen by writing the theory in  $\mathcal{N} = 1$  terms.

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An  $\mathcal{N} = 1$  gauge theory in 4 dimensions requires for its specification a (compact reductive) gauge group  $G$ , a “matter representation”  $\rho$  (which is a collection of adjoint and bifundamental fields for the local components  $G_i$  of the gauge group), and a superpotential  $\mathcal{W}$  which is the trace of a polynomial  $W$  in the matter fields.

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For  $\mathcal{N} = 4$  super Yang–Mills theory with gauge group  $G = U(N)$ , we have three matter fields  $X, Y, Z$  each taking values in the adjoint representation, and superpotential

$$\mathcal{W} = \text{tr}(XYZ - XZY).$$

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Writing things in this form (suppressing the indices for the adjoint representation) makes it clear why these theories are essentially independent of  $N$  in their formulation.

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The classical vacua for an  $\mathcal{N} = 1$  gauge theory are given by specifying explicit “expectation values” for the matter fields  $X_j$  subject to the so-called F-term constraints  $\text{tr}(\partial W / \partial X_j) = 0$ .



## Algebraic formulation

For many singularities of Calabi–Yau threefolds, a similar pattern has been found for the associated D3-brane theories.

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## Algebraic formulation

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$$\mathcal{A} = (\text{path algebra of quiver}) / (\partial W / \partial X_\alpha).$$

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The representations of the D-brane algebra parameterize classical vacua for all of the D-brane theories simultaneously. In particular, when  $v_j$  is represented on a vector space of dimension  $N_j$ , the gauge group is  $\prod U(N_j)$ .

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One remarkable property which these D-brane algebras  $\mathcal{A}$  appear to satisfy is that the center  $\mathcal{Z}(\mathcal{A})$  is the coordinate ring of the associated Calabi–Yau threefold. In particular, the algebraic geometry should be recoverable from the field theory.

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It turns out that the “superpotential” formulation of these algebras is very closely tied to having a (Calabi–Yau) variety in **complex dimension three**.

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It turns out that the “superpotential” formulation of these algebras is very closely tied to having a (Calabi–Yau) variety in **complex dimension three**. To find a general formulation, it is convenient to sometimes consider more general algebras  $\mathcal{A}$  such that the center  $\mathcal{Z}(\mathcal{A})$  is a commutative algebra of finite type over  $\mathbb{C}$ .

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The key thing to study will be the category  $\text{Mod-}\mathcal{A}$  of representations of this algebra.

## The McKay correspondence

An important example of the types of algebras we will encounter is the “twisted group algebra”. Let  $G$  be a finite subgroup of  $SU(2)$  so that  $G$  acts on the polynomial algebra  $\mathbb{C}[x, y]$ . The *twisted group algebra*  $\mathbb{C}[x, y] \star G$  consists of pairs  $(f(x), g)$  and a multiplication

$$(f(x), g) \cdot (\phi(x), \gamma) = (f(x) \cdot g(\phi(x)), g \circ \gamma).$$

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Kapranov and Vasserot interpreted the McKay correspondence as a statement about the structure of this algebra: we can write

$$\mathbb{C}[x, y] \star G = \bigoplus_{\rho \in \text{Irrep}(G)} M_{\rho} \otimes \rho$$

and describe the algebra in terms of the modules  $M_{\rho}$ . Its structure is determined by the graph of representations which McKay used:

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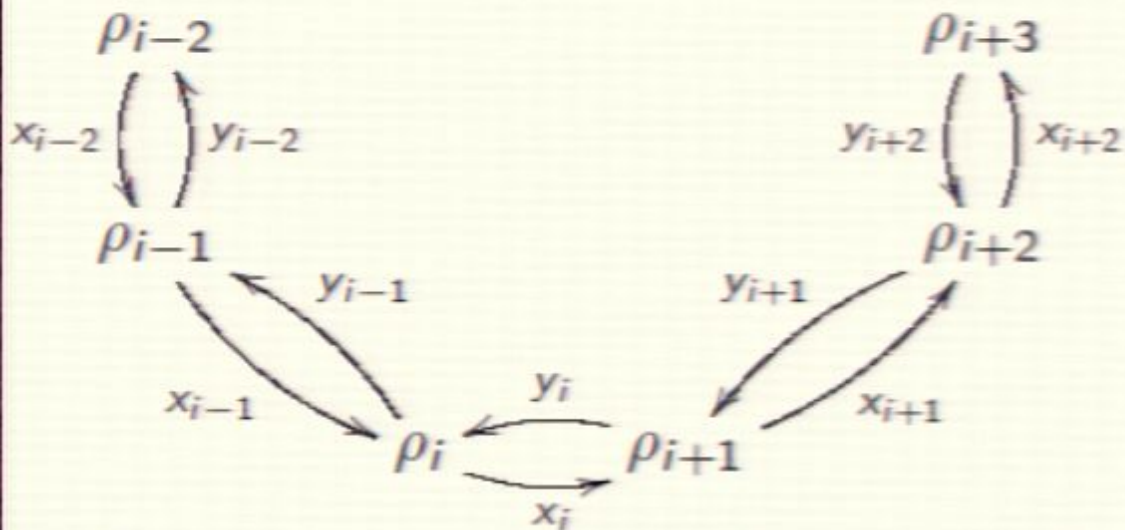
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In the  $A_{n-1}$  case, for example, the graph forms a cycle and one has relations  $x_i y_i = y_{i-1} x_{i-1}$ .

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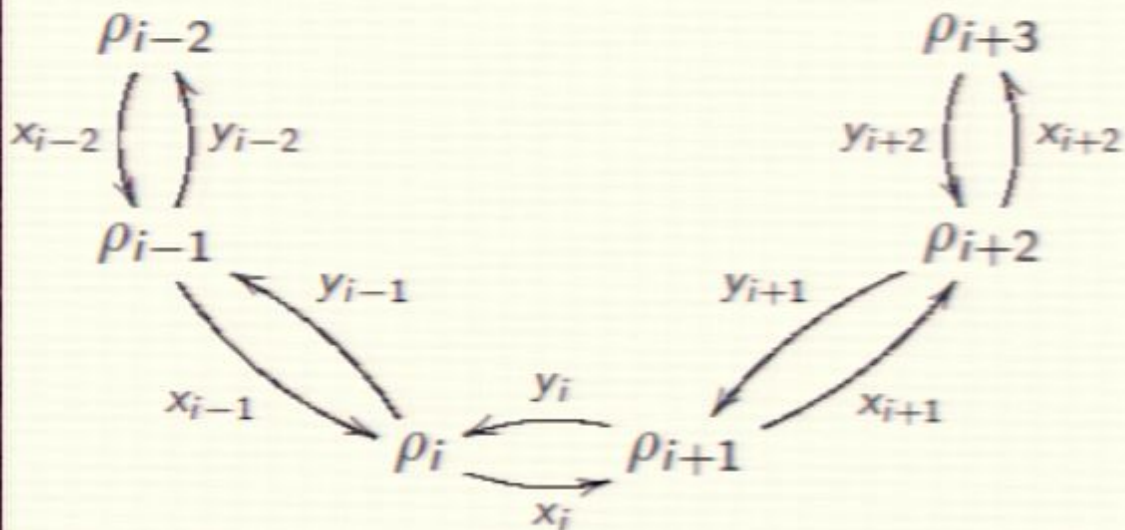
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It's not too hard to determine the center of the algebra from this description: it is generated by

$$X = x_0 \cdots x_{n-1} + \text{cyclic permutations}$$

$$Y = y_0 \cdots y_{n-1} + \text{cyclic permutations}$$

$$Z = x_i y_i + \text{cyclic permutations}$$

subject to the relation  $Z^n = XY$ . Of course, the center can also be identified with  $\mathbb{C}[x, y]^G$ , so this was not unexpected.

## Example 1

We have already described the D-brane algebra of a smooth point of a Calabi–Yau threefold: there is one vertex  $v$  and three matter fields  $X, Y, Z$  with superpotential

$$W = \text{tr}(X(YZ - ZY)).$$

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The F-term constraint in this case tells us  $YZ = YZ$ ,  $XZ = ZX$  and  $XY = YX$ . Thus, we find

$$\mathcal{A} = \mathbb{C}[X, Y, Z],$$

the (commutative) polynomial algebra in three variables.

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The associated category is the category of  $\mathbb{C}[X, Y, Z]$ -modules; when finite, these are given by triples of commuting  $N \times N$  matrices.

## Example 2

The McKay quiver algebra  $\widehat{A}_{n-1}$  is not a D-brane algebra, but a closely related algebra is: add loops at each of the vertices, represented by fields  $\phi_i$ ; then the superpotential

$$W = \text{tr}(\phi_i(x_i y_i - y_{i-1} x_{i-1}))$$

gives the same relations as before. (This is known to be the appropriate field theory description for D3-branes at a point  $P$  on a curve of  $A_{n-1}$  singularities on a Calabi–Yau threefold.)

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gives the same relations as before. (This is known to be the appropriate field theory description for D3-branes at a point  $P$  on a curve of  $A_{n-1}$  singularities on a Calabi–Yau threefold.) The algebra is the twisted group algebra, tensored with the algebra of the  $\phi_i$ 's, which have no relations among them. The center is:

$$\mathcal{Z}(\mathcal{A}) = \mathbb{C}[x, y]^G \otimes \mathbb{C}[\Phi],$$

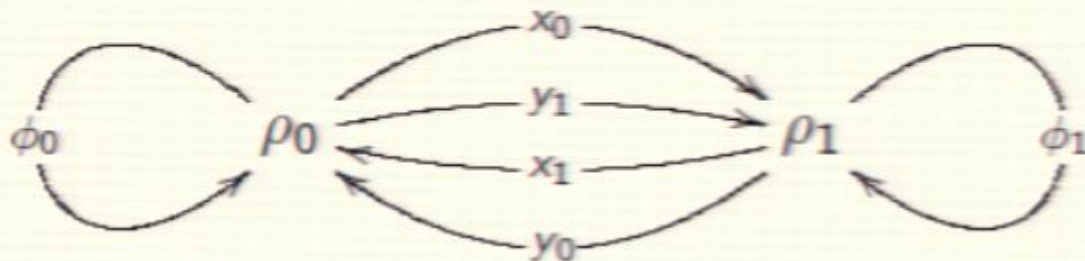
where  $\Phi = \sum \phi_i$ .

### Example 3 (Cachazo–Katz–Vafa; Spinwall–Katz)

Let us modify the theory for  $\widehat{A}_1$  by adding another term to the superpotential. That is, we have fields  $x_i, y_i, \phi_i$  for  $i = 0, 1$  with superpotential

$$W = \text{tr}(\phi_0(x_0 y_0 - y_1 x_1) + \phi_1(x_1 y_1 - y_0 x_0) + P(\phi_0) + P(\phi_1))$$

where  $P$  is some fixed polynomial.



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The McKay quiver algebra  $\widehat{A}_{n-1}$  is not a D-brane algebra, but a closely related algebra is: add loops at each of the vertices, represented by fields  $\phi_i$ ; then the superpotential

$$W = \text{tr}(\phi_i(x_i y_i - y_{i-1} x_{i-1}))$$

gives the same relations as before. (This is known to be the appropriate field theory description for D3-branes at a point  $P$  on a curve of  $A_{n-1}$  singularities on a Calabi–Yau threefold.) The algebra is the twisted group algebra, tensored with the algebra of the  $\phi_i$ 's, which have no relations among them. The center is:

$$\mathcal{Z}(\mathcal{A}) = \mathbb{C}[x, y]^G \otimes \mathbb{C}[\Phi],$$

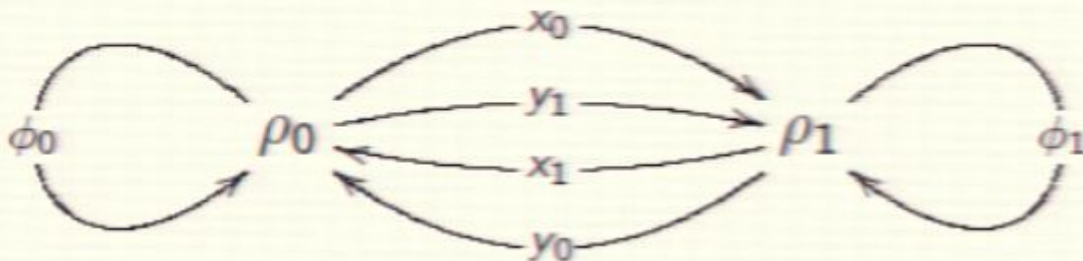
where  $\Phi = \sum \phi_i$ .

### Example 3 (Cachazo–Katz–Vafa; Spinwall–Katz)

Let us modify the theory for  $\widehat{A}_1$  by adding another term to the superpotential. That is, we have fields  $x_i, y_i, \phi_i$  for  $i = 0, 1$  with superpotential

$$W = \text{tr}(\phi_0(x_0 y_0 - y_1 x_1) + \phi_1(x_1 y_1 - y_0 x_0) + P(\phi_0) + P(\phi_1))$$

where  $P$  is some fixed polynomial.



The F-term relations are:

$$y_0\phi_0 = \phi_1y_0$$

$$\phi_0y_1 = y_1\phi_1$$

$$\phi_0x_0 = x_0\phi_1$$

$$x_1\phi_0 = \phi_1x_1$$

$$x_0y_0 = y_1x_1 - P'(\phi_0)$$

$$x_1y_1 = y_0x_0 - P'(\phi_1)$$

One can see that the following elements are central:

$$X = x_0x_1 + x_1x_0$$

$$Y = y_1y_0 + y_0y_1$$

$$Z = x_0y_0 + x_1y_1$$

$$\Phi = \phi_0 + \phi_1$$

and satisfy the relation

$$Z^2 = XY + ZP'(\Phi).$$

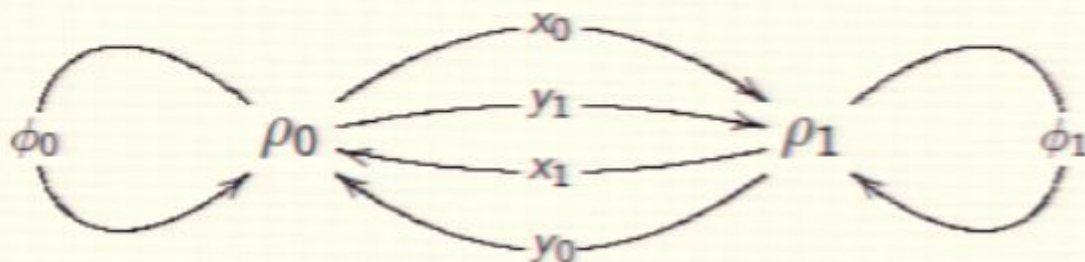


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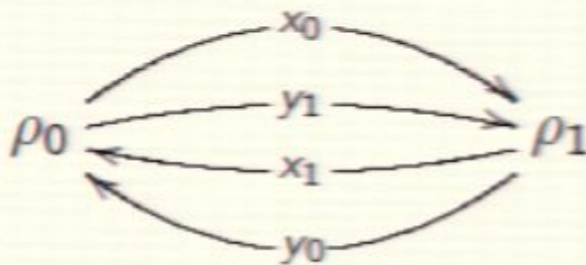
These singularities are closely related to flops: by an old result of Reid, the blowdown of a flop with normal bundle  $\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(-2)$  always has an equation of the above form, where  $P$  has a zero at  $\Phi = 0$  of order at least 3.

## Example 4: the conifold

The previous example also describes the conifold, if we take  $P(\Phi) = \frac{1}{2}\Phi^2$ . The standard physics approach to this is to “integrate out”  $\phi_i$ , using the F-term equations to solve  $\phi_0 = y_1x_1 - x_0y_0$ ,  $\phi_i = y_0x_0 - x_1y_1$ . The new superpotential is

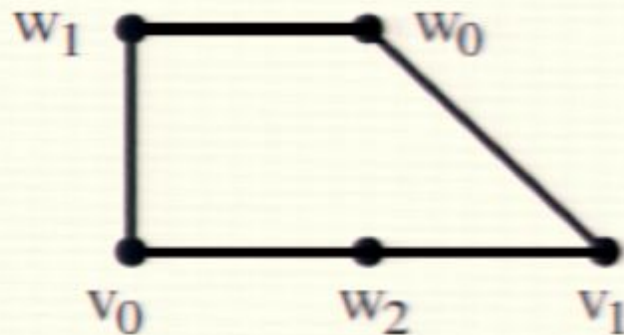
$$\mathcal{W} = \text{tr}(x_0x_1y_1y_0 - x_0y_0y_1x_1).$$

This is the standard superpotential for the conifold, first found by Klebanov and Witten.



## Example 5: the suspended pinch point

As our next example, we will compute the superpotential algebra and its center for the “suspended pinch point” (first considered in *hep-th/9810201*). The method which Plesser and I used to find the superpotential in this case was toric geometry. The suspended pinch point singularity can be described torically as the cone over the following lattice polyhedron:



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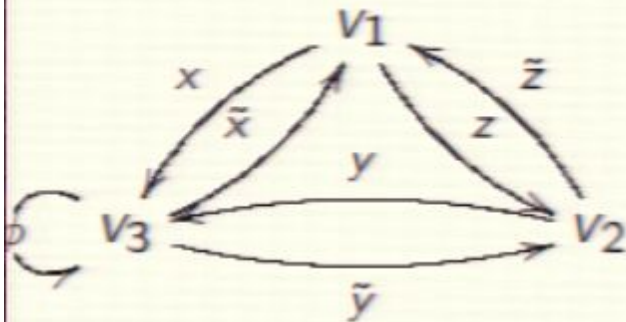
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Plesser and I calculated a field theory dual, which can be expressed in terms of the quiver



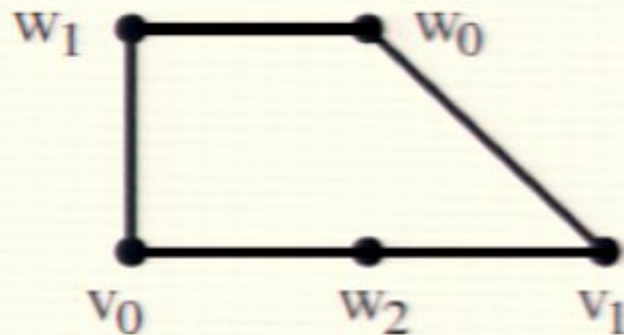
and superpotential

$$W = \text{tr} \left( \phi(\tilde{Y}Y - \tilde{X}X) + \lambda(Z\tilde{Z}X\tilde{X} - \tilde{Z}Z\tilde{Y}Y) \right) .$$

Many other toric examples were subsequently computed, with a large amount of technology devoted to their efficient computation (work of Hanany and collaborators, among others).

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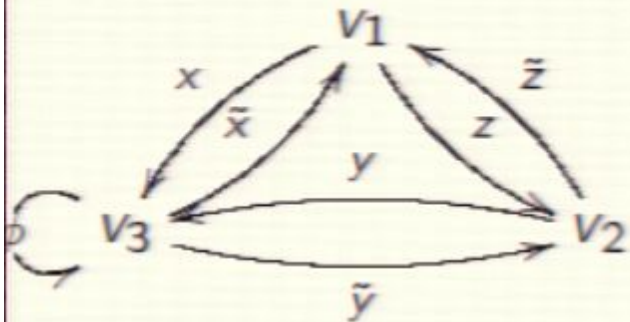
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In our example, the F-term constraints become:

$$\tilde{Y}Y = \tilde{X}X$$

$$\phi\tilde{X} = \lambda Z\tilde{Z}X$$

$$X\phi = \lambda X\tilde{Z}X$$

$$\phi\tilde{Y} = \lambda\tilde{Y}\tilde{Z}Z$$

$$Y\phi = \lambda\tilde{Z}ZY$$

$$\lambda\tilde{Z}X\tilde{Z} = \lambda Y\tilde{Y}\tilde{Z}$$

$$\lambda X\tilde{X}Z = \lambda ZY\tilde{Y}$$

There are central elements  $A = \phi + \lambda Z\tilde{Z} + \lambda\tilde{Z}Z$ ,  
 $B = \tilde{X}X + X\tilde{X} + Y\tilde{Y}$ ,  $C = \tilde{Y}\tilde{Z}X + \tilde{Z}X\tilde{Y} + X\tilde{Y}\tilde{Z}$ ,  
 $D = \tilde{X}ZY + ZY\tilde{X} + Y\tilde{X}Z$ .

The relation is:

$$AB^2 = \lambda CD.$$

## Example 6: A flop of length 2

(Worked out by Aspinwall and myself in *arXiv:1005.1042*.)

Consider the hypersurface singularity with defining polynomial

$$F(x, y, z, w) = x^2 + y^3 + wz^2 + w^3y - \lambda wy^2 - \lambda w^4$$

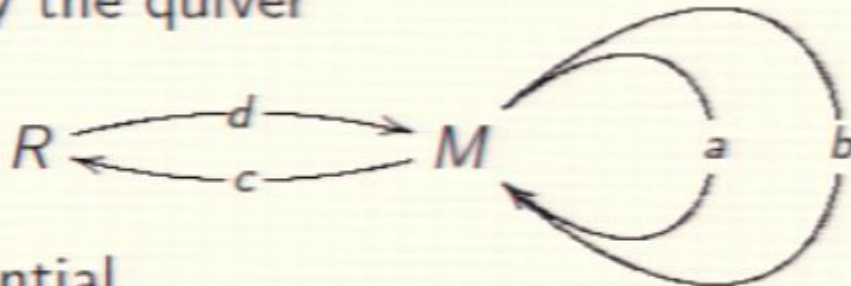
There is a matrix factorization for this polynomial of the form

$$(xI_4 + \Xi)(xI_4 - \Xi) = F I_4,$$

where

$$\Xi := \begin{bmatrix} 0 & y & z & -w \\ -(y - \lambda w)y & 0 & (y - \lambda w)w & z \\ -wz & -w^2 & 0 & -y \\ (y - \lambda w)w^2 & -wz & (y - \lambda w)y & 0 \end{bmatrix}$$

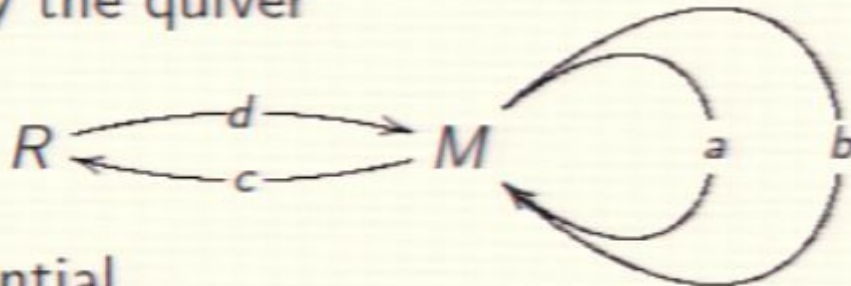
The cokernel of  $x/4 + \Xi$  defines a module  $M$  over  $R = \mathbb{C}[x, y, z, w]/(F)$  and the ring  $\mathcal{A} = \text{End}(R \oplus M)$  is a D-brane algebra for this singularity. It turns out that  $\mathcal{A}$  can be described by the quiver



with superpotential

$$\mathcal{W} = \text{tr}(b^2 dc + \frac{1}{2} dc dc + a^2 b + \frac{1}{3} \lambda b^3 + \frac{1}{4} b^4).$$

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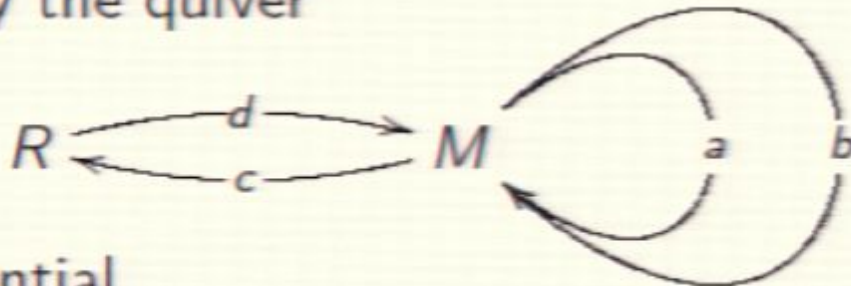
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## Remarks

1. The algebra  $\mathcal{A}$  is not uniquely determined by the D-brane configuration (this is related to Seiberg duality and has been studied extensively).

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## Remarks

1. The algebra  $\mathcal{A}$  is not uniquely determined by the D-brane configuration (this is related to Seiberg duality and has been studied extensively).
2. As stated, this provides a way of passing from a Lagrangian description of a family of field theories to the algebro-geometric structure near the corresponding singular point. It is also possible to go backwards (work of Aspinwall and collaborators): given  $P \in X$ , one studies the (derived) category of coherent sheaves on  $X$  supported at  $P$ , and determines a so-called tilting module for the category. That tilting module, and some further computations of  $Ext$  groups of the sheaves, gives a Lagrangian description for the family of D-branes (including a superpotential).



3. To the best of my knowledge, there is no known algorithm for computing the center of  $\mathcal{A}$ . There is also no known algorithm for producing a tilting module for the derived category of coherent sheaves supported at  $X$ . And of course, there is no proof at present that the center  $\mathcal{Z}(\mathcal{A})$  is isomorphic to the the coordinate ring of  $X$  at  $P$ .

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4. Van den Bergh has conjectured that a canonical singularity  $P \in X$  in dimension 3 admits an algebra  $\mathcal{A}$  whose category of modules describe the coherent sheaves supported at  $P$  if and only if there is a resolution of singularities  $\pi : Y \rightarrow X$  which is relatively Calabi–Yau (that is, no zeros are introduced into the holomorphic 3-form), and he has proven this conjecture in a number of cases. However, neither his conjecture nor his proof address the question of whether  $\mathcal{A}$  can be written as a D-brane algebra, that is, as a quiver algebra modulo relations coming from a superpotential.

5. One of the key properties of the algebras which van den Bergh uses (and a property enjoyed by all of our examples) is that the ring  $\mathcal{A}$  has finite global dimension. Generally, the coordinate ring of an affine algebraic variety only has finite global dimension when the variety is nonsingular. Here, we have a class of noncommutative algebras with a similar property, even for a class of singular varieties. This is one of the ways in which specifying a noncommutative algebra of the type we are considering may be seen as an analogue of resolving the singularities.

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Since the center of  $\mathcal{A}$  is expected to be the coordinate ring of the corresponding algebraic variety, a natural question is how  $\mathcal{A}$  will behave under localization. Note that for any element  $f \in \mathcal{Z}(\mathcal{A})$ , the localization makes sense:

$$\mathcal{A}_f := \mathcal{A} \otimes_{\mathcal{Z}(\mathcal{A})} \mathcal{Z}_f.$$

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with center  $\mathcal{Z} = \mathcal{Z}(\mathcal{A})$  generated by

$$X = x_0x_1 + x_1x_0, \quad Y = y_0y_1 + y_1y_0, \quad Z = x_0y_0 + x_1y_1,$$

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Now, to invert  $X$ , we must invert  $x_0$  and  $x_1$  and then it easily follows that  $y_0 = (X^{-1}Z)x_1$  and  $y_1 = (X^{-1}Z)x_0$ .

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$$\begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} \mapsto x_0$$
$$\begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} \mapsto X^{-1}x_1.$$

# Morita equivalence

One fundamental notion in the theory of noncommutative rings and algebras is the notion of *Morita equivalence*. Two rings  $\mathcal{A}$  and  $\mathcal{B}$  are said to be Morita equivalent if there is an equivalence of categories between the category of left  $\mathcal{A}$ -modules and the category of left  $\mathcal{B}$ -modules.

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A key fact is that if  $\mathcal{A}$  and  $\mathcal{B}$  are Morita equivalent, then the centers of the rings  $\mathcal{Z}(\mathcal{A})$  and  $\mathcal{Z}(\mathcal{B})$  are isomorphic.

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# Morita equivalence

One fundamental notion in the theory of noncommutative rings and algebras is the notion of *Morita equivalence*. Two rings  $\mathcal{A}$  and  $\mathcal{B}$  are said to be Morita equivalent if there is an equivalence of categories between the category of left  $\mathcal{A}$ -modules and the category of left  $\mathcal{B}$ -modules. For example, a ring  $R$  is Morita equivalent to the ring  $M_n(R)$  of  $n \times n$  matrices over  $R$ .

A key fact is that if  $\mathcal{A}$  and  $\mathcal{B}$  are Morita equivalent, then the centers of the rings  $\mathcal{Z}(\mathcal{A})$  and  $\mathcal{Z}(\mathcal{B})$  are isomorphic. (This is obvious in the case of  $R$  and  $M_n(R)$ .)

The application in the present context is clear: once we localized away from the singularity, we obtained a Morita-equivalent ring.

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# Globalizing

The key observation to globalizing this setup is to notice that we don't really care about the noncommutative rings *per se*, we care about their **representations**, which will determine sheaves on the space.

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The key observation to globalizing this setup is to notice that we don't really care about the noncommutative rings *per se*, we care about their **representations**, which will determine sheaves on the space. Moreover, we can use **Morita equivalence** to identify categories of representations even in cases where the underlying rings are not isomorphic.

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The details are not all worked out yet, but the construction we want to give of noncommutative spaces roughly goes like this. We work with spaces modeled on  $\text{Spec}_{NC}(\mathcal{A})$  (a noncommutative Spec which we are trying to define). Given a representation  $M \in \text{Mod-}\mathcal{A}$ , we define a sheaf  $\tilde{M}$  by sheafifying the presheaf

$$\tilde{M}(\text{Spec}(\mathcal{Z}(\mathcal{A})_f)) := M \otimes_{\mathcal{Z}(\mathcal{A})} \mathcal{Z}(\mathcal{A})_f \in \text{Mod-}\mathcal{A}_f.$$

These are the **quasi-coherent sheaves**.

Now we patch together these local objects, but we don't require an equivalence of rings when matching affine open sets, we only require an equivalence of module-categories.

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That is, a gluing should be a ring isomorphism

$$\psi : \mathcal{Z}(\mathcal{A}) \rightarrow \mathcal{Z}(\mathcal{B})$$

together with a compatible equivalence of categories

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Andrei Caldararu has pointed out to me that this construction has many points of contact with algebraic stacks, at least if the rings  $\mathcal{A}$  are suitably restricted. It is not clear yet if we are providing a new perspective on stacks, or a generalization (of at least some of them).



I hope I have convinced you that there is a natural class of noncommutative algebras which can be used to study singularities of algebraic varieties.

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I hope I have convinced you that there is a natural class of noncommutative algebras which can be used to study singularities of algebraic varieties. This study is still in its infancy, although thanks to the origins in physics, there are many examples which have been worked out.

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I hope I have convinced you that there is a natural class of noncommutative algebras which can be used to study singularities of algebraic varieties. This study is still in its infancy, although thanks to the origins in physics, there are many examples which have been worked out. I hope to be able to report in the near future on a more complete theory along these lines.

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## Example 1

We have already described the D-brane algebra of a smooth point of a Calabi–Yau threefold: there is one vertex  $v$  and three matter fields  $X, Y, Z$  with superpotential

$$W = \text{tr}(X(YZ - ZY)).$$

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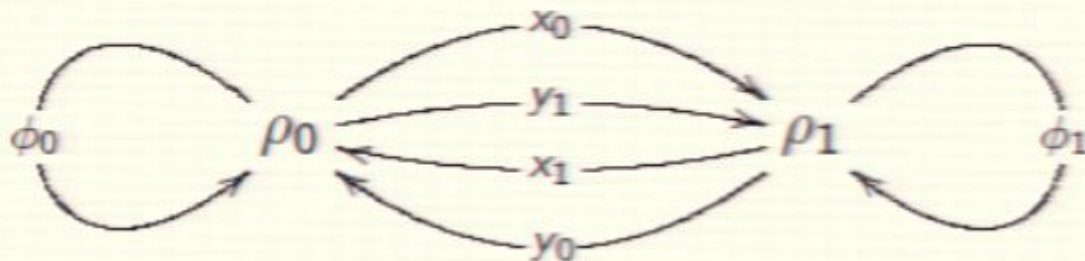
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### Example 3 (Cachazo–Katz–Vafa; Spinwall–Katz)

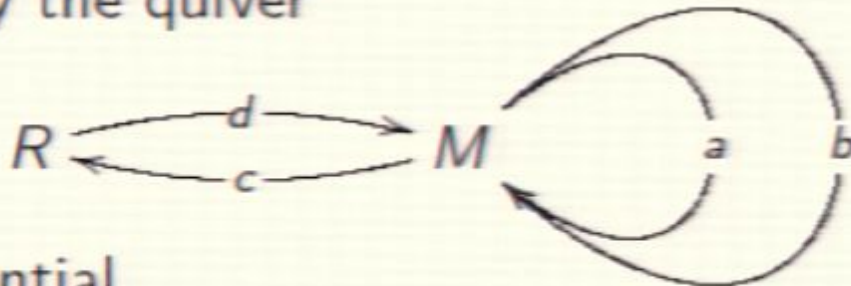
Let us modify the theory for  $\widehat{A}_1$  by adding another term to the superpotential. That is, we have fields  $x_i, y_i, \phi_i$  for  $i = 0, 1$  with superpotential

$$W = \text{tr}(\phi_0(x_0 y_0 - y_1 x_1) + \phi_1(x_1 y_1 - y_0 x_0) + P(\phi_0) + P(\phi_1))$$

where  $P$  is some fixed polynomial.



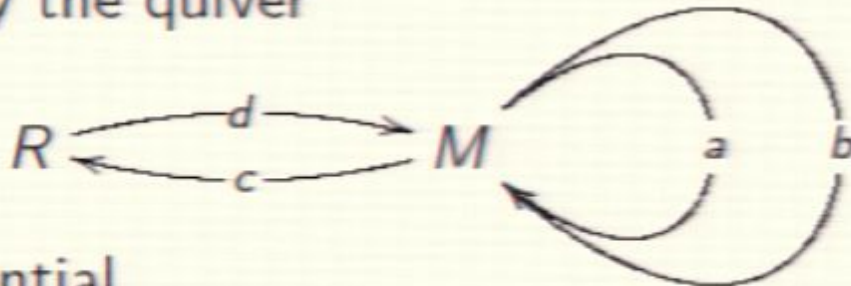
The cokernel of  $x|_4 + \Xi$  defines a module  $M$  over  $R = \mathbb{C}[x, y, z, w]/(F)$  and the ring  $\mathcal{A} = \text{End}(R \oplus M)$  is a D-brane algebra for this singularity. It turns out that  $\mathcal{A}$  can be described by the quiver



with superpotential

$$\mathcal{W} = \text{tr}(b^2 dc + \frac{1}{2} dc dc + a^2 b + \frac{1}{3} \lambda b^3 + \frac{1}{4} b^4).$$

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