

Title: Gravity dual of spatially modulated phase

Date: May 11, 2010 11:00 AM

URL: <http://pirsa.org/10050020>

Abstract: In this talk, I will show that the five-dimensional Maxwell theory with a Chern-Simons coupling larger than a critical value in the Reissner-Nordstrom black hole geometry has tachyonic modes. This instability has an interesting property that it happens only at non-vanishing momenta, suggesting a spatially modulated phase transition in the holographically dual field theory. The final state after the phase transition has taken place will be discussed in detail in a special limit

AdS/CFT correspondence

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- equivalence between conformal field theory and gravity theory
- operators in field theory \Leftrightarrow bulk fields
- for large N and large λ , classical gravity is a good approximation
- strongly interacting field theory \Leftrightarrow classical gravity

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Adding temperature and chemical potential

- turning on temperature $T \Leftrightarrow$ black hole geometry
- chemical potential $\mu \Leftrightarrow$ charged black holes
finite density system
- two scales μ and T : physics depends only on T/μ

Special aspect of 5D gravity theories

- Chern-Simons term is present for global symmetry (e.g. $U(1)_R$) of dual field theory

$$\propto \int A \wedge dA \wedge dA$$

- charged black holes are still solutions
- hydrodynamic properties change
 - e.g. vorticity-induced current [Son, Surówka/Erdmenger et al. / Banerjee et al.](#)
 - non-vanishing Hall conductivity [Matsuo et al.](#)
 - chiral shear waves [Sahoo, Yee](#)

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We will focus on finite momentum modes in \mathbb{R}^3

Set-up

Problem to consider

- 5d Maxwell-Einstein theory with Chern-Simons term
- CS-coupling α is not fixed
- charged BH is still a solution, but stability analysis changes
- check if gauge fields or off-diagonal metrics become unstable, depending on α
- if unstable, find the final geometry

Spatially modulated phase in field theories

- Phase where fields have position dependent expectation values: e.g. plane waves
- Translational symmetry and rotational symmetry both are broken

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Analysis using AdS/CFT

- some quantities do not depend on the microscopic details
(e.g. $\frac{\eta}{s} = \frac{1}{4\pi}$) [Kovtun, Son, Starinets](#)
- use bottom-up approach here

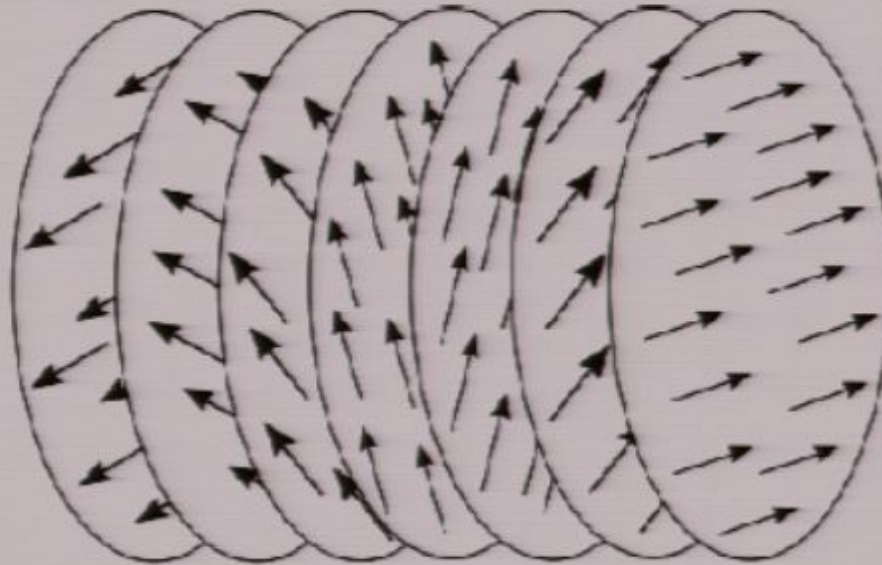
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Pictorially,



Spatially modulated phase in field theories

Examples in field theories

- Cooper pair of two fermions with different Fermi surfaces (LOFF)
- chiral density wave in finite density QCD at large N

$$\langle \overline{\psi(x)}\psi(y) \rangle = e^{i\mathbf{P}\cdot(\mathbf{x}+\mathbf{y})} \int d^4q e^{-iq(x-y)} f(q), \quad |P| = \mu$$

- Brazovskii model : fluctuation spectrum minimum at some $|k| > 0$

Outline

- 1 Motivation
- 2 Flat-space warm-up
- 3 CS-coupling in charged AdS_5 black holes
- 4 Supergravity models
- 5 Conclusions

Maxwell theory with Chern-Simons in flat 5D

Maxwell theory with Chern-Simons in 3D

Deser, Jackiw, Templeton

- action $S = \int F_{\mu\nu} F^{\mu\nu} + \alpha \epsilon^{\mu\nu\rho} A_\mu \partial_\nu A_\rho = \int F \wedge *F + \alpha A \wedge dA$
- equation of motion

$$d^*F + \alpha F = 0$$

- $\square F = d^* d^* F = -\alpha d^* F = \alpha^2 F$
- gauge field gets mass $|\alpha|$.

Maxwell theory with Chern-Simons in flat 5D

In 5-dimensions

Chern-Simons term $A \wedge dA \wedge dA$: no contribution to mass

Maxwell theory with Chern-Simons in flat 5D

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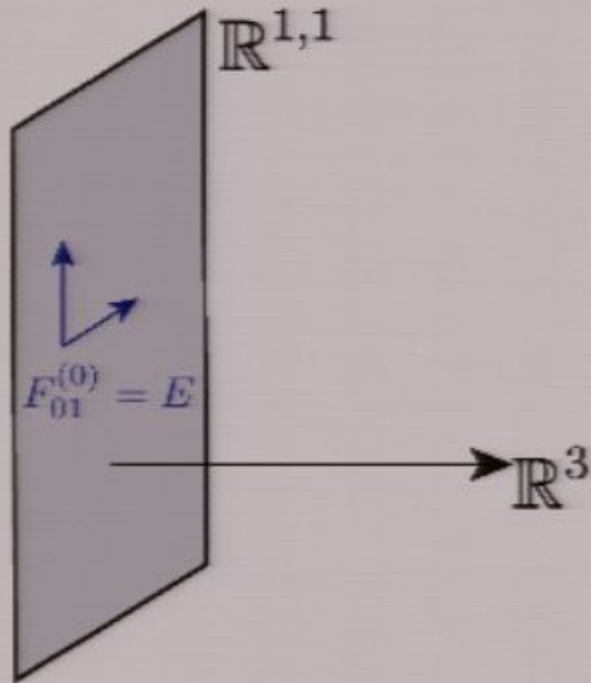
Turn on an electric field

$A \wedge dA \wedge dA \sim EdA \wedge A$: quadratic fluctuation

Maxwell theory with Chern-Simons in flat 5D

Lagrangian

$$\mathcal{L} = -\frac{1}{4}F_{IJ}F^{IJ} + \frac{\alpha}{6}\epsilon^{IJKLM}A_I F_{JK}F_{LM}$$



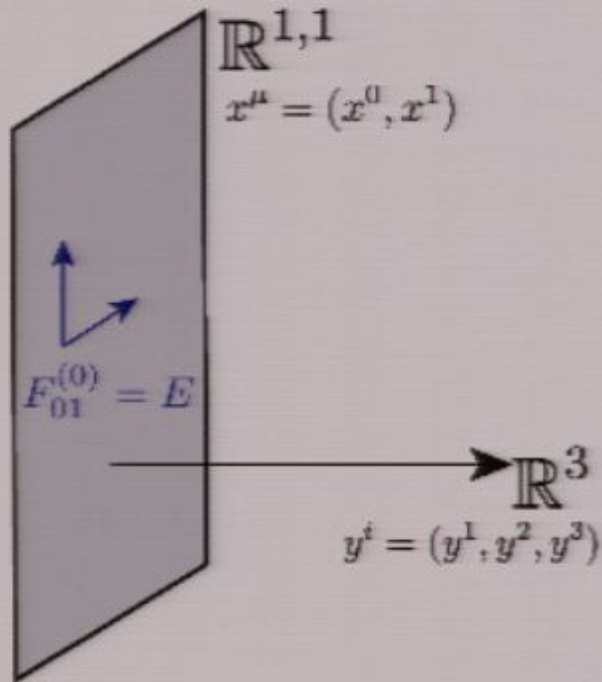
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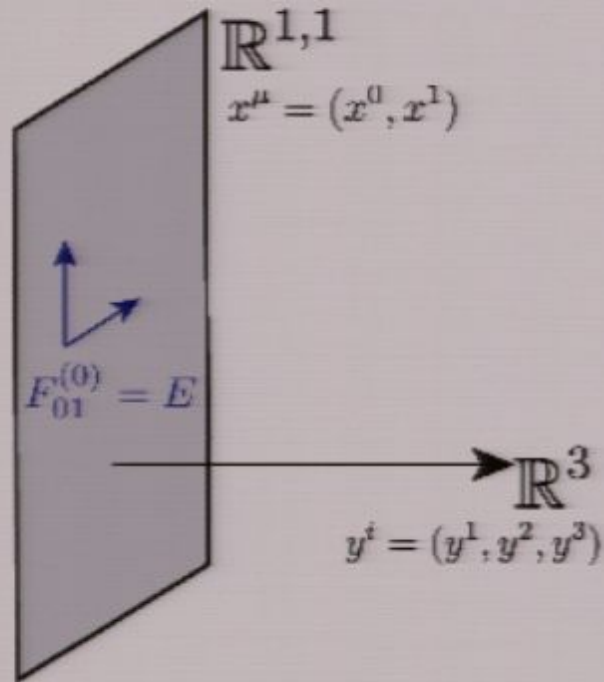
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Consider fluctuations

$$F = F^{(0)} + f = E dx^0 \wedge dx^1 + f$$



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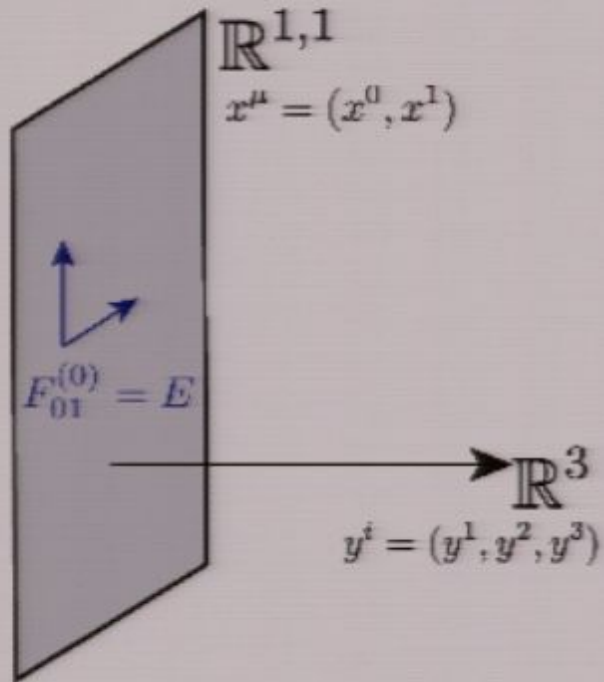
Equations of motion

$$\partial_J f^{JI} + \alpha \epsilon^{IJKLM} F_{JK}^{(0)} f_{LM} = 0$$

$$\Rightarrow \partial^\mu f_{\mu i} + \partial^j f_{ji} - 2\alpha E \epsilon_{ijk} f_{jk} = 0$$

Maxwell theory with Chern-Simons in flat 5D

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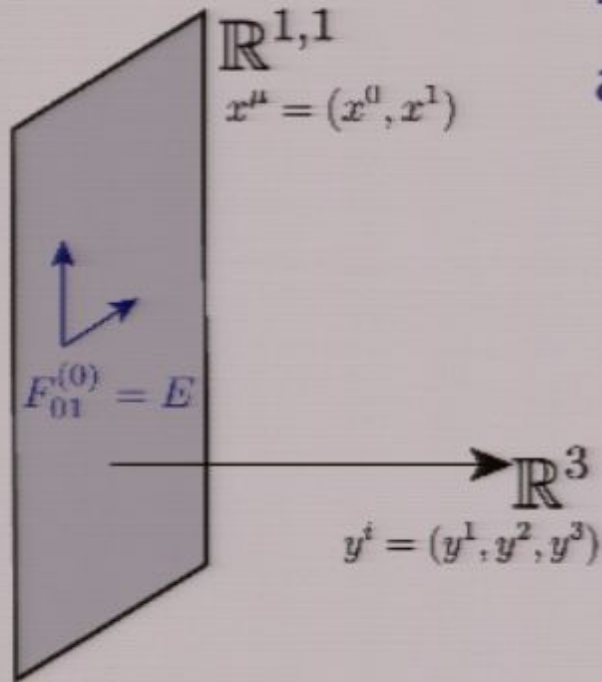
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$$\partial^\mu f_{\mu i} + \partial^j f_{ji} - 2\alpha E \epsilon_{ijk} f_{jk} = 0$$

Multiplying $\epsilon_{ijk} \partial_j$
and using Bianchi identity,

$$(\partial^\mu \partial_\mu + \partial^j \partial_j) f_i - 4\alpha E \epsilon_{ijk} \partial_j f_k = 0$$

$$f_i = \epsilon_{ijk} f_{jk}$$



Maxwell theory with Chern-Simons in flat 5D

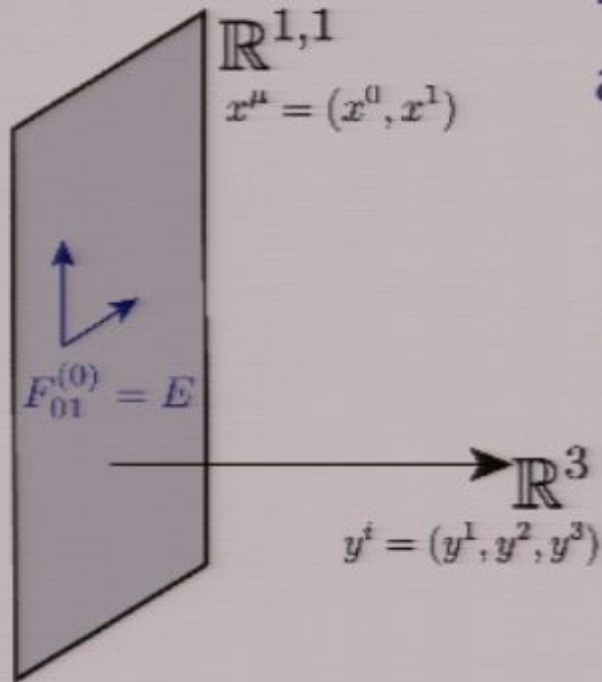
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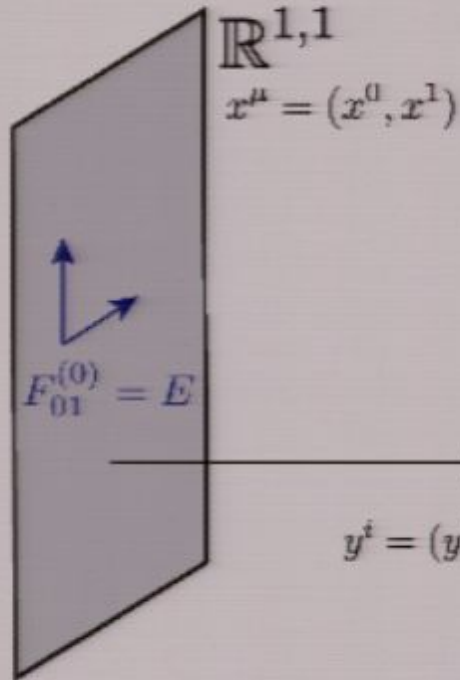
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for $f_i \sim e^{ip_\mu x^\mu + ik_i y^i}$

Dispersion relation

$$(p_0)^2 - (p_1)^2 - k^2 \pm 4\alpha E k = 0$$

$$\Rightarrow (p_0)^2 - (p_1)^2 = k^2 \pm 4\alpha E k = (k \pm 2\alpha E)^2 - 4\alpha^2 E^2$$

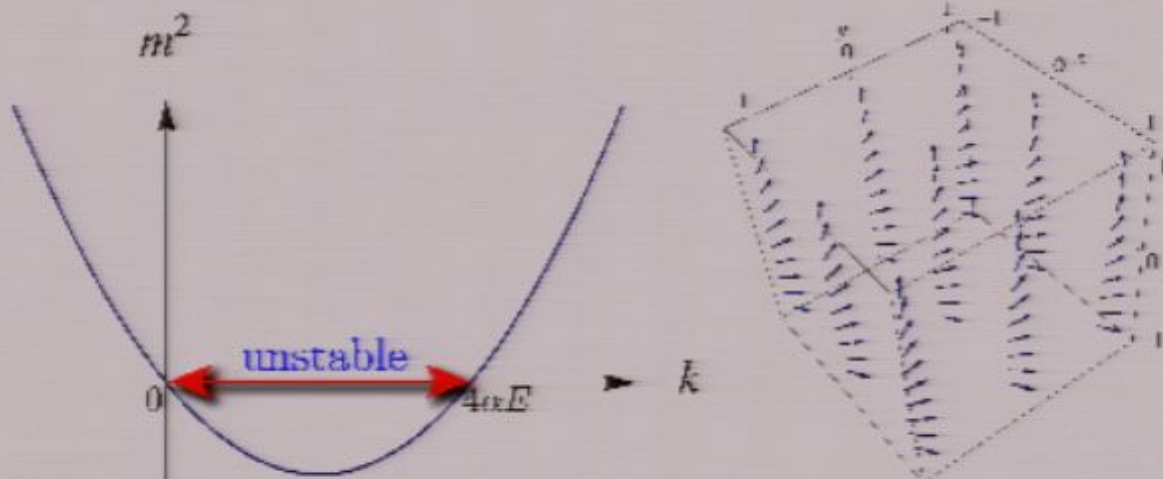


Maxwell theory with Chern-Simons in flat 5D

Dispersion relation

$$(p_0)^2 - (p_1)^2 = (k \pm 2\alpha E)^2 - 4\alpha^2 E^2$$

- tachyonic modes in $\mathbb{R}^{1,1}$ for $0 < |k| < 4|\alpha E|$
- tachyonic modes are helical: eigenmodes of $\epsilon_{ijk}\partial_j$
e.g. $f_i \sim (-\sin kz, \cos kz, 0)$ for $k_i = (0, 0, k)$
- c.f. constant magnetic field – always positive mass contribution



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Maxwell theory with CS in Reissner-Nordström BH in AdS_5

Consider 5d gravity with Maxwell & CS

- Lagrangian

$$\mathcal{L} = \sqrt{-g} \left(R + 12 - \frac{1}{4} F_{IJ} F^{IJ} \right) + \frac{\alpha}{3!} \epsilon^{IJKLM} A_I F_{JK} F_{LM}$$

- do not fix α - a parameter of theory
- consider a charged black hole
 - consider a definite momentum k state in \mathbb{R}^3
 - parameters of a state: α, T, k

Maxwell theory with CS in Reissner-Nordström BH in AdS_5

First, consider near-horizon geometry at extremum limit ($T = 0$)

- near-horizon geometry $AdS_2 \times \mathbb{R}^3$

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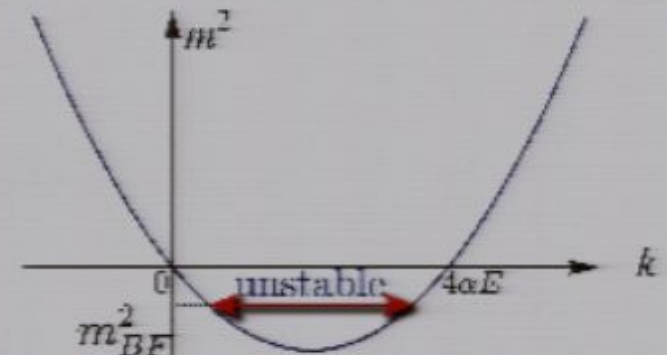
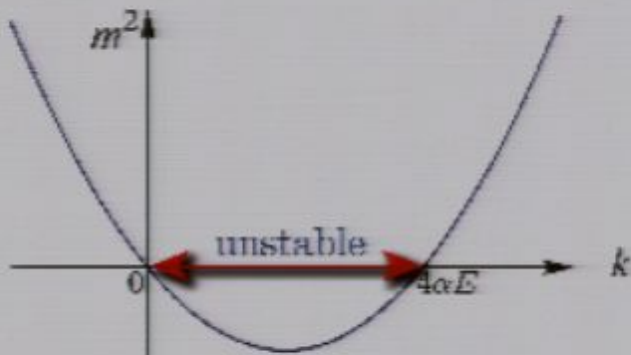
flat $\mathbb{R}^{1,1} \Rightarrow AdS_2$

negative mass allowed to some extent: Breitenlohner-Freedman bound

- the dispersion relation is the same

$$(p_0)^2 - (p_1)^2 = (k \pm 2\alpha E)^2 - 4\alpha^2 E^2$$

- but now, the lower bound = $m_{BF}^2 = -\frac{1}{4r_2^2}$



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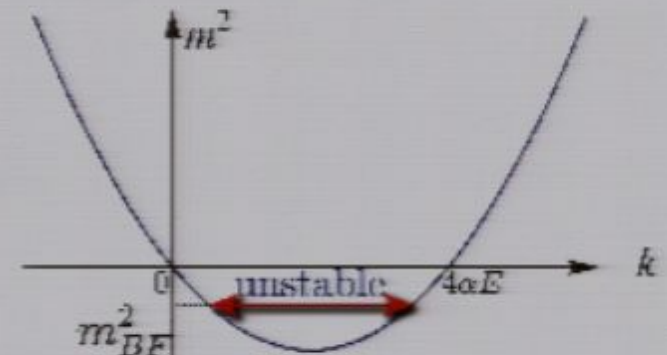
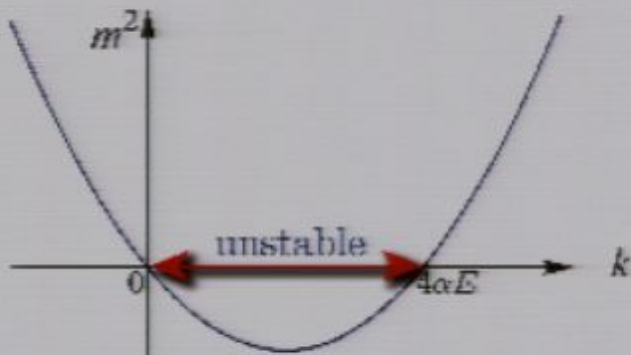
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- but gauge field couples to gravity...

Coupling to Gravity

- coupling in the YM term $F_{IJ}F^{IJ}$:

$$F_{IJ}F^{IJ} = 4F^{(0)\mu\nu} h_{\mu}^i f_{\nu i} + \dots$$

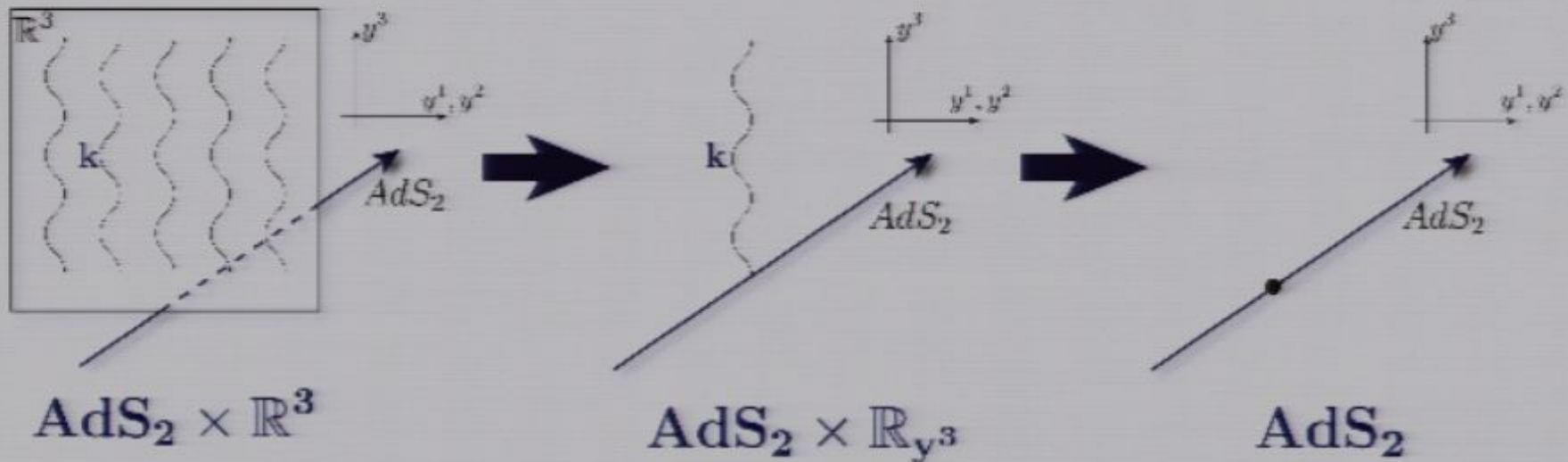
h_{μ}^i : off-diagonal metric

- use standard linearized gravity techniques
- or, use Kaluza-Klein reduction with momentum \vec{k} along \mathbb{R}^3 and linearize

Coupling to Gravity

Kaluza-Klein reduction

$$AdS_2 \times \mathbb{R}^3 \Rightarrow AdS_2$$



- consider a state with momentum k along y^3
- relevant fields: $f_i = \epsilon_{ijk} f_{jk}$, $K^i = \epsilon^{\mu\nu} \partial_\mu h_\nu^i$

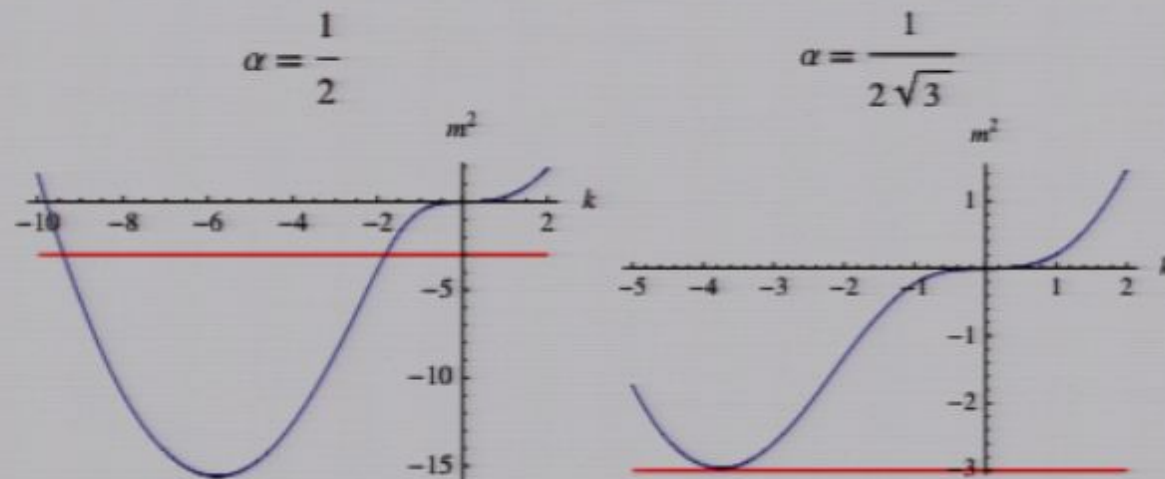
$$(\square_{AdS_2} + \partial^j \partial_j) f_i - 4\alpha E \epsilon_{ijk} \partial_j f_k + E \epsilon_{ijk} \partial_j K_k = 0,$$

$$E \square_{AdS_2} f_i + (\square_{AdS_2} + \partial^j \partial_j) \epsilon_{ijk} \partial_j K_k = 0$$

Coupling to Gravity

- masses given by ($E = 2\sqrt{6}$)

$$m^2 = \frac{1}{2} \left(2k^2 + E^2 + 4\alpha Ek \pm \sqrt{E^4 + 8\alpha E^3 k + 4(1 + 4\alpha^2)E^2 k^2} \right)$$



- $\alpha > \alpha_{\text{crit}} = 0.2896$ instability occurs

So far, near horizon analysis of extremal black holes ($T = 0$)

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Question

- Does instability persist at non-zero T ?
- Is the near-horizon analysis sufficient?

⇒ Need to analyze the full RN geometry

Consider a charged RN black hole

- Fields to be considered: gauge field and off-diagonal metric components with some amount of momentum along \mathbb{R}^3

$$f_i = \epsilon_{ijk} f_{jk}, K^i = \epsilon^{\mu\nu} \partial_\mu h_\nu^i$$

- Look for static solutions \Leftarrow onset of instability

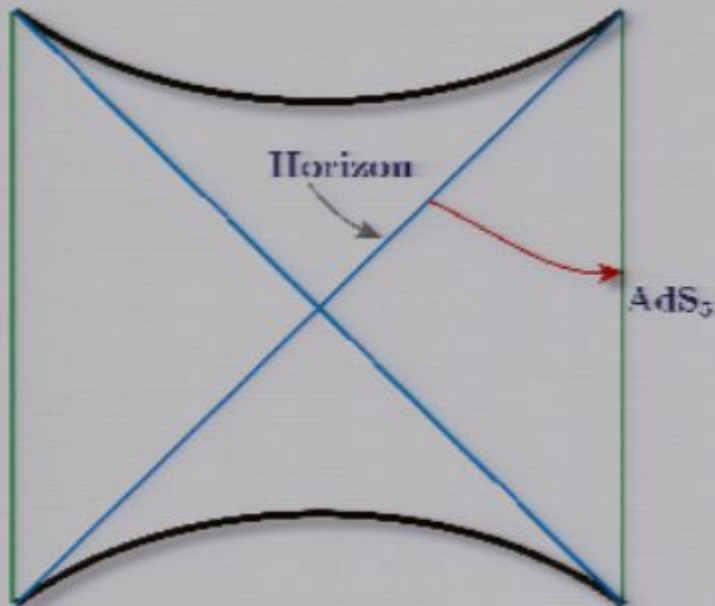
Procedure for numerical analysis

- obtain linearized equations for gauge field and off-diagonal metric (f_i and K^i)
- try to simplify \Rightarrow diagonalization possible
- start at the horizon and obtain series solutions

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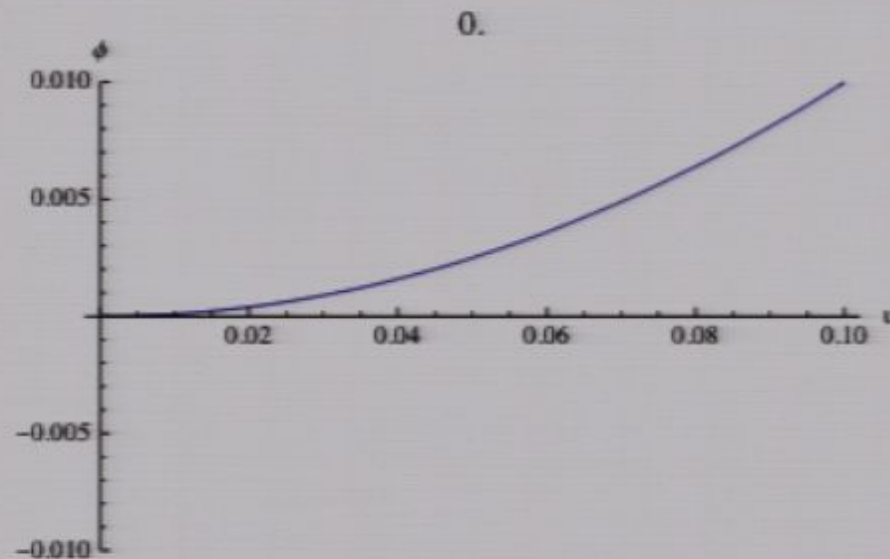
- evolve the solution to AdS_5 boundary
- evolving pattern depends on k
- generically, a solution is not normalizable, but for some k , it is

Normalizable static solutions in RN black hole

At $\alpha = 1.6\alpha_{\text{crit}}$, $T = 2.9 \times 10^{-8} \mu$

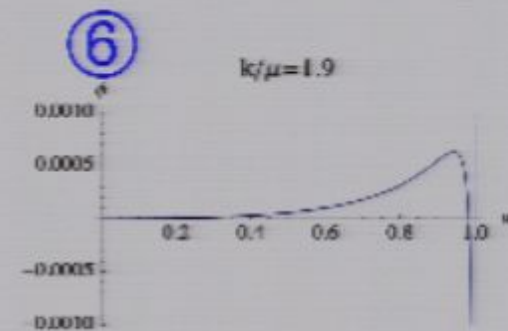
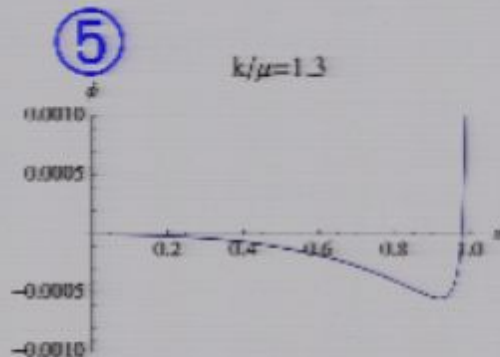
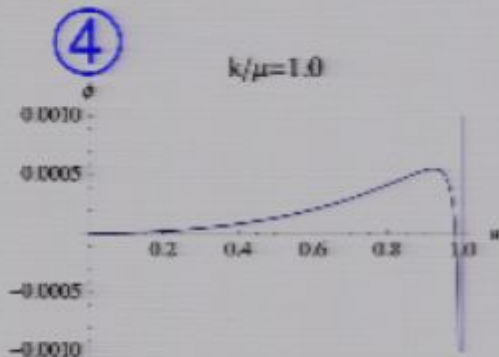
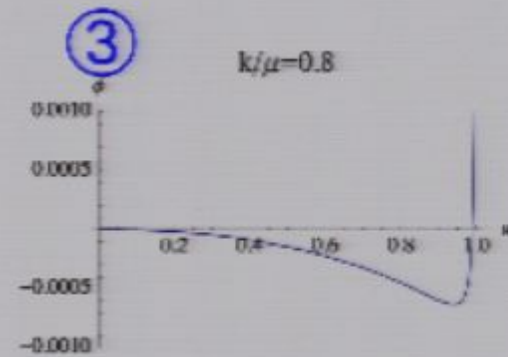
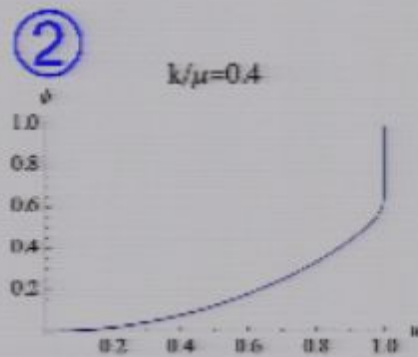
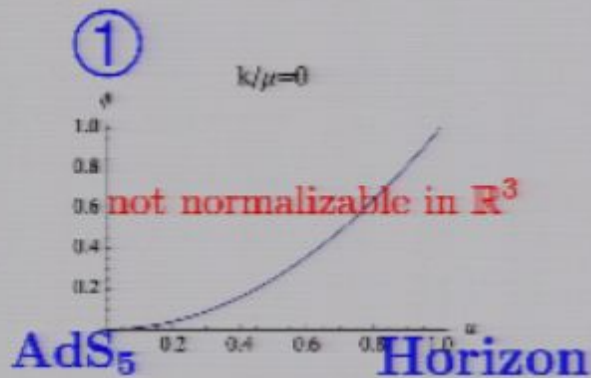
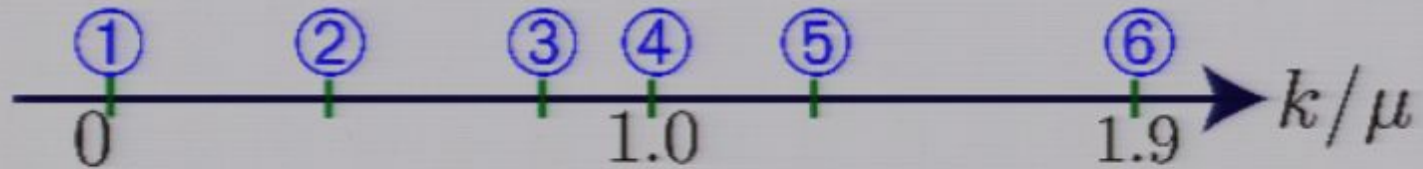
- $u = 0$: AdS_5 boundary
- $\phi = a + bu^2 + cu^2 \log u$ near AdS_5 boundary
- $\phi \rightarrow 0$ near AdS_5 boundary if normalizable

k/μ



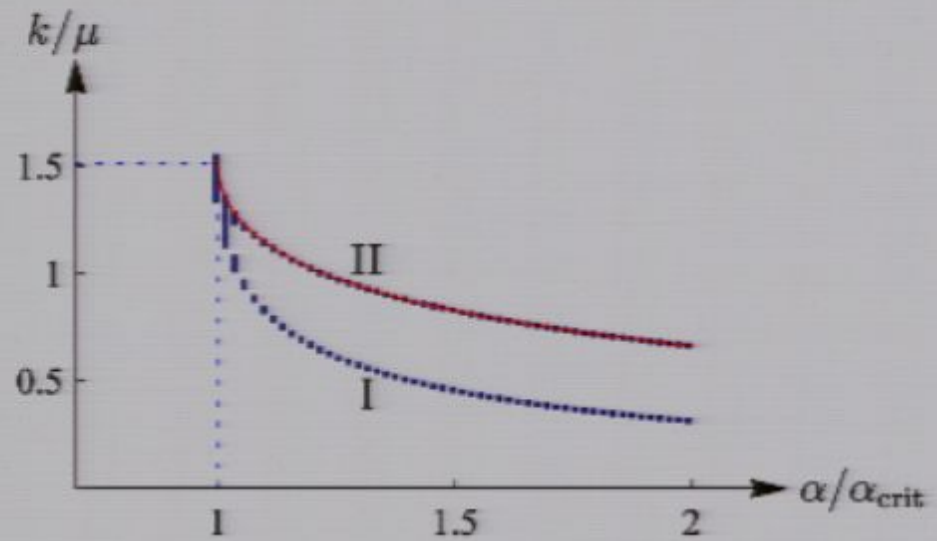
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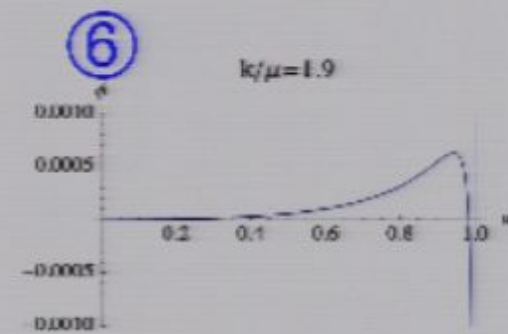
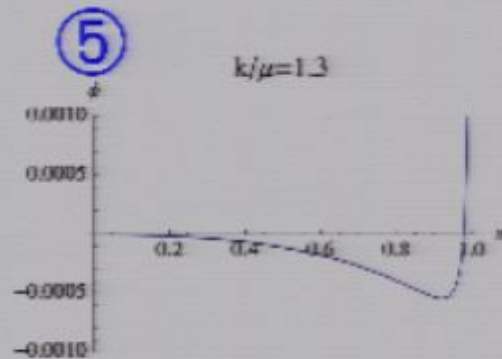
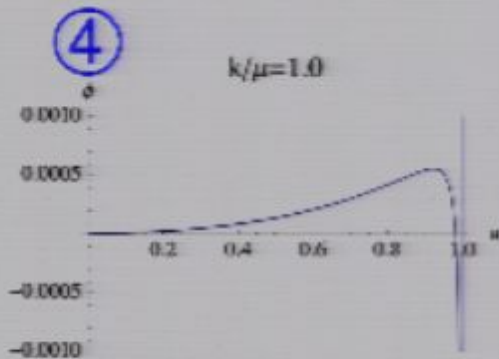
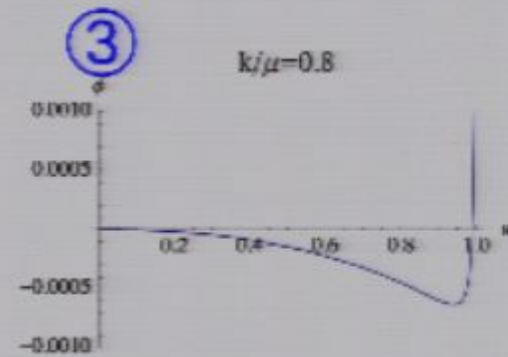
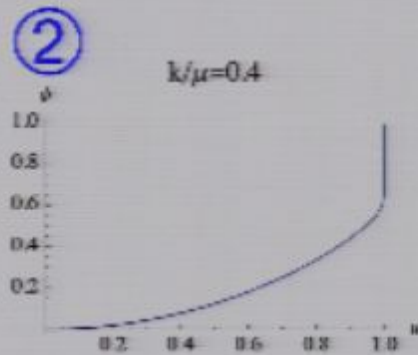
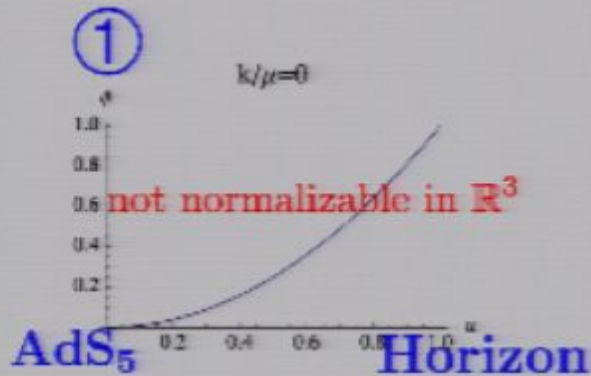
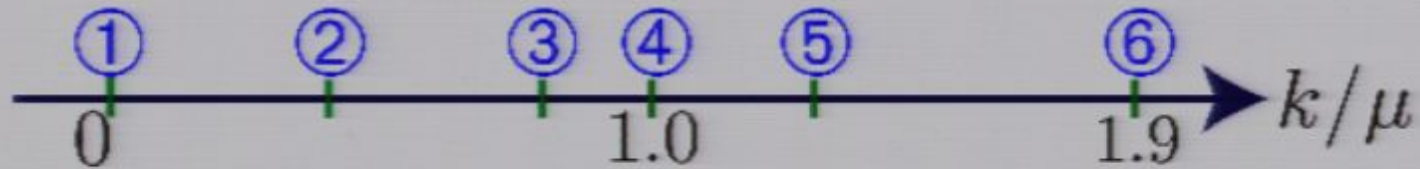
Normalizable static solutions in RN black hole

Let's vary α at $T = 0$



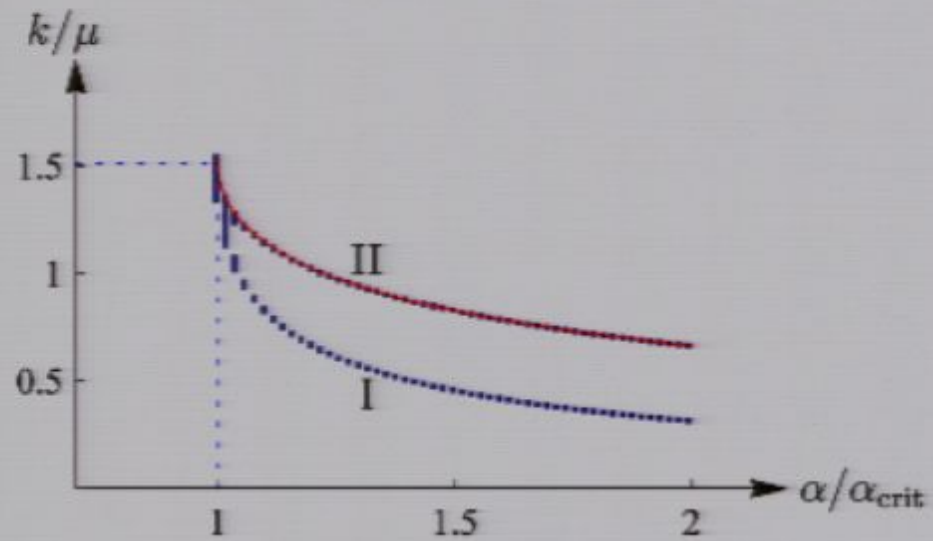
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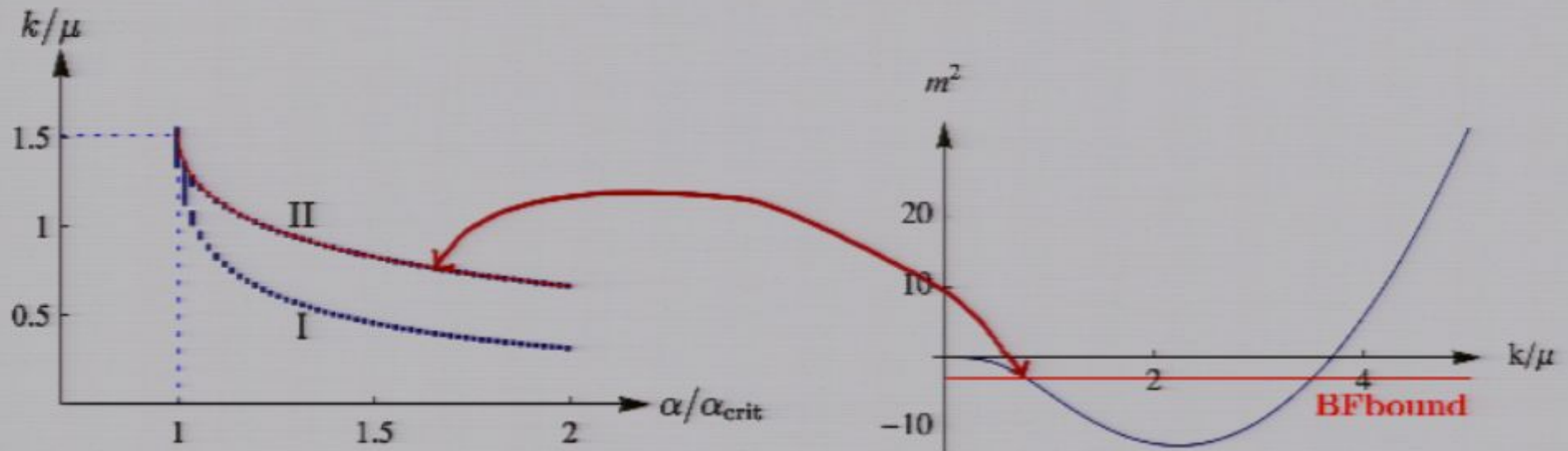
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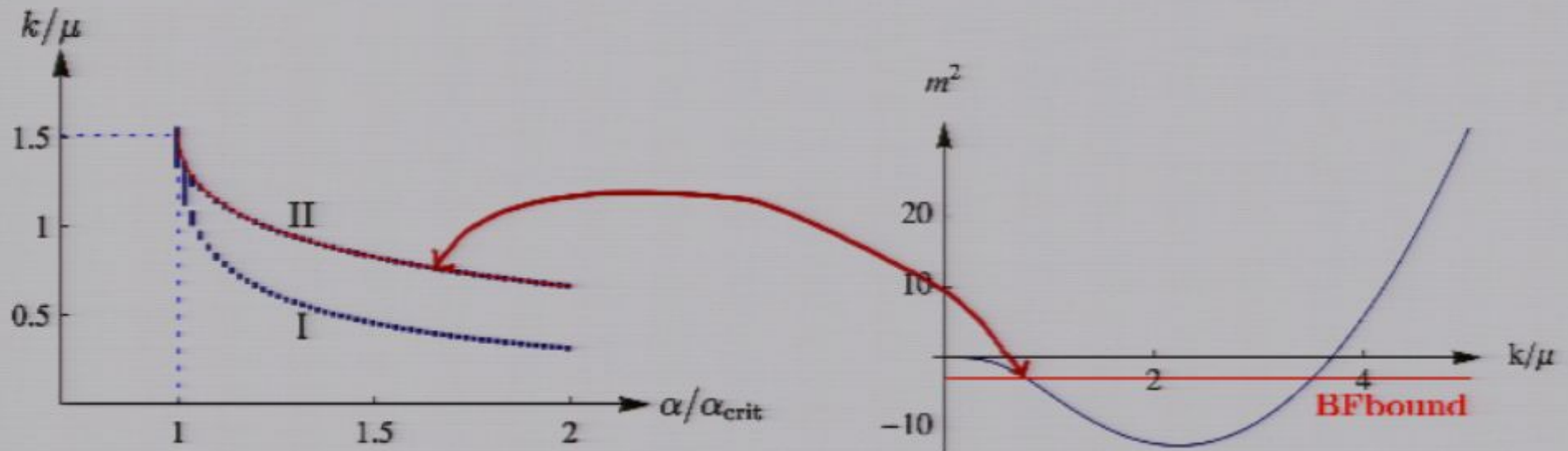
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- curve II is one end of near-horizon zero mode

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What is curve I?

Normalizable static solutions in RN black hole

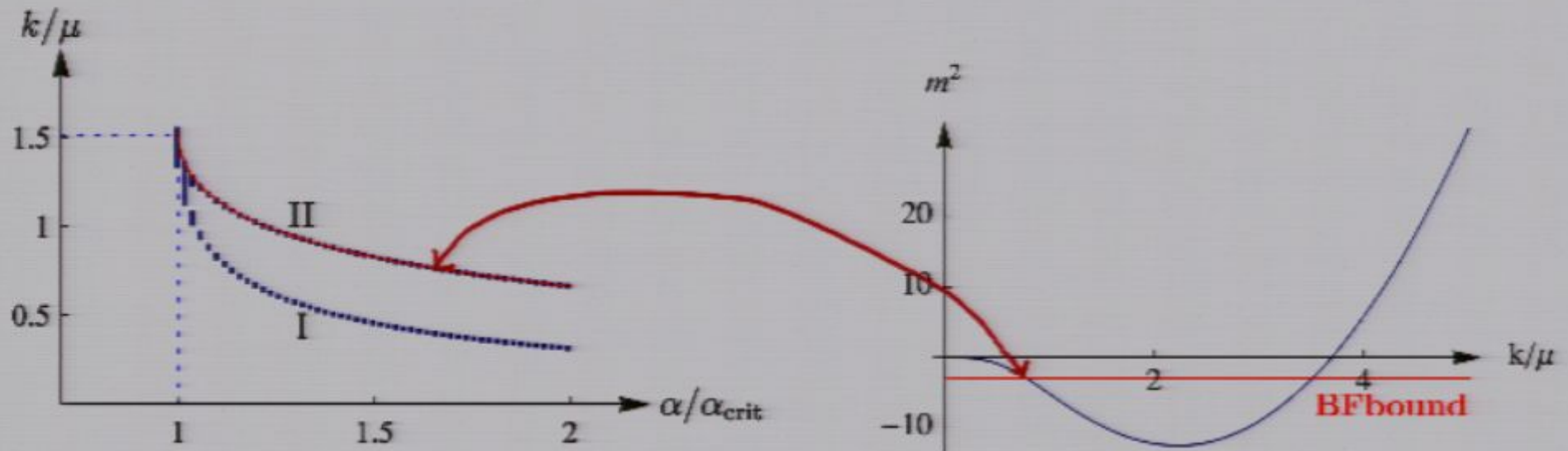
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- some static solutions appear non-normalizable near-horizon
e.g. curve I
- but the critical α_{crit} is the same

Are they really boundaries of unstable modes?

Need to turn on time dependence $e^{-i\omega t}$

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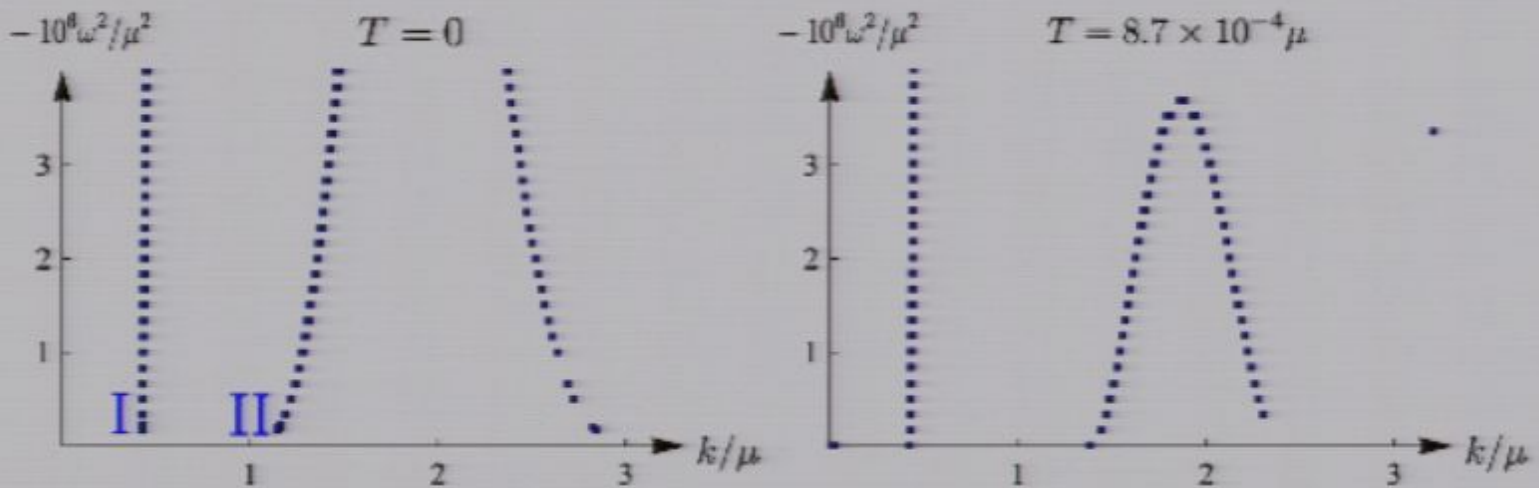
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Dispersion relations for fixed α



- $\alpha = 1.6\alpha_{\text{crit}}$
- fields $\sim e^{-i\omega t}$
- static solutions are boundaries of unstable solutions

Normalizable static solutions in RN black hole

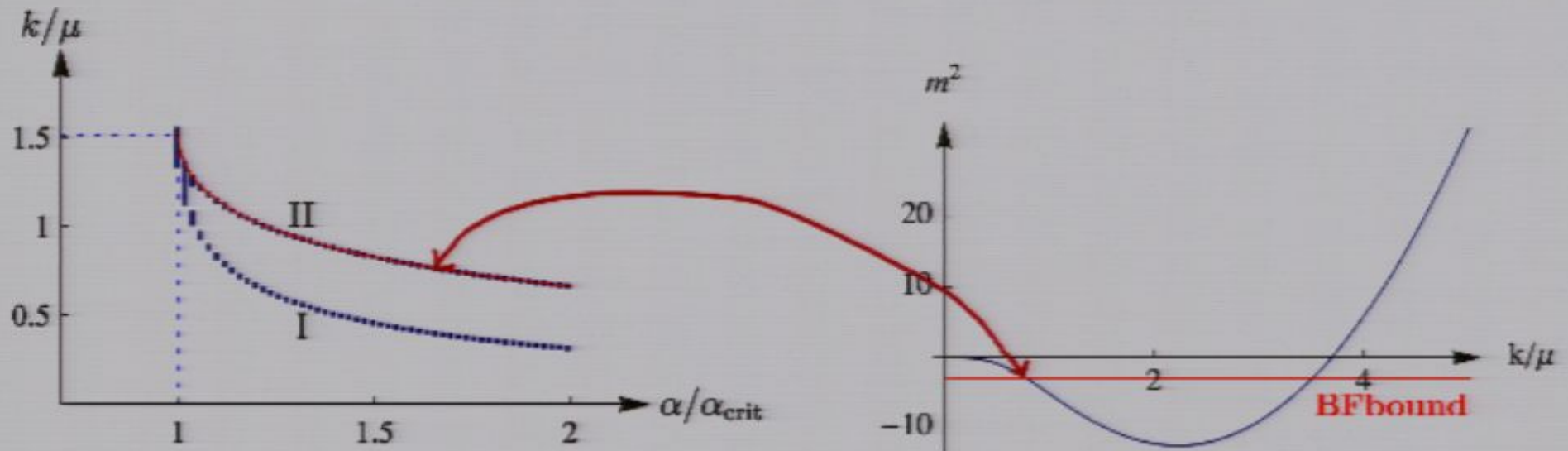
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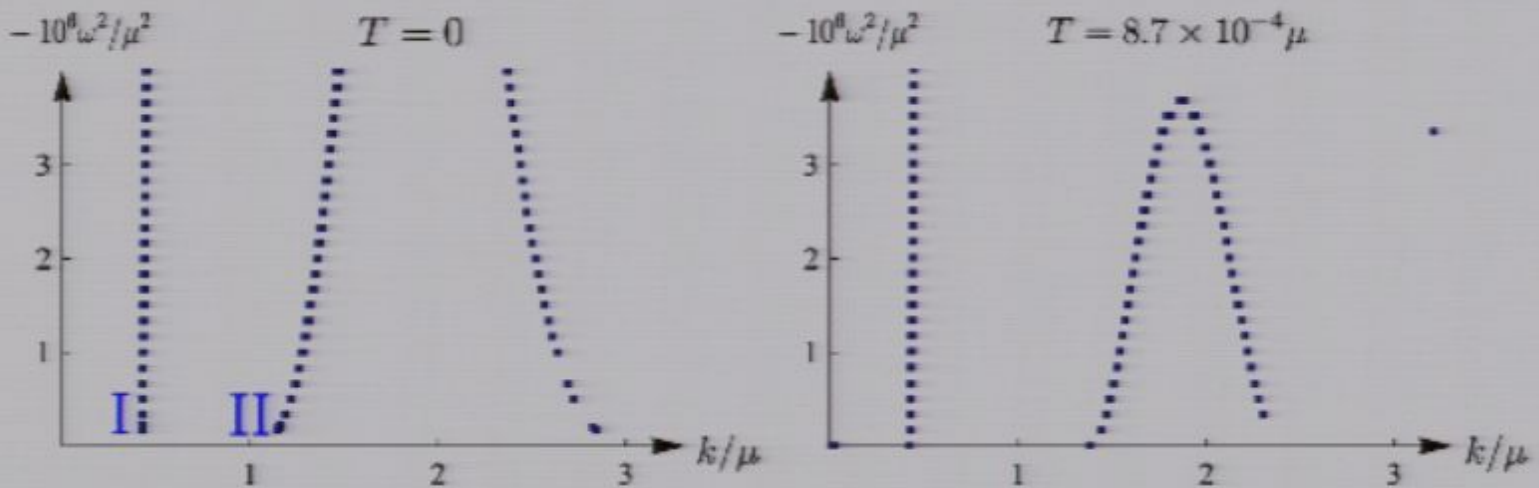
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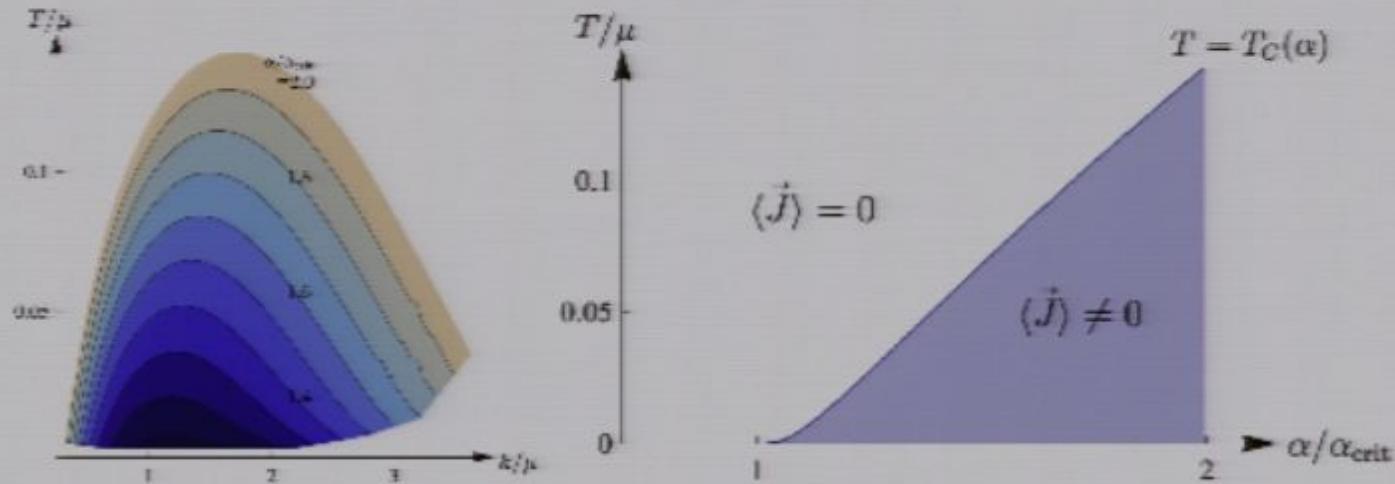
What is curve I?

Dispersion relations for fixed α



- $\alpha = 1.6\alpha_{\text{crit}}$
- fields $\sim e^{-i\omega t}$
- static solutions are boundaries of unstable solutions

Static solutions for varying α , T and k



- more unstable as α grows
- $k = 0$ excluded \Rightarrow position dependent, inhomogeneous phase

What will be the end of the instability

We found that there is an instability.
What will be the final state?

What will be the end of the instability

Participating modes

- $f_i = \frac{1}{2}\epsilon_{ijk}f_{jk}$
- $K^i = \epsilon^{\mu\nu}\partial_\mu h_\nu^i$

- unstable modes are eigenstates of $\epsilon_{ijk}\partial_j$ in \mathbb{R}^3
- $\vec{f} + \vec{K} \sim \text{Re}(\vec{u}e^{ikx})$
with $\vec{k} \times \vec{u} = \pm i|k|\vec{u}$
- helical state

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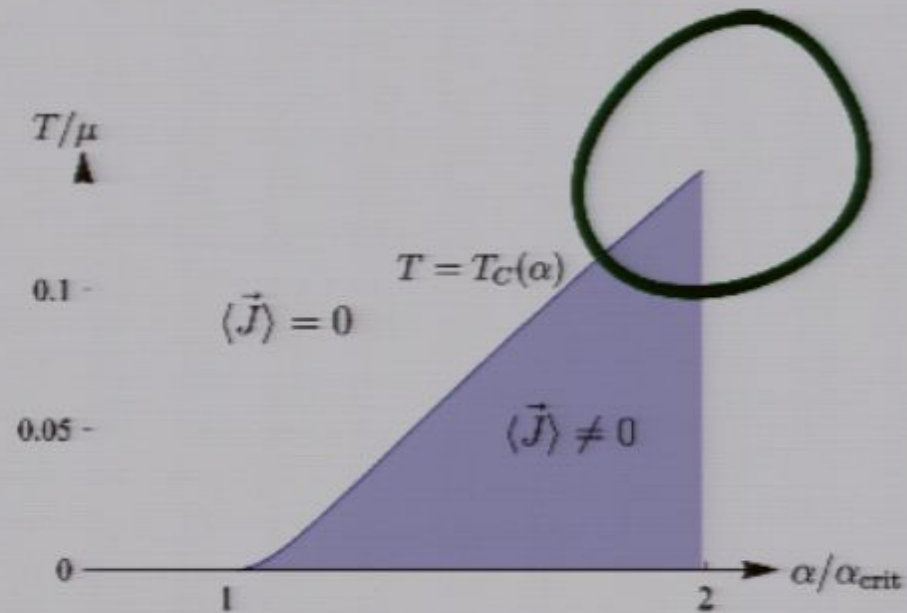
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What will be the end of the instability

Probe the region where T/μ and α scale the same.



Chance to see phase transition

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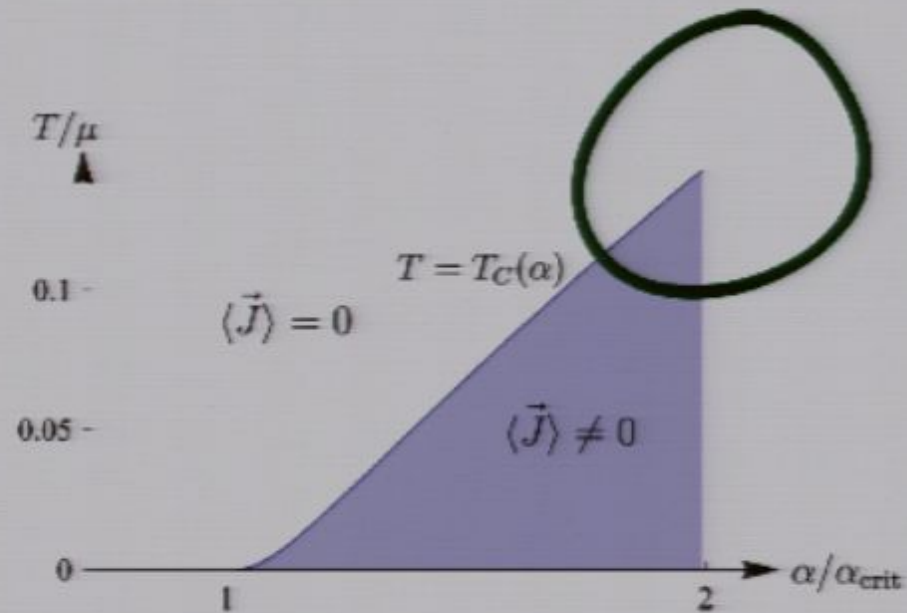
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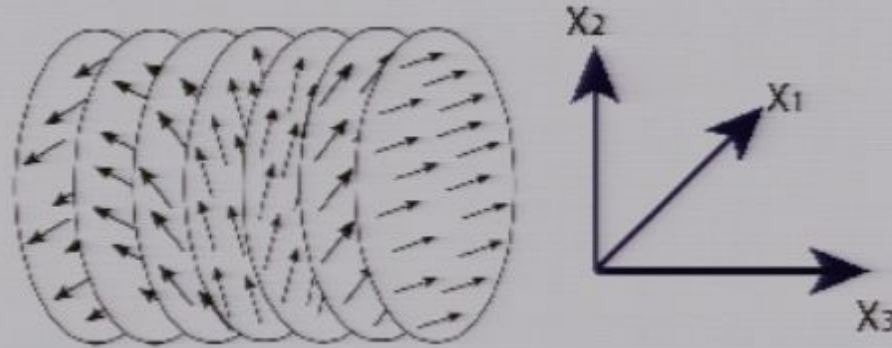
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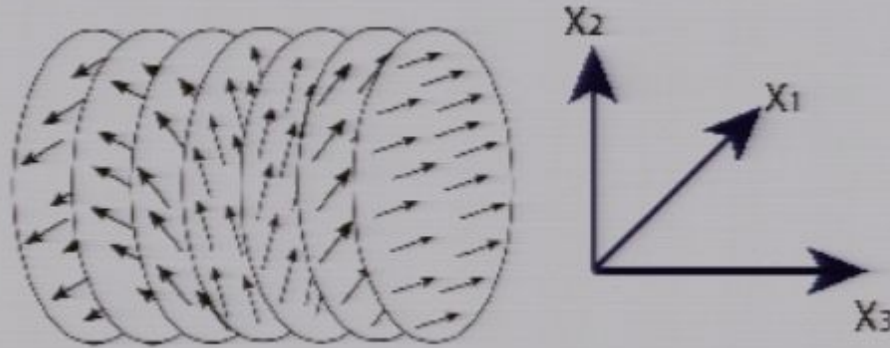
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- Invariant under P_1 , P_2 and $P_3 + kR_{12}$.

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- Instability analysis suggests a final state of the form



- Invariant under P_1, P_2 and $P_3 + kR_{12}$.
- Gauge fields: $A_t(r), A_r(r), A_1(r)$ and $A_2 + iA_3 = a(r)e^{ikx_3}$
- EOM for the bulk dual field a :

$$\partial_r [H(r)ra'(r)] - \frac{1}{r}k^2a(r) + 4\alpha k \frac{a(r)}{r^3} (E - 2\alpha ka(r)^2) = 0$$

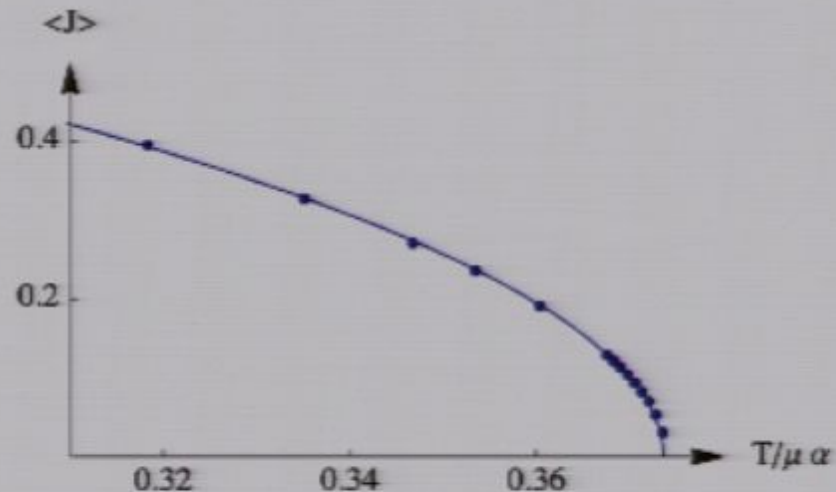
where

$$H(r) = r^2 \left[1 - \left(\frac{r_+}{r} \right)^4 \right].$$

- Choose k such that $a(r)$ is normalizable at AdS_5 boundary.

Spatially modulated phase

Expectation value of dual operator $\langle J \rangle$ to gauge field $a(r)$



$$\langle J \rangle \sim \left(1 - \frac{T}{T_C}\right)^{\frac{1}{2}}$$

- a family of solutions exists.
- only minimum energy solution is chosen.
- $1/N$ effect may change the order of phase transition.

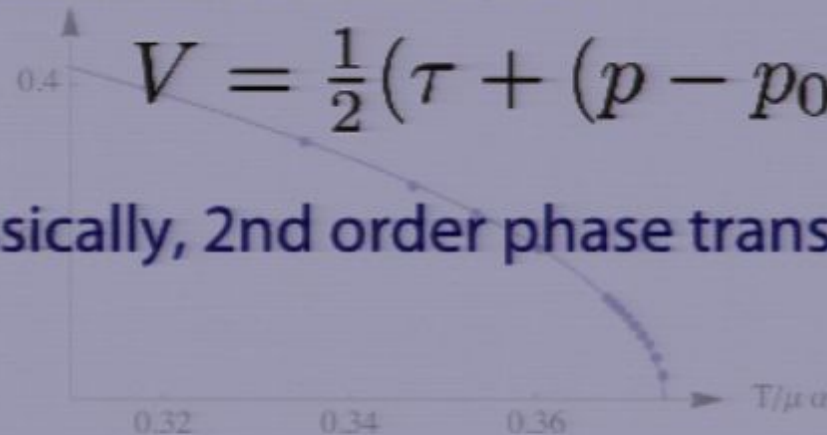
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Quantum mechanically, large number of states at $p=p_0$ makes it 1st order.

$$\langle J \rangle \sim \left(1 - \frac{T}{T_C} \right)^{\tau = -2.1}$$

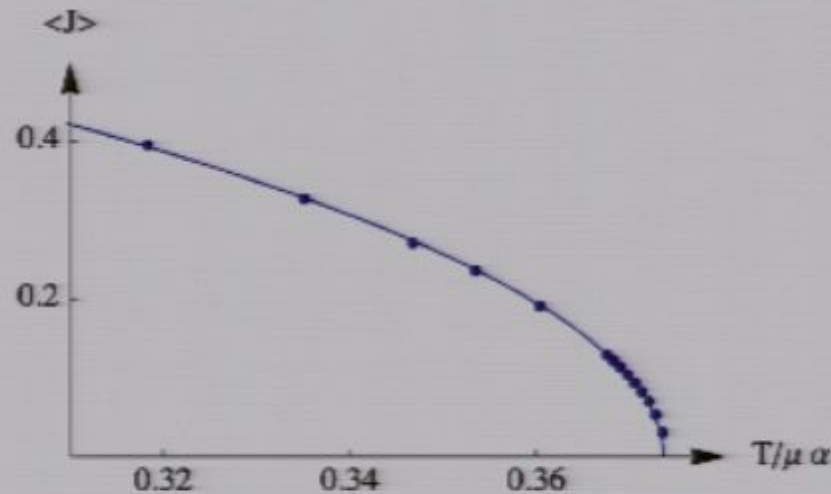
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- 4 Supergravity models
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Stability of supergravity models

Until now, α is a parameter of the theory.
Now let's fix it by considering some SUGRA examples

- the simplest model: $\mathcal{N} = 2$ minimal SUGRA model

$$\mathcal{L} = \sqrt{-g} \left(R + 12 - \frac{1}{4} F_{IJ} F^{IJ} \right) + \frac{\alpha}{3!} \epsilon^{IJKLM} A_I F_{JK} F_{LM}$$

with $\alpha = \frac{1}{2\sqrt{3}} = 0.2887$

- $\alpha_{\text{crit}} = 0.2896$

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charged BH in minimal SUGRA is barely stable

Stability of supergravity models

Consider three-charge black holes

- dimensional reduction of rotating D3-branes in 10d
- 3 charges from the 3 rotation directions
- additional matter fields couple to gauge fields \Rightarrow can be ignored in linear analysis
- instead of 1, there are 3 gauge fields that couple to off-diagonal metric
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extremal three-charge BH near horizon is stable

Stability of supergravity models

Other models?

- CS-couplings $\frac{1}{24\pi^2} \int c_{IJK} A^I \wedge F^J \wedge F^K \Leftrightarrow$ triangle anomalies
- c_{IJK} determined by toric data for toric Sasaki-Einstein manifold [Benvenuti, Pando Zayas, Tachikawa](#)
- gauge coupling τ_{IJ} determined from the geometry also
- possibility to find a supersymmetric model with unstable modes
- or, maybe there is a constraint that forbids to violate stability bound

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Summary

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Position dependent vev of \vec{J} dual field theory

- vector modes get vev
- mean field type, 2nd order phase transition

Gravity Dual of Spatially Modulated Phase

Chang-Soon Park (CALTECH)

[arXiv:0911.0679](#) (S. Nakamura, H. Ooguri, CP)
and work in progress with H. Ooguri

Perimeter Institute – May 11, 2010

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