

Title: Mechanisms of Asymptotic Safety

Date: Nov 05, 2009 03:00 PM

URL: <http://pirsa.org/09110042>

Abstract:

# Mechanisms of Asymptotic Safety

Holger Gies

Friedrich Schiller University Jena



Gravity  
Extra-Dim YM  
Fermionic SM  
Conformal VEV

& Astrid Eichhorn, Michael Scherer  
& Joerg Jaeckel, Christof Wetterich  
& Stefan Rechenberger, Michael Scherer

arXiv:0907.1828, PRD in press  
PRD 68, 085015 (2003)  
PRD 69, 105008 (2004)  
arXiv:0901.2459, 0907.0327

# Challenges, Riddles, Problems . . .

# Challenges, Riddles, Problems ...

- quantum field theory  $\longleftrightarrow$  gravity  
(non-renormalizability ?)
- triviality problems in the SM  
(Higgs sector & U(1) gauge)
- hierarchy problems  
(gauge hierarchy, cosmological coincidence)
- $\simeq$  fine-tuning problems  
(Higgs mass, cosmological constant)
- abundance of parameters in the SM  
(large mass hierarchies, origin of flavor physics)
- $D = 4 = D_{\text{RG, cr}}$

# QFT $\leftrightarrow$ Gravity

(GOROFF, SAGNOTTI '85'86; VAN DE VEN '92)

▷ perturbative quantization fails

$$\Gamma_{\text{div}}^{\text{2-loop}} = \frac{1}{\epsilon} \frac{209}{2880} \frac{1}{(16\pi^2)^2} \int d^4x \sqrt{g} C_{\mu\nu\rho\sigma} C^{\rho\sigma\lambda\tau} C_{\lambda\tau}{}^{\mu\nu}$$



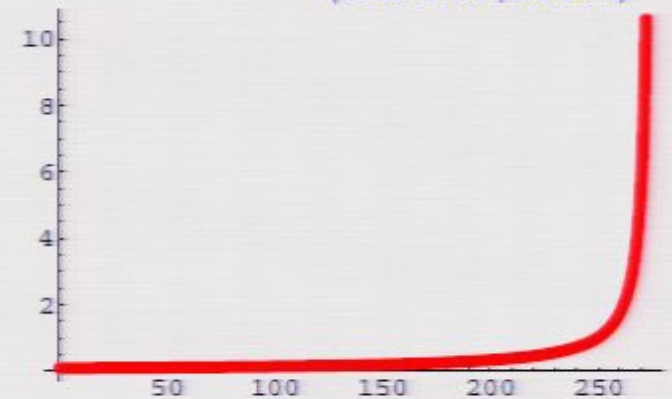
# Triviality problem

- ▷ QED: perturbation theory predicts its own failure

(LANDAU'55)

(GELL-MANN, LOW'54)

$$\frac{1}{e_R^2} - \frac{1}{e_\Lambda^2} = \beta_0 \ln \frac{\Lambda}{m_R}, \quad \beta_0 = \frac{N_f}{6\pi^2}$$



- ▷  $e_R^2$  and  $m_R$  fixed:

$$\Rightarrow \Lambda_L \simeq m_R \exp\left(\frac{1}{\beta_0} e_R\right) \simeq 10^{272} \text{GeV (2 loop)}$$

Landau pole singularity

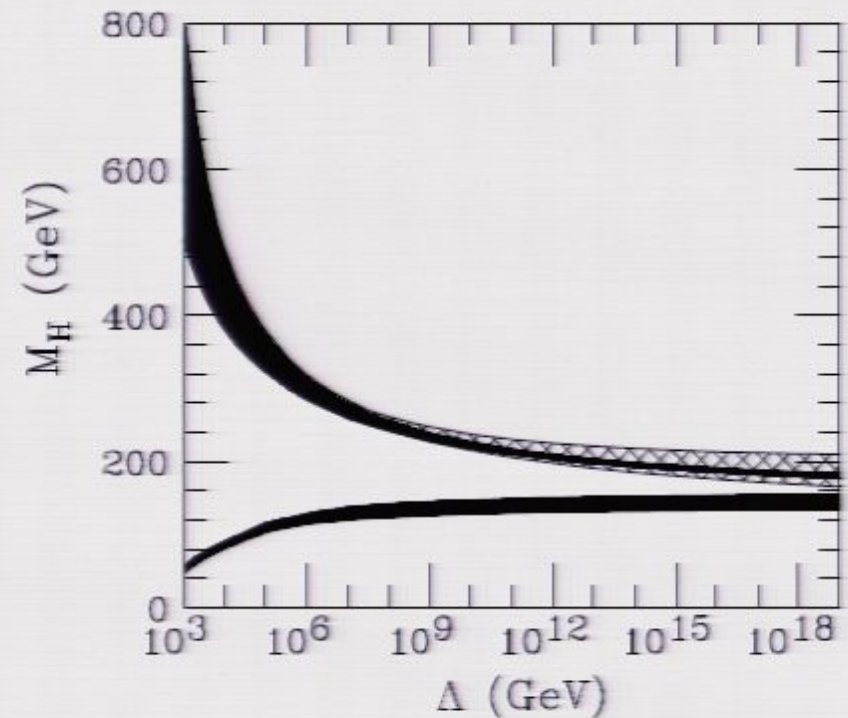
- ▷  $\lim (\Lambda/m_R) \rightarrow \infty: \quad \Rightarrow \quad e_R \rightarrow 0$

Triviality

# Triviality problem

⇒ ... scale of maximal UV extension

▷ triviality of the scalar Higgs sector:



(HAMBYE, RIESELNANN'97)

⇒ SM Higgs mass bounds from Landau pole position

# Hierarchy problem $\Lambda_{UV} \gg \Lambda_{EW}$

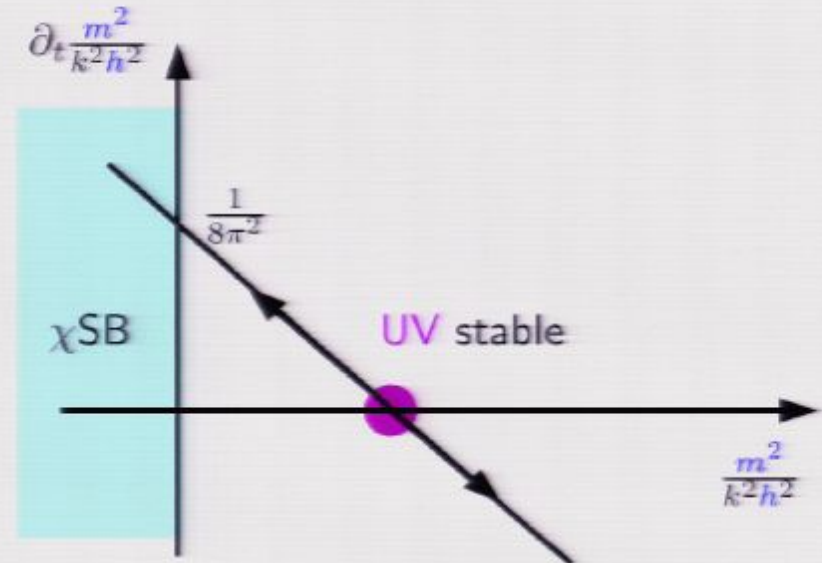
▷ renormalization of the scalar mass (e.g.,  $\Lambda_{UV} = 10^{16} \text{ GeV}$ )

$$\underbrace{m_R^2}_{\sim 10^4 \text{ GeV}^2} \sim \underbrace{m_\Lambda^2}_{\sim 10^{32} \left(1 + \dots 10^{-28}\right) \text{ GeV}^2} - \underbrace{\delta m^2}_{\sim 10^{32} \text{ GeV}^2}$$

▷ RG viewpoint ( $\partial_t = k \frac{d}{dk}$ )

e.g., Yukawa theory:

$$\partial_t \frac{m^2}{k^2 h^2} = -2 \frac{m^2}{k^2 h^2} + \frac{1}{8\pi^2}$$



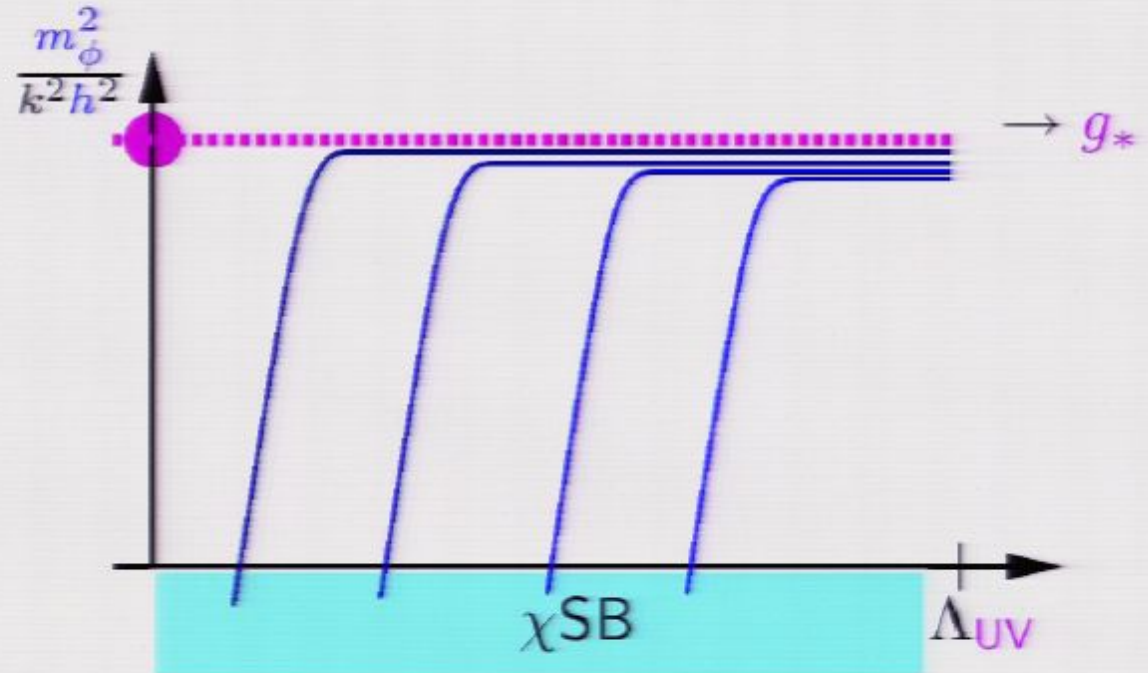


## ... $\simeq$ Finetuning Problem

▷ “coupling”:  $g := \frac{m^2}{h^2 k^2}$ ,      “ $\beta$  function”:  $\partial_t g = \beta(g)$

▷ critical exponent  $\Theta$

$$\Theta = - \frac{\partial \beta(g_*)}{\partial g} = 2$$



$\Rightarrow$   $\Theta \sim$  measure for the required finetuning

e.g., cosmological constant near the Gaussian fixed point:  $\Theta = 4$

# Abundance of Parameters

Parameter	Input value	Free in fit	Results from global EW fits:		Complete fit w/o exp. input in line
			Standard fit	Complete fit	
$M_Z$ [GeV]	$91.1875 \pm 0.0021$	yes	$91.1874 \pm 0.0021$	$91.1876 \pm 0.0021$	$91.1974^{+0.0191}_{-0.0129}$
$\Gamma_Z$ [GeV]	$2.4952 \pm 0.0023$	-	$2.4950 \pm 0.0015$	$2.4956 \pm 0.0015$	$2.4952^{+0.0017}_{-0.0016}$
$\sigma_{had}^0$ [nb]	$41.540 \pm 0.037$	-	$41.478 \pm 0.014$	$41.478 \pm 0.014$	$41.469 \pm 0.015$
$R_e^0$	$20.767 \pm 0.025$	-	$20.742 \pm 0.018$	$20.741 \pm 0.018$	$20.717 \pm 0.027$
$A_{FB}^{0,\ell}$	$0.0171 \pm 0.0010$	-	$0.01638 \pm 0.0002$	$0.01624 \pm 0.0002$	$0.01617^{+0.0002}_{-0.0001}$
$A_e$ (*)	$0.1499 \pm 0.0018$	-	$0.1478 \pm 0.0010$	$0.1472^{+0.0009}_{-0.0008}$	-
$A_c$	$0.670 \pm 0.027$	-	$0.6682^{+0.0013}_{-0.0011}$	$0.6679^{+0.0012}_{-0.0010}$	$0.6679^{+0.0011}_{-0.0010}$
$A_b$	$0.923 \pm 0.020$	-	$0.93469 \pm 0.00010$	$0.93463^{+0.0007}_{-0.0008}$	$0.93463^{+0.0007}_{-0.0008}$
$A_{FB}^{0,c}$	$0.0707 \pm 0.0035$	-	$0.0741^{+0.0006}_{-0.0005}$	$0.0737 \pm 0.0005$	$0.0737 \pm 0.0005$
$A_{FB}^{0,b}$	$0.0993 \pm 0.0016$	-	$0.1036 \pm 0.0007$	$0.1032^{+0.0007}_{-0.0008}$	$0.1037^{+0.0004}_{-0.0005}$
$R_s^0$	$0.1721 \pm 0.0030$	-	$0.17225 \pm 0.00006$	$0.17225 \pm 0.00006$	$0.17225 \pm 0.00006$
$R_b^0$	$0.21629 \pm 0.00066$	-	$0.21678 \pm 0.00005$	$0.21677 \pm 0.00005$	$0.21677 \pm 0.00005$
$\sin^2 \theta_{eff}^{\ell}(Q_{FB})$	$0.2324 \pm 0.0012$	-	$0.23142 \pm 0.00013$	$0.23151^{+0.00011}_{-0.00012}$	$0.23149^{+0.00013}_{-0.00010}$
$M_H$ [GeV] (a)	Likelihood ratios	yes	$83^{+30[+75]}_{-22[-41]}$	$116^{+15.6[+36.5]}_{-1.3[-2.2]}$	$83^{+30[+75]}_{-22[-41]}$
$M_W$ [GeV]	$80.399 \pm 0.023$	-	$80.384^{+0.014}_{-0.015}$	$80.371^{+0.008}_{-0.011}$	$80.361^{+0.013}_{-0.012}$
$\Gamma_W$ [GeV]	$2.098 \pm 0.048$	-	$2.092^{+0.001}_{-0.002}$	$2.092 \pm 0.001$	$2.092 \pm 0.001$
$\bar{m}_c$ [GeV]	$1.25 \pm 0.09$	yes	$1.25 \pm 0.09$	$1.25 \pm 0.09$	-
$\bar{m}_b$ [GeV]	$4.20 \pm 0.07$	yes	$4.20 \pm 0.07$	$4.20 \pm 0.07$	-
$m_t$ [GeV]	$173.1 \pm 1.3$	yes	$173.3 \pm 1.2$	$173.6 \pm 1.2$	$179.5^{+8.8}_{-5.2}$
$\Delta \alpha_{had}^{(5)}(M_Z^2)$ (†‡)	$2765 \pm 22$	yes	$2772 \pm 22$	$2764^{+22}_{-21}$	$2733^{+37}_{-53}$
$\alpha_s(M_Z^2)$	-	yes	$0.1192^{+0.0028}_{-0.0027}$	$0.1193 \pm 0.0028$	$0.1193 \pm 0.0028$
$\delta_{th} M_W$ [MeV]	$[-4, 4]_{th\&exp}$	yes	4	4	-
$\delta_{th} \sin^2 \theta_{eff}^{\ell}$ (†)	$[-4.7, 4.7]_{th\&exp}$	yes	4.7	0.8	-
$\delta_{th} \rho_{\Sigma}^f$ (†)	$[-2, 2]_{th\&exp}$	yes	2	2	-
$\delta_{th} \kappa_S^f$ (†)	$[-2, 2]_{th\&exp}$	yes	2	2	-

SM parameters  
from  
EW precision data

[GFITTER]

SUSY > 100

Strings >

(\*) Average of LEP ( $A_e = 0.1465 \pm 0.0033$ ) and SLD ( $A_e = 0.1513 \pm 0.0021$ ) measurements. The complete fit with the LEP (SLD) measurement gives  $A_e = 0.1473 \pm 0.0009$  ( $A_e = 0.1455^{+0.0007}_{-0.0010}$ ). (a) In brackets the  $2\sigma$ . (†) In units of  $10^{-5}$ . (‡) Rescaled due to  $\alpha_s$  dependency.



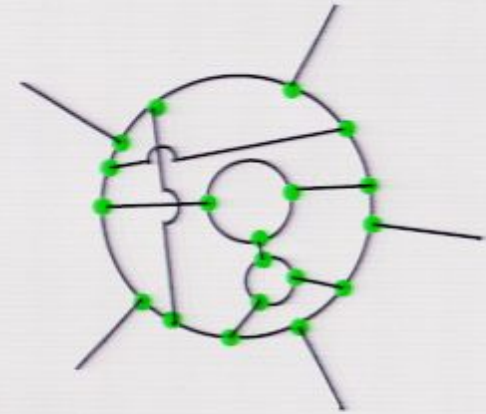
# Spacetime Dimensionality

▷ (perturbative) QFT:

$$\delta(\gamma) = d - \sum_i n_{E_i}[\phi_i] + \sum_\alpha n_{V_\alpha} \delta(V_\alpha)$$

⇒ RG critical dimension:

$$D_{\text{RG, cr}} = \begin{cases} 4 & \text{(gauge + matter, Yukawa/Higgs)} \\ 2 & \text{(gravity, pure fermionic matter)} \end{cases}$$



▷ (macroscopic) universe:

$$D = 4$$



*"It is not known whether the fact that space time has just four dimensions is a mere coincidence or*

*“I know of only one promising approach to this problem . . .”*

(S. WEINBERG, IN “CRITICAL PHENOMENA FOR FIELD THEORISTS” (1976))



# Asymptotic Safety

# Necessity of Renormalizability

- IR physics well separated from UV physics  
(... cutoff  $\Lambda$  independence)
  - # of physical parameters  $\Delta < \infty$  ... or countably  $\infty$   
(... predictive power)
- $\implies$  realized by perturbative RG ...
- $\implies$  ... and by “Asymptotic Safety”

(WEINBERG '76)

# Necessity of Renormalizability

- IR physics well separated from UV physics  
 (... cutoff  $\Lambda$  independence)

- # of physical parameters  $\Delta < \infty$  ... or countably  $\infty$   
 (... predictive power)

$\Rightarrow$  realized by perturbative RG ...

$\Rightarrow$  ... and by "Asymptotic Safety"

(WEINBERG'76)

# Necessity of Renormalizability

- IR physics well separated from UV physics

(... cutoff  $\Lambda$  independence)

- # of physical parameters  $\Delta < \infty$

...or countably  $\infty$

(... predictive power)



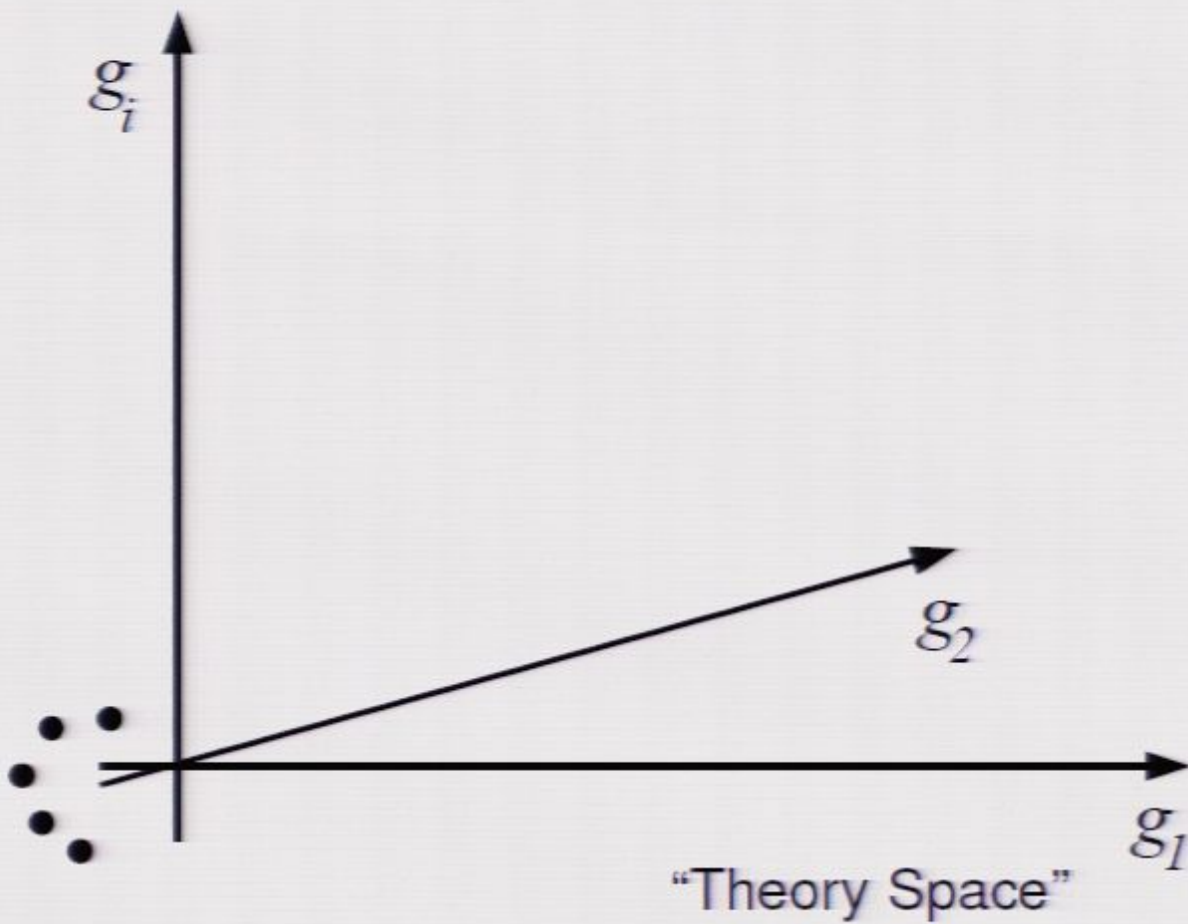
$\Rightarrow$  realized by perturbative RG ...

$\Rightarrow$  ... and by “Asymptotic Safety”

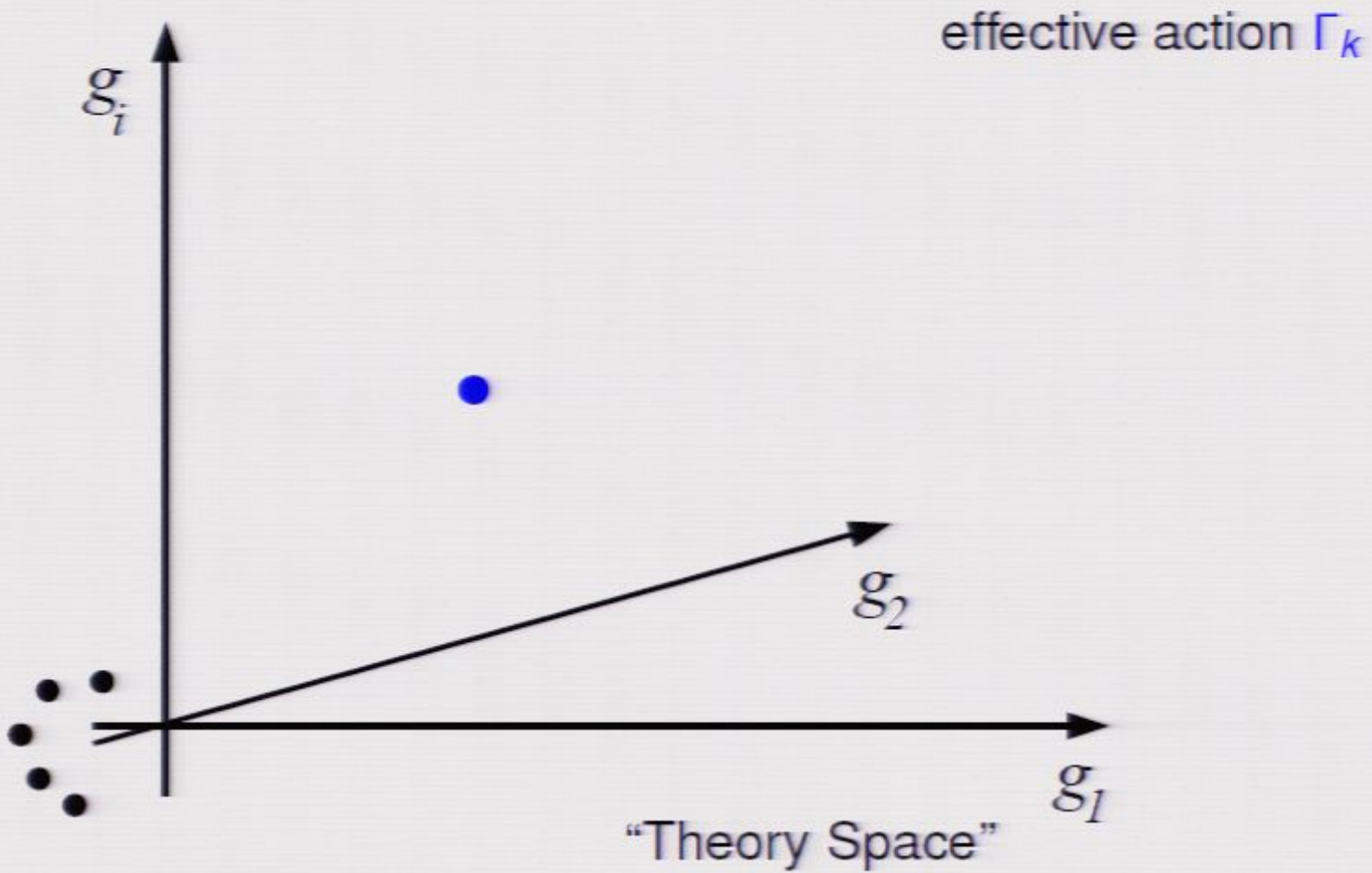
(WEINBERG '76)



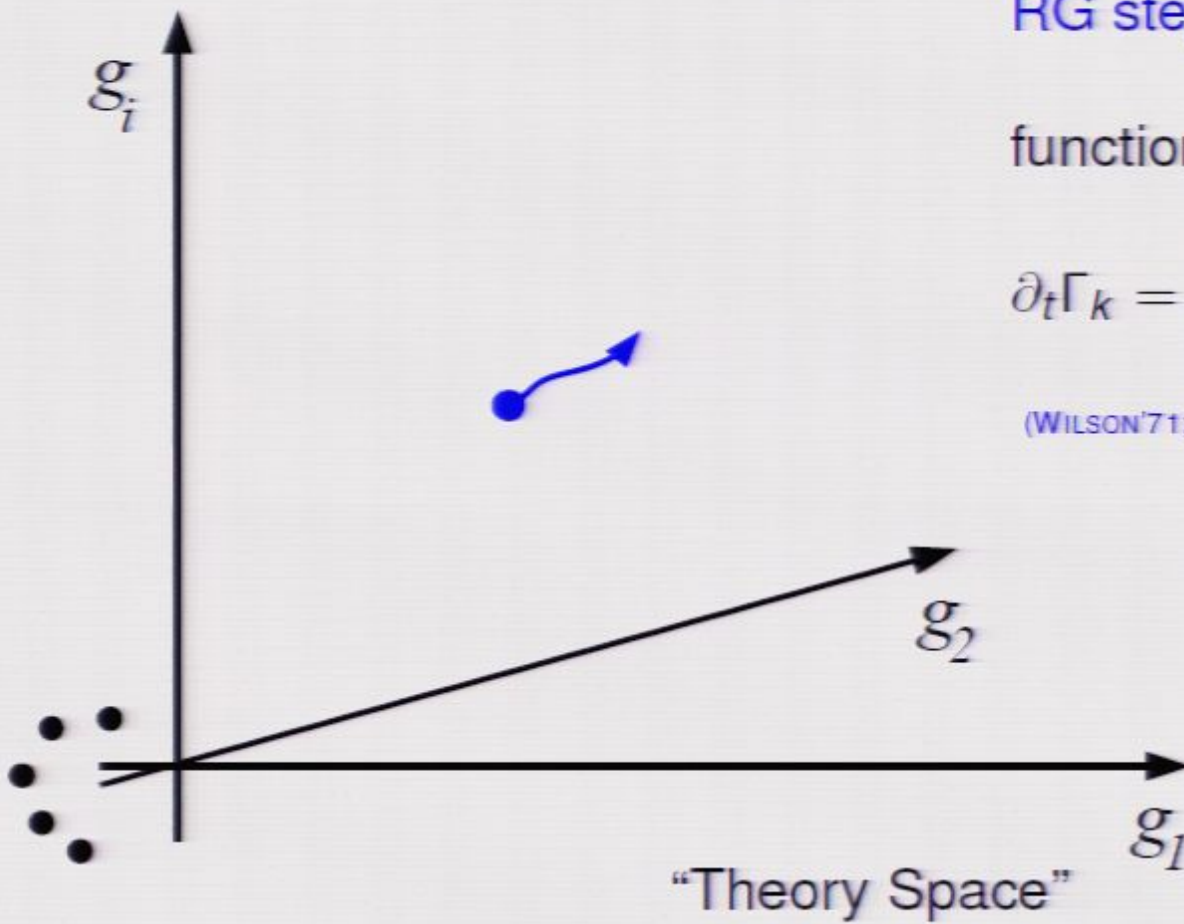
# Asymptotic Safety



# Asymptotic Safety



# Asymptotic Safety



RG step

functional RG: (WETTERICH'93)

$$\partial_t \Gamma_k = \frac{1}{2} \text{Tr} \partial_t R_k (\Gamma_k^{(2)} + R_k)^{-1}$$

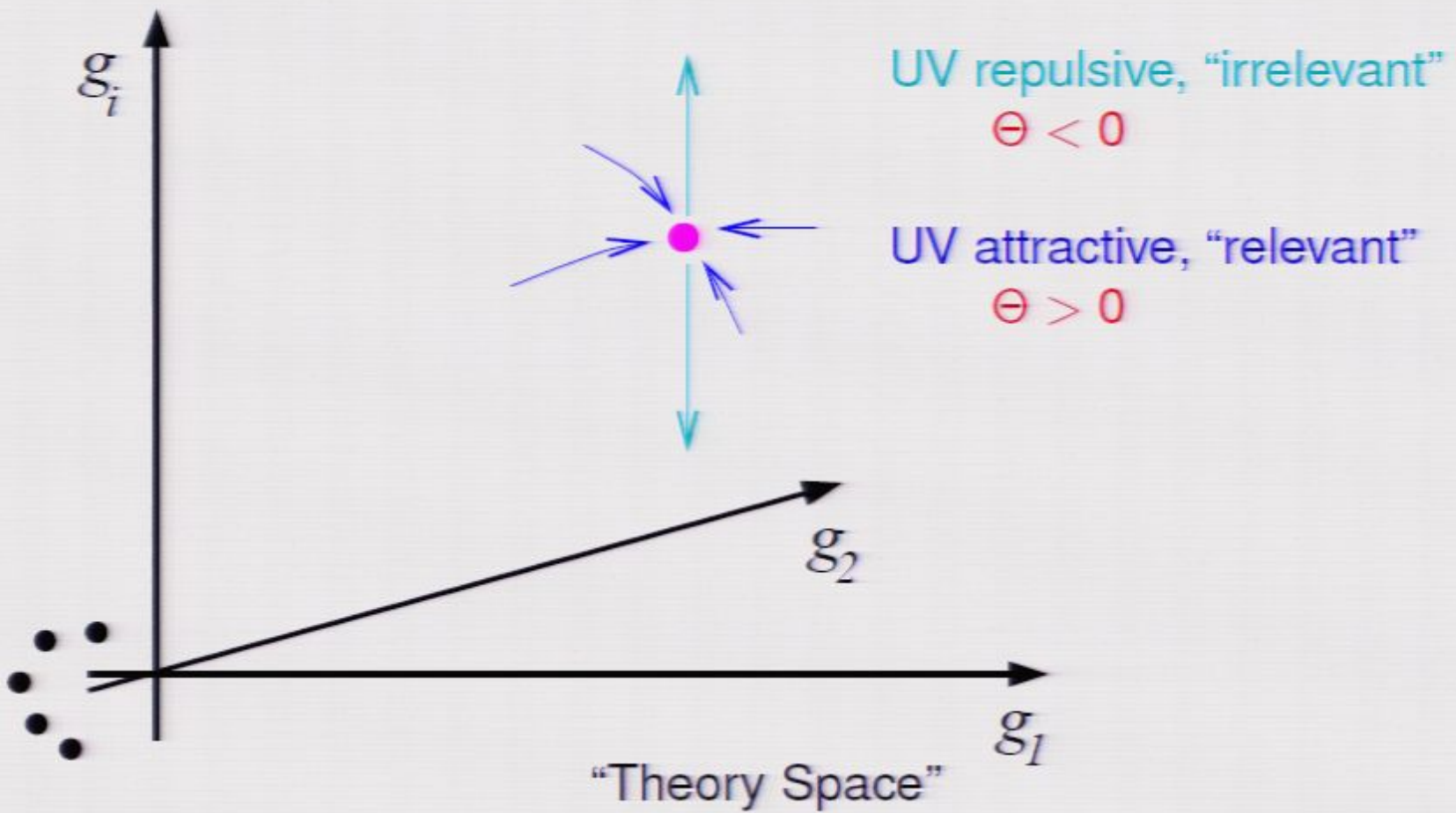
(WILSON'71; WEGNER, HOUGHTON'73; POLCHINSKI'84)

# Asymptotic Safety

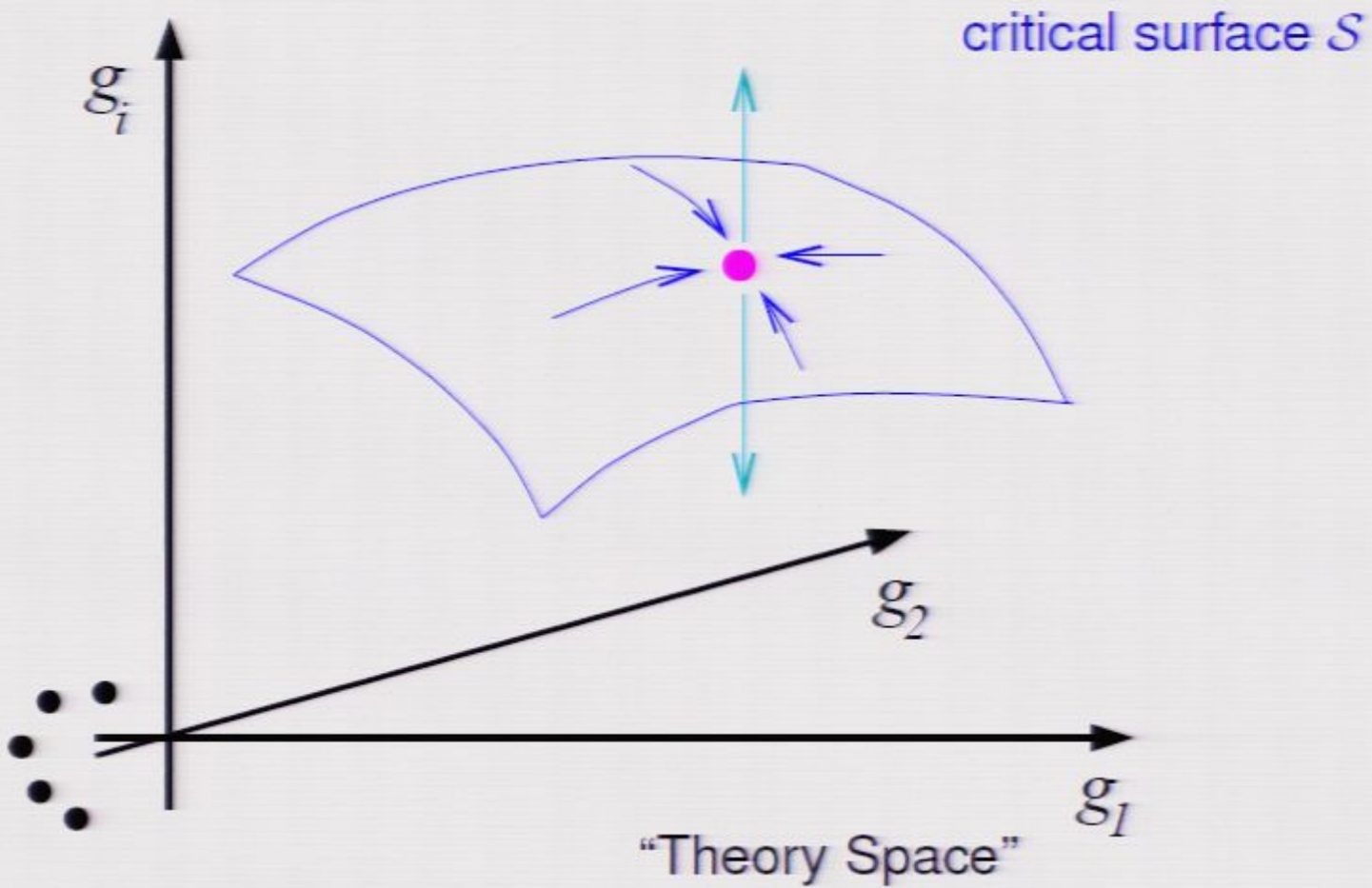




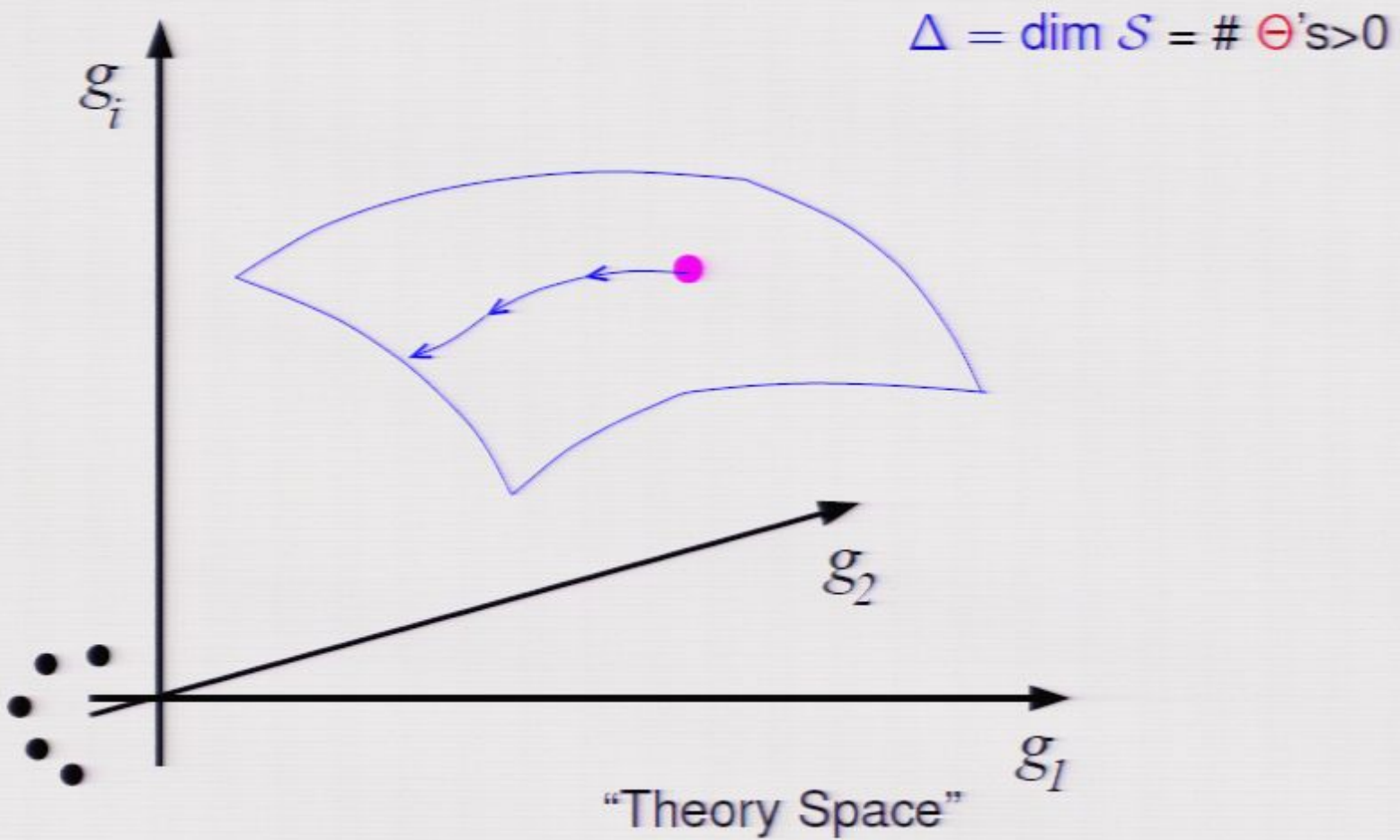
# Asymptotic Safety



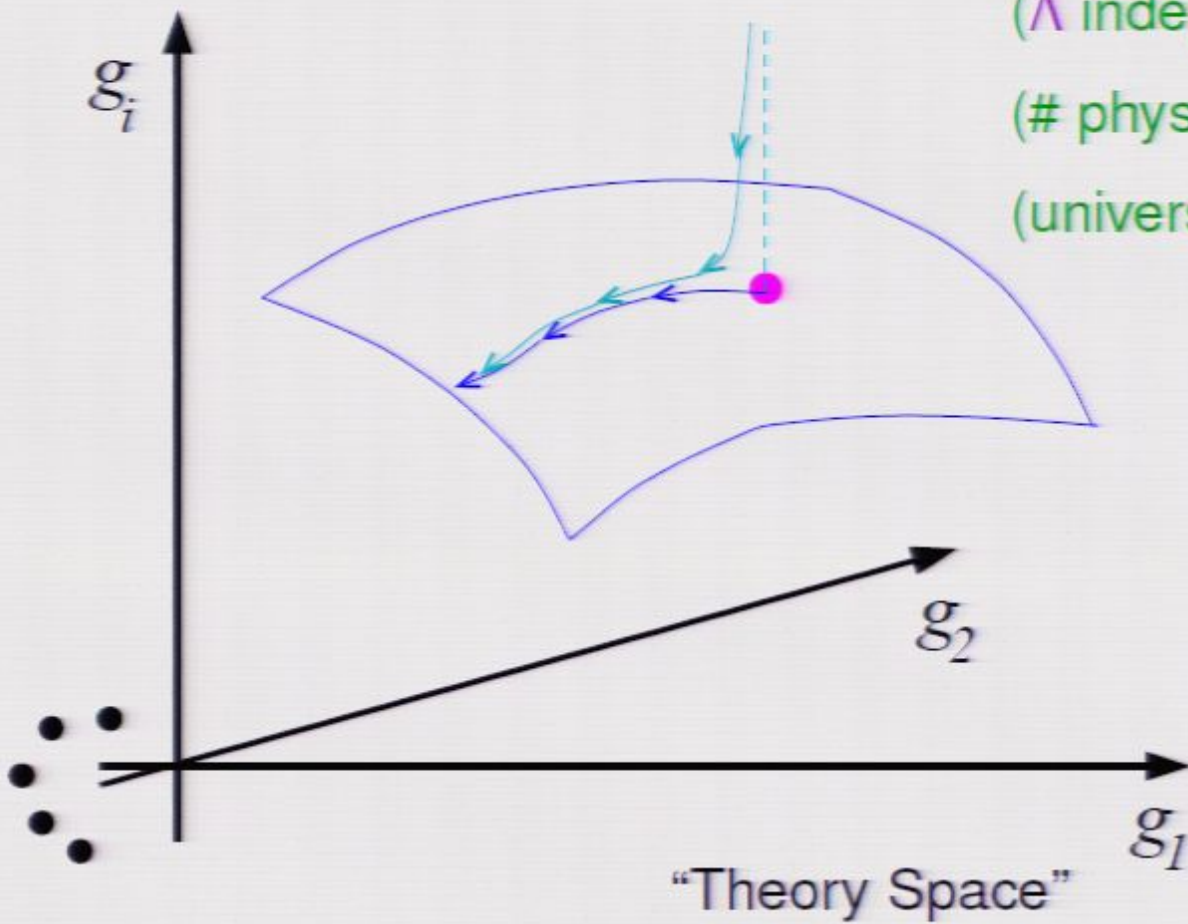
# Asymptotic Safety



# Asymptotic Safety



# Asymptotic Safety



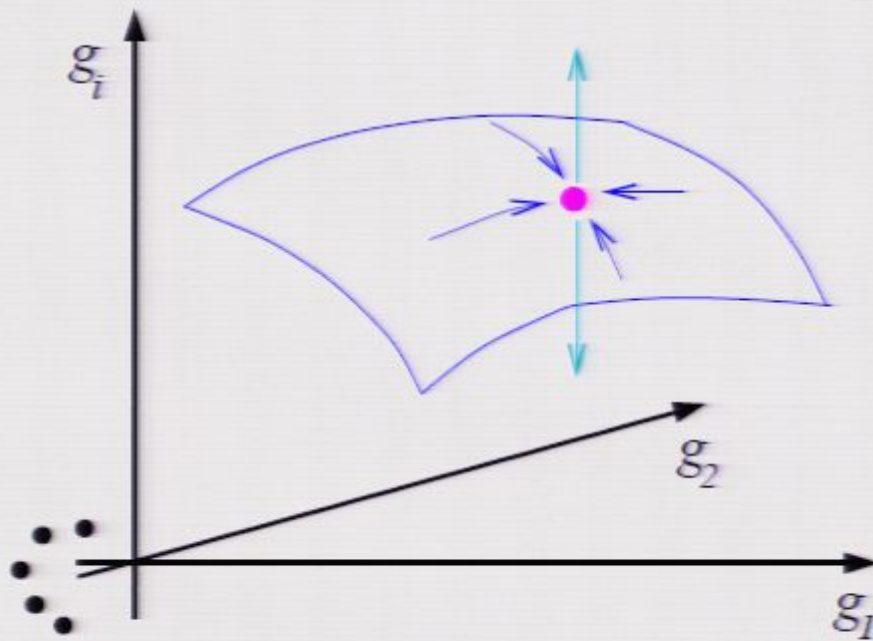
( $\Lambda$  independence  $\checkmark$ )

(# phys. parameters  $< \infty$   $\checkmark$ )

(universality & predictivity  $\checkmark$ )



# Asymptotic Safety



▷ FP regime:

$$\partial_t g_i = B_i^j (g_j - g_{*j}) + \dots$$

▷ **stability matrix**

$$B_i^j = \frac{\partial \beta_i(g_*)}{\partial g_j}$$

▷ critical exponents:

$$\{\Theta\} = \text{spect}(-B_i^j)$$

“Theory Space”

# Mechanisms of Asymptotic Safety I:

## Dimensional Balancing

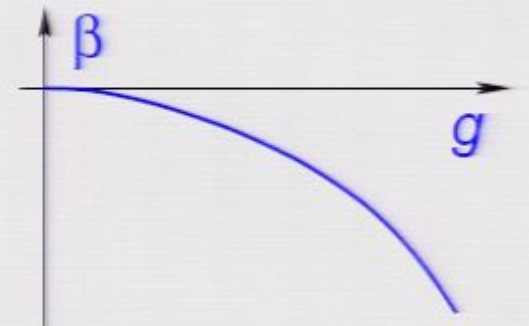
# Non-Gaussian Fixed Points

- ▷ coupling  $\bar{g}$  with canonical dimension  $\delta_{\bar{g}}(D)$  in spacetime dimension  $D$ :

$$[\bar{g}] = \delta_{\bar{g}}(D)$$

- ▷ critical RG dimension:

$$\delta_{\bar{g}}(D_{\text{RG, cr}}) = 0$$



- ▷ perturbative  $\beta$  function in  $D_{\text{RG, crit}}$ , e.g.:

$$\beta_{\bar{g}} = b_0 \bar{g}^2 + \dots$$

→ if  $b_0 < 0$ : theory is asymptotically free (and safe)

# Non-Gaussian Fixed Points

▷ away from  $D_{\text{RG, cr}}$  (+ analyticity in  $D$ ):

$$\beta_{\bar{g}} = \frac{b_0(D)}{k^{\delta_{\bar{g}}(D)}} \bar{g}^2 + \dots$$

▷ dimensionless coupling in units of a given scale  $k$

$$g = \frac{\bar{g}}{k^{\delta(\bar{g}; D)}}$$



▷ RG flow of dimensionless coupling:

$$k \frac{d}{dk} g \equiv \beta_g = -\delta_{\bar{g}}(D) g + b_0(D) g^2$$



# Non-Gaussian Fixed Points

▷ RG flow of dimensionless coupling:

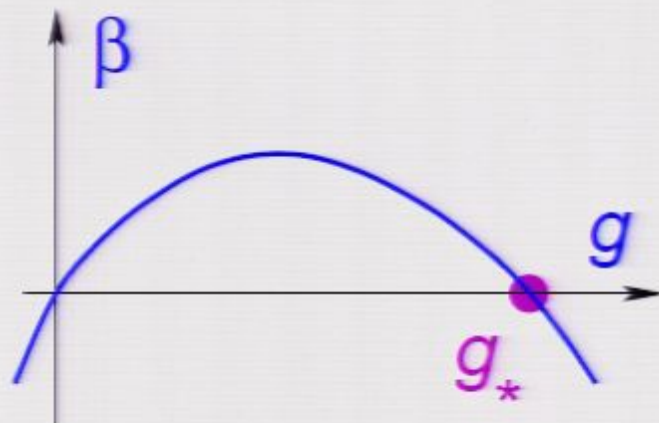
$$k \frac{d}{dk} g \equiv \beta_g = \underbrace{-\delta_{\bar{g}}(D)g}_{\text{dimensional running}} + \underbrace{+b_0(D)g^2}_{\text{fluctuation-induced running}}$$

⇒ NGFP  $g_*$  for:

$$\text{sign}(\delta_{\bar{g}}(D)) = \text{sign}(b_0(D))$$

⇒  $g_* > 0$  for:

$$\delta_{\bar{g}}(D), b_0(D) < 0$$



# Example: Quantum Einstein Gravity

▷ effective action in Einstein-Hilbert truncation

$$\Gamma_k = \frac{1}{16\pi G_k} \int d^D x \sqrt{g} (-R + 2\bar{\lambda}_k) \quad (\text{REUTER}'96)$$

▷ running dim'less Newton's constant  
in  $D = 4$ :  $g = k^2 G_k$

$$\partial_t g = 2g - \frac{5\pi}{18} g^2 + \mathcal{O}(g^3), \quad \bar{\lambda}_k = 0$$

(DOU, PERCACCI'97)

(SOUMA'99)

(LAUSCHER, REUTER'01'02)

(REUTER, SAUERESSIG'01)

(NIEDERMAIER'02)

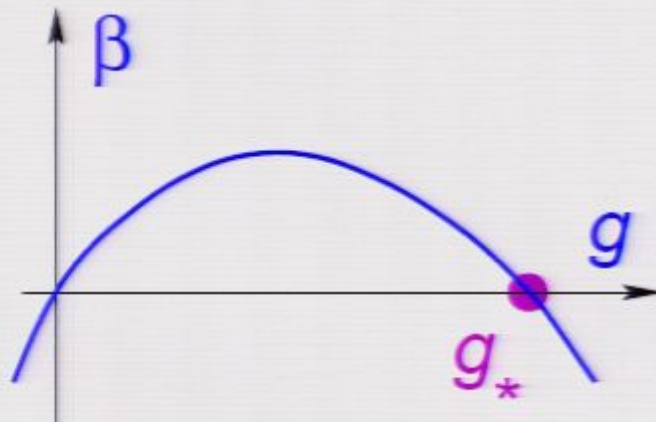
(LITIM'03)

(CODELLO, PERCACCI'06)

(CODELLO, PERCACCI, RAHMEDE'07'08)

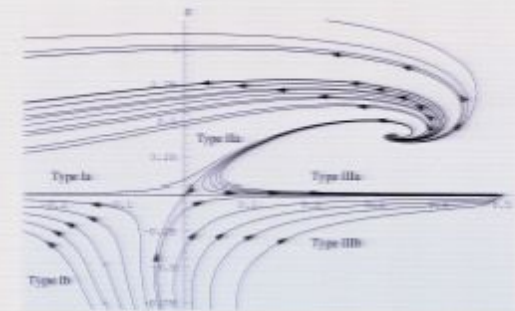
(MACHADO, SAUERESSIG'07)

(BENEDETTI, MACHADO, SAUERESSIG'09)



# Example: Quantum Einstein Gravity

(REUTER, SAUERESSIG'01)



**critical exponents:**  
(e.g.  $f(R)$  truncation)

$$\text{Re } \Theta_{1,2} \simeq 2.4$$

$$\Theta_3 \simeq 1.5$$

$$\Theta_{>3} \lesssim -4$$

▷ larger “theory space”:

$R^8$	...		
$R^7$	...		
$R^6$	...		
$R^5$	...		
$R^4$	...		
$R^3$	$C_{\mu\nu}{}^{\rho\sigma} C_{\rho\sigma}{}^{\kappa\lambda} C_{\kappa\lambda}{}^{\mu\nu}$	$R \square R$	+ 7 more
$R^2$	$C_{\mu\nu\rho\sigma} C^{\mu\nu\rho\sigma}$	$R_{\mu\nu} R^{\mu\nu}$	
$R$			
$\mathbb{1}$			

▷ many tests of robustness

- larger truncations
- regulator/gauge dependencies, etc.



# Example: Quantum Einstein Gravity

▷ ghost sector:

$$\langle \mathcal{O}[g] \rangle = \frac{1}{\mathcal{N}} \int \mathcal{D}g \delta[F] |\det(-\mathcal{M})| \mathcal{O}[g] e^{-S}$$

▷ gauge fixing and Faddeev-Popov operator (background gauge):

$$F_\mu[\bar{g}, h] = \sqrt{\frac{1}{16\pi G_N}} \left( \bar{D}^\nu h_{\mu\nu} - \frac{1+\rho}{d} \bar{D}_\mu h^\nu{}_\nu \right)$$

$$\mathcal{M}^\mu{}_\nu = \bar{g}^{\mu\rho} \bar{g}^{\sigma\lambda} \bar{D}_\lambda (g_{\rho\nu} D_\sigma + g_{\sigma\nu} D_\rho) - 2 \frac{1+\rho}{d} \bar{g}^{\rho\sigma} \bar{g}^{\mu\lambda} \bar{D}_\lambda g_{\sigma\nu} D_\rho$$



# Example: Quantum Einstein Gravity

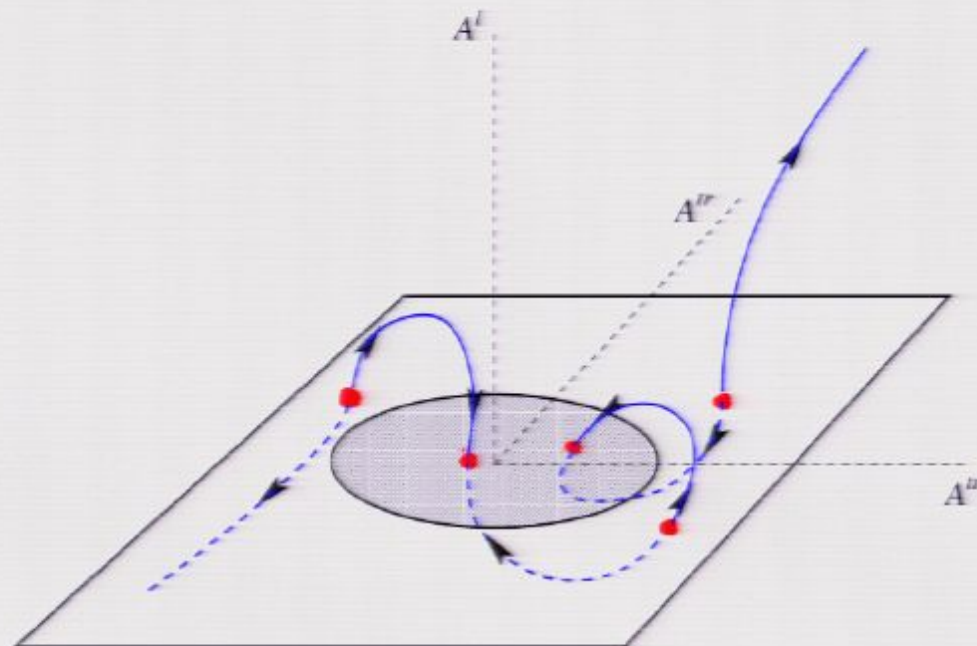
▷ ghost sector:

$$\langle \mathcal{O}[g] \rangle = \frac{1}{\mathcal{N}} \int \mathcal{D}g \delta[F] |\det(-\mathcal{M})| \mathcal{O}[g] e^{-S}$$

▷ gauge theories: Gribov problem

(GRIBOV'78)

[J.PAWLOWSKI@ERG08]



exists also in gravity (DAS,KAKI'79)

# Example: Quantum Einstein Gravity

- ▷ constrained integration domain to first Gribov horizon  $\Omega$ : (GRIBOV'78)

$$\langle \mathcal{O}[g] \rangle = \frac{1}{\mathcal{N}} \int_{\Omega} \mathcal{D}g \delta[F] |\det(-\mathcal{M})| \mathcal{O}[g] e^{-S}$$

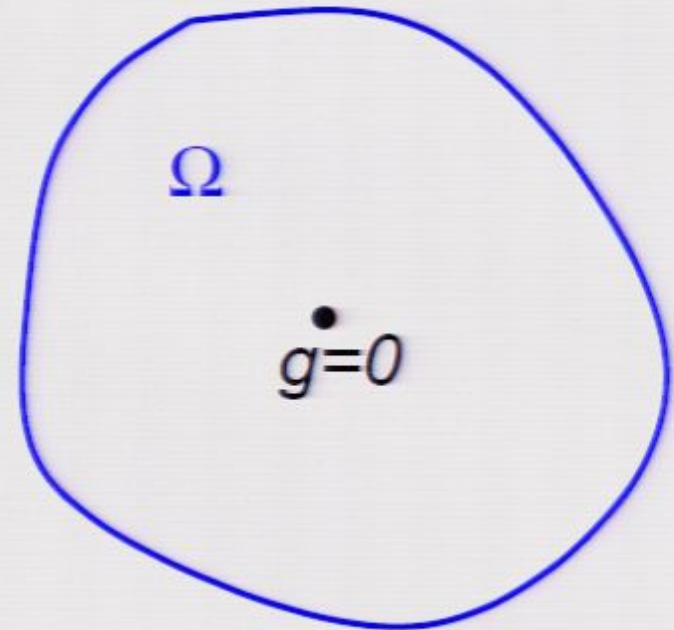
- ▷ Gribov region

$$\Omega = \{g \mid F_{\mu}[g] = 0, -\mathcal{M} \geq 0\}$$

- ▷  $\partial\Omega$  can dominate at strong coupling due to entropy  $\int \mathcal{D}g$  (ZWANZIGER'04)

- ▷ ghost enhancement

$$\det(-\mathcal{M})|_{\Omega} \rightarrow 0 \rightarrow \frac{1}{\mathcal{M}} \rightarrow \infty$$



# Example: Quantum Einstein Gravity

- ▷ first test: ghost-curvature coupling

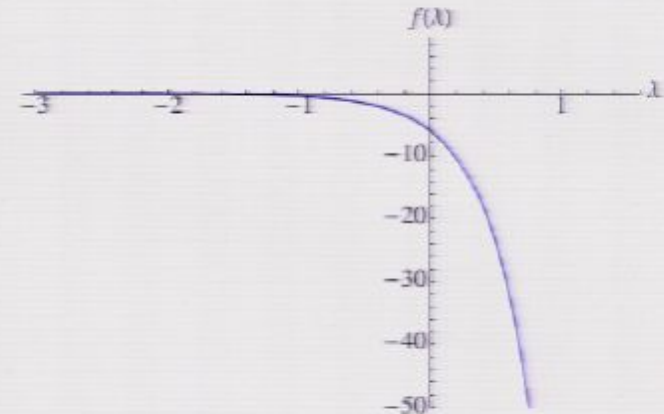
(EICHHORN, GIES, SCHERER '09)

$$\Gamma_{\text{R gh}} = \bar{\zeta} \int d^4x \sqrt{\gamma} \bar{c}^\mu R c_\mu$$

- ▷  $[\bar{\zeta}] = 0$ , power-counting marginal

- ▷ RG flow at NGFP (Landau-DeWitt gauge):

$$\begin{aligned} \partial_t \bar{\zeta} &= + \frac{25g_*}{6\pi} f(\lambda_*) \bar{\zeta} \\ &\simeq - \underbrace{1.404}_{=\Theta} \bar{\zeta} \end{aligned}$$



- ▷ ghost-curvature coupling is **asymptotically free** and **RG relevant**

... but subject to gauge constraints

⇒ asymptotic safety remains robust



# Example: Extra-dimensional Yang-Mills theory

*“To see how this works in practice,  
let us consider the theory ... in five dimensions.”*

(WEINBERG'76)

▷  $D > 4$ :  $[\bar{g}] = (4 - D)/2$ :

$$S = \int_X d^D x \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a, \quad F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + \bar{g} f^{abc} A_\mu^b A_\nu^c$$

▷  $D - 4 = \epsilon$  expansion, dim'less coupling:  $g^2 \sim k^{D-4} \bar{g}^2$

(PESKIN'80)

$$\partial_t g^2 \equiv \beta_{g^2} = (D - 4)g^2 - \frac{22N}{3} \frac{g^4}{16\pi^2} + \dots$$

▷ UV fixed point:

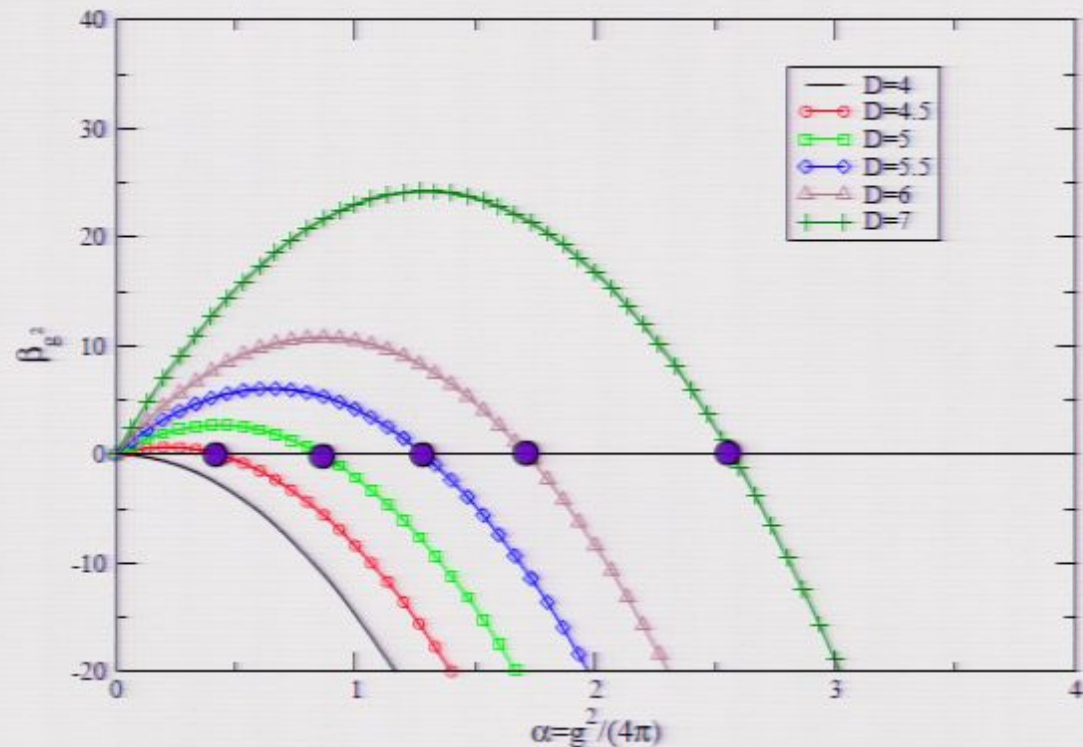
$$g_*^2 = (24\pi^2/11N)\epsilon$$

for all  $\epsilon \dots ?$



# Example: Extra-dimensional Yang-Mills theory

▷ naive  $\epsilon$  expansion:



⇒ nonperturbative problem for  $\epsilon \gtrsim 1$

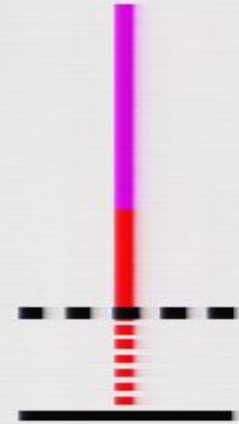
⇒ mass-dependent RG scheme required for threshold behavior

(CAVE: mass gap)

# Example: Extra-dimensional Yang-Mills theory

▷  $D = 4$ : Yang-Mills mass gap  $M$

- ⇒ threshold behavior for  $k^2 \ll M$
- ⇒ freeze-out of couplings in the IR
- ⇒ IR fixed point expected



▷ combined evidence for threshold/decoupling behavior from

- FRG (background gauge)

(REUTER, WETTERICH '97; GIES '02)

- DSE (Landau gauge)

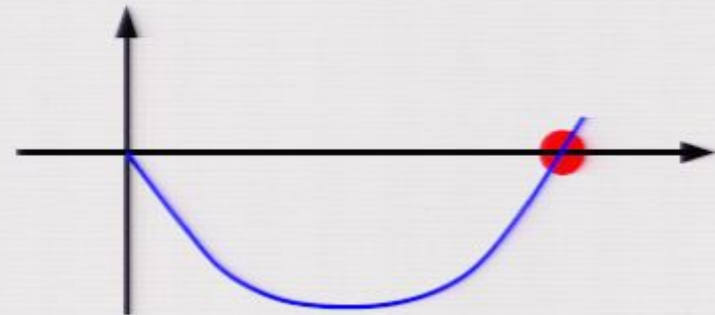
(V. SMEKAL, HAUCK, ALKOEFER '97, ...)

- FRG (Landau gauge)

(PAWLOWSKI ET AL. '05, ...)

- lattice (Landau gauge)

(CUCCHIERI, MENDEZ '07, ...)

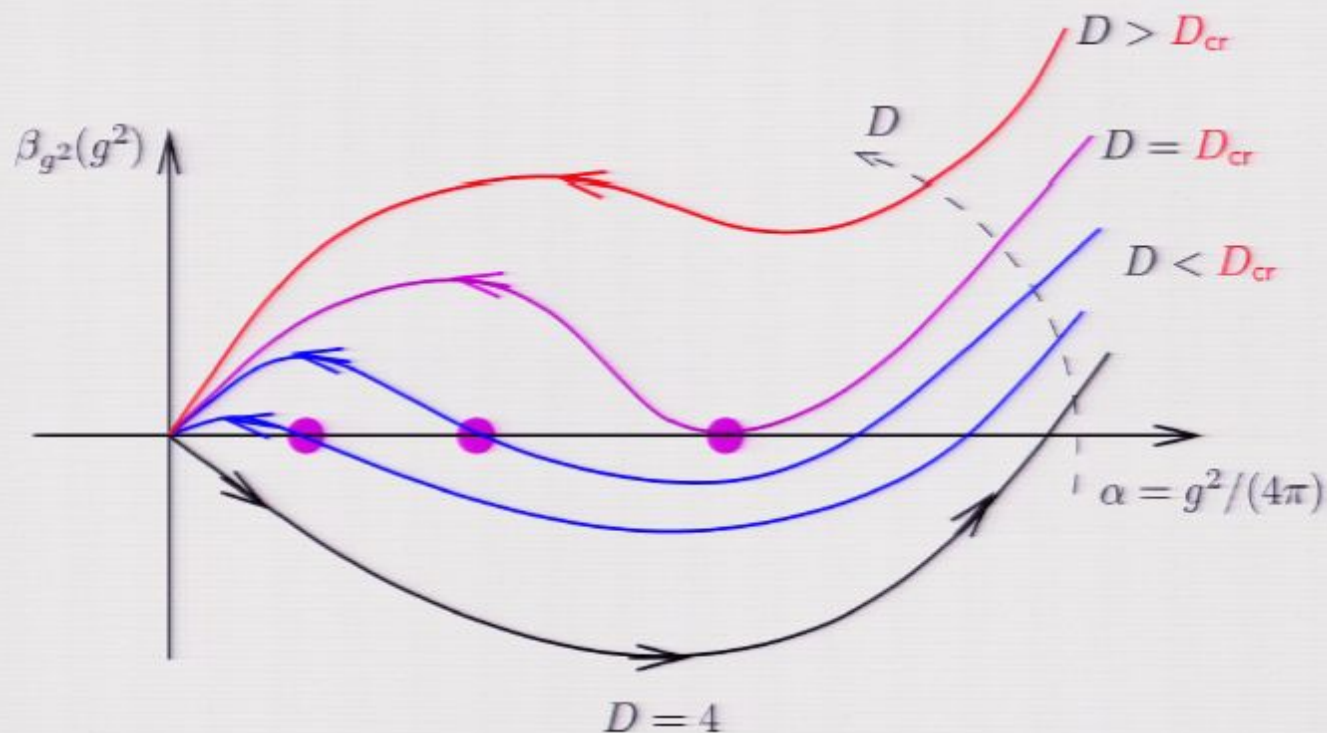


# Example: Extra-dimensional Yang-Mills theory

▷ conjecture from  $D = 4$  IR behavior +  $D$ -analyticity:

(GIES'03)

existence of  $D_{\text{cr}}$



⇒ no asymptotic safety for  $D > D_{\text{cr}}$

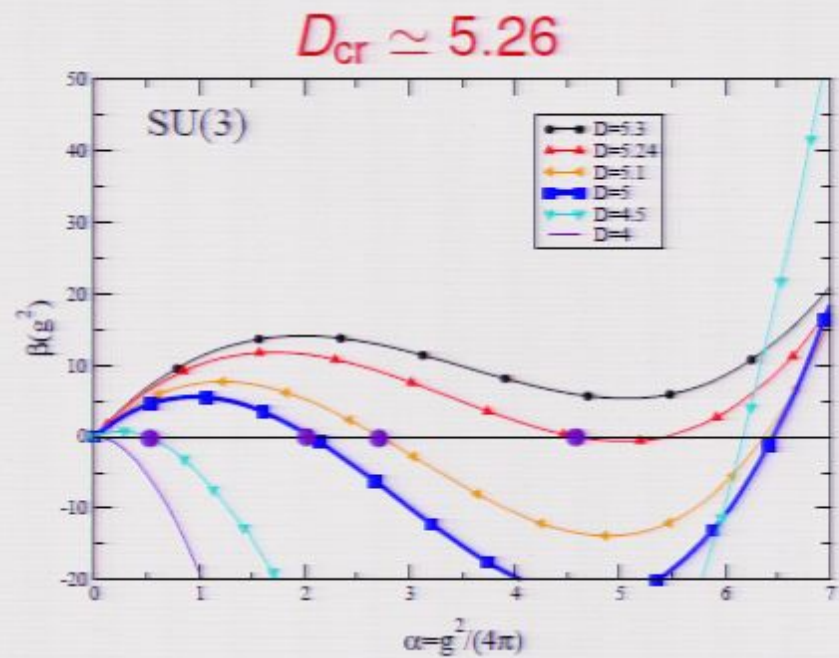
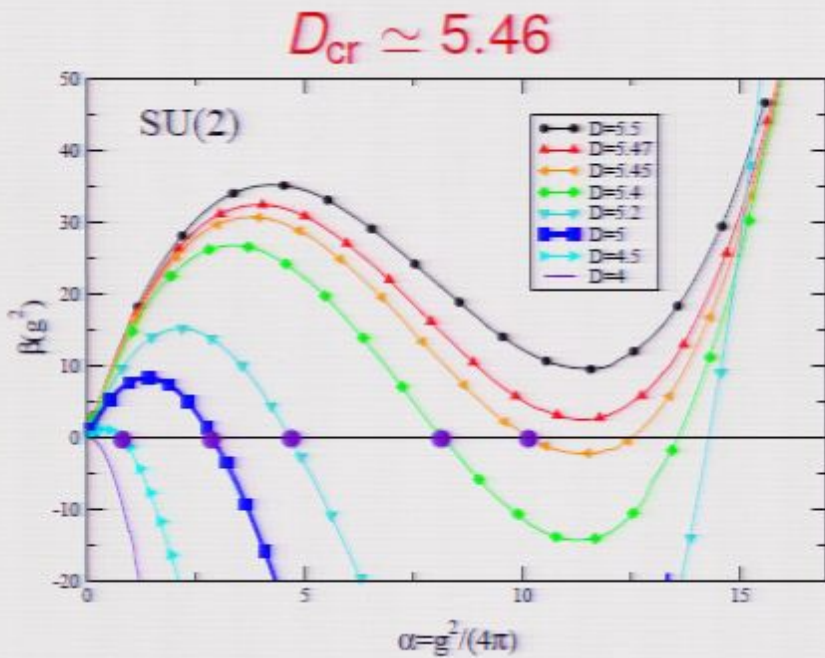


# Example: Extra-dimensional Yang-Mills theory

▷ functional RG calculation:

(GIES'03)

gluonic truncation:  $\Gamma_k = \int d^D x W_k(F^2), \quad F^2 = F_{\mu\nu}^a F_{\mu\nu}^a$



▷ SU(5):  $D_{cr} \simeq 5$

... no evidence for  $D_{cr}$  in gravity (FISCHER, LITIM'06)



# Mechanisms of Asymptotic Safety II: Conversion of Degrees of Freedom

## Example: Fermionic Systems

▷ for instance, Nambu–Jona-Lasinio / Gross-Neveu in 3 dimensions:

$$\Gamma_k = \int d^3x \bar{\psi} i \not{\partial} \psi + \frac{1}{2} \bar{\lambda} (\bar{\psi} \psi)^2 + \dots \quad , \quad [\bar{\lambda}] = -1$$

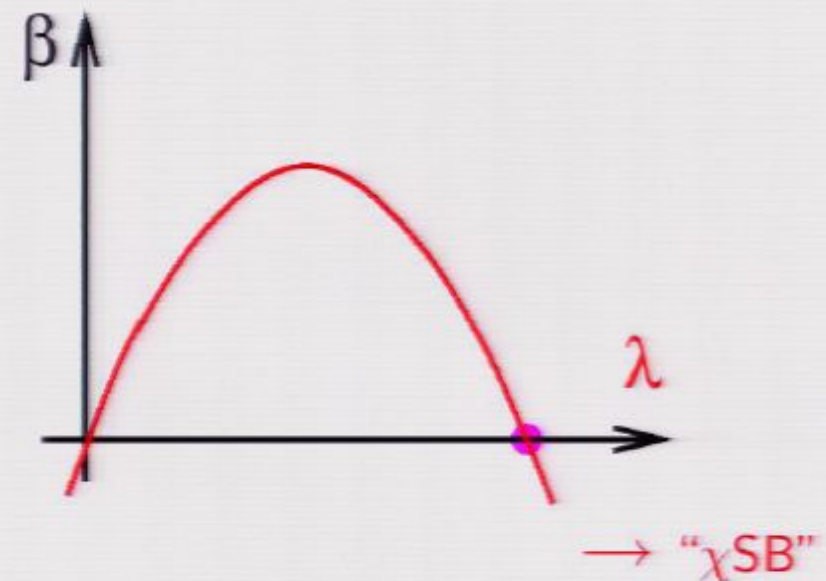
▷ dim'less coupling  $\lambda = k \bar{\lambda}$

$$\partial_t \lambda = \lambda - c \lambda^2$$

▷ UV fixed point  $\lambda_* = 1/c$

▷ critical exponent  $\Theta = 1$

⇒ asymptotically safe



(GAWEDZKI, KUPIAINEN'85; ROSENSTEIN, WARR, PARK'89; DE CALAN ET AL.'91)

## Example: Fermionic Systems

▷ for instance, Nambu–Jona-Lasinio / Gross-Neveu in  $D$  dimensions:

$$\Gamma_k = \int d^D x \bar{\psi} i \not{\partial} \psi + \frac{1}{2} \bar{\lambda} (\bar{\psi} \psi)^2 + \dots \quad , \quad [\bar{\lambda}] = 2 - D$$

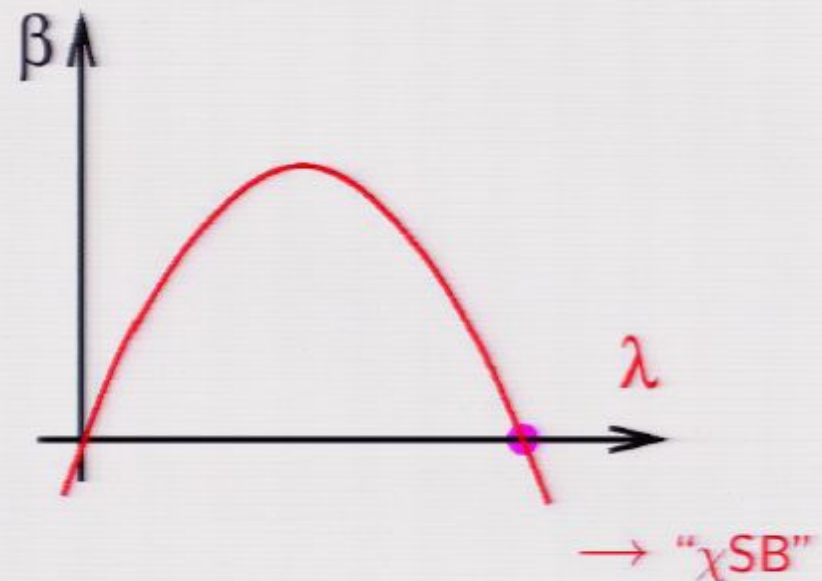
▷ dim'less coupling  $\lambda = k^{D-2} \bar{\lambda}$

$$\partial_t \lambda = (D - 2) \lambda - c \lambda^2$$

▷ UV fixed point  $\lambda_* = (D - 2)/c$

▷ critical exponent  $\Theta = D - 2$

⇒ asymptotically safe for all  $D$ ?





# Example: Fermionic Systems

▷ Towards the standard model ... ?

(HG, JAECKEL, WETTERICH '04)

▷  $U(1) \times SU(N_c)$  gauge symmetry  
+ chiral  $SU(N_f)_L \times SU(N_f)_R$  flavor symmetry in  $D = 4$

$$\Gamma_k = \int \bar{\psi} (iZ_\psi \not{\partial} + Z_1 \bar{g} A + Z_1^B \bar{e} B) \psi + \frac{Z_F}{4} F_Z^{\mu\nu} F_{\mu\nu}^Z + \frac{Z_B}{4} B^{\mu\nu} B_{\mu\nu} \\ + \frac{1}{2} \left[ \bar{\lambda}_- (V-A) + \bar{\lambda}_+ (V+A) + \bar{\lambda}_\sigma (S-P) + \bar{\lambda}_{VA} [2(V-A)^{\text{adj}} + (1/N_c)(V-A)] \right]$$

▷ pointlike four-fermion interactions

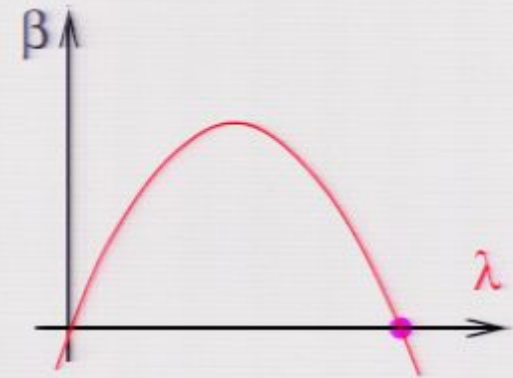
$$\begin{aligned} (V-A) &= (\bar{\psi} \gamma_\mu \psi)^2 + (\bar{\psi} \gamma_\mu \gamma_5 \psi)^2 \\ (V+A) &= (\bar{\psi} \gamma_\mu \psi)^2 - (\bar{\psi} \gamma_\mu \gamma_5 \psi)^2 \\ (S-P) &= (\bar{\psi}^a \psi^b)^2 - (\bar{\psi}^a \gamma_5 \psi^b)^2 \equiv (\bar{\psi}_i^a \psi_i^b)^2 - (\bar{\psi}_i^a \gamma_5 \psi_i^b)^2 \\ (V-A)^{\text{adj}} &= (\bar{\psi} \gamma_\mu T^Z \psi)^2 + (\bar{\psi} \gamma_\mu \gamma_5 T^Z \psi)^2 \end{aligned}$$



# Example: Fermionic Systems

▷ Fixed-Point Structure (e.g., for  $e^2, g^2 \rightarrow 0$ ):

$$\partial_t \lambda_i = (d - 2) \lambda_i + \lambda_k A_i^{kl} \lambda_l$$

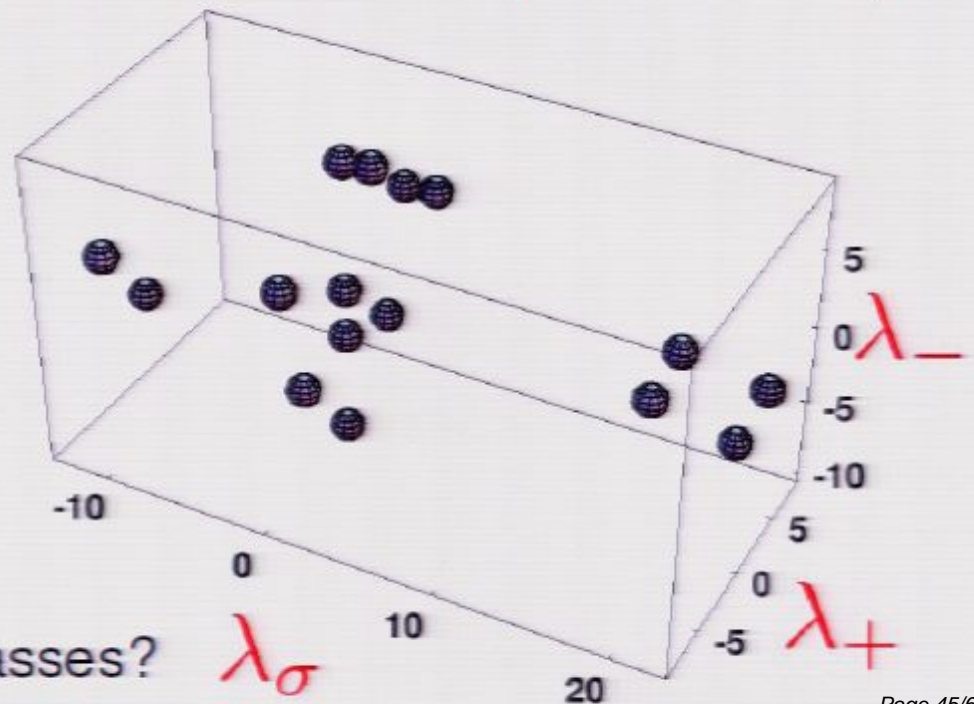


▷ 2 FPs per  $\lambda$

⇒  $2^4 = 16$  FP

▷ in general:  $2^n$  FP's  
for  $n = \#$  of  $\lambda$ 's

⇒ new SM UV universality classes?



# Example: Fermionic Systems

BUT:

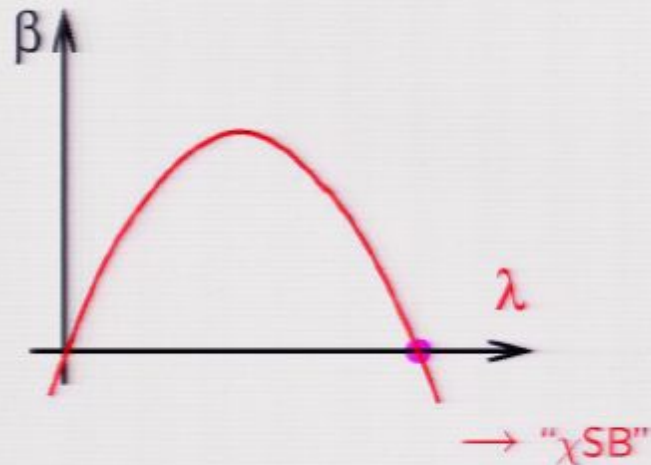
▷ conversion

$$\Gamma_{\text{int}} = \frac{1}{2} \bar{\lambda} \int d^D x (\bar{\psi} \psi)^2$$

Gaußian FP

RG irrelevant

$$\Theta = 2 - D < 0$$



Non-Gaußian FP

RG relevant

$$\Theta = D - 2 > 0$$

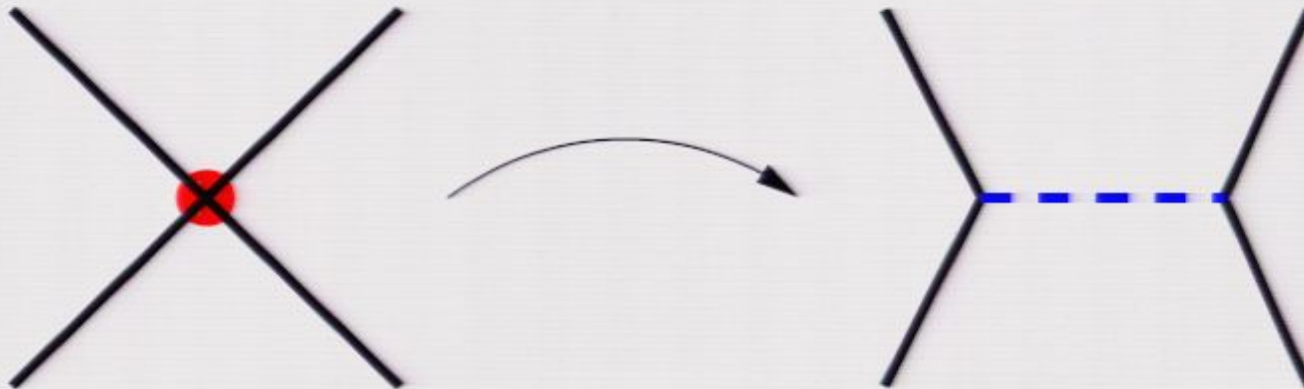
# Example: Fermionic Systems

▷  $\Gamma_{\text{int}}$  can acquire **marginal** “nonlocal” subcomponents at the NGFP:

$$\Gamma_{\text{int}} = \frac{1}{2} \bar{\lambda} \int d^D x (\bar{\psi} \psi)^2 \quad \rightarrow \quad \frac{1}{4} \int \frac{d^D p}{(2\pi)^D} (\bar{\psi} \psi)(-p) \frac{\bar{h}^2}{\bar{m}^2 + p^2} (\bar{\psi} \psi)(p)$$

▷ Hubbard-Stratonovich transformation:

(STRATONOVICH'57, HUBBARD'59)



$$\frac{1}{2} (\bar{\psi} \psi) \bar{\lambda}(p) (\bar{\psi} \psi) \quad \rightarrow \quad \frac{1}{2} (\partial \phi)^2 + \frac{1}{2} m^2 \phi^2 + ih \bar{\psi} \psi \phi$$



# Example: Fermionic Systems

▷ conversion of DoF:

(ZINN-JUSTIN'91)

(HASENFRATZ, HASENFRATZ, JANSEN, KUTI, SHEN'91)

fermionic  $\psi^4$  systems  $\hat{=}$  Yukawa systems

$$\frac{1}{2} (\bar{\psi}\psi) \bar{\lambda}(\rho) (\bar{\psi}\psi) \rightarrow \frac{1}{2} (\partial\phi)^2 + \frac{1}{2} m^2 \phi^2 + ih \bar{\psi}\psi\phi$$

▷  $D < 4$ :

non-Gaussian FP

Gaussian Yukawa FP

pert. non-renormalizable

pert. super-renormalizable

▷  $D = 4$ :

non-Gaussian FP potentially destabilized by triviality of Yukawa &  $\phi^4$

e.g., for NJL, see (KIM, KOCIC, KOGUT'94)

for  $Z_2$ -Yukawa, see (GIES, SCHERER'09)



# Mechanisms of Asymptotic Safety III: Conformal Vacuum Expectation Values

# Example: Yukawa Systems

▷ nonperturbative features of the functional RG

$$\partial_t \Gamma_k = \frac{1}{2} \text{Tr} \partial_t R_k (\Gamma_k^{(2)} + R_k)^{-1}$$

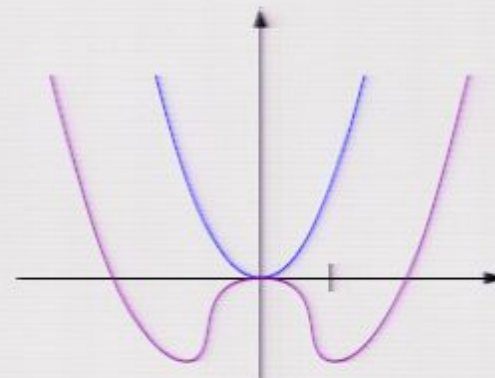
large coupling

$$g \gg 1$$

threshold behavior

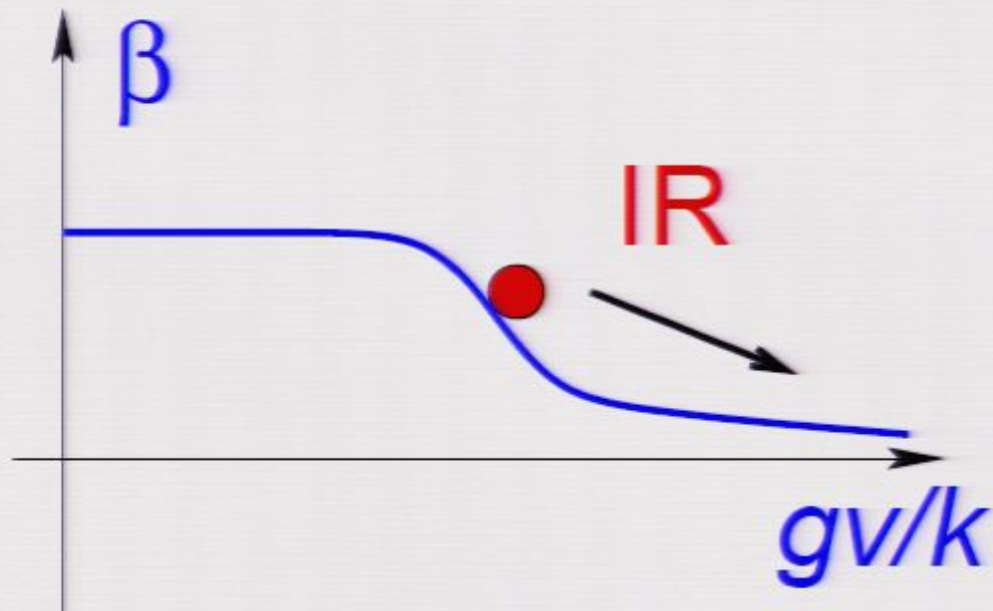
e.g.,

$$(\Gamma_k^{(2)} + R_k)^{-1} \rightarrow \frac{1}{p^2 + (gv)^2}$$



## Example: Yukawa Systems

▷ standard threshold behavior

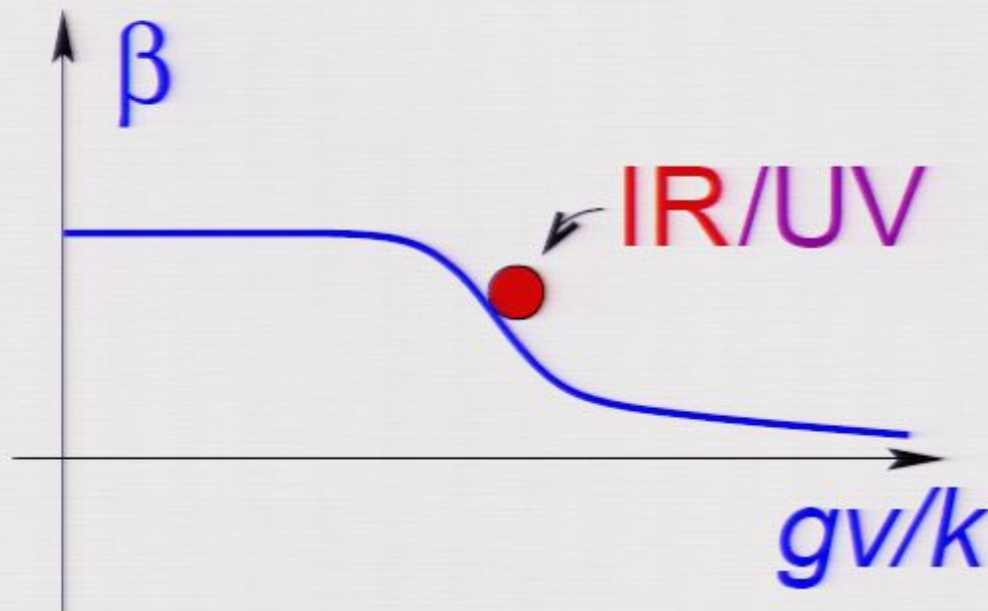


⇒ decoupling of massive modes in the IR for  $k \rightarrow 0$

# Example: Yukawa Systems

▷ if  $v \sim k$ : conformal threshold behavior

(GIES, SCHERER '09)



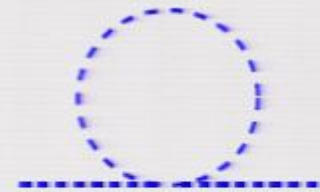
⇒ can induce conformal FP behavior in both IR/UV



# Example: Yukawa Systems

▷ running of VEV in Yukawa systems:

$$\partial_t \frac{v^2}{k^2} = -2 \frac{v^2}{k^2} - \text{fermion fluctuations} + \text{boson fluctuations}$$

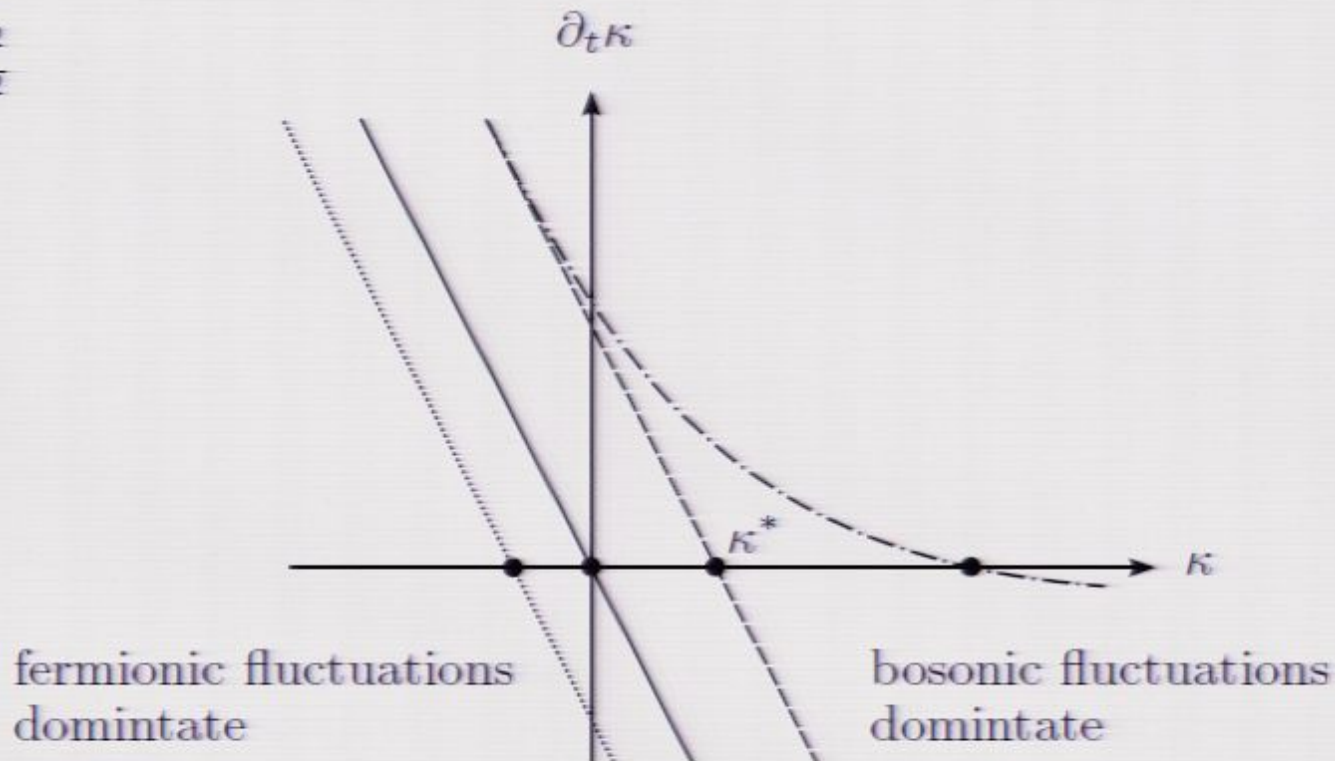


# Example: Yukawa Systems

▷ running of VEV in Yukawa systems:

$$\partial_t \frac{v^2}{k^2} = -2 \frac{v^2}{k^2} - \text{fermion fluctuations} + \text{boson fluctuations}$$

▷  $\kappa \sim \frac{v^2}{k^2}$



# Example: $Z_2$ invariant Yukawa System

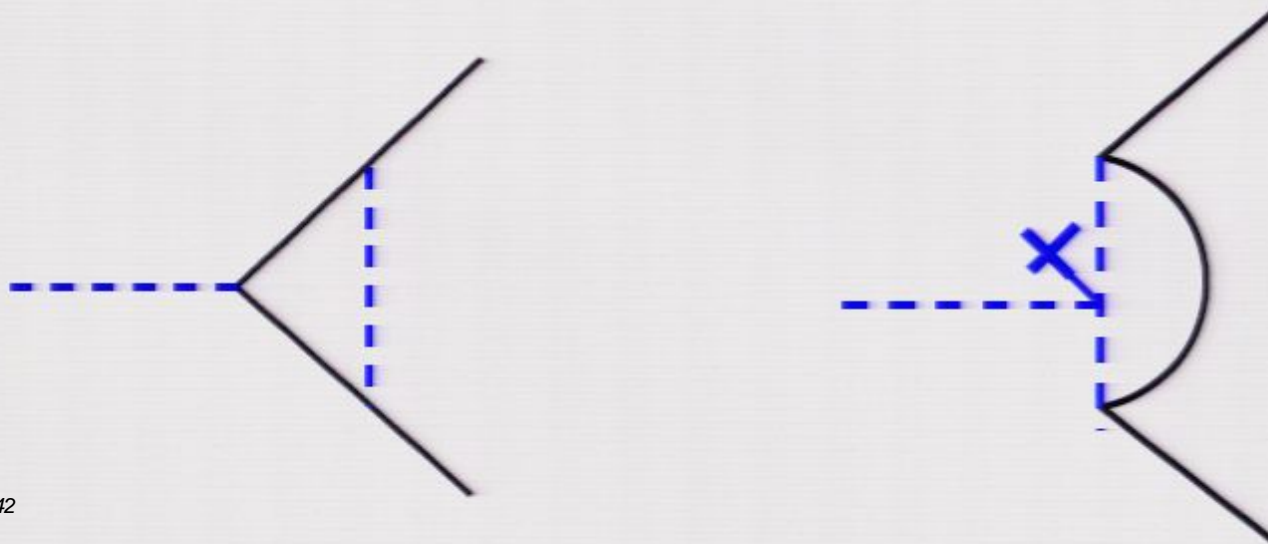
- ▷  $Z_2$  invariant Yukawa system at NLO derivative expansion

$$\Gamma_k = \int d^4x \left( \frac{Z_{\phi,k}}{2} (\partial_\mu \phi)^2 + U_k(\rho) + Z_{\psi,k} \bar{\psi} i \not{\partial} \psi + i \bar{h}_k \phi \bar{\psi} \psi \right)$$

- ▷ no non-Gaussian FP in symmetric regime!

(GIES, SCHERER '09)

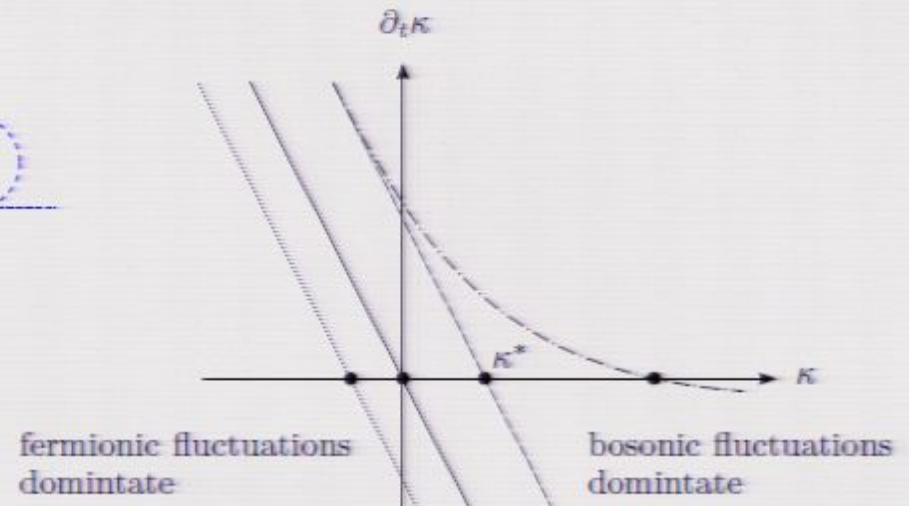
- ▷ new effective couplings in broken regime, e.g.,



# Example: $Z_2$ invariant Yukawa System

▷ existence of NGFP depends on  $N_f$ :

$$\partial_t \frac{v^2}{k^2} = -2 \frac{v^2}{k^2} + \text{fermion loop} + \text{boson loop}$$



▷ Conformal-VEV behavior and NGFP for:

(GIES, SCHERER '09)

$$N_f < N_{f,cr} \simeq 0.3$$



## Example: $Z_2$ invariant Yukawa System

▷ “proof of principle”: fixed-point properties for, e.g.,  $N_f = 1/10$ :

$$\text{NLO:} \quad \kappa^* = 0.00163, \quad \lambda_2^* = 42.77, \quad h^{*2} = 191.22,$$

$$\eta_\phi^* = 0.086, \quad \eta_\psi^* = 0.565 \quad (\lesssim 1!)$$

▷ critical exponents:

$$\Theta_{1,2} = 1.619 \mp 0.280i, \quad \Theta_3 = -3.680$$

$\implies$  2 relevant directions

# Example: $Z_2$ invariant Yukawa System

▷ cf. Yukawa system near **Gaussian FP**:

3 physical parameters :  $v, m_{\text{top}}, m_{\text{Higgs}}$

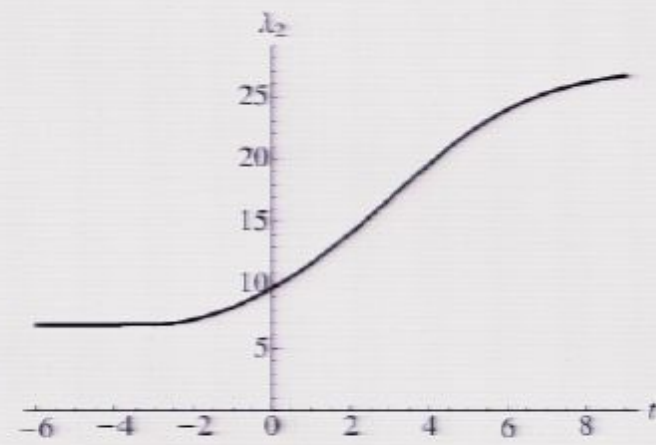
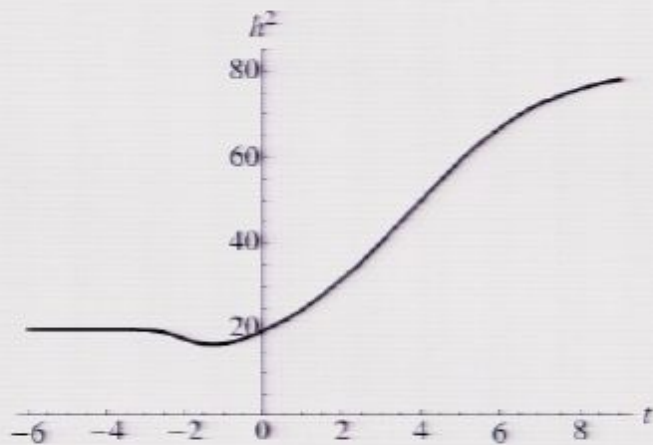
▷ Yukawa system near **non-Gaussian FP**:

2 physical parameters :  $v, m_{\text{top}}$

$\implies m_{\text{Higgs}}$  is a prediction

# Example: $Z_2$ invariant Yukawa System

▷ typical flow,  $N_f = 1/10$ ,  $m_{\text{top}} = 20v$ :



▷ predicted Higgs mass:

$$m_{\text{Higgs}} = \sqrt{6.845} v \simeq 644 \text{ GeV}$$

▷ top quark mass is dynamically bounded from below

finite RG time for crossover

▷ hierarchy/fine-tuning problem slightly less severe:

$$\Theta_{\text{max}} \simeq 1.619 < 2 (= \Theta_{\text{SM}})$$



# Example: chiral Yukawa System

▷ chiral  $U(N_L)_L \otimes U(1)_R$  Yukawa system:

(GIES, RECHENBERGER, SCHERER '09)

$$\Gamma_k = \int d^d X \left\{ i(Z_{L,k} \bar{\psi}_L^a \not{\partial} \psi_L^a + Z_{R,k} \bar{\psi}_R \not{\partial} \psi_R) + Z_{\phi,k} (\partial_\mu \phi^{a\dagger}) (\partial^\mu \phi^a) \right. \\ \left. + U_k (\phi^{a\dagger} \phi^a) + \bar{h}_k \bar{\psi}_R \phi^{a\dagger} \psi_L^a - \bar{h}_k \bar{\psi}_L^a \phi^a \psi_R \right\}.$$

▷  $N_L$  scaling:

$$\partial_t \frac{v^2}{k^2} = -2 \frac{v^2}{k^2} + \text{---} \bigcirc \text{---} + \text{---} \bigcirc \text{---}$$

$2N_L$

▷ LO derivative expansion: conformal-VEV & NGFP for

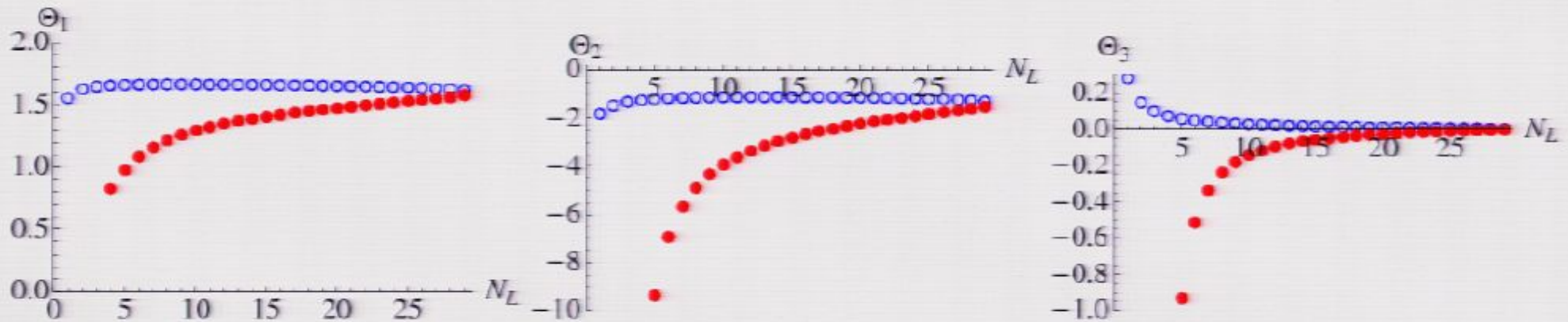
$$1 \leq N_L \leq 57$$



# Example: chiral Yukawa System

▷ fixed-point properties at LO:

(GIES, RECHENBERGER, SCHERER '09)



⇒ only 1 relevant direction  $\hat{=}$  1 physical parameter

▷ predictions of top and Higgs mass for  $N_L = 10$ :

$$m_{\text{top}} = 5.78v \simeq 1422 \text{ GeV}, \quad m_{\text{Higgs}} = 0.97v \simeq 239 \text{ GeV}$$

> **BUT:** FP destabilized by massless Goldstone & fermion DoF at NLO

# Conclusion

- several mechanisms of asymptotic safety available
  - Dimensional Balancing
  - Conversion of Degrees of Freedom
  - Conformal Vacuum Expectation Values
- asymptotic safety has the potential to solve
  - triviality problems
  - hierarchy/fine-tuning problems
  - issue of abundant parameters
- provides a new/enlarged view on the question why

all within QFT

$$D_{\text{RG,cr}} = 4 = D$$



## Example: Fermionic Systems

▷ for instance, Nambu–Jona-Lasinio / Gross-Neveu in 3 dimensions:

$$\Gamma_k = \int d^3x \bar{\psi} i \not{\partial} \psi + \frac{1}{2} \bar{\lambda} (\bar{\psi} \psi)^2 + \dots \quad , \quad [\bar{\lambda}] = -1$$

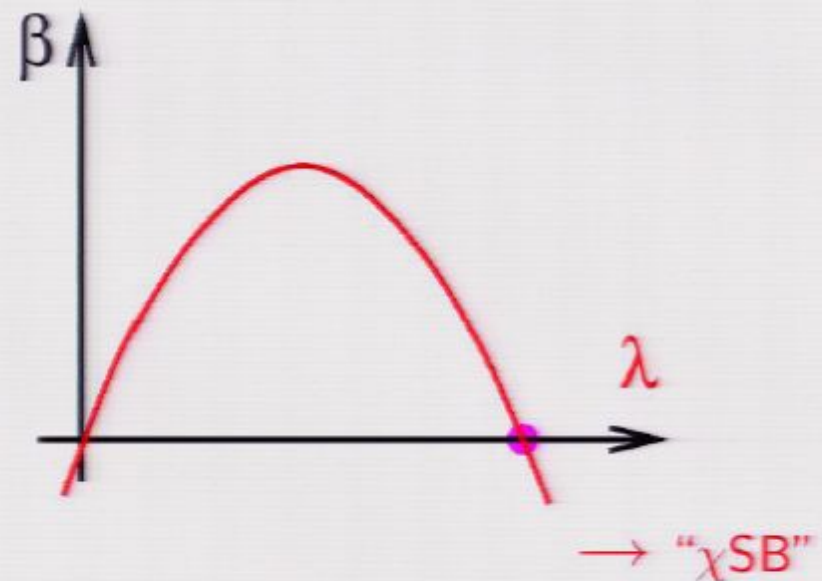
▷ dim'less coupling  $\lambda = k \bar{\lambda}$

$$\partial_t \lambda = \lambda - c \lambda^2$$

▷ UV fixed point  $\lambda_* = 1/c$

▷ critical exponent  $\Theta = 1$

⇒ asymptotically safe



(GAWEDZKI, KUPIAINEN'85; ROSENSTEIN, WARR, PARK'89; DE CALAN ET AL.'91)



# Mechanisms of Asymptotic Safety II: Conversion of Degrees of Freedom

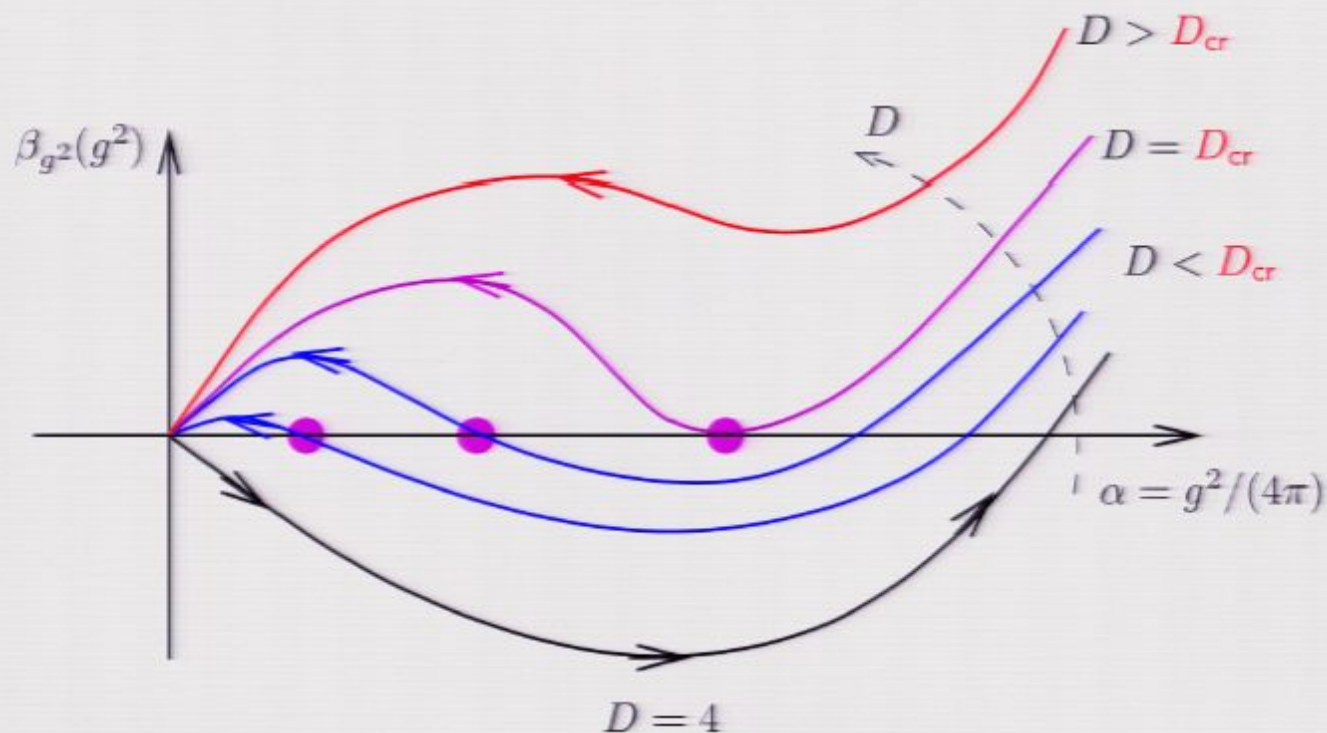


# Example: Extra-dimensional Yang-Mills theory

▷ conjecture from  $D = 4$  IR behavior +  $D$ -analyticity:

(GIES'03)

existence of  $D_{\text{cr}}$



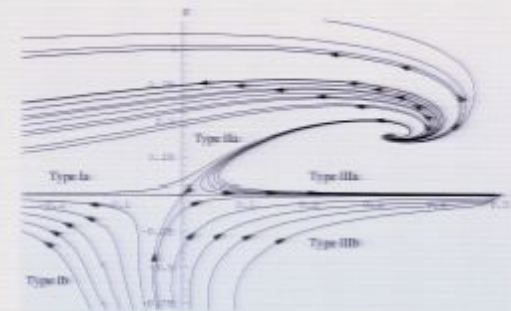
⇒ no asymptotic safety for  $D > D_{\text{cr}}$

# Example: Quantum Einstein Gravity

▷ larger “theory space”:

$R^8$	...		
$R^7$	...		
$R^6$	...		
$R^5$	...		
$R^4$	...		
$R^3$	$C_{\mu\nu}{}^{\rho\sigma} C_{\rho\sigma}{}^{\kappa\lambda} C_{\kappa\lambda}{}^{\mu\nu}$	$R \square R$	+ 7 more
$R^2$	$C_{\mu\nu\rho\sigma} C^{\mu\nu\rho\sigma}$	$R_{\mu\nu} R^{\mu\nu}$	
$R$			
$\mathbb{1}$			

(REUTER, SAUERESSIG'01)



**critical exponents:**  
(e.g.  $f(R)$  truncation)

$$\text{Re } \Theta_{1,2} \simeq 2.4$$

$$\Theta_3 \simeq 1.5$$

$$\Theta_{>3} \lesssim -4$$

▷ many tests of robustness

- larger truncations
- regulator/gauge dependencies, etc.

# Hierarchy problem $\Lambda_{UV} \gg \Lambda_{EW}$

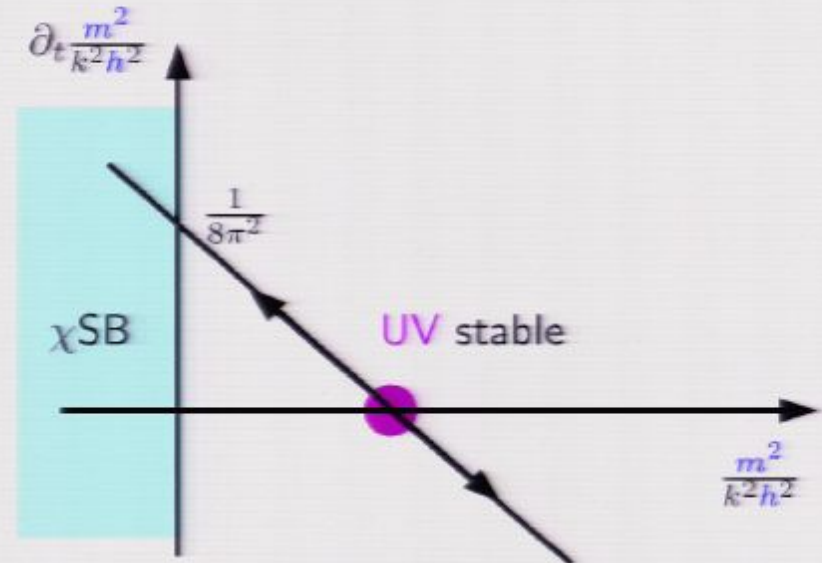
▷ renormalization of the scalar mass (e.g.,  $\Lambda_{UV} = 10^{16} \text{ GeV}$ )

$$\underbrace{m_R^2}_{\sim 10^4 \text{ GeV}^2} \sim \underbrace{m_\Lambda^2}_{\sim 10^{32} \left(1 + \dots 10^{-28}\right) \text{ GeV}^2} - \underbrace{\delta m^2}_{\sim 10^{32} \text{ GeV}^2}$$

▷ RG viewpoint ( $\partial_t = k \frac{d}{dk}$ )

e.g., Yukawa theory:

$$\partial_t \frac{m^2}{k^2 h^2} = -2 \frac{m^2}{k^2 h^2} + \frac{1}{8\pi^2}$$

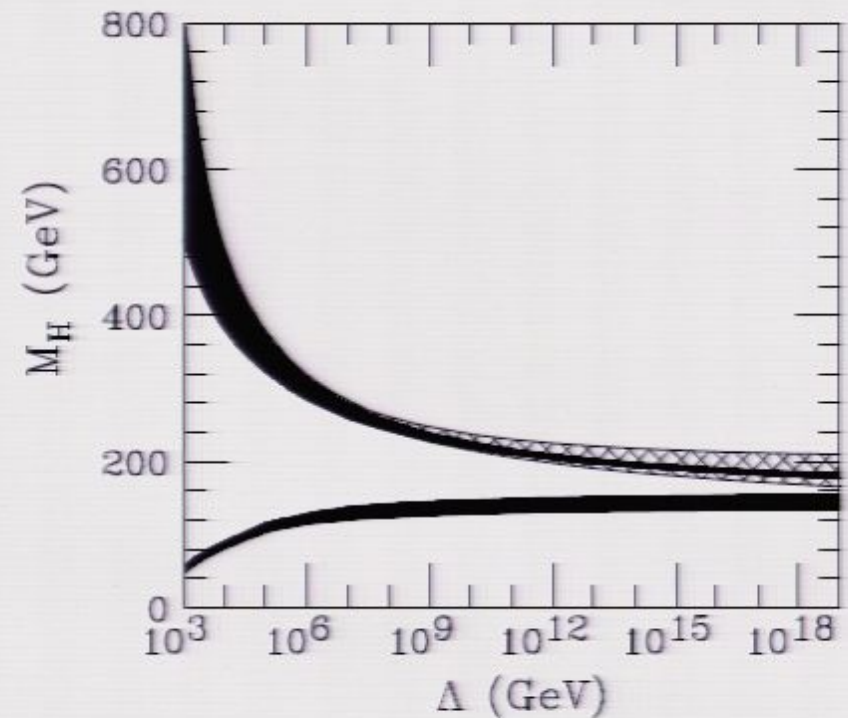




# Triviality problem

⇒ ... scale of maximal UV extension

▷ triviality of the scalar Higgs sector:



(HAMBYE, RIESSELMANN'97)

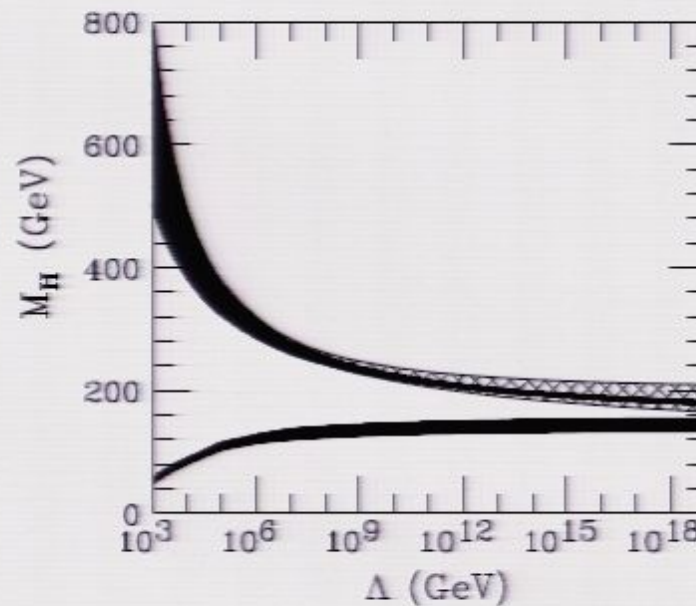
⇒ SM Higgs mass bounds from Landau pole position



# Triviality problem

⇒ ... scale of maximal UV extension

▷ triviality of the scalar Higgs sector:



(HAMBYE, RIESELMAANN '97)

⇒ SM Higgs mass bounds from Landau pole position