

Title: Quantum State and Process Measurement and Characterization

Date: Jun 03, 2009 04:00 PM

URL: <http://pirsa.org/09060055>

Abstract: This talk will present an overview of work done in the past decade on quantum state and process tomography, describing the basic notions at an introductory level, and arguing for a pragmatic approach for data reconstruction. The latest results include recent numerical comparison of different reconstruction techniques, aimed at answering the question: "is 'the best' the enemy of 'good enough'?"

# Quantum State and Process Measurement and Characterization

**Daniel F. V. JAMES**

**Department of Physics &  
Center for Quantum Information and Quantum Control  
University of Toronto**

**Perimeter Institute  
3 June 2009**



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*title page from last time I talked on this topic:*

# Quantum State and Process Measurement and Characterization

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Universität Innsbruck

5 May 2004



# Thanks to...

- **My Group:**

Dr. René Stock  
Asma Al-Qasimi  
Omal Gamel  
Max Kaznady  
Ardavan Darabi  
Faiyaz Hasan  
Timur Rvachov



- **Funding Agencies:**



# State of a Single Qubit

- Photon polarization based qubits

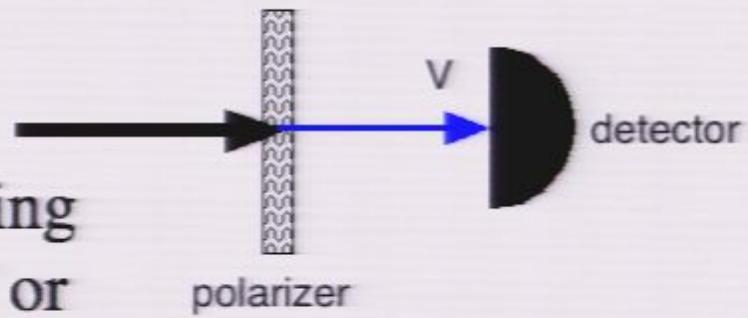
$$|\psi\rangle = \alpha|H\rangle + \beta|V\rangle$$

# State of a Single Qubit

- Photon polarization based qubits

$$|\psi\rangle = \alpha|H\rangle + \beta|V\rangle$$

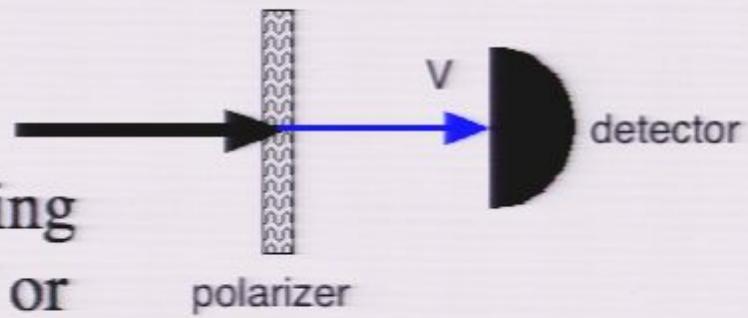
- Measure Single Copy by projecting on to  $|V\rangle\langle V|$ : get answer “click” or “no click”
  - *One bit of information about  $\alpha$  and  $\beta$ : you know one of them is non-zero*



# State of a Single Qubit

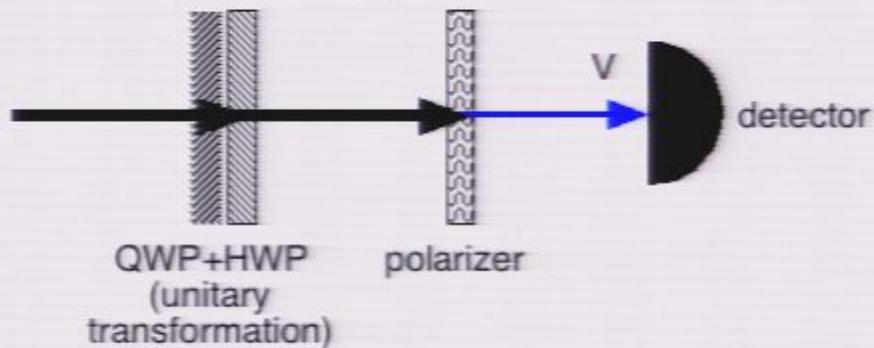
- Photon polarization based qubits

$$|\psi\rangle = \alpha|H\rangle + \beta|V\rangle$$



- Measure Single Copy by projecting on to  $|V\rangle\langle V|$ : get answer “click” or “no click”
  - *One bit of information about  $\alpha$  and  $\beta$ : you know one of them is non-zero*
- Measure multiple (assumed identical) copies: frequency of “clicks” gives estimate of  $|\beta|^2$

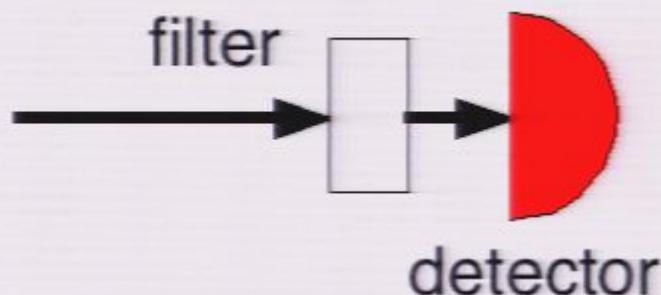
- Find relative phase of  $\alpha$  and  $\beta$  by performing a unitary operation before beam splitter:



e.g.:  $|\psi\rangle \rightarrow |\psi'\rangle = \frac{1}{\sqrt{2}}(\alpha + \beta)|H\rangle + \frac{1}{\sqrt{2}}(\alpha - \beta)|V\rangle$

- Frequency of “clicks” now gives an estimate of  $\frac{1}{2}|\alpha - \beta|^2$
- Systematic way of getting all the data needed....

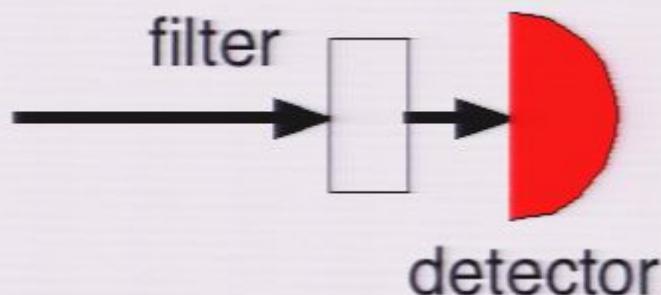
# Stokes Parameters



**Measure intensity with four different filters:**

G. G. Stokes, *Trans Cambridge Philos Soc* **9** 399 (1852)

# Stokes Parameters

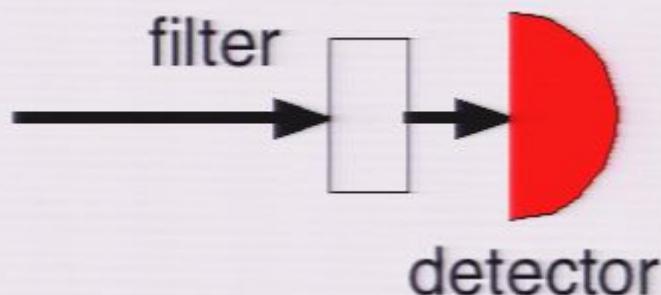


**Measure intensity with four different filters:**

(i) 50% intensity  $n_0 = \frac{N}{2} \text{Tr}\{\rho\} = \frac{N}{2} \text{Tr}\{\Pi_0 \rho\}$

G. G. Stokes, *Trans Cambridge Philos Soc* **9** 399 (1852)

# Stokes Parameters



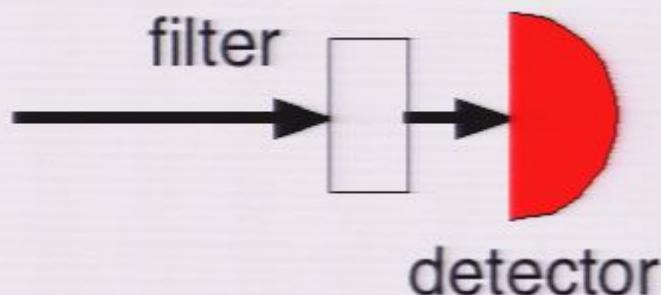
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# Stokes Parameters



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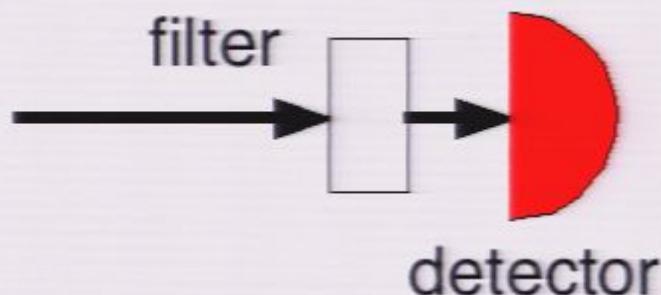
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(iii) 45° polarizer  $n_2 = N \text{Tr}\{|D\rangle\langle D|\rho\} \equiv N \text{Tr}\{\Pi_2\rho\}$

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# Stokes Parameters



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(iii) 45° polarizer  $n_2 = N \text{Tr}\{|D\rangle\langle D|\rho\} \equiv N \text{Tr}\{\Pi_2\rho\}$

(iv) RCP  $n_3 = N \text{Tr}\{|R\rangle\langle R|\rho\} = N \text{Tr}\{\Pi_3\rho\}$

G. G. Stokes, *Trans Cambridge Philos Soc* **9** 399 (1852)

## Stokes Parameters

$$S_0 = 2n_0 = N(\langle R | \rho | R \rangle + \langle L | \rho | L \rangle)$$

$$S_1 = 2(n_1 - n_0) = N(\langle R | \rho | L \rangle + \langle L | \rho | R \rangle)$$

$$S_2 = 2(n_2 - n_0) = iN(\langle R | \rho | L \rangle - \langle L | \rho | R \rangle)$$

$$S_3 = 2(n_3 - n_0) = N(\langle R | \rho | R \rangle - \langle L | \rho | L \rangle)$$

- These 4 parameters completely specify polarization of beam
- Beam is an ensemble of photons...

$$\rho = \frac{1}{2} \sum_{i=0}^4 S_i \sigma_i$$

Pauli matrices

## 2 Qubit Quantum States

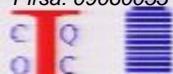
- Pure states
  - *Ideal case*

$$|\psi\rangle = a|HH\rangle + b|HV\rangle + c|VH\rangle + d|VV\rangle$$

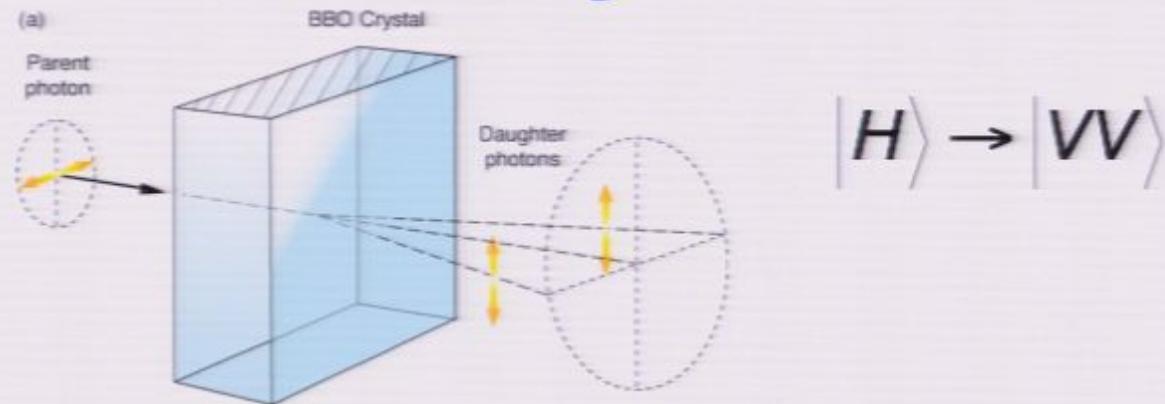
- Mixed states
  - *Quantum state is random: need averages and correlations of coefficients*

$$\text{Density Matrix } \rho = \begin{pmatrix} \overline{|a|^2} & \overline{a^*b} & \overline{a^*c} & \overline{a^*d} \\ \overline{b^*a} & \overline{|b|^2} & \overline{b^*c} & \overline{b^*d} \\ \overline{c^*a} & \overline{c^*b} & \overline{|c|^2} & \overline{c^*d} \\ \overline{d^*a} & \overline{d^*b} & \overline{d^*c} & \overline{|d|^2} \end{pmatrix}$$

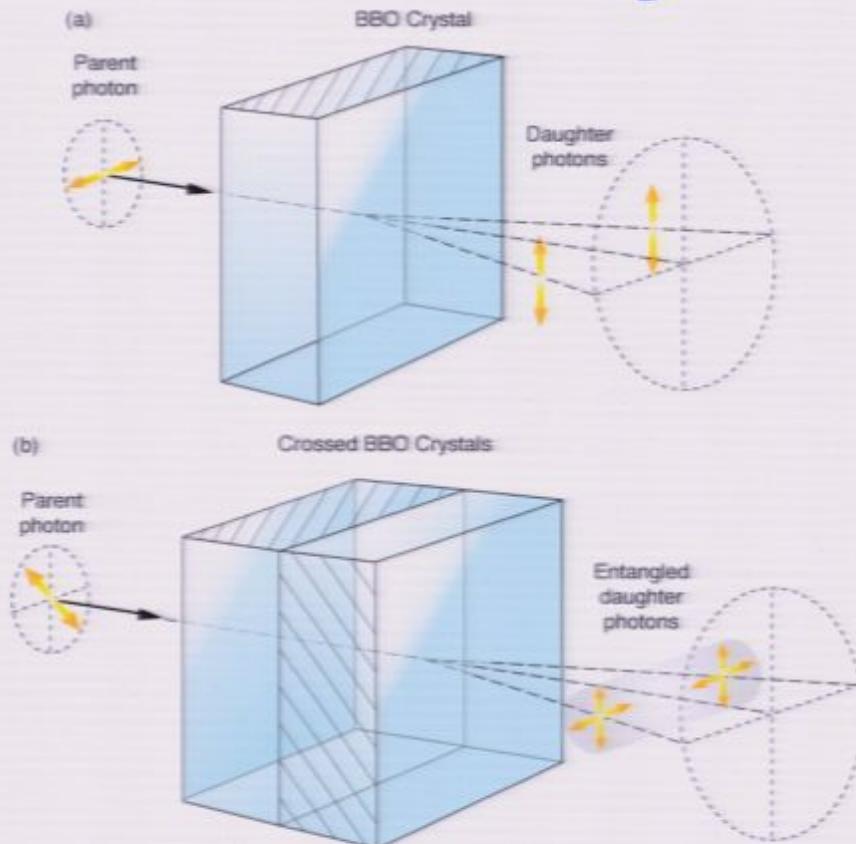
# State Creation by OPDC



# State Creation by OPDC



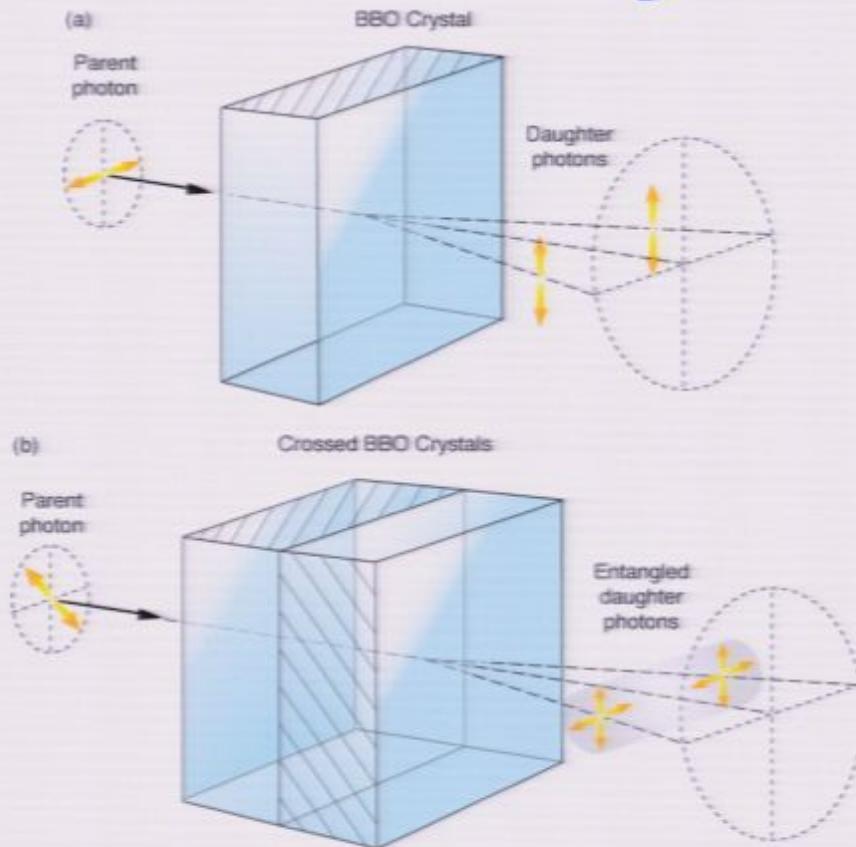
# State Creation by OPDC



$$|H\rangle \rightarrow |VV\rangle$$

$$\begin{aligned} \cos\theta|H\rangle + e^{i\phi}\sin\theta|V\rangle &\rightarrow \\ \cos\theta|VV\rangle + e^{i\phi}\sin\theta|HH\rangle & \end{aligned}$$

# State Creation by OPDC



$$|H\rangle \rightarrow |VV\rangle$$

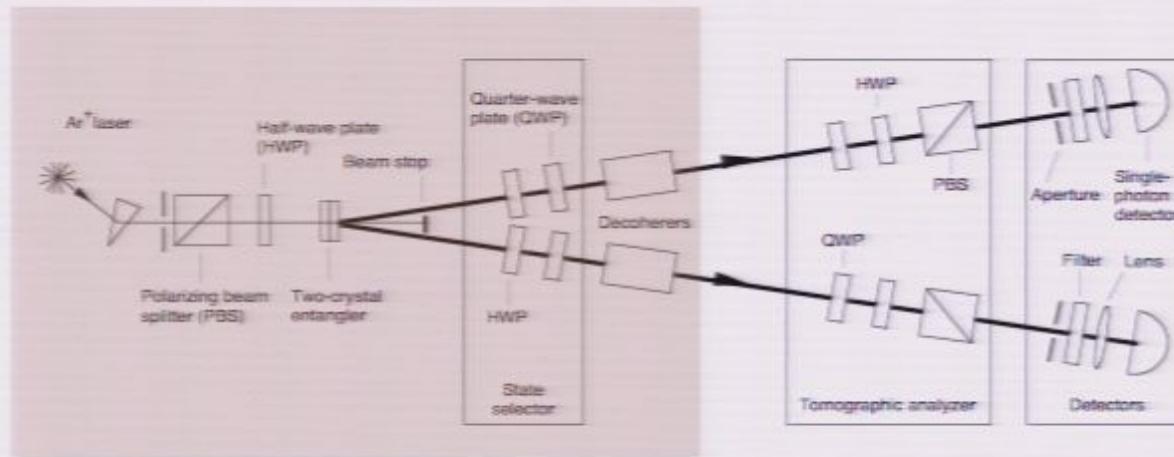
$$\begin{aligned} \cos\theta|H\rangle + e^{i\phi}\sin\theta|V\rangle &\rightarrow \\ \cos\theta|VV\rangle + e^{i\phi}\sin\theta|HH\rangle \end{aligned}$$

Schmidt decomposition:  $|\psi\rangle = a|\phi\varphi\rangle + b|\phi_{\perp}\varphi_{\perp}\rangle$

Arbitrary state: change basis...

## 2 Qubit Quantum State Tomography

source



measurement

Coincidence Rate measurements for two photons

$$n_{a,b} = N \operatorname{Tr}\{(\Pi_a \otimes \Pi_b)\rho\}$$

Linear combination of  $n_{a,b}$  yields the *two-photon Stokes parameters*:

$$S_{a,b} = N \operatorname{Tr}\{(\sigma_a \otimes \sigma_b)\rho\}$$

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From the two-photon Stokes parameters, we can get an estimate of the *density matrix*:

$$\rho = \sum_{a,b=0}^3 \frac{S_{a,b}}{S_{0,0}} (\sigma_a \otimes \sigma_b)$$

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- Doesn't give the right answer....

# Maximum Likelihood Tomography\*

- Maximum Likelihood fit to "physical" density matrix
  - Density matrix must be Hermitian, normalized, **non-negative**
  - Numerically Minimize the function:

$$\chi^2(t_1, t_2, \dots, t_{16}) = \sum_{a,b=0}^3 (\text{Tr}\{\rho(t_1, t_2, \dots, t_{16})(\Pi_a \otimes \Pi_b)\} - n_{a,b})^2 / n_{a,b}$$

\* D. F. V. James, et al., *Phys Rev A* **64**, 052312 (2001).

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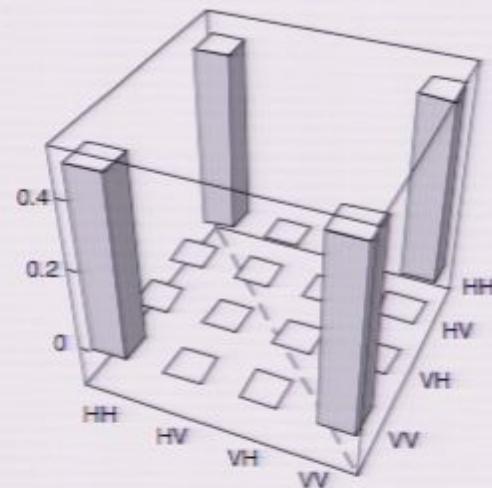
- where:  $\rho = TT^\dagger / \text{Tr}\{TT^\dagger\}$  and

$$T(t_1, t_2, \dots, t_{16}) = \begin{pmatrix} t_1 & 0 & 0 & 0 \\ t_5 + it_6 & t_2 & 0 & 0 \\ t_{11} + it_{12} & t_7 + it_8 & t_3 & 0 \\ t_{15} + it_{16} & t_{13} + it_{14} & t_9 + it_{10} & t_4 \end{pmatrix}$$

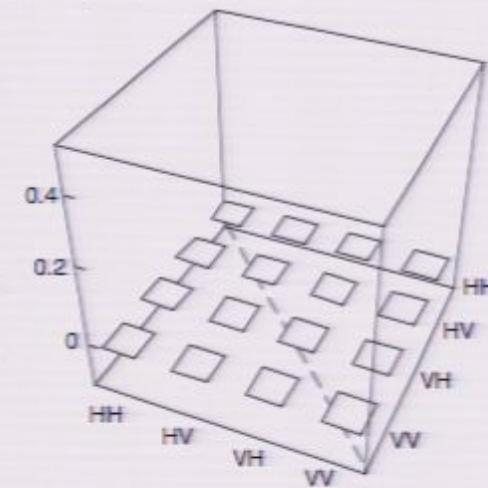
\* D. F. V. James, et al., *Phys Rev A* **64**, 052312 (2001).

# Example: Measured Density Matrix

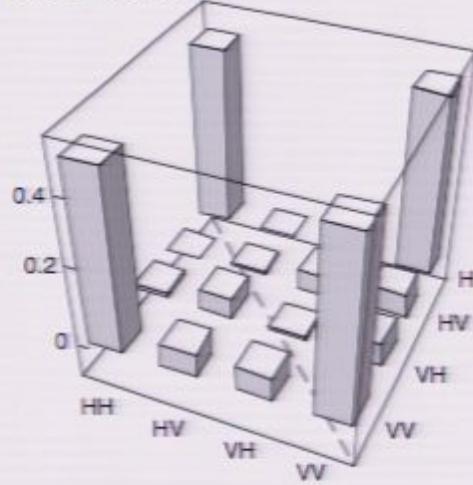
(a) Real—Theoretical



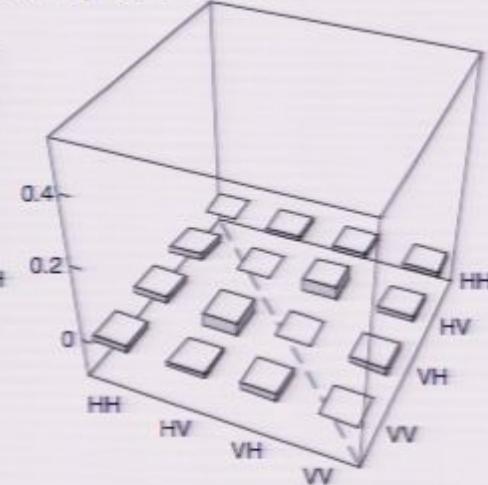
(b) Imaginary—Theoretical



(c) Real—Measured



(d) Imaginary—Measured



# Quantum State Tomography I

- Sublevels of Hydrogen (partial) (*Ashburn et al, 1990*)
- Optical mode (*Raymer et al., 1993*)
- Molecular vibrations (*Walmsley et al, 1995*)
- Motion of trapped ion (*Wineland et al., 1996*)
- Motion of trapped atom (*Mlynek et al., 1997*)
- Liquid state NMR (*Chaung et al, 1998*)
- Entangled Photons (*Kwiat et al, 1999*)\*
- Entangled ions (*Blatt et al., 2002; 8 ions: 2005*)
- Superconducting qubits (*Martinis et al., 2006*)

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\*A. G. White, D. F. V. James, P. H. Eberhard and P. G. Kwiat, *Phys Rev Lett* **83**, 3103 (1999).

# Characterizing the State

## Purity

$$\text{Entropy } S = -\text{Tr}\{\rho \ln \rho\} = -\sum_i \lambda_i \ln \lambda_i$$

$$\text{"Linear Entropy"} \frac{4}{3}(1 - \text{Tr}\{\rho^2\})$$

**Fidelity:** how close are two states?

Pure states:  $F(\psi_1, \psi_2) = |\langle \psi_1 | \psi_2 \rangle|^2$

Mixed states:  $\text{Tr}\{\rho_1 \rho_2\}$  doesn't work:  $\text{Tr}\{\rho^2\} \neq 1$

$$F(\rho_1, \rho_2) = \left[ \text{Tr}\left\{ \sqrt{\sqrt{\rho_1} \rho_2 \sqrt{\rho_1}} \right\} \right]^2$$

# Measures of Entanglement

- Pure states

$$|\psi\rangle = \alpha|HH\rangle + \beta|HV\rangle + \gamma|VH\rangle + \delta|VV\rangle$$

- How much entanglement is in this state?

- *Entropy of reduced density matrix of one photon*

$$E = -Tr\{\rho_A \ln(\rho_A)\} \quad \text{where } \rho_A = Tr_B\{|\psi\rangle\langle\psi|\}$$

- *Concurrence:*

$$C = 2\alpha\delta - \beta\gamma$$

- *Concurrence is equivalent to Entanglement :*

$$E = h\left[\left(1 - \sqrt{1 - C^2}\right)/2\right], \text{ where } h[x] = -x \ln(x) - (1-x) \ln(1-x)$$

- *C=0 implies separable state*

- *C=1 implies maximally entangled state (e.g. Bell states)*

## Entanglement in Mixed States

- Mixed states can be de-composed into incoherent sums of pure states:

$$\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$$

- “Average” Concurrence: dependent on decomposition

$$\bar{C} = \sum_i p_i C(\psi_i)$$

- “Minimized Average Concurrence”:

$$\bar{C}_{min} = \min_{\{\psi_i\}} \sum_i p_i C(\psi_i)$$

- *Independent of decomposition*
- *C=0 implies separable state*
- *C=1 implies maximally entangled state (e.g. Bell states)*
- *Analytic expression (Wootters, '98) makes things very convenient!*

## Two Qubit Mixed State Concurrence

$$R = \rho \Sigma \rho^T \Sigma$$

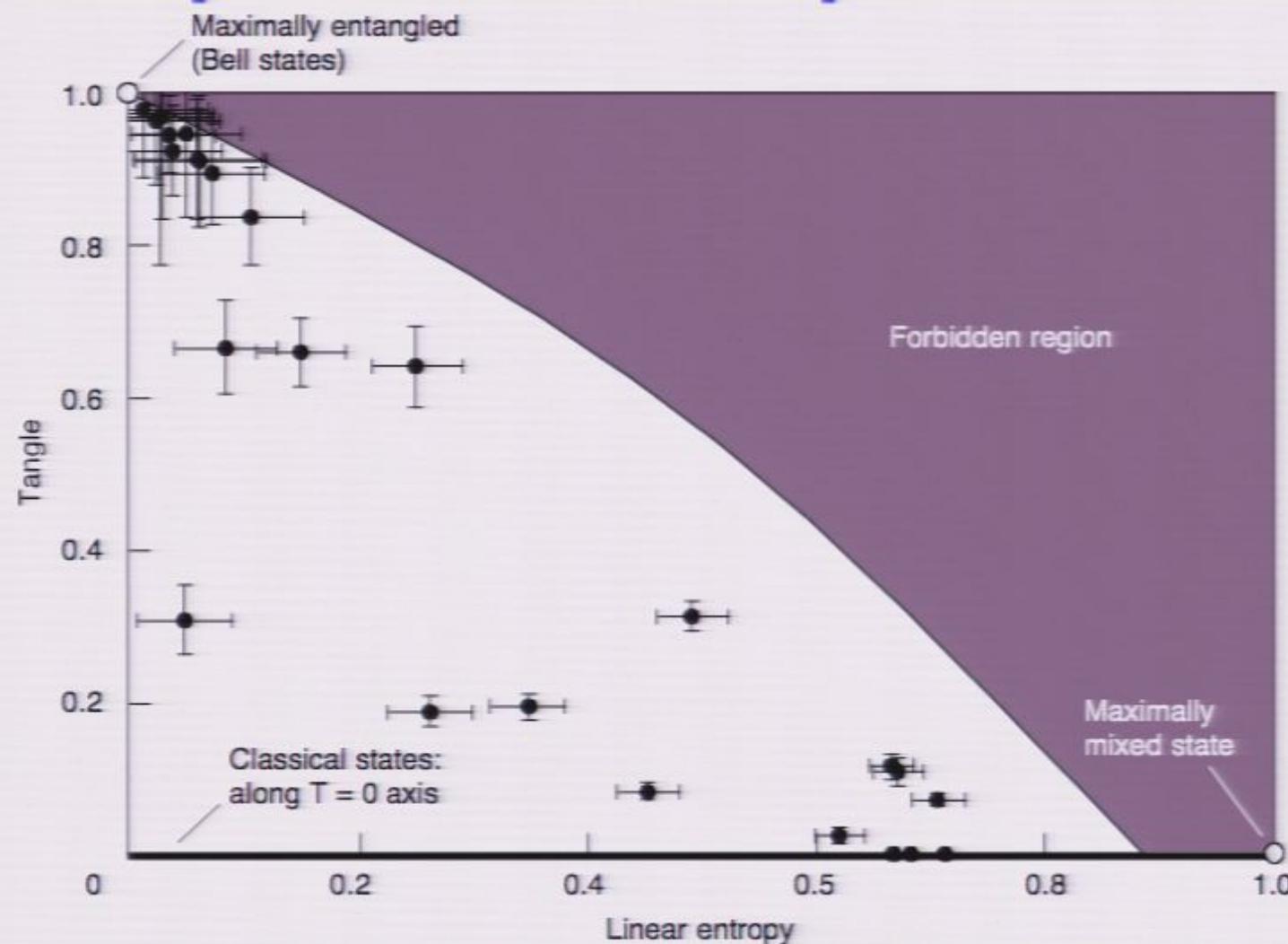
Transpose  
(in computational basis)

Eigenvalues of R  
(in decreasing order)

“spin flip matrix”

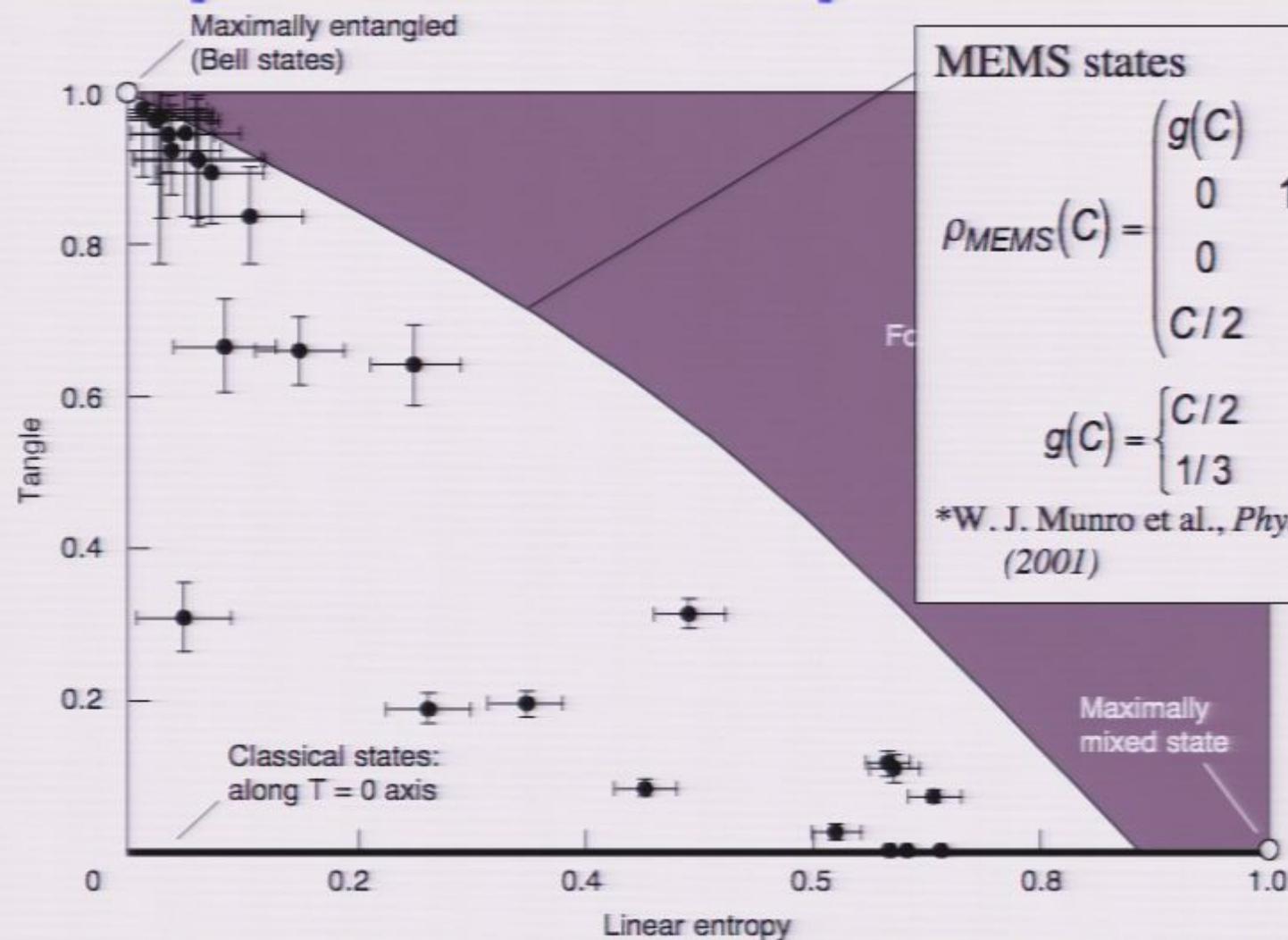
$$\Sigma = \begin{pmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{pmatrix}$$
$$C = \text{Max}[\sqrt{\lambda_1} - \sqrt{\lambda_2} - \sqrt{\lambda_3} - \sqrt{\lambda_4}, 0]$$

# “Map” of Hilbert Space\*



\* D.F.V. James and P.G .Kwiat, *Los Alamos Science*, 2002

# “Map” of Hilbert Space\*



MEMS states

$$\rho_{\text{MEMS}}(C) = \begin{pmatrix} g(C) & 0 & 0 & C/2 \\ 0 & 1-2g(C) & 0 & 0 \\ 0 & 0 & 0 & 0 \\ C/2 & 0 & 0 & g(C) \end{pmatrix}$$

$$g(C) = \begin{cases} C/2 & \text{if } C \geq 2/3 \\ 1/3 & \text{if } C < 2/3 \end{cases}$$

\*W. J. Munro et al., *Phys Rev A* 64, 030302-1 (2001)

\* D.F.V. James and P.G. Kwiat, *Los Alamos Science*, 2002

# Process Tomography

- **Trace Preserving Completely Positive Maps:** Every thing that could possibly happen to a quantum state

$$\rho' = \sum_i E_i \rho E_i^\dagger; \quad \sum_i E_i^\dagger E_i = I$$

“operator-sum formalism”  
“Kraus operators”

set of basis matrices, e.g.:

$$\Gamma_\nu = \frac{1}{2} \sigma_{m(\nu)} \otimes \sigma_{n(\nu)}$$

$$\Gamma_1 = \frac{1}{2} \sigma_0 \otimes \sigma_1, \quad \Gamma_2 = \frac{1}{2} \sigma_0 \otimes \sigma_2, \quad \Gamma_4 = \frac{1}{2} \sigma_1 \otimes \sigma_0, \text{etc, etc.}$$

Trace orthogonality:  $\text{Tr}\{\Gamma_\mu \Gamma_\nu\} = \delta_{\nu,\mu}$

Decompose the Kraus operators:  $E_i = \sum_v \varepsilon_{i,v} \Gamma_v$   
then-

$$\rho' = \sum_{\mu, v} \chi_{\mu v} \Gamma_\mu \rho \Gamma_v$$

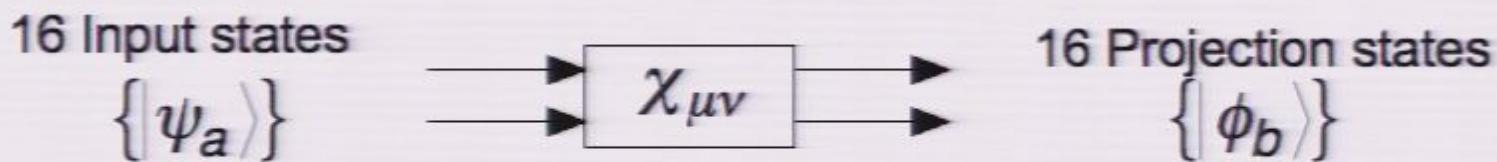
where-

$$\sum_i \varepsilon_{i,v} \varepsilon^*_{i,\mu} = \chi_{v\mu}$$

$\chi$  is a Hermitian, positive 16x16 matrix (“error correlation matrix”), with the constraints-

$$\sum_{\mu, v} \chi_{\mu v} \text{Tr}\{\Gamma_\mu \Gamma_\lambda \Gamma_v\} = \text{Tr}\{\Gamma_\lambda\}$$

# Process Tomography



- Estimate probability from counts  $16 \times 16 = 256$  data:

$$p_{ab} = \sum_{\mu\nu} \chi_{\mu\nu} \langle \phi_b | \Gamma_\mu | \psi_a \rangle \langle \psi_a | \Gamma_\nu | \phi_b \rangle$$

- Recover  $\chi_{\mu\nu}$  by linear inversion
  - problematic in constraining positivity
  - close analogy with state tomography...

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\*I. L. Chuang and M. A. Nielsen, *J. Mod Op.* **44**, 2455 (1997)

## Maximum Likelihood Process Tomography

- Numerically optimize

$$\chi^2(t_1, \dots t_{256}) = \sum_{a,b=1}^{16} \left( \sum_{\mu\nu} \chi_{\mu\nu}(t_1, \dots t_{256}) \langle \phi_b | \Gamma_\mu | \psi_a \rangle \langle \psi_a | \Gamma_\nu | \psi_b \rangle - p_{ab} \right)^2 / p_{ab}$$

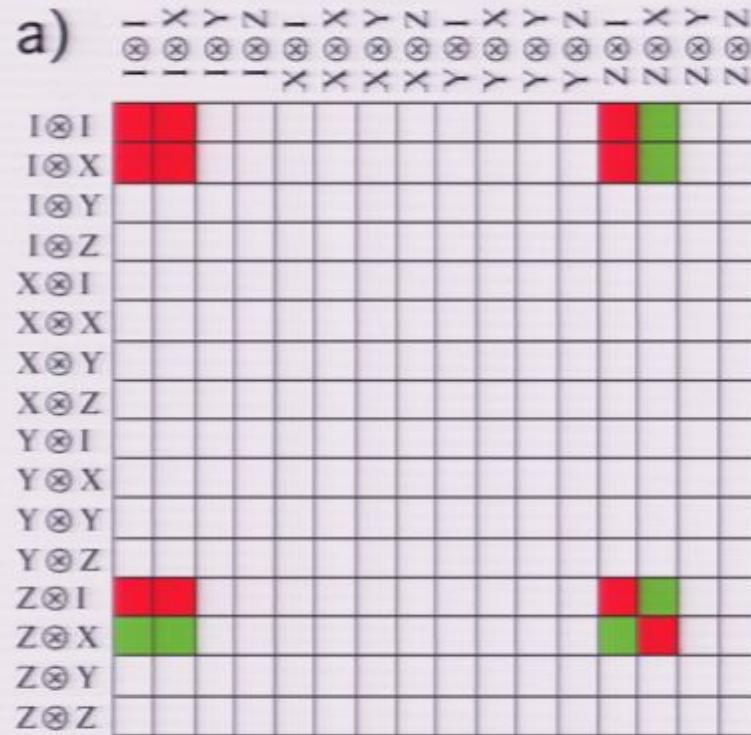
where:  $\chi_{\mu\nu} = T^\dagger T$  (256 free parameters)

- Constraints on  $\chi_{\mu\nu}$ :
  - positive
  - Hermitian
  - additional constraint for physically allowed process:

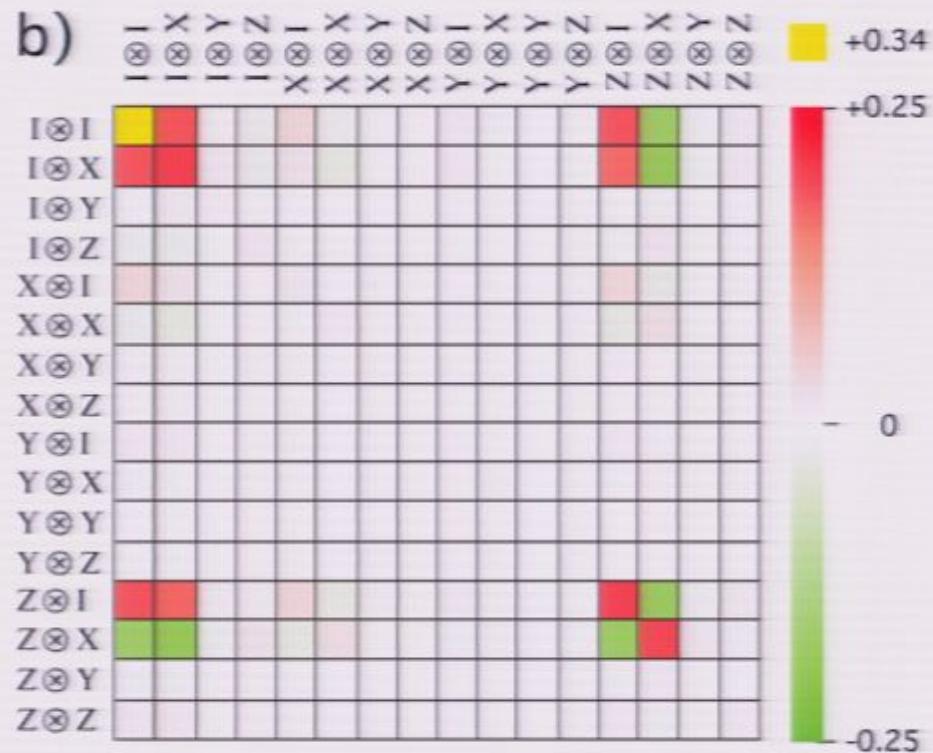
$$\sum_{\mu,\nu} \chi_{\mu\nu} \text{Tr}\{\Gamma_\mu \Gamma_\lambda \Gamma_\nu\} = \text{Tr}\{\Gamma_\lambda\}$$

# Process Tomography of UQ Optical CNOT\*

*Actual CNOT*



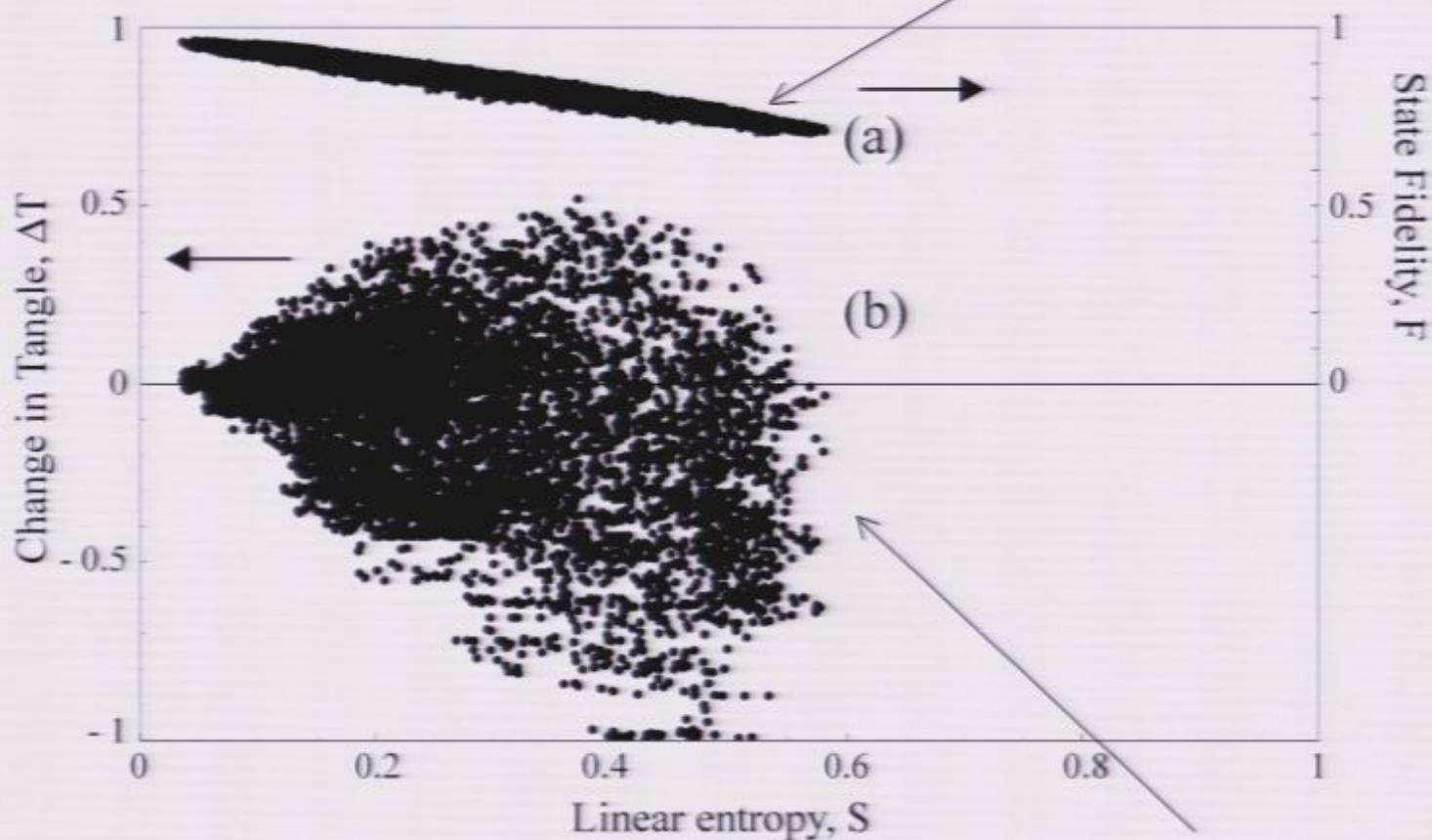
*Most Likely  $\chi_{uv}$  matrix*



\*J. L. O'Brien, G. J. Pryde, A. Gilchrist, D. F. V. James, N. K. Langford, T. C. Ralph and A. G. White, "Quantum process tomography of a controlled-NOT gate," *Phys Rev Lett*, **93**, 080502 (2004); quant-ph/0402166.

## Characterizing Processes

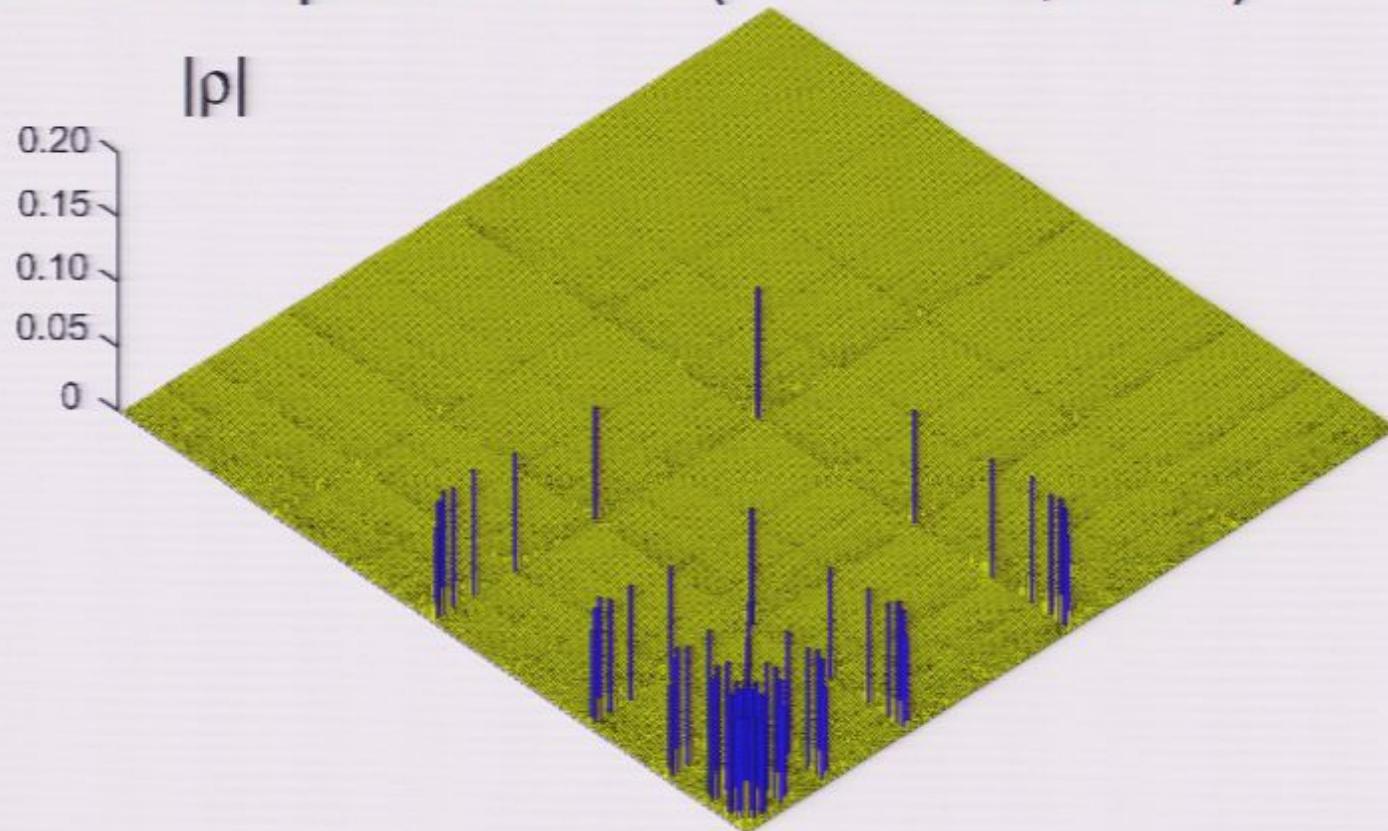
$F(\mathcal{E}_{ideal}(\rho), \mathcal{E}_{meas}(\rho))$  plotted against  $S(\rho)$



$T(\mathcal{E}_{meas}(\rho)) - T(\rho)$  plotted against  $S(\mathcal{E}_{meas}(\rho)) - S(\rho)$

# Scalability?

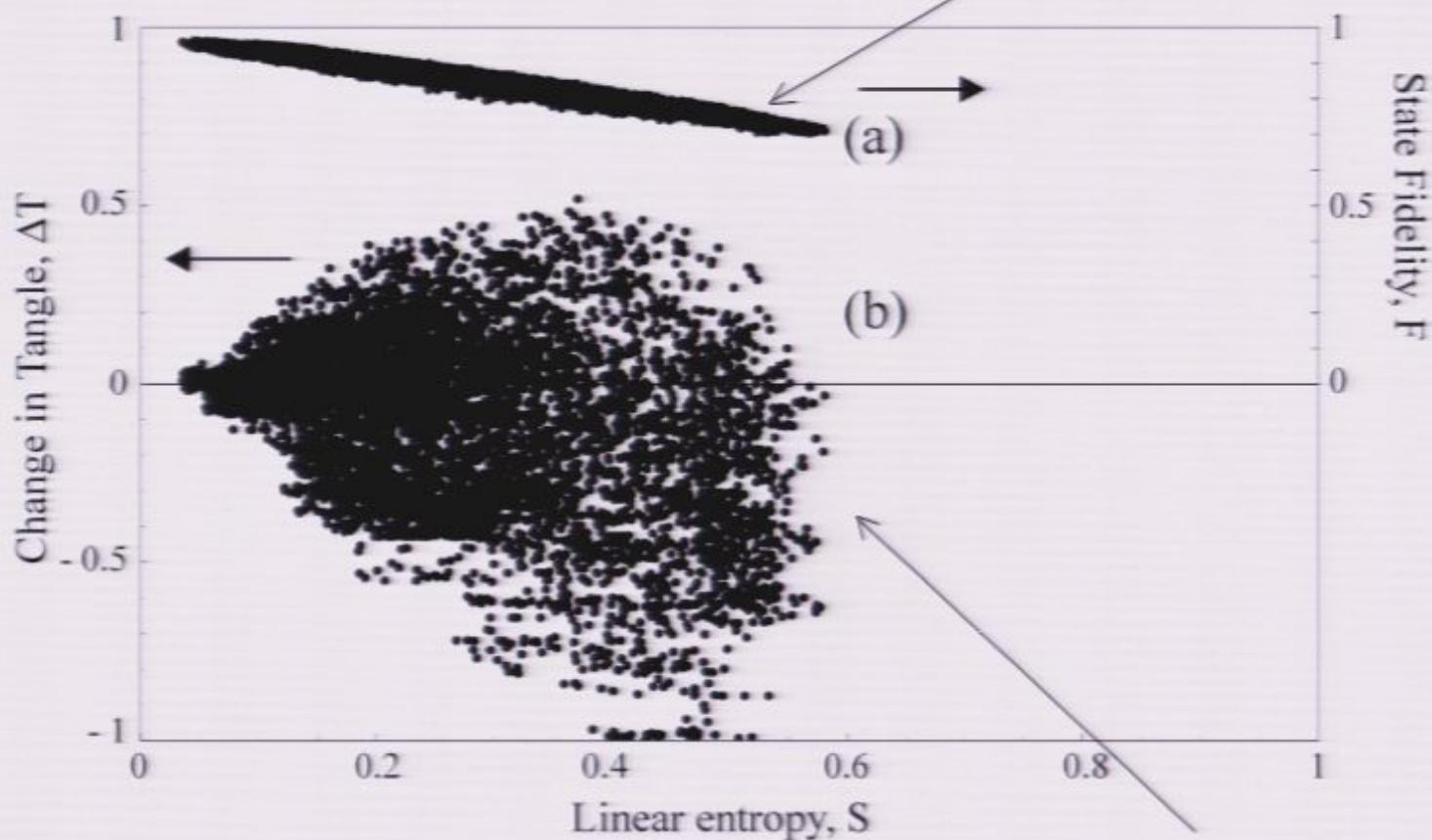
- record: 8 qubit W-state (Blatt et al., 2006)



- Why not more? N qubit state tomography requires  $4^{N-1}$  measurements (& numerical optimization in a  $4^{N-1}$  dimensional space)

## Characterizing Processes

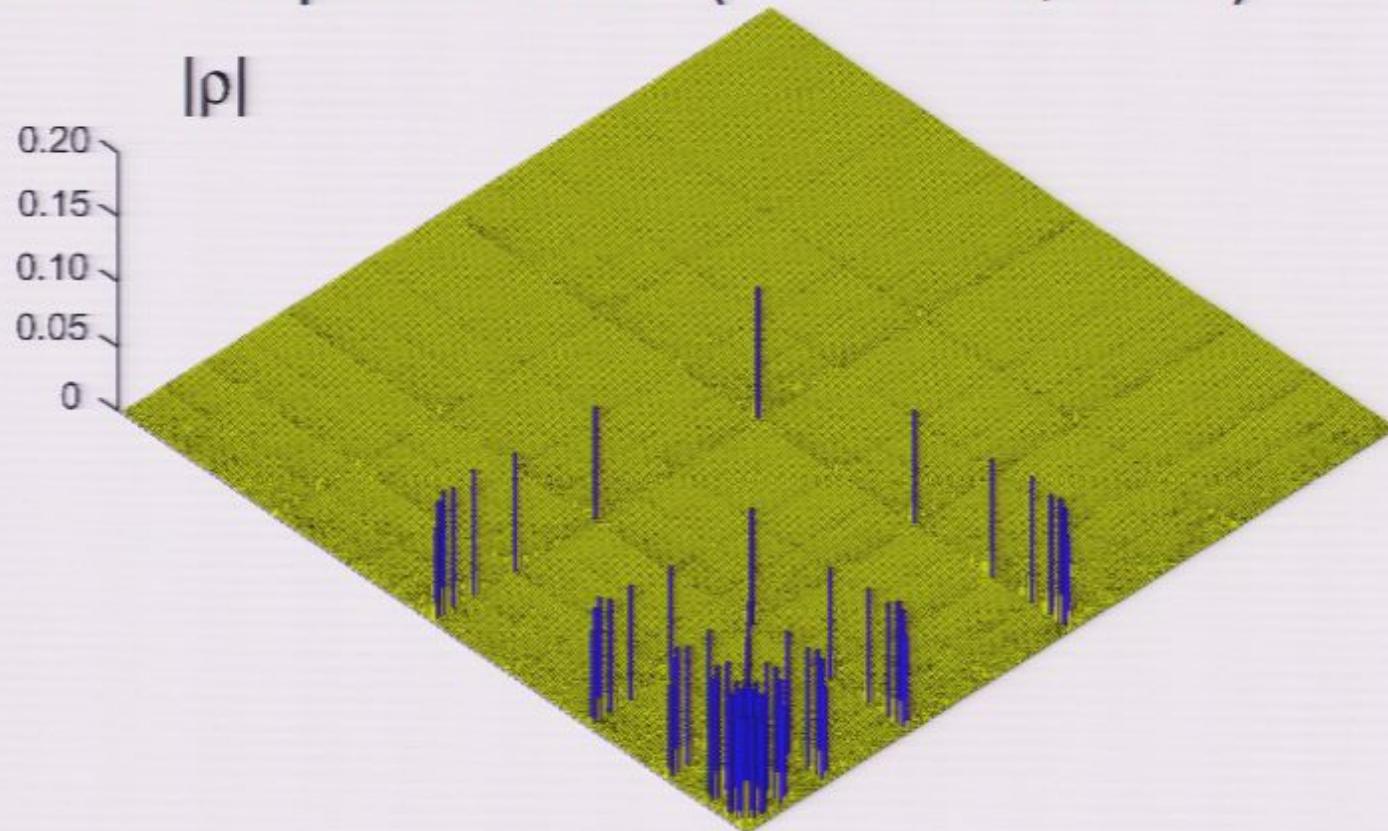
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## Fixes?

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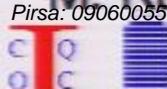
- **Measurements:** you can get a good guess at the density matrix with fewer measurements (it still requires exponential searching) (Aaronson, 2006)
- **Direct Characterization:** In some cases you can get the information you need more directly, without the tedious mucking around with the density matrix (e.g. entanglement witnesses; noise characterization)
- **Push the envelope:** How far can we go using smart computer science before we hit the wall?

# Pushing the Envelope\*



- **Step 1:** find a smart computer scientist:
  - data storage and handling
  - optimization algorithms (conjugate gradient technique implemented via matrix calculus)
    - density matrix via the Cholesky decomposition  $\hat{\rho} = \hat{T}\hat{T}^\dagger / \text{Tr}\{\hat{T}\hat{T}^\dagger\}$
    - search space of  $\hat{T}$  matrices to find a state  $\hat{\rho}$  which 'best' fits observations using conjugate gradient technique.
    - use matrix calculus to find an *analytic* form for  $\partial F(\hat{T}) / \partial \hat{T}$ .
    - code implemented in matlab, see:  
<http://www.physics.utoronto.ca/~dfvj/NST/index.html>

\* M. Kaznady and D. F. V. James, *Phys Rev A* 79, 022109 (2009); arXiv:0809.2376



## Is “the best” the enemy of “good enough”

Are we being too pedantic in looking for the optimal density matrix to fit a given data set, when a simpler numerical technique produces a good estimation (i.e. within the error bars)?

### **Alternatives:**

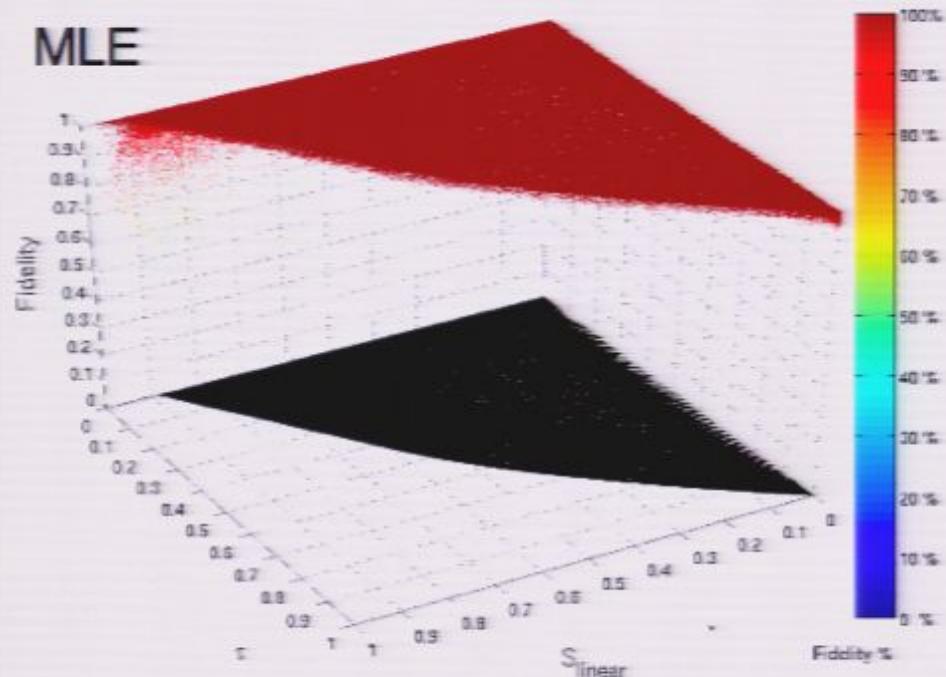
- ‘*quick and dirty*’: zero out the negative eigenvalues rather than perform an exhaustive optimization.
- ‘*forced purity*’: we are trying to make **pure states**, so why not use that fact?

**Both give positive matrices quickly: but are they the actual states in question?**

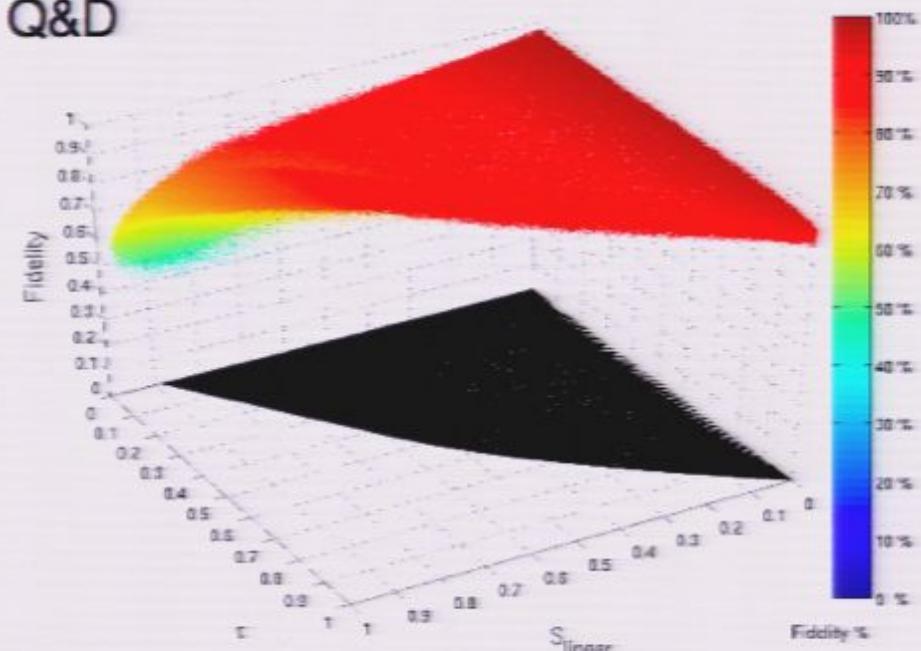
## Numerical experiments

- choose a state
  - simulate measurement data with a Poisson RNG
  - estimate state using code
  - compare estimated and actual state
- Fidelities for 2-qubit states:**

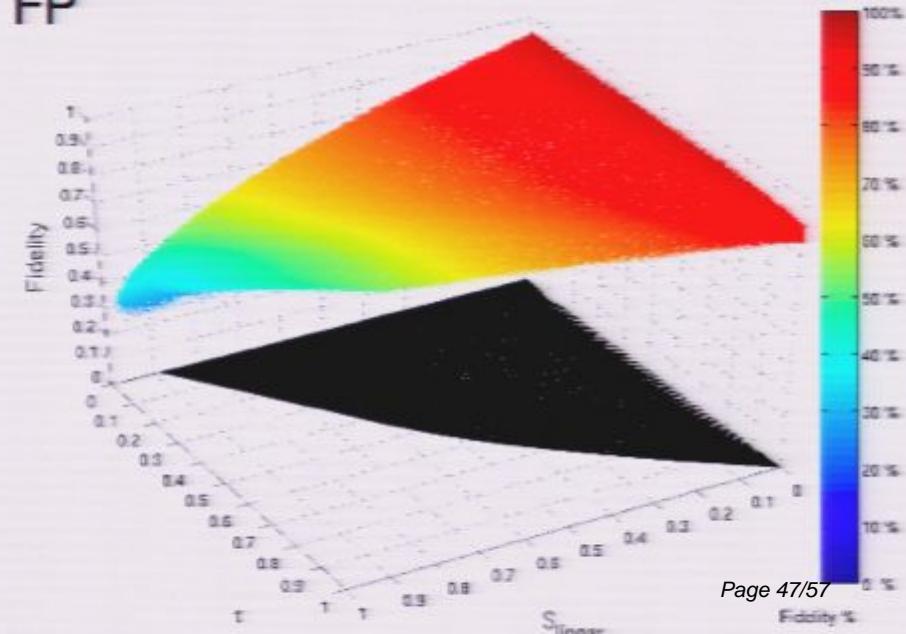
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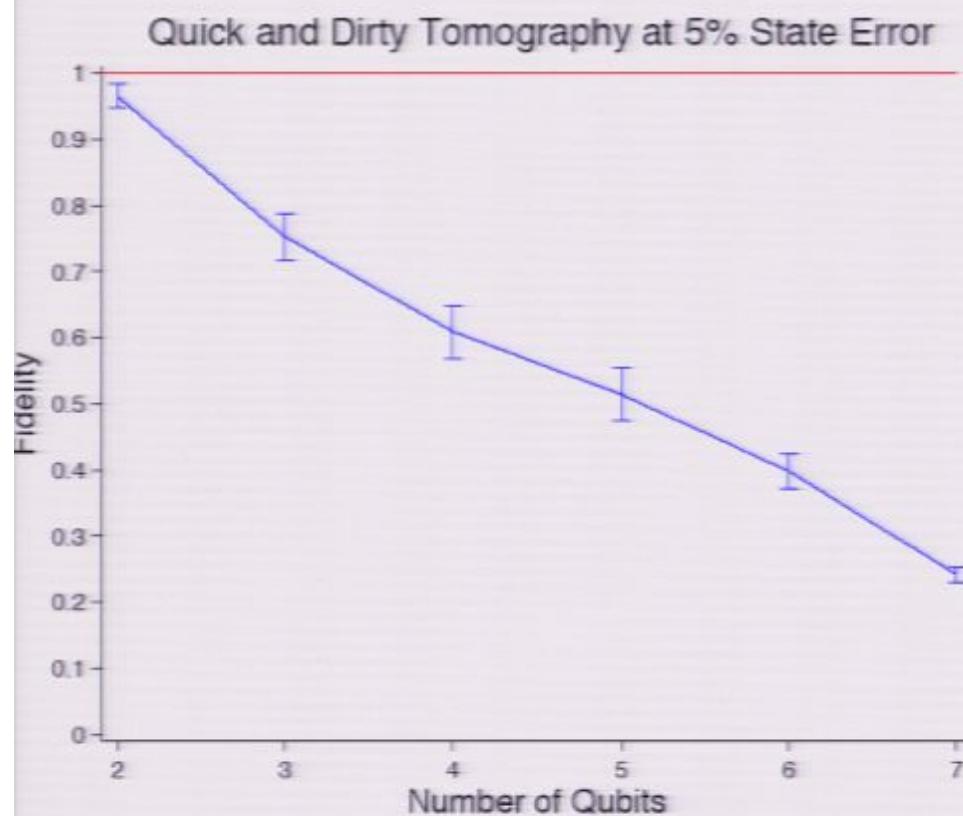
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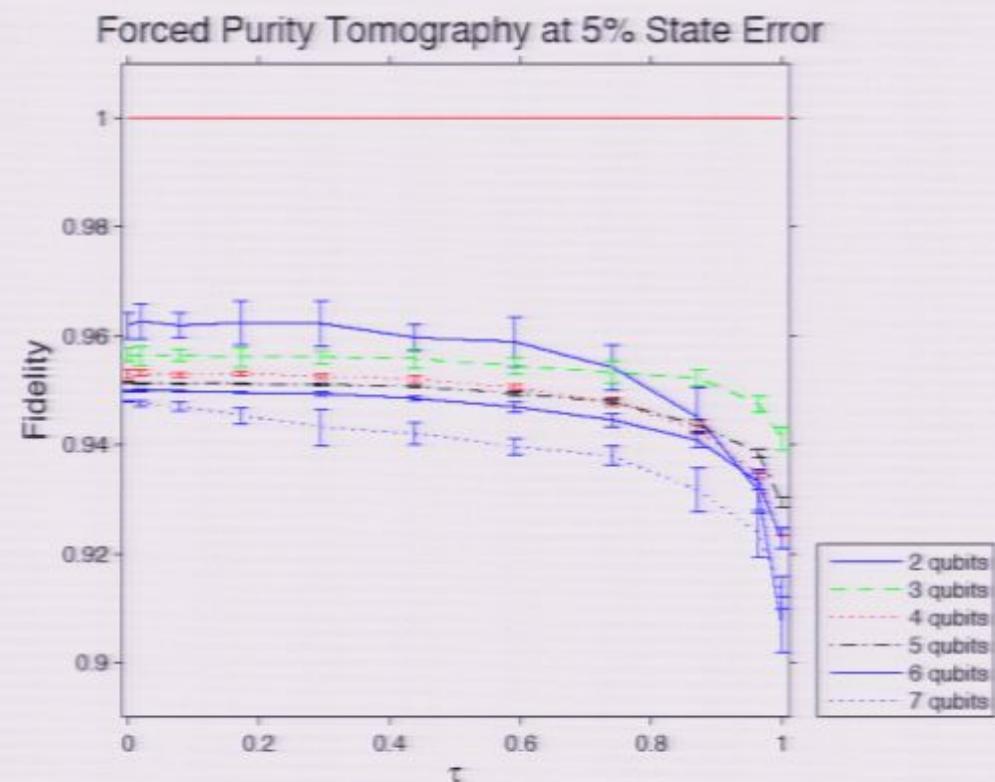
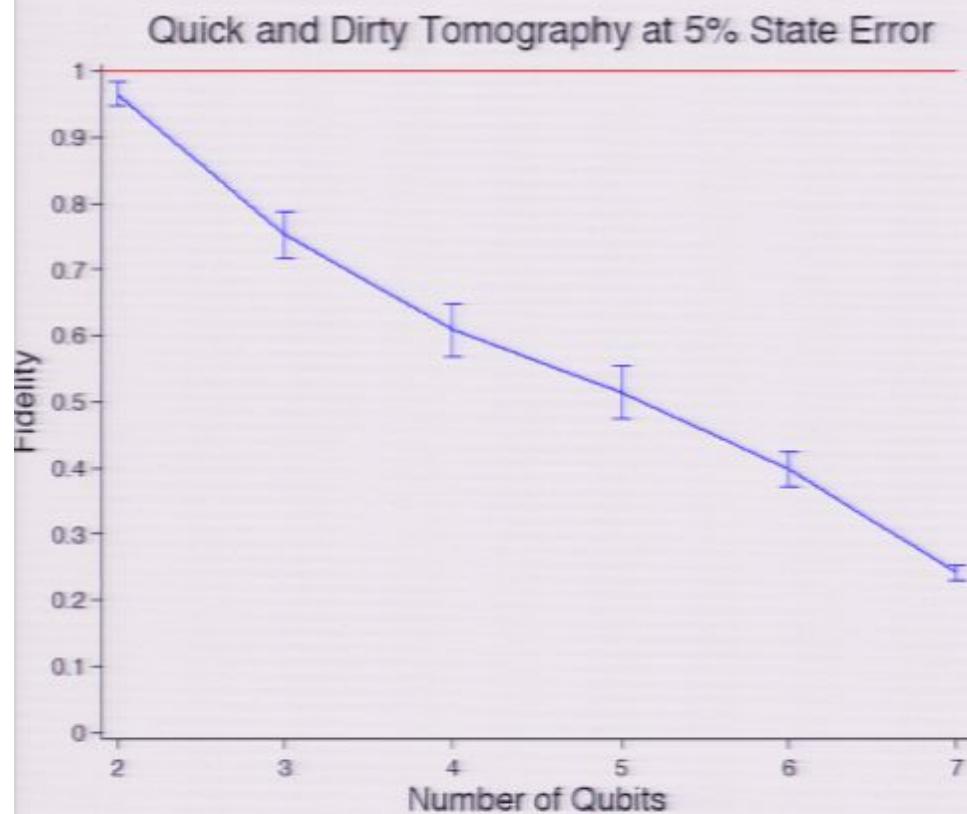
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- Larger numbers of qubits...



- Larger numbers of qubits...



## Conclusions

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- Maybe these techniques can give reasonably good characterization of a dozen or so qubits....
- Beyond that, how an we know quantum computers is doing what it's meant to?
  - *well characterized components.*
  - *error correction: you can't know if it's bust or not, so you'd best fix it anyway.*
  - *answers are easy to check.*

PI 3 Jun 09

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# Quantum State and Process Measurement and Characterization

Daniel F. V. JAMES

Department of Physics &  
Center for Quantum Information and Quantum Control  
University of Toronto

Perimeter Institute  
3 June 2009



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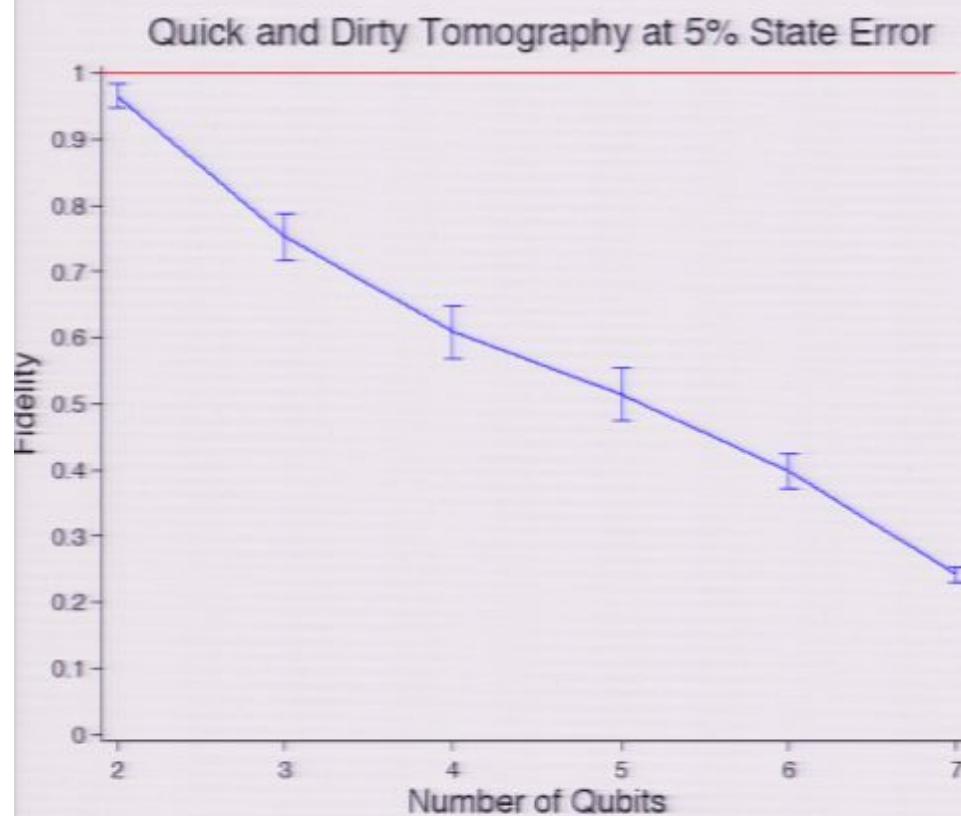
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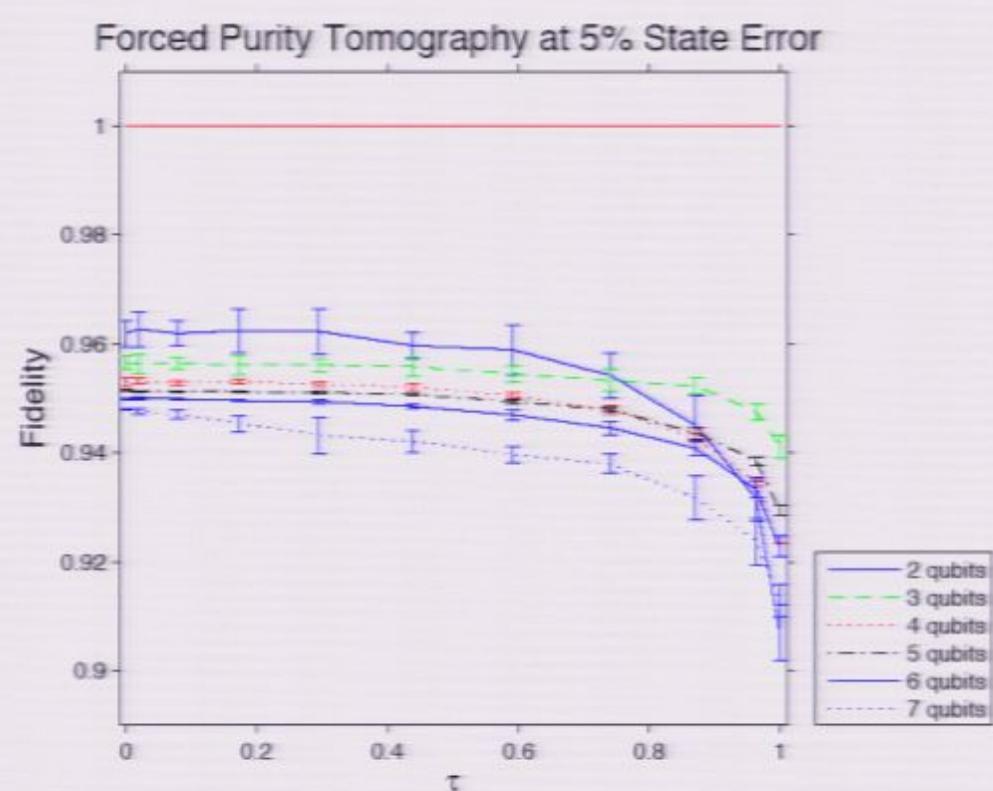
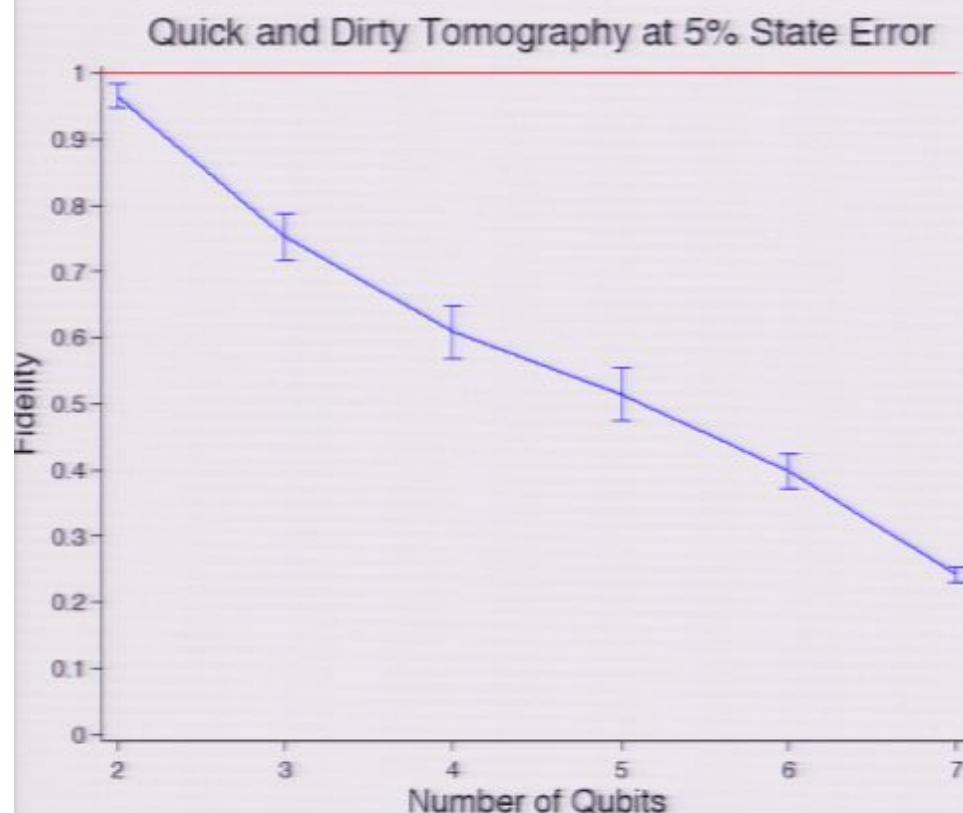
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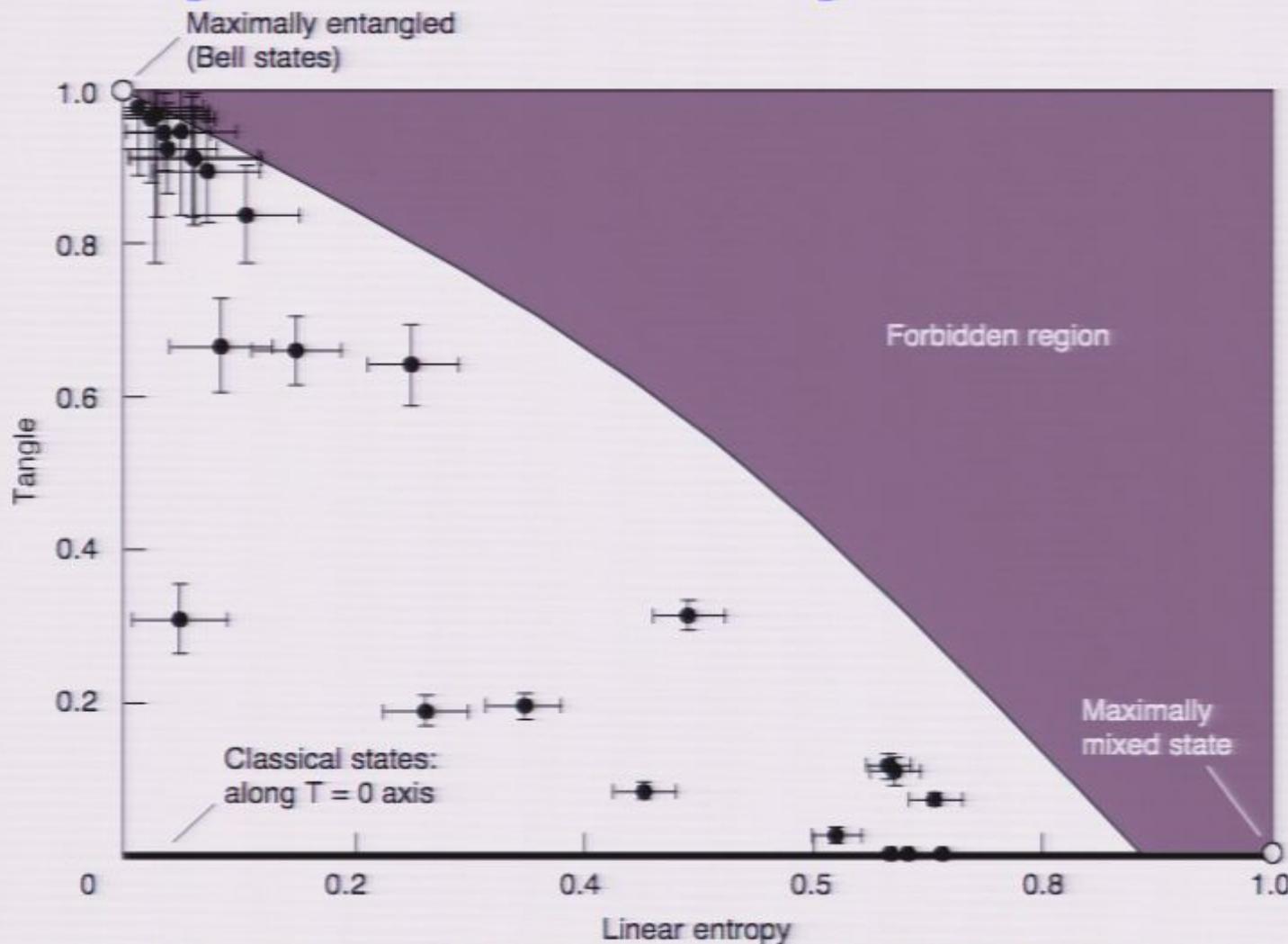
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- Larger numbers of qubits...

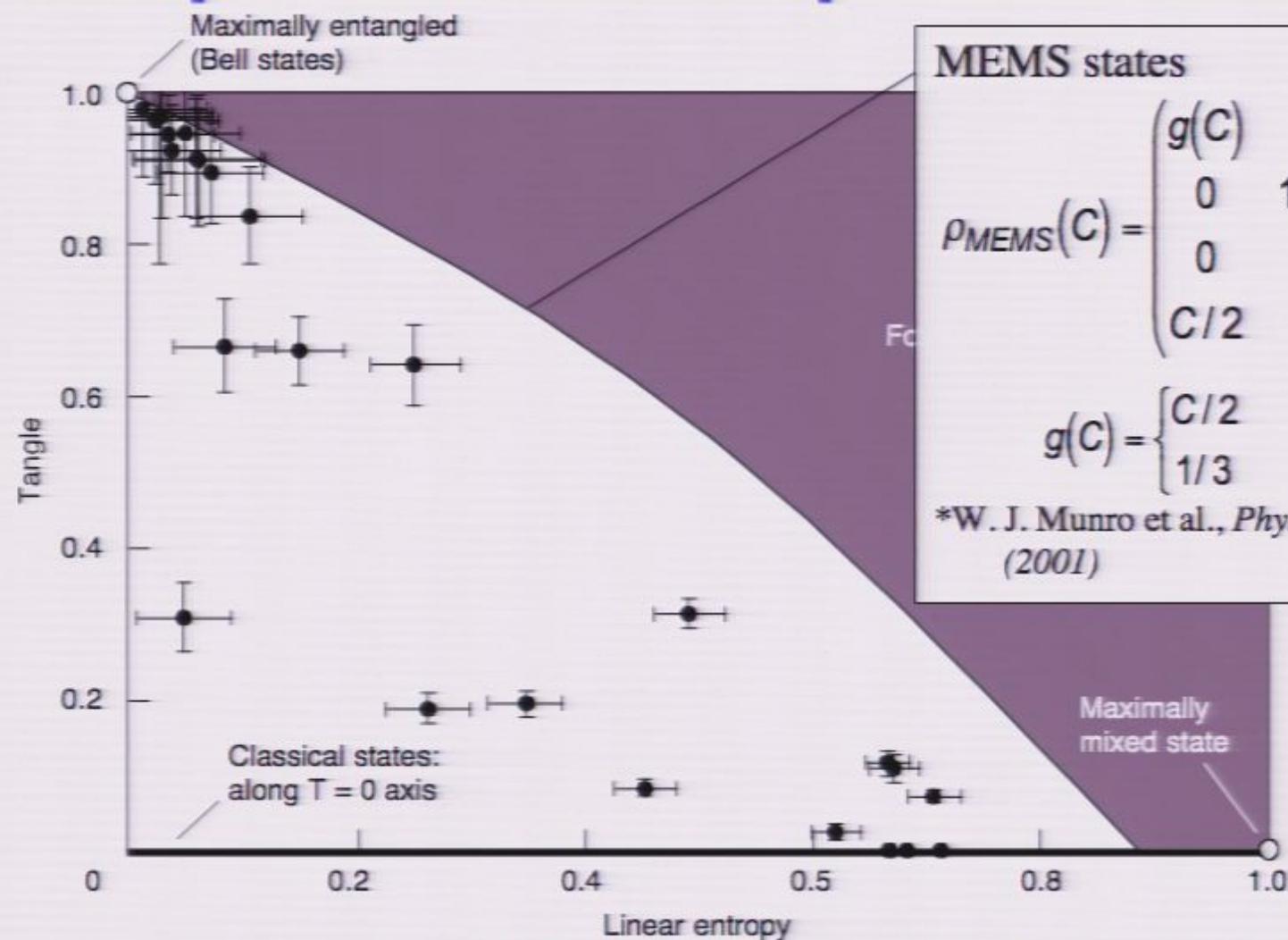


# “Map” of Hilbert Space\*



\* D.F.V. James and P.G .Kwiat, *Los Alamos Science*, 2002

# “Map” of Hilbert Space\*



MEMS states

$$\rho_{\text{MEMS}}(C) = \begin{pmatrix} g(C) & 0 & 0 & C/2 \\ 0 & 1-2g(C) & 0 & 0 \\ 0 & 0 & 0 & 0 \\ C/2 & 0 & 0 & g(C) \end{pmatrix}$$

$$g(C) = \begin{cases} C/2 & \text{if } C \geq 2/3 \\ 1/3 & \text{if } C < 2/3 \end{cases}$$

\*W. J. Munro et al., *Phys Rev A* **64**, 030302-1 (2001)

\* D.F.V. James and P.G. Kwiat, *Los Alamos Science*, 2002

