

Title: Operational structures as a foundation for probabilistic theories

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Abstract: Work on formulating general probabilistic theories in an operational context has tended to concentrate on the probabilistic aspects (convex cones and so on) while remaining relatively naive about how the operational structure is built up (combining operations to form composite systems, and so on). In particular, an unsophisticated notion of a background time is usually taken for granted. It pays to be more careful about these matters for two reasons. First, by getting the foundations of the operational structure correct it can be easier to prove theorems. And second, if we want to construct new theories (such as a theory of Quantum Gravity) we need to start out with a sufficiently general operational structure before we introduce probabilities. I will present an operational structure which is sufficient to provide a foundation for the probabilistic concepts necessary to formulate quantum theory. According to Bob Coecke, this operational structure corresponds to a symmetric monoidal category. I will then discuss a more general operational framework (which I call Object Oriented Operationalism) which provides a foundation for a more general probabilistic framework which may be sufficient to formulate a theory of Quantum Gravity. This more general operational structure does not admit an obvious category theoretic formulation.

Operational Structures as a  
Foundation for probability theories

Lucien Hardy

Perimeter Institute

2nd June 2009 Categories, Quanta, Concepts.

Problem: to find a theory of Quantum Gravity



GR  
beautiful  
pristine  
theory



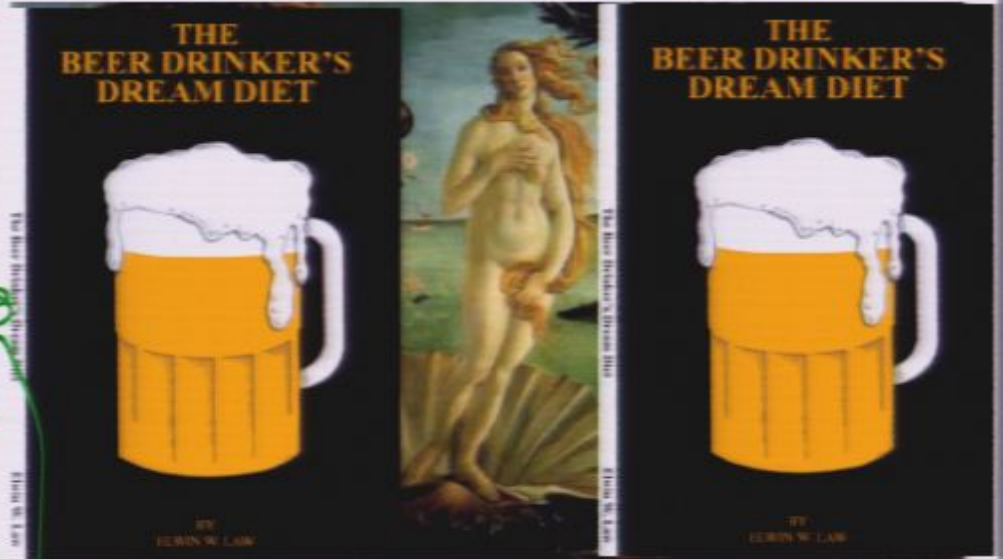
QT  
has problems.



Usually people try to solve QT's problems in an attempt to reconcile two theories.

Here, instead, we try to corrupt GR to enable a marriage of equals.

inject mass problem

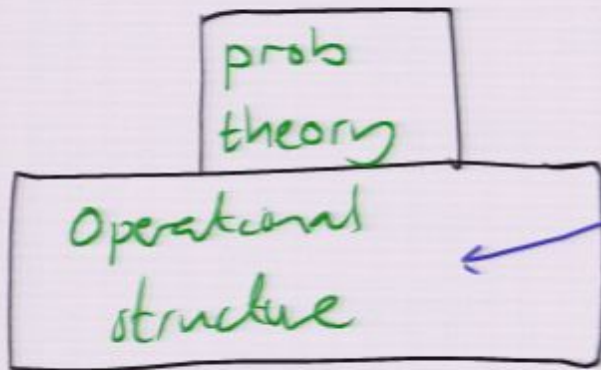


"The Copenhagen Interpretation of GR"

Actually will work towards an operational framework rich enough to accommodate both QT and Prob GR and work towards a theory of QG.

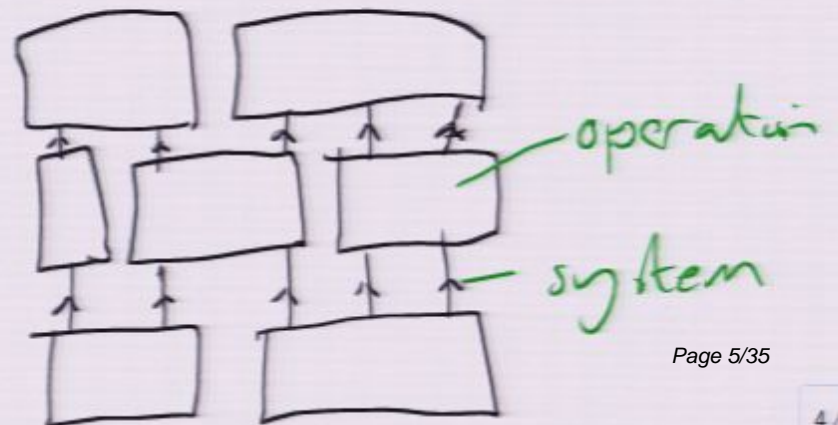
In the recent literature, general probabilistic theories are usually formulated in an operational context

— so operational structures form a foundation for general probabilistic theories.



researchers into gen prob structures usually take naive approach here:

time ↑



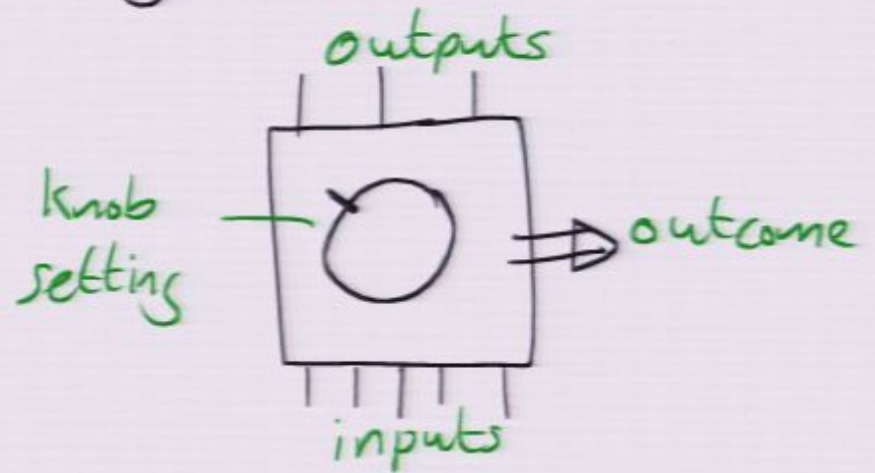


We can try to be more serious. Here's one way to proceed:

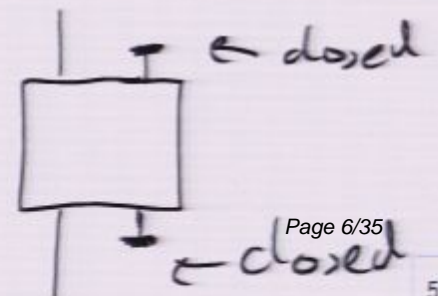
Basic building block is the **Operation**. An operation is a use of an apparatus having:

**Knob settings**: can adjust

**Outcomes**: can read off

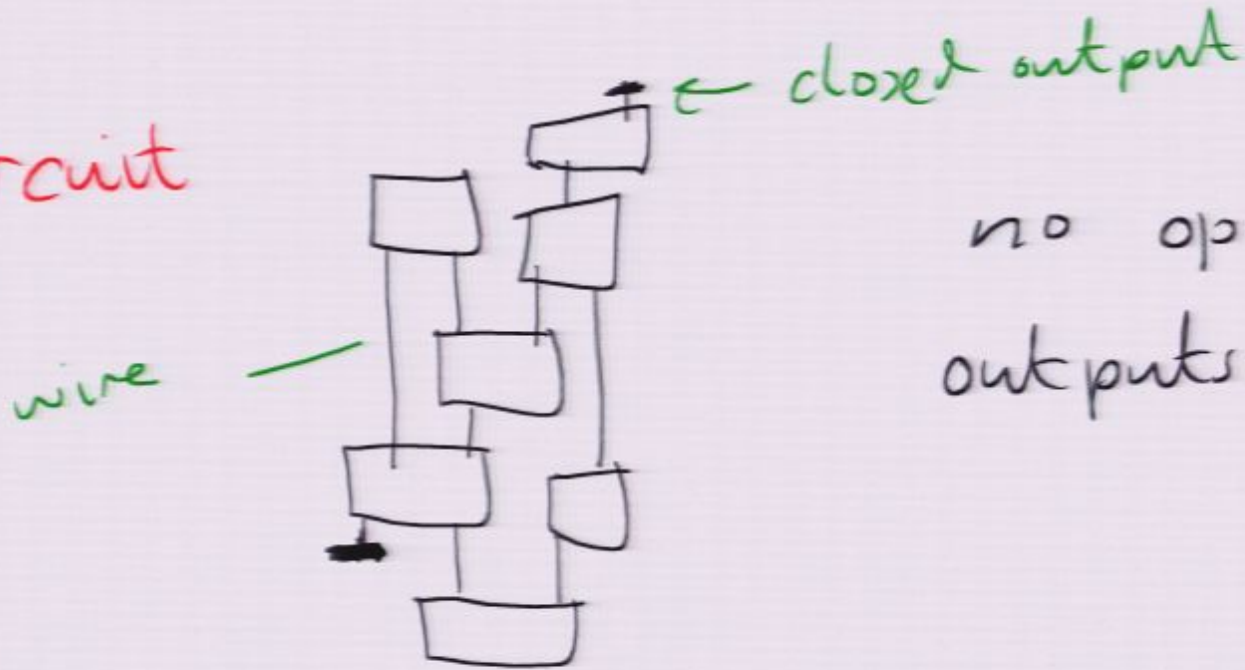


**Inputs** and **Outputs** come in different types  $a, b, c, \dots$  and can be **open** or **closed**.



**Wires** show how inputs connected to outputs of same type (Ikea idea)

**A circuit**

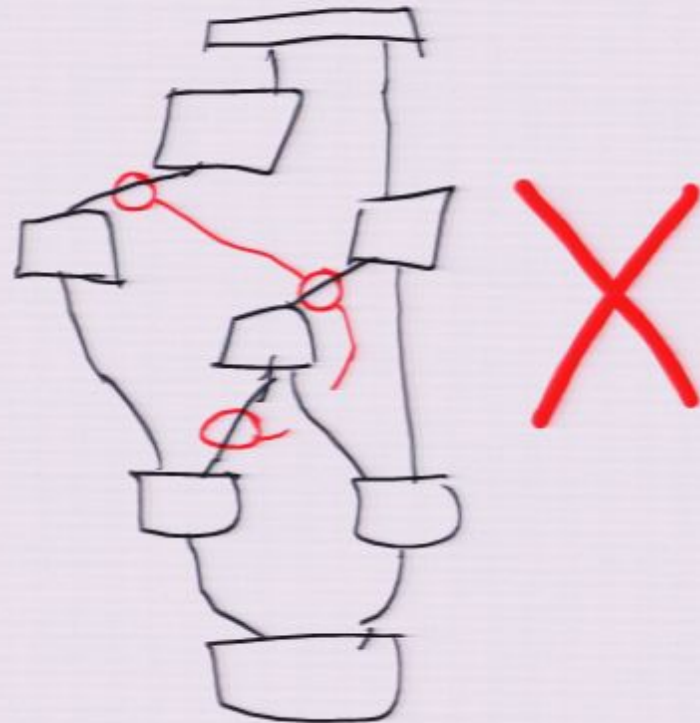
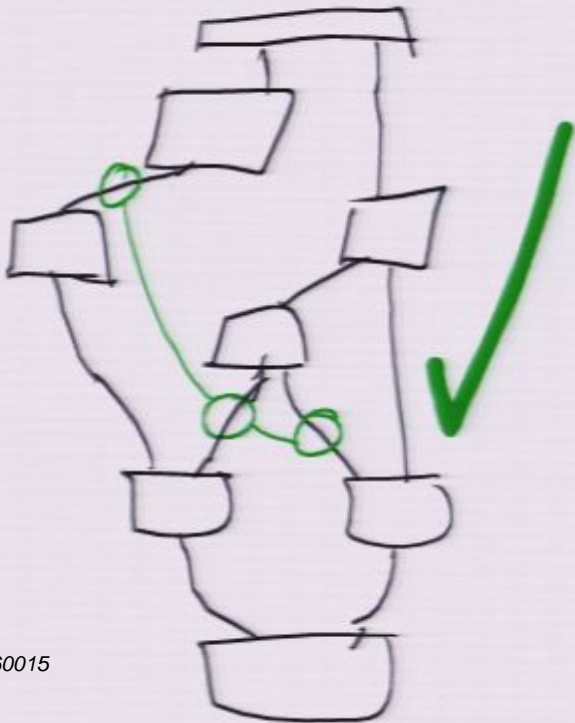


no open inputs or outputs left over.

no closed loops

# Time

A **synchronous set of wires** in a circuit is a set for which there exists no path from one wire to another in the set by tracing forward.





Important definition - now introduce probability

A closable set of operations,  $\mathcal{O}$ , is one such that

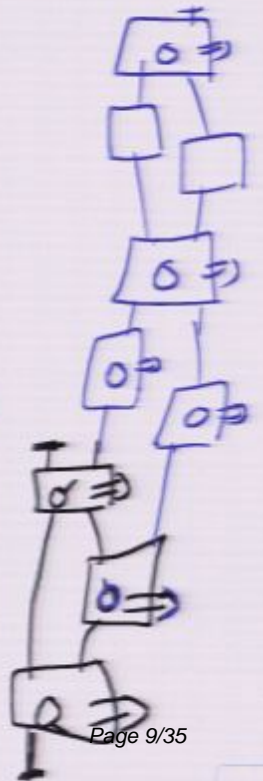
i) The probability for every set of outcomes at the operations is well defined for all circuits that can be built from  $\mathcal{O}$  for all choices of knob settings

ii) This probability is unchanged when closed outputs are opened and extra operations wired on, so long as no closed inputs are opened.

well defined prob  $\rightarrow$



same prob

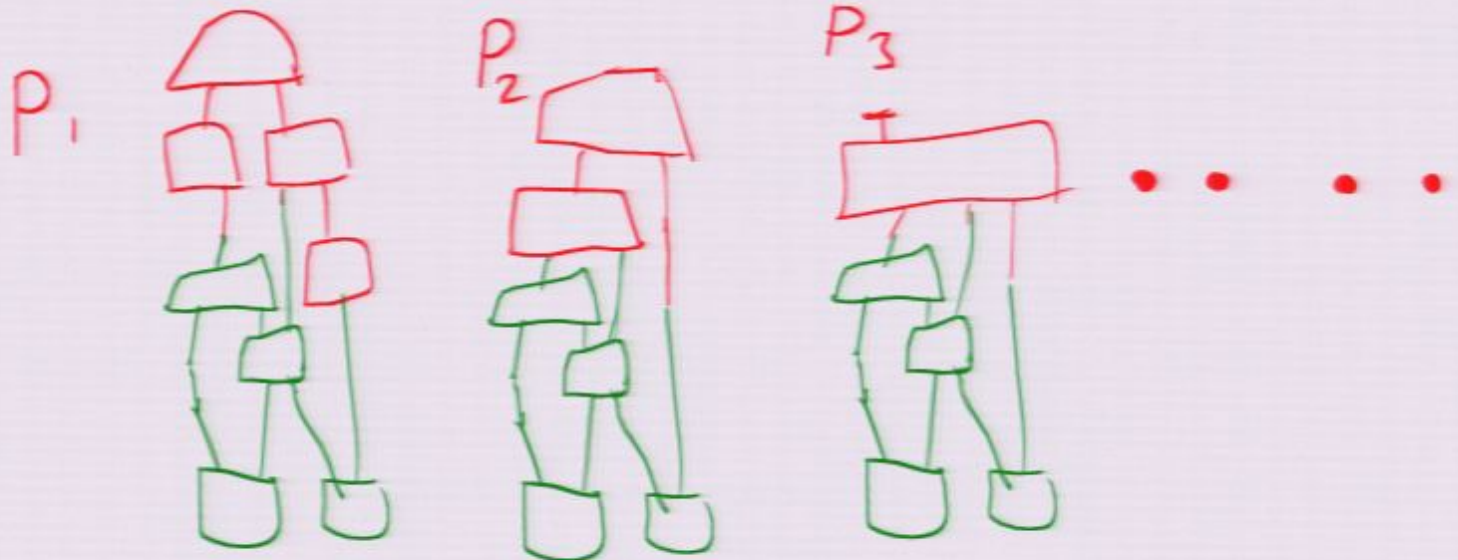


# The State

The state associated with a preparation is that thing represented by any mathematical object that can be used to calculate the probability for any circuit over that preparation

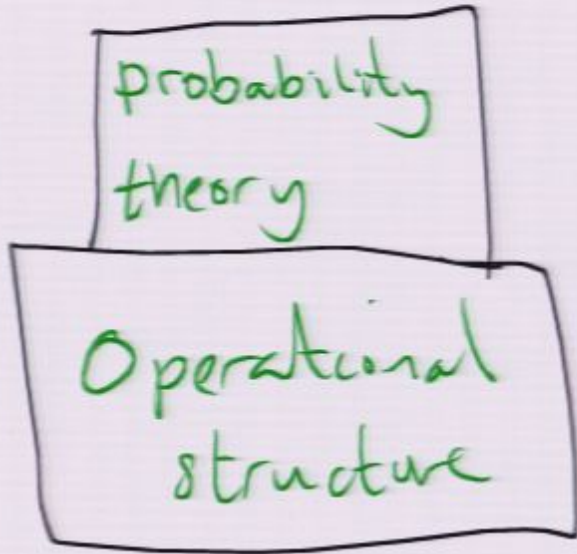


Pirsa: 09060015  
preparation





have



Can use this to prove theorems.

Eg. Two notions of purity of states equivalent

extremal states  $\Leftrightarrow$  uncorrelatable states

In naive op. structure have to introduce additional assumption.



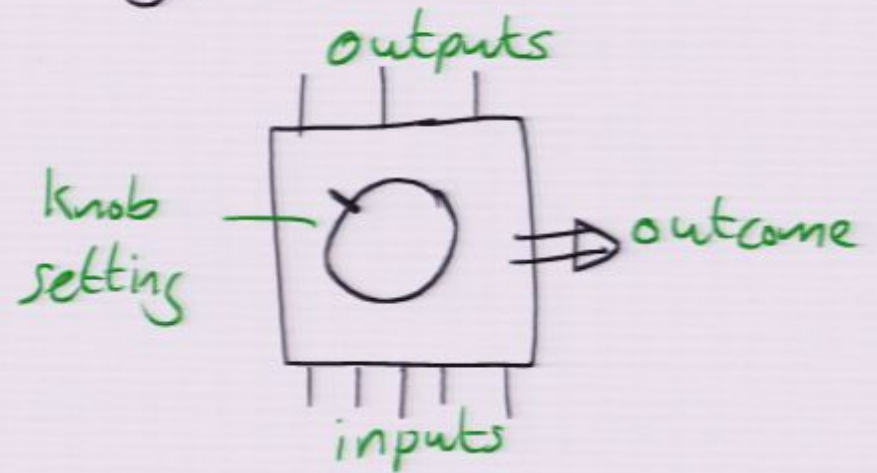


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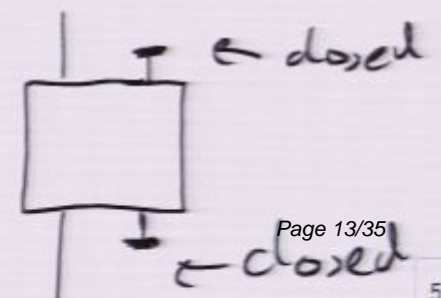
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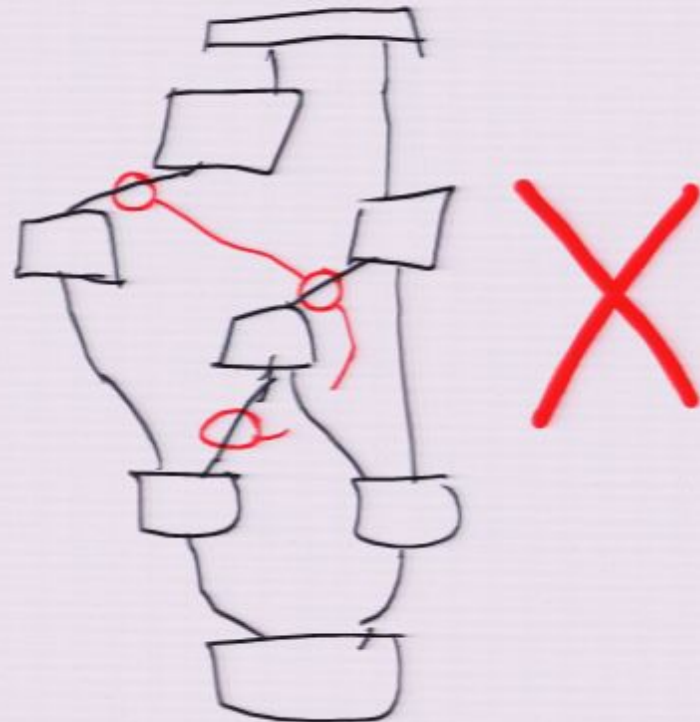
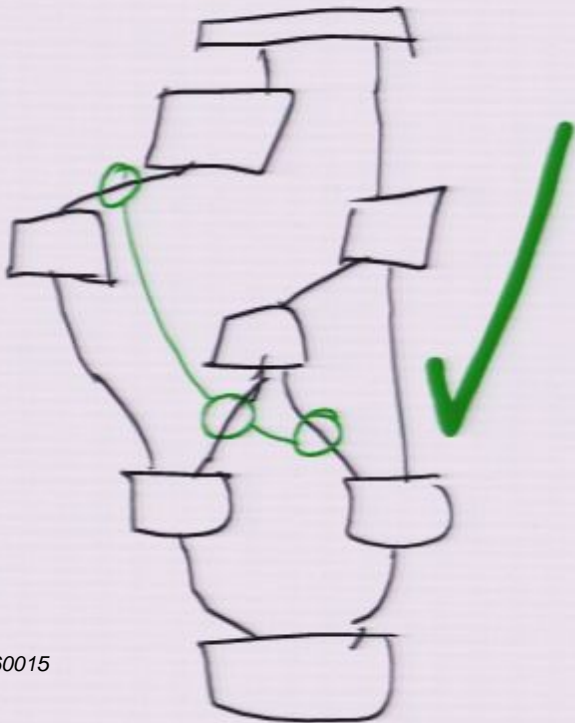
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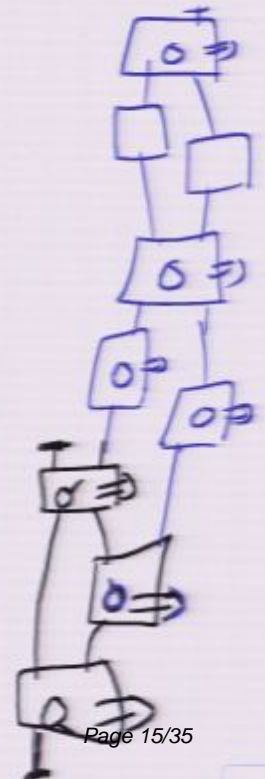
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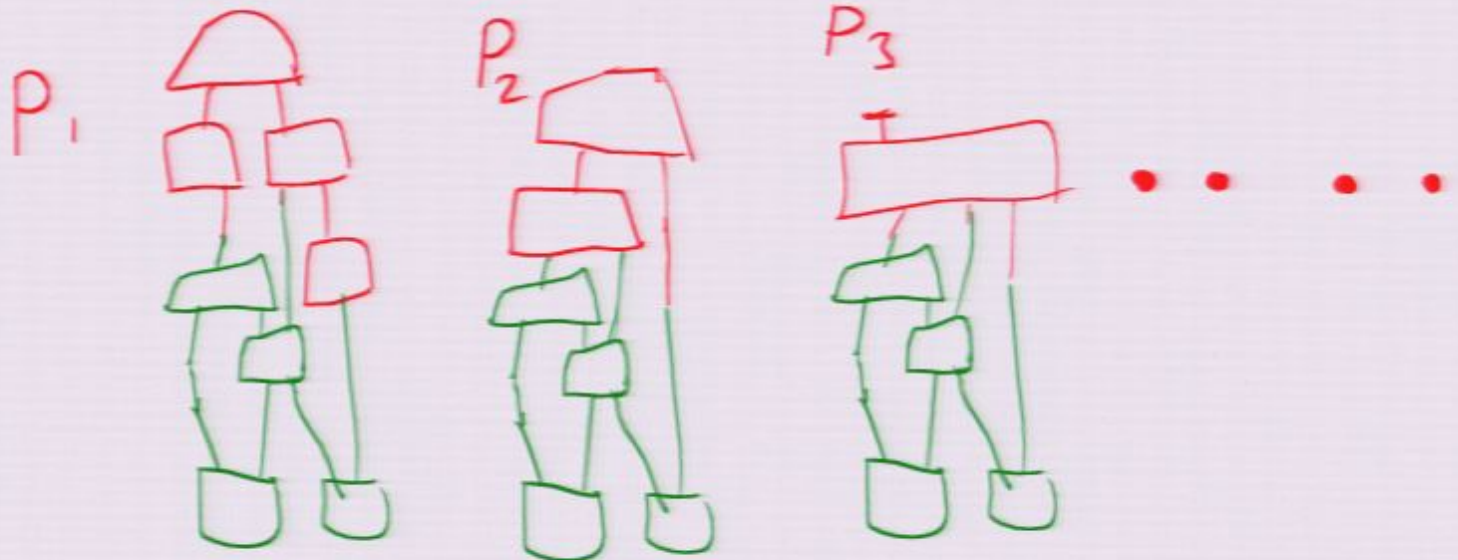


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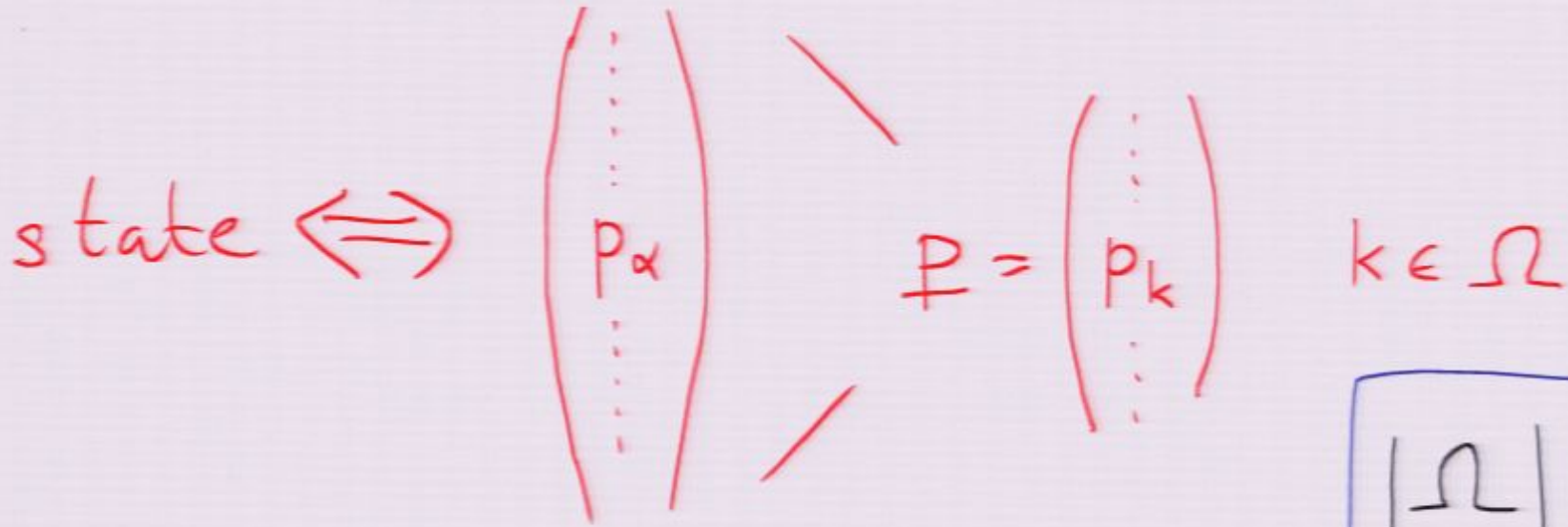
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preparation



Use linear compression



$$|\Omega| = K$$

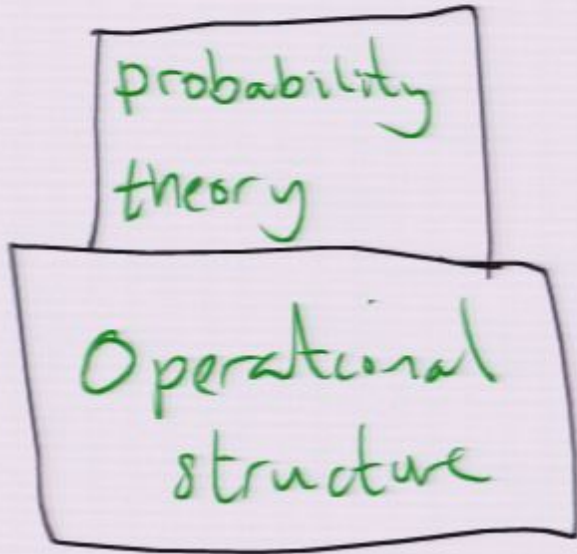
such that

$$p_\alpha = \sum_{\alpha} \mu_\alpha \cdot p$$

$$\left[ \text{c.f.} \quad p_\alpha = \text{tr}(\hat{A}_\alpha \hat{\rho}) \right]$$



have



Can use this to prove theorems.

Eg. Two notions of purity of states equivalent

extremal states  $\Leftrightarrow$  uncorrelatable states

In naive op. structure have to introduce additional assumption.

Can use this operational structure to set up postulates for QT:

**P1 Information** Systems having, or constrained to have, the same information carrying capacity have the same properties.

**P2 Composites**  $K_{AB} = K_A K_B$   $N_{AB} = N_A N_B$

**P3 Continuity** There exists a continuous reversible transformation between any two pure states

**P4 Simplicity** For each  $N$ ,  $K$  takes the smallest value consistent with the other postulates



The operational structure described here  
is according to Bob Coecke a

symmetric monoidal category

so maybe category theory is a way of formalising  
operational structures.

However, it does have a background time.

In Quantum Gravity we expect indefinite  
causal structure. So no background time  
The structure discussed above will not do.



Need a more general operational structure for  $\mathcal{Q}G$ .

It is not clear whether category theory will help.

The problem, at least for symmetric monoidal categories, is that

tensor  $\otimes \equiv$  space like (more or less)

composition  $\circ \equiv$  time like

This enforces definite causal structure.

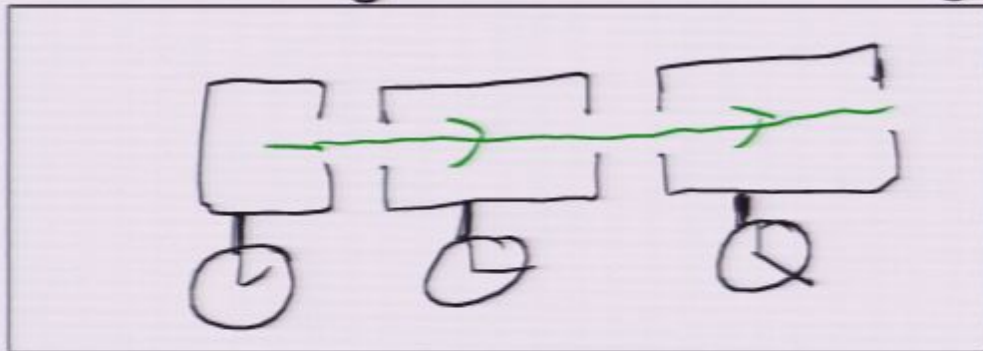
I have some preliminary results to present on building an operational structure that may accommodate  $\mathcal{Q}G$

- I do not know whether category theory will help here

# Object Oriented Operationalism (Beta version)

Want an abstract characterisation of operational structures rich enough to describe all salient aspects of an experiment.

structure above only captures connections between apparatuses due to a system passing in between (operationally this corresponds to the alignment of apparatus along with some timing considerations)





## Two concepts concerning relationships between apparatuses

**connections** are given - the theory makes predictions given a set of connections. } alignment of apparatuses

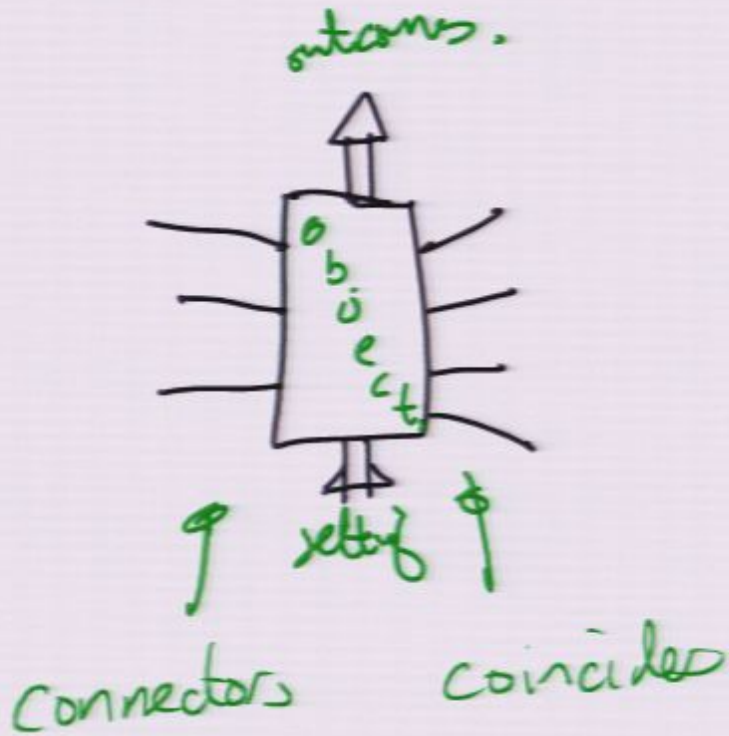
**coincidences** are things a theory can make predictions about (though can condition on them also). } coincidences between marks on rulers

Theory predicts things like  $\text{prob}(\text{coincidences} \mid \text{connections})$

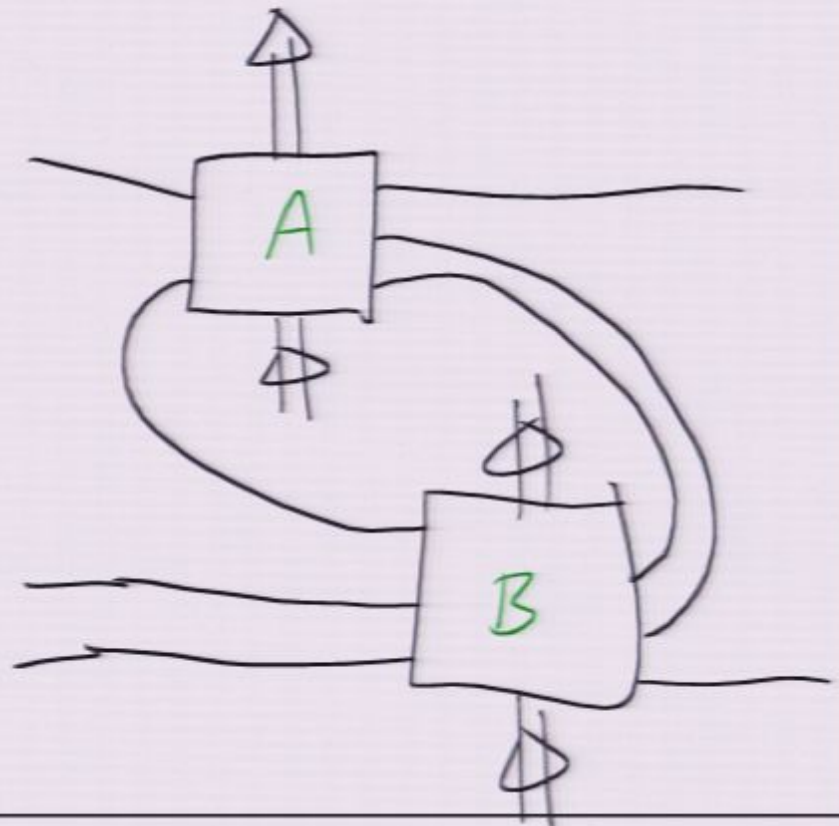
Also things like  $\text{prob}(\text{coincidences}_1 \mid \text{coincidences}_2, \text{connections})$



# Object

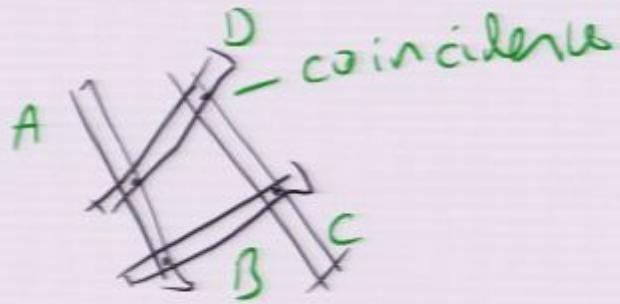


# Composite Object

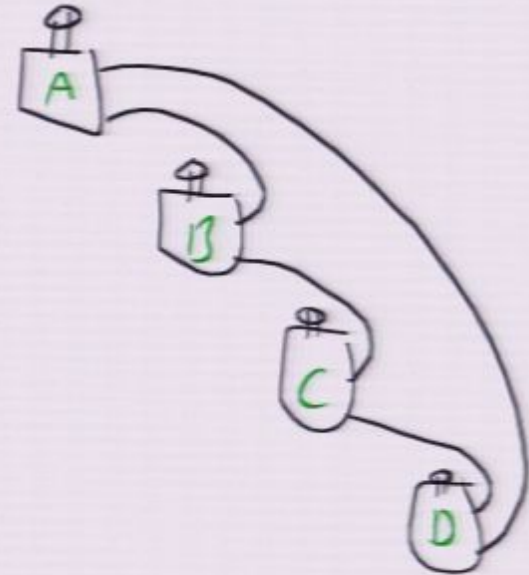


note: no time direction is implied by connections, coincidences in general

Eg rulers floating in space

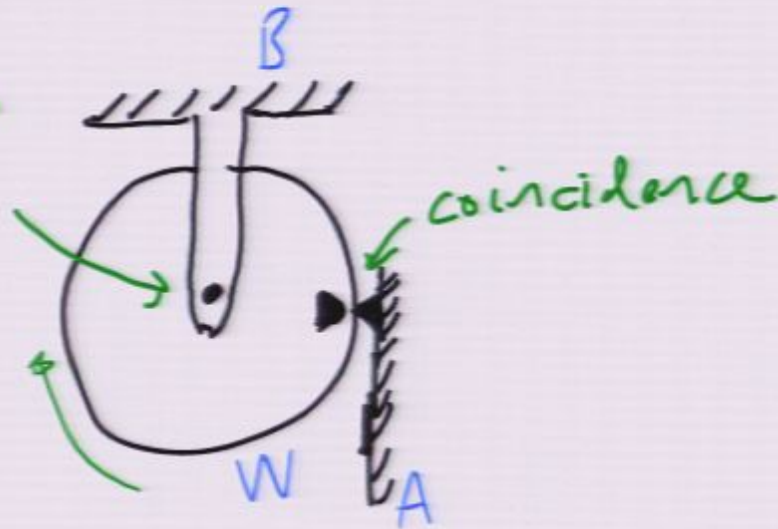


≡

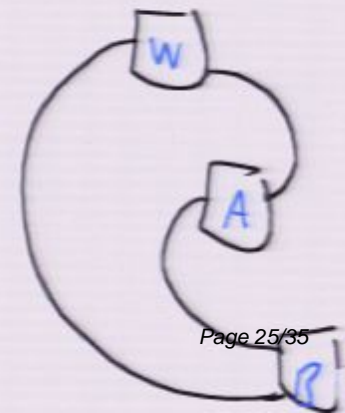


Eg wheel

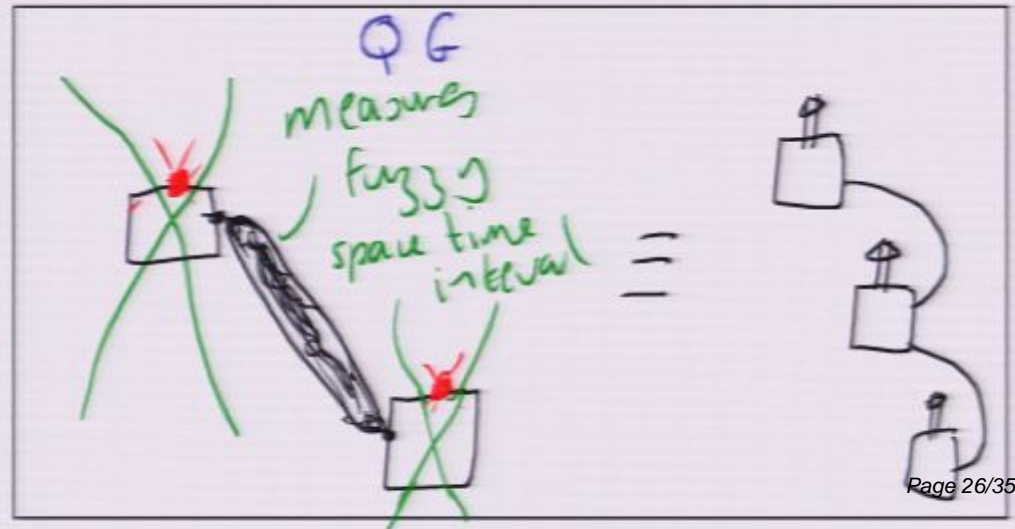
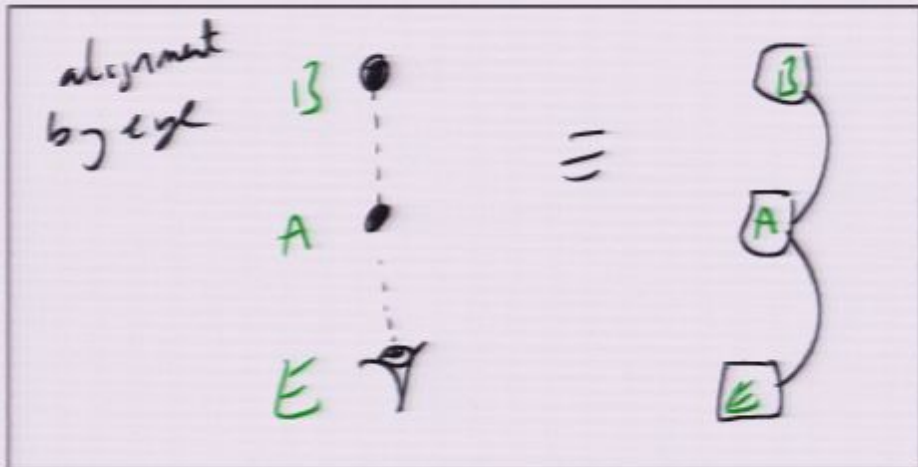
connection



≡



# More general notions of connection / coincidence





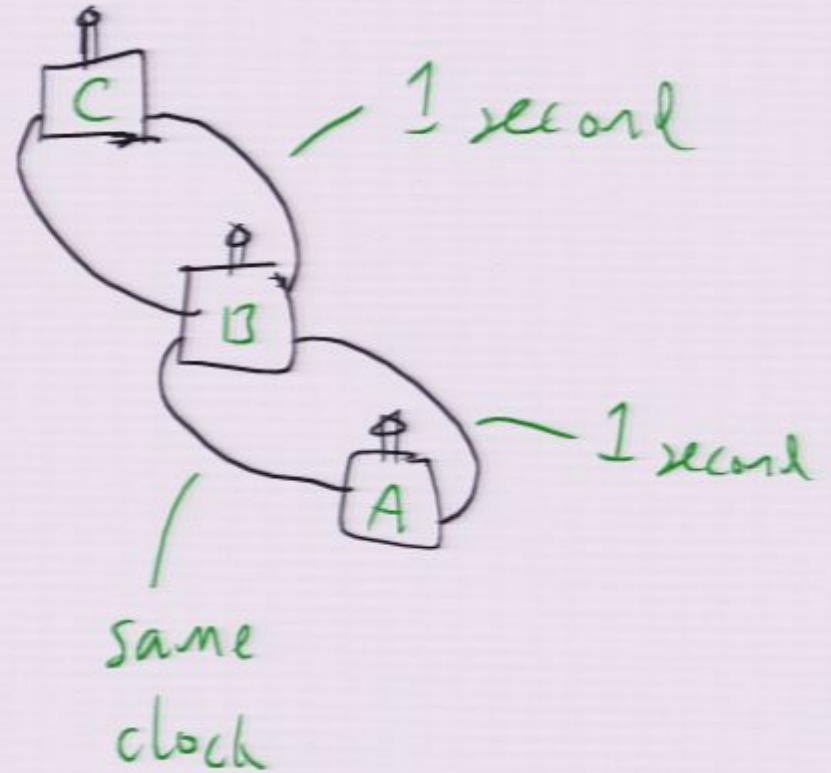
Eg ticking clock.

C ⌚ "tick"

B ⌚ "tick"

A ⌚ "tick"

≡



(recall an object is one use of an apparatus).

An operation is **closed** if it has no open connectors or coinciders.

An operation, **A**, can **be closed** if there exists another operation, **B**, which can form a closed composite operation with **A**.

# Introduce probability (Causaloid 2.0 Beta)

Set of operations is **closable** if

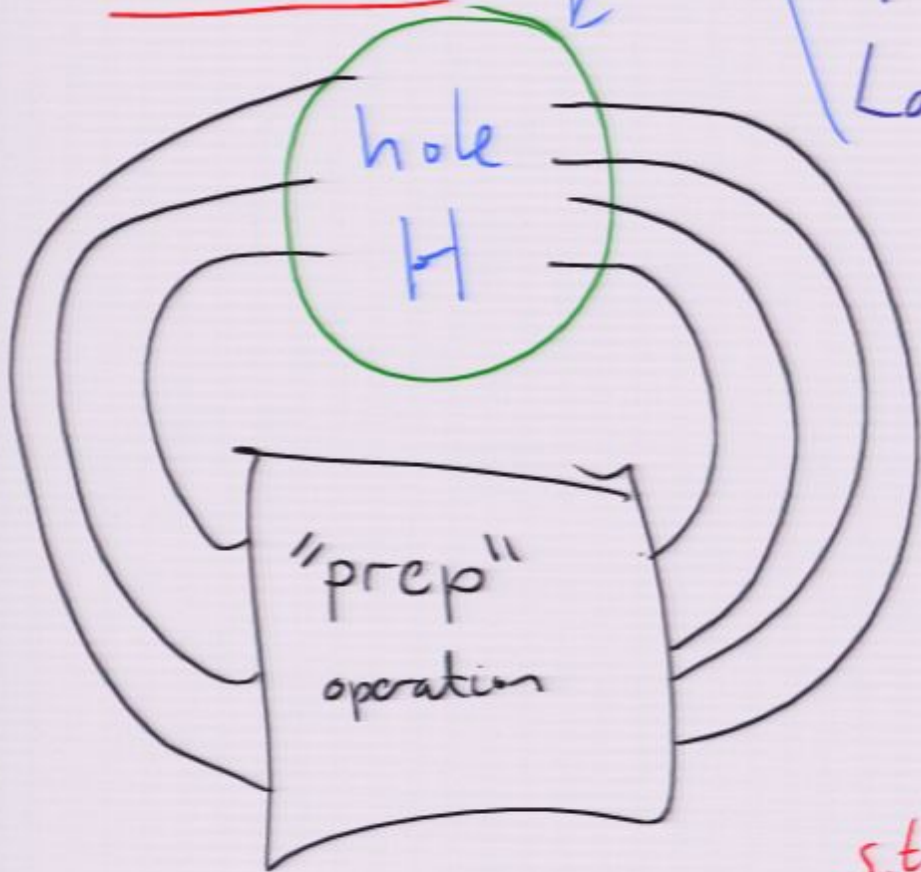
1) all operations can be closed

2) all closed operations have a well defined probability (i.e independent of other disconnected operations).

Consider only closable sets of operations.



# Holes



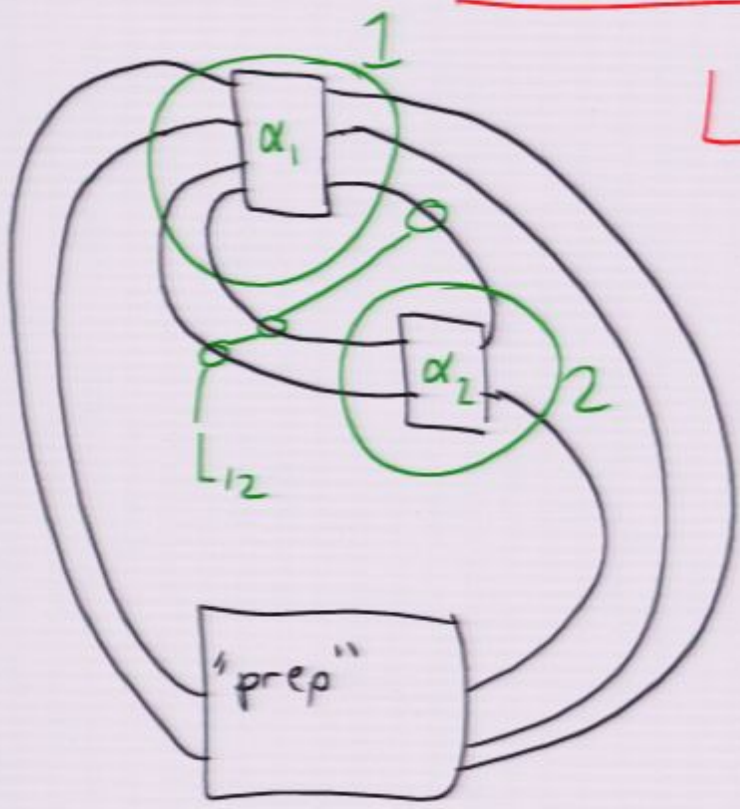
Can insert many operations in hole.  
Label them with  $\alpha$

"prep"  $\Leftrightarrow$  
$$p_\alpha \quad p = \begin{pmatrix} \vdots \\ p_k \\ \vdots \end{pmatrix} \quad k \in \Omega$$

s.t. 
$$p_\alpha = \underline{M}_\alpha \cdot p \quad \forall \text{"prep"}$$

Vector  $\underline{M}_\alpha$  is associated with operation

# Causaloid product



$L_{12}$  specifies connections and coincidences

$$\int_{\alpha_1 \alpha_2}^{L_{12}} = \int_{\alpha_1} \otimes \int_{\alpha_2}^{L_{12}}$$

$$\int_{\alpha_1 \alpha_2}^{L_{12}} \Big|_{l_1, l_2 \in \Omega_{12}} = \sum_{k_1, k_2} \int_{l_1, l_2}^{k_1, k_2} (L_{12}) \int_{\alpha_1} \Big|_{k_1} \int_{\alpha_2} \Big|_{k_2}$$

Can use the vectors  $\underline{M}$  to calculate

- 1) if a probability is well defined
  - 2) if it is well defined then its value.
- without reference to a background time

(details not presented here  
but same as in causaloid papers on arXiv)



## Conclusions

- 1) Operational structures are a foundation for general probabilistic theories
- 2) Can give an operational structure sufficient for quantum theory - this has a background time.
- 3) Object Oriented Operationalism <sup>seta</sup> provides a more general operational framework (GR? QG?)  
category?
- 4) Causaloid 2.0 <sup>seta</sup> adds probabilistic flesh to these structural bones.
- 5) Have technique for injecting measurement problem into General Relativity

No Signal

VGA-1

No Signal

VGA-1