

Title: Inflation from axion monodromy

Date: May 22, 2009 02:00 PM

URL: <http://pirsa.org/09050063>

Abstract: TBA

# Inflation from axion monodromy

based on

McAllister, Silverstein & Westphal

and some or all of

Flauger, McAllister, EP, Silverstein, Westphal & Xu

Enrico Pajer

Cornell University, Ithaca

Perimeter

May 2009

# Inflation from axion monodromy

based on

McAllister, Silverstein & Westphal

and some or all of

Flauger, McAllister, EP, Silverstein, Westphal & Xu

Enrico Pajer

Cornell University, Ithaca

Perimeter

May 2009

# Inflation from axion monodromy

based on

McAllister, Silverstein & Westphal

and some or all of

Flanger, McAllister, EP, Silverstein, Westphal & Xu

Enrico Pajer

Cornell University, Ithaca

Perimeter

May 2009

# Inflation from axion monodromy

based on

McAllister, Silverstein & Westphal

and some or all of

Flauger, McAllister, EP, Silverstein, Westphal & Xu


Enrico Pajer

Cornell University, Ithaca

Perimeter

May 2009

# Outline

- 1 Motivations
- 2 Phenomenology of the effective model 
- 3 A string theory model of axion monodromy
- 4 Constraints and phenomenology
- 5 Conclusions

# Outline

- 1 Motivations
- 2 Phenomenology of the effective model
- 3 A string theory model of axion monodromy
- 4 Constraints and phenomenology
- 5 Conclusions



# Cosmological data

We are leaving in the golden age of  
observational cosmology:





# Cosmological data

We are leaving in the golden age of observational cosmology: COBE goes to Stockholm,

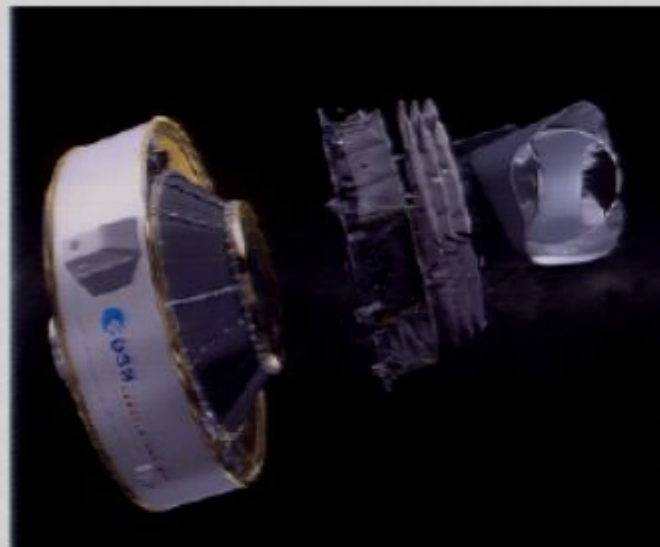


# Cosmological data

We are leaving in the **golden age of observational cosmology**: COBE goes to Stockholm, WMAP and ...




now Planck: “The satellite was successfully launched at 13:12:02 on 14 May 2009...”




# UV-sensitivity

EFT approach: learn about higher scales studying **UV-sensitive observables**.

- In particle physics, e.g. proton lifetime constrains  baryon-number-violating higher-dimension operators.


# UV-sensitivity

EFT approach: learn about higher scales studying **UV-sensitive observables**.

- In particle physics, e.g. proton lifetime constrains  baryon-number-violating higher-dimension operators.
- Analogously, inflation is a UV-sensitive mechanism. E.g. Planck-suppressed dimension 6 operators (with natural coefficients) can and generically do spoil slow roll.

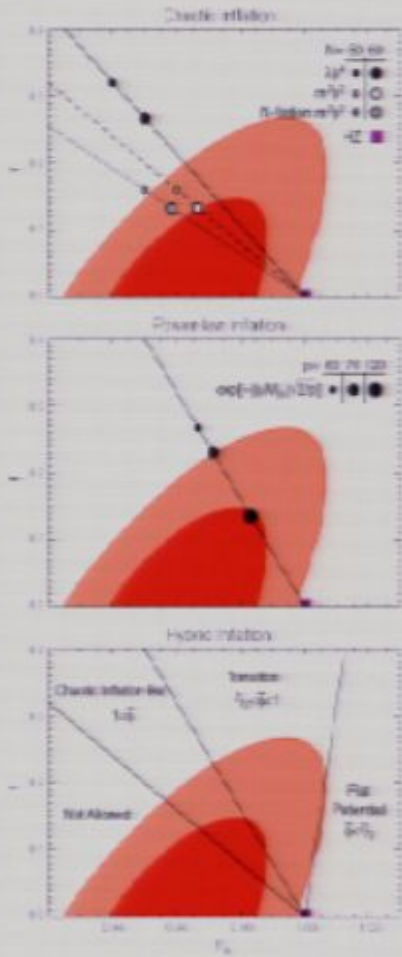
# UV-sensitivity

EFT approach: learn about higher scales studying **UV-sensitive observables**.

- In particle physics, e.g. proton lifetime constrains  baryon-number-violating higher-dimension operators.
- Analogously, inflation is a UV-sensitive mechanism. E.g. Planck-suppressed dimension 6 operators (with natural coefficients) can and generically do spoil slow roll.
- If we invoke a symmetry, e.g. shift symmetry, we are sensitive to how and where it is broken.

# Observations

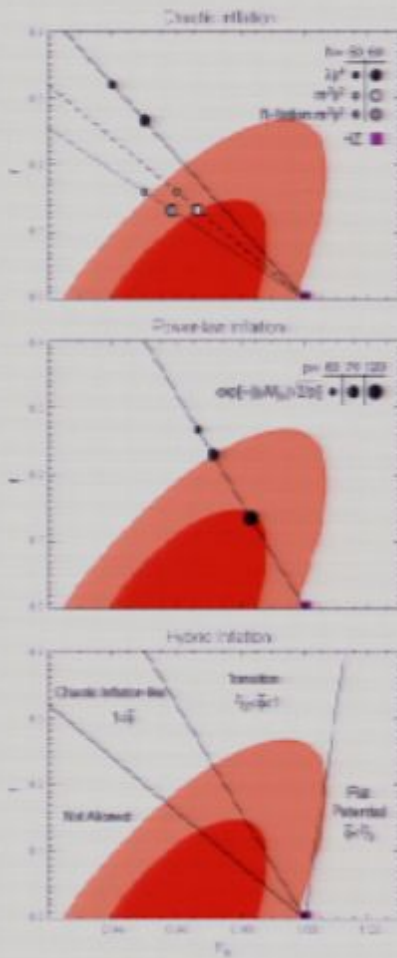
Numbers that we want to reproduce:



# Observations

Numbers that we want to reproduce:

- COBE normalization  
 $\Delta_{\mathcal{R}}^2 = (2.445 \pm 0.096) \cdot 10^{-9}$  and  
 spectral tilt  $n_s = 0.960 \pm 0.013$   
[WMAP5]

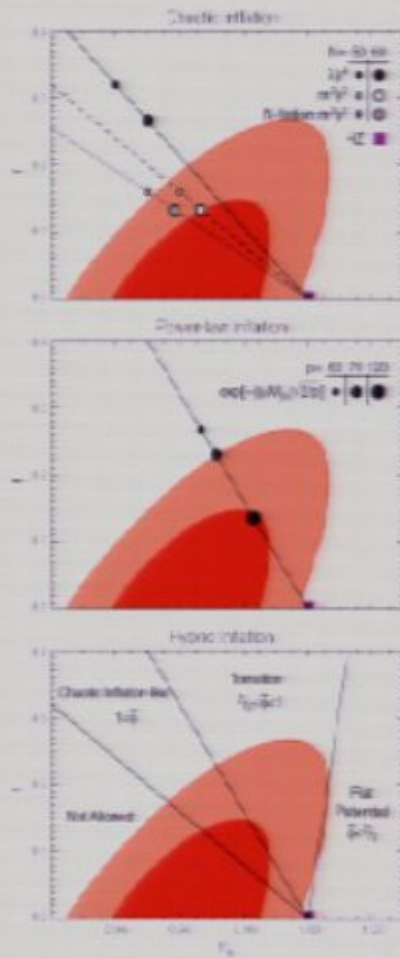


# Observations

Numbers that we want to reproduce:

- COBE normalization  
 $\Delta_{\mathcal{R}}^2 = (2.445 \pm 0.096) \cdot 10^{-9}$  and  
 spectral tilt  $n_s = 0.960 \pm 0.013$   
[WMAP5] .

Numbers that we want to predict/measure





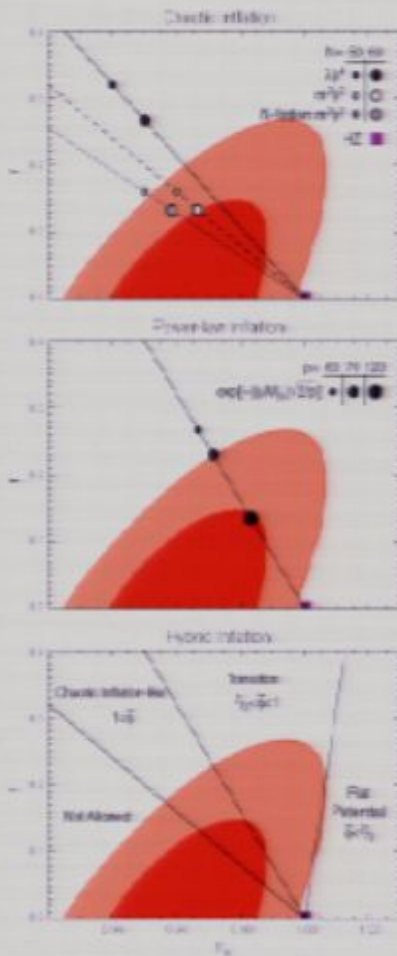
# Observations

Numbers that we want to reproduce:

- COBE normalization  
 $\Delta_{\mathcal{R}}^2 = (2.445 \pm 0.096) \cdot 10^{-9}$  and  
 spectral tilt  $n_s = 0.960 \pm 0.013$   
[WMAP5]

Numbers that we want to predict/measure

- tensor mode amplitude  $r < 0.2$ , tensor mode tilt



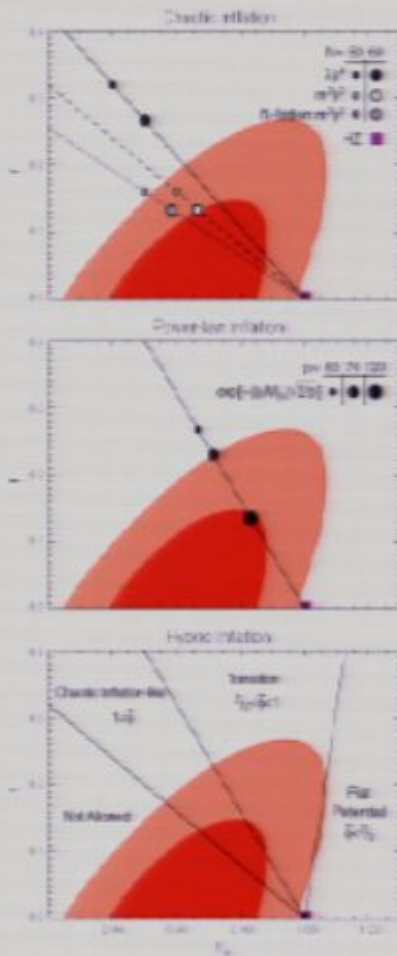
# Observations

Numbers that we want to reproduce:

- COBE normalization  
 $\Delta_{\mathcal{R}}^2 = (2.445 \pm 0.096) \cdot 10^{-9}$  and  
 spectral tilt  $n_s = 0.960 \pm 0.013$   
 [WMAP5] .

Numbers that we want to predict/measure

- tensor mode amplitude  $r < 0.2$ , tensor mode tilt
- non-Gaussianity  $-4 < f^{loc} < 80$   
 [Smith et al.] ,  $-151 < f^{eq} < 253, \dots$



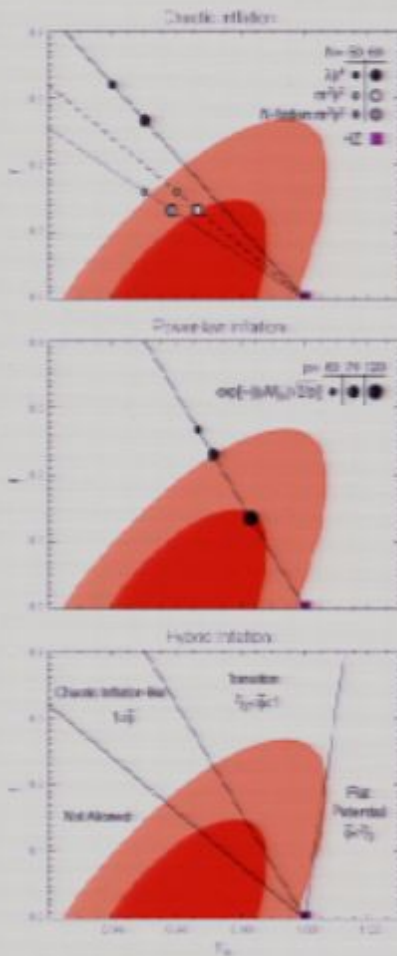
# Observations

Numbers that we want to reproduce:

- COBE normalization  
 $\Delta_{\mathcal{R}}^2 = (2.445 \pm 0.096) \cdot 10^{-9}$  and  
 spectral tilt  $n_s = 0.960 \pm 0.013$   
 [WMAP5]

Numbers that we want to predict/measure

- tensor mode amplitude  $r < 0.2$ , tensor mode tilt
- non-Gaussianity  $-4 < f^{loc} < 80$   
 [Smith et al.] ,  $-151 < f^{eq} < 253, \dots$
- features in the scalar spectrum



# Outline

- 1 Motivations
- 2 Phenomenology of the effective model**
- 3 A string theory model of axion monodromy
- 4 Constraints and phenomenology
- 5 Conclusions



# The effective potential

Inflation is driven by a real scalar field with potential

$$V(\phi) = \mu^3 \phi + b\mu^3 f \cos\left(\frac{\phi}{f}\right)$$



# The effective potential

Inflation is driven by a real scalar field with potential

$$V(\phi) = \mu^3 \phi + b\mu^3 f \cos\left(\frac{\phi}{f}\right)$$

- $b < 1 \Rightarrow$  monotonic potential



# The effective potential

Inflation is driven by a real scalar field with potential

$$V(\phi) = \mu^3 \phi + b\mu^3 f \cos\left(\frac{\phi}{f}\right)$$



- $b < 1 \Rightarrow$  monotonic potential
- $\phi \gg 1$  gives large-field inflation. With  $\mu = 6 \cdot 10^{-4} M_{pl}$  and  $\phi_{in} \simeq 11 M_{pl}$  one fits COBE. We will not discuss reheating.

# The effective potential

Inflation is driven by a real scalar field with potential

$$V(\phi) = \mu^3 \phi + b\mu^3 f \cos\left(\frac{\phi}{f}\right)$$



- $b < 1 \Rightarrow$  monotonic potential
- $\phi \gg 1$  gives large-field inflation. With  $\mu = 6 \cdot 10^{-4} M_{pl}$  and  $\phi_{in} \simeq 11 M_{pl}$  one fits COBE. We will not discuss reheating.
- $f \ll M_{pl}$  many short ripples. Different from the superplanckian case that seems to be hard to achieve in string theory.

[Banks et al. 03]



# The effective potential

Inflation is driven by a real scalar field with potential

$$V(\phi) = \mu^3 \phi + b\mu^3 f \cos\left(\frac{\phi}{f}\right)$$



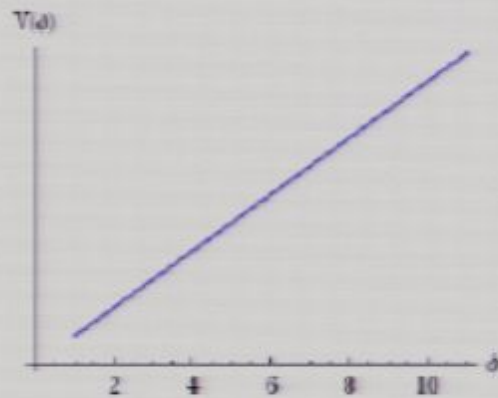
- $b < 1 \Rightarrow$  monotonic potential
- $\phi \gg 1$  gives large-field inflation. With  $\mu = 6 \cdot 10^{-4} M_{pl}$  and  $\phi_{in} \simeq 11 M_{pl}$  one fits COBE. We will not discuss reheating.
- $f \ll M_{pl}$  many short wripples. Different from the superplanckian case that seems to be hard to achieve in string theory.

[Banks et al. 03]

- Oscillations per e-folding  $\dot{\phi}_{osci} \simeq 10^{-2}/f$

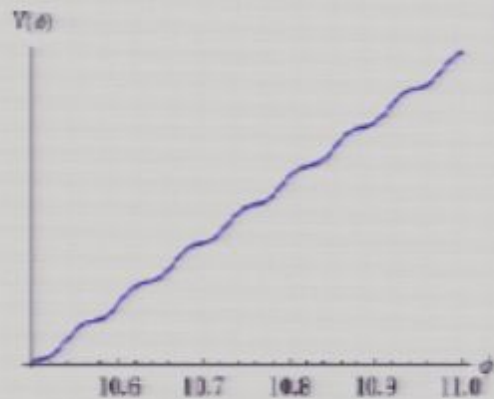
# Background evolution

We solve the e.o.m. perturbatively in  $b$ :



zeroth order

$$\phi_0 = \left( \phi_{in}^{3/2} - \frac{\sqrt{3}}{2} \mu^{3/\Omega_m} t \right)^{2/3}$$



first order

$$\phi_1 \simeq -3bf^2 \phi_0 \sin\left(\frac{\phi_0}{f}\right)$$

# The effective potential

Inflation is driven by a real scalar field with potential

$$V(\phi) = \mu^3 \phi + b\mu^3 f \cos\left(\frac{\phi}{f}\right)$$



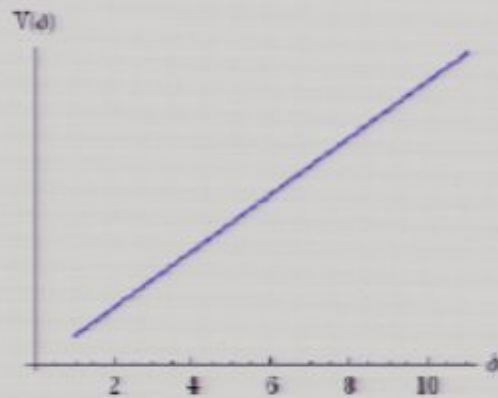
- $b < 1 \Rightarrow$  monotonic potential
- $\phi \gg 1$  gives large-field inflation. With  $\mu = 6 \cdot 10^{-4} M_{pl}$  and  $\phi_{in} \simeq 11 M_{pl}$  one fits COBE. We will not discuss reheating.
- $f \ll M_{pl}$  many short wripples. Different from the superplanckian case that seems to be hard to achieve in string theory.

[Banks et al. 03]

- Oscillations per e-folding  $\dot{\phi}_{osci} \simeq 10^{-2}/f$

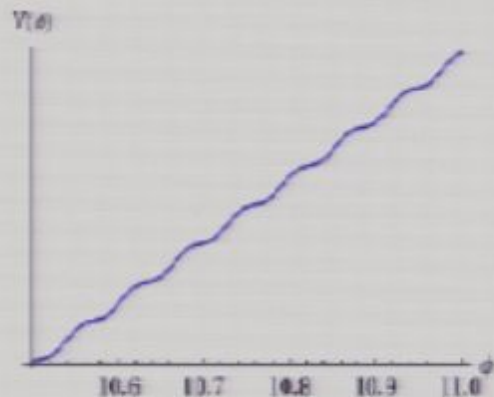
# Background evolution

We solve the e.o.m. perturbatively in  $b$ :



zeroth order

$$\phi_0 = \left( \phi_{in}^{3/2} - \frac{\sqrt{3}}{2} \mu^{3/\Omega_t} \right)^{2/3}$$



first order

$$\phi_1 \simeq -3bf^2 \phi_0 \sin\left(\frac{\phi_0}{f}\right)$$

# Background oscillations

The (Hubble) slow-roll parameters oscillate

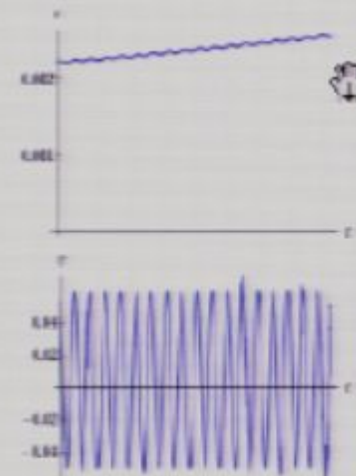
$$\epsilon \equiv -\frac{\dot{H}}{H^2} \simeq \epsilon_0 + \epsilon_{osci} \cos\left(\frac{\phi_0}{f}\right)$$

$$\simeq \frac{1}{2\phi_0^2} + \frac{3bf}{\phi_{in}} \cos\left(\frac{\phi_0}{f}\right)$$

$$\eta \equiv \frac{\dot{\epsilon}}{\epsilon H} \simeq \eta_0 + \eta_{osci} \sin\left(\frac{\phi_0}{f}\right)$$

$$\simeq 0 + 6b \sin\left(\frac{\phi_0}{f}\right)$$

and can resonate with the perturbations  $\zeta$ .



# Background oscillations

The (Hubble) slow-roll parameters oscillate

$$\epsilon \equiv -\frac{\dot{H}}{H^2} \simeq \epsilon_0 + \epsilon_{osci} \cos\left(\frac{\phi_0}{f}\right)$$

$$\simeq \frac{1}{2\phi_0^2} + \frac{3bf}{\phi_{in}} \cos\left(\frac{\phi_0}{f}\right)$$

$$\eta \equiv \frac{\dot{\epsilon}}{\epsilon H} \simeq \eta_0 + \eta_{osci} \sin\left(\frac{\phi_0}{f}\right)$$

$$\simeq 0 + 6b \sin\left(\frac{\phi_0}{f}\right)$$

and can resonate with the perturbations  $\zeta$ .

Notice that  $\dot{\eta} \gg \epsilon$  so **one can not use slow-roll formulae to compute the perturbations.**



# Spectrum of scalar perturbations

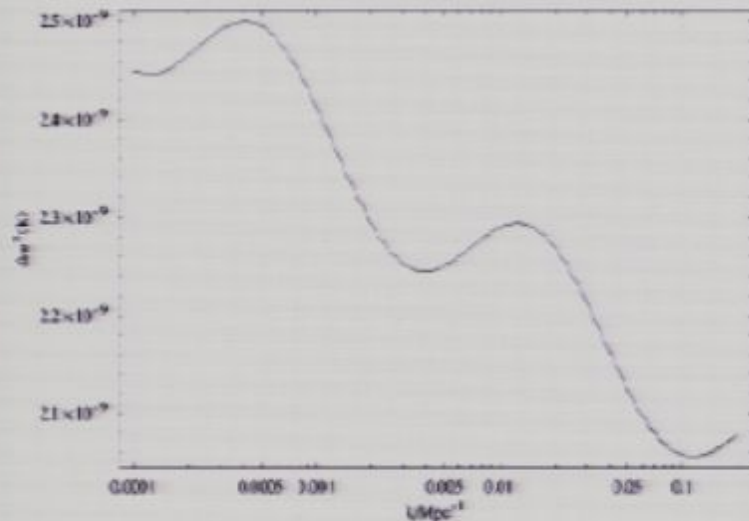
The oscillations in the potential induce **oscillations in the spectrum**:

$$\begin{aligned}
 P_s(k) &= A_s \left( \frac{k}{k_*} \right)^{n_s-1} \left[ 1 + \delta n_s \cos \left( \frac{\phi_k}{f} \right) \right] \\
 &= A_s \left( \frac{k}{k_*} \right)^{n_s-1 + \frac{\delta n_s}{\ln(k/k_*)} \cos \left( \frac{\phi_k}{f} \right)}
 \end{aligned}$$

# Spectrum of scalar perturbations

The oscillations in the potential induce **oscillations in the spectrum**:

$$\begin{aligned}
 P_s(k) &= A_s \left( \frac{k}{k_*} \right)^{n_s-1} \left[ 1 + \delta n_s \cos \left( \frac{\phi_k}{f} \right) \right] \\
 &= A_s \left( \frac{k}{k_*} \right)^{n_s-1 + \frac{\delta n_s}{\ln(k/k_*)} \cos \left( \frac{\phi_k}{f} \right)}
 \end{aligned}$$

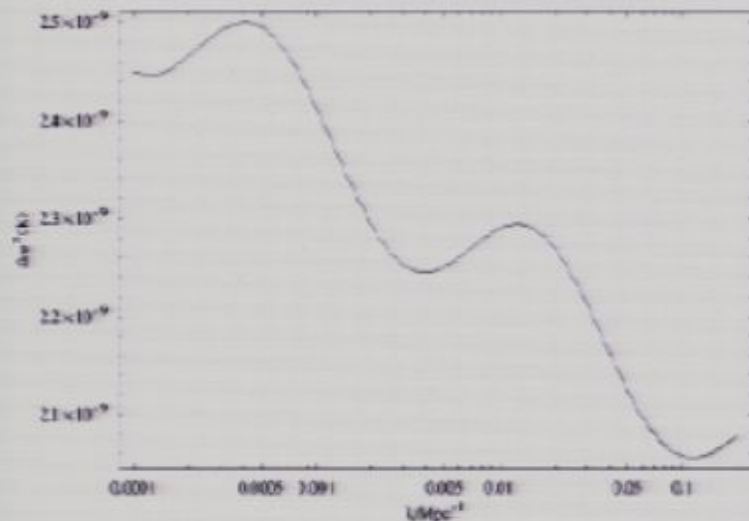




# Spectrum of scalar perturbations

The oscillations in the potential induce **oscillations in the spectrum**:

$$\begin{aligned}
 P_s(k) &= A_s \left( \frac{k}{k_*} \right)^{n_s-1} \left[ 1 + \delta n_s \cos \left( \frac{\phi_k}{f} \right) \right] \\
 &= A_s \left( \frac{k}{k_*} \right)^{n_s-1 + \frac{\delta n_s}{\ln(k/k_*)} \cos \left( \frac{\phi_k}{f} \right)}
 \end{aligned}$$



- The frequency is simply  $\phi_k/f$
- We have computed  $\delta n_s$  **analytically** at leading order in  $b$

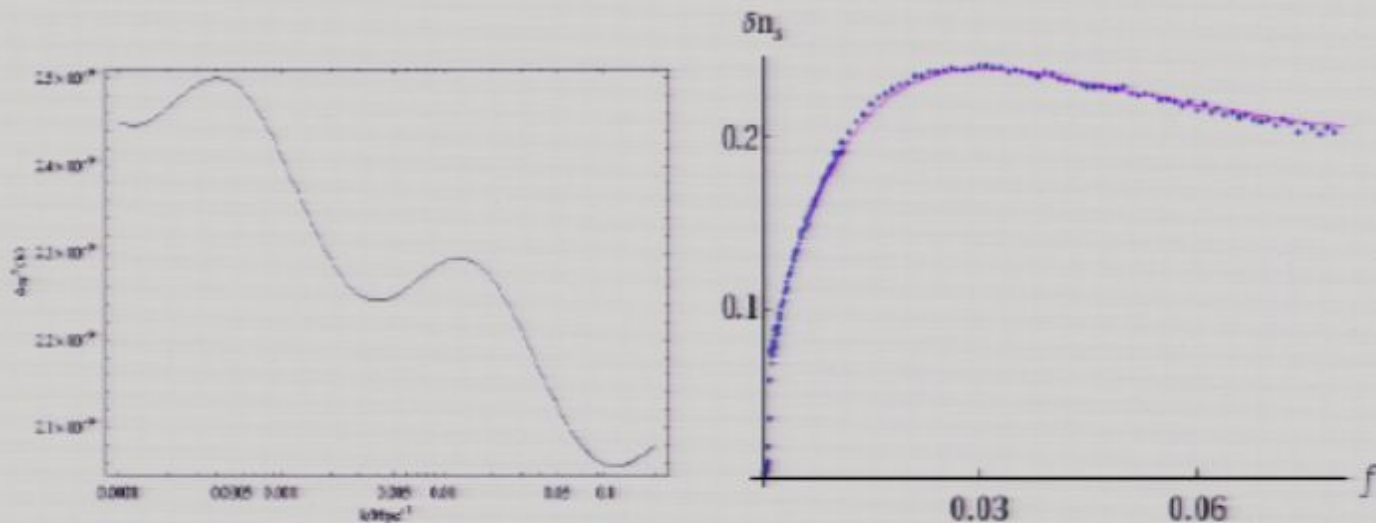
## Solution of the Mukhanov-Sasaki equation

Slow roll is not enough! We solve Mukhanov-Sasaki equation perturbatively in  $b$ .

The amplitude of oscillations in the spectrum is

$$\delta n_s = -12b \sqrt{\frac{\frac{\pi}{8} \coth\left(\frac{\pi}{2f\phi_{in}}\right) f\phi_{in}}{(1 + (3f\phi_{in})^2)}} \sim b\sqrt{f} \quad \text{☞}$$

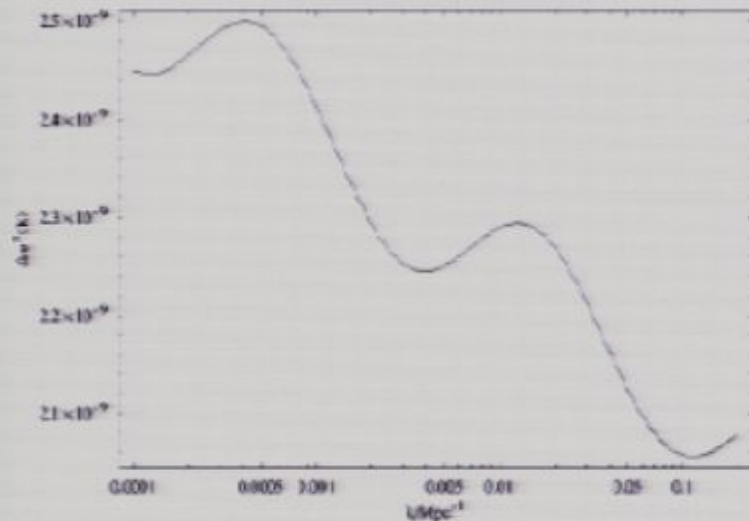
Valid for  $\phi \gg M_{pl}$ ,  $f \ll M_{pl}$  and  $b \ll 1$ . Excellent agreement with numerics.



# Spectrum of scalar perturbations

The oscillations in the potential induce **oscillations in the spectrum**:

$$\begin{aligned}
 P_s(k) &= A_s \left( \frac{k}{k_*} \right)^{n_s-1} \left[ 1 + \delta n_s \cos \left( \frac{\phi_k}{f} \right) \right] \\
 &= A_s \left( \frac{k}{k_*} \right)^{n_s-1 + \frac{\delta n_s}{\ln(k/k_*)} \cos \left( \frac{\phi_k}{f} \right)}
 \end{aligned}$$



- The frequency is simply  $\phi_k/f$
- We have computed  $\delta n_s$  **analytically** at leading order in  $b$

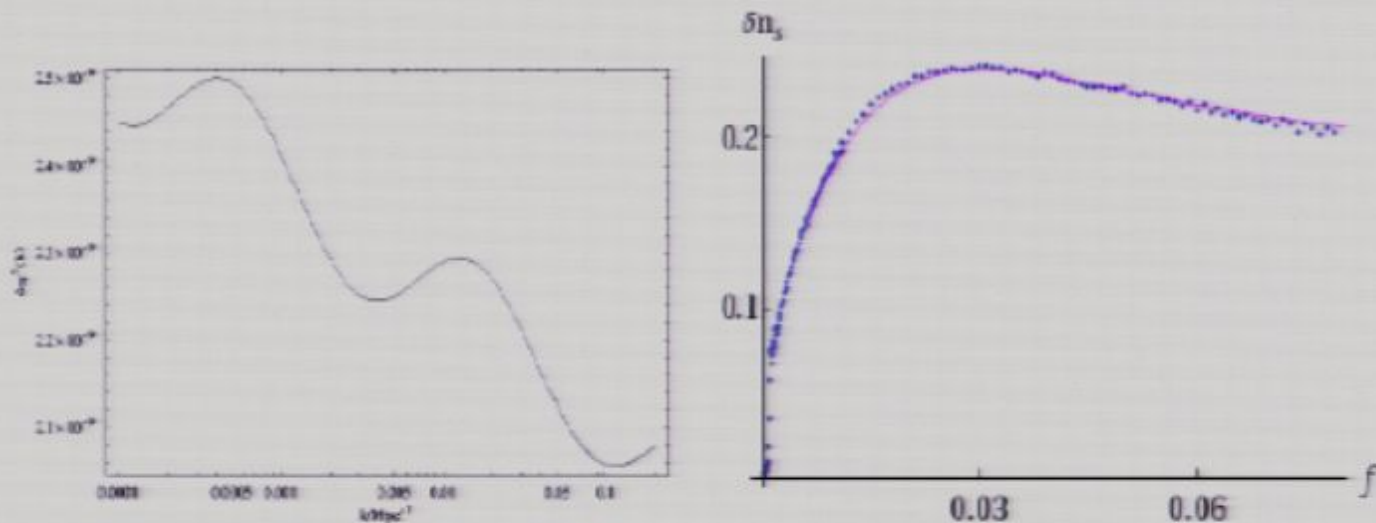
## Solution of the Mukhanov-Sasaki equation

Slow roll is not enough! We solve Mukhanov-Sasaki equation perturbatively in  $b$ .

The amplitude of oscillations in the spectrum is

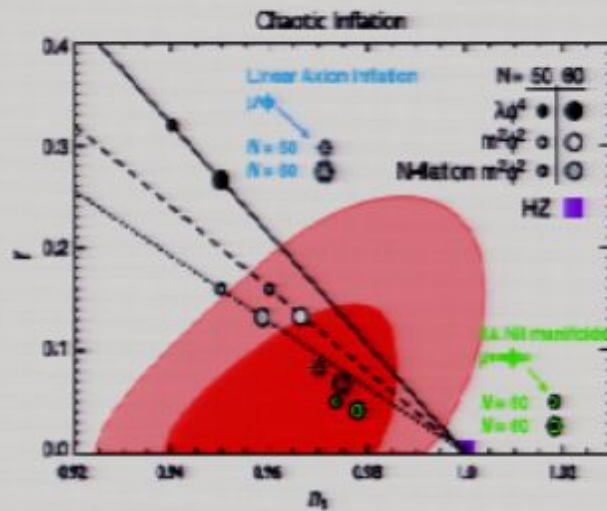
$$\delta n_s = -12b \sqrt{\frac{\frac{\pi}{8} \coth\left(\frac{\pi}{2f\phi_{in}}\right) f\phi_{in}}{(1 + (3f\phi_{in})^2)}} \sim b\sqrt{f} \quad \text{⊗}$$

Valid for  $\phi \gg M_{pl}$ ,  $f \ll M_{pl}$  and  $b \ll 1$ . Excellent agreement with numerics.



# Tensor modes

Large field model  $\Rightarrow$  detectable tensor modes



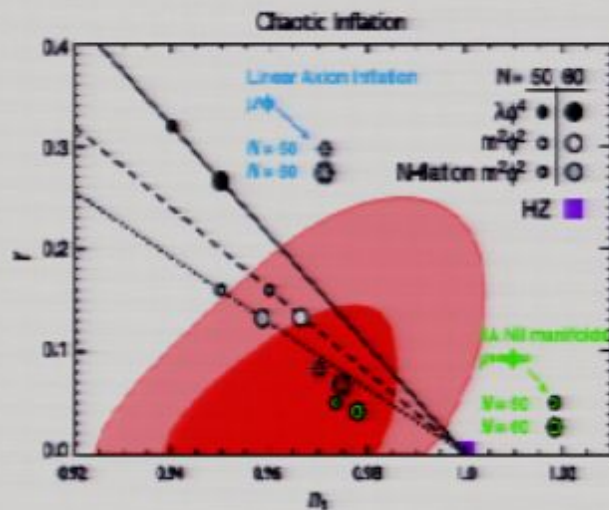
For  $b = 0$  and using slow roll

$$r \simeq 0.07$$

This is within Planck sensitivity!

# Tensor modes

Large field model  $\Rightarrow$  detectable tensor modes



For  $b = 0$  and using slow roll

$$r \simeq 0.07$$

This is within Planck sensitivity!

## Oscillations

Oscillations in the tensor spectrum are suppressed w.r.t. those in the scalar spectrum due to a hierarchy in the slow-roll parameters

$$\epsilon \sim \epsilon_0 + \epsilon_{osci} \cos(\phi/f)$$

$$\eta \sim \eta_0 + \eta_{osci} \sin(\phi/f)$$

$$\eta_{osci} \sim \epsilon_{osci} \phi/f$$

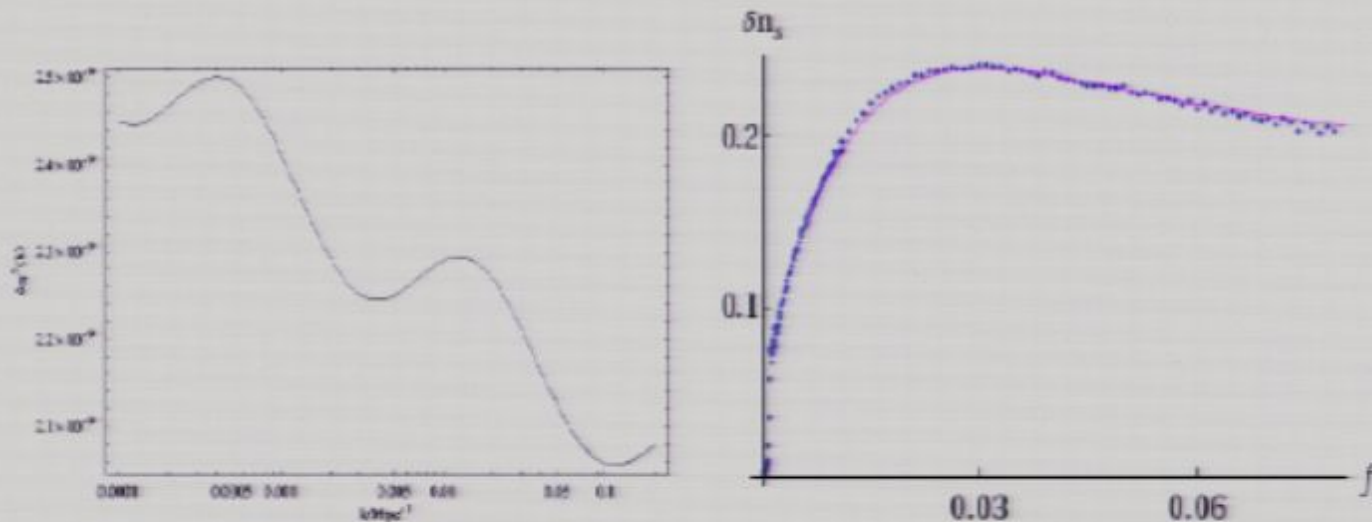
## Solution of the Mukhanov-Sasaki equation

Slow roll is not enough! We solve Mukhanov-Sasaki equation perturbatively in  $b$ .

The amplitude of oscillations in the spectrum is

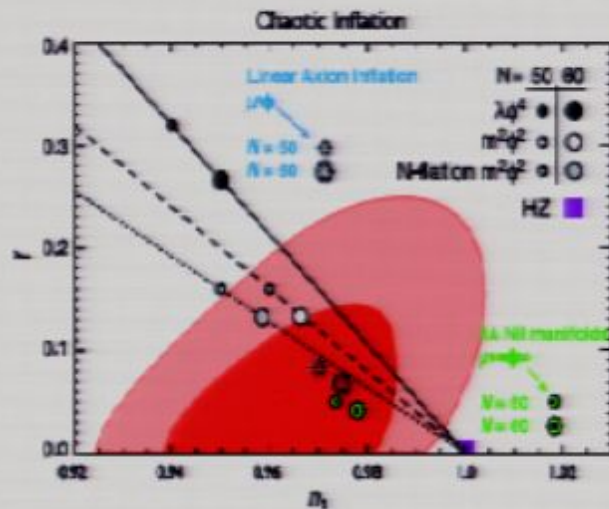
$$\delta n_s = -12b \sqrt{\frac{\frac{\pi}{8} \coth\left(\frac{\pi}{2f\phi_{in}}\right) f\phi_{in}}{(1 + (3f\phi_{in})^2)}} \sim b\sqrt{f} \quad \text{⊗}$$

Valid for  $\phi \gg M_{pl}$ ,  $f \ll M_{pl}$  and  $b \ll 1$ . Excellent agreement with numerics.



# Tensor modes

Large field model  $\Rightarrow$  detectable tensor modes



For  $b = 0$  and using slow roll

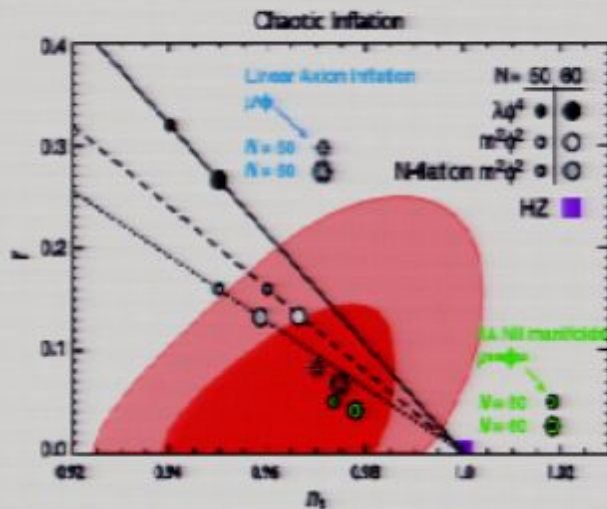
$$r \simeq 0.07$$

This is within Planck sensitivity!



# Tensor modes

Large field model  $\Rightarrow$  detectable tensor modes



For  $b = 0$  and using slow roll

$$r \simeq 0.07$$

This is within Planck sensitivity!

## Oscillations

Oscillations in the tensor spectrum are suppressed w.r.t. those in the scalar spectrum due to a hierarchy in the slow-roll parameters

$$\epsilon \sim \epsilon_0 + \epsilon_{osci} \cos(\phi/f)$$

$$\eta \sim \eta_0 + \eta_{osci} \sin(\phi/f)$$

$$\eta_{osci} \sim \epsilon_{osci} \phi/f$$

# Bispectrum of scalar perturbations

Canonical single-field slow-roll inflation gives  $f_{NL} \ll 1$  [Maldacena 03], undetectable. In fact

$$\langle \zeta_{k_1}(t) \zeta_{k_2}(t) \zeta_{k_3}(t) \rangle = -i \int_{t_0}^t dt' \langle [\zeta_{k_1}(t) \zeta_{k_2}(t) \zeta_{k_3}(t), H_I(t')] \rangle$$

where the interacting Hamiltonian at order  $\zeta^3$  is

$$\begin{aligned}
 H_I = & \int a\epsilon^2 \zeta \zeta'^2 + a\epsilon^2 \zeta (\partial\zeta)^2 - 2\epsilon \zeta' (\partial\zeta) (\partial\chi) \\
 & + \frac{a}{2} \epsilon \dot{\eta} \zeta^2 \zeta' + \frac{\epsilon}{2a} (\partial\zeta) (\partial\chi) (\partial^2\chi) + \frac{\epsilon}{4a} (\partial^2\zeta) (\partial\chi)^2, \\
 \chi \equiv & a^2 \epsilon \partial^{-2} \dot{\zeta}
 \end{aligned}$$

# Resonant enhancement of non-Gaussianity

Condition for the resonance

Schematically [Chen, Easther & Lim 08]

$$\zeta_k = u_k a_k^\dagger + u_k^* a_{-k}$$

$$\langle \zeta^3 \rangle \sim u^3 \int \epsilon^2 u^3 + \epsilon i \eta u^3 + \dots$$

$$\text{saddle point} \Rightarrow \int d\tau \sin(\omega\tau) e^{iK\tau}$$

# Resonant enhancement of non-Gaussianity

Condition for the resonance

Schematically [Chen, Easther & Lim 08]

$$\zeta_k = u_k a_k^\dagger + u_k^* a_{-k}$$

$$\langle \zeta^3 \rangle \sim u^3 \int \epsilon^2 u^3 + \epsilon i \eta u^3 + \dots$$

$$\text{saddle point} \Rightarrow \int d\tau \sin(\omega\tau) e^{iK\tau}$$

The modes of the perturbation oscillate with a frequency which is stretched by the expansion from  $M_{pl}$  until they leave the horizon  $H$ .

# Resonant enhancement of non-Gaussianity

Condition for the resonance

Schematically [Chen, Easther & Lim 08]

$$\zeta_k = u_k a_k^\dagger + u_k^* a_{-k}$$

$$\langle \zeta^3 \rangle \sim u^3 \int \epsilon^2 u^3 + \epsilon i \eta u^3 + \dots$$

$$\text{saddle point} \Rightarrow \int d\tau \sin(\omega\tau) e^{iK\tau}$$

The modes of the perturbation oscillate with a frequency which is stretched by the expansion from  $M_{pl}$  until they leave the horizon  $H$ .

## Necessary condition


$$H < \omega < M_{pl},$$

where  $\omega$  is the (instant) frequency of oscillation of  $\epsilon, \eta$ .

# Resonant enhancement of non-Gaussianity

## Size of the effect

A good fit to the numerical computations is [Chen, Easther & Lim 08]

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle = (2\pi)^7 \delta^3(K) \frac{P^2}{(k_1 k_2 k_3)^2} f_{res} \sin\left(\frac{2 \log(K)}{\phi_f}\right)$$


# Resonant enhancement of non-Gaussianity

Size of the effect

A good fit to the numerical computations is [Chen, Easther & Lim 08]

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle = (2\pi)^7 \delta^3(K) \frac{P^2}{(k_1 k_2 k_3)^2} f_{res} \sin\left(\frac{2 \log(K)}{\phi f}\right)$$



The size of the resonant non-G is

$$\begin{aligned} f_{res} &\simeq \frac{9}{4} \frac{b}{(f\phi)^{3/2}} \\ &= \frac{9}{4} b \left(\frac{\omega}{H}\right)^{3/2} \end{aligned}$$

# Resonant enhancement of non-Gaussianity

Size of the effect

A good fit to the numerical computations is [Chen, Easther & Lim 08]

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle = (2\pi)^7 \delta^3(K) \frac{P^2}{(k_1 k_2 k_3)^2} f_{res} \sin\left(\frac{2 \log(K)}{\phi f}\right)$$



The size of the resonant non-G is

$$\begin{aligned} f_{res} &\simeq \frac{9}{4} \frac{b}{(f\phi)^{3/2}} \\ &= \frac{9}{4} b \left(\frac{\omega}{H}\right)^{3/2} \end{aligned}$$

## Large non-G?

- Linear in  $b$  as for the spectrum
- Inversely proportional to  $f^{3/2}$



# Resonant enhancement of non-Gaussianity

Size of the effect

A good fit to the numerical computations is [Chen, Easther & Lim 08]

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle = (2\pi)^7 \delta^3(K) \frac{P^2}{(k_1 k_2 k_3)^2} f_{res} \sin\left(\frac{2 \log(K)}{\phi f}\right)$$



The size of the resonant non-G is

$$\begin{aligned} f_{res} &\simeq \frac{9}{4} \frac{b}{(f\phi)^{3/2}} \\ &= \frac{9}{4} b \left(\frac{\omega}{H}\right)^{3/2} \end{aligned}$$

## Large non-G?

- Linear in  $b$  as for the spectrum
- Inversely proportional to  $f^{3/2}$
- Oscillations appear at all scales, both in spectrum and in the bispectrum

# Resonant enhancement of non-Gaussianity

## Size of the effect

A good fit to the numerical computations is [Chen, Easther & Lim 08]

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle = (2\pi)^7 \delta^3(K) \frac{P^2}{(k_1 k_2 k_3)^2} f_{res} \sin\left(\frac{2 \log(K)}{\phi f}\right)$$



The size of the resonant non-G is

$$\begin{aligned} f_{res} &\simeq \frac{9}{4} \frac{b}{(f\phi)^{3/2}} \\ &= \frac{9}{4} b \left(\frac{\omega}{H}\right)^{3/2} \end{aligned}$$

### Large non-G?

- Linear in  $b$  as for the spectrum
- Inversely proportional to  $f^{3/2}$
- Oscillations appear at all scales, both in spectrum and in the bispectrum
- Such non-scale-invariant signal has not yet been compared with the data.

# Resonant enhancement of non-Gaussianity

## Size of the effect

A good fit to the numerical computations is [Chen, Easther & Lim 08]

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle = (2\pi)^7 \delta^3(K) \frac{P^2}{(k_1 k_2 k_3)^2} f_{res} \sin\left(\frac{2 \log(K)}{\phi f}\right)$$

The size of the resonant non-G is

$$\begin{aligned} f_{res} &\simeq \frac{9}{4} \frac{b}{(f\phi)^{3/2}} \\ &= \frac{9}{4} b \left(\frac{\omega}{H}\right)^{3/2} \end{aligned}$$

### Large non-G?

- Linear in  $b$  as for the spectrum
- Inversely proportional to  $f^{3/2}$
- Oscillations appear at all scales, both in spectrum and in the bispectrum
- Such non-scale-invariant signal has not yet been compared with the data.

# Resonant enhancement of non-Gaussianity

## Size of the effect

A good fit to the numerical computations is [Chen, Easther & Lim 08]

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle = (2\pi)^7 \delta^3(K) \frac{P^2}{(k_1 k_2 k_3)^2} f_{res} \sin\left(\frac{2 \log(K)}{\phi f}\right)$$

The size of the resonant non-G is

$$\begin{aligned} f_{res} &\simeq \frac{9}{4} \frac{b}{(f\phi)^{3/2}} \\ &= \frac{9}{4} b \left(\frac{\omega}{H}\right)^{3/2} \end{aligned}$$

### Large non-G?

- Linear in  $b$  as for the spectrum
- Inversely proportional to  $f^{3/2}$
- Oscillations appear at all scales, both in spectrum and in the bispectrum
- Such non-scale-invariant signal has not yet been compared with the data.

# Resonant enhancement of non-Gaussianity

## Size of the effect

A good fit to the numerical computations is [Chen, Easther & Lim 08]

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle = (2\pi)^7 \delta^3(K) \frac{P^2}{(k_1 k_2 k_3)^2} f_{res} \sin\left(\frac{2 \log(K)}{\phi f}\right)$$

The size of the resonant non-G is

$$\begin{aligned} f_{res} &\simeq \frac{9}{4} \frac{b}{(f\phi)^{3/2}} \\ &= \frac{9}{4} b \left(\frac{\omega}{H}\right)^{3/2} \end{aligned}$$

### Large non-G?

- Linear in  $b$  as for the spectrum
- Inversely proportional to  $f^{3/2}$
- Oscillations appear at all scales, both in spectrum and in the bispectrum
- Such non-scale-invariant signal has not yet been compared with the data.

# Resonant enhancement of non-Gaussianity

## Size of the effect

A good fit to the numerical computations is [Chen, Easther & Lim 08]

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle = (2\pi)^7 \delta^3(K) \frac{P^2}{(k_1 k_2 k_3)^2} f_{res} \sin\left(\frac{2 \log(K)}{\phi f}\right)$$

The size of the resonant non-G is

$$\begin{aligned} f_{res} &\simeq \frac{9}{4} \frac{b}{(f\phi)^{3/2}} \\ &= \frac{9}{4} b \left(\frac{\omega}{H}\right)^{3/2} \end{aligned}$$

### Large non-G?

- Linear in  $b$  as for the spectrum
- Inversely proportional to  $f^{3/2}$
- Oscillations appear at all scales, both in spectrum and in the bispectrum
- Such non-scale-invariant signal has not yet been compared with the data.

# Resonant enhancement of non-Gaussianity

## Size of the effect

A good fit to the numerical computations is [Chen, Easther & Lim 08]

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle = (2\pi)^7 \delta^3(K) \frac{P^2}{(k_1 k_2 k_3)^2} f_{res} \sin\left(\frac{2 \log(K)}{\phi f}\right)$$

The size of the resonant non-G is

$$\begin{aligned} f_{res} &\simeq \frac{9}{4} \frac{b}{(f\phi)^{3/2}} \\ &= \frac{9}{4} b \left(\frac{\omega}{H}\right)^{3/2} \end{aligned}$$

### Large non-G?

- Linear in  $b$  as for the spectrum
- Inversely proportional to  $f^{3/2}$
- Oscillations appear at all scales, both in spectrum and in the bispectrum
- Such non-scale-invariant signal has not yet been compared with the data.

## Comparison with the data

- Tensor modes:  $r \simeq 0.07$ , we will soon know (Planck). Oscillations are probably undetectable.




## Comparison with the data


- Tensor modes:  $r \simeq 0.07$ , we will soon know (Planck). Oscillations are probably undetectable.
- Spectrum of scalar modes:  $n_s \simeq 0.975$ , in good agreement with WMAP.

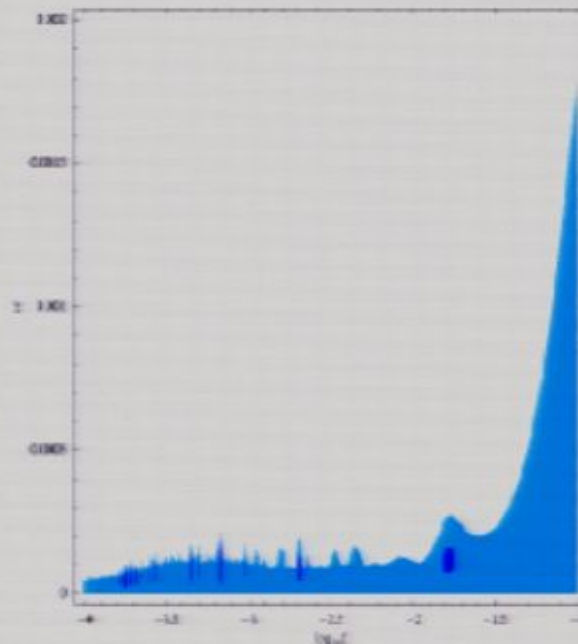


## Comparison with the data

- Tensor modes:  $r \simeq 0.07$ , we will soon know (Planck). Oscillations are probably undetectable.
- Spectrum of scalar modes:  $n_s \simeq 0.975$ , in good agreement with WMAP.
- So far there is no evidence for oscillations in the scalar spectrum. Data yield an upper bound  $bf \lesssim 10^{-4}$  for  $f \simeq 10^{-2}$ . 

## Comparison with the data

- Tensor modes:  $r \simeq 0.07$ , we will soon know (Planck). Oscillations are probably undetectable.
- Spectrum of scalar modes:  $n_s \simeq 0.975$ , in good agreement with WMAP.
- So far there is no evidence for oscillations in the scalar spectrum. Data yield an upper bound  $bf \lesssim 10^{-4}$  for  $f \simeq 10^{-2}$ . 
- non-G can be large for small  $f$ . No one has put bounds from the data.




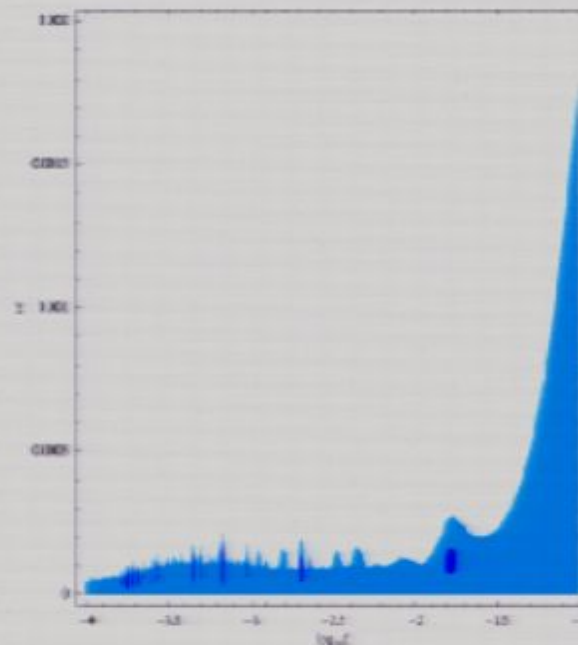
## Summary of the phenomenology

- The effective potential is phenomenologically very appealing. Agrees with current data and implies potential exciting signals: tensor modes  $r \simeq 0.07$ , oscillations in the scalar spectrum and non-G.



## Comparison with the data

- Tensor modes:  $r \simeq 0.07$ , we will soon know (Planck). Oscillations are probably undetectable.
- Spectrum of scalar modes:  $n_s \simeq 0.975$ , in good agreement with WMAP.
- So far there is no evidence for oscillations in the scalar spectrum. Data yield an upper bound  $bf \lesssim 10^{-4}$  for  $f \simeq 10^{-2}$ . 
- non-G can be large for small  $f$ . No one has put bounds from the data.




## Summary of the phenomenology


- The effective potential is phenomenologically very appealing. Agrees with current data and implies potential exciting signals: tensor modes  $r \simeq 0.07$ , oscillations in the scalar spectrum and non-G.



## Summary of the phenomenology


- The effective potential is phenomenologically very appealing. Agrees with current data and implies potential exciting signals: tensor modes  $r \simeq 0.07$ , oscillations in the scalar spectrum and non-G. 
- If this model is correct, what do we learn about the high energy physics?

## Summary of the phenomenology


- The effective potential is phenomenologically very appealing. Agrees with current data and implies potential exciting signals: tensor modes  $r \simeq 0.07$ , oscillations in the scalar spectrum and non-G. 
- If this model is correct, what do we learn about the high energy physics?
  - Which symmetry protects the flatness of the potential for superplanckian range of variation of  $\phi$ ?




## Summary of the phenomenology

- The effective potential is phenomenologically very appealing. Agrees with current data and implies potential exciting signals: tensor modes  $r \simeq 0.07$ , oscillations in the scalar spectrum and non-G. 
- If this model is correct, what do we learn about the high energy physics?
  - Which symmetry protects the flatness of the potential for superplanckian range of variation of  $\phi$ ?
  - At which scale and how is this symmetry broken?

## Summary of the phenomenology

- The effective potential is phenomenologically very appealing. Agrees with current data and implies potential exciting signals: tensor modes  $r \simeq 0.07$ , oscillations in the scalar spectrum and non-G. 
- If this model is correct, what do we learn about the high energy physics?
  - Which symmetry protects the flatness of the potential for superplanckian range of variation of  $\phi$ ?
  - At which scale and how is this symmetry broken?
  - How generically are the wripples? Which scale sets the frequency and amplitude?

## Summary of the phenomenology

- The effective potential is phenomenologically very appealing. Agrees with current data and implies potential exciting signals: tensor modes  $r \simeq 0.07$ , oscillations in the scalar spectrum and non-G. 
- If this model is correct, what do we learn about the high energy physics?
  - Which symmetry protects the flatness of the potential for superplanckian range of variation of  $\phi$ ?
  - At which scale and how is this symmetry broken?
  - How generically are the ripples? Which scale sets the frequency and amplitude?

We are going to present a possible embedding of this effective model in string theory and address the above questions.

# Axions in field theory

- Axions are scalar fields with only derivative couplings and might arise e.g. from the breaking of a  $U(1)$  symmetry [Peccei & Quinn 77]



# Axions in field theory

- Axions are scalar fields with only derivative couplings and might arise e.g. from the breaking of a  $U(1)$  symmetry [Peccei & Quinn 77]
- Hence they enjoy a continuous shift symmetry at all orders in perturbation theory

$$\phi(x) \rightarrow \phi(x) + \text{constant}$$



# Axions in field theory

- Axions are scalar fields with only derivative couplings and might arise e.g. from the breaking of a  $U(1)$  symmetry [Peccei & Quinn 77]
- Hence they enjoy a continuous shift symmetry at all orders in perturbation theory



$$\phi(x) \rightarrow \phi(x) + \text{constant}$$

- Continuous shift symmetry is broken to a discrete shift symmetry by non-perturbative effects

# Axions in field theory

- Axions are scalar fields with only derivative couplings and might arise e.g. from the breaking of a  $U(1)$  symmetry [Peccei & Quinn 77]
- Hence they enjoy a continuous shift symmetry at all orders in perturbation theory



$$\phi(x) \rightarrow \phi(x) + \text{constant}$$

- Continuous shift symmetry is broken to a discrete shift symmetry by non-perturbative effects
- The **axion decay constant**  $f$  determines the periodicity of the canonically normalized axion

$$\mathcal{L} \supset \frac{1}{2}(\partial\phi)^2 + \Lambda^4 \cos\left(\frac{\phi}{f}\right) \Rightarrow \phi(x) \rightarrow \phi(x) + 2\pi f$$

# Outline

- 1 Motivations
- 2 Phenomenology of the effective model
- 3 A string theory model of axion monodromy**
- 4 Constraints and phenomenology
- 5 Conclusions





## Axion in string theory

String theory seen from a low energy 4D observer has in general many axions:

- **Model independent axions** such as dualizing  $B_{\mu\nu}$  or  $C_{\mu\nu}$



## Axion in string theory

String theory seen from a low energy 4D observer has in general many axions:

- **Model independent axions** such as dualizing  $B_{\mu\nu}$  or  $C_{\mu\nu}$
- **Model dependent axions** arising from integrating a p-form over a p-cycle of the compact manifold

$$c(x) = \int_{\Sigma_p} C_p, \quad b(x) = \int_{\Sigma_2} B_2$$

## Axion in string theory

String theory seen from a low energy 4D observer has in general many axions:

- **Model independent axions** such as dualizing  $B_{\mu\nu}$  or  $C_{\mu\nu}$
- **Model dependent axions** arising from integrating a p-form over a p-cycle of the compact manifold

$$c(x) = \int_{\Sigma_p} C_p, \quad b(x) = \int_{\Sigma_2} B_2$$

- The shift symmetry is valid at all order in perturbation theory but broken non-pertubatively, e.g by world-sheet instantons or brane instantons.

## Axion in string theory

String theory seen from a low energy 4D observer has in general many axions:

- **Model independent axions** such as dualizing  $B_{\mu\nu}$  or  $C_{\mu\nu}$
- **Model dependent axions** arising from integrating a p-form over a p-cycle of the compact manifold

$$c(x) = \int_{\Sigma_p} C_p, \quad b(x) = \int_{\Sigma_2} B_2$$

- The shift symmetry is valid at all order in perturbation theory but broken non-pertubatively, e.g by world-sheet instantons or brane instantons.
- The axion decay constant  $f$  is determined by geometrical data of the compactification

## Shift symmetry

Consider the 4D axion  $b(x)$  from  $B_{ij} = b(x)\omega_{ij}$  for some internal two-form  $\omega$ . In (bosonic) closed string theory, the vertex operator for  $b$  particles at zero momentum integrated over the world-sheet is

$$V(k=0) = \int_{ws} d^2\sigma \epsilon^{\alpha\beta} \partial_\alpha X^i \partial_\beta X^j \omega_{ij} b = \int_{ts} B \quad \clubsuit$$

In perturbation theory the world-sheet wraps a topologically trivial cycle in the target space, hence  $V(0) = 0$ , i.e. no non-derivative couplings.

## Shift symmetry

Consider the 4D axion  $b(x)$  from  $B_{ij} = b(x)\omega_{ij}$  for some internal two-form  $\omega$ . In (bosonic) closed string theory, the vertex operator for  $b$  particles at zero momentum integrated over the world-sheet is

$$V(k=0) = \int_{ws} d^2\sigma \epsilon^{\alpha\beta} \partial_\alpha X^i \partial_\beta X^j \omega_{ij} b = \int_{ts} B \quad \text{⊛}$$

In perturbation theory the world-sheet wraps a topologically trivial cycle in the target space, hence  $V(0) = 0$ , i.e. no non-derivative couplings.

### Breaking of the shift symmetry

Two ingredients can invalidate the above argument:

- Non-perturbative effects

## Shift symmetry

Consider the 4D axion  $b(x)$  from  $B_{ij} = b(x)\omega_{ij}$  for some internal two-form  $\omega$ . In (bosonic) closed string theory, the vertex operator for  $b$  particles at zero momentum integrated over the world-sheet is

$$V(k=0) = \int_{ws} d^2\sigma \epsilon^{\alpha\beta} \partial_\alpha X^i \partial_\beta X^j \omega_{ij} b = \int_{ts} B \quad \text{⊞}$$

In perturbation theory the world-sheet wraps a topologically trivial cycle in the target space, hence  $V(0) = 0$ , i.e. no non-derivative couplings.

### Breaking of the shift symmetry

Two ingredients can invalidate the above argument:

- Non-perturbative effects
- World sheet with boundaries, i.e. D-branes

## A cartoon of the model

We consider Type IIB (orientifolds) because moduli stabilization is more developed.





## A cartoon of the model

We consider Type IIB (orientifolds) because moduli stabilization is more developed.

- In the  $N = 1$ , 4D effective theory there is an axion  $c(x)$  coming from 10D  $C_2$  integrated over a two-cycle  $\Sigma$



## A cartoon of the model

We consider Type IIB (orientifolds) because moduli stabilization is more developed.

- In the  $N = 1$ , 4D effective theory there is an axion  $c(x)$  coming from 10D  $C_2$  integrated over a two-cycle  $\Sigma$
- Wrapping a **5-brane over  $\Sigma$**  induces a potential for  $c(x)$  (world-sheets with boundary).

## A cartoon of the model

We consider Type IIB (orientifolds) because moduli stabilization is more developed.

- In the  $N = 1$ , 4D effective theory there is an axion  $c(x)$  coming from 10D  $C_2$  integrated over a two-cycle  $\Sigma$
- Wrapping a **5-brane over  $\Sigma$**  induces a potential for  $c(x)$  (world-sheets with boundary)
- If the **5-brane is a warped region**, the potential leads to viable inflation (COBE normalization)

## A cartoon of the model

We consider Type IIB (orientifolds) because moduli stabilization is more developed.

- In the  $N = 1$ , 4D effective theory there is an axion  $c(x)$  coming from 10D  $C_2$  integrated over a two-cycle  $\Sigma$
- Wrapping a **5-brane over  $\Sigma$**  induces a potential for  $c(x)$  (world-sheets with boundary)
- If the **5-brane is a warped region**, the potential leads to viable inflation (COBE normalization)
- The moduli stabilization á la KKLT does not spoil the shift symmetry.

## A cartoon of the model

We consider Type IIB (orientifolds) because moduli stabilization is more developed.

- In the  $N = 1$ , 4D effective theory there is an axion  $c(x)$  coming from 10D  $C_2$  integrated over a two-cycle  $\Sigma$
- Wrapping a **5-brane over  $\Sigma$**  induces a potential for  $c(x)$  (world-sheets with boundary)
- If the **5-brane is a warped region**, the potential leads to viable inflation (COBE normalization)
- The moduli stabilization á la KKLT does not spoil the shift symmetry.
- Non-perturbative corrections (e.g. to the Kähler potential) induce small ripples

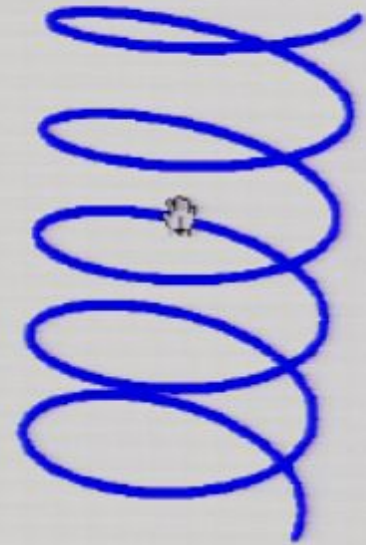
## Linear potential for the inflaton

The shift symmetry can be broken in the presence of boundaries.

Consider a D5-brane wrapped on a two-cycle  $\Sigma$ .

The DBI action

$$-T_5 \int d^5 x e^{-\Phi} \sqrt{\det (G^{ind} + B^{ind})}$$



## A cartoon of the model

We consider Type IIB (orientifolds) because moduli stabilization is more developed.

- In the  $N = 1$ , 4D effective theory there is an axion  $c(x)$  coming from 10D  $C_2$  integrated over a two-cycle  $\Sigma$
- Wrapping a **5-brane over  $\Sigma$**  induces a potential for  $c(x)$  (world-sheets with boundary)
- If the **5-brane is a warped region**, the potential leads to viable inflation (COBE normalization)
- The moduli stabilization á la KKLT does not spoil the shift symmetry.
- Non-perturbative corrections (e.g. to the Kähler potential) induce small ripples

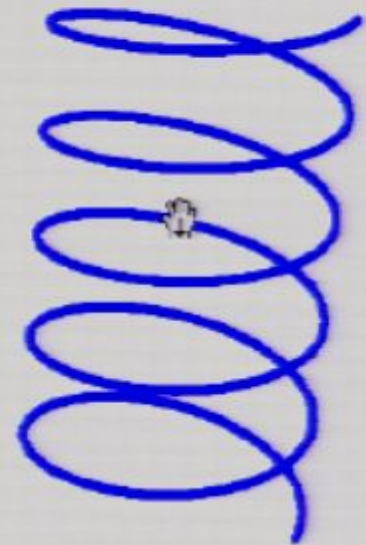
## Linear potential for the inflaton

The shift symmetry can be broken in the presence of boundaries.

Consider a D5-brane wrapped on a two-cycle  $\Sigma$ .

The DBI action

$$-T_5 \int d^5x e^{-\Phi} \sqrt{\det (G^{ind} + B^{ind})}$$





## Linear potential for the inflaton

The shift symmetry can be broken in the presence of boundaries.

Consider a D5-brane wrapped on a two-cycle  $\Sigma$ .

The DBI action

$$-T_5 \int d^5x e^{-\Phi} \sqrt{\det(G^{ind} + B^{ind})}$$

The shift  $b(x) \rightarrow b(x) + \text{const}$  of  $b(x) = \int_{\Sigma} B_2$  stores some potential energy.

$$V(b) = T_5 \sqrt{L^4 + b^2} \sim T_5 b \quad \text{for large } b$$

This generates the linear inflaton potential (and break SUSY). COBE normalization and control require to red-shift  $T_5$



4D  $\mathcal{N} = 1$  data

gravity multiplet	1	$g_{\mu\nu}$
vector multiplets	$h_-^{(2,1)}$	$V^\alpha$
chiral multiplets	$h_-^{(2,1)}$	$z^k$
	1	$(\phi, \psi)$
	$h_-^{(1,1)}$	$(\theta^\mu, \rho^\mu)$
chiral/linear multiplets	$h_-^{(1,1)}$	$(z^\mu, \rho_\alpha)$


We are interested in  $O3/O7$  Calabi-Yau orientifolds ( $\sigma\Omega = -\Omega$ ).



4D  $\mathcal{N} = 1$  data

gravity multiplet	1	$g_{\mu\nu}$
vector multiplets	$h_-^{(2,1)}$	$V^\alpha$
chiral multiplets	$h_-^{(2,1)}$	$z^k$
	1	$(\phi, \psi)$
	$h_-^{(1,1)}$	$(\theta^\mu, \rho^\mu)$
chiral/linear multiplets	$h_-^{(1,1)}$	$(\epsilon^\mu, \rho_\alpha)$


We are interested in  $O3/O7$  Calabi-Yau orientifolds ( $\sigma\Omega = -\Omega$ ).

- We assume complex structure moduli and the dilaton have been stabilized by fluxes at a higher scale. 

4D  $\mathcal{N} = 1$  data

gravity multiplet	1	$g_{\mu\nu}$
vector multiplets	$h_-^{(2,1)}$	$V^a$
chiral multiplets	$h_-^{(2,1)}$	$z^k$
	1	$(\phi, \psi)$
	$h_-^{(1,1)}$	$(\theta^\mu, \rho^\mu)$
chiral/linear multiplets	$h_-^{(1,1)}$	$(\tau^\alpha, \rho_\alpha)$

We are interested in  $O3/O7$  Calabi-Yau orientifolds ( $\sigma\Omega = -\Omega$ ).


- We assume complex structure moduli and the dilaton have been stabilized by fluxes at a higher scale. 

- $h_+^{1,1}$  orientifold-even Kähler moduli from two-/four-cycle volumes complexified by  $\int C_4$

4D  $\mathcal{N} = 1$  data

gravity multiplet	1	$g_{\mu\nu}$
vector multiplets	$h_-^{(2,1)}$	$V^a$
chiral multiplets	$h_-^{(2,1)}$	$z^k$
	1	$(\phi, \psi)$
	$h_-^{(1,1)}$	$(B^\mu, e^\rho)$
chiral/linear multiplets	$h_-^{(1,1)}$	$(\tau^\alpha, \rho_\alpha)$

We are interested in  $O3/O7$  Calabi-Yau orientifolds ( $\sigma\Omega = -\Omega$ ).

- We assume complex structure moduli and the dilaton have been stabilized by fluxes at a higher scale. 

- $h_+^{1,1}$  orientifold-even Kähler moduli from two-/four-cycle volumes complexified by  $\int C_4$
- $h_-^{1,1}$  orientifold-odd Kähler moduli from  $\int B_2$  and  $\int C_2$

# 4D $\mathcal{N} = 1$ data

gravity multiplet	1	$g_{\mu\nu}$
vector multiplets	$h_-^{(2,1)}$	$V^a$
chiral multiplets	$h_-^{(2,1)}$	$z^k$
	1	$(\phi, \psi)$
	$h_-^{(1,1)}$	$(\theta^\mu, \rho^\mu)$
chiral/linear multiplets	$h_-^{(1,1)}$	$(\tau^\mu, \rho_\alpha)$

We are interested in  $O3/O7$  Calabi-Yau orientifolds ( $\sigma\Omega = -\Omega$ ).

- We assume complex structure moduli and the dilaton have been stabilized by fluxes at a higher scale.

- $h_+^{1,1}$  orientifold-even Kähler moduli from two-/four-cycle volumes complexified by  $\int C_4$
- $h_-^{1,1}$  orientifold-odd Kähler moduli from  $\int B_2$  and  $\int C_2$

## Supermultiplets

$$G^a \equiv 2\pi \left( c^a - i \frac{b^a}{g_s} \right),$$

$$T_\alpha \equiv i\rho_\alpha + \frac{1}{2} c_{\alpha\beta\gamma} v^\beta v^\gamma + \frac{g_s}{4} c_{abc} G^b (G - \bar{G})^c,$$

**intersection numbers**  $c_{IJK} = \int \omega_I \wedge \omega_J \wedge \omega_K$

4D  $\mathcal{N} = 1$  data

The **tree-level** Kähler potential and superpotential

$$K = -2 \log \mathcal{V}_E = -2 \log \left[ \frac{1}{6} c_{\alpha\beta\gamma} v^\alpha(T, G) v^\beta(T, G) v^\gamma(T, G) \right]$$

$$W = W_0$$

$c^a$  and  $b^a$  enjoy a shift symmetry (world-sheet argument). No-scale structure of  $K \Rightarrow T_\alpha$  are not stabilized.

# 4D $\mathcal{N} = 1$ data

gravity multiplet	1	$g_{\mu\nu}$
vector multiplets	$h_-^{(2,1)}$	$V^a$
chiral multiplets	$h_-^{(2,1)}$	$z^k$
	1	$(\phi, \tau)$
	$h_-^{(1,1)}$	$(\theta^\mu, \rho^\mu)$
chiral/linear multiplets	$h_-^{(1,1)}$	$(\nu^\mu, \rho_\alpha)$

We are interested in  $O3/O7$  Calabi-Yau orientifolds ( $\sigma\Omega = -\Omega$ ).

- We assume complex structure moduli and the dilaton have been stabilized by fluxes at a higher scale.

- $h_+^{1,1}$  orientifold-even Kähler moduli from two-/four-cycle volumes complexified by  $\int C_4$
- $h_-^{1,1}$  orientifold-odd Kähler moduli from  $\int B_2$  and  $\int C_2$

## Supermultiplets

$$G^a \equiv 2\pi \left( c^a - i \frac{b^a}{g_s} \right),$$

$$T_\alpha \equiv i\rho_\alpha + \frac{1}{2} c_{\alpha\beta\gamma} v^\beta v^\gamma + \frac{g_s}{4} c_{abc} G^b (G - \bar{G})^c,$$

**intersection numbers**  $c_{IJK} = \int \omega_I \wedge \omega_J \wedge \omega_K$



4D  $\mathcal{N} = 1$  data

The **tree-level** Kähler potential and superpotential

$$K = -2 \log \mathcal{V}_E = -2 \log \left[ \frac{1}{6} c_{\alpha\beta\gamma} v^\alpha(T, G) v^\beta(T, G) v^\gamma(T, G) \right]$$

$$W = W_0$$

$c^\alpha$  and  $b^\alpha$  enjoy a shift symmetry (world-sheet argument). No-scale structure of  $K \Rightarrow T_\alpha$  are not stabilized.

4D  $\mathcal{N} = 1$  data

The **tree-level** Kähler potential and superpotential

$$K = -2 \log \mathcal{V}_E = -2 \log \left[ \frac{1}{6} c_{\alpha\beta\gamma} v^\alpha(T, G) v^\beta(T, G) v^\gamma(T, G) \right]$$

$$W = W_0$$

$c^a$  and  $b^a$  enjoy a shift symmetry (world-sheet argument). No-scale structure of  $K \Rightarrow T_\alpha$  are not stabilized. **Non-perturbative corrections**, from ED3 or gaugino condensation on D7's, lead to

$$W = W_0 + \sum_{\alpha=1}^{h_+^{1,1}} A_\alpha e^{-a_\alpha T_\alpha},$$

which stabilize  $T_\alpha$  [Kachru et al. 03].

4D  $\mathcal{N} = 1$  data

The **tree-level** Kähler potential and superpotential

$$K = -2 \log \mathcal{V}_E = -2 \log \left[ \frac{1}{6} c_{\alpha\beta\gamma} v^\alpha(T, G) v^\beta(T, G) v^\gamma(T, G) \right]$$

$$W = W_0$$

$c^\alpha$  and  $b^\alpha$  enjoy a shift symmetry (world-sheet argument). No-scale structure of  $K \Rightarrow T_\alpha$  are not stabilized. **Non-perturbative corrections**, from ED3 or gaugino condensation on D7's, lead to

$$W = W_0 + \sum_{\alpha=1}^{h_+^{1,1}} A_\alpha e^{-a_\alpha T_\alpha},$$

which stabilize  $T_\alpha$  [Kachru et al. 03].

### Non-perturbative breaking of shift symmetry

Non-perturbative effects could spoil the shift symmetry. In fact they induce an  **$\eta$ -problem** for  $b^\alpha$ , analogous to D3-brane inflation.

# Moduli stabilization

The supersymmetric conditions ensuring a minimum are

$$0 = D_\alpha W = -A_\alpha a_\alpha e^{-a_\alpha T_\alpha} - W \frac{v^\alpha}{2\mathcal{V}_E},$$

$$0 = D_a W = W \pi \frac{c_{\alpha ac} v^\alpha b^c}{\mathcal{V}_E}$$



- $D_\alpha W = 0$  fixes  $T_\alpha$  (complex equation)

# Moduli stabilization

The supersymmetric conditions ensuring a minimum are

$$0 = D_\alpha W = -A_\alpha a_\alpha e^{-a_\alpha T_\alpha} - W \frac{v^\alpha}{2\mathcal{V}_E},$$

$$0 = D_a W = W \pi \frac{c_{\alpha ac} v^\alpha b^c}{\mathcal{V}_E}$$

- $D_\alpha W = 0$  fixes  $T_\alpha$  (complex equation)
- $D_a W = 0$  fixes only  $b^a = 0$

## Moduli stabilization

The supersymmetric conditions ensuring a minimum are

$$0 = D_{\alpha}W = -A_{\alpha}a_{\alpha}e^{-a_{\alpha}T_{\alpha}} - W\frac{v^{\alpha}}{2\mathcal{V}_E},$$

$$0 = D_aW = W\pi\frac{c_{\alpha ac}v^{\alpha}b^c}{\mathcal{V}_E}$$



- $D_{\alpha}W = 0$  fixes  $T_{\alpha}$  (complex equation)
- $D_aW = 0$  fixes only  $b^a = 0$
- $c^a$  still enjoy a shift symmetry

### Non-perturbative breaking of shift symmetry

It is crucial to know what, how and when breaks the shift symmetry.

Moduli stabilization á la KKLT is incompatible with  $b^a$  shift symmetry.

## The axion decay constant

Which values can  $f$  take? Direct KK reduction from  $C_2 = c(x)\omega/2\pi$  gives

$$\frac{f^2}{M_{pl}^2} = \frac{g_s \pi^2}{3V_E} \left( \frac{\int \omega \wedge * \omega}{(2\pi)^{10} (\alpha')^3} \right) \propto \frac{L_c^2}{V_E}.$$



## The axion decay constant

Which values can  $f$  take? Direct KK reduction from  $C_2 = c(x)\omega/2\pi$  gives

$$\frac{f^2}{M_{pl}^2} = \frac{g_s \pi^2}{3\mathcal{V}_E} \left( \frac{\int \omega \wedge * \omega}{(2\pi)^{10} (\alpha')^3} \right) \propto \frac{L_c^2}{\mathcal{V}_E}.$$

Using  $N = 1$  4D data one finds

$$-\frac{1}{2} f^2 (\partial c)^2 = \subset M_{pl}^2 K_{G\bar{G}} |\partial G|^2,$$



## The axion decay constant

Which values can  $f$  take? Direct KK reduction from  $C_2 = c(x)\omega/2\pi$  gives

$$\frac{f^2}{M_{pl}^2} = \frac{g_s \pi^2}{3V_E} \left( \frac{\int \omega \wedge * \omega}{(2\pi)^{10} (\alpha')^3} \right) \propto \frac{L_c^2}{V_E}.$$

Using  $N = 1$  4D data one finds

$$-\frac{1}{2} f^2 (\partial c)^2 = \subset M_{pl}^2 K_{G\bar{G}} |\partial G|^2,$$

$$\frac{f^2}{M_{pl}^2} = \frac{g_s}{8\pi^2} \frac{c_{\alpha} v^{\alpha}}{V_E}.$$

### Axion decay constant in string theory

The axion decay constant is given in terms the intersection numbers, geometrical data of the compact manifold.

# Outline

- 1 Motivations
- 2 Phenomenology of the effective model
- 3 A string theory model of axion monodromy
- 4 Constraints and phenomenology**
- 5 Conclusions



## Constraints from the moduli stabilization

A series of constraints follow from consistency and computability



# Constraints from the moduli stabilization

A series of constraints follow from consistency and computability

$$\text{small coupling} \Rightarrow g_s \ll 1$$

$$\text{small world-sheet instantons} \Rightarrow v^\alpha > \frac{1}{\pi\sqrt{g_s}}$$



## Constraints from the moduli stabilization

A series of constraints follow from consistency and computability

$$\text{small coupling} \Rightarrow g_s \ll 1$$

$$\text{small world-sheet instantons} \Rightarrow v^\alpha > \frac{1}{\pi\sqrt{g_s}}$$

$$\text{no higher instantons} \Rightarrow T_\alpha > \frac{N_\alpha}{\pi}, \text{ with } N_\alpha \lesssim 50 \text{ D7-branes}$$

## Constraints from the moduli stabilization

A series of constraints follow from consistency and computability

$$\text{small coupling} \Rightarrow g_s \ll 1$$

$$\text{small world-sheet instantons} \Rightarrow v^\alpha > \frac{1}{\pi\sqrt{g_s}}$$

$$\text{no higher instantons} \Rightarrow T_\alpha > \frac{N_\alpha}{\pi}, \text{ with } N_\alpha \lesssim 50 \text{ D7-branes}$$

$$\text{no destabilization} \Rightarrow V(\phi_{CMB}) < \mathcal{U}_{mod}$$

## Constraints from the moduli stabilization

A series of constraints follow from consistency and computability

$$\text{small coupling} \Rightarrow g_s \ll 1$$

$$\text{small world-sheet instantons} \Rightarrow v^\alpha > \frac{1}{\pi\sqrt{g_s}}$$

$$\text{no higher instantons} \Rightarrow T_\alpha > \frac{N_\alpha}{\pi}, \text{ with } N_\alpha \lesssim 50 \text{ D7-branes}$$

$$\text{no destabilization} \Rightarrow V(\phi_{CMB}) < \mathcal{U}_{mod}$$

High scale inflation and KKLT stabilization lead an **upper bound on the volume** (lower bound on  $m_s/M_{pl}$ )

$$\tau_\alpha \ll 73 - 8 \log \left( \frac{v^\alpha \pi \sqrt{g_s}}{2g_s} \right),$$

$$\mathcal{V}_E < h_+^{(1,1)} \sqrt{g_s} 1.8 \cdot 10^4,$$

## Constraints on the axion decay constant


Typically  $f < M_{pl}$ , no "Natural inflation" in string theory.





## Constraints on the axion decay constant

Typically  $f < M_{pl}$ , no "Natural inflation" in string theory.

A large vev of  $c(x)$  corresponds to a large amount of flux  $\int_{\Sigma} C_2$ . Hence the 5-brane carries  $N_w = \phi/2\pi f$  units of D3 charge. This charge does not drastically change the background when 

$$N_w \ll \frac{R_{\perp}^4}{4\pi g_s} \quad \Rightarrow \quad \frac{f}{M_{pl}} \gg \frac{2\phi g_s}{R_{\perp}^4},$$

## Constraints from the moduli stabilization

A series of constraints follow from consistency and computability

$$\text{small coupling} \Rightarrow g_s \ll 1$$

$$\text{small world-sheet instantons} \Rightarrow v^\alpha > \frac{1}{\pi\sqrt{g_s}}$$

$$\text{no higher instantons} \Rightarrow T_\alpha > \frac{N_\alpha}{\pi}, \text{ with } N_\alpha \lesssim 50 \text{ D7-branes}$$

$$\text{no destabilization} \Rightarrow V(\phi_{CMB}) < \mathcal{U}_{mod}$$

High scale inflation and KKLT stabilization lead an **upper bound on the volume** (lower bound on  $m_s/M_{pl}$ )

$$\tau_\alpha \ll 73 - 8 \log \left( \frac{v^\alpha \pi \sqrt{g_s}}{2g_s} \right),$$

$$\mathcal{V}_E < h_+^{(1,1)} \sqrt{g_s} 1.8 \cdot 10^4,$$

## Constraints from the moduli stabilization

A series of constraints follow from consistency and computability

$$\text{small coupling} \Rightarrow g_s \ll 1$$

$$\text{small world-sheet instantons} \Rightarrow v^\alpha > \frac{1}{\pi\sqrt{g_s}}$$

$$\text{no higher instantons} \Rightarrow T_\alpha > \frac{N_\alpha}{\pi}, \text{ with } N_\alpha \lesssim 50 \text{ D7-branes}$$

$$\text{no destabilization} \Rightarrow V(\phi_{CMB}) < \mathcal{U}_{mod}$$

High scale inflation and KKLT stabilization lead an **upper bound on the volume** (lower bound on  $m_s/M_{pl}$ )

$$\tau_\alpha \ll 73 - 8 \log \left( \frac{v^\alpha \pi \sqrt{g_s}}{2g_s} \right),$$

$$\mathcal{V}_E < h_+^{(1,1)} \sqrt{g_s} 1.8 \cdot 10^4,$$

## Constraints on the axion decay constant

Typically  $f < M_{pl}$ , no "Natural inflation" in string theory.

A large vev of  $c(x)$  corresponds to a large amount of flux  $\int_{\Sigma} C_2$ . Hence the 5-brane carries  $N_w = \phi/2\pi f$  units of D3 charge. This charge does not drastically change the background when

$$N_w \ll \frac{R_{\perp}^4}{4\pi g_s} \quad \Rightarrow \quad \frac{f}{M_{pl}} \gg \frac{2\phi g_s}{R_{\perp}^4},$$

### Lower bound from absence of backreaction

A lower bound from the above constraint is  $\frac{f}{M_{pl}} > \frac{0.1}{V_E^{2/3}}$

## The amplitude of modulations


In general there are **non-perturbative corrections** that break the continuous shift symmetry of  $c(x)$  to a discrete shift symmetry. They superimpose ripples on the linear potential

$$V(\phi) = \mu^3 \phi + b\mu^3 f \cos\left(\frac{\phi}{f}\right)$$



## Constraints on the axion decay constant

Typically  $f < M_{pl}$ , no "Natural inflation" in string theory.

A large vev of  $c(x)$  corresponds to a large amount of flux  $\int_{\Sigma} C_2$ . Hence the 5-brane carries  $N_w = \phi/2\pi f$  units of D3 charge. This charge does not drastically change the background when 

$$N_w \ll \frac{R_{\perp}^4}{4\pi g_s} \quad \Rightarrow \quad \frac{f}{M_{pl}} \gg \frac{2\phi g_s}{R_{\perp}^4},$$

### Lower bound from absence of backreaction

A lower bound from the above constraint is  $\frac{f}{M_{pl}} > \frac{0.1}{V_E^{2/3}}$

## The amplitude of modulations

In general there are **non-perturbative corrections** that break the continuous shift symmetry of  $c(x)$  to a discrete shift symmetry. They superimpose ripples on the linear potential

$$V(\phi) = \mu^3 \phi + b\mu^3 f \cos\left(\frac{\phi}{f}\right)$$



## The amplitude of modulations

In general there are **non-perturbative corrections** that break the continuous shift symmetry of  $c(x)$  to a discrete shift symmetry. They superimpose ripples on the linear potential

$$V(\phi) = \mu^3 \phi + b\mu^3 f \cos\left(\frac{\phi}{f}\right)$$



- F-term corrections ( $K$ ) are generically present. They need instantons with four fermionic zero modes, e.g. non-BPS instantons. Few is known due to the lack of holomorphicity. We make the educated guess

$$K = -2 \log \left[ \mathcal{V}_E + e^{-S_{ED1}} \cos(c) \right] = -2 \log \left[ \mathcal{V}_E + e^{-\frac{2\pi v^+}{\sqrt{g_s}}} \cos(c) \right].$$



## The amplitude of modulations

In general there are **non-perturbative corrections** that break the continuous shift symmetry of  $c(x)$  to a discrete shift symmetry. They superimpose ripples on the linear potential

$$V(\phi) = \mu^3 \phi + b\mu^3 f \cos\left(\frac{\phi}{f}\right)$$



- F-term corrections ( $K$ ) are generically present. They need instantons with four fermionic zero modes, e.g. non-BPS instantons. Few is known due to the lack of holomorphicity. We make the educated guess

$$K = -2 \log \left[ \mathcal{V}_E + e^{-S_{ED1}} \cos(c) \right] = -2 \log \left[ \mathcal{V}_E + e^{-\frac{2\pi v^+}{\sqrt{g_s}}} \cos(c) \right].$$

- D-term corrections ( $W$ ) should be holomorphic. They can arise from instantons with two fermionic zero modes. It is still controversial if such instantons exist.

# The amplitude of modulations

The result of the moduli stabilization is

$$V_{SUGRA} = \mathcal{U}_{mod} \left( 1 + e^{-S_{ED1}} \frac{4}{v_\alpha} \frac{2\pi}{\sqrt{g_s}} \partial_{\tau_\alpha} v_+ \cos c \right)$$



# The amplitude of modulations

The result of the moduli stabilization is

$$V_{SUGRA} = U_{mod} \left( 1 + e^{-S_{ED1}} \frac{4}{v_\alpha} \frac{2\pi}{\sqrt{g_s}} \partial_{\tau_\alpha} v_+ \cos c \right)$$

Hence the estimate **size of the ripples** is

$$bf = \frac{U_{mod} \phi}{V_{inf}} e^{-S_{ED1}} \frac{4}{v_\alpha} \frac{2\pi}{\sqrt{g_s}} \partial_{\tau_\alpha} v_+$$

# The amplitude of modulations

The result of the moduli stabilization is

$$V_{SUGRA} = U_{mod} \left( 1 + e^{-S_{ED1}} \frac{4}{v_\alpha} \frac{2\pi}{\sqrt{g_s}} \partial_{\tau_\alpha} v_+ \cos c \right) \quad \text{✎}$$

Hence the estimate **size of the ripples** is

$$bf = \frac{U_{mod} \phi}{V_{inf}} e^{-S_{ED1}} \frac{4}{v_\alpha} \frac{2\pi}{\sqrt{g_s}} \partial_{\tau_\alpha} v_+$$

- Exponentially suppressed in  $v^+$ .

# The amplitude of modulations

The result of the moduli stabilization is

$$V_{SUGRA} = U_{mod} \left( 1 + e^{-S_{ED1}} \frac{4}{v_\alpha} \frac{2\pi}{\sqrt{g_s}} \partial_{\tau_\alpha} v_+ \cos c \right)$$

Hence the estimate **size of the ripples** is

$$bf = \frac{U_{mod} \phi}{V_{inf}} e^{-S_{ED1}} \frac{4}{v_\alpha} \frac{2\pi}{\sqrt{g_s}} \partial_{\tau_\alpha} v_+$$

- Exponentially suppressed in  $v^+$ .
- Enhanced by high moduli stabilization barrier.

## Higher derivative terms

As our background solution involves rapid oscillation, one might ask if higher derivative terms are under control. The answer is affirmative.



## Higher derivative terms

As our background solution involves rapid oscillation, one might ask if higher derivative terms are under control. The answer is affirmative. Known string theory higher derivative corrections (similar to Riemann<sup>4</sup>) lead to

$$\begin{aligned}
 S &= S_0 + S_{higher} \\
 &= \int d^4x \left( \frac{1}{2} \partial\phi\partial\phi - V(\phi) + C_* \frac{(\partial\phi)^8}{M_*^{12}} + C_{\square} \frac{(\square\phi)^4}{M_{\square}^8} + \dots \right)
 \end{aligned}$$

where  $M_* = m_s L^2$  and  $M_{\square} = m_s L^{3/2}$ .

## Higher derivative terms

As our background solution involves rapid oscillation, one might ask if higher derivative terms are under control. The answer is affirmative. Known string theory higher derivative corrections (similar to Riemann<sup>4</sup>) lead to

$$\begin{aligned}
 S &= S_0 + S_{higher} \\
 &= \int d^4x \left( \frac{1}{2} \partial\phi\partial\phi - V(\phi) + C_* \frac{(\partial\phi)^8}{M_*^{12}} + C_{\square} \frac{(\square\phi)^4}{M_{\square}^8} + \dots \right)
 \end{aligned}$$

where  $M_* = m_s L^2$  and  $M_{\square} = m_s L^{3/2}$ .

Evaluating these on the oscillating solution one finds that they are suppressed by powers of

$$\frac{\omega}{M_*} \sim \frac{\omega}{M_{\square}} \lesssim 10^{-3}$$



## Higher derivative terms

As our background solution involves rapid oscillation, one might ask if higher derivative terms are under control. The answer is affirmative. Known string theory higher derivative corrections (similar to Riemann<sup>4</sup>) lead to

$$\begin{aligned}
 S &= S_0 + S_{higher} \\
 &= \int d^4x \left( \frac{1}{2} \partial\phi\partial\phi - V(\phi) + C_* \frac{(\partial\phi)^8}{M_*^{12}} + C_{\square} \frac{(\square\phi)^4}{M_{\square}^8} + \dots \right)
 \end{aligned}$$

where  $M_* = m_s L^2$  and  $M_{\square} = m_s L^{3/2}$ .

Evaluating these on the oscillating solution one finds that they are suppressed by powers of

$$\frac{\omega}{M_*} \sim \frac{\omega}{M_{\square}} \lesssim 10^{-3}$$

## Higher derivative terms

As our background solution involves rapid oscillation, one might ask if higher derivative terms are under control. The answer is affirmative.

Known string theory higher derivative corrections (similar to Riemann<sup>4</sup>) lead to

$$\begin{aligned}
 S &= S_0 + S_{higher} \\
 &= \int d^4x \left( \frac{1}{2} \partial\phi\partial\phi - V(\phi) + C_* \frac{(\partial\phi)^8}{M_*^{12}} + C_{\square} \frac{(\square\phi)^4}{M_{\square}^8} + \dots \right)
 \end{aligned}$$

where  $M_* = m_s L^2$  and  $M_{\square} = m_s L^{3/2}$ .

Evaluating these on the oscillating solution one finds that they are suppressed by powers of

$$\frac{\omega}{M_*} \sim \frac{\omega}{M_{\square}} \lesssim 10^{-3}$$

# Outline

- 1 Motivations
- 2 Phenomenology of the effective model
- 3 A string theory model of axion monodromy
- 4 Constraints and phenomenology
- 5 Conclusions**



# Conclusions

- Cosmology offers a lot of new data about energy scales that we can not reach otherwise.



# Conclusions

- Cosmology offers a lot of new data about energy scales that we can not reach otherwise.
- Embedding axion monodromy inflation into string theory provides some insight into **the origin of the flatness of the potential**, i.e. shift symmetry.

# Conclusions

- Cosmology offers a lot of new data about energy scales that we can not reach otherwise.
- Embedding axion monodromy inflation into string theory provides some insight into **the origin of the flatness of the potential**, i.e. shift symmetry.
- We have studied the non-perturbative breaking of the shift symmetry and its phenomenological consequences.

# Conclusions

- Cosmology offers a lot of new data about energy scales that we can not reach otherwise.
- Embedding axion monodromy inflation into string theory provides some insight into **the origin of the flatness of the potential**, i.e. shift symmetry.
- We have studied the non-perturbative breaking of the shift symmetry and its phenomenological consequences.
- Axion monodromy inflation can fit existing data.

# Conclusions

- Cosmology offers a lot of new data about energy scales that we can not reach otherwise.
- Embedding axion monodromy inflation into string theory provides some insight into **the origin of the flatness of the potential**, i.e. shift symmetry.
- We have studied the non-perturbative breaking of the shift symmetry and its phenomenological consequences.
- Axion monodromy inflation can fit existing data.
- It suggests exciting signal for the near future:
  - **tensor modes,  $r \simeq 0.07$**



# Conclusions

- Cosmology offers a lot of new data about energy scales that we can not reach otherwise.
- Embedding axion monodromy inflation into string theory provides some insight into **the origin of the flatness of the potential**, i.e. shift symmetry.
- We have studied the non-perturbative breaking of the shift symmetry and its phenomenological consequences.
- Axion monodromy inflation can fit existing data.
- It suggests exciting signal for the near future:
  - **tensor modes,  $r \simeq 0.07$**
  - **possible oscillations in the scalar spectrum**

## Open questions

It would be very interesting to

- Develop an estimator for resonant non-G and compare this shape with existing data



## Open questions

It would be very interesting to

- Develop an estimator for resonant non-G and compare this shape with existing data
- Consider other string theory realization, e.g. with perturbative moduli stabilization



## Open questions

It would be very interesting to

- Develop an estimator for resonant non-G and compare this shape with existing data
- Consider other string theory realization, e.g. with perturbative moduli stabilization
- Explicitly compute the leading corrections to the inflaton potential



## Open questions

It would be very interesting to

- Develop an estimator for resonant non-G and compare this shape with existing data
- Consider other string theory realization, e.g. with perturbative moduli stabilization
- Explicitly compute the leading corrections to the inflaton potential

