

Title: Wave equations in Kerr geometry

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Abstract: Quite a bit of progress has been achieved over the past seven years in understanding from a rigorous mathematical perspective the long time dynamics of waves in the Kerr geometry of a rotating black hole in equilibrium. A proof of the Penrose process for scalar waves has notably been given in this context. I will review some of these results, obtained in collaboration with Felix Finster, Joel Smoller and Shing-Tung Yau. I will also indicate a number of open problems.

Collab. w/ F. Finster, J. Smoller, & S.-T. Yau.

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$$ds^2 = \frac{\Delta}{\Sigma} (dt - a \sin^2 \theta d\varphi)^2 - \Sigma \left( \frac{dn^2}{\Delta} + d\theta^2 \right) \\ - \frac{m^2 \theta}{\Sigma} (adt - (n^2 + a^2) d\varphi)^2$$



$$\Delta(r) := r^2 - 2Mr + a^2, \quad U(r, \theta) := r^2 + a^2 \cos^2 \theta$$

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$M > 0, \quad a^2 < M^2$  solves

$$\text{Ric}(g) = 0$$

$M$ : mass of the black hole

$a$ : ang. mom. per unit mass.

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$r = r_1$  : event horizon



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$$(0, \psi) \in S^2$$

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$M \approx \mathbb{R}^2 \times S^2$ , event horizon  $\approx \mathbb{R} \times S^2$ .

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Importance of Kerr: Black hole uniqueness theorem.



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"Exterior geometry of rotating B.H in equilibrium has to be Kerr"

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Geom. properties:  $T_{\alpha\beta} = T_{\beta\alpha}$ ,  $\partial_{\mu} T_{\alpha\beta} = \partial_{\beta} T_{\alpha\mu}$  commuting Killing vector fields



$$T_{\alpha\beta} = \begin{pmatrix} * & 0 \\ 0 & * \end{pmatrix}$$

orthog. trans. action.

$$ds^2 = \frac{\Delta}{U} (dt - a \sin^2 \theta d\varphi)^2 - U \left( \frac{dn^2}{\Delta} + d\theta^2 \right) - \frac{m^2 \theta}{U} (adt - (n^2 + a^2) d\varphi)^2$$



Geom. properties:  $\mathcal{L}_{\xi} g = 0$ ,  $\mathcal{L}_{\xi} \omega = 0$  commuting Killing vector field



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Geom. properties:  $\partial_{t_i}, \partial_{\varphi}$  commuting Killing vector fields



$$\begin{matrix} & n, \theta & \varphi \\ \varphi & \left( \begin{array}{c|c} * & 0 \\ \hline 0 & * \end{array} \right) \end{matrix}$$

other trans. action.  
separability of wave eqns.

$$ds^2 = \Delta \cdot (dt - a \sin^2 \theta d\varphi)^2 - \frac{dn^2}{\Delta} + d\theta^2 - \frac{m^2 \theta}{\Delta} (adt - (n^2 + a^2) d\varphi)^2$$



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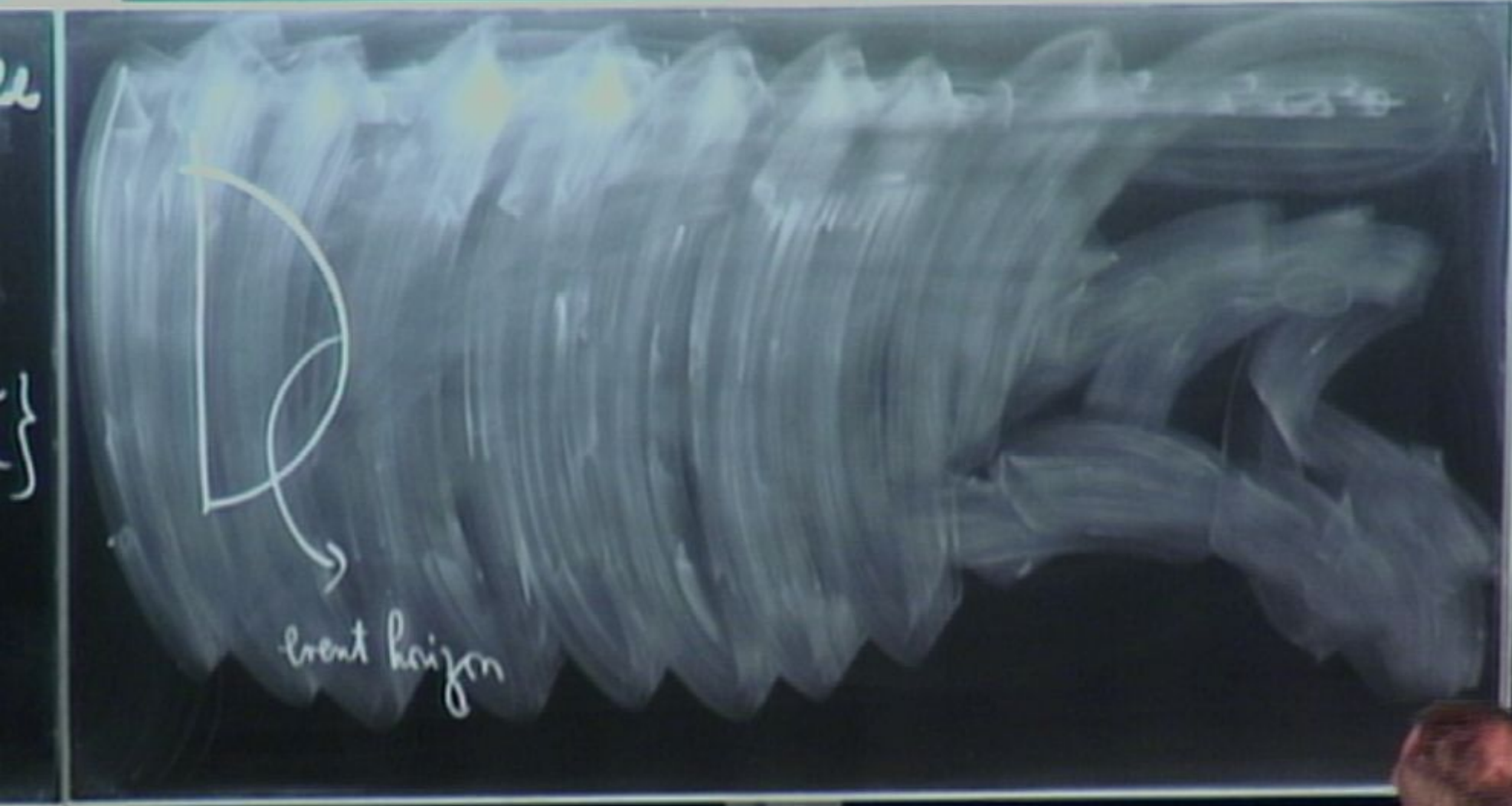
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{ separability of wave eqns. }  
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event horizon

$$g(\partial_t, \partial_t) = -\left(1 - \frac{2M}{r} + \frac{a^2}{r^2} \sin^2 \theta\right)$$

$$g_{tt} = -\frac{1}{c^2} \left( r^2 - 2M r + a^2 \sin^2 \theta \right)$$

$$2M r + a^2$$

event



$\partial_t$  : time-like

$$g(\partial_t, \partial_t) = -1$$

$$g_{tt} = -\frac{1}{\Delta} (r^2 - 2Mr + a^2 \cos^2 \theta)$$

$\partial_t$  : space-like ; ergosphere.

event horizon :  $r^2 - 2Mr + a^2 = 0$





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$$g(\partial_t, \partial_t) = -1$$

$$g_{tt} = -\frac{1}{\Delta} (r^2 - 2Mr + a^2 \cos^2 \theta)$$

$\partial_t$ : space-like; ergosphere: lack of continuity of energy.

event horizon:  $r^2 - 2Mr + a^2 = 0$

Def: Für  $a \neq 0$ , Kern  $\mathcal{K}$  des  $\mathcal{K}$   
Schwingschild an  $\text{ergonomie} = \phi$

Prob: For  $a=0$ , Kerr solution to

Schwarzschild an ergosphere =  $\phi$

General Question: Consider a wave equation

$$\square_g \psi = 0$$



where

$$T_g = \begin{cases} \mathcal{D}_g & \text{Dirac} \\ \mathcal{D}_g \\ \mathcal{M}_g & \text{Maxwell} \\ \mathcal{E}_g & \text{linearized Einstein} \\ \vdots \end{cases}$$

Understand the dynamics of the solutions as  $t \rightarrow \infty$

- decay (rates)
- scattering (Penrose process)
- ⋮

Two general approaches:

Spectral methods and Fourier analysis

Rel

Sch

Gen

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Spectral methods and Fourier analysis  
(exploits separation of variables)

Rigorous results:

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## Two general approaches:

Spectral methods and Fourier analysis  
(exploits separation of variables)

• results for modes; Whitening.

• for general data; FKSY

• assumptions about support & separability of data

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# Multiplication methods

→ Multiplex methods: Kilainenmaa, Rodnianski,

M. Dafermos, A. Soffer, P. Blue...



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perturbations

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## 2. Decay for Dirac

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$$i\partial_t \Psi = H \Psi$$

$$\frac{d}{dt} \langle \Psi_1, \Psi_2 \rangle$$

$$H i$$



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$H$  is h.s. a w.r.t  $\langle, \rangle$

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$$\Delta^{\dagger} (\bar{\Psi} \gamma_3 \Psi) = 0$$

$H$  is h.s. a w.r.t  $\langle, \rangle$

$$\Psi(t) = e^{itH} \underline{\underline{\Psi_0}}$$

Use separation of variables for Dirac

$U S D_{\theta} S$



Use separation of variables for Dirac

$$US \not{D}_g S' = Q + A$$

Use separation of variables for Dirac

$$US \not{D}_g S' = \underbrace{Q}_{r,t,\varphi} + \underbrace{A}_{\theta,t,\varphi}$$

Use separation of variables for Dirac

$$US \not{D}_g S^{-1} = \mathcal{Q} + \mathcal{A}$$

$r, t, \varphi$        $\theta, t, \varphi$

$$\underline{\Psi} = e^{-i(\mathcal{R} + \frac{1}{2})\varphi - i\omega t} \hat{\Psi}(r, \theta)$$



Use separation of variables for Dirac

$$U S \not{D}_g S^{-1} = \mathcal{Q} + A$$

$\mathcal{Q}$   $\mathcal{A}$   
 $r, t, \varphi$   $\theta, t, \varphi$

$$\Psi = e^{-i(\mathcal{Q} + \frac{1}{2})\varphi - i\omega t} \hat{\Psi}(r, \theta)$$

$$\not{D}_g \Psi = 0 \Leftrightarrow \mathcal{Q} \hat{\Psi} = \lambda \hat{\Psi}$$

$k, \omega$

$$A \hat{\Psi} = -\lambda \hat{\Psi}$$

$k, \omega$

Use separation of variables for Dirac

$$U S \mathcal{D}_g S^{-1} = \mathcal{Q} + \mathcal{A}$$

$\mathcal{Q}$   $\mathcal{A}$   
 $n, t, \varphi$   $\theta, t, \varphi$

$$\Psi = e^{-i(\mathcal{Q} + \frac{1}{2})\varphi - i\omega t} \hat{\Psi}(n, \theta)$$

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$k, \omega$

$$0 < \lambda_1(\omega, k) < \lambda_2(\omega, k) < \dots$$

Use separation of variables for Dirac

$$U S \not{D}_g S^{-1} = \mathcal{Q} + \mathcal{A}$$

$n, t, \varphi$        $\mathcal{Q}, t, \varphi$

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$k, \omega$        $k, \omega$

$$0 < \lambda_1(\omega, k) < \lambda_2(\omega, k) < \dots$$



$$\Psi = \sum_{k \in \mathbb{Z}} e^{-i(k + \frac{1}{2})\varphi} \sum_{m \in \mathbb{N}}$$

$$\psi = \sum_{k \in \mathbb{Z}} e^{-i(k + \frac{1}{2})\varphi} \sum_{m \in \mathbb{N}} \int_{-\infty}^{+\infty} e^{-i\omega t} \sum_{a, b=1}^2 t$$

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where

$$\Psi_0 \in L^2((n_1, +\infty) \times S^2)^4$$

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where

$$\Psi_0 \in L^2((r_1, +\infty) \times S^2) \cap L_{loc}^\infty(\text{event horizon})$$

## 2. Decay for Dirac

$$\mathcal{D} = i\gamma^0 \partial_t - m$$



$$i\partial_t \Psi = H \Psi$$

$$\frac{d}{dt} \langle \Psi_1 | \Psi_1 \rangle = 0$$

$$\nabla \cdot (\bar{\Psi} \gamma_3 \Psi) = 0$$

$$\Psi(t) = \int dE_\omega \Psi_\omega$$

$$e^{-i\omega t} \langle \Psi_1 | \Psi_1 \rangle$$

$$e^{-i\omega t} dE_\omega \Psi_\omega$$



## 2. Decay for Dirac

$$\mathcal{D}_j = i\gamma^j \nabla_j - m$$



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$H$  is h.s. a w.r.t  $\langle \cdot, \cdot \rangle$

$$\Psi(t) = e^{itH} \Psi_0 = \int e^{-i\omega t} dE_\omega \Psi_0$$

Thm 1:  $\forall \epsilon > 0, \exists \delta > 0$

$$\lim_{t \rightarrow \infty} \int_{K_{\delta, R}}$$

Thm 1:  $\forall \delta > 0, R > r_1 + \delta$

$$\lim_{t \rightarrow \infty} \int_{K_{\delta, R}} \left( \frac{\partial \Psi}{\partial t} + \gamma \Psi \right) \nu_{\partial K_{\delta, R}} = 0$$

$$K_{\delta, R} = \{ (t, r, \theta, \varphi) \mid r_1 + \delta < r < R \}$$

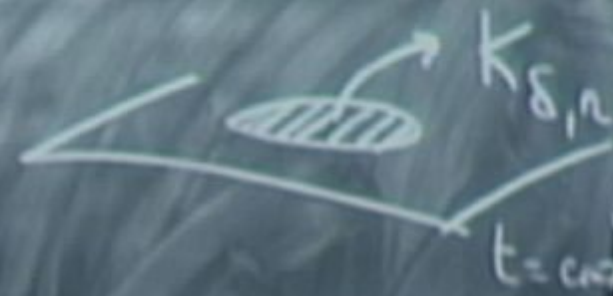




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$$\lim_{t \rightarrow \infty} \int_{K_{\delta, R}} \left( \sum_{j=1}^n \gamma^j \Psi_j \right) \nu_j d\mu = 0 \quad | \quad L^1(\omega)$$

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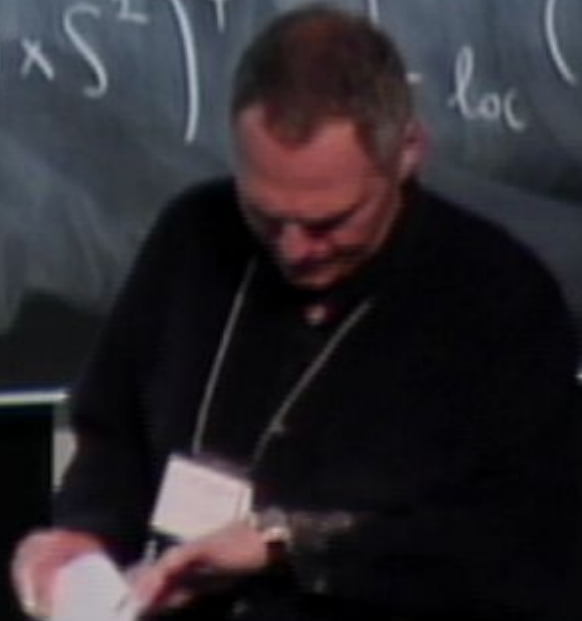




$$\Psi = \sum_{k \in \mathbb{Z}} e^{-i(k + \frac{1}{2})\varphi} \sum_{m \in \mathbb{N}} \int_{-\infty}^{+\infty} e^{-i\omega t} \sum_{a, b=1}^2 \begin{pmatrix} k & m \\ a & b \end{pmatrix} \Psi_{a, b}^{k, m}$$

where

$$\Psi_0 \in L^2((n_1, +\infty) \times S^2)^4 \quad \text{loc} \quad \text{(event horizon)}$$



$$\psi = \sum_{k \in \mathbb{Z}} e^{-i(k + \frac{1}{2})\varphi} \sum_{m \in \mathbb{N}} \int_{-\infty}^{+\infty} e^{-i\omega t} \sum_{a, b=1}^2 \left( \begin{matrix} k_{un} \\ ab \end{matrix} \right) \psi_{ab}^{k_{un}}$$

Thm 1.1



$$\hat{\psi} = \sum_{k \in \mathbb{Z}} e^{-i(k + \frac{1}{2})\varphi} \sum_{m \in \mathbb{N}} \int_{-\infty}^{+\infty} e^{-iat} \dots$$

Diagrammatic annotations:
 

- A bracket labeled '2' spans the sum over  $m \in \mathbb{N}$ .
- A circle contains the expression  $\frac{t}{ab}$ .
- Arrows point from the circle to other parts of the diagram.
- Labels  $a, b = 1$  are present near the circle.
- Other labels include  $\psi_{ab}$  and  $\psi_{b, \dots}$ .

Thm:  $0 \leq |k| \leq n_0, \quad 0 \leq |m| \leq n_0$



$$\varphi = \sum_{k \in \mathbb{Z}} e^{-i(k + \frac{1}{2})\varphi} \sum_{m \in \mathbb{N}} \int_{-\infty}^{+\infty} e^{-i\omega t} \sum_{a, b=1}^2 \left( \begin{matrix} k & a \\ m & b \end{matrix} \right) \varphi_{k, m}$$

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$$\Psi = \sum_{k \in \mathbb{Z}} e^{-i(k + \frac{1}{2})\varphi} \sum_{n \in \mathbb{N}} \int_{-\infty}^{+\infty} e^{-i\omega t} \sum_{a, b=1}^2 \left( \begin{matrix} k & n \\ a & b \end{matrix} \right) \Psi_{a, b}^{k, n}$$

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$$\Psi(x, t) = c(x) t^{-5/6} + O(t^{-5/6 - \epsilon}) \quad \epsilon < \frac{1}{30}$$

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Mk:  $t^{-3/2}$

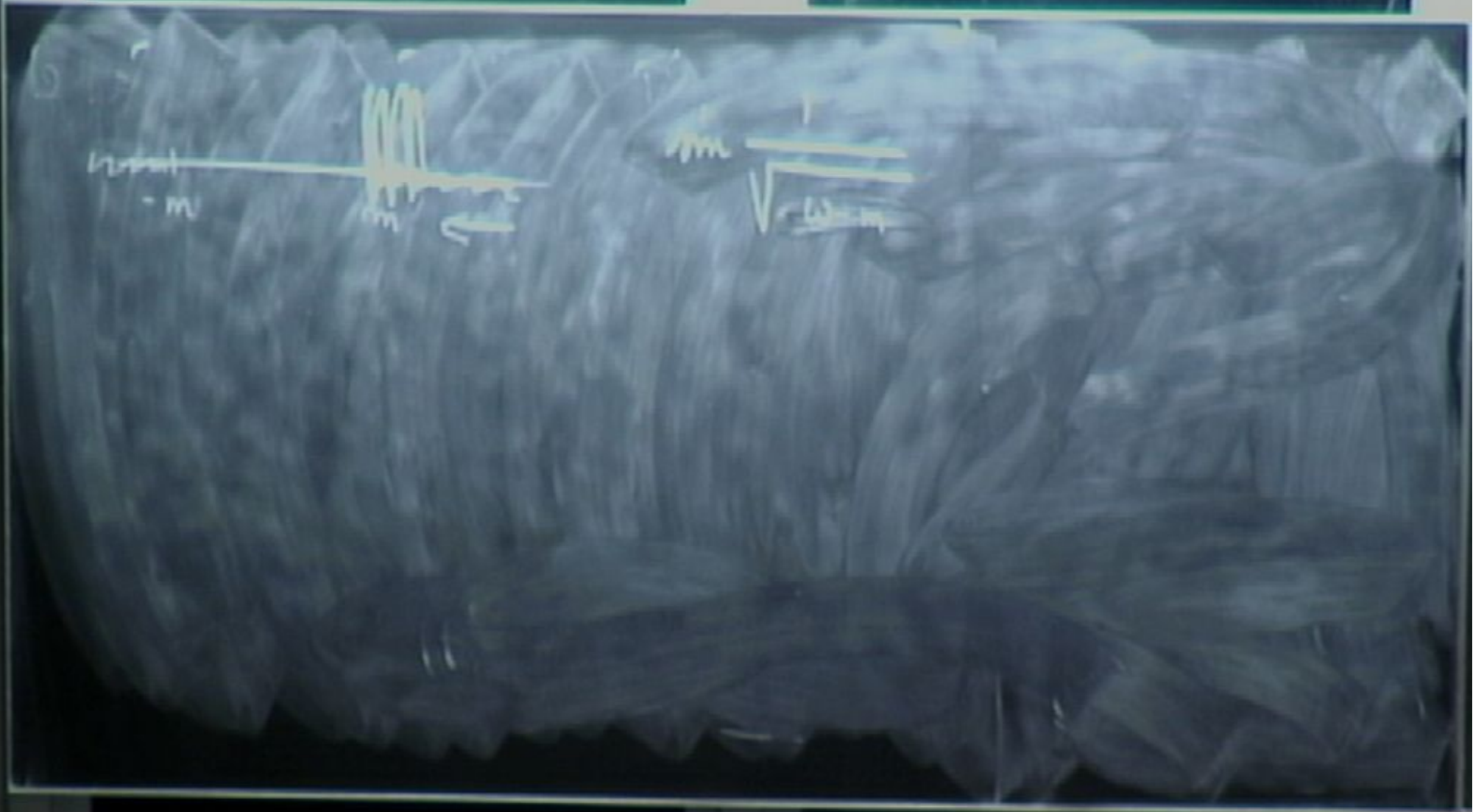


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Mk:  $t^{-3/2}$  slower in Kern

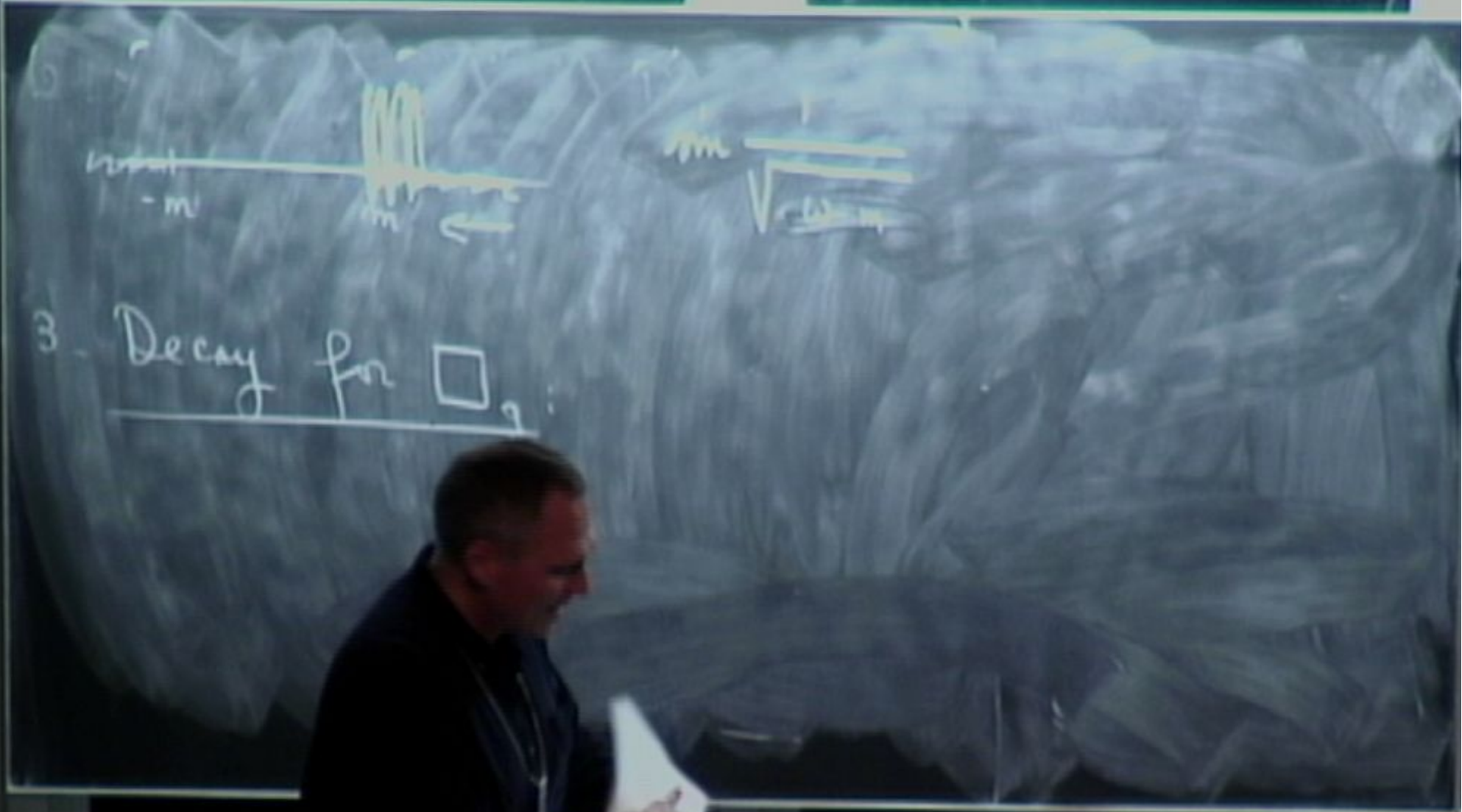


2  
input  
- m



3. Decay for  $\square_z$ :





3. Decay for  $\square_2$ :



3. Decay for  $\square_g$ :

$$\Gamma = \dots \left( \frac{1}{m^2} \frac{g^2}{\Delta} \right) \left| \int \bar{\psi} \psi \right|^2$$

$\Delta$  inside graph.



3. Decay for  $\square_g$ :

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inside region



input  
- m



3. Decay for  $\square_g$ :

$$\Gamma = \dots \left( \frac{1}{m^2} - \frac{a^2}{\Delta} \right) \left| \int \psi \bar{\psi} \right|^2$$

inside region.

$\int \psi \bar{\psi} = H \psi$

3. Decay for  $\square_g$ :

---

$\xi = \dots \left( \frac{1}{m^2} \frac{a^e}{\Delta} \right)$

$\partial_t \psi = H \psi$

Diagrams on the board show energy levels and transitions. One diagram on the left shows a state with energy  $-m$  and a transition to a state with energy  $m$ . Another diagram on the right shows a state with energy  $m$  and a transition to a state with energy  $0$ .



3. Decay for  $\square_g$

den  $(\chi_-)$

$$\xi = \dots \left( \frac{1}{m^2} - \frac{a^e}{\Delta} \right) \left| \partial_{\psi} \bar{\psi} \right|^2$$

inside region.

$i\partial_t \psi = H\psi$

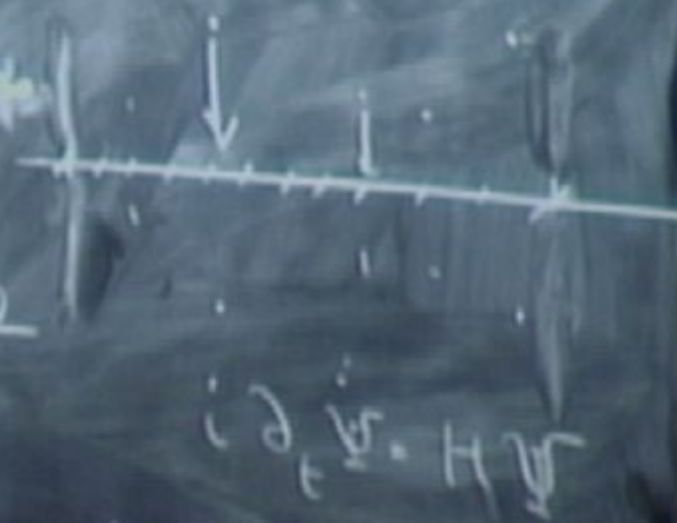




3. Decay for  $\square_g$

$$\Gamma = \dots \left( \frac{1}{m^2} - \frac{a^e}{\Delta} \right) \left| \partial_{\mu} \bar{\psi} \right|^2$$

$\langle \dots \rangle$  inside graphs.



$$i \partial_{\mu} \bar{\psi} = H \psi$$



den(X\_-)



3. Decay for  $\square_g$

$$\mathcal{E} = \dots \left( \frac{1}{m^2} - \frac{a^e}{\Delta} \right) |\partial_{\mu} \bar{g}|^2$$

inside ergosphere.

Perspective:

i)  $a^2 = M^2$

K



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ii) Higher-dim'l BH's Myers-Perry solutions



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 $\exists$  sep. of variables (in dim 5)

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iii) Decay thm for general Cauchy data.



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(iii) Decay thm for general Cauchy data.  $\Lambda \neq 0$

(iv)