

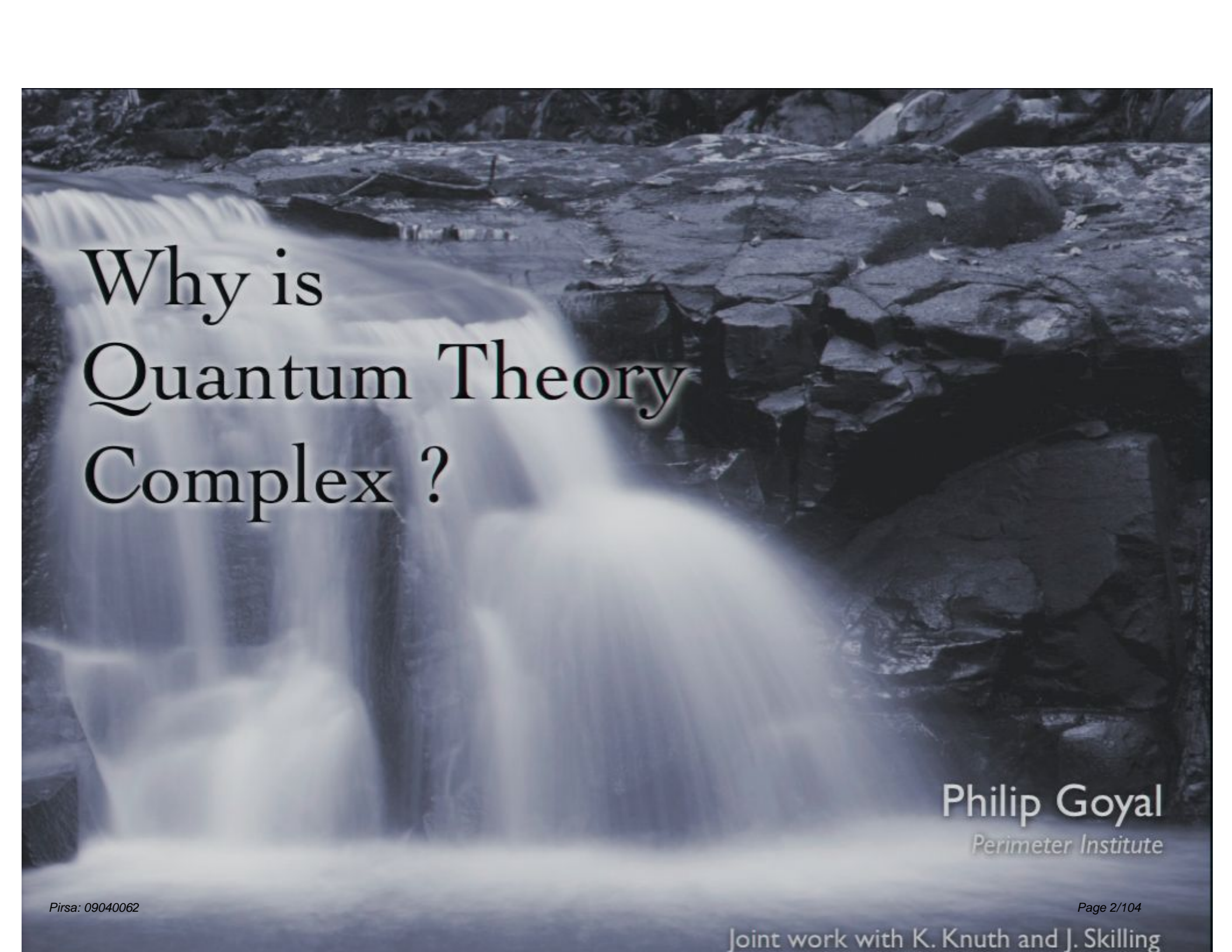
Title: Why Quantum Theory is Complex

Date: Aug 11, 2009 11:00 AM

URL: <http://pirsa.org/09040062>

Abstract: Complex numbers are an intrinsic part of the mathematical formalism of quantum theory, and are perhaps its most mysterious feature. We show that it is possible to derive the complex nature of the quantum formalism directly from the assumption that a pair of real numbers is associated to each sequence of measurement outcomes, and that the probability of this sequence is a real-valued function of this number pair. By making use of elementary symmetry and consistency conditions, and without assuming that these real number pairs have any other algebraic structure, we show that these pairs must be manipulated according to the rules of complex arithmetic. We demonstrate that these complex numbers combine according to Feynman's sum and product rules, with the modulus-squared yielding the probability of a sequence of outcomes.

Reference: arXiv:0907.0909 (<http://arxiv.org/abs/0907.0909>)



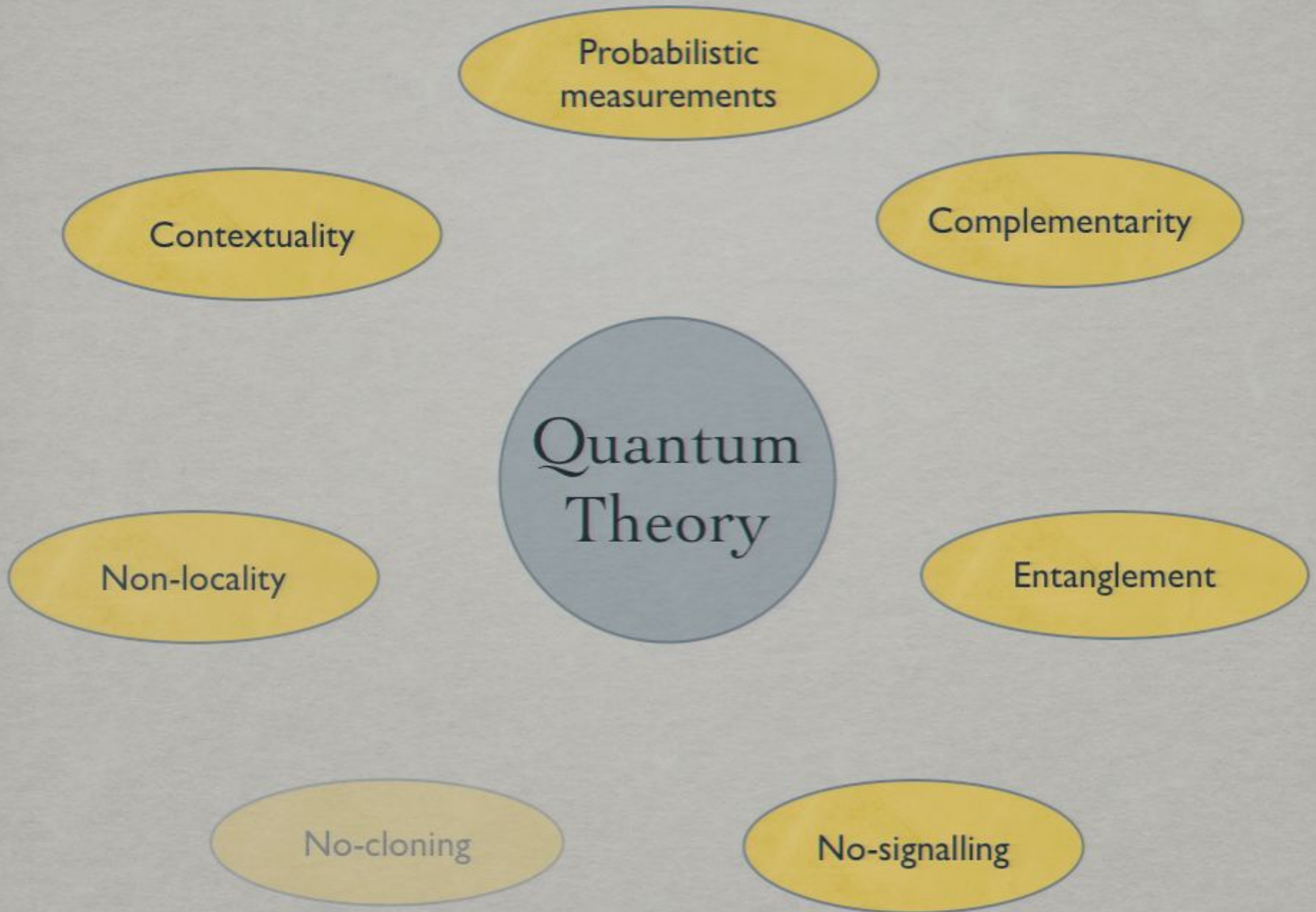
# Why is Quantum Theory Complex ?

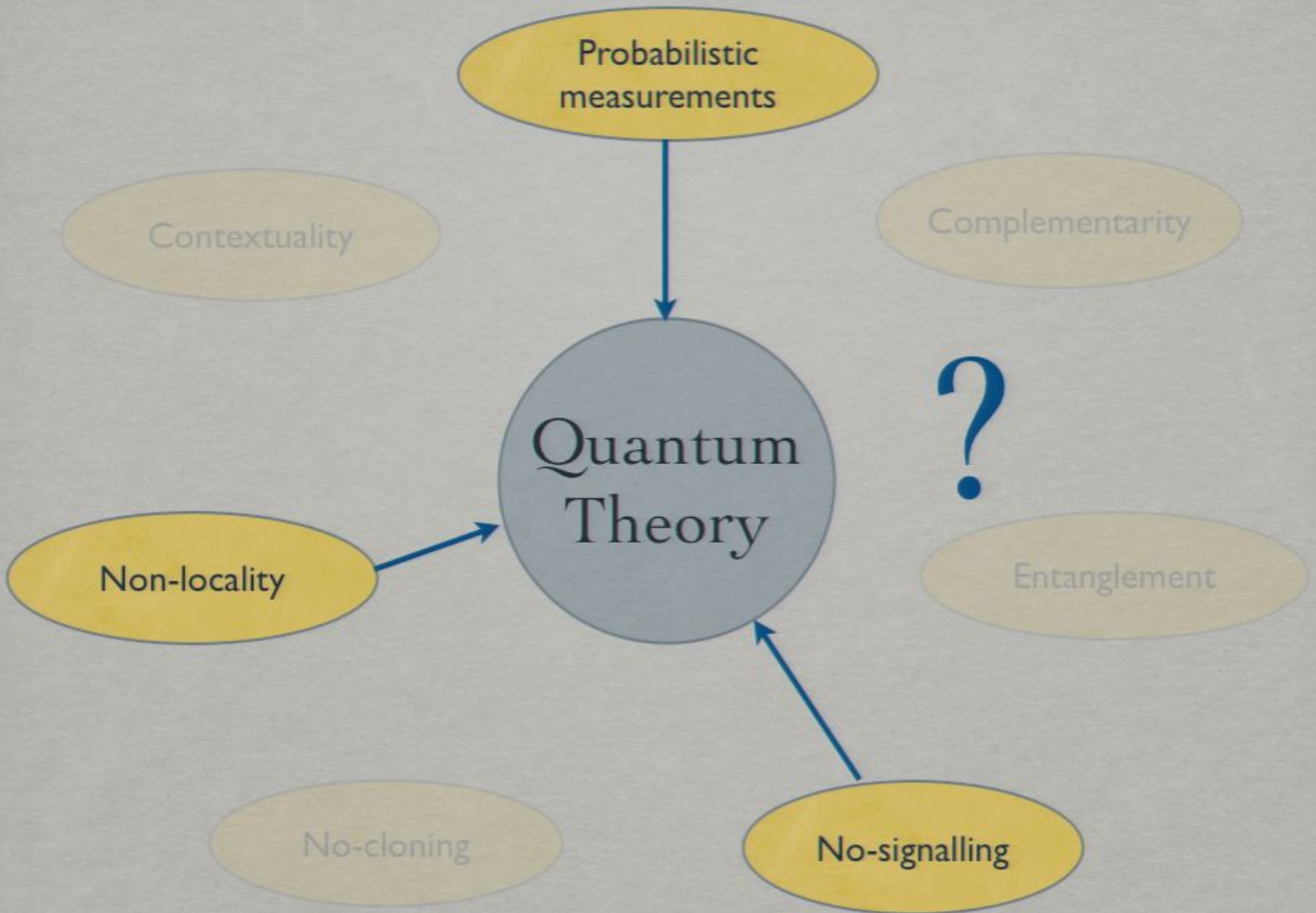
Philip Goyal  
*Perimeter Institute*

Probabilistic  
measurements

# Quantum Theory







Probabilistic  
measurements

Contextuality

Complementarity

Quantum  
Theory

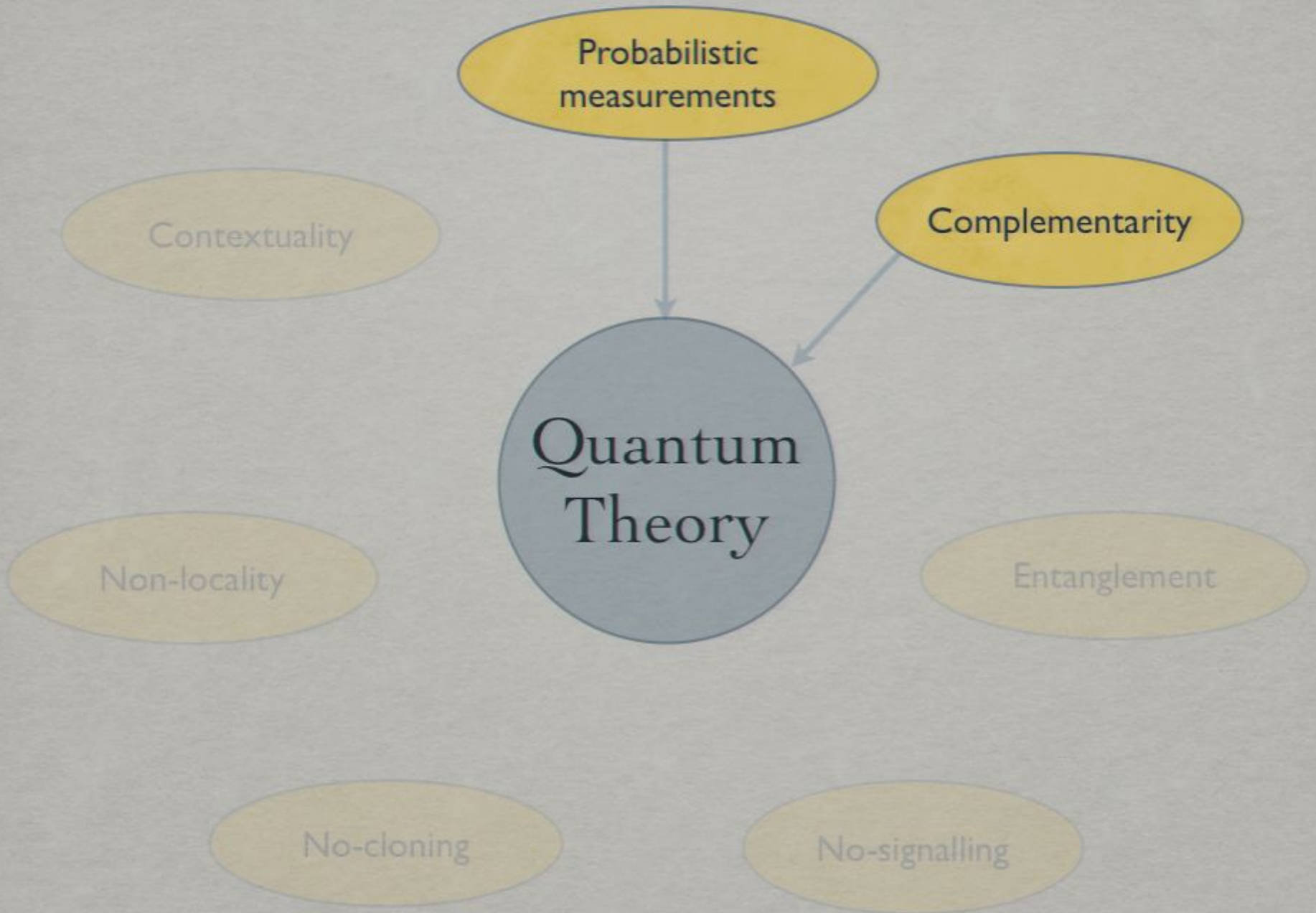
Non-locality

Entanglement

No-cloning

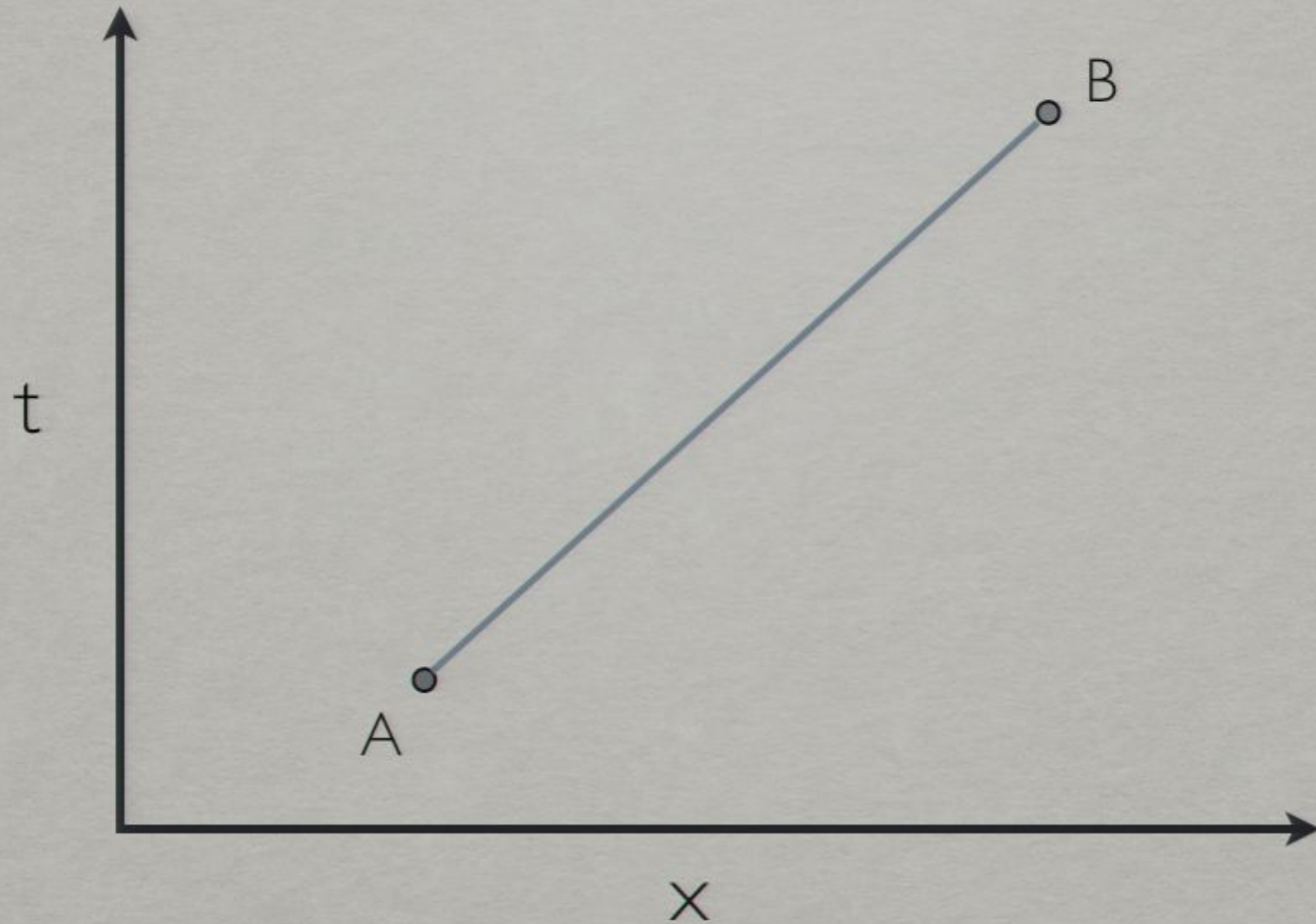
No-signalling

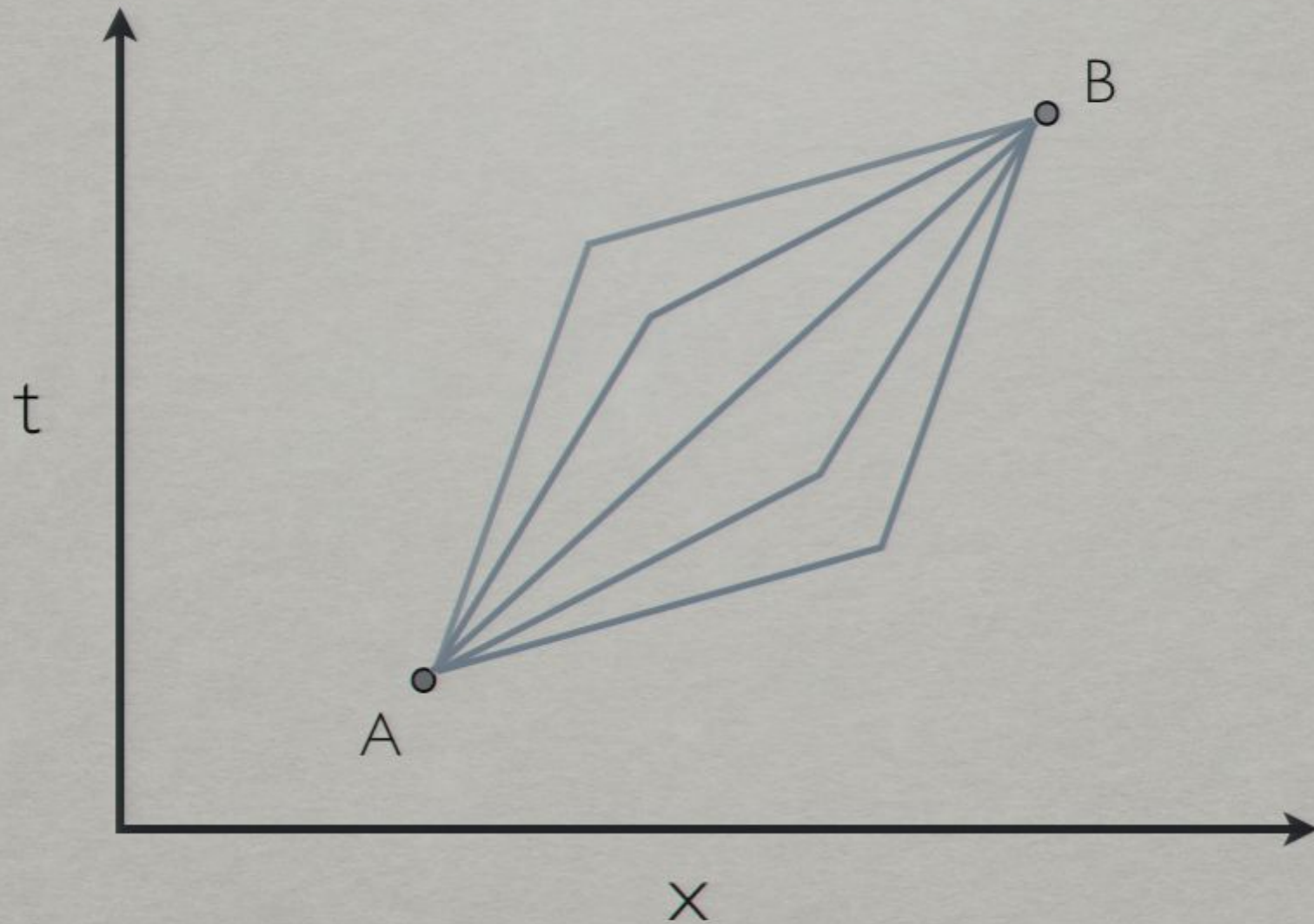




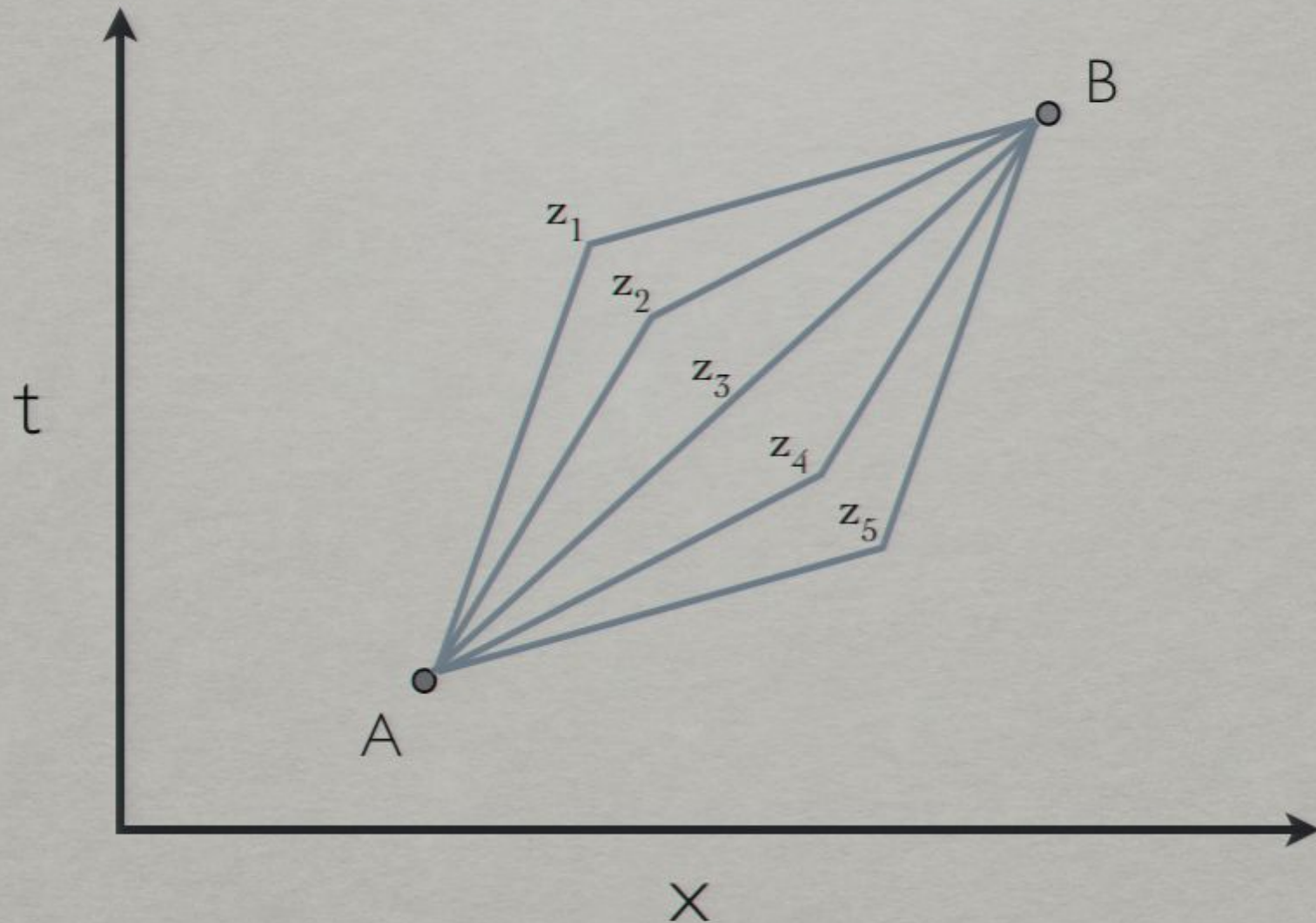
# Feynman's Rules of Quantum Theory





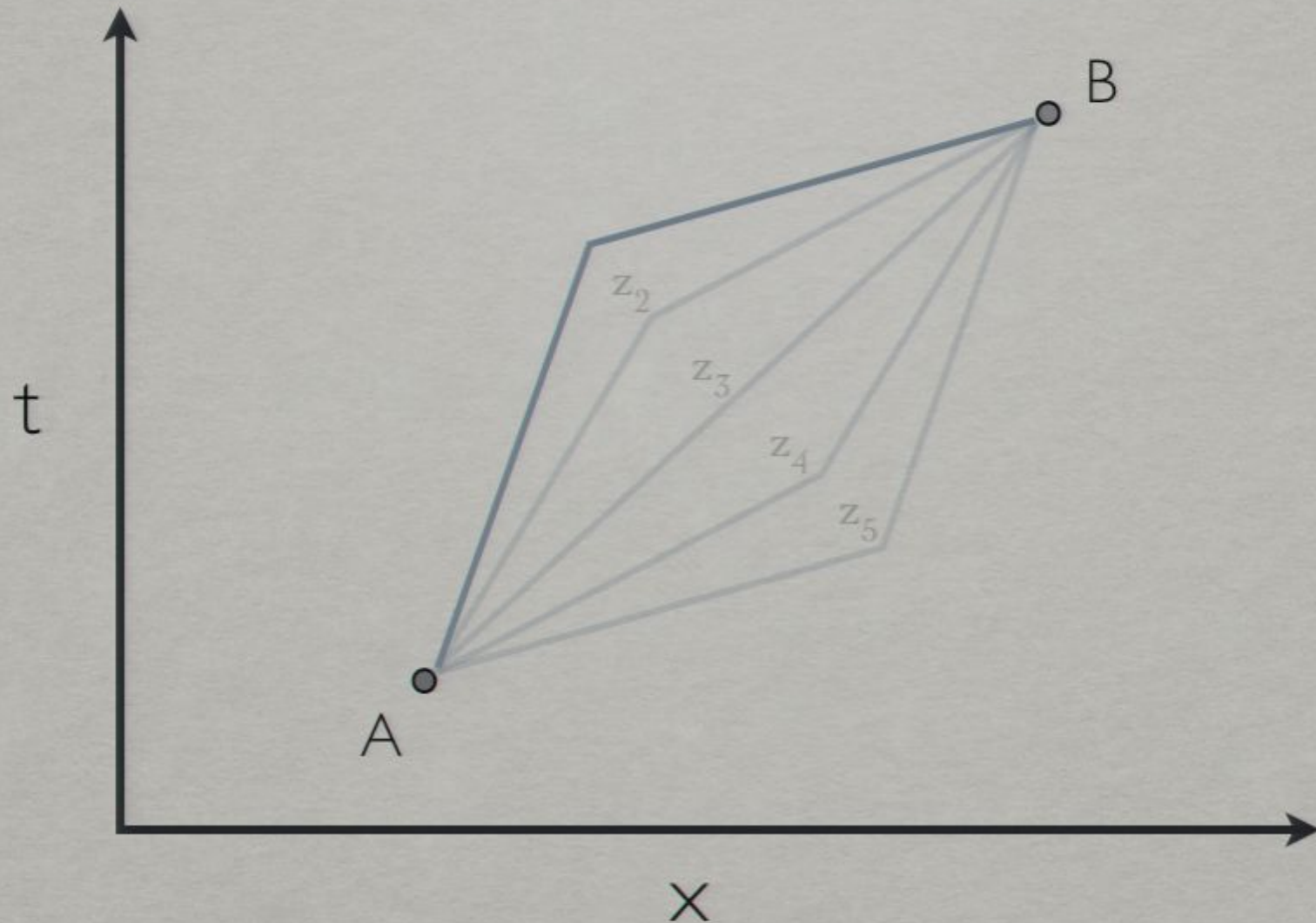


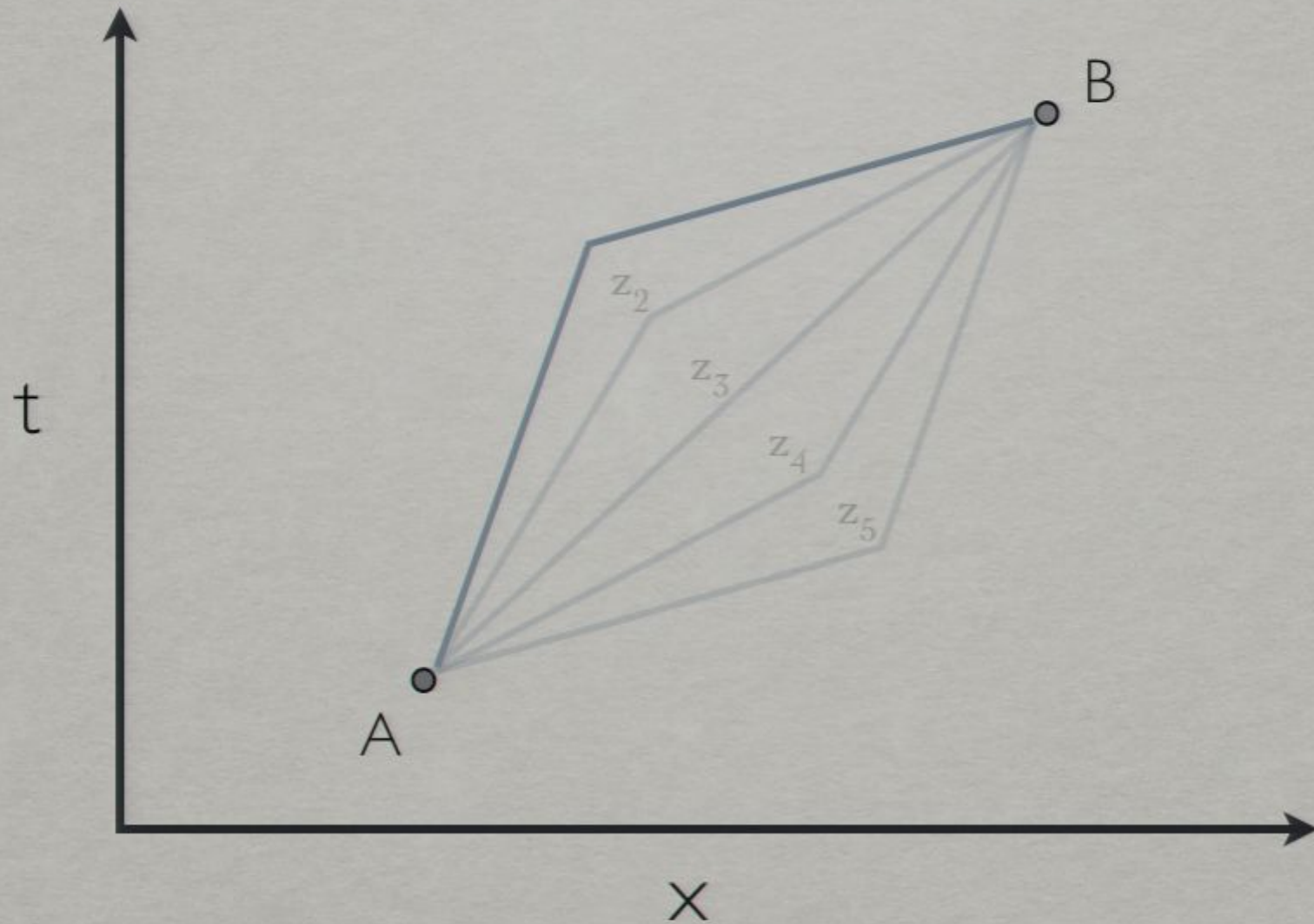
$$Z = Z_1 + \dots + Z_5$$



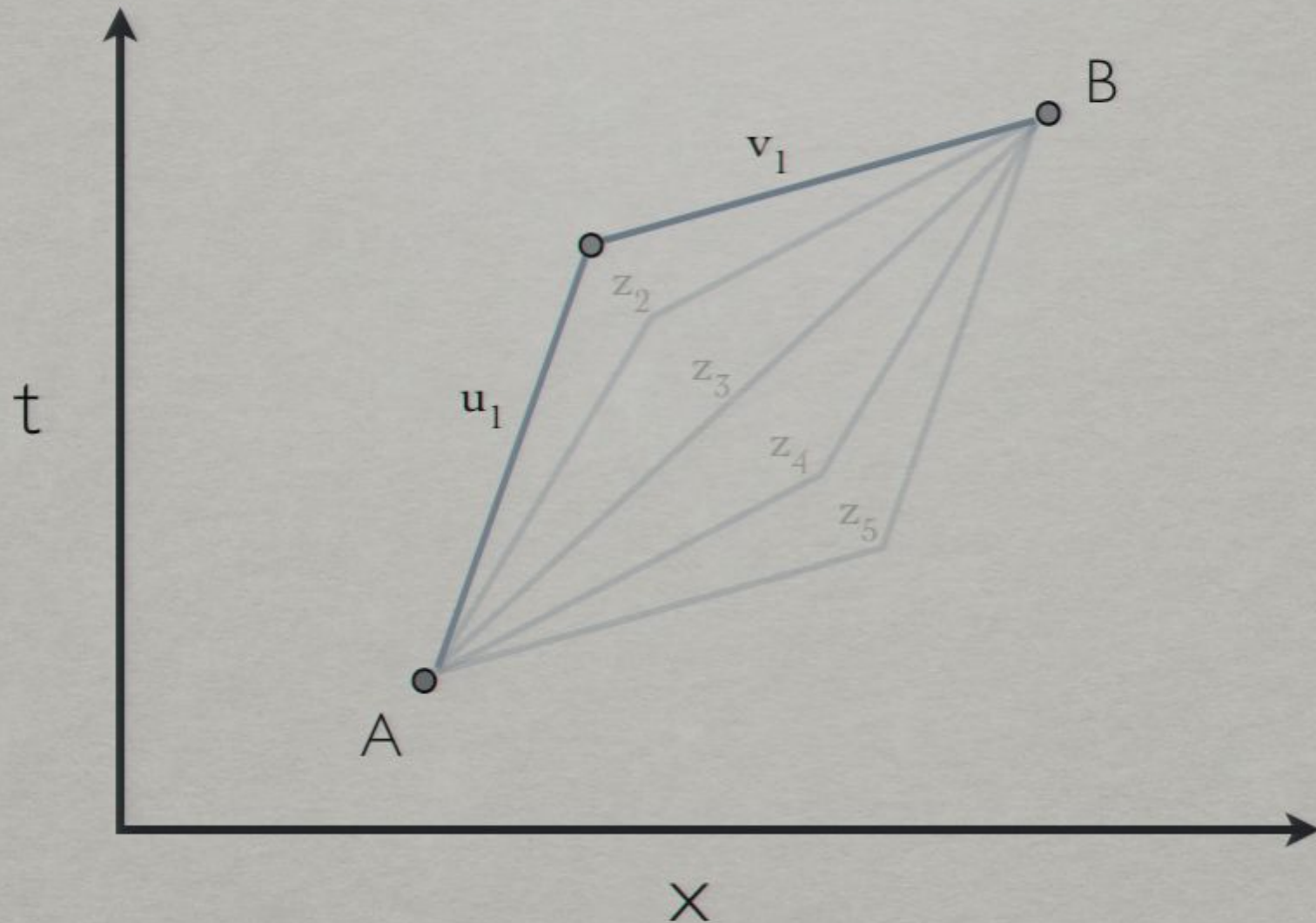


$$Z = Z_1 + \dots + Z_5$$



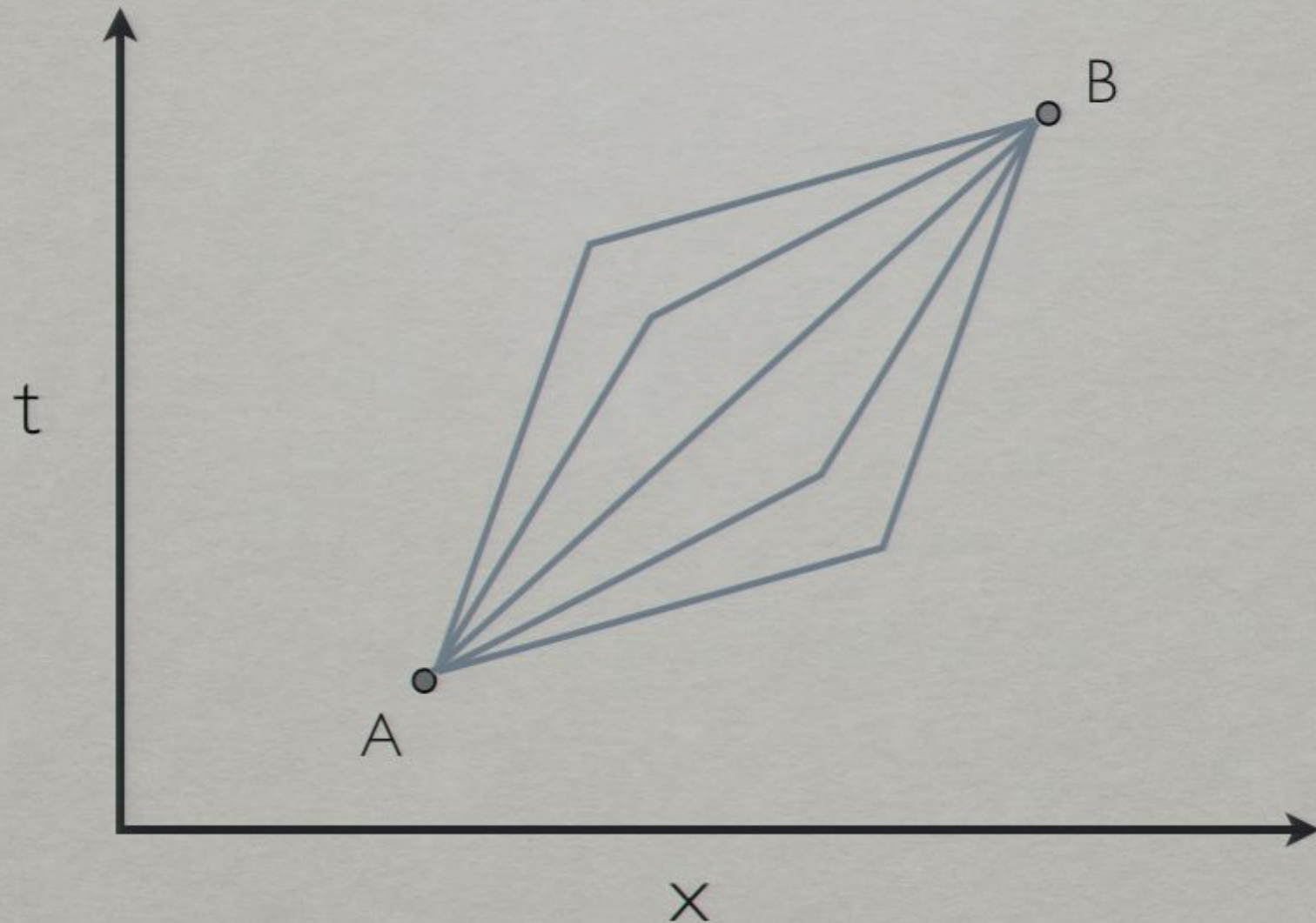


$$z_1 = u_1 v_1$$





$$\Pr(B|A) \propto |z|^2$$



# Feynman's Rules of Quantum Theory

- Sum Rule

$$Z = Z_1 + Z_2 + Z_3 + \dots$$

- Product Rule

$$Z_1 = u_1 v_1$$

# Feynman's Rules of Quantum Theory

- Sum Rule

$$z = z_1 + z_2 + z_3 + \dots$$

- Product Rule

$$z_1 = u_1 v_1$$

- Probability Rule

$$p = |z|^2$$





# Complementarity

# Pair Postulate

- Each *sequence of experimental outcomes* has an associated *pair of real numbers*.

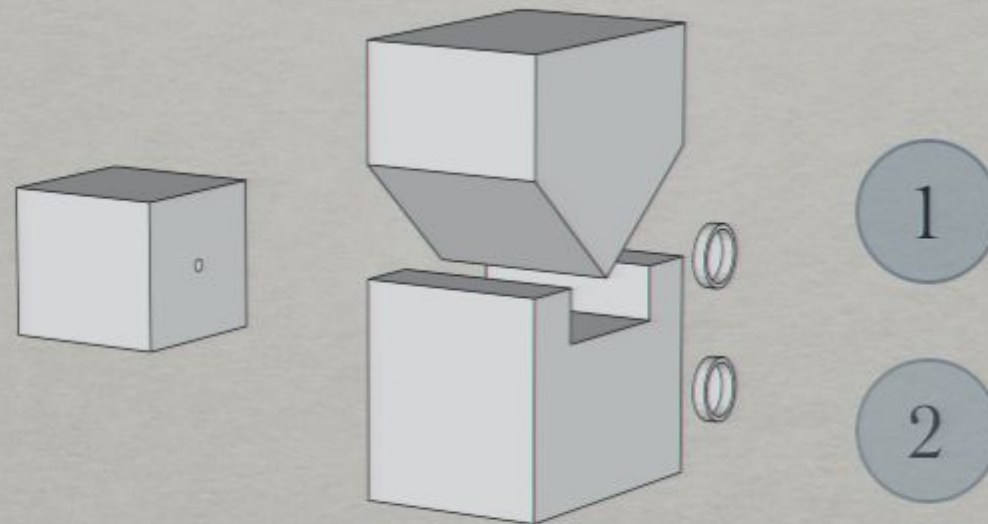
# Pair Postulate

- Each *sequence of experimental outcomes* has an associated *pair of real numbers*.
- The *probability* of each sequence is a continuous, non-trivial *function* of this pair.

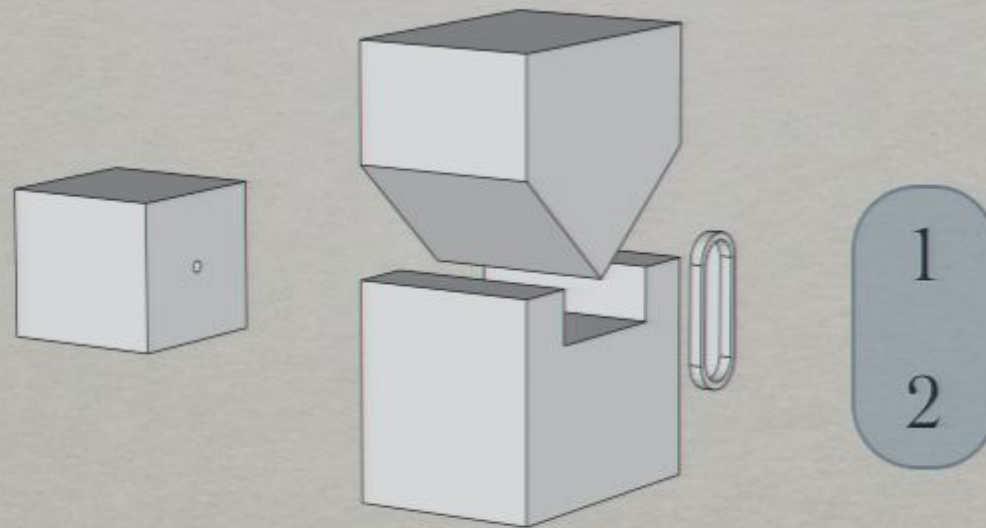


# Experimental Framework

# Stern Gerlach Measurement

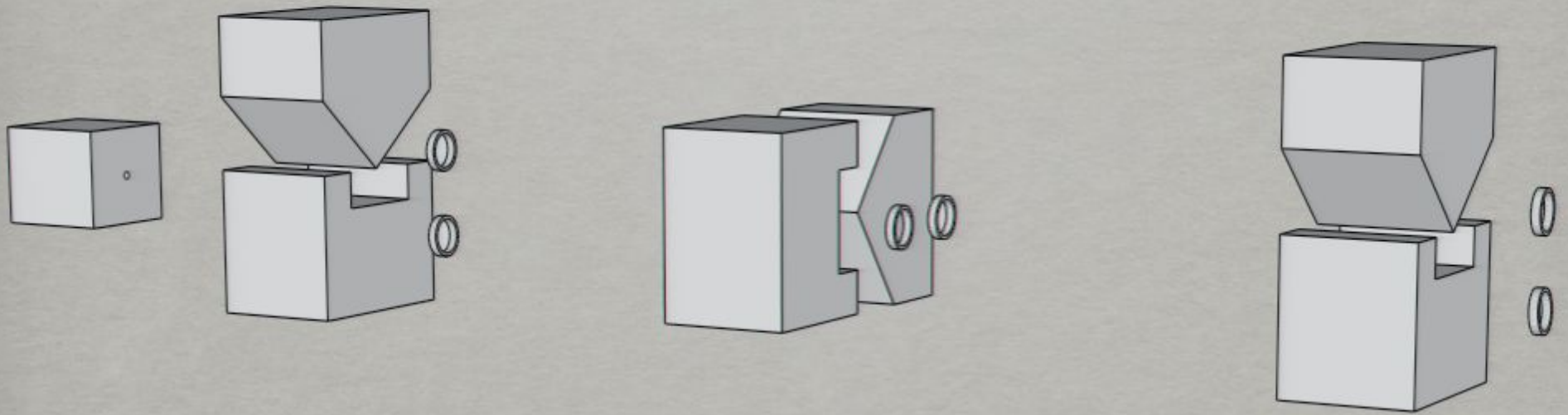


# Stern Gerlach Measurement

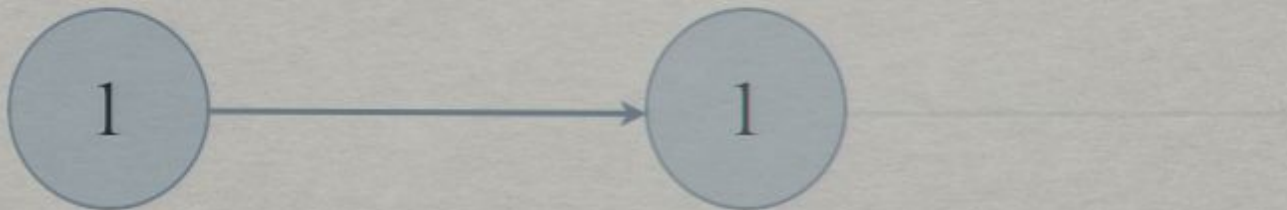
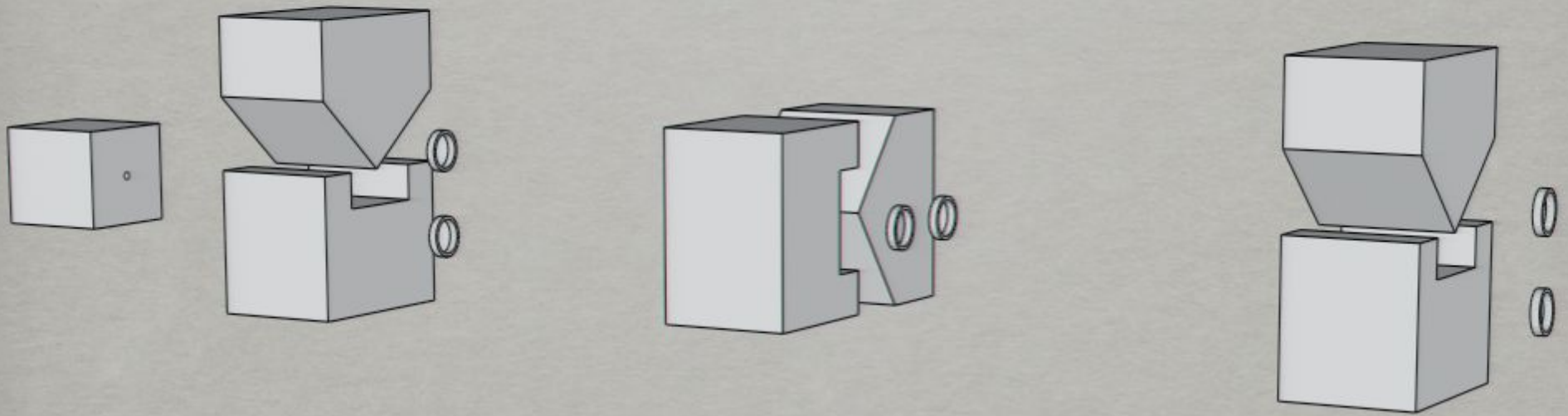




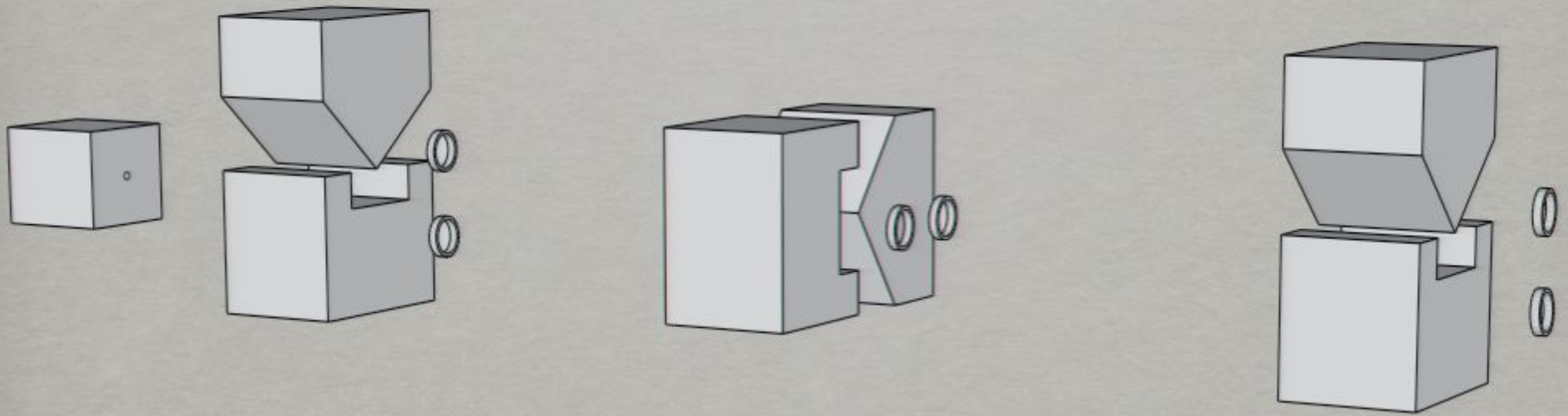
# Experimental Setups



# Experimental Setups

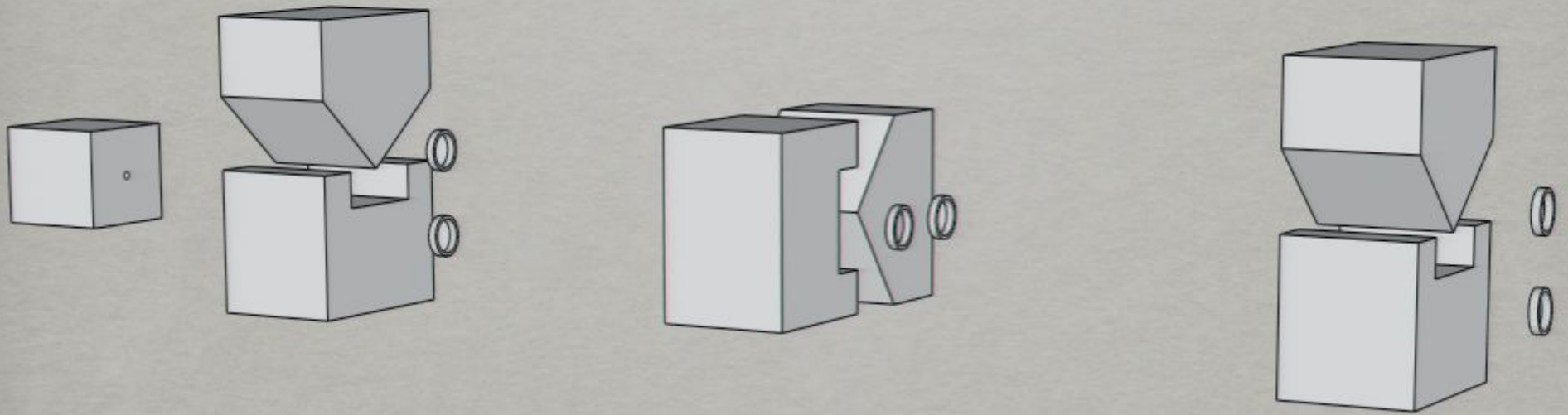


# Experimental Setups



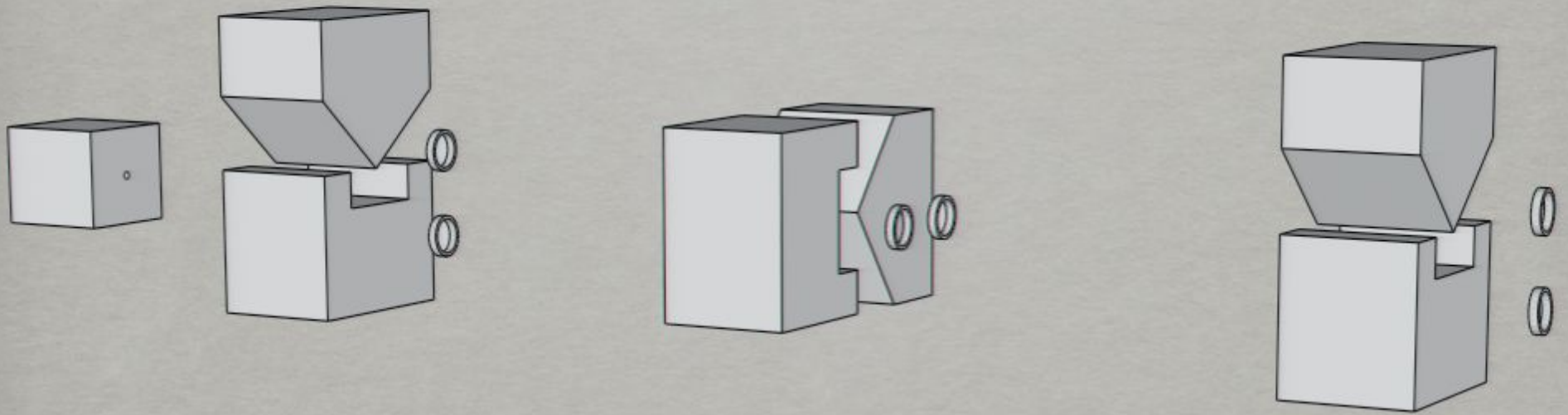


# Experimental Setups

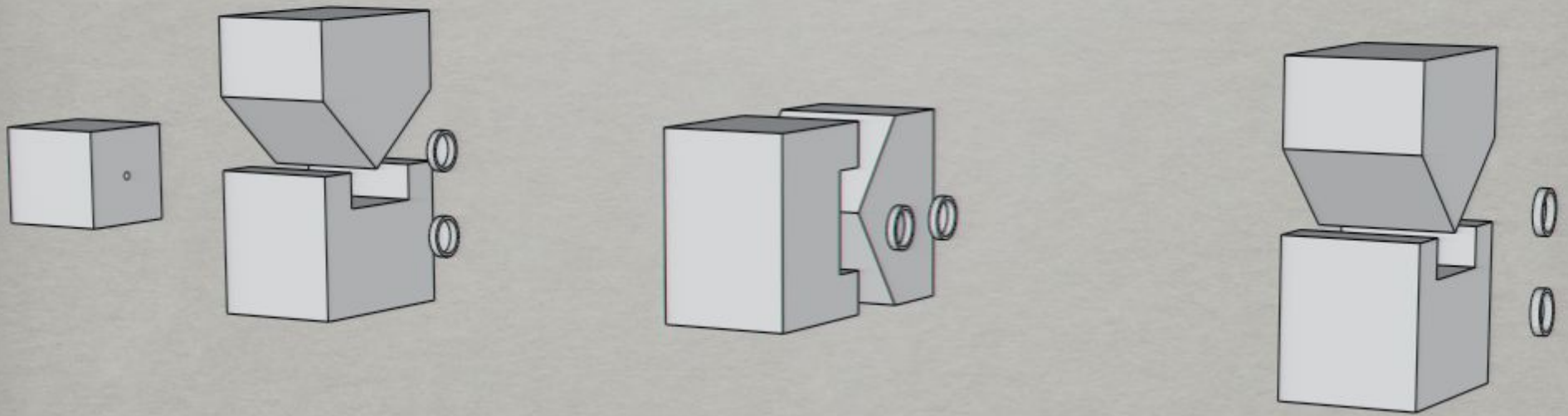


1

# Experimental Setups

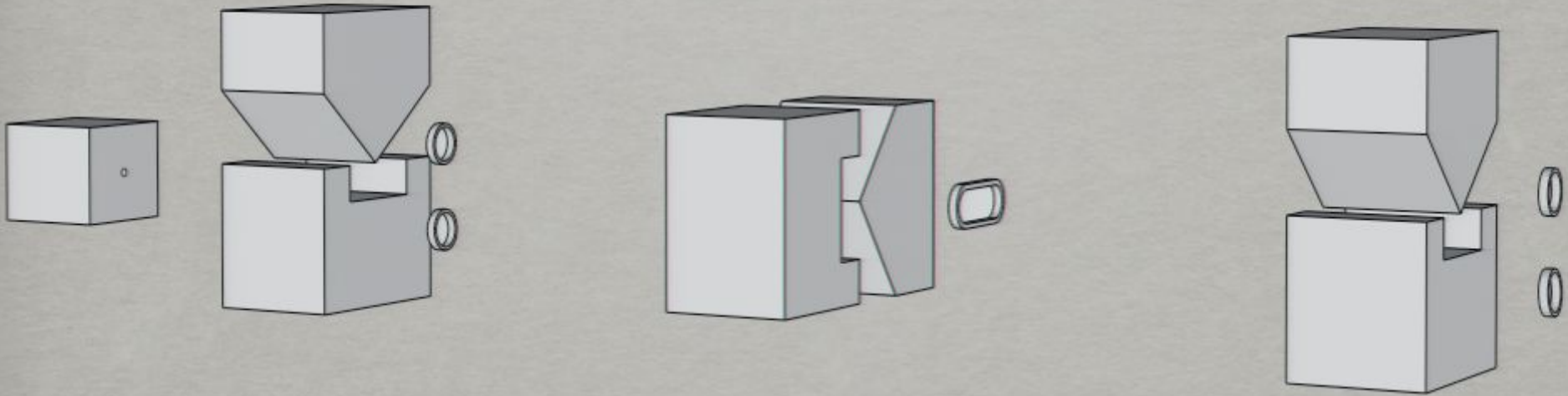


# Experimental Setups

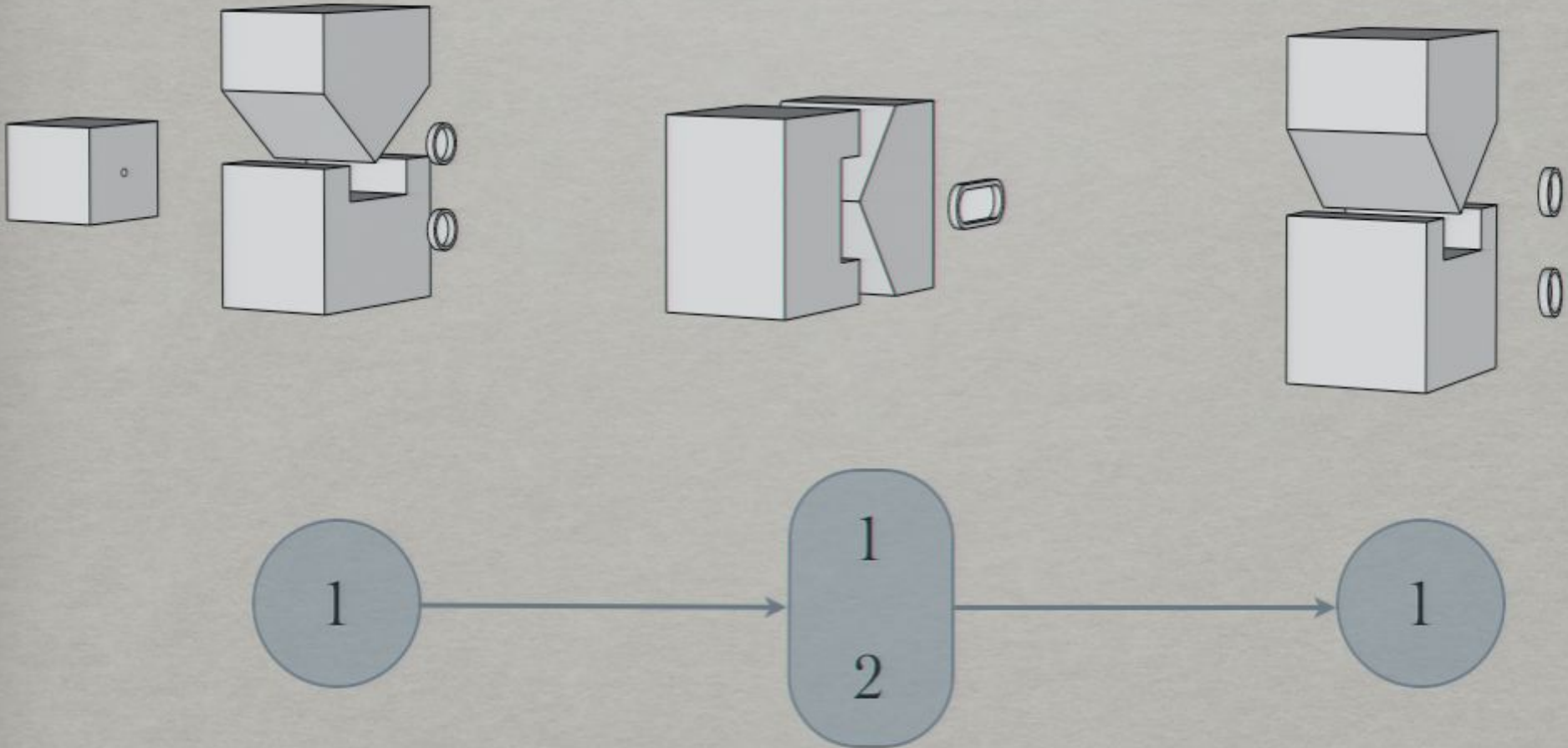




# Experimental Setups

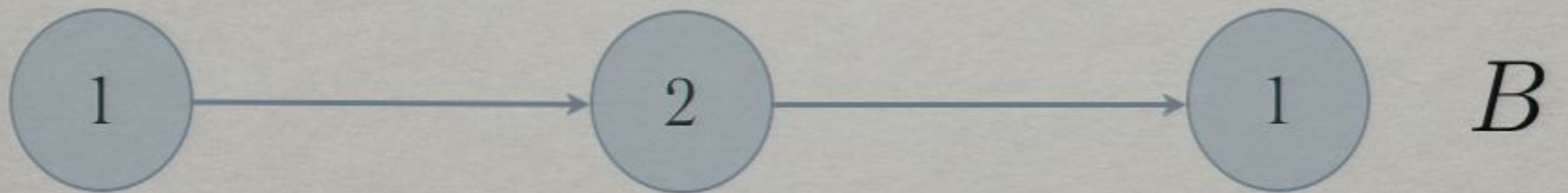


# Experimental Setups

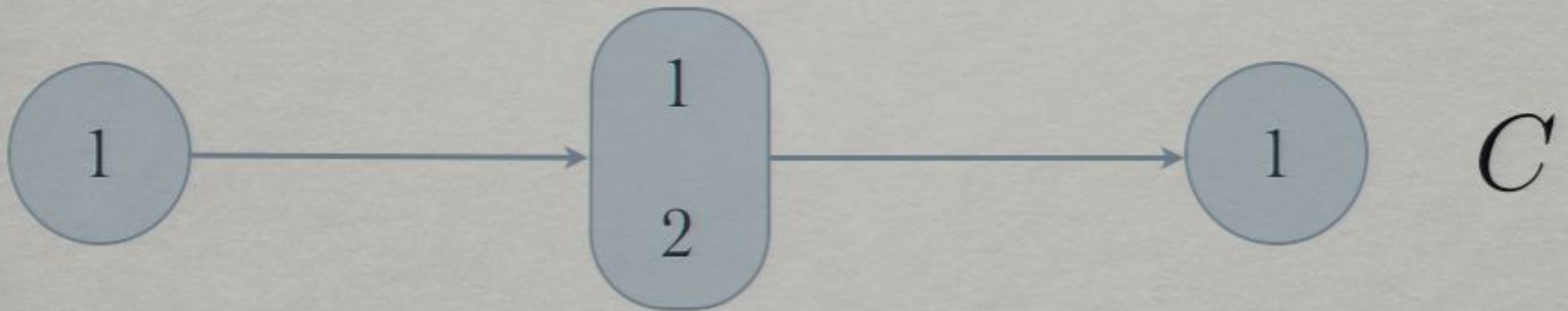


# Combining Sequences





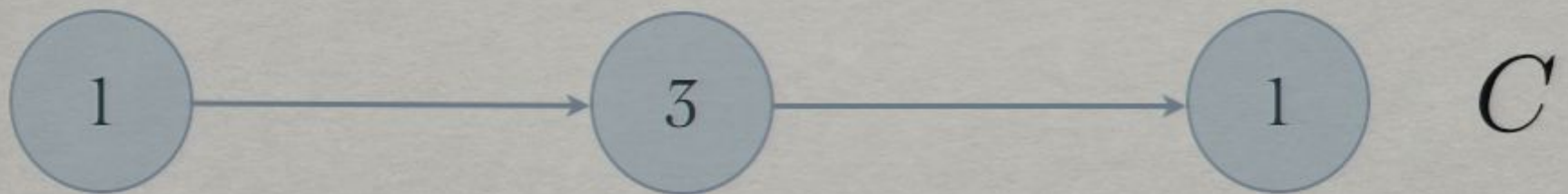
$$C = A \vee B$$

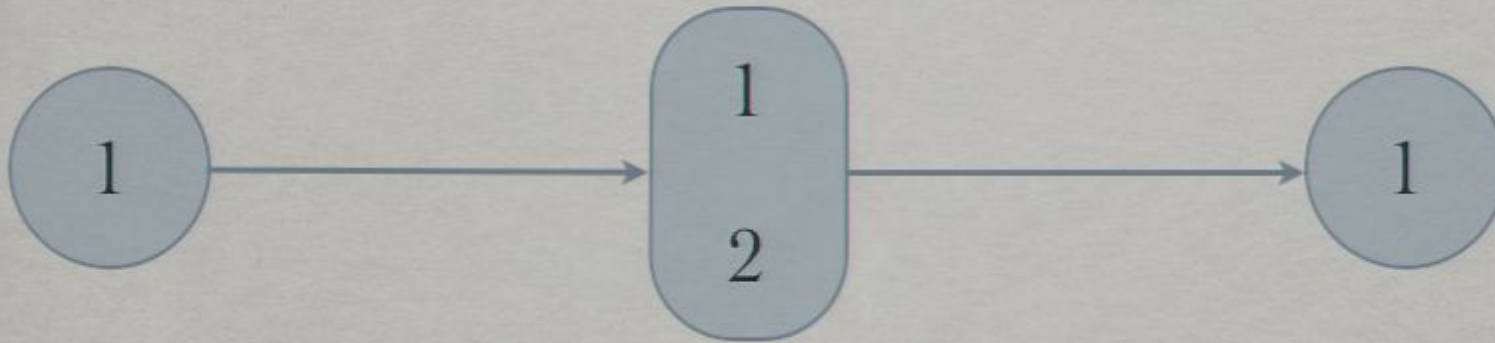


# Commutativity of Parallel Combination

$$A \vee B = B \vee A$$

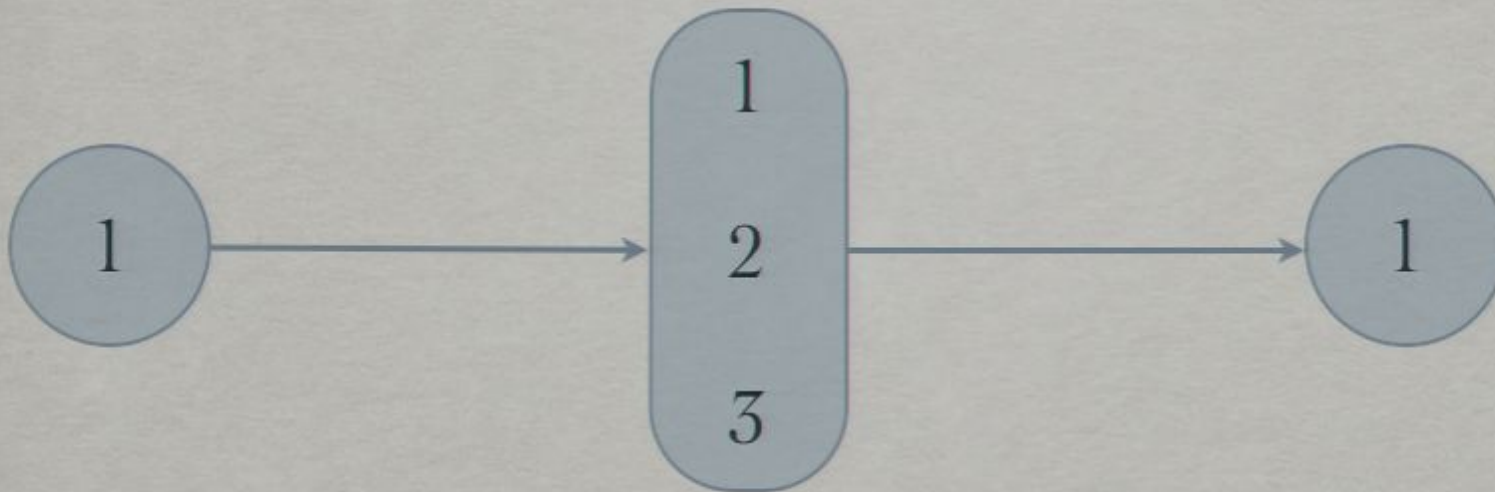






*C*

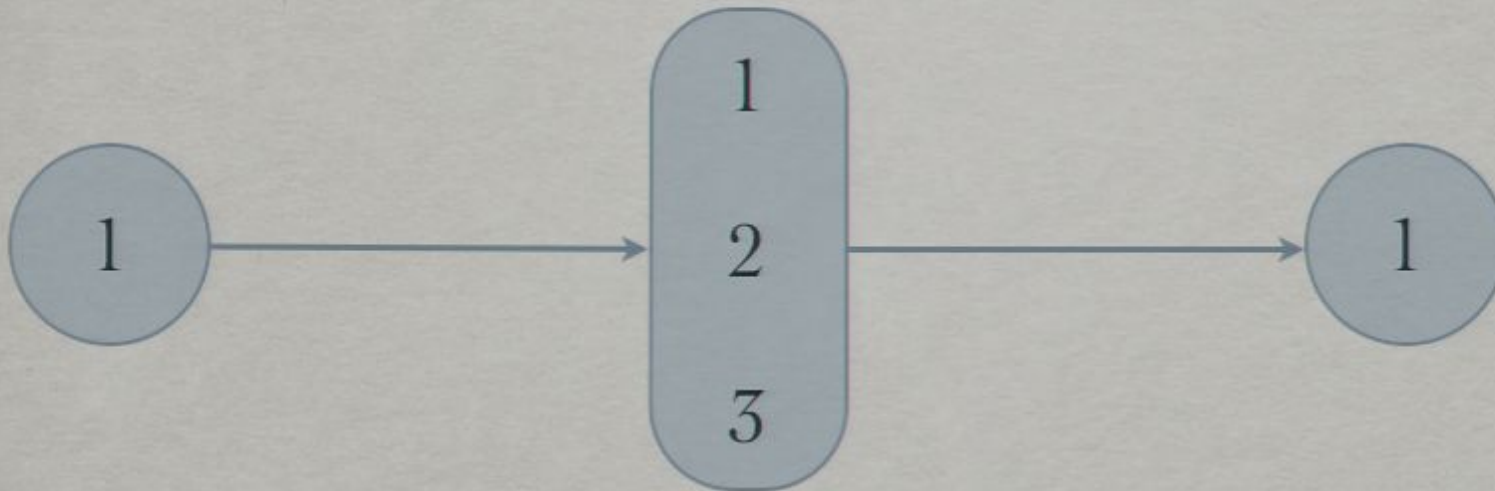
$$(A \vee B) \vee C$$







$$A \vee (B \vee C)$$



# Associativity of Parallel Combination

$$(A \vee B) \vee C = A \vee (B \vee C)$$





*A*



*B*

$$C = A \cdot B$$

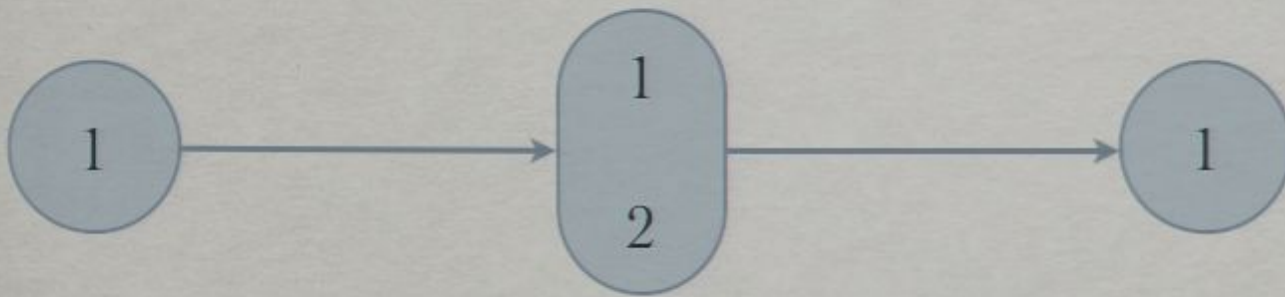


# Associativity of Series Combination

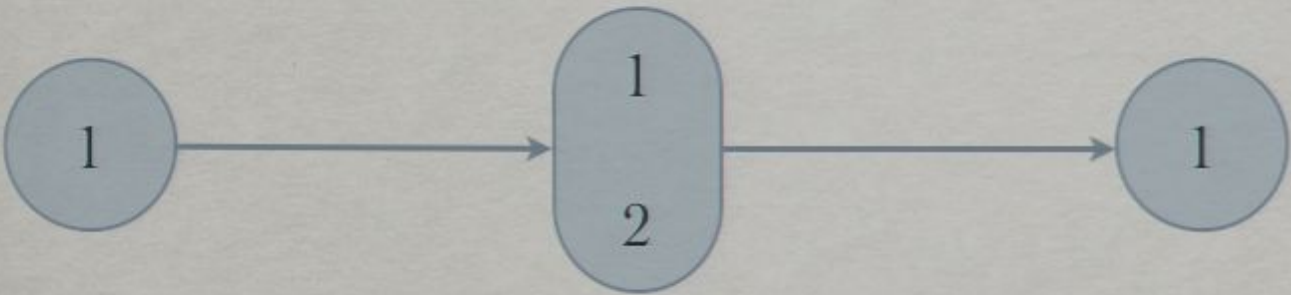
$$(A \cdot B) \cdot C = A \cdot (B \cdot C)$$



$$A \vee B$$



$A \vee B$



$C$

$$(A \vee B) \cdot C$$















*B*



*B*

*C*



$B \cdot C$



$$(A \cdot C) \vee (B \cdot C)$$



# Distributivity

$$(A \vee B) \cdot C = (A \cdot C) \vee (B \cdot C)$$

# Distributivity

$$(A \vee B) \cdot C = (A \cdot C) \vee (B \cdot C)$$

$$A \cdot (B \vee C) = (A \cdot B) \vee (A \cdot C)$$



# Symmetry Properties of **Sequence** Combination Operators

$$(A \vee B) \vee C = A \vee (B \vee C)$$

$$A \vee B = B \vee A$$

$$(A \cdot B) \cdot C = A \cdot (B \cdot C)$$

$$(A \vee B) \cdot C = (A \cdot C) \vee (B \cdot C)$$

$$A \cdot (B \vee C) = (A \cdot B) \vee (A \cdot C)$$

# Quantifying Sequences

# Sequence Pair



$$\mathbf{a} = (a_1, a_2)$$



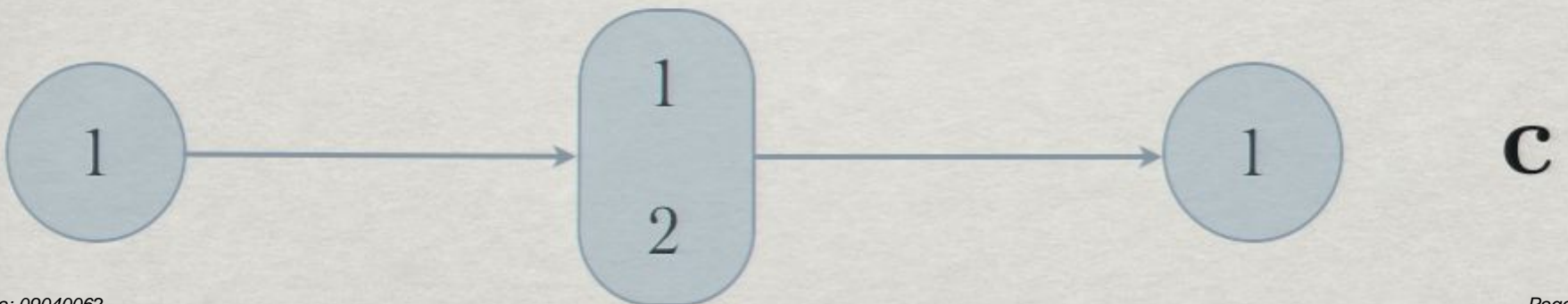


**a**



**b**

$$c = a \oplus b$$



$$c = a \odot b$$



**a**



**b**



**c**



# Symmetry Properties of **Pair** Combination Operators

$$\mathbf{a} \oplus \mathbf{b} = \mathbf{b} \oplus \mathbf{a}$$

$$(\mathbf{a} \oplus \mathbf{b}) \oplus \mathbf{c} = \mathbf{a} \oplus (\mathbf{b} \oplus \mathbf{c})$$

$$(\mathbf{a} \odot \mathbf{b}) \odot \mathbf{c} = \mathbf{a} \odot (\mathbf{b} \odot \mathbf{c})$$

$$(\mathbf{a} \oplus \mathbf{b}) \odot \mathbf{c} = (\mathbf{a} \odot \mathbf{c}) \oplus (\mathbf{b} \odot \mathbf{c})$$

$$\mathbf{a} \odot (\mathbf{b} \oplus \mathbf{c}) = (\mathbf{a} \odot \mathbf{b}) \oplus (\mathbf{a} \odot \mathbf{c})$$

$$\mathbf{a} \oplus \mathbf{b} = \mathbf{b} \oplus \mathbf{a}$$

$$(\mathbf{a} \oplus \mathbf{b}) \oplus \mathbf{c} = \mathbf{a} \oplus (\mathbf{b} \oplus \mathbf{c})$$



Aczél and Hosszú (1956)

$$\mathbf{f}(\mathbf{a} \oplus \mathbf{b}) = \mathbf{f}(\mathbf{a}) + \mathbf{f}(\mathbf{b})$$

$$\mathbf{f}(\mathbf{a} \oplus \mathbf{b}) = \mathbf{f}(\mathbf{a}) + \mathbf{f}(\mathbf{b})$$



*One-to-one  
transformation of  
pair-space*

$$\mathbf{a} \oplus \mathbf{b} = (a_1 + b_1, a_2 + b_2)$$



$$\mathbf{a} \oplus \mathbf{b} = (a_1 + b_1, a_2 + b_2)$$



*Distributivity  
of  $\odot$  over  $\oplus$*

$$\mathbf{a} \odot \mathbf{b} = (\gamma_1 a_1 b_1 + \gamma_2 a_1 b_2 + \gamma_3 a_2 b_1 + \gamma_4 a_2 b_2, \\ \gamma_5 a_1 b_1 + \gamma_6 a_1 b_2 + \gamma_7 a_2 b_1 + \gamma_8 a_2 b_2)$$

$$\mathbf{a} \odot \mathbf{b} = (\gamma_1 a_1 b_1 + \gamma_2 a_1 b_2 + \gamma_3 a_2 b_1 + \gamma_4 a_2 b_2, \\ \gamma_5 a_1 b_1 + \gamma_6 a_1 b_2 + \gamma_7 a_2 b_1 + \gamma_8 a_2 b_2)$$



Associativity of  $\odot$

$$\mathbf{a} \odot \mathbf{b} =$$

Commutative

Non-Commutative



$$\mathbf{a} \odot \mathbf{b} = (\gamma_1 a_1 b_1 + \gamma_2 a_1 b_2 + \gamma_3 a_2 b_1 + \gamma_4 a_2 b_2, \\ \gamma_5 a_1 b_1 + \gamma_6 a_1 b_2 + \gamma_7 a_2 b_1 + \gamma_8 a_2 b_2)$$



Associativity of  $\odot$

$$\mathbf{a} \odot \mathbf{b} =$$

$$(a_1 b_1 - a_2 b_2, a_1 b_2 + a_2 b_1)$$

$$(a_1 b_1, a_1 b_2 + a_2 b_1)$$

$$(a_1 b_1 + a_2 b_2, a_1 b_2 + a_2 b_1)$$

Commutative

$$(a_1 b_1, a_1 b_2)$$

$$(a_1 b_1, a_2 b_1)$$

Non-Commutative



$$\mathbf{a} \odot \mathbf{b} = (\gamma_1 a_1 b_1 + \gamma_2 a_1 b_2 + \gamma_3 a_2 b_1 + \gamma_4 a_2 b_2, \\ \gamma_5 a_1 b_1 + \gamma_6 a_1 b_2 + \gamma_7 a_2 b_1 + \gamma_8 a_2 b_2)$$



Associativity of  $\odot$

$$\mathbf{a} \odot \mathbf{b} =$$

$$(a_1 b_1 - a_2 b_2, a_1 b_2 + a_2 b_1)$$

$$(a_1 b_1, a_1 b_2 + a_2 b_1)$$

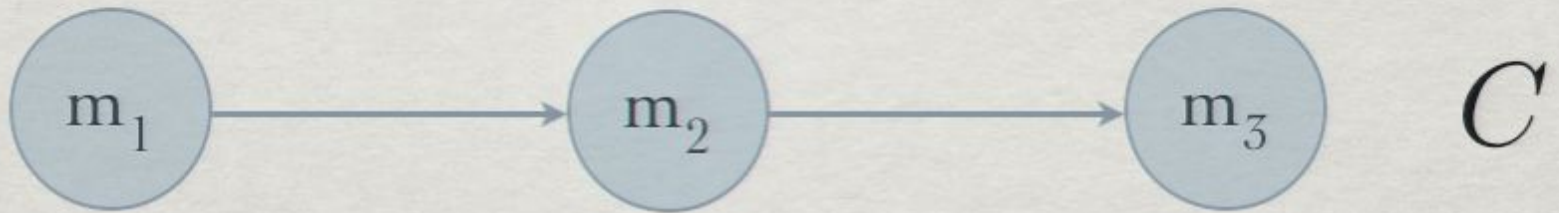
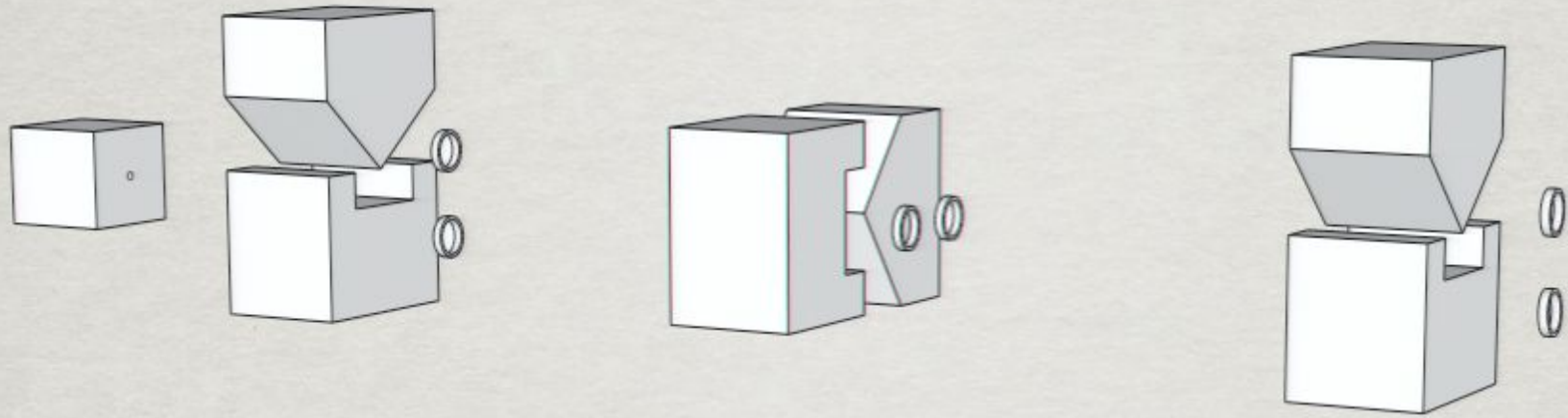
$$(a_1 b_1, a_2 b_2)$$

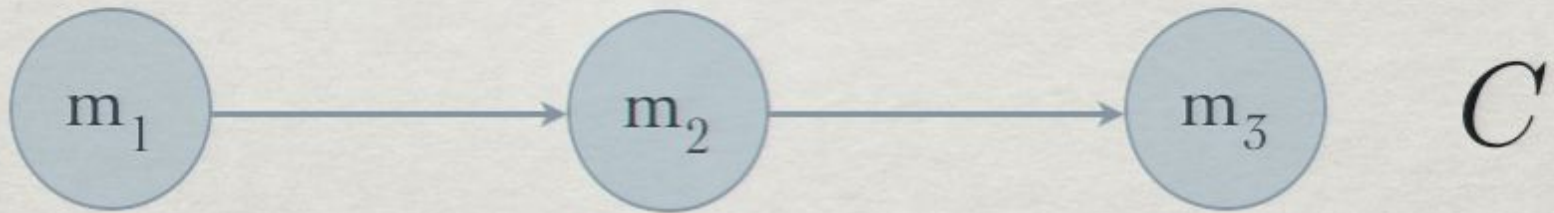
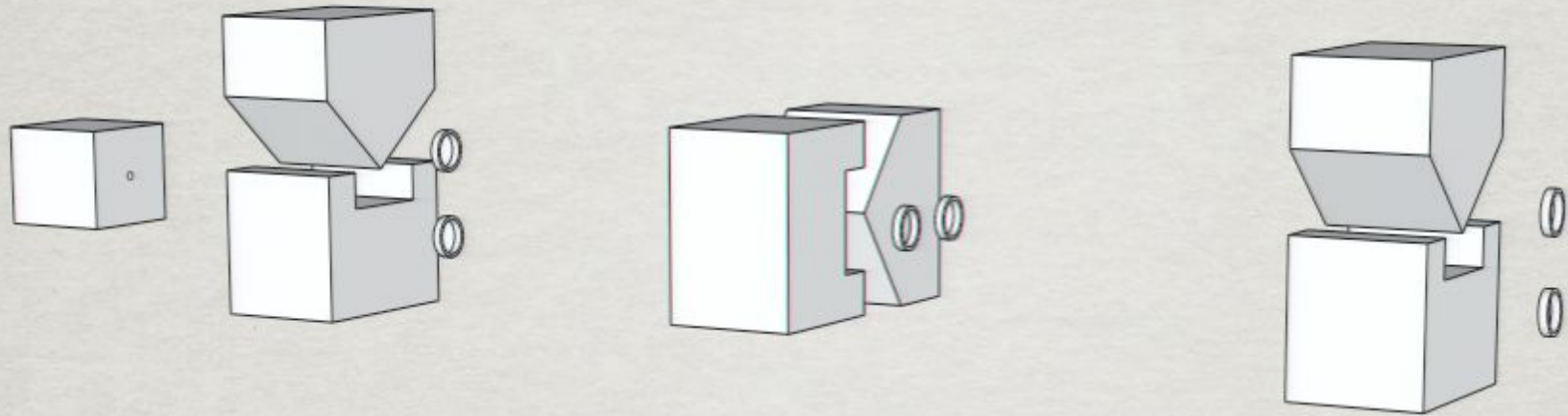
Commutative

$$(a_1 b_1, a_1 b_2)$$

$$(a_1 b_1, a_2 b_1)$$

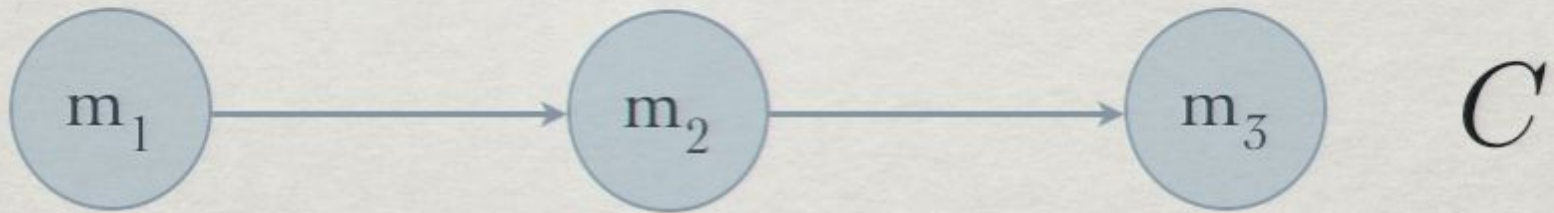
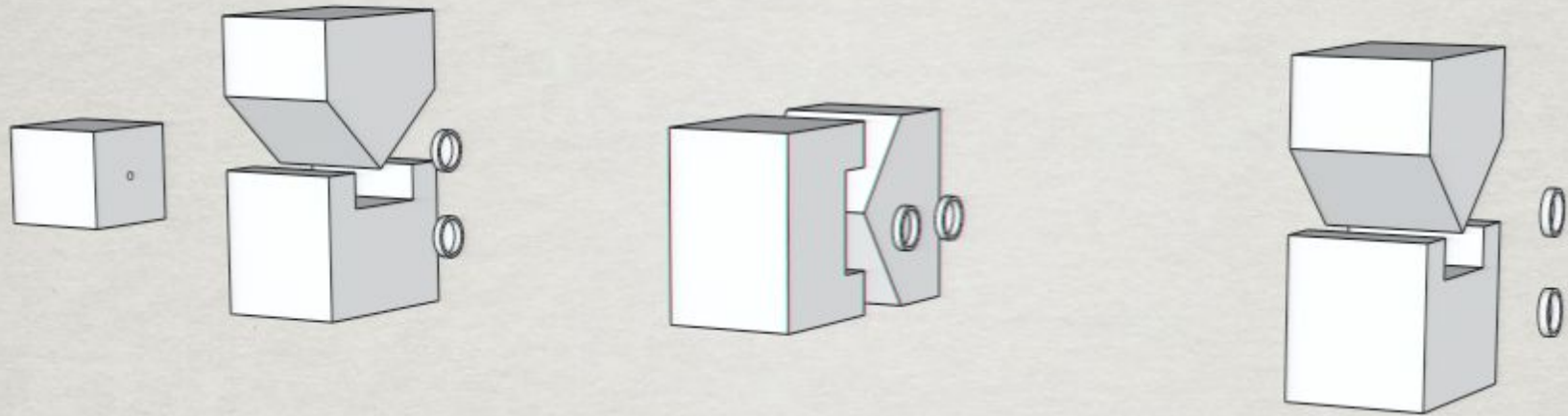
Non-Commutative



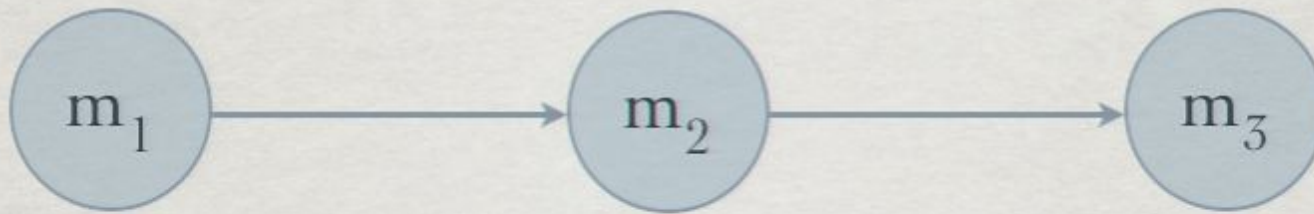


$$P(C) = \Pr(m_2, m_3 \mid m_1)$$

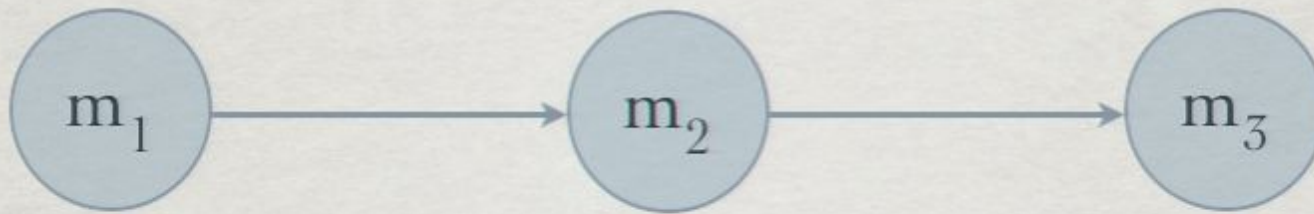




$$P(C) = \Pr(m_2, m_3 | m_1) = p(\mathbf{c})$$



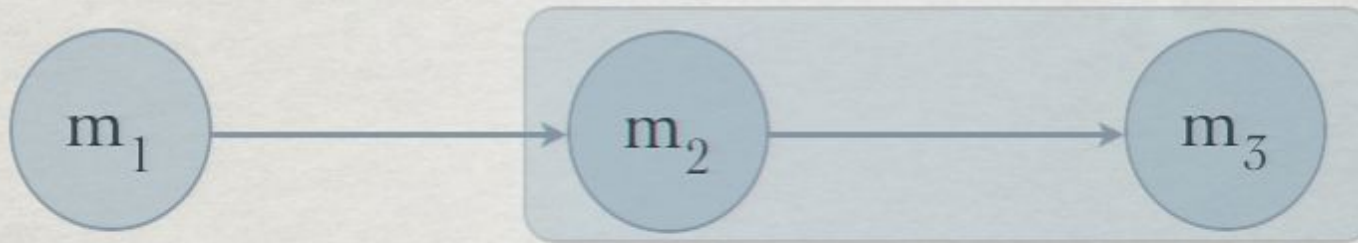
$$P(C) = \Pr(m_2, m_3 \mid m_1)$$



$$\begin{aligned} P(C) &= \Pr(m_2, m_3 \mid m_1) \\ &= \Pr(m_2 \mid m_1) \Pr(m_3 \mid m_2, m_1) \end{aligned}$$



$$P(B) = \Pr(m_3 | m_2)$$

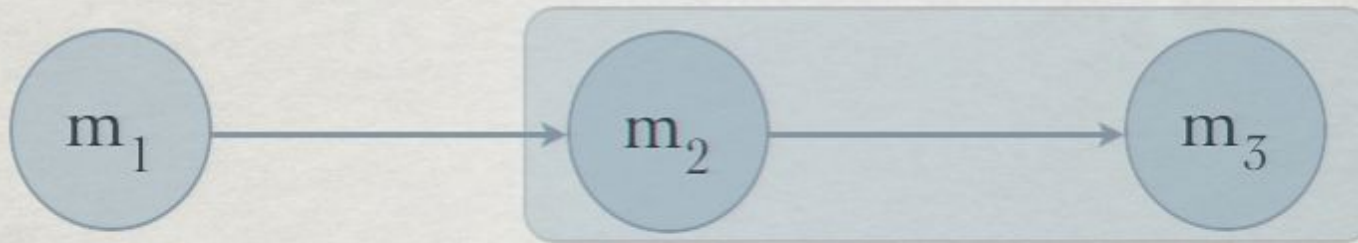


$$P(C) = \Pr(m_2, m_3 | m_1)$$

$$= \Pr(m_2 | m_1) \Pr(m_3 | m_2)$$

$$= P(A) \cdot$$

$$P(B) = \Pr(m_3 | m_2)$$



$$P(C) = \Pr(m_2, m_3 | m_1)$$

$$= \Pr(m_2 | m_1) \Pr(m_3 | m_2)$$

$$= P(A) \cdot P(B)$$

$$P(A \cdot B) = P(A)P(B)$$

$\mathbf{a} \odot \mathbf{b} =$

$$(a_1b_1 - a_2b_2, a_1b_2 + a_2b_1)$$

$$(a_1b_1, a_1b_2 + a_2b_1)$$

$$(a_1b_1, a_2b_2)$$

$$(a_1b_1, a_1b_2)$$

$$(a_1b_1, a_2b_1)$$



$$P(A \cdot B) = P(A)P(B)$$

$\mathbf{a} \odot \mathbf{b} =$

$$(a_1b_1 - a_2b_2, a_1b_2 + a_2b_1)$$

$$(a_1b_1, a_1b_2 + a_2b_1)$$

$$(a_1b_1, a_2b_2)$$

$$(a_1b_1, a_1b_2)$$

$$(a_1b_1, a_2b_1)$$

$$p(\mathbf{a}) = (a_1^2 + a_2^2)^{\alpha/2}$$

$$p(\mathbf{a}) = |a_1|^\alpha e^{\beta a_2/a_1}$$

$$p(\mathbf{a}) = |a_1|^\alpha |a_2|^\beta$$

$$P(A \cdot B) = P(A)P(B)$$

$\mathbf{a} \odot \mathbf{b} =$

$$(a_1b_1 - a_2b_2, a_1b_2 + a_2b_1)$$

$$p(\mathbf{a}) = (a_1^2 + a_2^2)^{\alpha/2}$$

$$(a_1b_1, a_1b_2 + a_2b_1)$$

$$p(\mathbf{a}) = |a_1|^\alpha e^{\beta a_2/a_1}$$

$$(a_1b_1, a_2b_2)$$

$$p(\mathbf{a}) = |a_1|^\alpha |a_2|^\beta$$

$$(a_1b_1, a_1b_2)$$

$$p(\mathbf{a}) = |a_1|^\alpha$$

$$(a_1b_1, a_2b_1)$$

$$p(\mathbf{a}) = |a_1|^\alpha$$

$$P(A \cdot B) = P(A)P(B)$$

$\mathbf{a} \odot \mathbf{b} =$

$$(a_1b_1 - a_2b_2, a_1b_2 + a_2b_1)$$

$$(a_1b_1, a_1b_2 + a_2b_1)$$

$$(a_1b_1, a_2b_2)$$

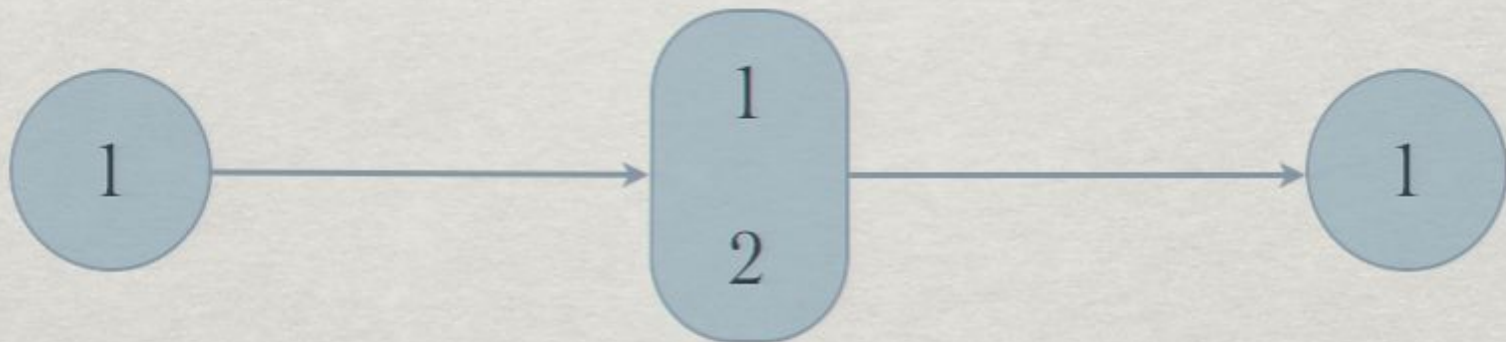
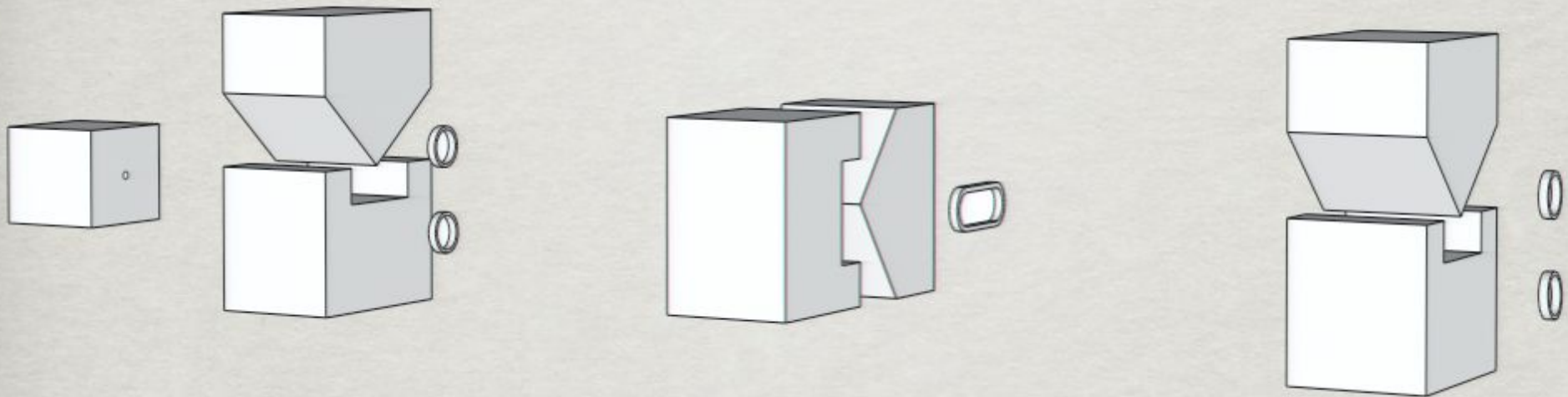
$$p(\mathbf{a}) = (a_1^2 + a_2^2)^{\alpha/2}$$

$$p(\mathbf{a}) = |a_1|^\alpha e^{\beta a_2/a_1}$$

$$p(\mathbf{a}) = |a_1|^\alpha |a_2|^\beta$$



# Repeated Measurements



# Repeated Measurements

$$\mathbf{a} \odot \mathbf{b} = \begin{cases} (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1) \\ (a_1b_1, a_1b_2 + a_2b_1) \\ (a_1b_1, a_2b_2) \end{cases}$$

# Repeated Measurements

$$\mathbf{a} \odot \mathbf{b} = (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1)$$

$$p(\mathbf{a}) = (a_1^2 + a_2^2)^{\alpha/2}$$



# Repeated Measurements

$$\mathbf{a} \odot \mathbf{b} = (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1)$$

$$p(\mathbf{a}) = (a_1^2 + a_2^2)$$

# Summary

---

- **Sum Rule**

$$\mathbf{a} \oplus \mathbf{b} = (a_1 + b_1, a_2 + b_2)$$

- **Product Rule**

$$\mathbf{a} \odot \mathbf{b} = (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1)$$

- **Probability Rule**

$$P(A) = a_1^2 + a_2^2$$

## Associativity and Commutativity of $\oplus$

$$\mathbf{a} \oplus \mathbf{b} = (a_1 + b_1, a_2 + b_2)$$



## Associativity and Commutativity of $\oplus$

$$\mathbf{a} \oplus \mathbf{b} = (a_1 + b_1, a_2 + b_2)$$

## Distributivity (of $\odot$ over $\oplus$ ) and Associativity of $\odot$

$$\mathbf{a} \odot \mathbf{b} = \left[ \begin{array}{l} (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1) \\ (a_1b_1 \quad \quad, a_1b_2 + a_2b_1) \\ (a_1b_1 + a_2b_2, a_1b_2 + a_2b_1) \\ (a_1b_1 \quad \quad, a_1b_2 \quad \quad) \\ (a_1b_1 \quad \quad, \quad \quad a_2b_1) \end{array} \right]$$

## Associativity and Commutativity of $\oplus$

$$\mathbf{a} \oplus \mathbf{b} = (a_1 + b_1, a_2 + b_2)$$

## Distributivity (of $\odot$ over $\oplus$ ) and Associativity of $\odot$

$$\mathbf{a} \odot \mathbf{b} = \begin{cases} (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1) \\ (a_1b_1, a_1b_2 + a_2b_1) \\ (a_1b_1 + a_2b_2, a_1b_2 + a_2b_1) \\ (a_1b_1, a_1b_2) \\ (a_1b_1, a_2b_1) \end{cases}$$

$$p(A \cdot B) = p(A) \cdot p(B)$$

$$\mathbf{a} \odot \mathbf{b} = \begin{cases} (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1) \\ (a_1b_1, a_1b_2 + a_2b_1) \\ (a_1b_1 + a_2b_2, a_1b_2 + a_2b_1) \end{cases}$$

## Associativity and Commutativity of $\oplus$

$$\mathbf{a} \oplus \mathbf{b} = (a_1 + b_1, a_2 + b_2)$$

## Distributivity (of $\odot$ over $\oplus$ ) and Associativity of $\odot$

$$\mathbf{a} \odot \mathbf{b} = \begin{cases} (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1) \\ (a_1b_1, a_1b_2 + a_2b_1) \\ (a_1b_1 + a_2b_2, a_1b_2 + a_2b_1) \\ (a_1b_1, a_1b_2) \\ (a_1b_1, a_2b_1) \end{cases}$$

$$p(A \cdot B) = p(A) \cdot p(B)$$

$$\mathbf{a} \odot \mathbf{b} = \begin{cases} (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1) \\ (a_1b_1, a_1b_2 + a_2b_1) \\ (a_1b_1 + a_2b_2, a_1b_2 + a_2b_1) \end{cases}$$

## Repeated Measurements

$$\mathbf{a} \odot \mathbf{b} = (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1)$$

$$p(A) = a_1^2 + a_2^2$$



# Further Steps

---

- **Tensor Product Rule**

$$\mathbf{a} \circ \mathbf{b} = (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1)$$

# Further Steps

---

- **Tensor Product Rule**

$$\mathbf{a} \circ \mathbf{b} = (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1)$$

- **Unitary Evolution of State Vector**

$$\mathbf{v}' = U\mathbf{v}$$

# Further Steps

---

- **Tensor Product Rule**

$$\mathbf{a} \circ \mathbf{b} = (a_1 b_1 - a_2 b_2, a_1 b_2 + a_2 b_1)$$

- **Unitary Evolution of State Vector**

$$\mathbf{v}' = U\mathbf{v}$$

- **Temporal Evolution Operator**

$$U_t(dt) = \exp(iH dt/\hbar)$$

- **Correspondence Rules**

$$[L_x, L_y] = i\hbar L_z, \text{ etc.}$$



# Further Steps

---

- **Tensor Product Rule**

$$\mathbf{a} \circ \mathbf{b} = (a_1 b_1 - a_2 b_2, a_1 b_2 + a_2 b_1)$$

- **Unitary Evolution of State Vector**

$$\mathbf{v}' = U\mathbf{v}$$

- **Temporal Evolution Operator**

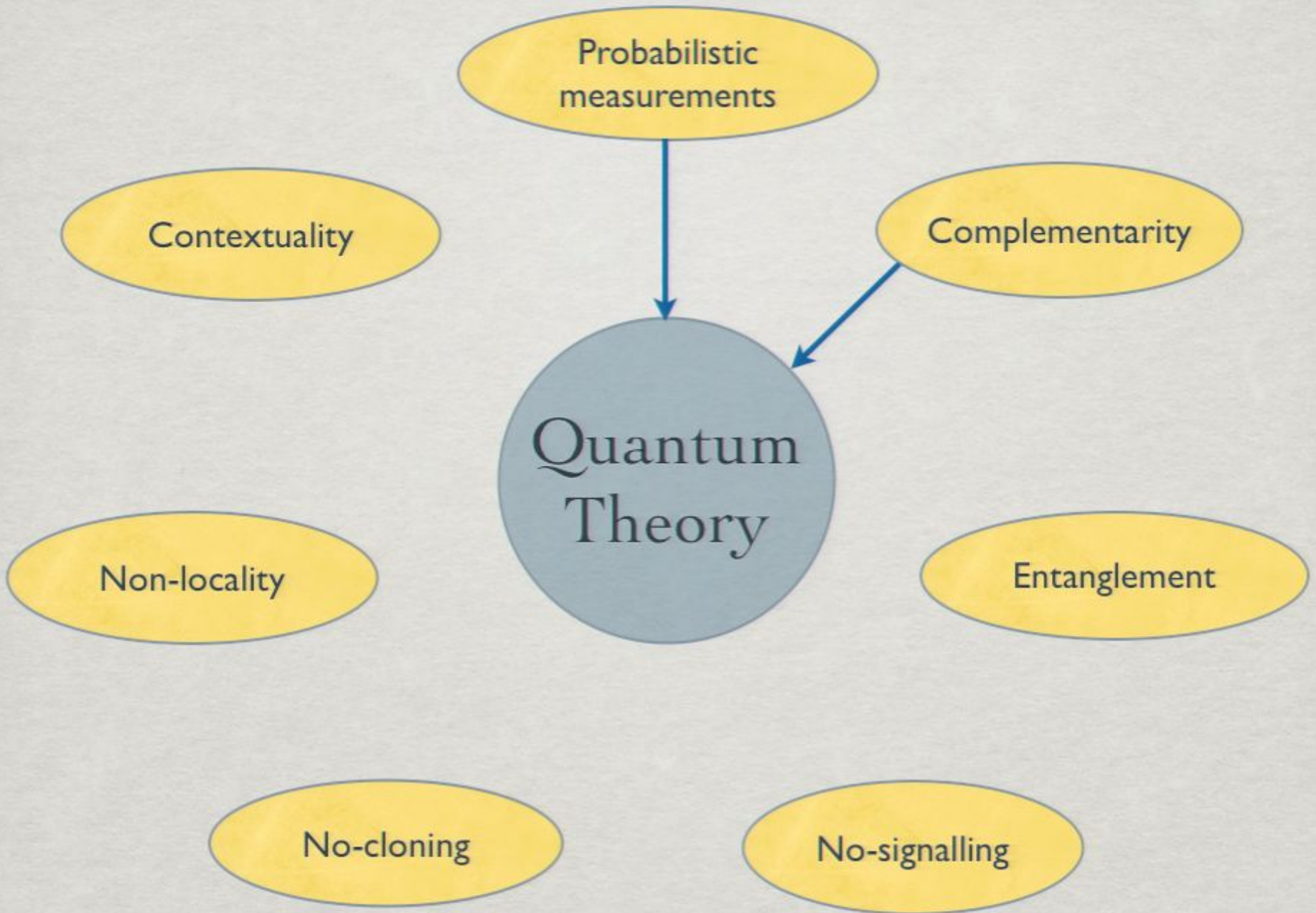
$$U_t(dt) = \exp(iH dt/\hbar)$$

- **Correspondence Rules**

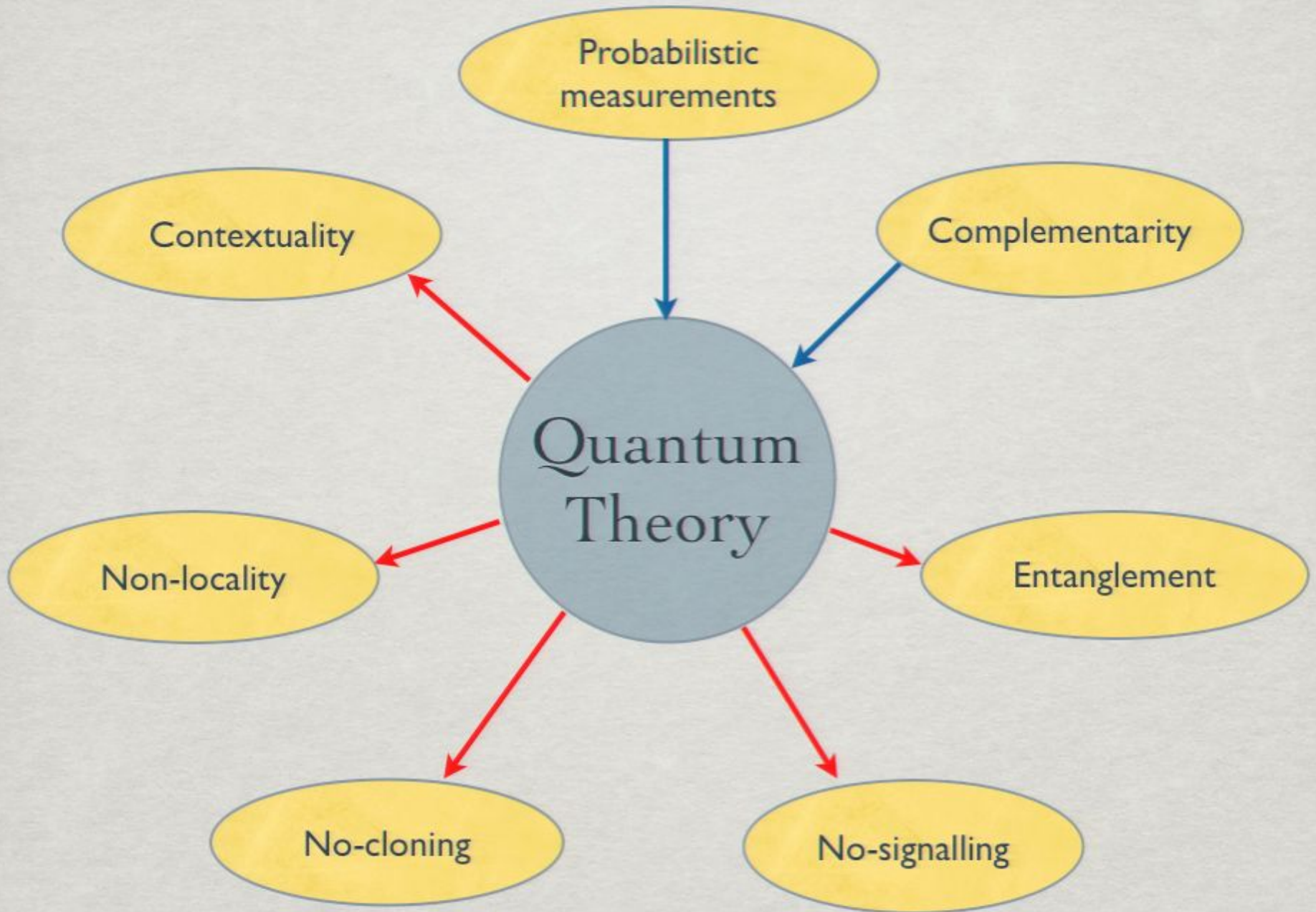
$$[L_x, L_y] = i\hbar L_z, \text{ etc.}$$

Phys. Rev. A 78, 052120  
(2008)

arXiv: 0805.2765









# Additional and Multiplication Operators for Real Number Pairs

$$\mathbf{a \oplus b = b \oplus a}$$

$$\mathbf{(a \oplus b) \oplus c = a \oplus (b \oplus c)}$$

$$\mathbf{(a \odot b) \odot c = a \odot (b \odot c)}$$

$$(a \oplus b) \odot c = (a \odot c) \oplus (b \odot c)$$

$$a \odot (b \oplus c) = (a \odot b) \oplus (a \odot c)$$

# Additional and Multiplication Operators for Real Number Pairs

$$\mathbf{a \oplus b = b \oplus a}$$

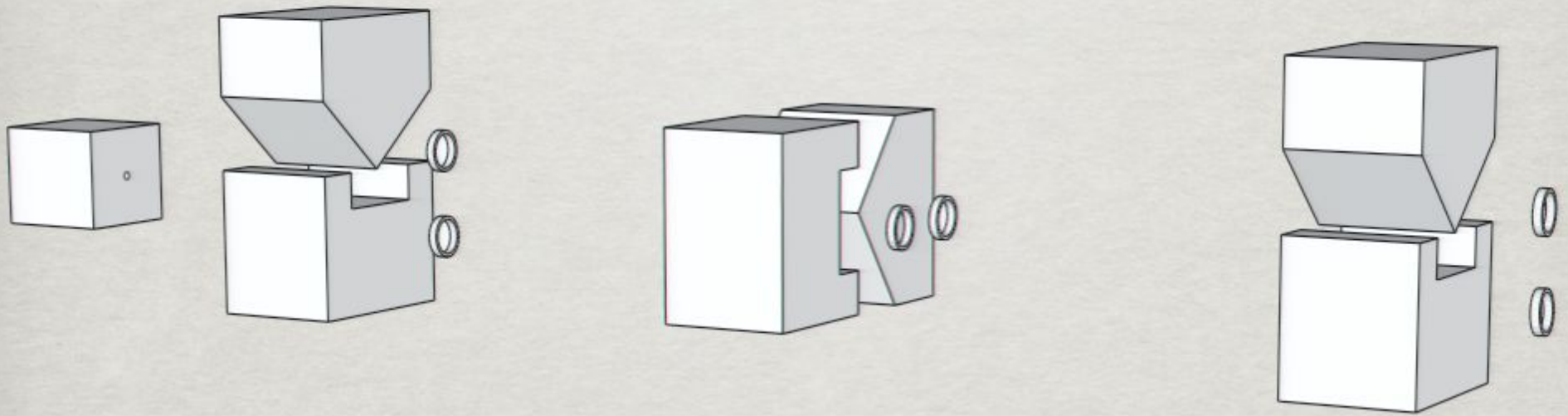
$$\mathbf{(a \oplus b) \oplus c = a \oplus (b \oplus c)}$$

$$\mathbf{(a \odot b) \odot c = a \odot (b \odot c)}$$

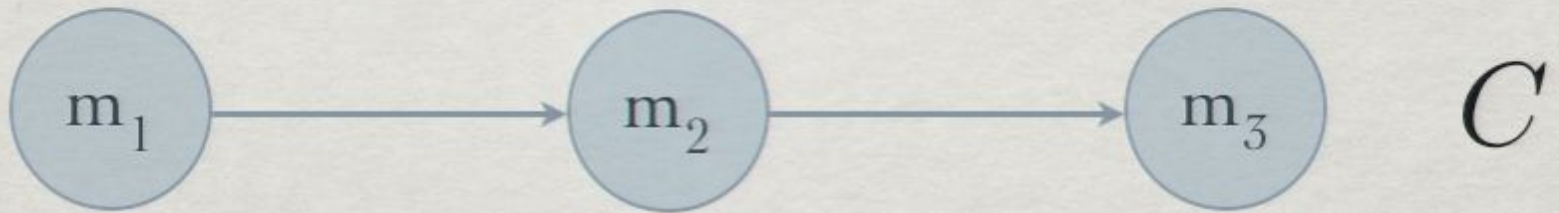
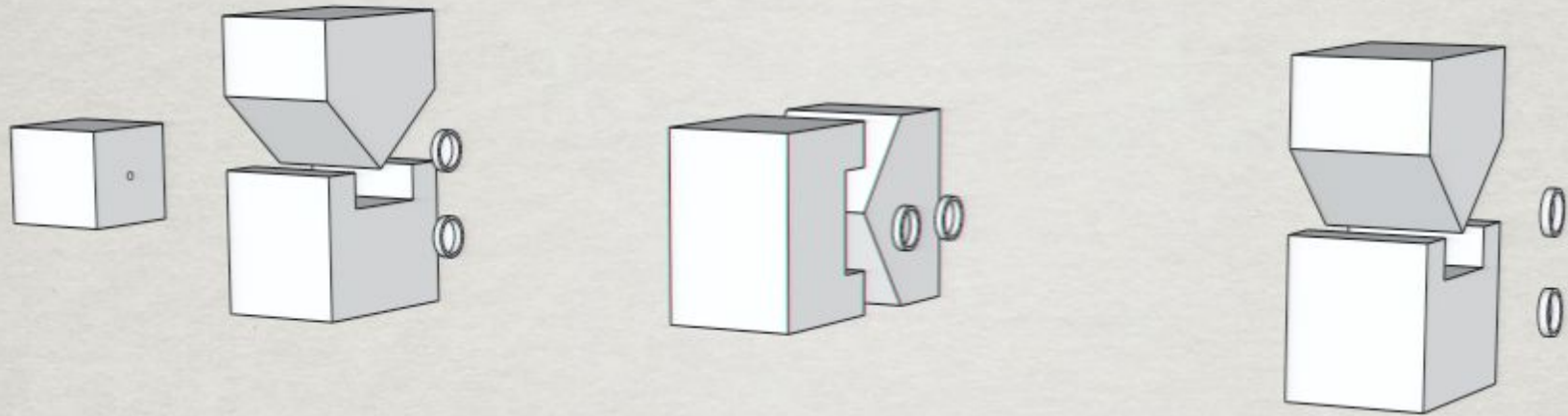
$$\mathbf{(a \oplus b) \odot c = (a \odot c) \oplus (b \odot c)}$$

$$\mathbf{a \odot (b \oplus c) = (a \odot b) \oplus (a \odot c)}$$

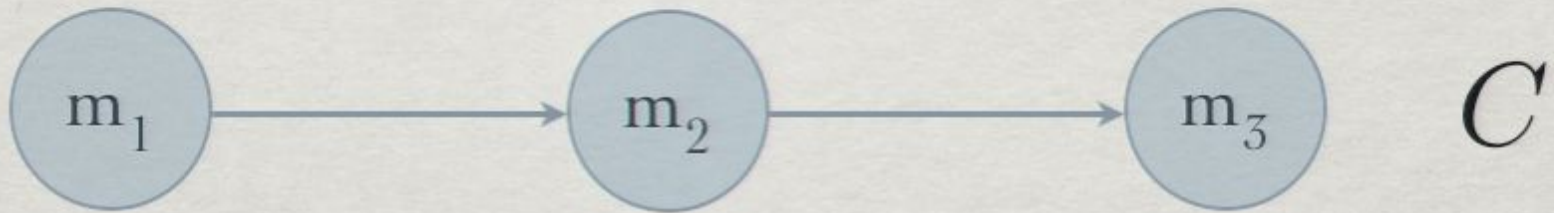
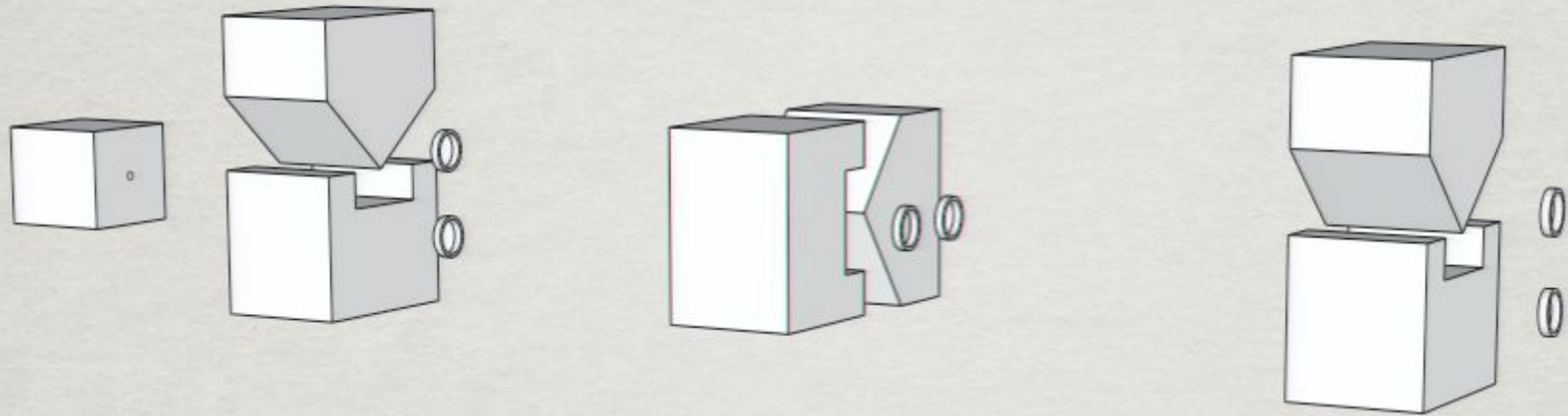
# Experimental Setups



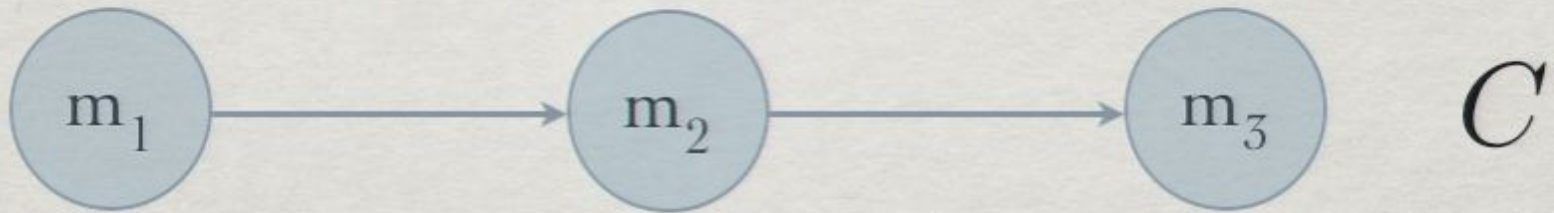
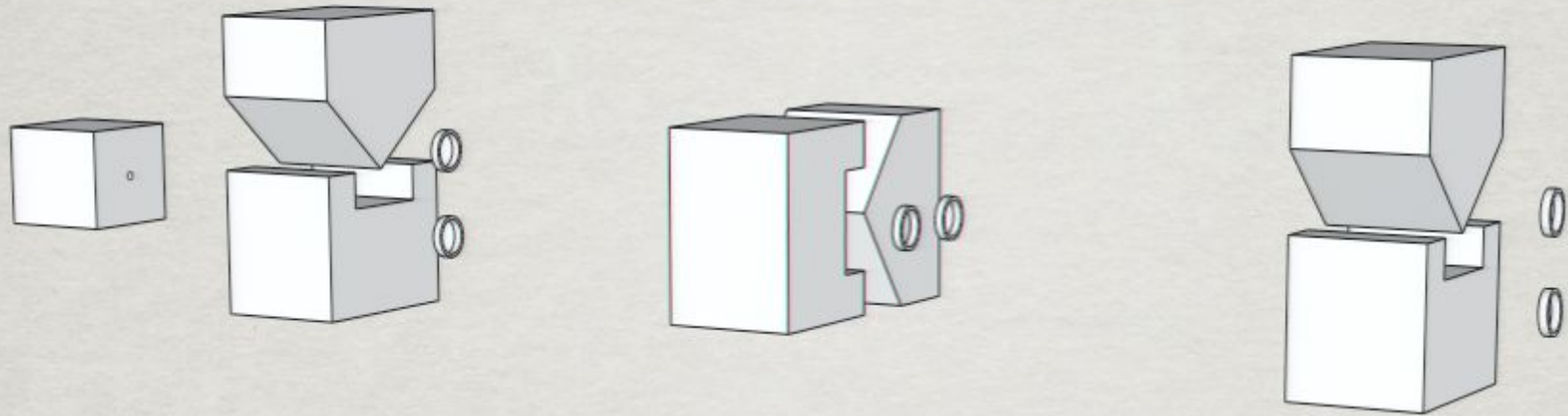




$P(C)$



$$P(C) = \Pr(m_2, m_3 \mid m_1)$$



$$P(C) = \Pr(m_2, m_3 | m_1) = p(\mathbf{c})$$



# Repeated Measurements

$$\mathbf{a} \odot \mathbf{b} = (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1)$$

$$p(\mathbf{a}) = (a_1^2 + a_2^2)^{\alpha/2}$$

# Repeated Measurements

$$\mathbf{a} \odot \mathbf{b} = (a_1b_1 - a_2b_2, a_1b_2 + a_2b_1)$$

$$p(\mathbf{a}) = (a_1^2 + a_2^2)$$

