

Title: Physics of the Disorder-Induced Zero Bias Anomaly in Strongly-Correlated Systems

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Abstract:

# Effects of Strong Correlations on the Disorder-Induced Zero Bias Anomaly

April 23, 2009

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**funding:**

NSERC (Canada)

CFI/OIT

**computing:**

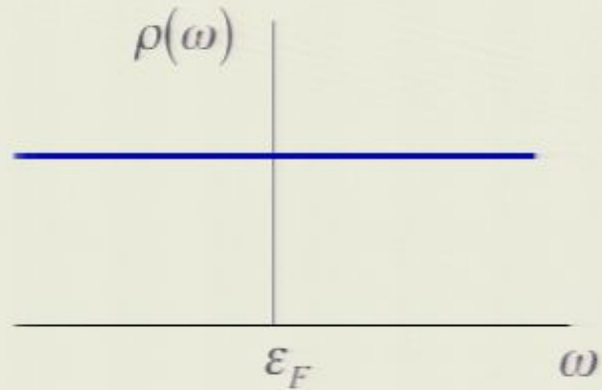
SHARCNET

HPCVL

## Overview

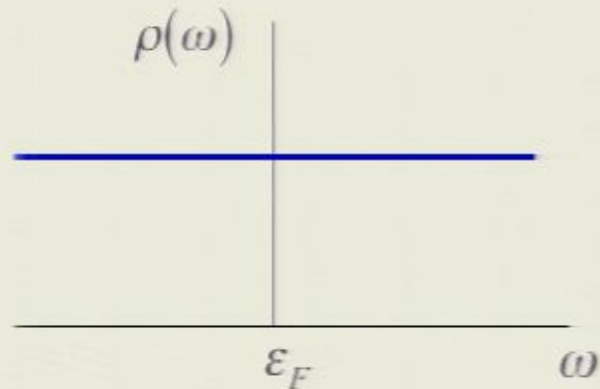
- **Broad Goal:** Understand the role of disorder in strongly-correlated materials.
  - Many strongly-correlated materials (high temperature superconductors) have their electronic properties tuned by chemical doping. e.g.  $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$
  - This introduces disorder.
  - Effects of disorder are poorly understood, often ignored.
- **Goal for this talk:** Understand the disorder-induced zero-bias anomaly (ZBA) in the Anderson-Hubbard model.

## Disorder-induced ZBA in conventional materials:



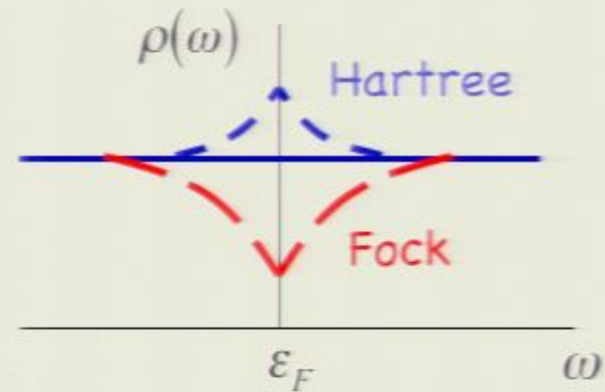
Disorder **or** Interactions:  
DOS at Fermi energy is  
qualitatively unchanged from  
clean noninteracting limit.

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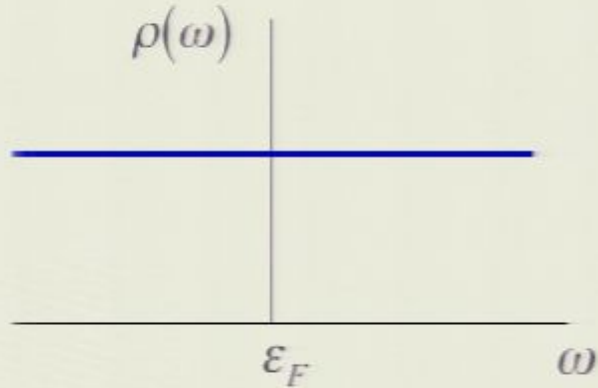
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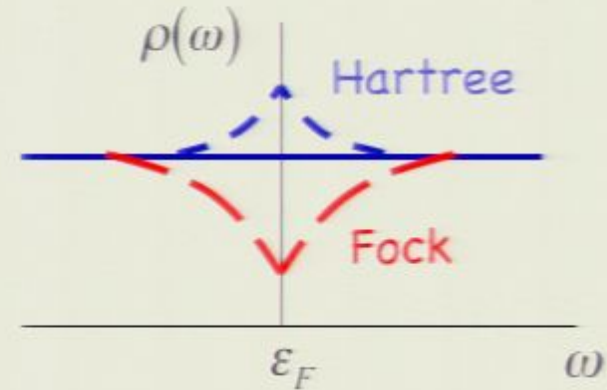




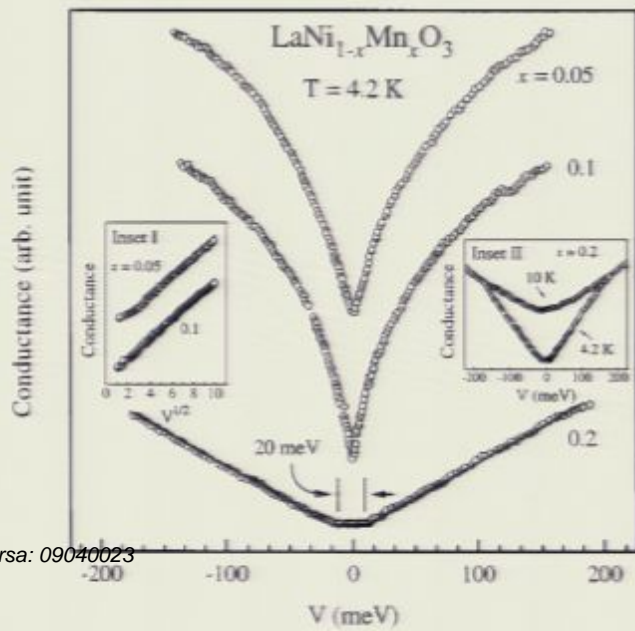
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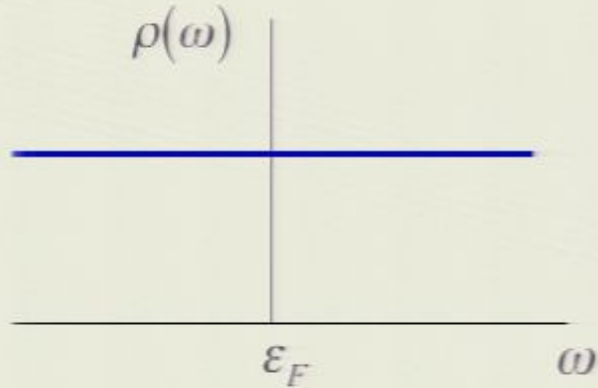


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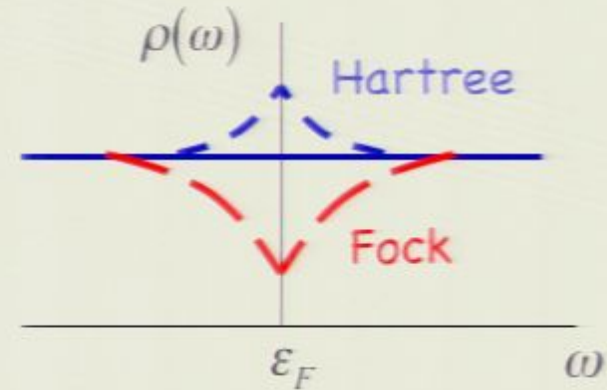


- Sarma et al, PRL 80, 4004 (1998)
- Ino et al, PRB 69, 195116 (2004)
- Kim et al, PRB 73, 235109 (2006)
- Nakatsuji et al, PRL 93, 146401 (2004)
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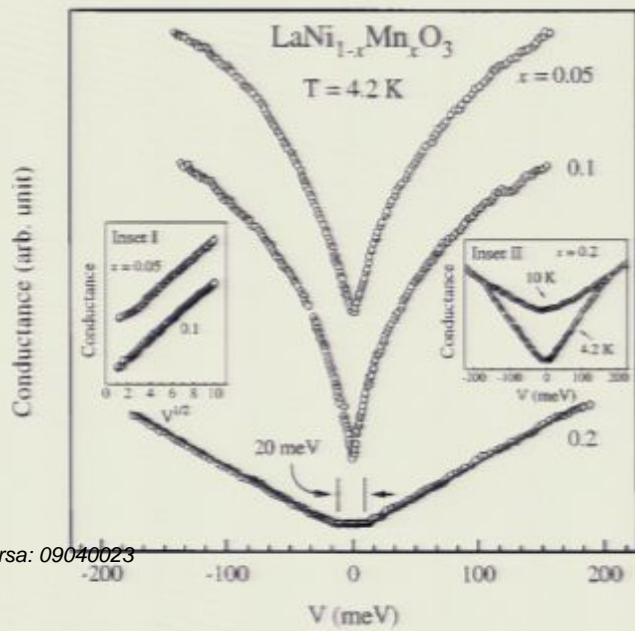
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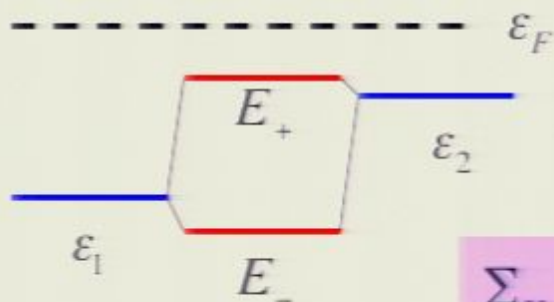
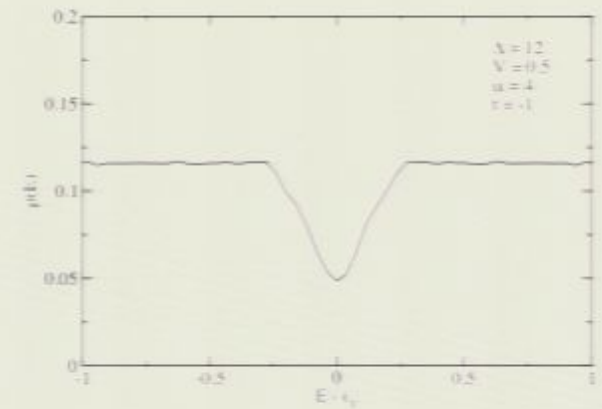
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Is there novel physics associated with the ZBA in strongly-correlated materials?

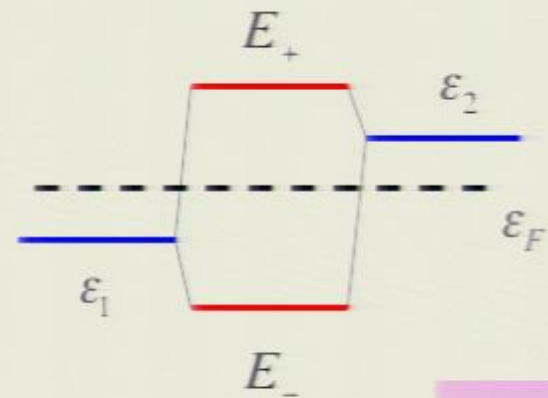
## Simple Explanation: Level Repulsion

$$H = \begin{bmatrix} \varepsilon_1 & -t + \Sigma_X \\ -t + \Sigma_X & \varepsilon_2 \end{bmatrix}$$

where  $\varepsilon_i \in \left[-\frac{\Delta}{2}, \frac{\Delta}{2}\right]$  and  $\Sigma_X = -V \langle c_1^\dagger c_2 \rangle$



$$\Sigma_X = 0$$



$$\Sigma_X < 0$$

ZBA comes from level repulsion between states on either side of the Fermi energy.



## Anderson-Hubbard Model

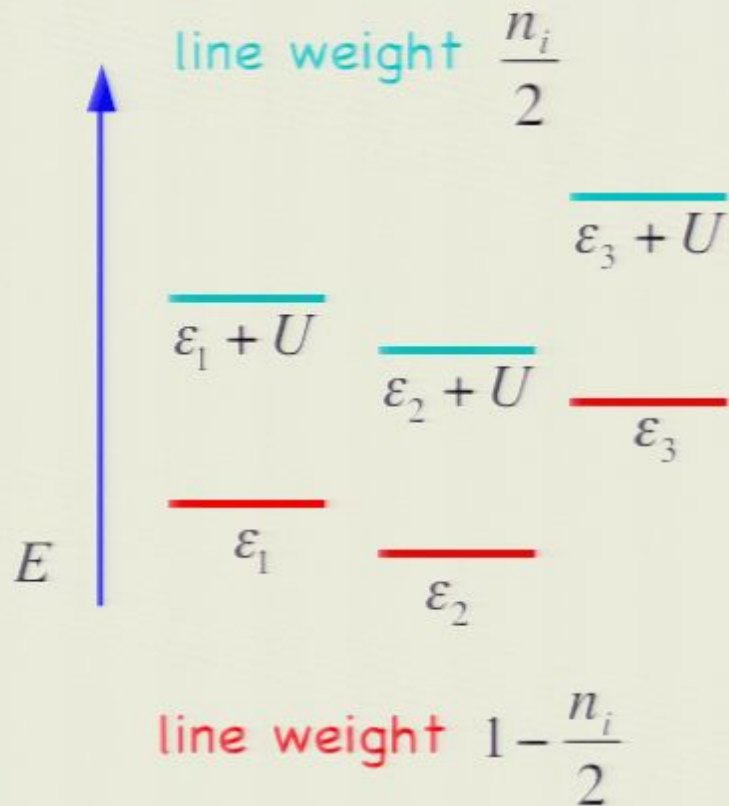
$$H = -t \sum_{\langle i,j \rangle \sigma} c_{i\sigma}^+ c_{j\sigma} + \sum_i (\varepsilon_i n_i + U n_{i\uparrow} n_{i\downarrow})$$

where  $\varepsilon_i \in \left[-\frac{\Delta}{2}, \frac{\Delta}{2}\right]$

Anderson-Hubbard Model  
atomic limit:

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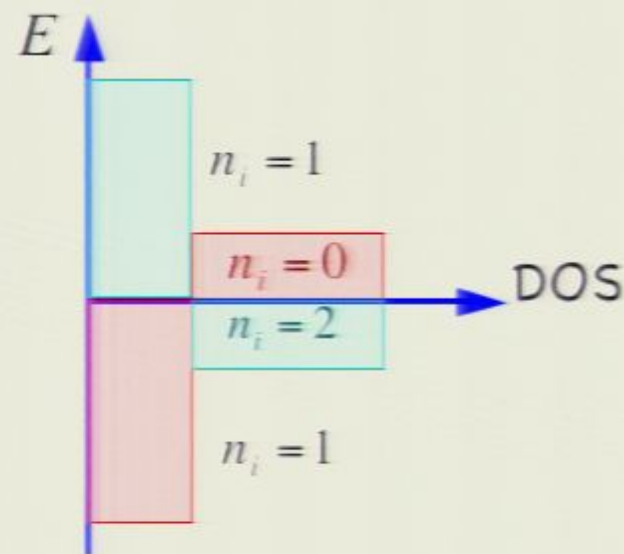
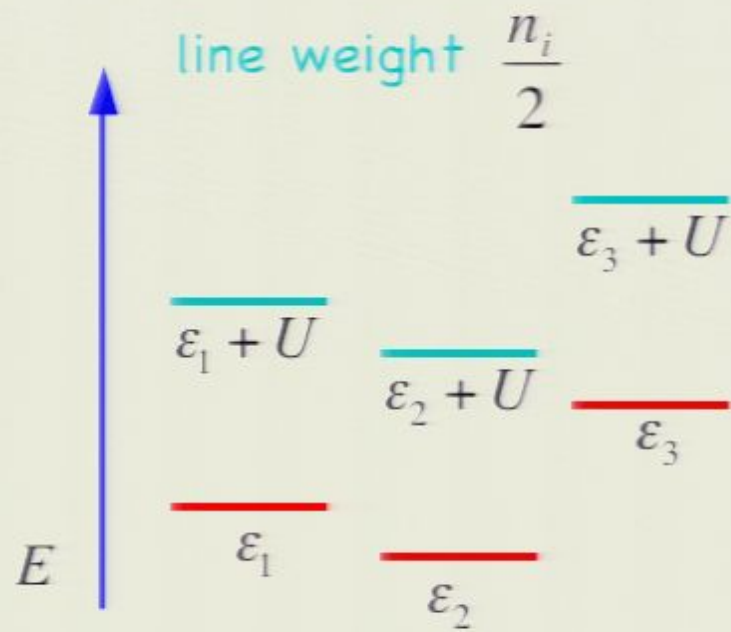
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What happens away from atomic limit?

## Other Results for the Anderson-Hubbard Model

Different approaches find different results

### Hartree-Fock:

Milanovic, PRL 63, 82 (1989)

Tusch, PRB 48, 14843 (1993)

Heidarian, PRL 93, 126401 (2004)

Fazileh, PRL 96, 046410 (2006)

...and many others

### Effective Medium DMFT

Ulmke, PRB (1995)

Laad, PRB 64, 195114 (2001)

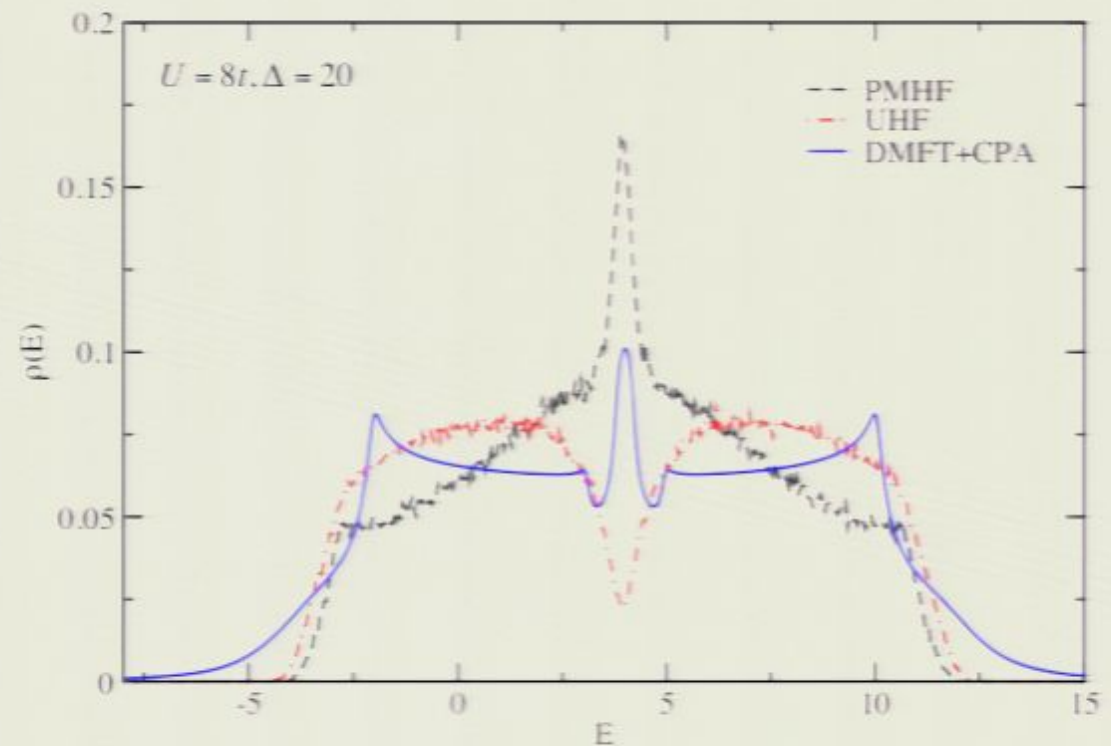
Byczuk, PRB 94, 056404 (2005)

Balzer, Physica B 359-61, 768(2005)

Lombardo, PRB 74, 085116 (2006)

Dobrosavljevic, PRL 78, 3943 (1997)

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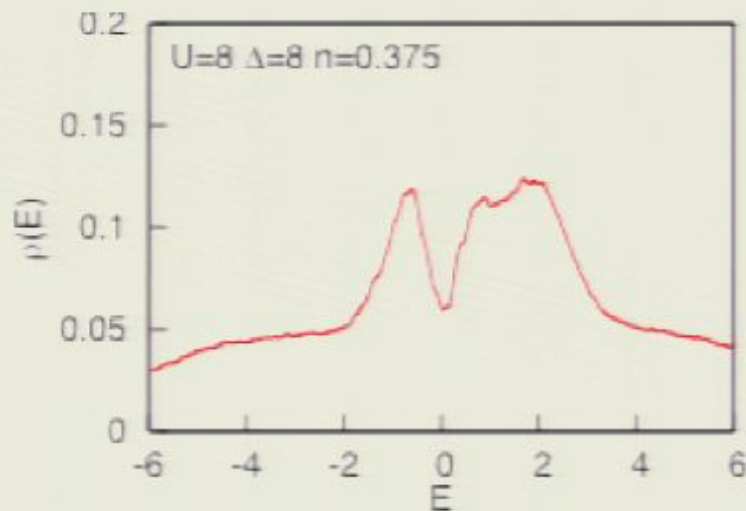
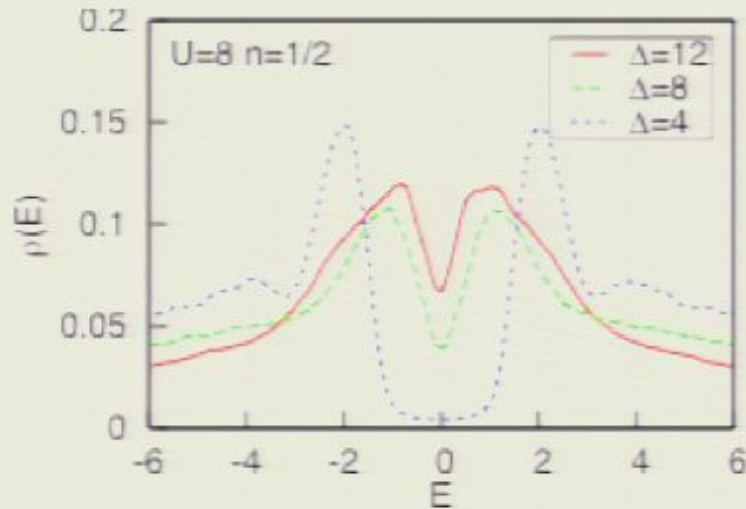


**Challenge: Correctly include disorder and strong correlations.**

## Exact Results for the Anderson-Hubbard Model

$$H = -t \sum_{\langle i,j \rangle \sigma} c_{i\sigma}^{\dagger} c_{j\sigma} + \sum_i (\varepsilon_i n_i + U n_{i\uparrow} n_{i\downarrow})$$

where  $\varepsilon_i \in \left[-\frac{\Delta}{2}, \frac{\Delta}{2}\right]$



- Lanczos
- N=12 sites
- 1000 disorder configuration

AHM has a -ve ZBA even though exchange self-energy vanishes!



## Origin of the ZBA

### Approach:

- Use **exact** results for small clusters. Lanczos method gives the **full Green's function**  $G_{ij\sigma}(\omega)$
- Use the Green's function to extract local and nonlocal inelastic **self-energies**.
- Use these self-energies in an approximate expression for the **density of states**. This allows us to examine the effect of each piece of the self-energy separately.
- **Note:** the goal is not to calculate the DOS (this comes directly from Lanczos) but to separate the different contributions to the DOS.

## Origin of the ZBA

Inelastic self-energy from ED  
for **each** disorder configuration:

$$\Sigma_{ij\sigma}(\omega) = \left[ \mathbf{G}_0(\omega)^{-1} - \mathbf{G}(\omega)^{-1} \right]_{ij\sigma}$$

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It is always possible to write:

$$G_{ii\sigma}(\omega) = \frac{1}{\omega - \varepsilon_i - \Sigma_{ii\sigma}(\omega) - \Lambda_{i\sigma}(\omega)}$$

**Local Self-Energy.** =>  
Splits local spectrum  
into LHO/UHO

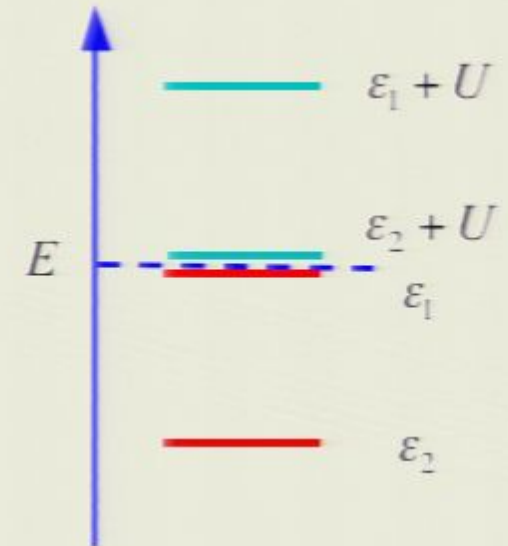
**Hybridization function.**  
=> Level repulsion.

$$\Lambda_{i\sigma}(\omega) = \omega - \varepsilon_i - \Sigma_{ii\sigma}(\omega) - \frac{1}{G_{ii\sigma}(\omega)}$$

## Density of States (large disorder):

$$\rho(E) = \frac{1}{\Delta} \left[ \frac{1}{1 + \partial_{\epsilon} \Sigma_{\epsilon}(E) + \partial_{\epsilon} \Lambda_{\epsilon}(E)} \Big|_{\epsilon=E} + \frac{1}{1 + \partial_{\epsilon} \Sigma_{\epsilon}(E) + \partial_{\epsilon} \Lambda_{\epsilon}(E)} \Big|_{\epsilon=E-U} \right]$$

- Two types of sites have spectral weight at  $E$  (LHO and UHO).
- DOS depends on derivatives of self-energy and hybridization function.

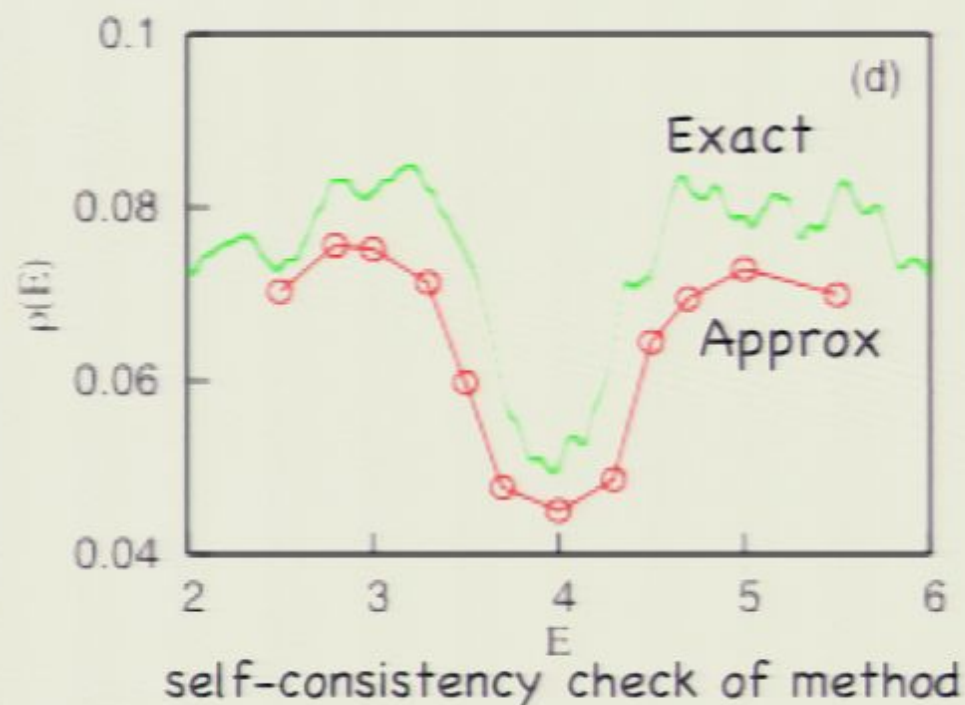
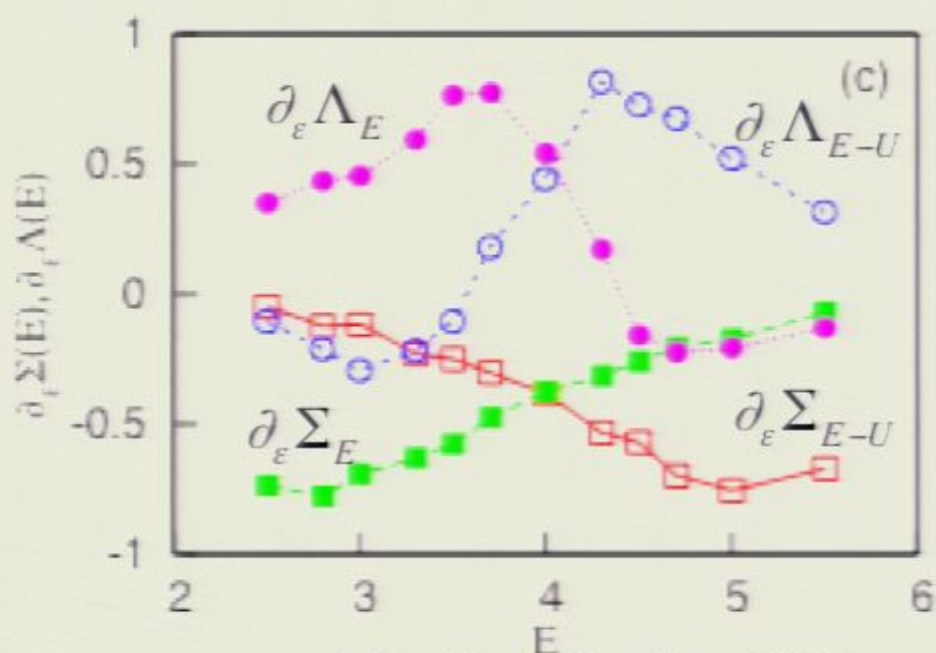


Can distinguish local and nonlocal self-energy contributions to the DOS



## Comparing local and nonlocal contributions to the DOS

$$\rho(E) = \frac{1}{\Delta} \left[ \frac{1}{1 + \partial_\varepsilon \Sigma_\varepsilon(E) + \partial_\varepsilon \Lambda_\varepsilon(E)} \Big|_{\varepsilon=E} + \frac{1}{1 + \partial_\varepsilon \Sigma_\varepsilon(E) + \partial_\varepsilon \Lambda_\varepsilon(E)} \Big|_{\varepsilon=E-U} \right]$$



**Conclusion:** ZBA comes from nonlocal self-energy.  
**BUT:** Nonlocal SE vanishes in HF => higher order correlations



Nonlocal self-energy has a continued fraction form:

$$\Sigma_{ij\sigma}(\omega) = \frac{-tU^2 p_{ij}}{(\omega - \varepsilon_i - Uh_{i\bar{\sigma}})(\omega - \varepsilon_j - Uh_{j\bar{\sigma}}) + \frac{O(t^2)}{\omega - \dots}}$$

$$h_{i\bar{\sigma}} = 1 - n_{i\bar{\sigma}}$$

Depends on fluctuations via the correlation function  $p_{ij}$ ,

$$p_{ij} = \langle n_{i\bar{\sigma}} n_{j\bar{\sigma}} \rangle - \langle n_{i\bar{\sigma}} \rangle \langle n_{j\bar{\sigma}} \rangle + \langle S_{i+} S_{j-} \rangle - \langle D_i^+ D_j \rangle$$

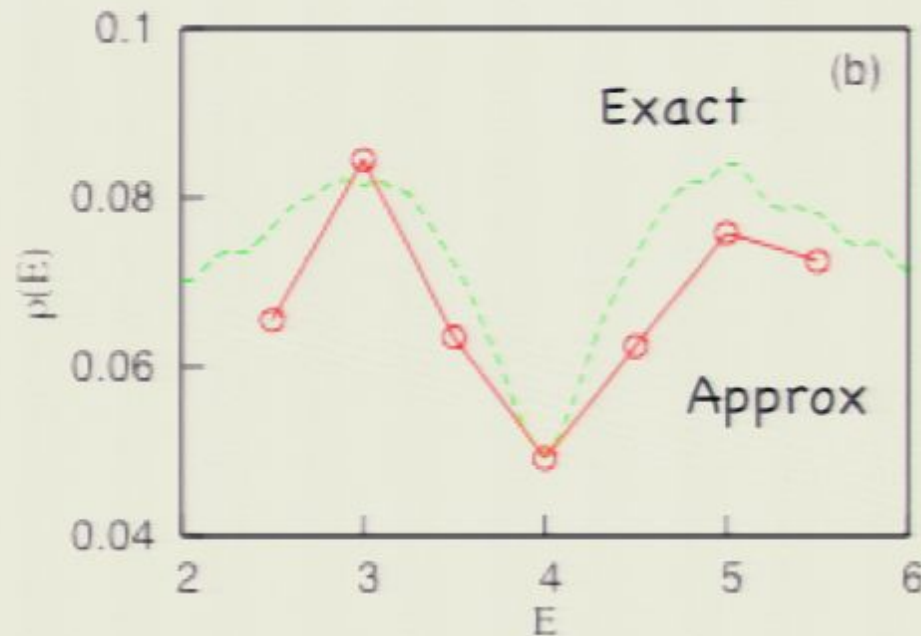
where  $D_i = c_{i\uparrow} c_{i\downarrow}$

↑  
small

## DOS Using Approximate Nonlocal Self-Energy

$$\rho(E) = \frac{1}{\Delta} \left[ \frac{1}{1 + \partial_\varepsilon \Sigma_\varepsilon(E) + \partial_\varepsilon \Lambda_\varepsilon(E)} \Big|_{\varepsilon=E} + \frac{1}{1 + \partial_\varepsilon \Sigma_\varepsilon(E) + \partial_\varepsilon \Lambda_\varepsilon(E)} \Big|_{\varepsilon=E-U} \right]$$

$$\Lambda_{i\sigma}(\omega) = \sum_{j,k} [t - \Sigma_{ij\sigma}(\omega)] G_{jk}^i(\omega) [t - \Sigma_{ki\sigma}(\omega)]$$



Charge and Spin Fluctuations both contribute to ZBA

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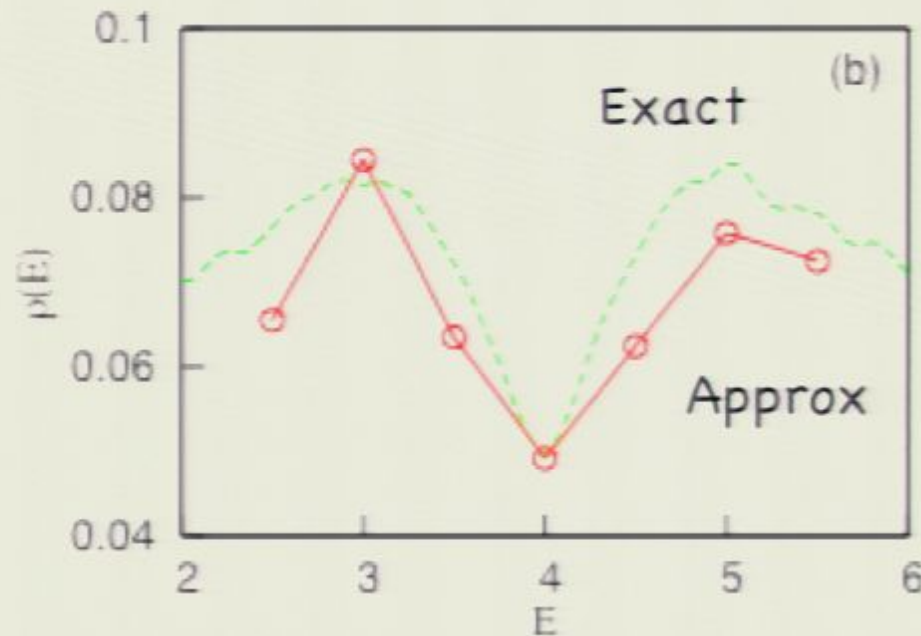
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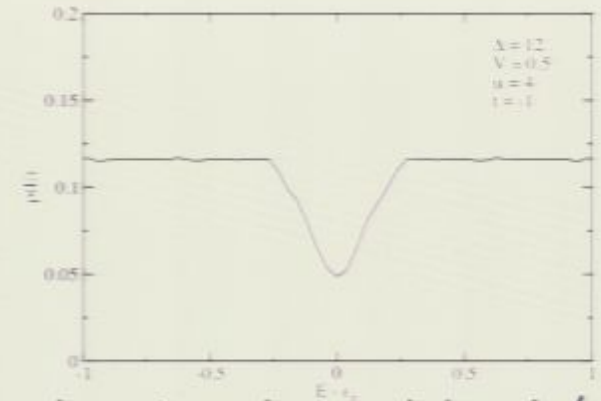
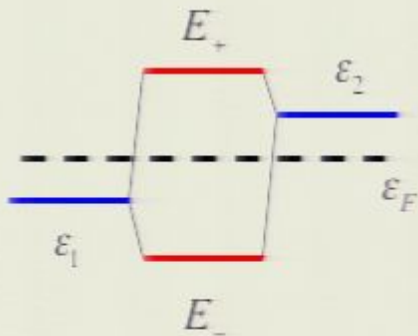
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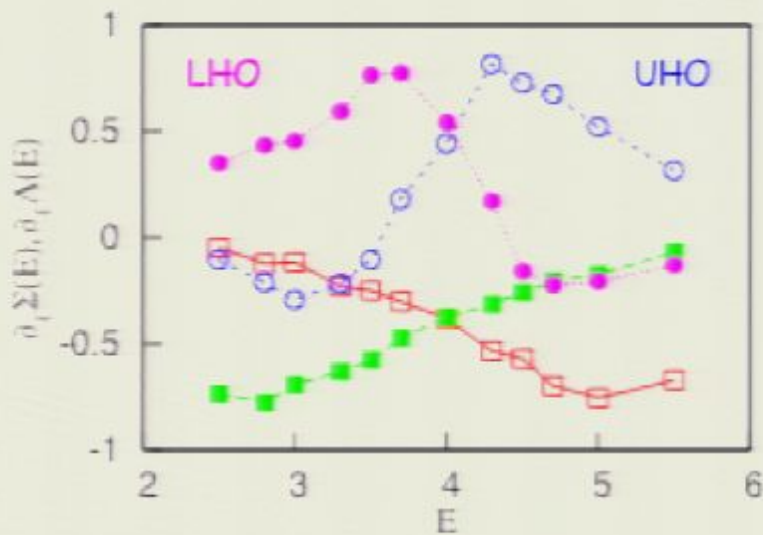
# Asymmetry in the DOS



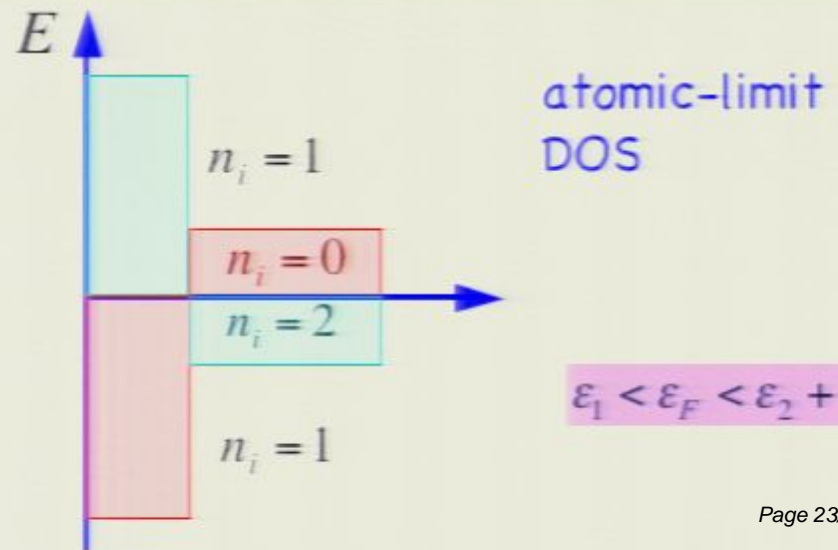
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$$\epsilon_1 < \epsilon_F < \epsilon_2$$

Anderson Hubbard model: ZBA is asymmetric w/in each band.



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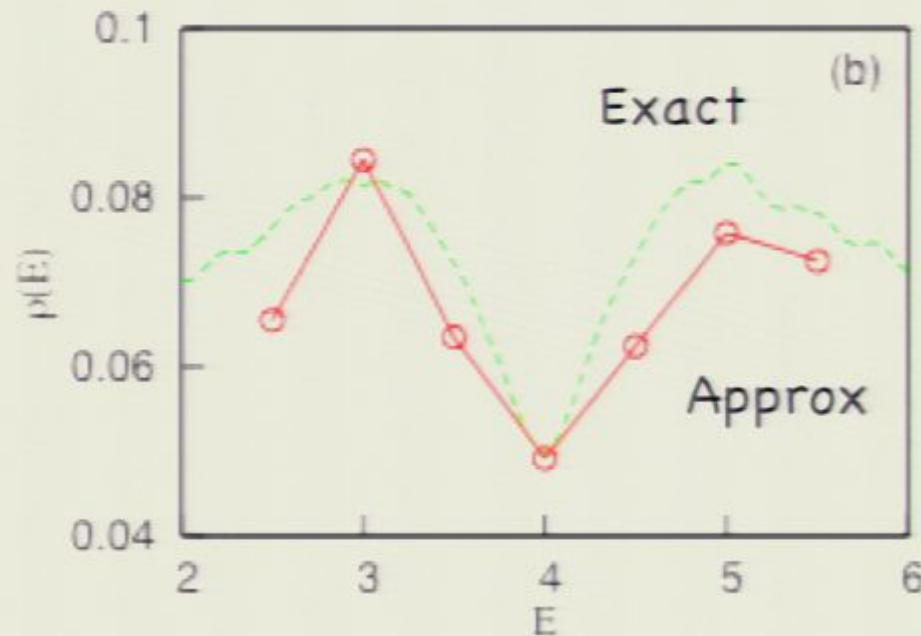
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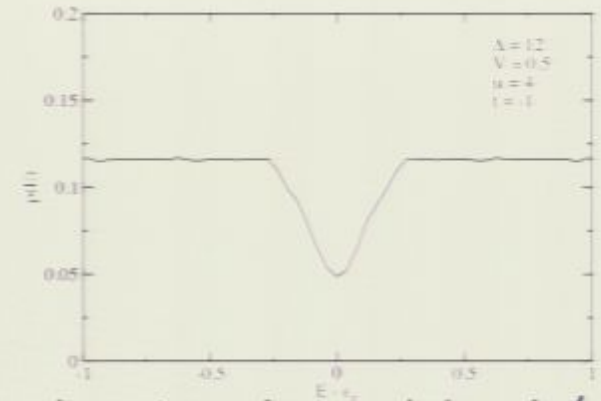
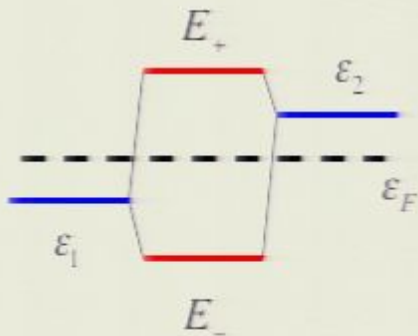
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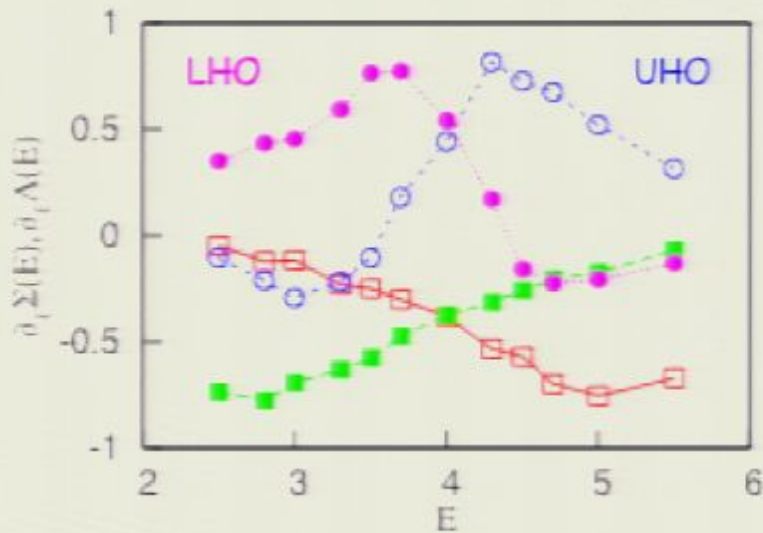
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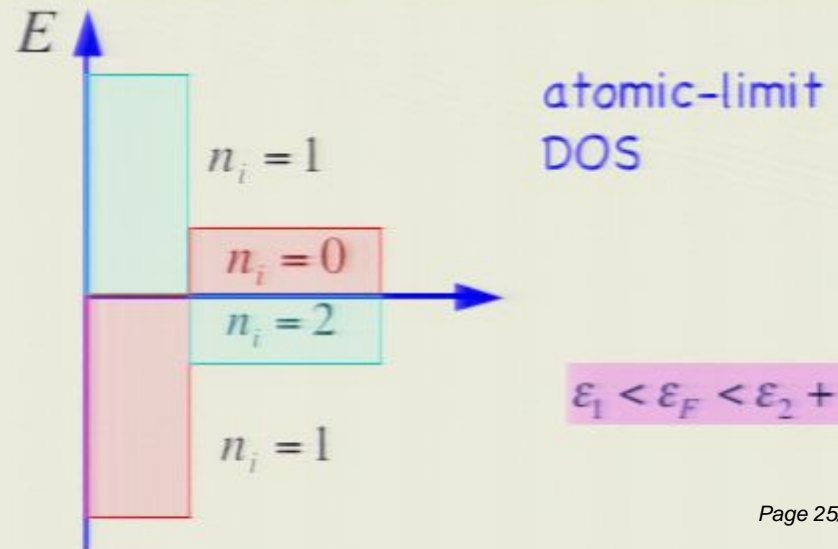
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Results for two sites:

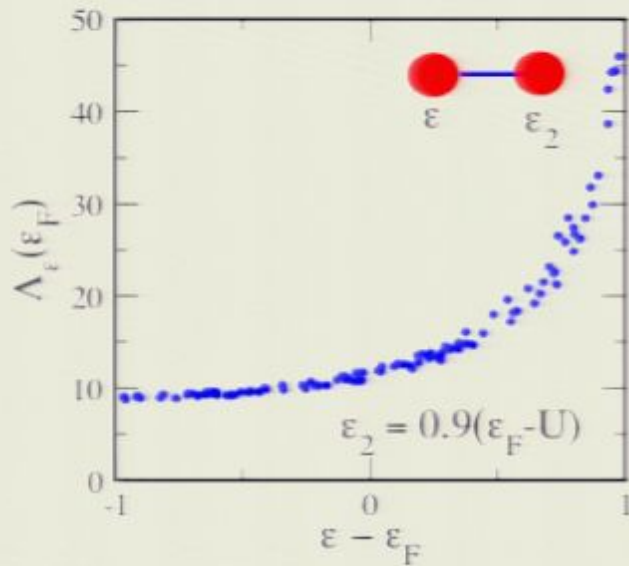
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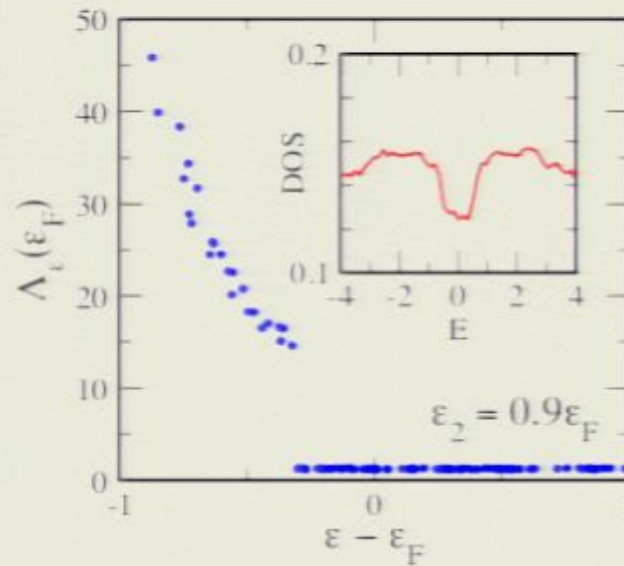
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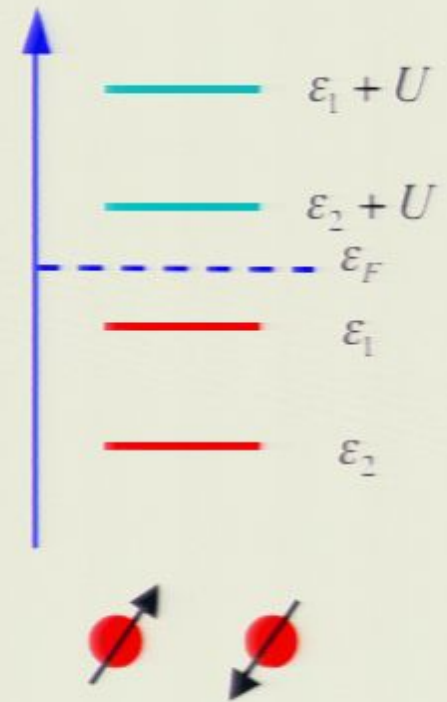
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$$\partial_\epsilon \Lambda_\epsilon(\epsilon_F) \Big|_{\epsilon=\epsilon_F} > 0$$



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ZBA comes from level repulsion between UHO and LHO of singly-occupied sites



## Conclusions

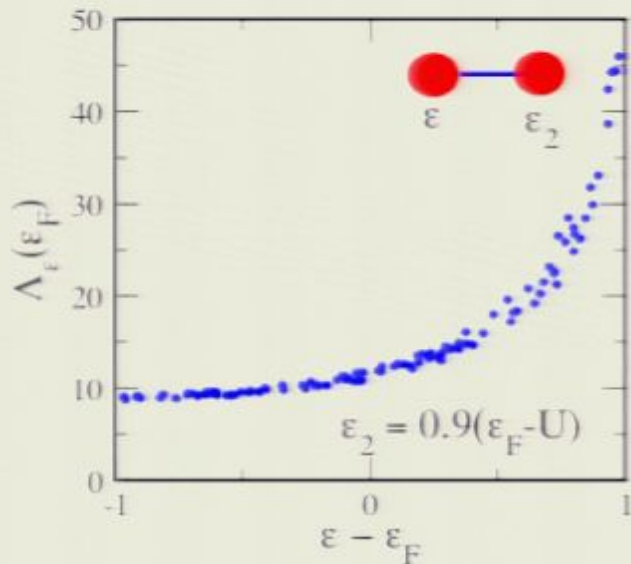
- What's special about ZBA in the Anderson-Hubbard model?
  - Can't be understood (even qualitatively) at level of Hartree-Fock theory.
- Where does the ZBA come from in the Anderson-Hubbard model?
  - ZBA comes from the nonlocal self-energy involving dynamical charge and spin fluctuations.
  - Level repulsion between LHO and UHO of singly-occupied sites!
  - Behaviour is very non-mean-field.

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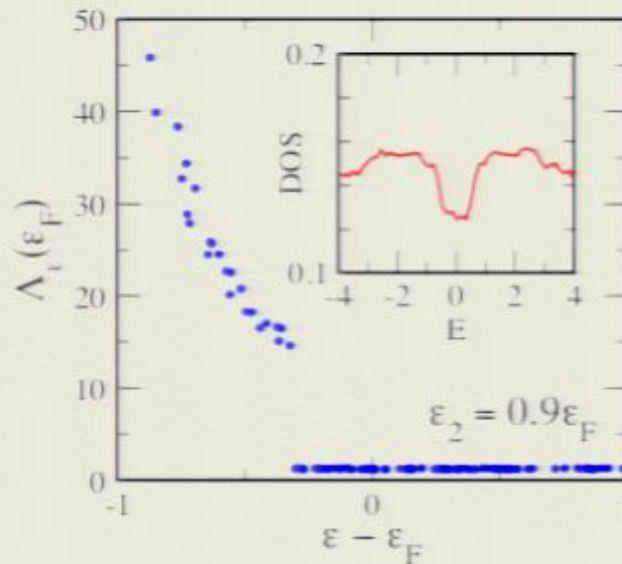
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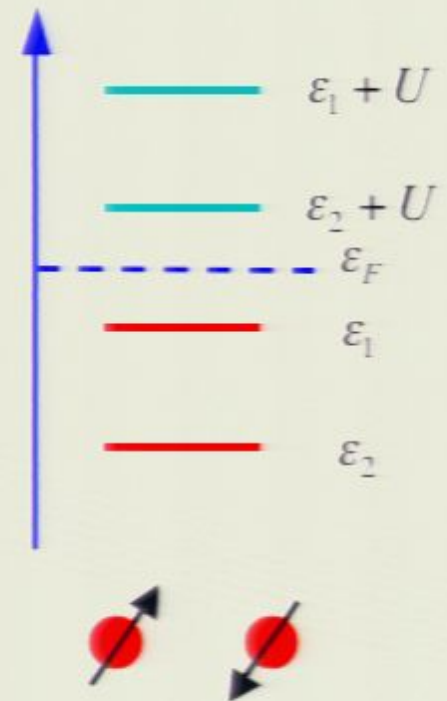
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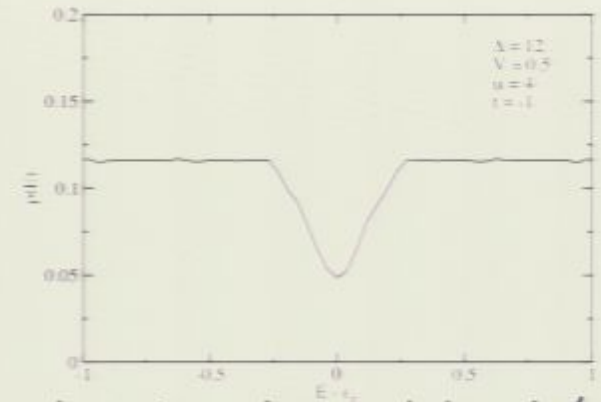
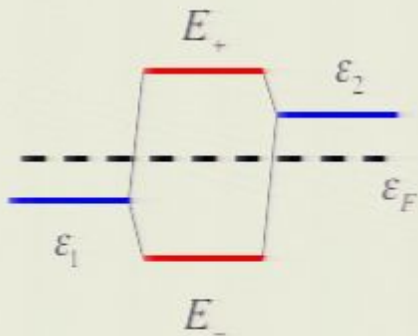


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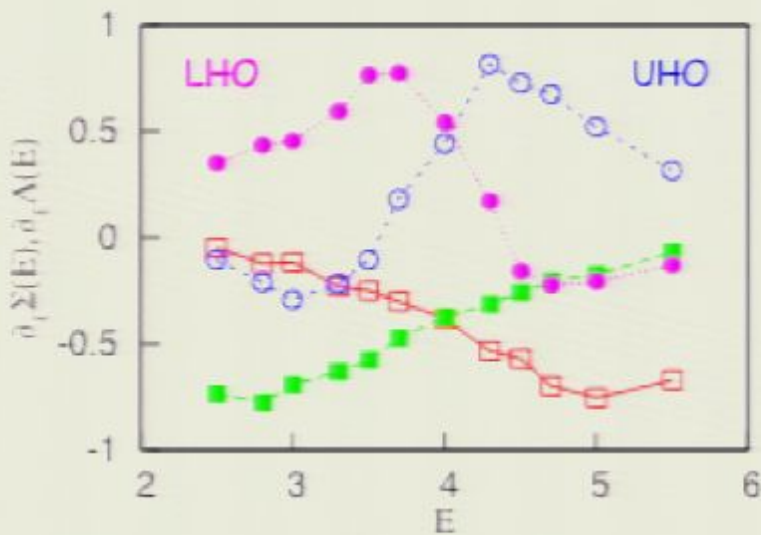
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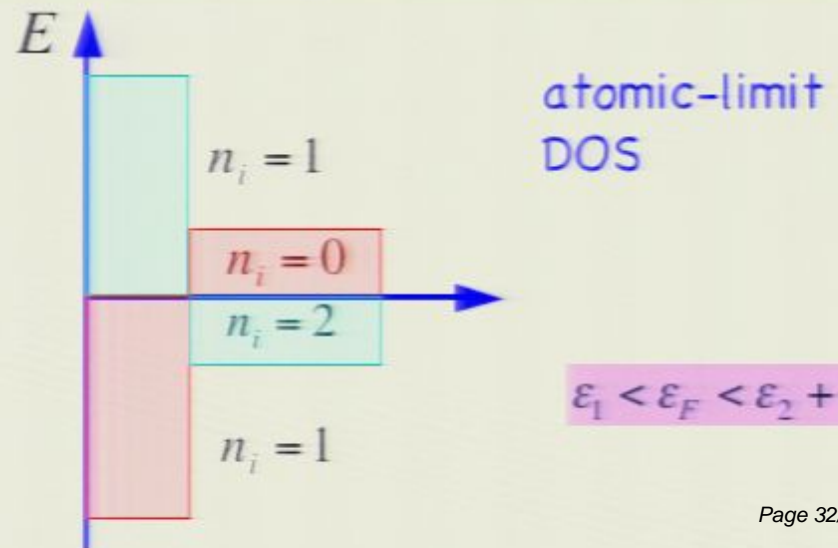
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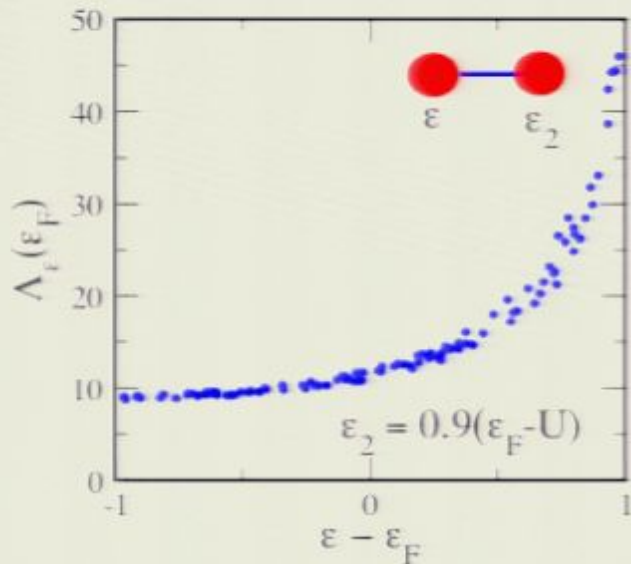


$$\epsilon_1 < \epsilon_F < \epsilon_2 + U?$$

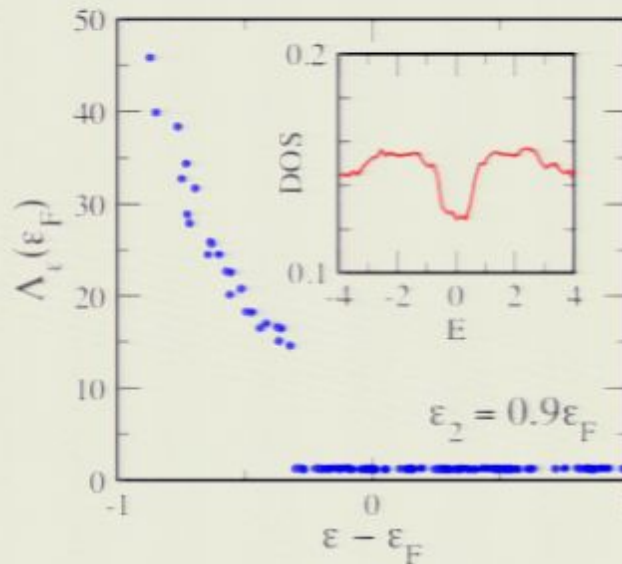


## Results for two sites:

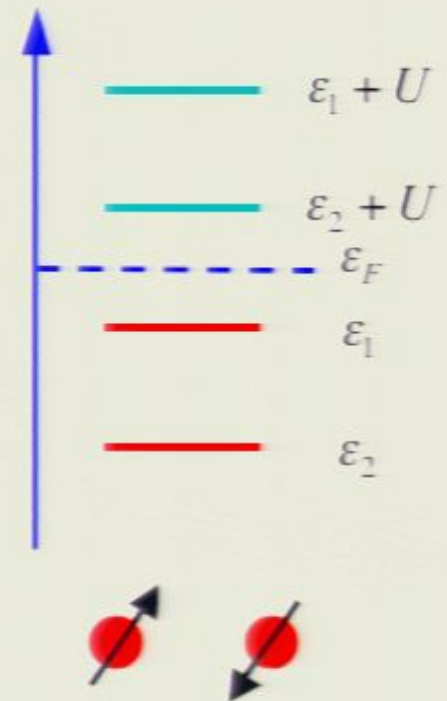
$$\rho(E) = \frac{1}{\Delta} \left[ \frac{1}{1 + \partial_\epsilon \Sigma_\epsilon(E) + \partial_\epsilon \Lambda_\epsilon(E)} \Big|_{\epsilon=E} - \frac{1}{1 + \partial_\epsilon \Sigma_\epsilon(E) + \partial_\epsilon \Lambda_\epsilon(E)} \Big|_{\epsilon=E-U} \right]$$



$$\partial_\epsilon \Lambda_\epsilon(\epsilon_F) \Big|_{\epsilon=\epsilon_F} > 0$$



$$\partial_\epsilon \Lambda_\epsilon(\epsilon_F) \Big|_{\epsilon=\epsilon_F} = 0$$



ZBA comes from level repulsion between UHO and LHO of singly-occupied sites