

Title: Interacting Anyonic Fermions in a Two-Body Color Code Model

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Abstract: We introduce a two-body quantum Hamiltonian model of spin-1/2 on a 2D spatial lattice with exact topological degeneracy in all coupling regimes. There exists a gapped phase in which the low-energy sector reproduces an effective color code model. High energy excitations fall into three families of anyonic fermions that turn out to be strongly interacting. The model exhibits a $Z_2 \times Z_2$ gauge group symmetry and string-net integrals of motion, which are related to the existence of topological charges that are invisible to moving high-energy fermions.

Perimeter Institute - Jan 2009

*Interacting Anyonic Fermions in a
Two-Body Color Code Model*

ArXiv:0811.0911

H. B.*, M. Kargarian, M. A. Martin-Delgado*****

*Physics Department, Massachusetts Institute of Technology

**Physics Department, Sharif University of Technology

Outline

❖ Motivation

Anyons, stabilizer codes, 2-body models.

❖ The model

Hamiltonian, string-net constants of motion.

❖ Bosonic mapping

Emerging anyonic fermions, effective color code.

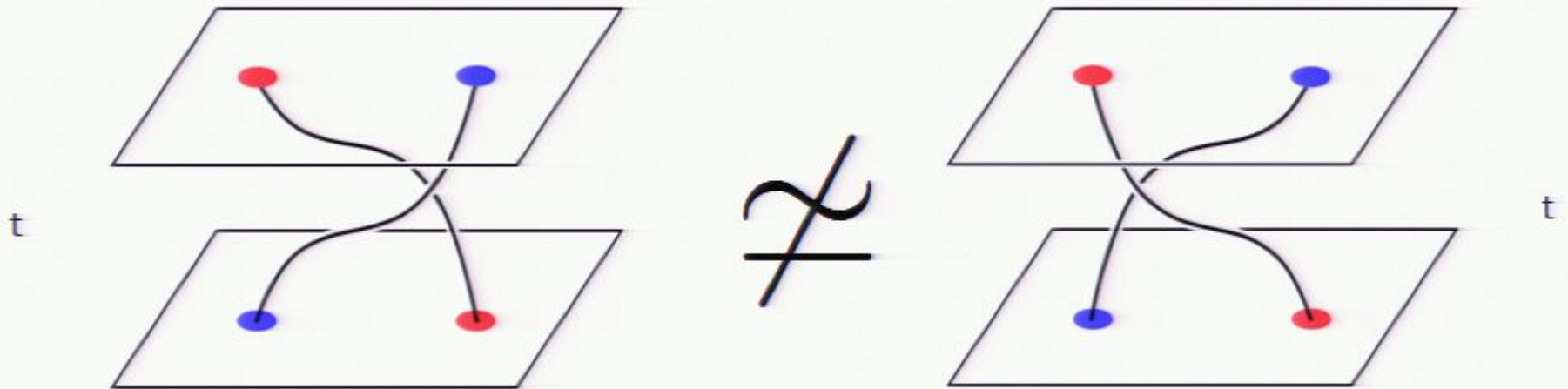
❖ Future work and conclusions

Anyon condensation, color symmetry breaking

Motivation

Anyons

- In our everyday 3D world we only deal with **fermions** and **bosons**.
- Exchanging twice a pair of particles is a topologically trivial operation.
- In 2D this is no longer true and particles with other statistics are possible: **anyons**.



- When the difference is just a phase, the anyons are **abelian**:

$$\left| \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right\rangle = \phi(\text{blue dot}, \text{red dot}) \left| \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right\rangle$$

The equation shows that the state after a braid operation is equal to a phase factor ϕ multiplied by the state before the operation. The phase factor is represented by a blue dot and a red dot separated by a comma, enclosed in large parentheses.

Topological order

- Anyons are a signature of **topological order** (TO):
 - ✓ **Topological degeneracy** of the ground subspace (GS).
 - ✓ **Gapped** excited states: **localized quasiparticles, anyons**.
 - ✓ ...
- But where do we find TO?
- If we are lucky, on existing physical systems such as the quantum Hall effect.
- But we can also engineer suitable quantum Hamiltonian models. E. g., using polar molecules on optical lattices, as proposed by P. Zoller and collaborators.

Stabilizer code models

- Some of the simplest quantum Hamiltonian models with topological order can be obtained from local **stabilizer codes**.
- These are **spin-1/2 local models** of the form

$$H = - \sum_i S_i, \quad S_i \in \mathcal{P} = \langle i, \sigma_1^x, \sigma_1^z, \dots, \sigma_n^x, \sigma_n^z \rangle.$$

where the S_i generate an abelian group not containing -1.

- The **GS** is a stabilizer code...

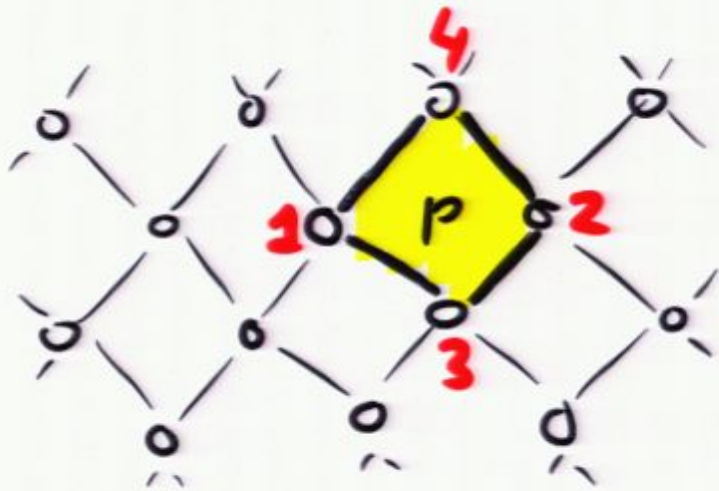
$$S_i |\text{GS}\rangle = |\text{GS}\rangle$$

...and excitations are **gapped** and correspond to error **syndromes**

$$S_i |\psi\rangle = -|\psi\rangle$$

Toric code

- The seminal example of topological stabilizer codes is the **toric code** (Kitaev, 97).
- In a **square lattice**, we place a **spin-1/2** system at each **vertex**.
- There is **one** stabilizer per **plaquette**:

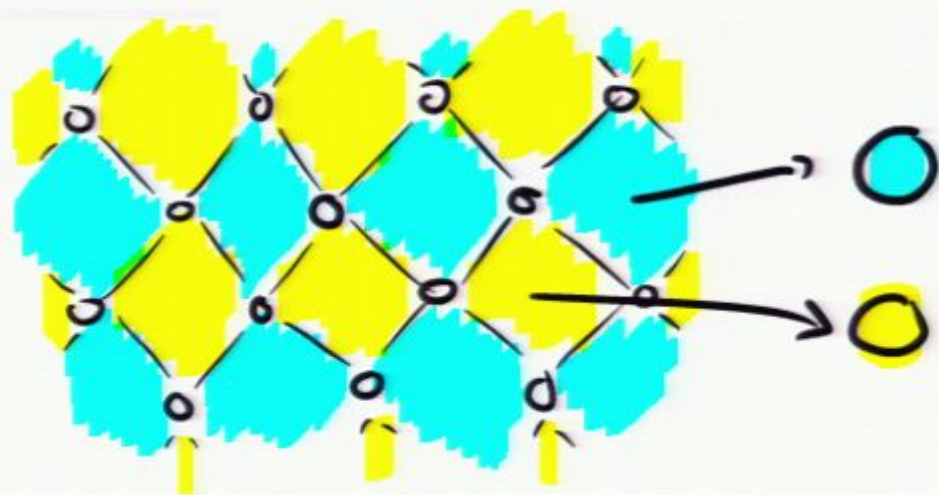


$$A_p := \sigma_1^x \sigma_2^x \sigma_3^z \sigma_4^z$$

$$H = - \sum_p A_p$$

Toric code

- There exist **two** kinds of basic **excitations**.
- To label them, we have to color the plaquettes as in a **chessboard**:



- There exist a total of **three nontrivial topological charges**:



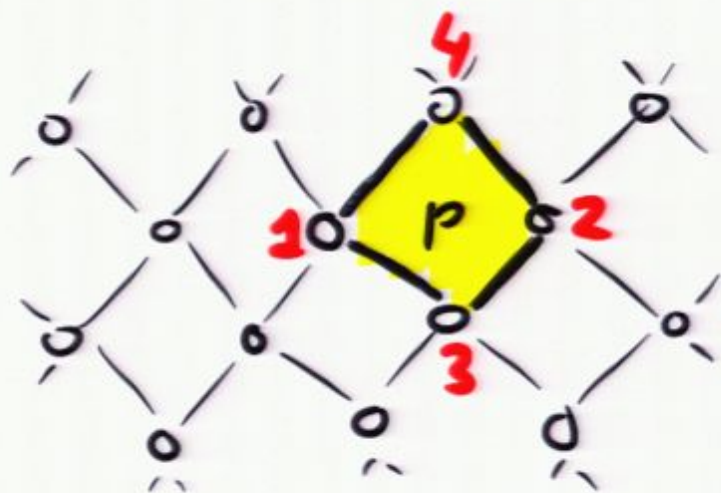
$$\phi(0, 0) = -1$$

$$\text{yellow} + \text{yellow} = \cancel{\phi}$$

$$\text{cyan} + \text{cyan} = \cancel{\phi}$$

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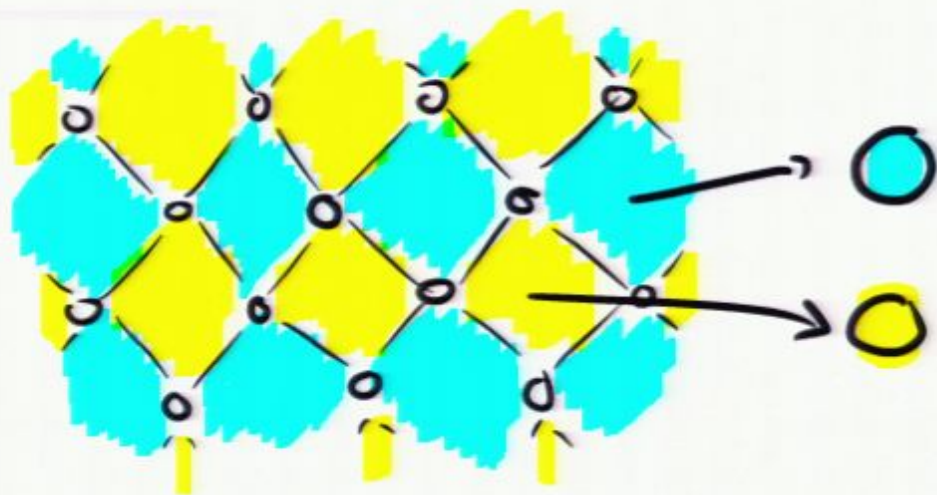


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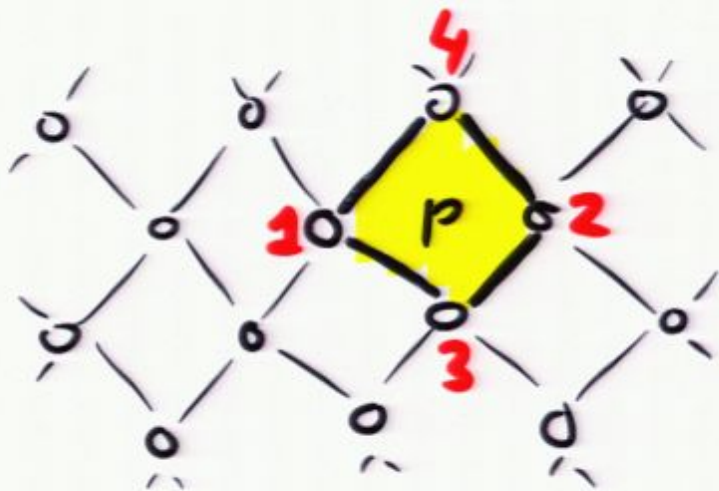
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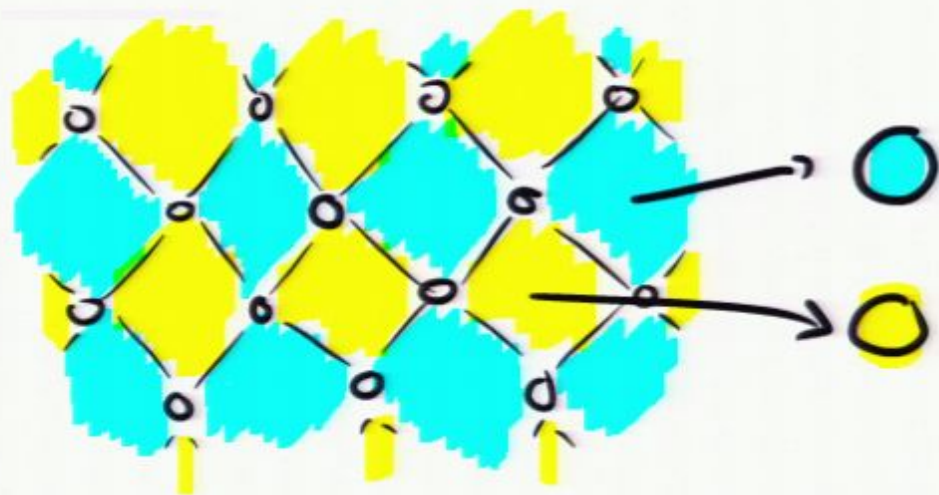


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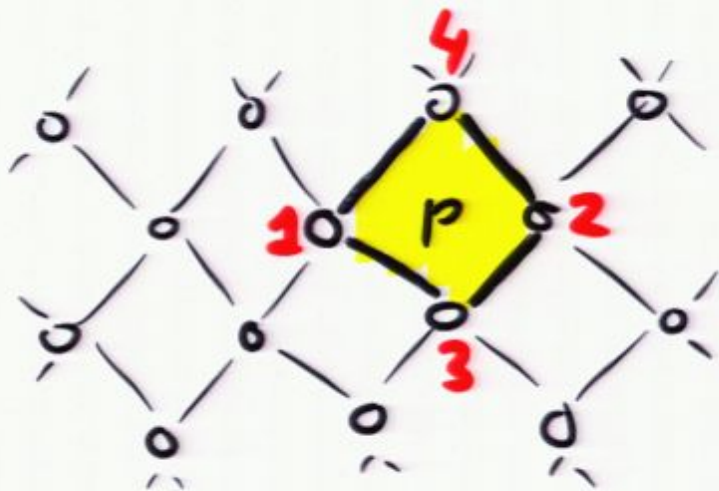
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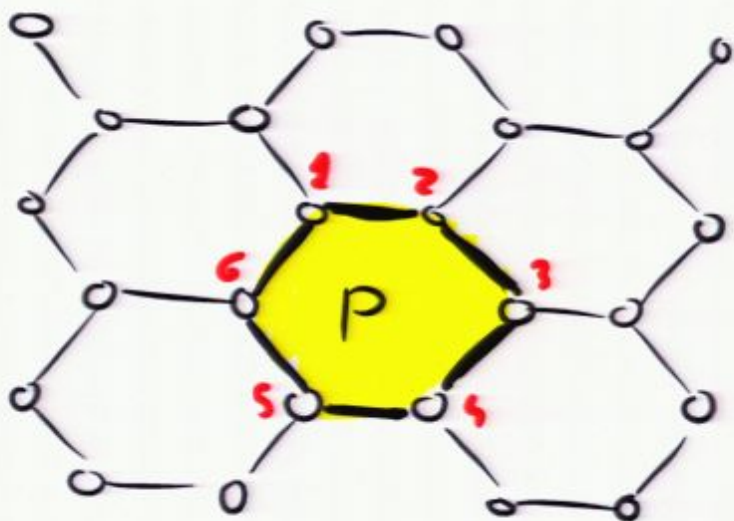


$$A_p := \sigma_1^x \sigma_2^x \sigma_3^z \sigma_4^z$$

$$H = - \sum_p A_p$$

Color codes

- **Color codes** are another relevant example, with enhanced computational capabilities.
- In particular, they allow the **transversal** implementation of **Clifford** operations.
- In a **honeycomb lattice**, we place a **spin-1/2** system at each **vertex**.
- There are **two** stabilizers per **plaquette**:



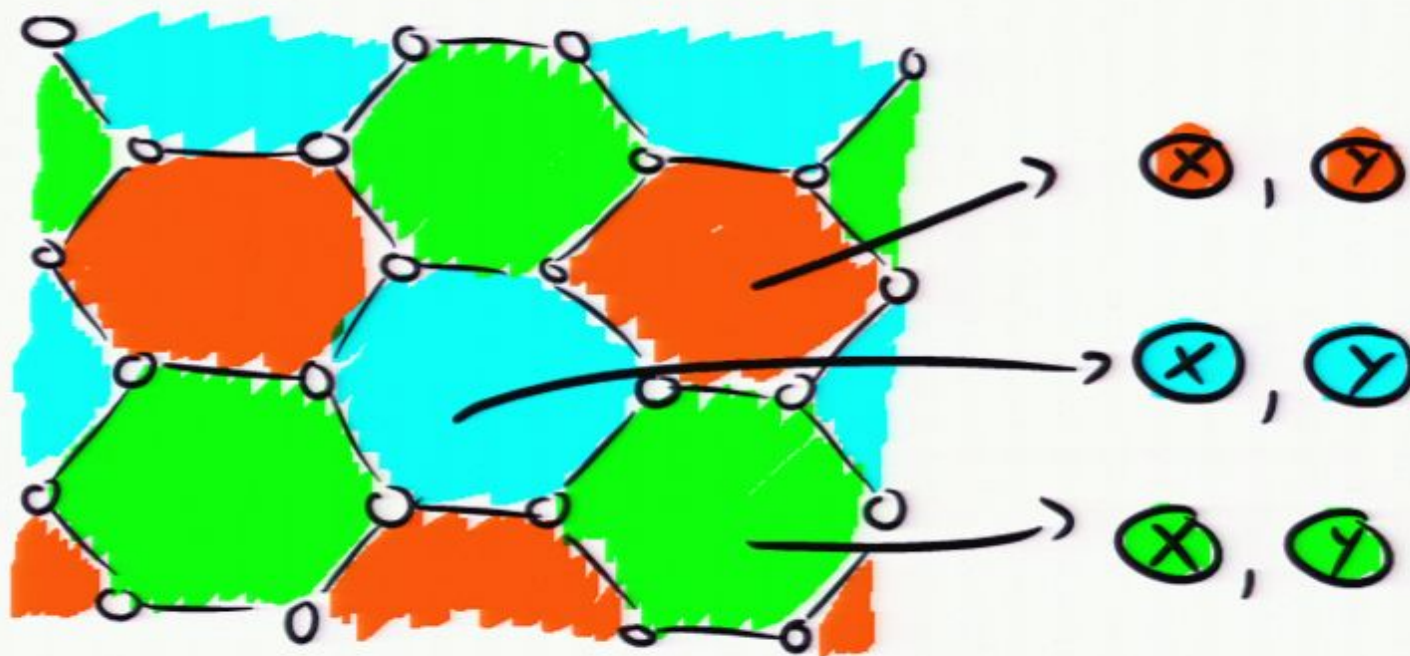
$$B_p^x := \sigma_1^x \sigma_2^x \sigma_3^x \sigma_4^x \sigma_5^x \sigma_6^x$$

$$B_p^y := \sigma_1^y \sigma_2^y \sigma_3^y \sigma_4^y \sigma_5^y \sigma_6^z$$

$$H = - \sum_p (B_p^x + B_p^y)$$

Color codes

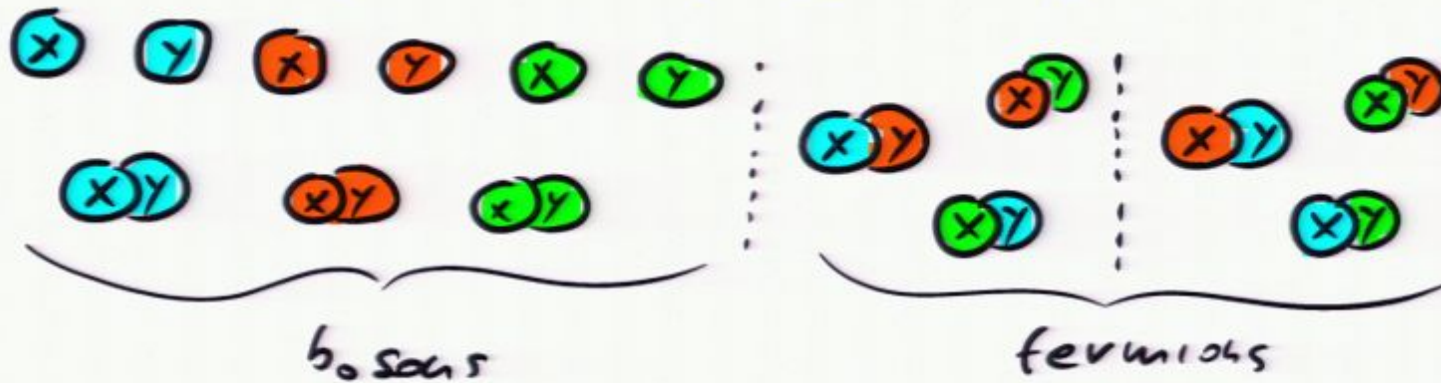
- There exist **six** kinds of basic **excitations**.
- To label them, we first label the plaquettes with **three colors**:



- Notice that the lattice is **3-valent** and has **3-colorable plaquettes**. We call such lattices **2-colexes**.
- One can define color codes in **any** 2-colex.

Color codes

- There exist a total of **15 nontrivial topological charges**:



Charge fusion: $(A) + (A) = \emptyset$

Nontrivial top. phases:

$$\phi(\text{blue } X, \text{orange } Y) = \phi(\text{orange } X, \text{green } Y) = \phi(\text{green } X, \text{blue } Y) = -1$$

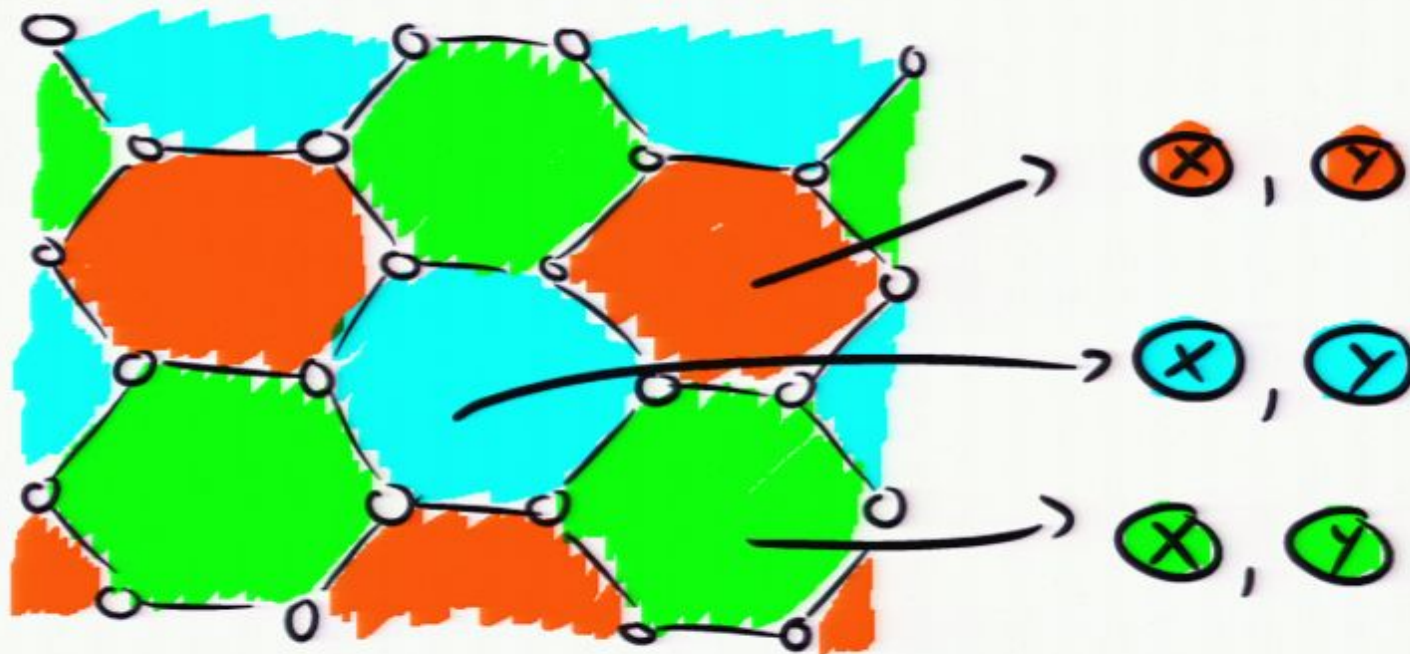
$$\phi(\text{orange } X, \text{blue } Y) = \phi(\text{green } X, \text{orange } Y) = \phi(\text{blue } X, \text{green } Y) = -1$$

- Each **family of fermions** is **closed under fusion**, and fermions from different

families have **trivial mutual statistics**

Color codes

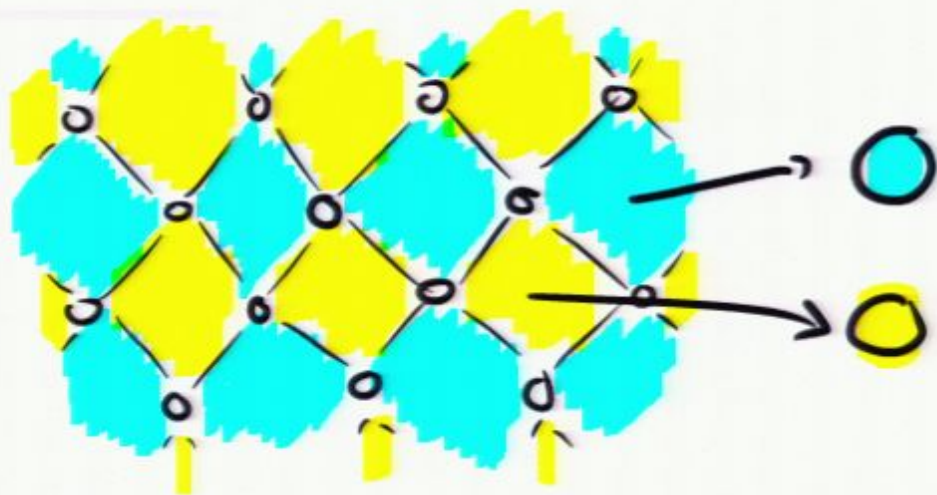
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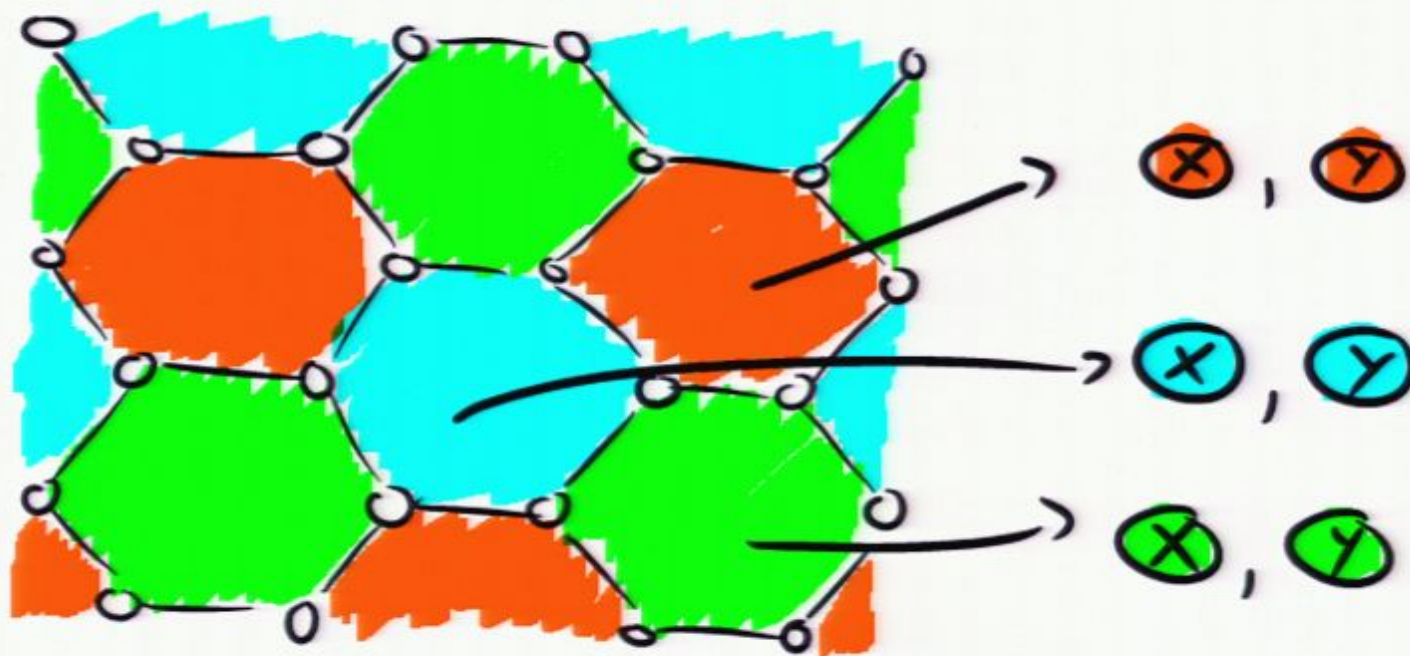
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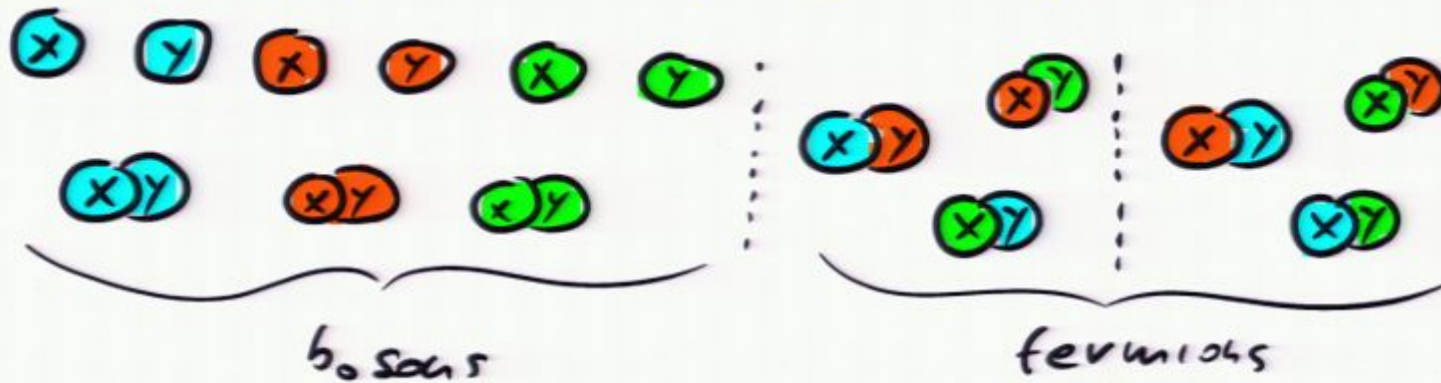
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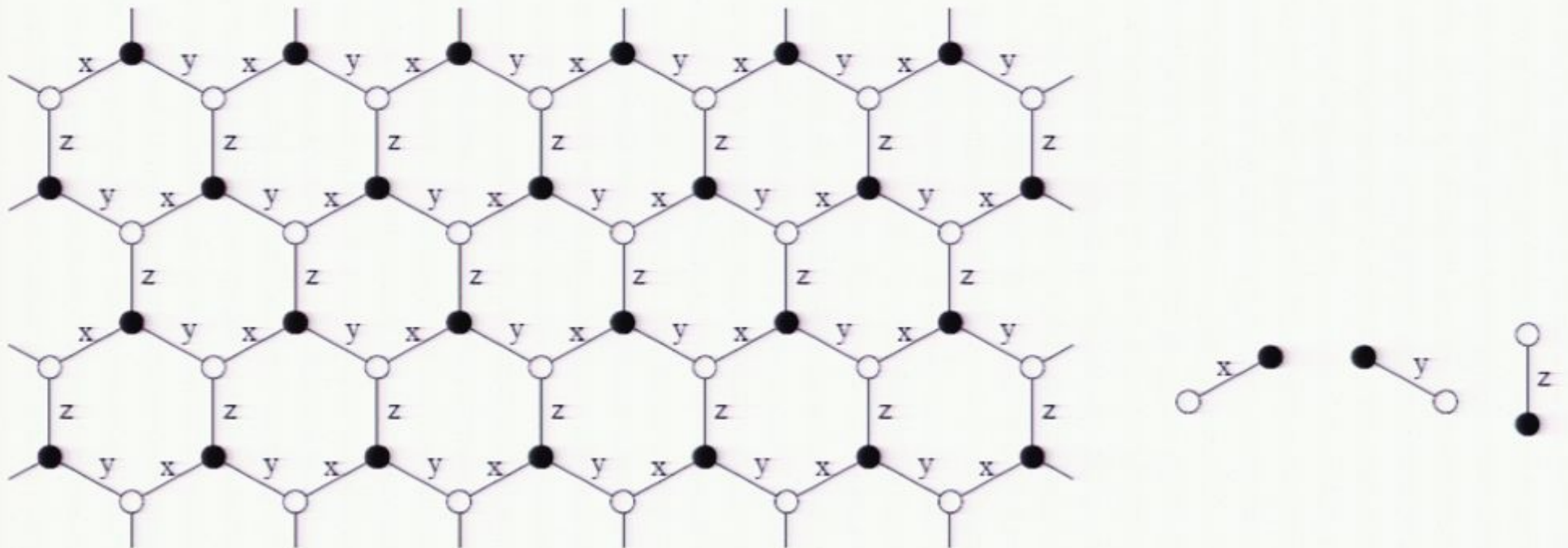
families have **trivial mutual statistics**

2-body models

- But both toric and color code models are **many-body**.
- This makes very **difficult** to **engineer** them directly.
- Kitaev introduced a **2-body** model in the **honeycomb** lattice that gives rise to an effective **toric code** model in one of its phases.

Kitaev's honeycomb model

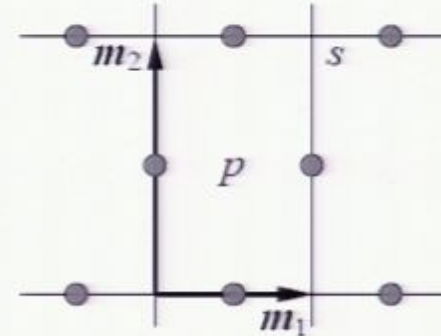
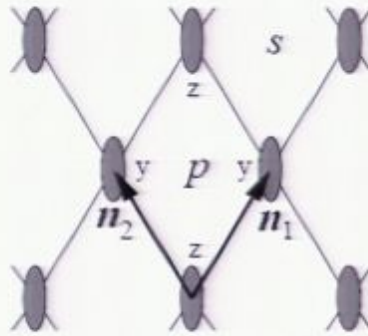
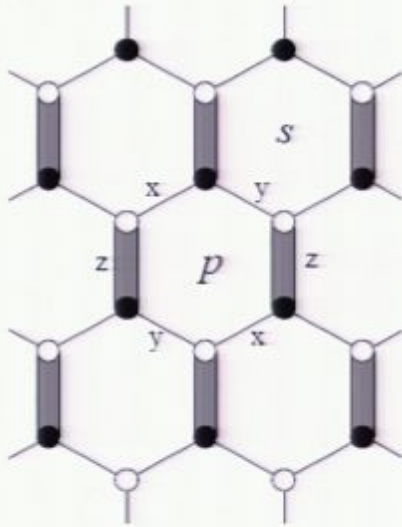
- A **2-body spin-1/2** model in a honeycomb lattice. 1 spin per vertex. 3-colored links.



$$H = -J_x \sum_{x\text{-links}} \sigma_j^x \sigma_k^x - J_y \sum_{y\text{-links}} \sigma_j^y \sigma_k^y - J_z \sum_{z\text{-links}} \sigma_j^z \sigma_k^z,$$

Effective toric codes

- A regime of the model gives rise to a **4-body** model, an effective toric code model:

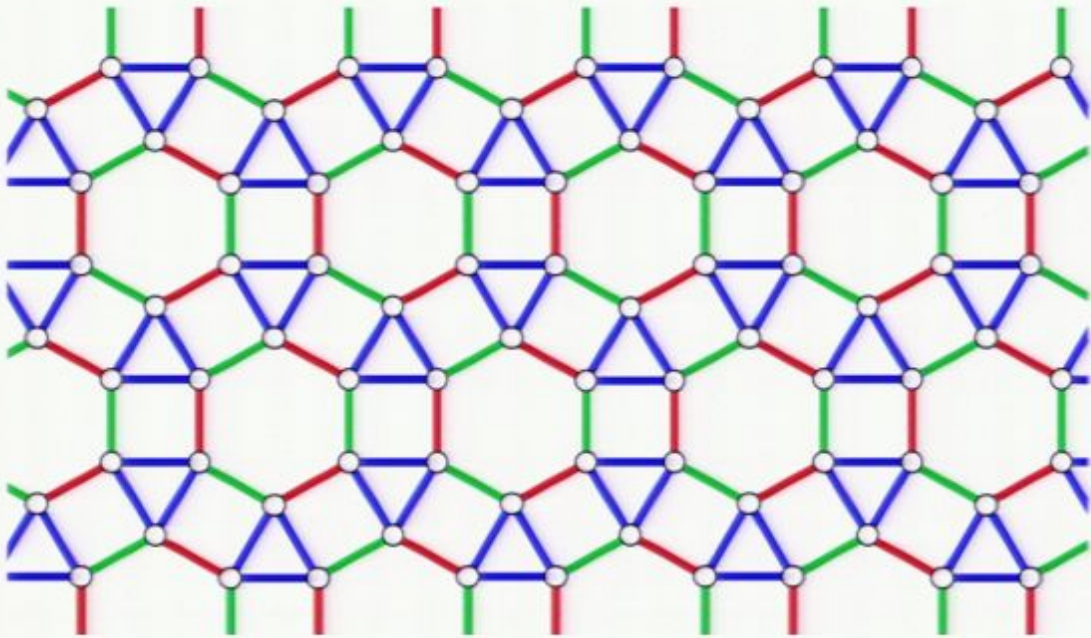


- Can we get something similar for color codes?**

The model

Hamiltonian

- It is a **2-body spin-1/2** model in a 'ruby' lattice.
- We place one spin per **vertex**.
- **Links** come in 3 **colors**, each color representing a different interaction.



$$H = - \sum_{\langle i,j \rangle} J_w \sigma_i^w \sigma_j^w$$

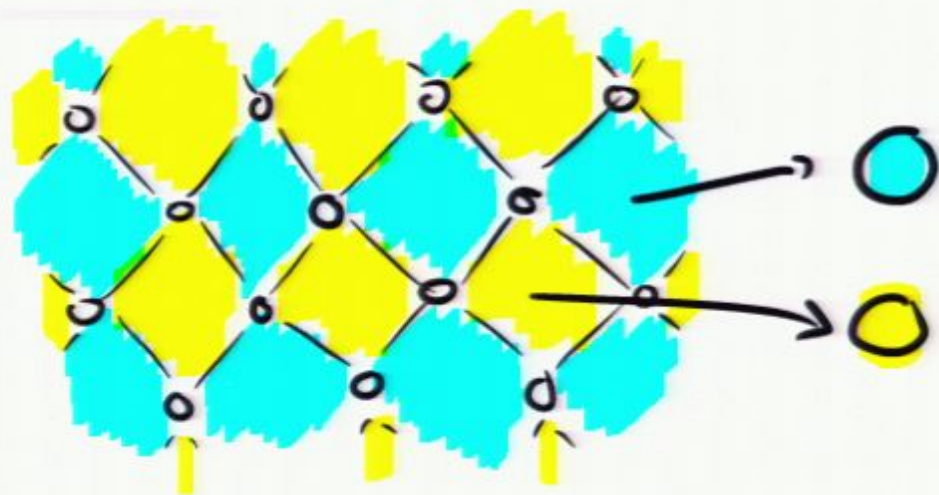
$$w = \begin{cases} x, & \text{red links} \\ y, & \text{green links} \\ z, & \text{blue links} \end{cases}$$

Hamiltonian

- For a suitable coupling regime, this model gives rise to an effective color code model.
- **New features**, not present in honeycomb-like models:
 - **Exact topological degeneracy** in all coupling regimes (4^g for genus g)
 - **String-net integrals of motion.**
 - Emergence of **3 families of strongly interacting fermions** with **semionic** mutual statistics => **Not (?) exactly solvable** (4-valence!).
 - **$\mathbb{Z}_2 \times \mathbb{Z}_2$ gauge symmetry.** Each family of fermions sees a different \mathbb{Z}_2 gauge subgroup.

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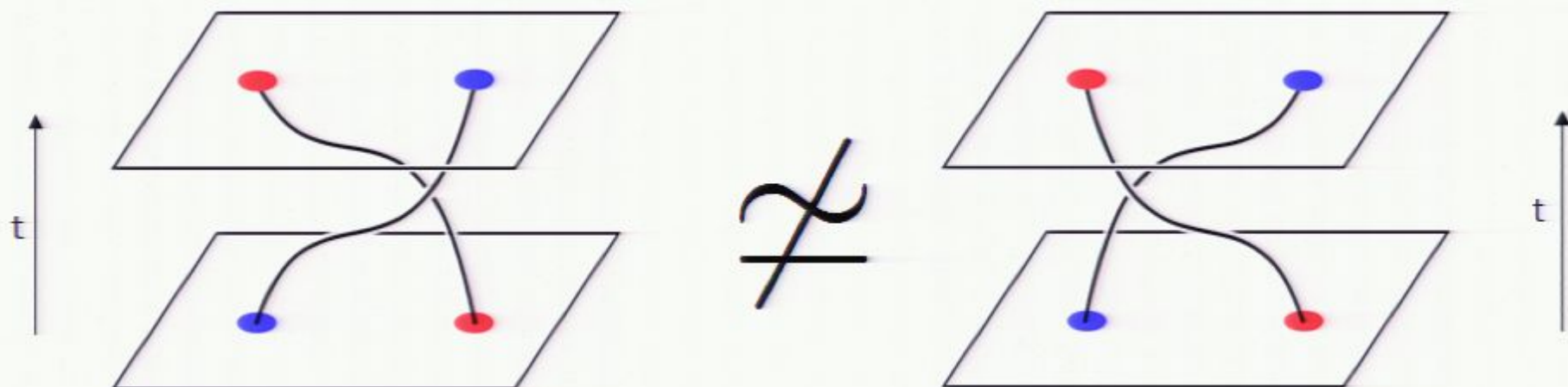
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- Exchanging twice a pair of particles is a topologically trivial operation.
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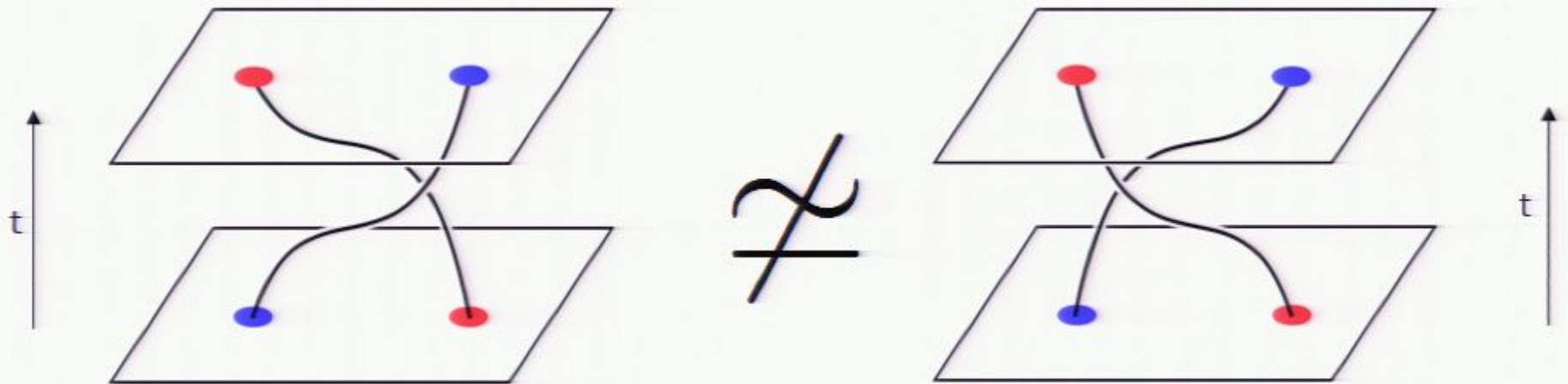


- When the difference is just a phase, the anyons are **abelian**:

The equation is:
$$\left| \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right\rangle = \phi(\text{blue dot}, \text{red dot}) \left| \begin{array}{c} \text{Diagram 2} \\ \text{Diagram 1} \end{array} \right\rangle$$
 where the diagrams are the same as in the previous diagram. The phase factor ϕ is shown with a blue dot and a red dot as arguments.

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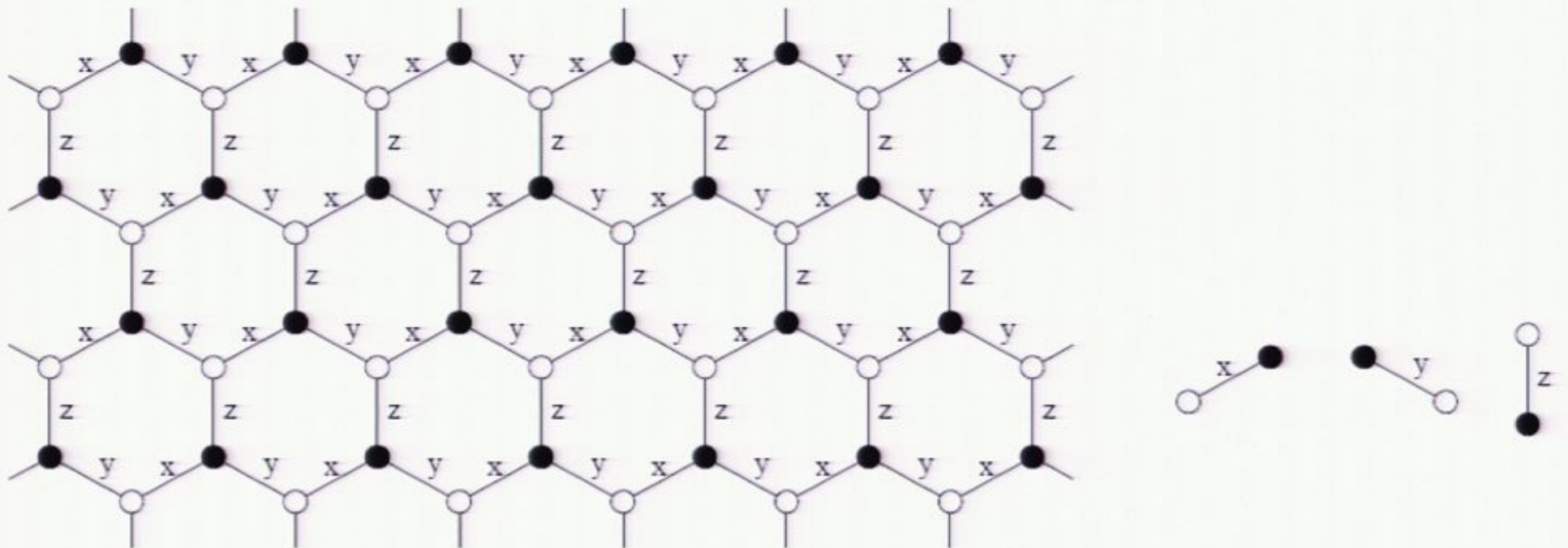
- When the difference is just a phase, the anyons are **abelian**:

$$| \text{Braid} \rangle = \phi(\bullet, \bullet) | \text{Braid} \rangle$$

The equation shows a braid of two particles (one blue, one red) on two time slices, followed by an equals sign, a phase factor ϕ with a blue dot and a red dot as arguments, and then the original braid state.

Kitaev's honeycomb model

- A **2-body spin-1/2** model in a honeycomb lattice. 1 spin per vertex. 3-colored links.



$$H = -J_x \sum_{x\text{-links}} \sigma_j^x \sigma_k^x - J_y \sum_{y\text{-links}} \sigma_j^y \sigma_k^y - J_z \sum_{z\text{-links}} \sigma_j^z \sigma_k^z,$$

Hamiltonian

- For a suitable coupling regime, this model gives rise to an effective color code model.
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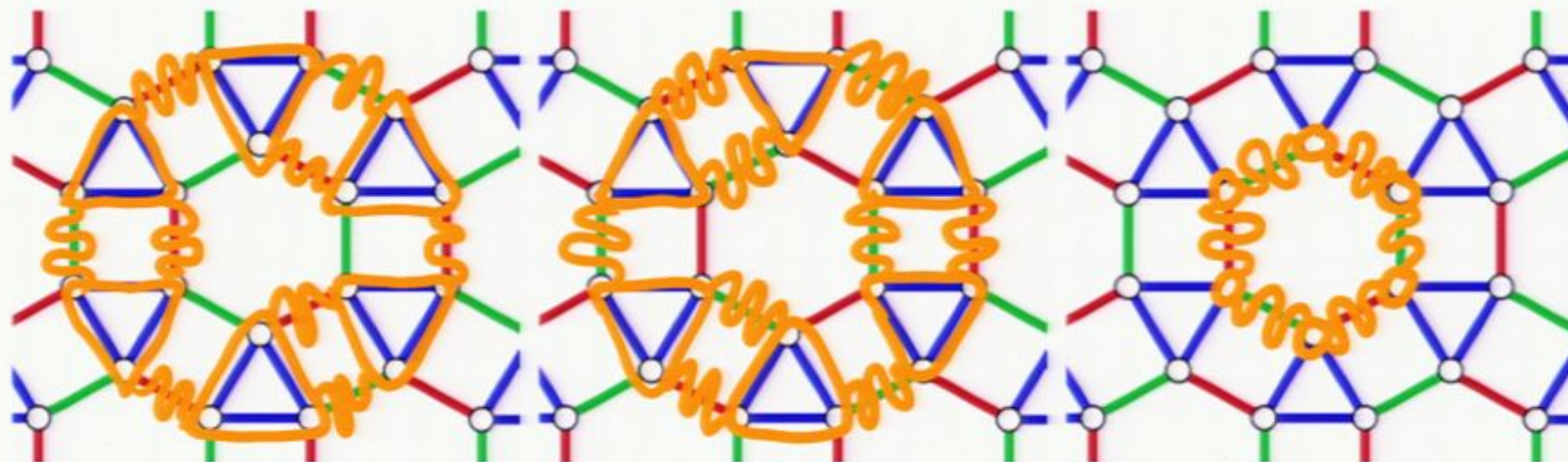
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Integrals of motion

- We can construct **integrals of motion** (IOM) from drawings that translate into products of Pauli operators. Locally:



- There exist **2** independent IOM per **plaquette**: this is the $Z_2 \times Z_2$ local symmetry.



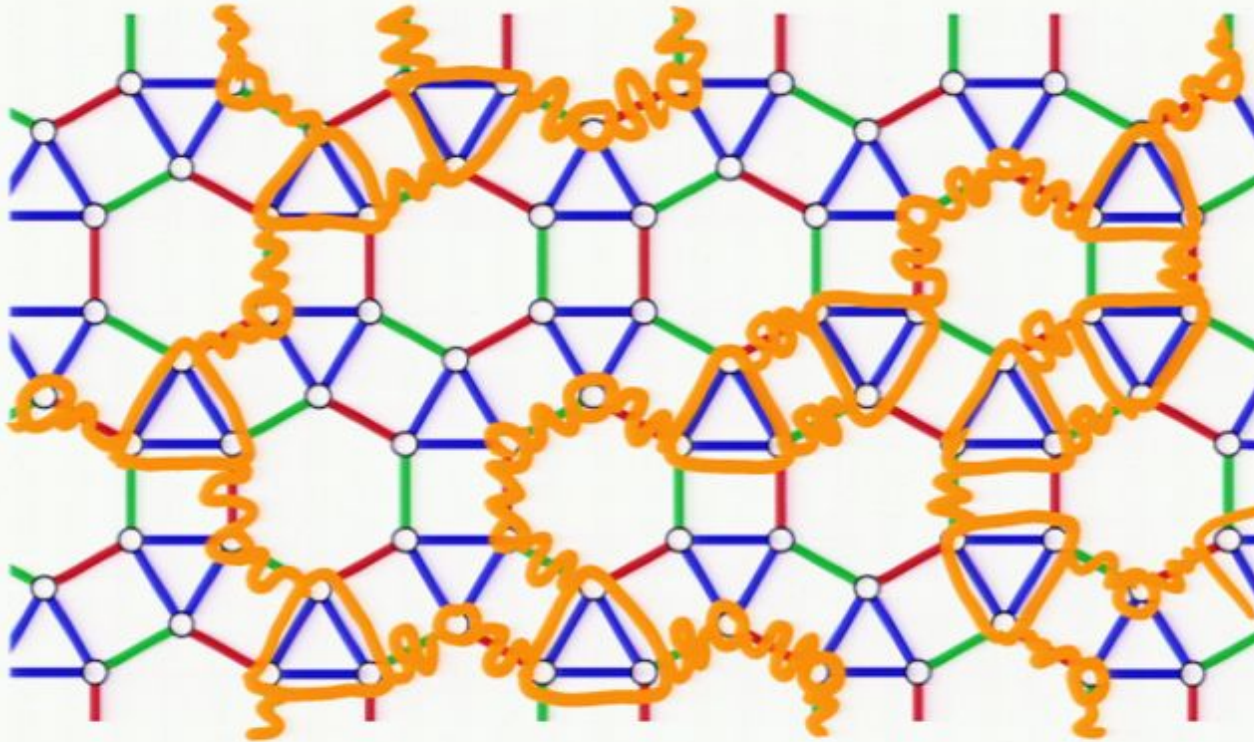
A

B

C = -AB

String-net IOM

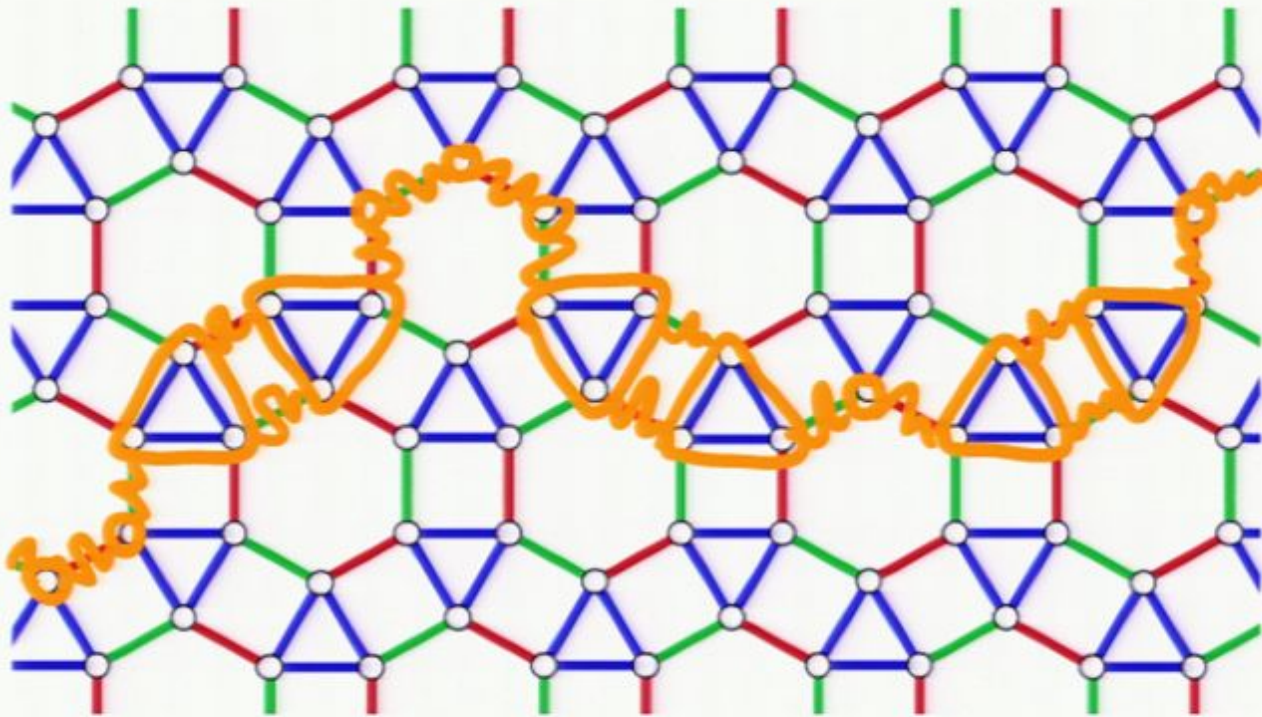
- More generally, we have **string-net** IOM.



- When they are defined on a **simply connected** piece of lattice they are products of plaquette operators.
- More generally, they can be **topologically non-trivial** and independent of plaquette operators.

String IOM

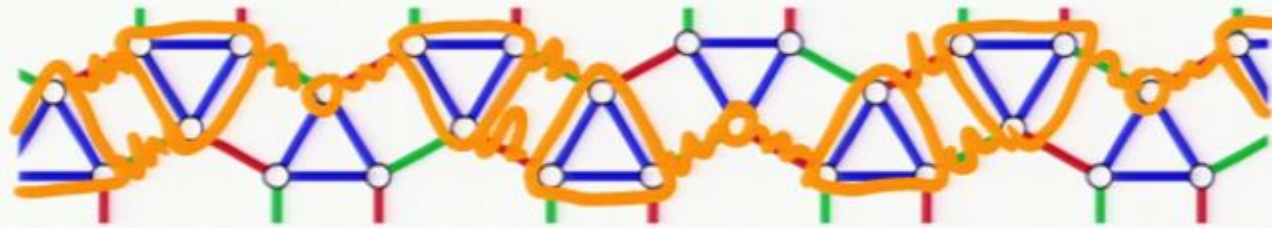
- As a special case we have **string** IOM:



- String IOM are **easier** to analyze.
- String-net IOM are **products** of string IOM.

String IOM

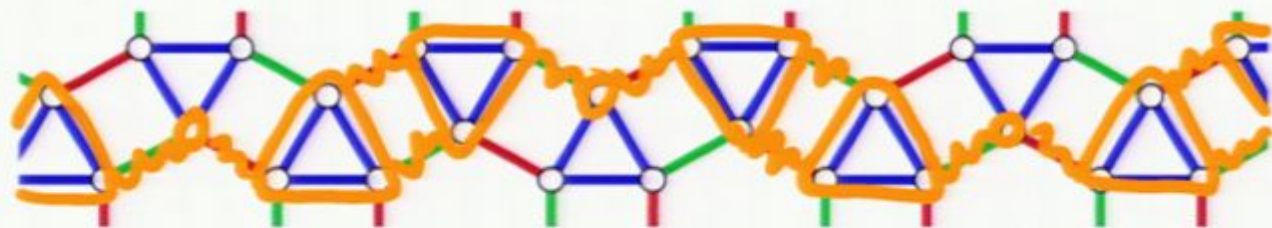
- For a given path, there exist **3 different** string IOM:



A



B

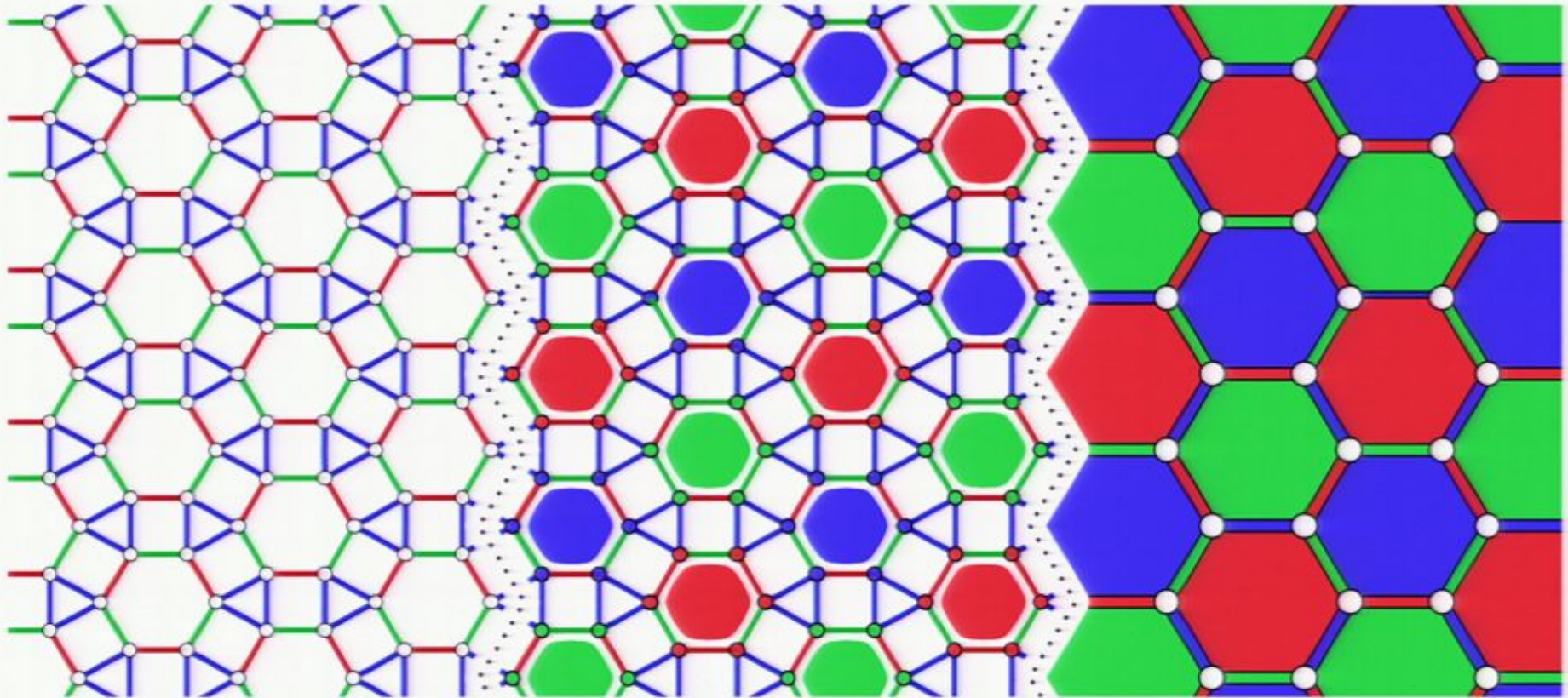


$C \propto AB$

- Only **two** of them are **independent**, as in plaquettes.
- To distinguish properly the three types we have to color the lattice.
- Strings are then **red, green or blue**.

Underlying 2-colex

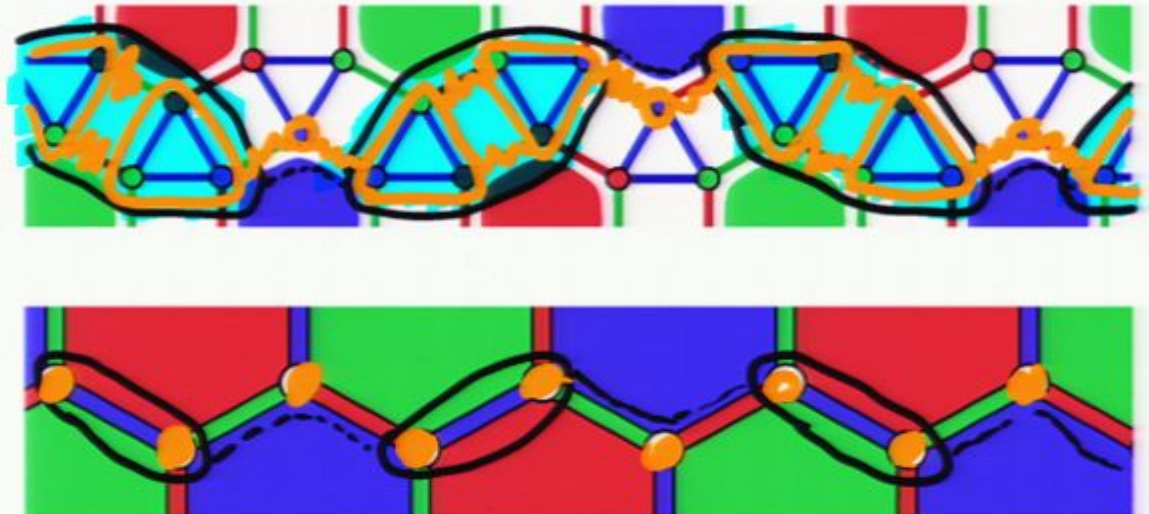
- The **hexagons and vertices** of the model are **3-colorable**:



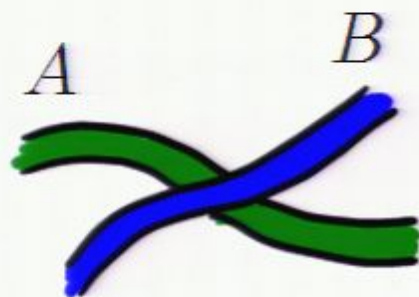
- If we regard **blue triangles** as the **sites** of a new lattice, we get a honeycomb.
- The model could be defined for **any other 2-colex**.

String IOM

- A **blue** string is composed of blue links:



- String IOM of different color that cross once **anticommute**:

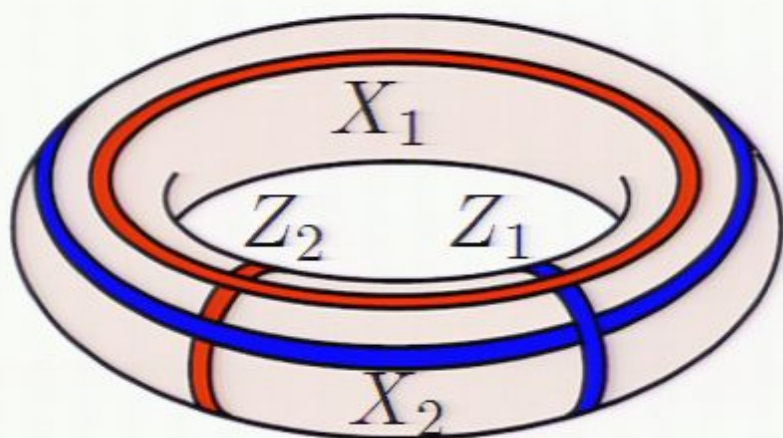


$$\{A, B\} = 0$$

- This feature is not available in honeycomb-like models.

String IOM

- In a torus, we can choose 4 independent string IOM that form the algebra of Pauli operators on 2 qubits.

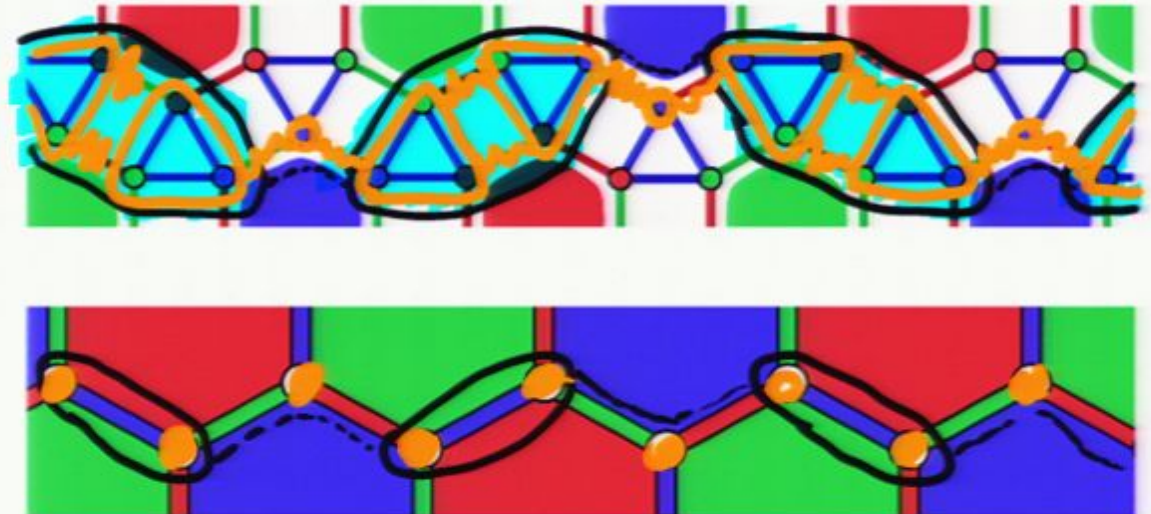


$$\begin{aligned} [Z_1, Z_2] &= 0 & Z_1^2 &= X_1^2 = 1 \\ [X_1, X_2] &= 0 & \\ [Z_1, X_2] &= 0 & \{Z_1, X_1\} &= 0 \\ [Z_2, X_1] &= 0 & \{Z_2, X_2\} &= 0 \end{aligned}$$

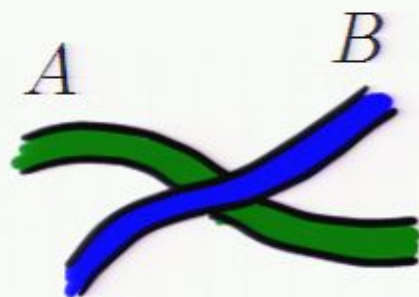
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- More generally, in a surface of genus g we find a **4^g -fold topological degeneracy**.

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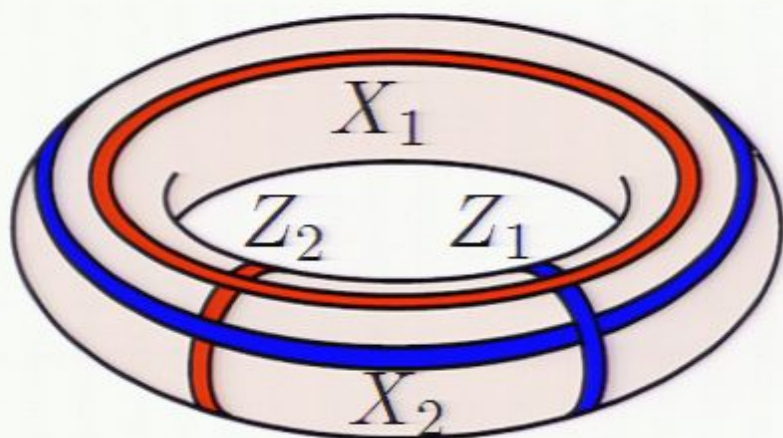


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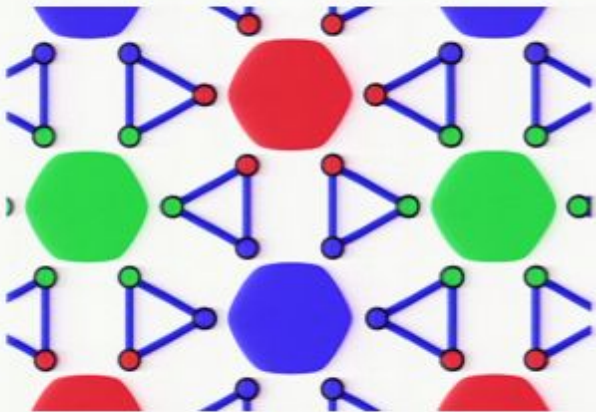
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Bosonic mapping

Bosonic mapping

- We are specially interested in a particular regime: $J_x, J_y, J_z > 0$, $J_x, J_y \ll J_z$
- We fix $J_z = 1/4$ and consider the extreme case $J_x = J_y = 0$



Energy	$-3/4$	$+1/4$	$+1/4$	$+1/4$
Configuration				
quasiparticle	0			

- This suggests an *exact mapping*: we substitute each triangle of spins by a **site**, formed by a **hardcore boson** and an **effective spin-1/2 system**.

$$|\uparrow, 0\rangle = |\uparrow\uparrow\uparrow\rangle, \quad |\downarrow, 0\rangle = |\downarrow\downarrow\downarrow\rangle,$$

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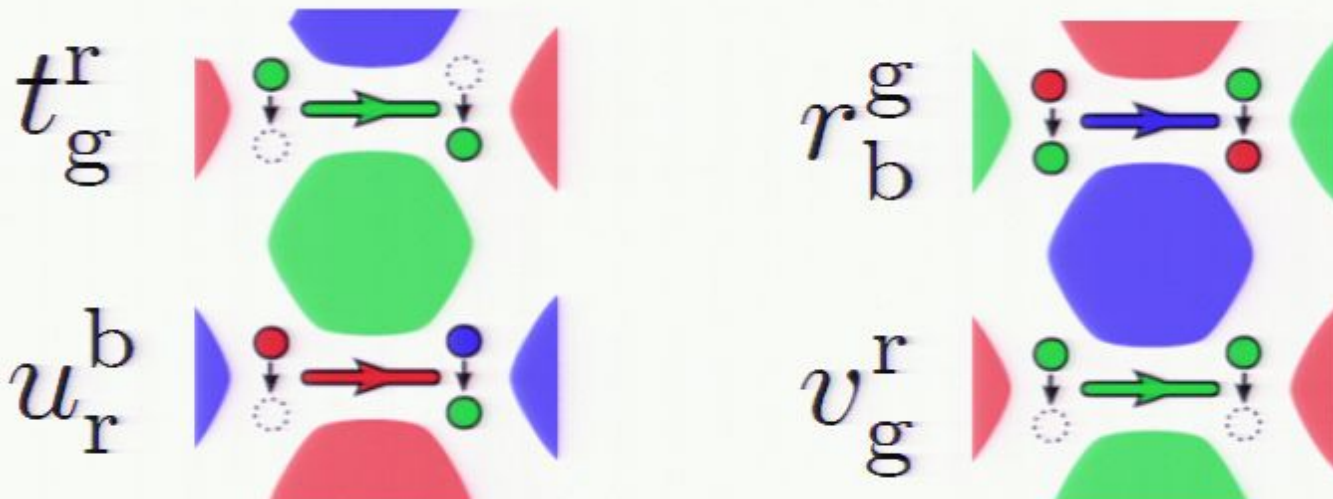
Bosonic mapping

- The resulting **Hamiltonian** takes the form:

$$H = -3N/4 + Q - \sum_{\Lambda} \sum_{c \neq c'} J_{c'|c} \left(t_c^{c'} + u_c^{c'} + \frac{r_c^{c'} + v_c^{c'} + \text{h.c.}}{2} \right),$$

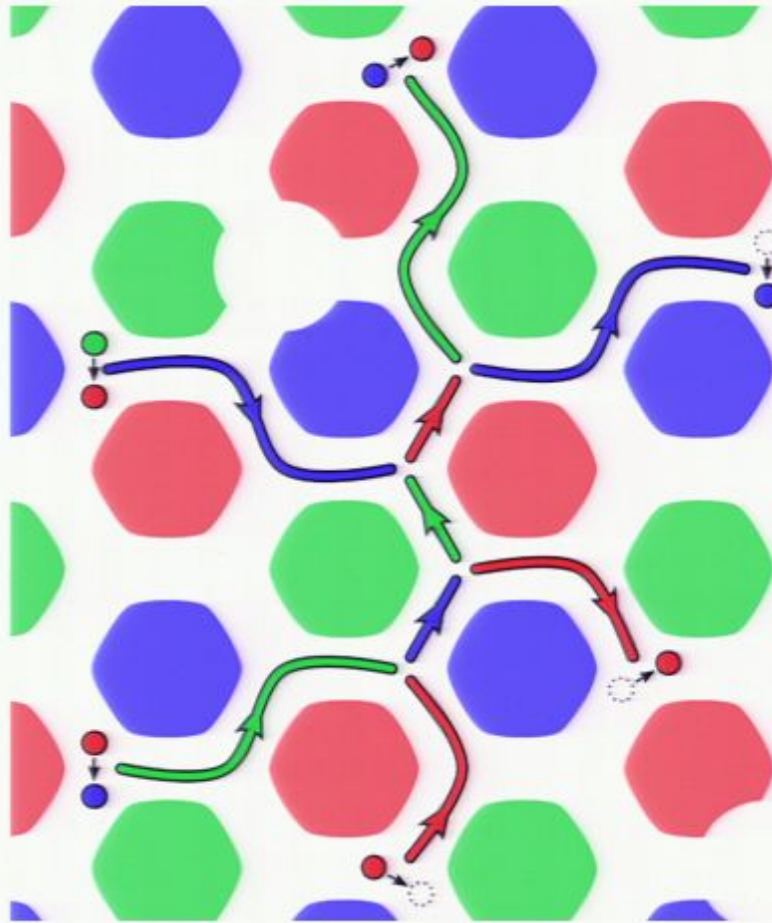
where N is the number of sites, Q counts the number of hard-core bosons, c and c' are colors and $r|g = g|b = b|r = y$, $r|b = g|r = b|g = x$.

- The t, u, r, v terms involve both effective spin and hard-core boson degrees of freedom.
- On the **hard-core boson** part, these are **hopping, fusion, color switching and pair annihilation terms**:



Interaction

- A typical **interaction process**, as viewed in the 2-colex lattice:



- The excitations interact through a 3-particle vertex.
- It is an **strong** interaction: the coupling for hopping and fusion terms is the same.

Color charge and vortices

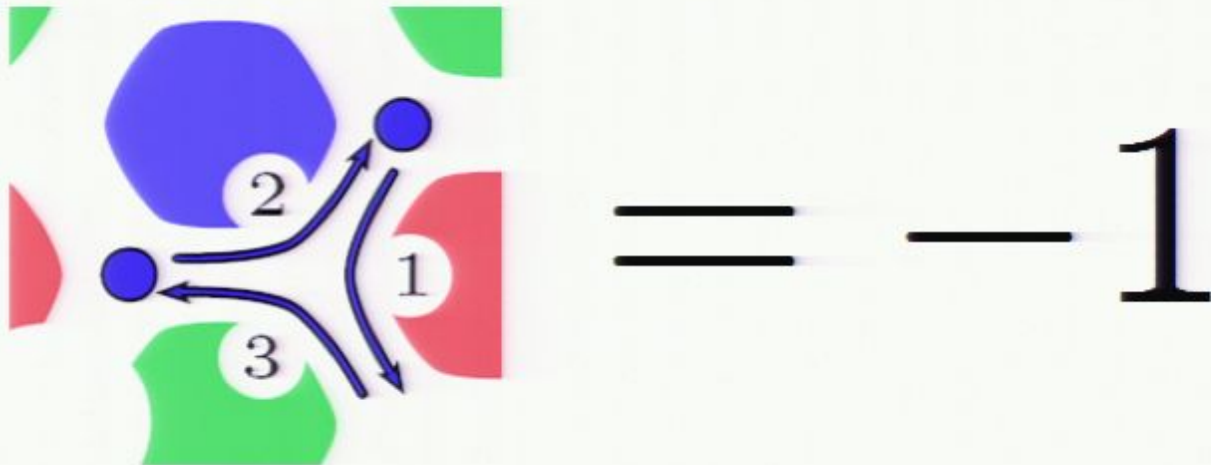
- The **color** of hardcore bosons can be regarded as a $\mathbf{Z}_2 \times \mathbf{Z}_2$ **charge** setting

$$\mathbf{Z}_2 \times \mathbf{Z}_2 = \{e, r, g, b\}$$

- The Hamiltonian **preserves** the **total color charge** (e.g., fusion = $\mathbf{Z}_2 \times \mathbf{Z}_2$ product)
- The total color charge **constrains** the configurations of the plaquette IOM, which we will regard as **vortex degrees of freedom**.
- Once vortex degrees of freedom (and global fluxes) are **fixed**, we can forget effective spin degrees of freedom and label the states in each sector with **hardcore-boson occupancies**.
- **Which** are the quasiparticles **emerging** from hardcore-boson degrees of freedom?

Statistics

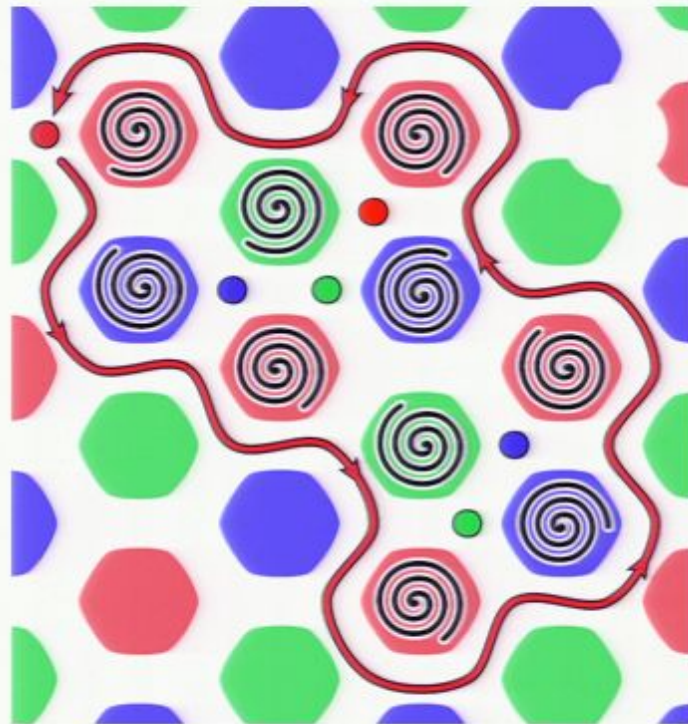
- Following Levin & Wen, we can recover their statistics from the **hopping terms**:



- It turns out that they are **fermions**. There are 3 families, distinguished by color charge.
- Due to the existence of the 3-fermion vertex, they **must be anyons**.
- Also, they must be subject to a **non-trivial gauge field**.

Interacting anyonic fermions

- Following Levin & Wen again, we recover both aspects by **hopping fermions around a region**:



- The resulting phase **implies** two separate things:
 - Each family of fermions is subject to a different Z_2 **gauge field**, given by vortices.
 - Fermions with different color charge have **semionic mutual statistics**.

Effective color code

- We now focus on the regime:

$$J_z = \frac{1}{4}, \quad J_x, J_y > 0, \quad J_x, J_y \ll J_z$$

- In this regime, the anyonic fermions are **high-energy** excitations.
- Inspired by the work of J. Vidal *et al.* in the context of Kitaev's honeycomb model, we choose the **PCUTs** approach (Perturbative Continuous Unitary Transformations).
- At a given perturbative order, it produces an **effective Hamiltonian** such that

$$[H_{\text{eff}}, Q] = 0$$

- We are specially interested in the **low-energy $Q=0$ sector**, where high-energy excitations are not present.
- In this sector, only **effective spin** degrees of freedom are relevant.

Effective color code

- Up to a constant, the **effective $Q=0$ Hamiltonian** at 9th perturbative order is:

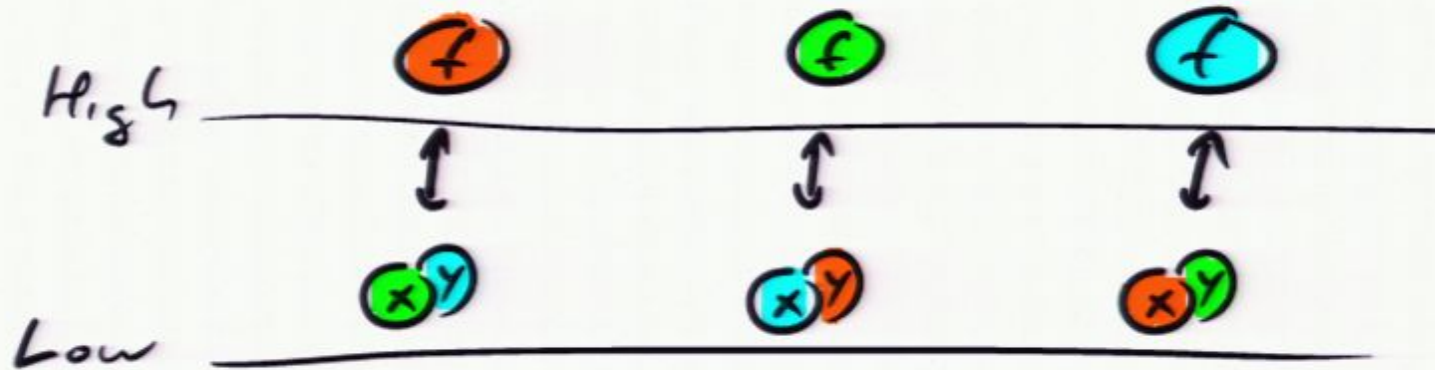
$$H_{\text{eff}} = - \sum_p \left(k_x B_p^x + k_y B_p^y + k_z B_p^x B_p^y \right)$$

$$k_z = \frac{3}{8} |J_x J_y|^3 + O(J^7), \quad \frac{k_x}{|J_y|^3} = \frac{k_y}{|J_x|^3} = \frac{55489}{13824} |J_x J_y|^3$$

- This is a **color code** in the honeycomb lattice of effective spins!
- The **GS is the vortex free** sector.
- Excitations are vortices**. They are **gapped** and **localized** at plaquettes.
- Higher order terms are products of vortex operators. This gives rise to short-range **vortex interactions**.

Topological charges

- We can **locally transform** high-energy excitations into low-energy ones.
- This **attaches a charge** from the low energy sector to high-energy fermions:



- Similarly, we may check which kind of **charges** are created at the **open ends** of the string-net IOM:

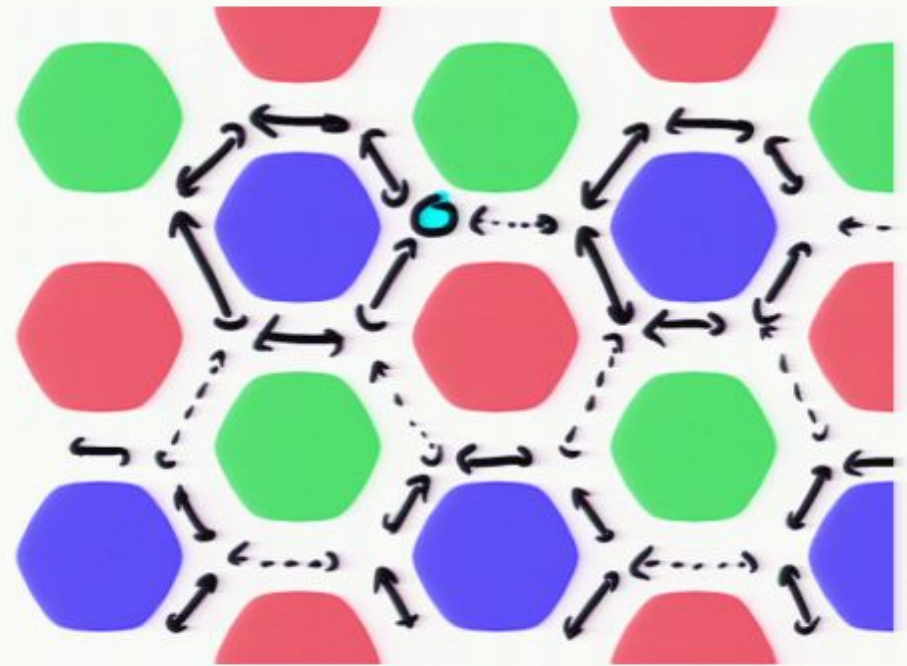


- They form the other family of fermions, so that they are **invisible** to moving high-energy fermions!

The 1-particle sector

- We can use the PCUTs method to study high-energy sectors.
- The **1-particle sector** is simple to analyze since there are no interactions:

- At **first** order, fermions only **jump around plaquettes** of their color.
- At **second** order they can **jump** from one orbit to another, in a **triangular lattice**:



- So the main contribution to the **gap** comes from the **orbital motion**.
- As the perturbative **couplings grow**, the **gap closes** till a phase transition occurs.
- This may be some form of **anyon condensation**.

Future work and conclusions

Future work

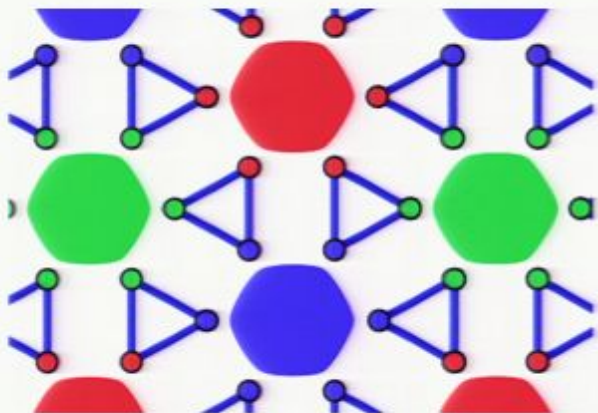
- We have only studied a **particular phase** of the system.
- The fact that all phases show a topological degeneracy anticipates a **rich phase diagram**.
- In this regard, one may **explicitly brake** the **color symmetry** that the model exhibits and still keep the features that we have discussed.
- It would be particularly interesting to check whether any of the phases displays **non-abelian anyons**.
- It seems that the model is **not solvable**, so that numerical calculations will be needed.

Conclusions

- We have introduced a **two-body spin-1/2 model** in a ruby lattice.
- The model exhibits an **exact topological degeneracy** in all coupling regimes.
- Using a bosonic mapping, we have discussed the **emergence of strongly interacting anyonic fermions**.
- A particular coupling regime gives rise to an **effective color code** model.

Bosonic mapping

- We are specially interested in a particular regime: $J_x, J_y, J_z > 0$, $J_x, J_y \ll J_z$
- We fix $J_z = 1/4$ and consider the extreme case $J_x = J_y = 0$



Energy	$-3/4$	$+1/4$	$+1/4$	$+1/4$
Configuration				
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- This suggests an *exact mapping*: we substitute each triangle of spins by a **site**, formed by a **hardcore boson** and an **effective spin-1/2 system**.

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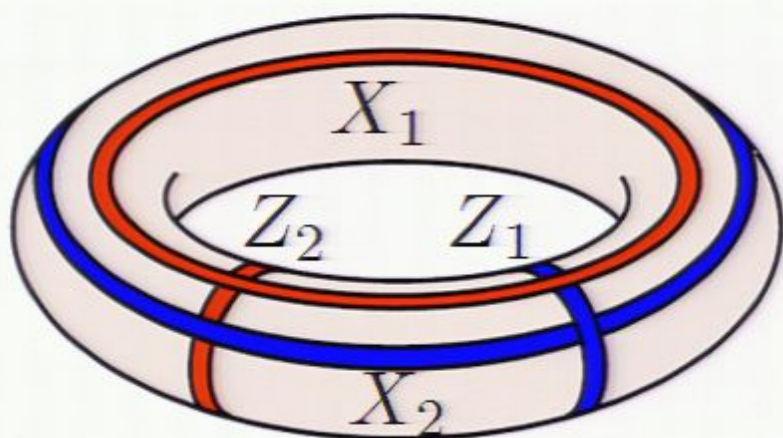
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String IOM

- In a torus, we can choose 4 independent string IOM that form the algebra of Pauli operators on 2 qubits.



$$\begin{aligned} [Z_1, Z_2] &= 0 & Z_1^2 &= X_1^2 = 1 \\ [X_1, X_2] &= 0 & \\ [Z_1, X_2] &= 0 & \{Z_1, X_1\} &= 0 \\ [Z_2, X_1] &= 0 & \{Z_2, X_2\} &= 0 \end{aligned}$$

- This implies an **exact 4-fold degeneracy**.
- More generally, in a surface of genus g we find a **4^g -fold topological degeneracy**.

Effective color code

- We now focus on the regime:

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- In this regime, the anyonic fermions are **high-energy** excitations.
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