

Title: SUSY breaking and gauge mediation in G-Theory GUTs

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Abstract: TBA

# SUSY breaking and gauge mediation in F-theory GUTs

J. Marsano

California Institute of Technology

November 4, 2008

[arXiv:0808.1286](https://arxiv.org/abs/0808.1286)

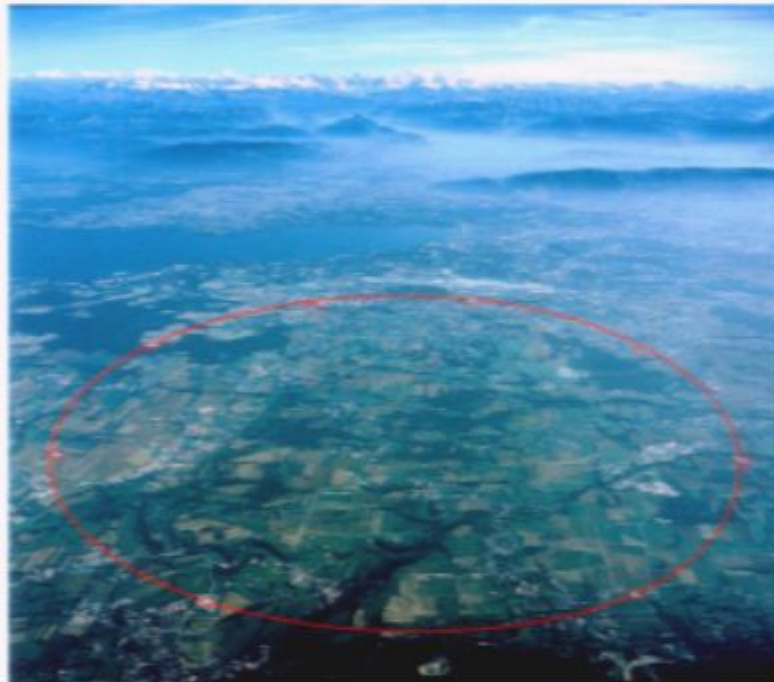
with J. Heckman, N. Saulina, S. Schäfer-Nameki, C. Vafa

[arXiv:0808.1571](https://arxiv.org/abs/0808.1571), [arXiv:0808.2450](https://arxiv.org/abs/0808.2450)

with N. Saulina, S. Schäfer-Nameki

# The LHC is Here

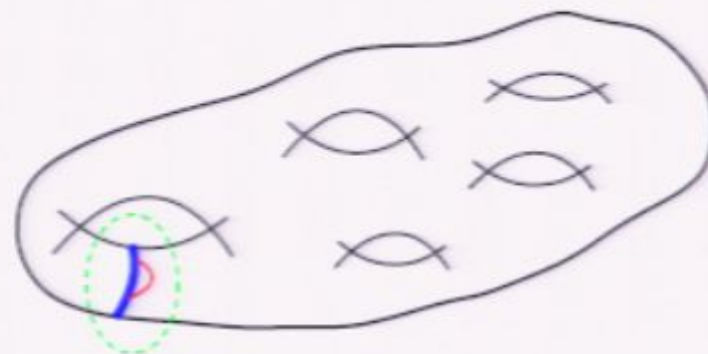
- The LHC era has finally arrived!
- We hope to learn many things about physics beyond the standard model
  - What explains the hierarchy?
    - (if anything?)
  - What comprises dark matter?
  - Will grand unification survive?
  - ...



As string theorists, what can we learn from the LHC?

## Bottom-up String Phenomenology

- The LHC will primarily teach us about particle physics
- In string theory, we typically get gauge groups from **D-branes** and charged particles from **open strings** which end on them

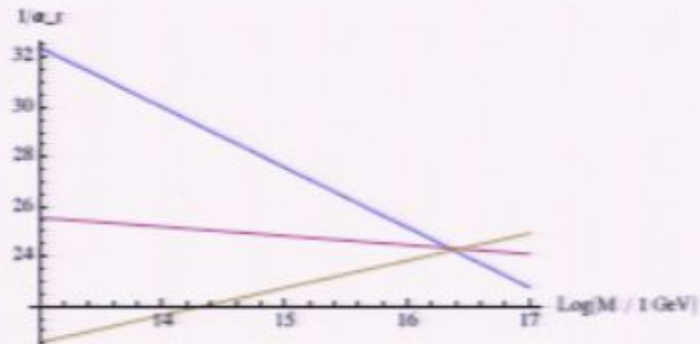


- At low energies, the open strings can only explore nearby regions of the geometry
  - ⇒ The LHC will provide data which, in a sense, encodes information about the **local geometry** of our string vacuum
- We can try to incorporate this information by building **local models**
  - Can serve as starting points for constructing realistic string vacua
  - A **bottom-up** approach to string phenomenology

Aldazabal, Ibanez, Quevedo, Uranga  
 Gray, He, Jejjala, Nelson  
 Verlinde, Wijnholt

## Help from the LHC

- The LHC can help string theory meet particle physics because of the promise of **low-energy SUSY**
  - Easier to reliably engineer SUSY theories in string theory than non-SUSY ones
- Why might we expect low-energy SUSY?
  - Address hierarchy problem
  - Provides a dark matter candidate
  - Unification
    - Important clue for BSM physics



Optimistic scenario: SUSY GUT with TeV scale SUSY-breaking

Even more optimistic for local models: Gauge Mediation

# Engineering SUSY GUTs in String Theory

- Obstacles to building SUSY GUTs with D-branes in Type IIA/B
  - $E_n$  GUT – tough to engineer exceptional gauge groups
  - $SO(10)$  GUT – tough to engineer the **16**
  - $SU(5)$  GUT – D-brane realization extra gauged  $U(1) \subset U(5)$ 
    - Forbids  $\mathbf{10}_M \times \mathbf{10}_M \times \mathbf{5}_H$  coupling  
(though it can be generated nonperturbatively)
- Can overcome these using techniques of **geometric engineering**

Katz, Vafa

- $M$ -theory on  $G_2$  manifolds

Acharya, Bobkov, Kane, Kumar, Shao, Vaman, Watson

- Difficult because not much is known about  $G_2$  manifolds
- $F$ -theory on Calabi-Yau fourfolds
  - Holomorphy leads to powerful techniques for determining spectrum and couplings

Donagi, Wijnholt

Beasley, Heckman, Vafa

# Outline

Review of F-Theory GUTs

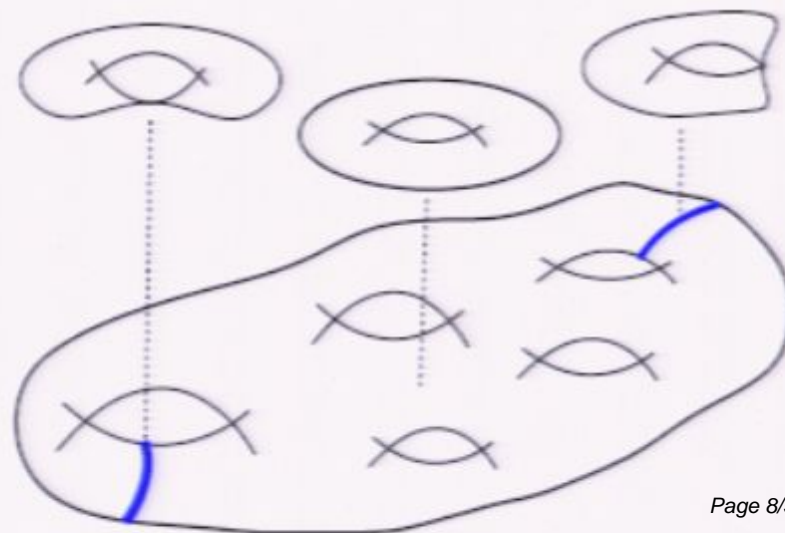
Generating Scales with Instantons

Gauge-Mediated SUSY Breaking

# What is $F$ -Theory?

Vafa

- $F$ -theory is a framework for studying IIB compactifications with varying axio-dilaton  $\tau$
- Can be used to describe collections of mutually **non-perturbative** type IIB 7-branes
  - D7-brane –  $(1,0)$  strings can end
  - Generic 7-brane –  $(p, q)$  strings can end
- Interpret  $\tau$  as **modulus** of an elliptic fiber
  - Loci where fiber degenerates  
↔ locations of 7-branes
  - Monodromies of  $\tau$   
↔ types of 7-brane





# Geometric Engineering in $F$ -Theory

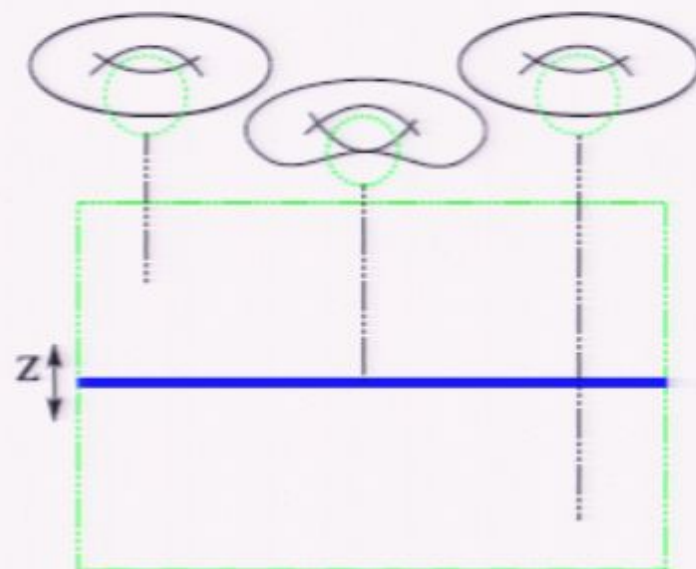
Katz, Vafa  
Bershadsky, Intriligator, Kachru, Morrison, Sadv, Vafa

- Fiber degenerations described locally by ADE singularities
  - Singularity type  $\rightarrow$  gauge group on the 7-brane

- $SU(N)$  singularity

$$x^2 + y^2 + z^N = 0$$

- $\rightarrow$  describes  $N$  D7-branes at  $z = 0$
- $\rightarrow$  Gauge group is  $SU(N)$



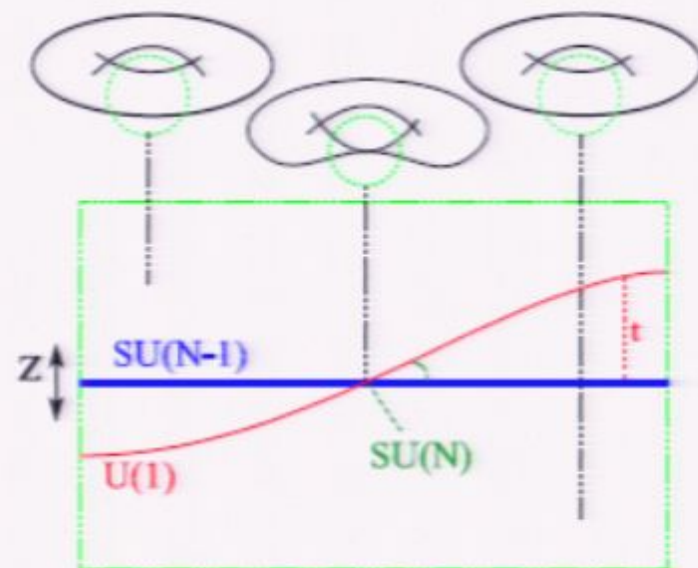
## Geometric Engineering in $F$ -Theory II

- Deformations of singularity  $\leftrightarrow$  Generators of Cartan subalgebra
  - Deformation parameters  $\leftrightarrow$  adjoint vevs

- Ex: Deformed  $SU(N)$  singularity

$$x^2 + y^2 + (z + t)z^{N-1} = 0$$

- Nonzero  $t$  "rotates" one D7-brane away from the rest
- Bifundamental matter localized at the intersection where singularity type is enhanced



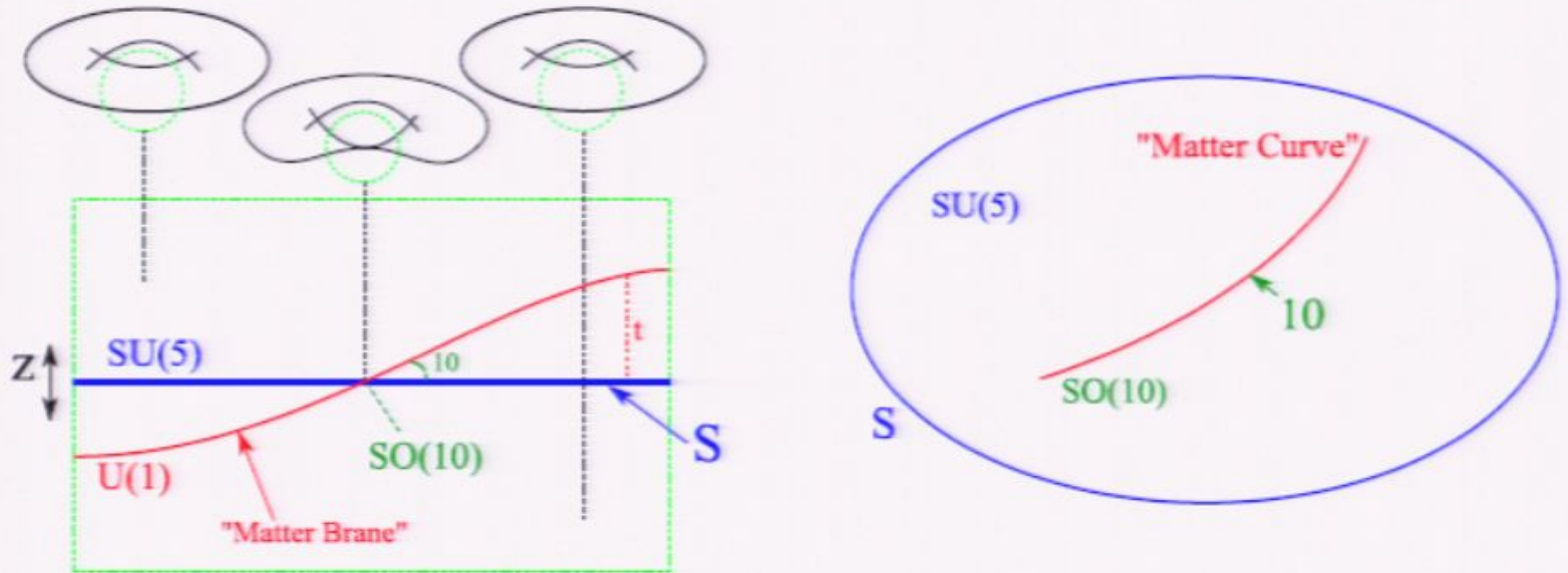
Bifundamental reps determined by group theory

$$\text{Adj}_{SU(N)} \rightarrow \text{Adj}_{SU(N-1)} \oplus \text{Adj}_{U(1)} \oplus \left( [N-1]_{-N} + \overline{[N-1]}_N \right)$$

Number determined by  $n_R = h^0(\Sigma, K_\Sigma^{1/2} \otimes V_R)$

# Geometric Engineering in *F*-Theory III

Example: Engineering a **10** of *SU*(5):



$$SO(10) \rightarrow SU(5) \times U(1)$$

$$45 \rightarrow 24_0 \oplus 1_0 \oplus (10_4 \oplus \bar{10}_{-4})$$

# Spectrum and Superpotential Couplings

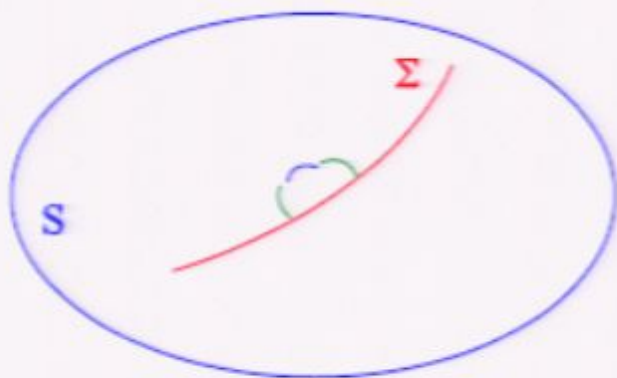
- Determined by studying 7-brane worldvolume theory

Beasley, Heckman, Vafa

- Fixed by SUSY
- Spectrum can include both **adjoint** and **bifundamental** modes
- Superpotential couplings constrained by  $U(1)$ 's

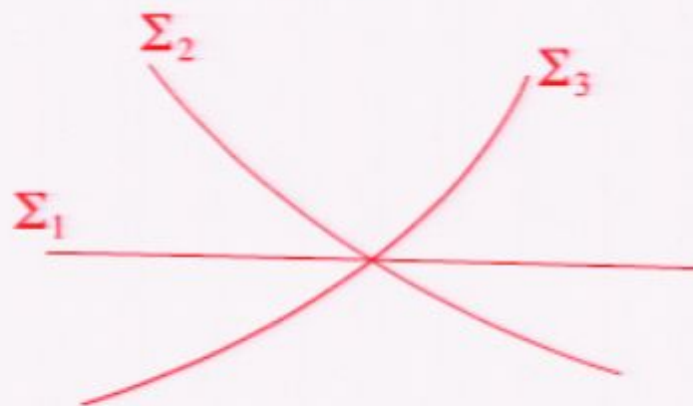
- **Adj**  $\times$  **Bif**  $\times$  **Bif**

- **Bifundamentals** from a single **matter curve**



- **Bif**  $\times$  **Bif**  $\times$  **Bif**

- Triple intersection of three **matter curves**



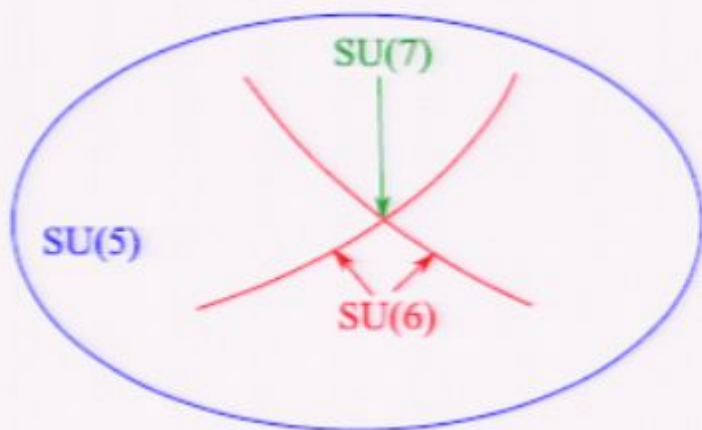
## Couplings from triple intersections – A-type

- Example:  $SU(5)$  enhanced to  $SU(7)$

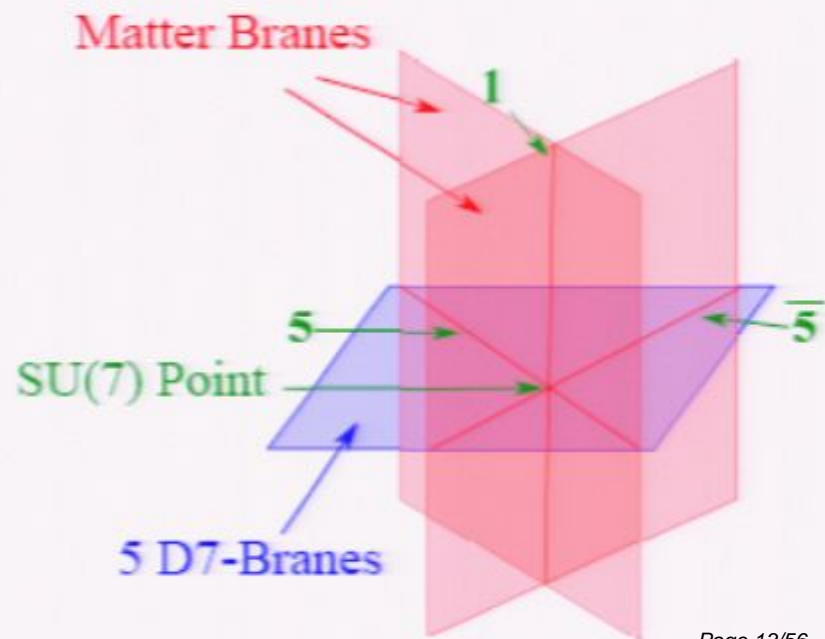
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Coupling of form  $5 \times \bar{5} \times 1$



# Spectrum and Superpotential Couplings

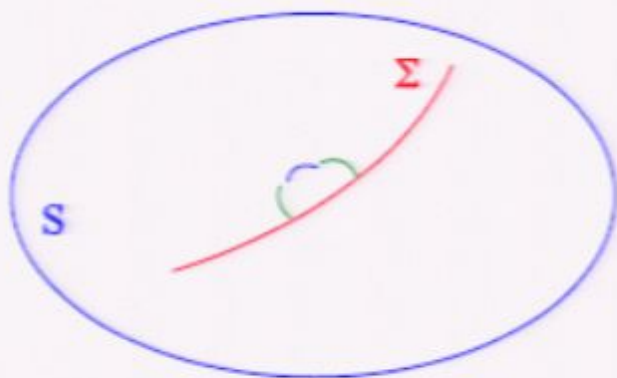
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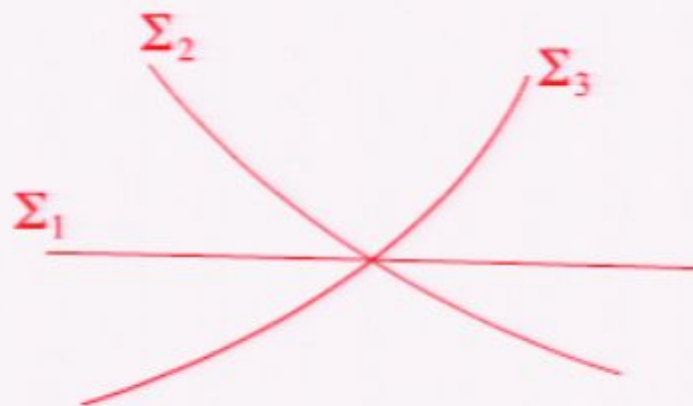
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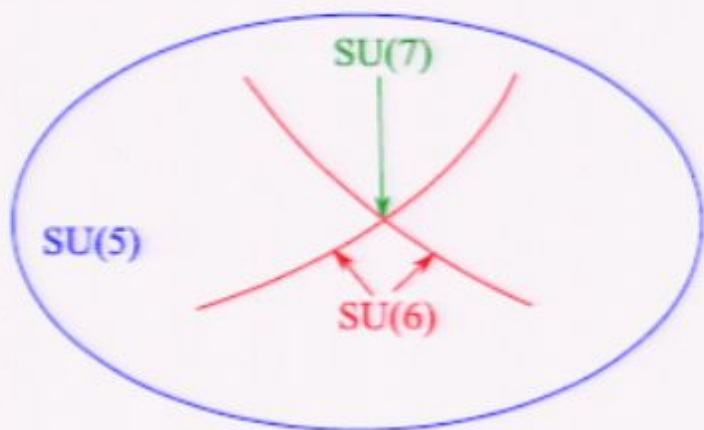
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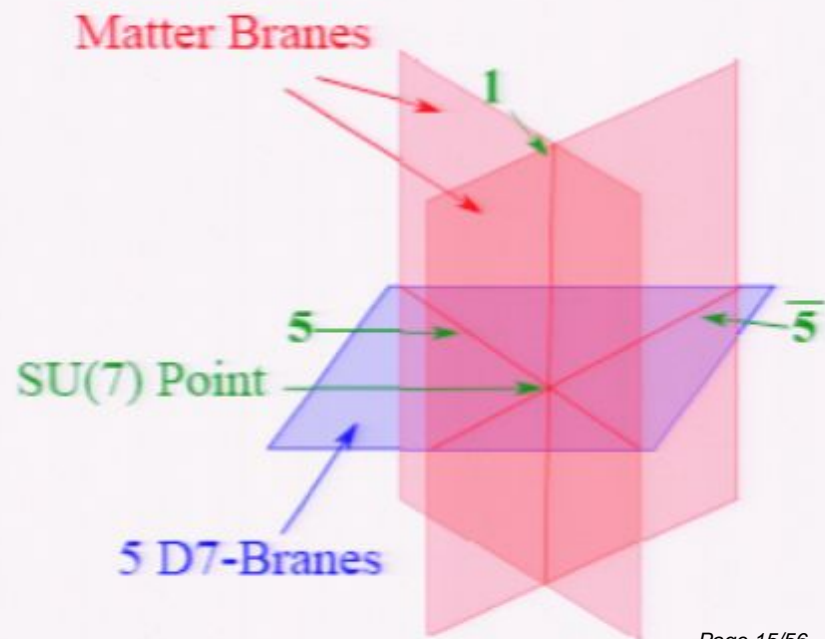
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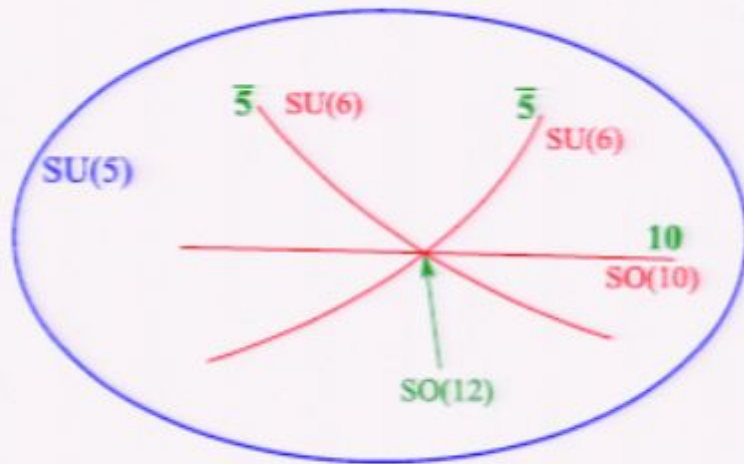


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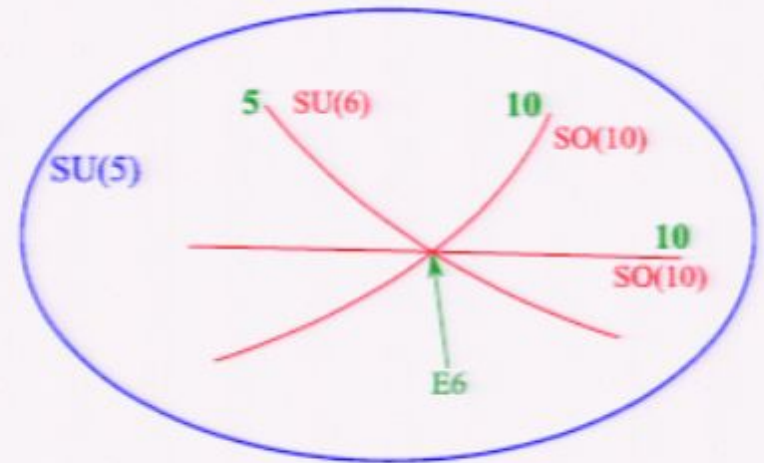
# Couplings from triple intersections – $D$ - and $E$ -type

- Example  $SU(5) \rightarrow SO(12)$



Coupling of form  $10 \times \bar{5} \times \bar{5}$

- Example:  $SU(5) \rightarrow E_6$



Coupling of form  $10 \times 10 \times 5$

$$SO(12) \rightarrow SU(5) \times U(1) \times U(1)$$

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# Engineering SUSY GUTs in $F$ -Theory

Beasley, Heckman, Vafa

- GUT branes wrap del Pezzo surface  $S$
- WV fluxes can remove all exotic matter  $\rightarrow SU(5)$  GUTs  
 $\rightarrow$  No **Adjoints** – all matter from **matter curves**
- Matter content and superpotential couplings:

$$\Phi_{10} \sim \left\{ \begin{array}{l} Q \sim (\mathbf{3}, \mathbf{2})_{+1/6} \\ U^c \sim (\bar{\mathbf{3}}, \mathbf{1})_{-2/3} \\ E^c \sim (\mathbf{1}, \mathbf{1})_{+1} \end{array} \right\} \quad \Phi_5 \sim \left\{ \begin{array}{l} D^c \sim (\bar{\mathbf{3}}, \mathbf{1})_{+1/3} \\ L \sim (\mathbf{1}, \mathbf{2})_{-1/2} \end{array} \right\}$$

$$H \sim \left\{ \begin{array}{l} H_u \sim (\mathbf{1}, \mathbf{2})_{+1/2} \\ \overline{H}_u^{(3)} \sim (\mathbf{3}, \mathbf{1})_{-1/3} \end{array} \right\} \quad \overline{H} \sim \left\{ \begin{array}{l} H_d \sim (\mathbf{1}, \mathbf{2})_{-1/2} \\ \overline{H}_d^{(3)} \sim (\bar{\mathbf{3}}, \mathbf{1})_{+1/3} \end{array} \right\}$$

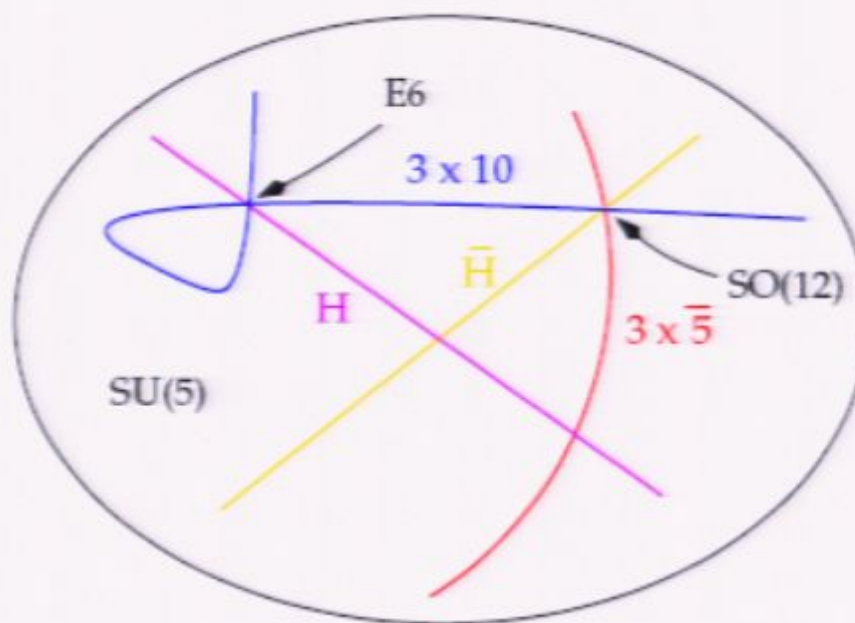
$$W \supset \Phi_{10} \Phi_{10} H, \quad \Phi_{10} \Phi_5 \overline{H}$$

# BHV $SU(5)$ GUT

- Using these tools **Beasley, Heckman, and Vafa** have successfully engineered local  $SU(5)$  GUT models with **no exotic matter**

arXiv:0806.0102

- Some phenomenological issues addressed
  - Doublet-triplet splitting
  - GUT mass relations
  - Yukawa textures



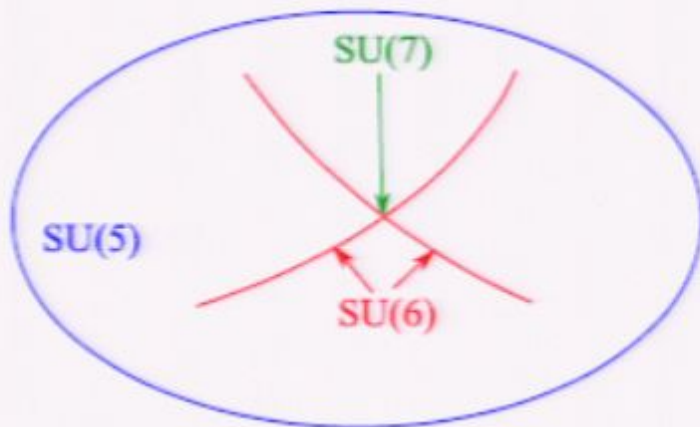
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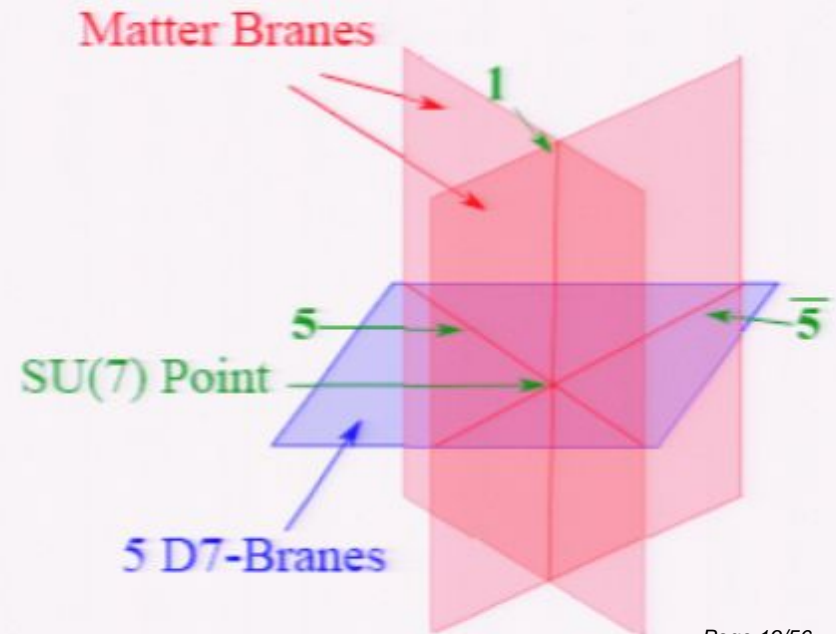
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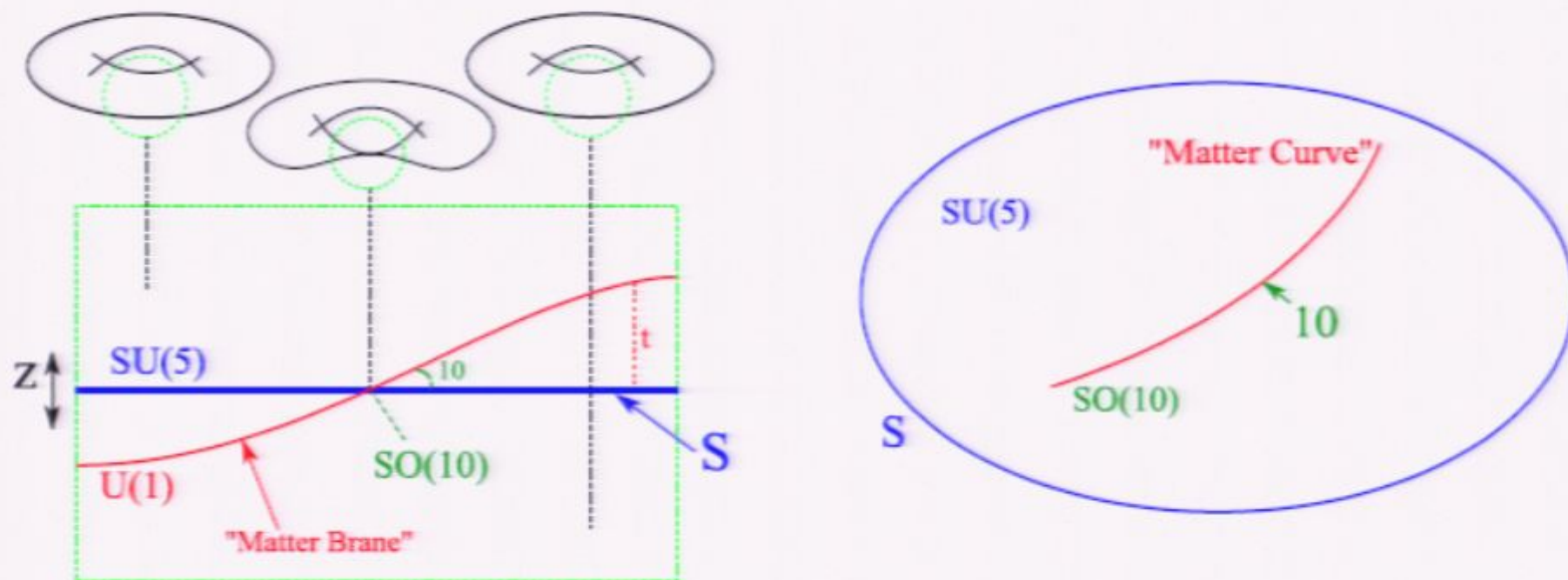


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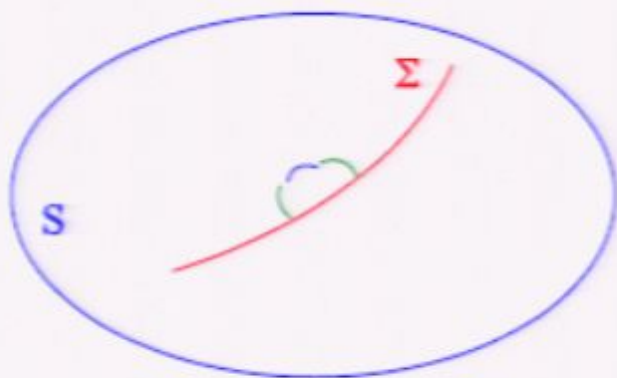
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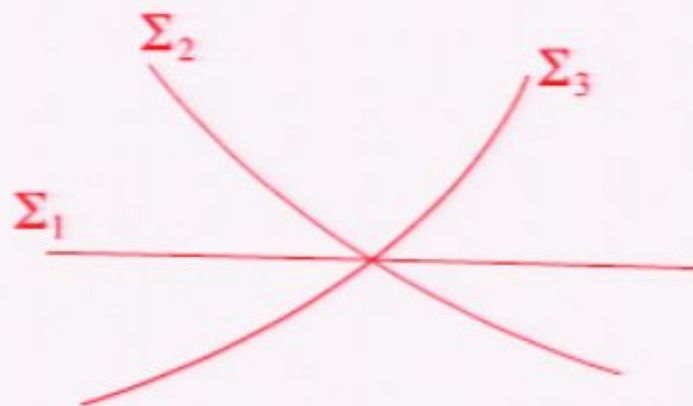
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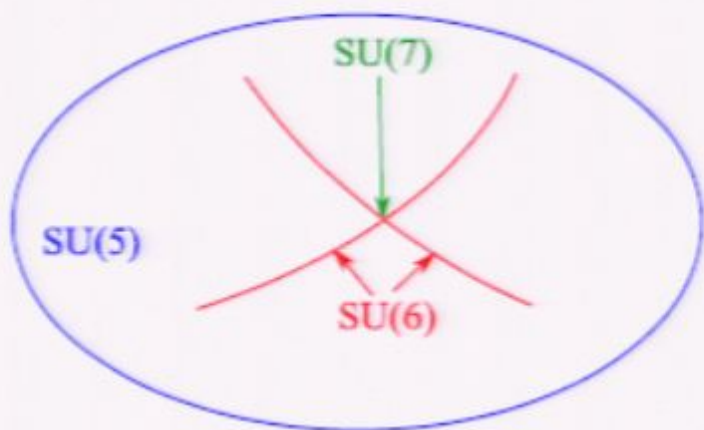
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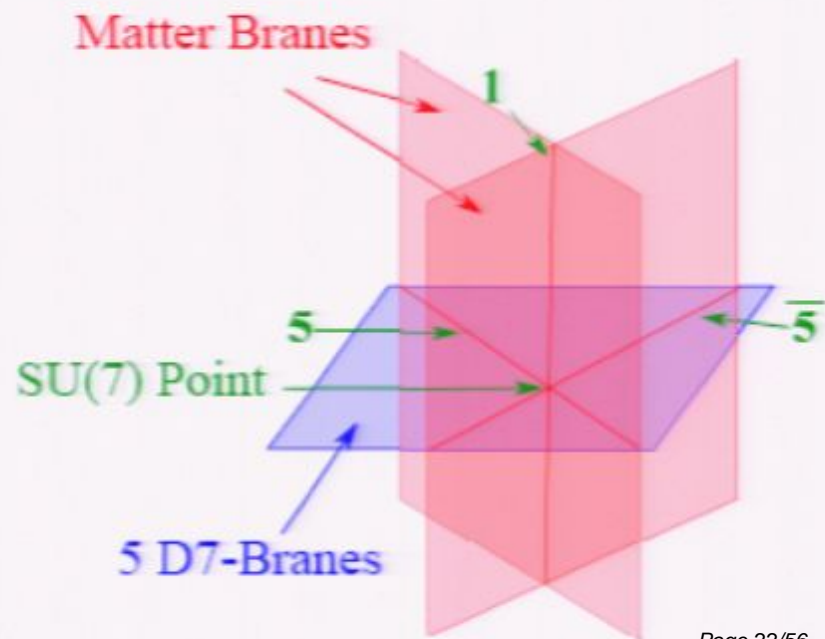
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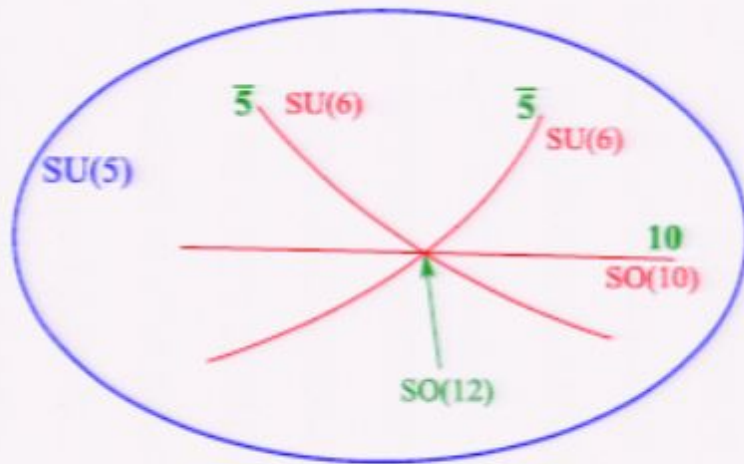


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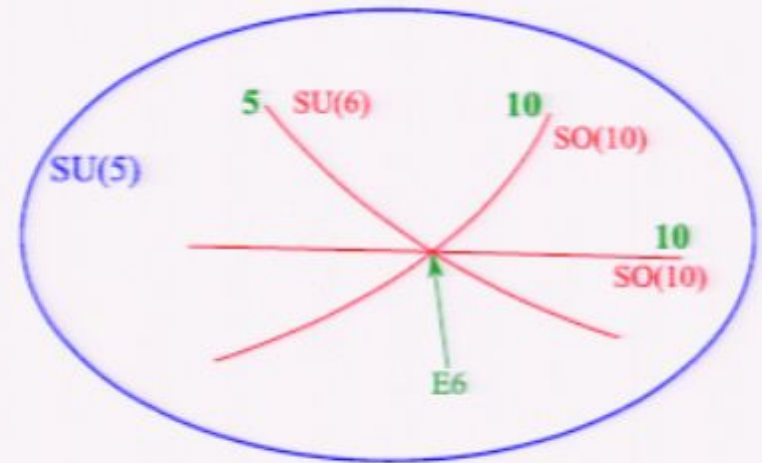
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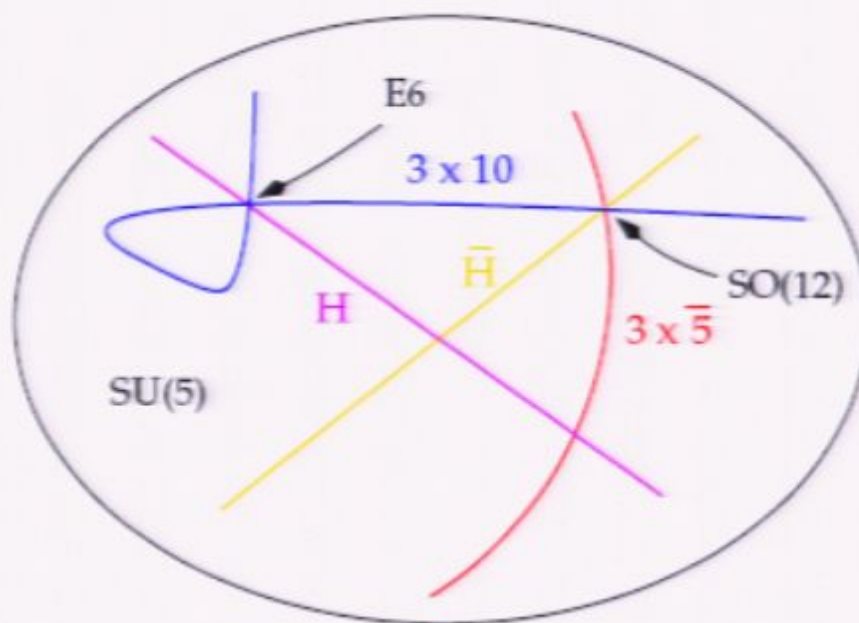
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- Using these tools [Beasley, Heckman, and Vafa](#) have successfully engineered local  $SU(5)$  GUT models with **no exotic matter**

arXiv:0806.0102

- Some phenomenological issues addressed
  - Doublet-triplet splitting
  - GUT mass relations
  - Yukawa textures





# GUT breaking and doublet-triplet splitting

Beasley, Heckman, Vafa  
Donagi, Wijnholt

- Break the GUT group by with  $U(1)_Y$  bundle,  $\mathcal{L}_Y$ 
  - Breaks  $SU(5) \rightarrow SU(3) \times SU(2) \times U(1)_Y$
- Must require that  $U(1)_Y$  gauge boson remains massless
  - Topological condition on compactification

Buican, Malyshev, Morrison, Verlinde, Wijnholt

- Effects particle content through restriction of  $\mathcal{L}_Y$  to **matter curves**
  - Require  $\mathcal{L}_Y$  to restrict trivially to  $\Phi_{10}$  and  $\Phi_{\bar{5}}$  matter curves
  - Require  $\mathcal{L}_Y$  to restrict **nontrivially** to  $H$  and  $\bar{H}$  matter curves

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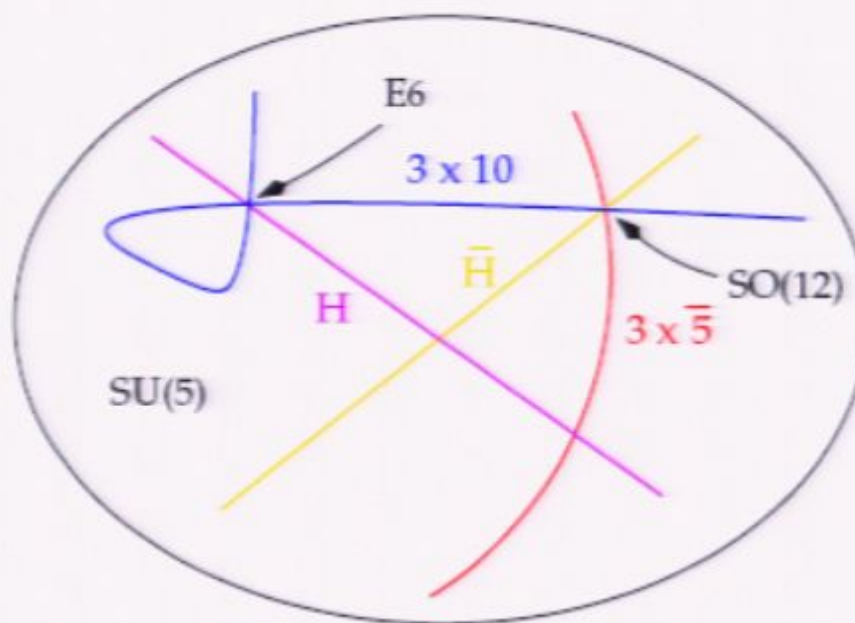

$$n_R = h^0(\Sigma, K_\Sigma^{1/2} \otimes V_R) \quad V_R \sim \mathcal{L}_Y^{Q_Y}|_\Sigma \otimes V_\Sigma^{-1}$$

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# Dynamical Scales for SUSY GUTs

Any SUSY GUT Model requires several new scales

- SUSY-breaking

Buchbinder

- Soft masses  $m_{1/2}, m_s^2$
- Gravitino mass  $m_{3/2}$

Will focus on simple gauge mediated models

$$\langle X \rangle = M_{\text{Mess}} + \theta^2 F_X$$

$$\frac{F_X}{M_{\text{Mess}}} \sim 10^3 \text{ GeV} \text{ and } \frac{F_X}{M_{\text{Pl}}} < \sim 10 \text{ GeV}$$

- $\mu$  Parameter

$$W \supset \mu H_u H_d + \dots$$

Need  $\mu \sim 10^2 - 10^3 \text{ GeV}$

- Mass scale for neutrino sector

- eg Right-handed neutrino masses

$$W \supset M_{N_R} N_R^2$$

- Need  $M_{N_R} \sim 10^{12} \text{ GeV}$

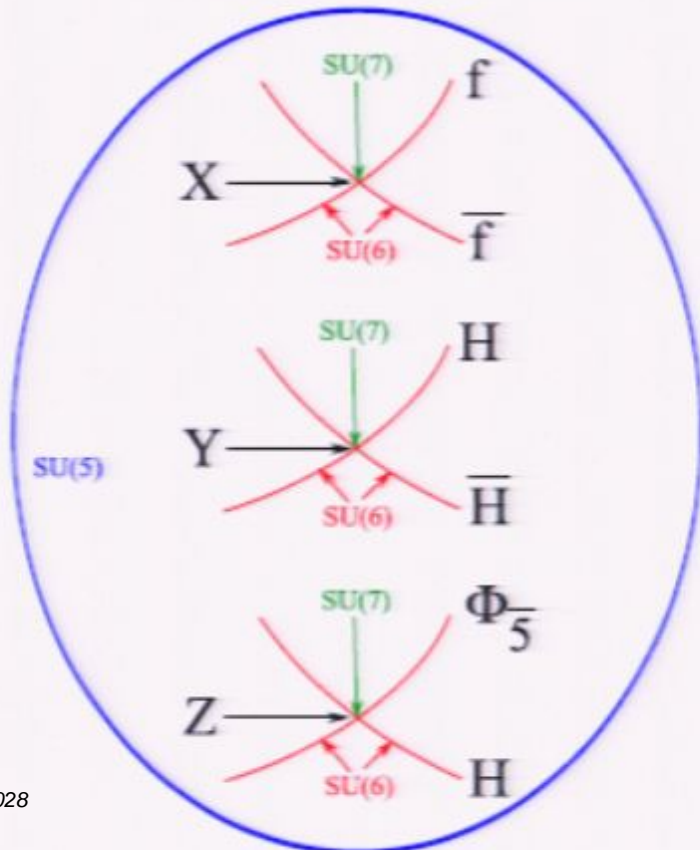
# Adding dimensionful parameters to $F$ -theory GUTs

- All necessary scales can be introduced at  $SU(7)$  points

Beasley, Heckman, Vafa

→ pair of intersecting D7-branes!

- Engineers coupling between GUT fields and a singlet:  $\mathbf{5} \times \bar{\mathbf{5}} \times \mathbf{1}$

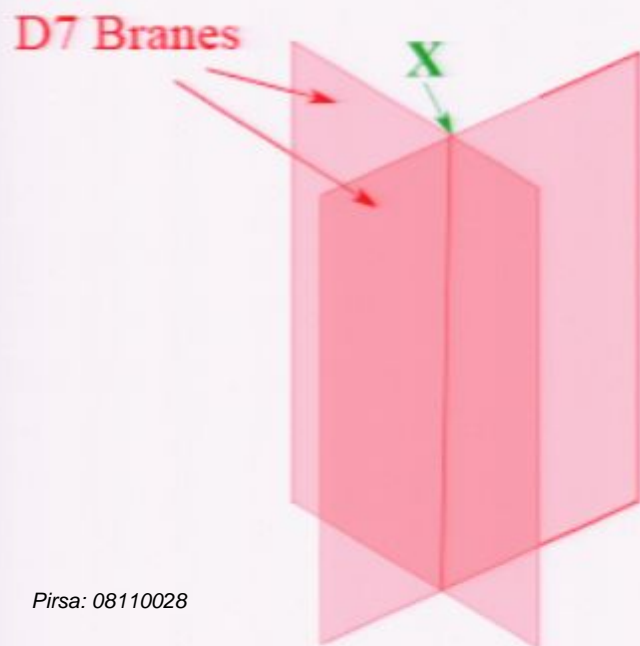


- Case 1:  $\mathbf{5}$  and  $\bar{\mathbf{5}}$  are new fields  $f, \bar{f}$   
 $W \sim Xf\bar{f}$  – Ordinary Gauge Mediation  
 Further need  $\langle X \rangle \sim M_{\text{Mess}} + \theta^2 F_X$
- Case 2:  $\mathbf{5}$  and  $\bar{\mathbf{5}}$  are Higgs multiplets  $H, \bar{H}$   
 $W \sim YH\bar{H}$  –  $\mu$  Term  
 Further need  $\langle Y \rangle \sim \mu \neq 0$
- Case 3:  $\mathbf{5}$  is  $H$  and  $\bar{\mathbf{5}}$  is  $\Phi_{\bar{\mathbf{5}}}$   
 $W \sim ZH\Phi_{\bar{\mathbf{5}}}$  –  $Z$  is right-handed neutrino  
 Further need  $W \sim M_{N_R} Z^2$

# Generating Small Scales with Instantons

Florea, Kachru, McGreevy, Saulina  
Heckman, JM, Saulina, Schäfer-Nameki, Vafa

- Sufficient to study pair of intersecting D7-branes
  - Charged field  $X$  localized at intersection
  - Need to generate small scales for SUSY-breaking, expectation values, mass terms, etc
  - Natural mechanism: D3-instantons!



- To determine if a D3-instanton can generate superpotential couplings we must study the structure of 3-3 zero modes

## D3-Instantons and 3-3 Zero Modes

- Generic D3-instanton has 4 "universal" 3-3 fermi zero modes:

$$\theta_\alpha, \mu_{\dot{\alpha}}, \quad \alpha, \dot{\alpha} = 1, 2$$

- Goldstinos associated to 4  $Q$ 's preserved by background and broken by instanton
- In general, D3-instanton contributes  $\int d^2\theta d^2\mu \dots$ 
  - If  $\mu_{\dot{\alpha}}$  are lifted or  $\int d^2\mu$  is saturated then instanton contributes superpotential coupling  $\int d^2\theta \dots$
- No extra 3-3 fermi zero modes if the D3-instanton wraps a rigid cycle

## D3-Instantons "bound" to D7's

Billo, Frau, Fucito, Lerda, Liccardo, Pesando  
Akerblom, Blumenhagen, Lust, Plauschinn, Schmidt-Sommerfeld

- Expect  $\mu_{\dot{\alpha}}$  "lifted" when D3-instanton with trivial  $U(1)$  flux wraps the same 4-cycle as a D7
  - D3 and D7 can form a bound state
  - Background + D7 preserve only 4 SUSY's
  - D3 breaks 2 of the remaining SUSY's  $\rightarrow$  2 Goldstinos

- Instanton action contains coupling

$$\mu_{\dot{\alpha}} (b^{\dot{\alpha}} \bar{f} + \bar{b}^{\dot{\alpha}} f)$$

- Saturates  $\mu_{\dot{\alpha}}$  integration

3-3 and 3-7 zero modes for D3-instanton  
and D7 wrapping del Pezzo

Mode	Origin	F/B	Gauge Rep
$x^\mu$	3-3	B	<b>1</b>
$\theta_\alpha$	3-3	F	<b>1</b>
$\mu_{\dot{\alpha}}$	3-3	F	<b>1</b>
$f$	7-3	F	$R$
$\bar{f}$	3-7	F	$\bar{R}$
$b_{\dot{\alpha}}$	7-3	B	$R$
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# D3-Instantons with nontrivial $U(1)$ flux

Heckman, JM, Saulina, Schäfer-Nameki, Vafa

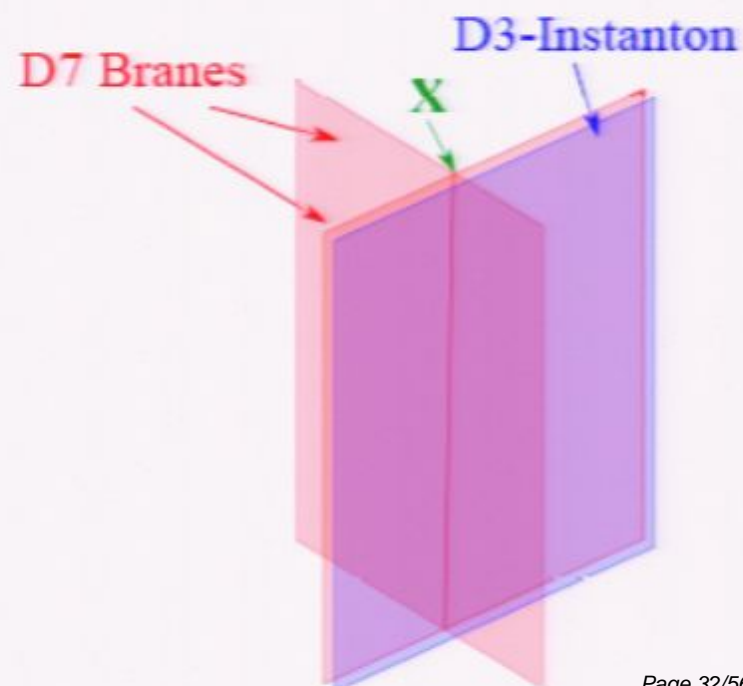
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- Nontrivial  $U(1)$  flux generically lifts all 3-7 zero modes
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  - Prevents formation of "honest" D3/D7 bound state
- What about the bound state at threshold?
  - Do such configurations still contribute to  $W$ ?

Yes!

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$$\mu_{\tilde{\alpha}} \left( b_{KK}^{\tilde{\alpha}} \bar{F}_{KK} + \bar{b}_{KK}^{\tilde{\alpha}} f_{KK} \right)$$

$\mu_{\tilde{\alpha}}$  integration is still saturated





## D3-Instantons "bound" to D7's

Billo, Frau, Fucito, Lerda, Liccardo, Pesando  
Akerblom, Blumenhagen, Lust, Plauschinn, Schmidt-Sommerfeld

- Expect  $\mu_{\dot{\alpha}}$  "lifted" when D3-instanton with trivial  $U(1)$  flux wraps the same 4-cycle as a D7
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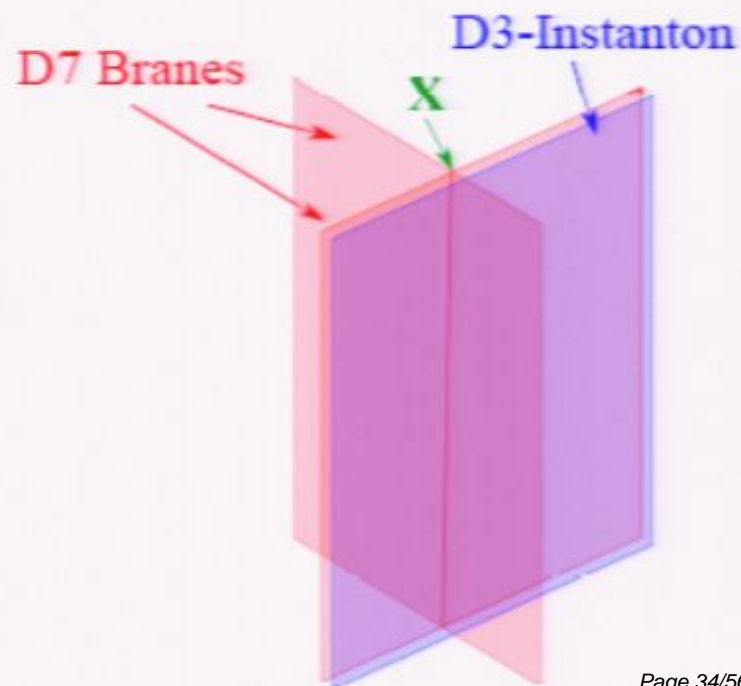
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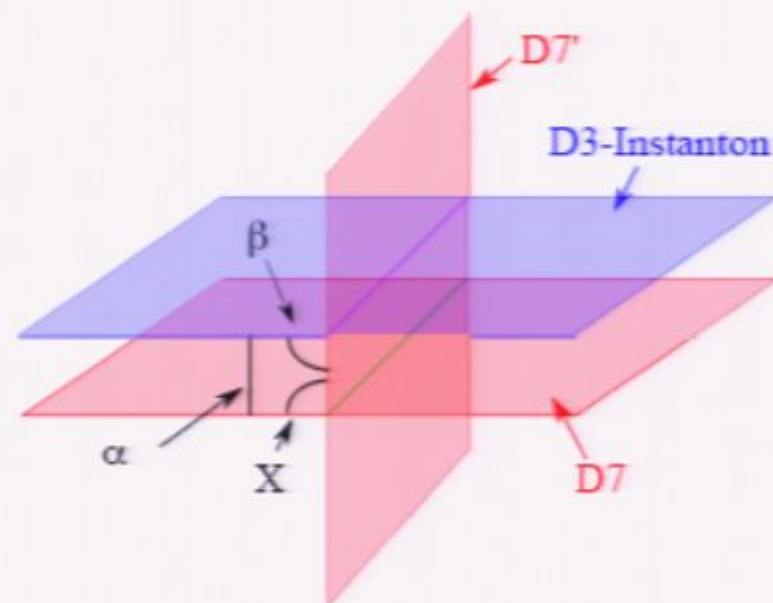
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- 3-7 and 3 – 7' fermi zero mode structure determines which couplings are actually generated

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- $X$  couples to  $\alpha$  and  $\beta$  via

$$S \sim \int \alpha \beta X + \dots$$

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  - Must have  $n_\alpha = n_\beta$  to generate a coupling

- Actual coupling generated is  $W \sim e^{-S_{\text{inst}}} X^{n_\alpha}$

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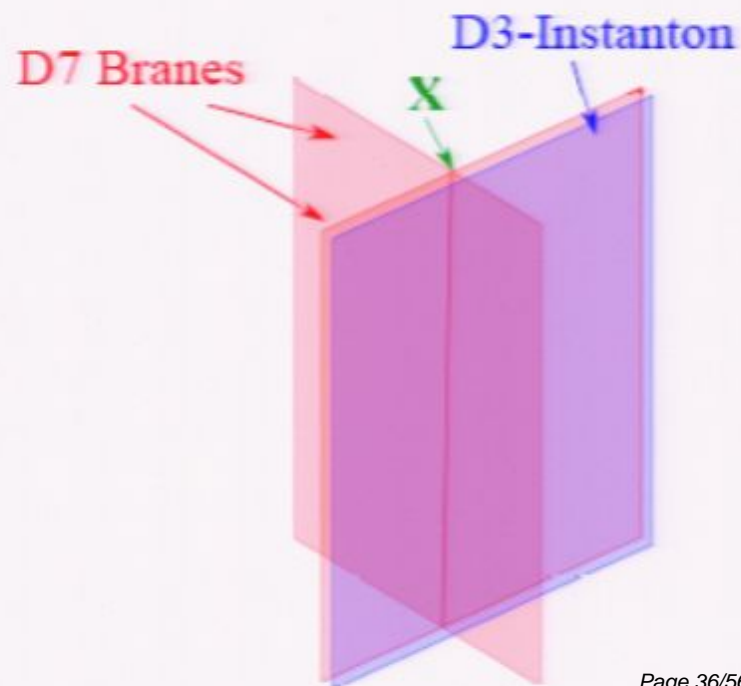
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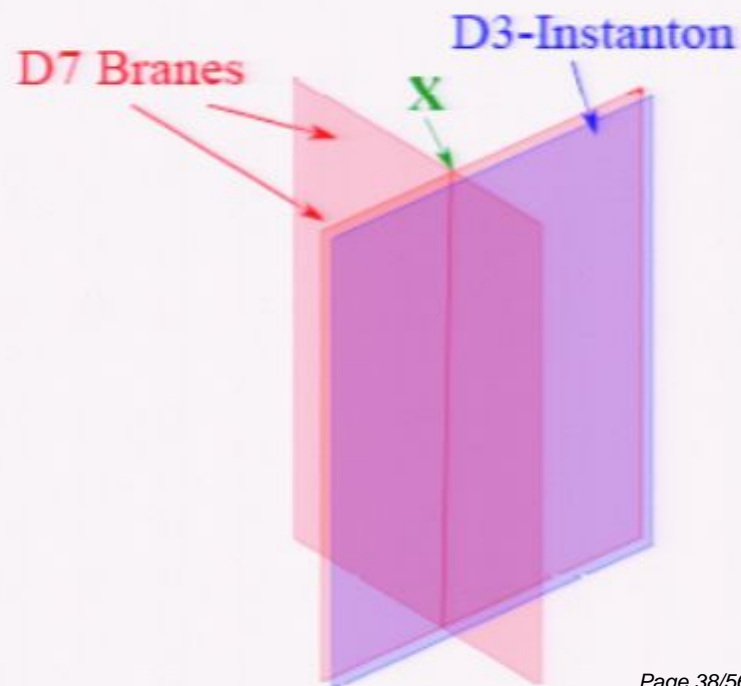
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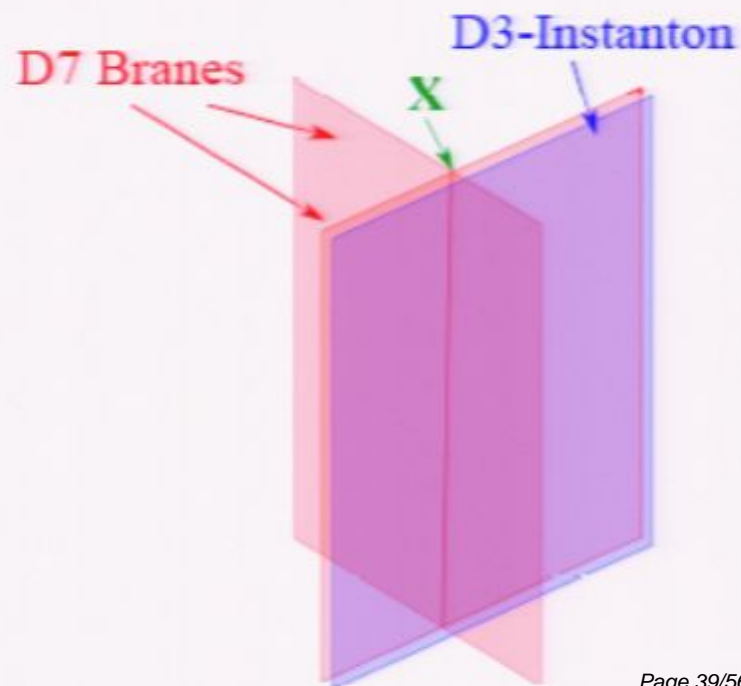
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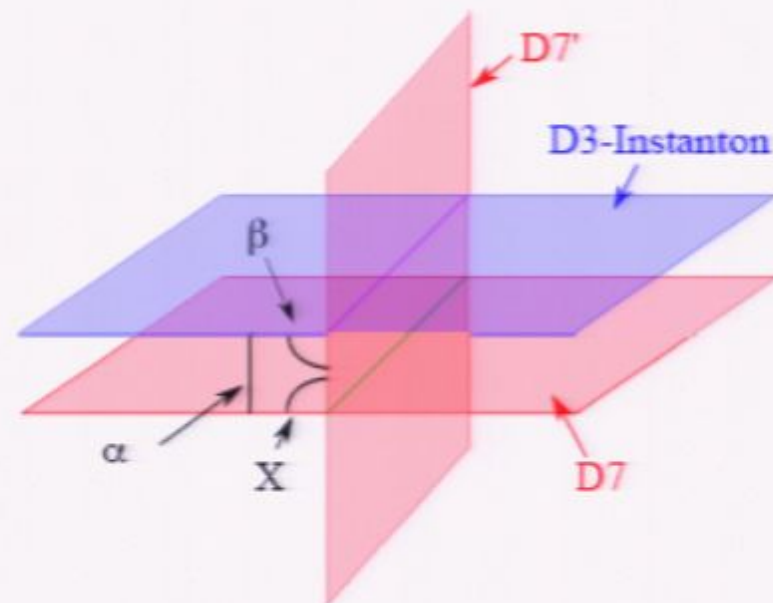
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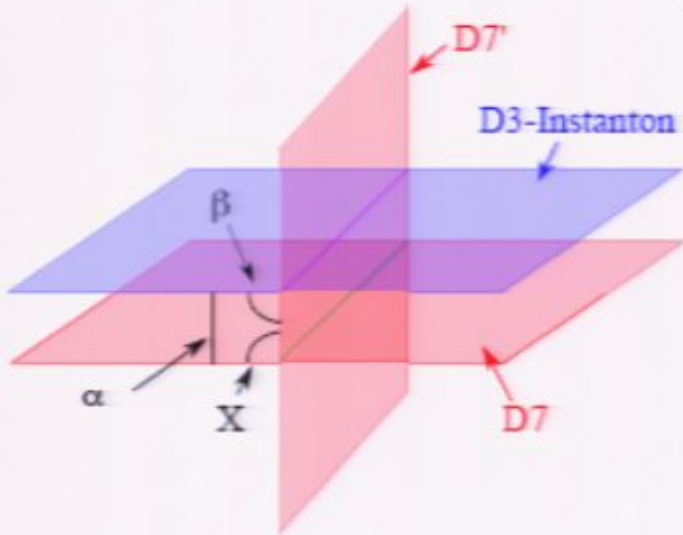
# Generating $W(X)$ from Instantons

- To generate  $W \sim X^m$ , we need  $n_\alpha = n_\beta = m$
- Easy to study these constraints with two assumptions

JM, Saulina, Schäfer-Nameki

- The D3-instanton wraps a del Pezzo surface  $dP_m$
- The Kähler form on  $dP_m$  is of "large volume" type

$$J \sim AH - \sum_i B_i E_i \quad A, B_i > 0 \quad A \gg B_i$$



- Can find all instanton configs with  $n_\alpha = m$

$$\mathcal{L}_{\text{inst}} \sim \mathcal{O}(E_1 - \sum_{j=2}^{m+2} E_j)$$

→ Specific  $B_j$  determine which are SUSY

- Imposing further  $n_\beta = m$  leads to

$$\mathcal{L}_{\text{inst}}|_{\Sigma} = -m - 1$$

→ Condition on homology class of  $\Sigma$

# Masses, Expectation Values, and SUSY-Breaking

Heckman, JM, Saulina, Schäfer-Nameki, Vafa  
JM, Saulina, Schäfer-Nameki

With these results, one can easily use D3-instantons to manipulate  $X, Y, Z$

- Case 1: Break SUSY
  - Use D3-instantons to generate Polonyi superpotential  $W \sim \nu X$
- Case 2: Give  $Y$  an expectation value
  - Engineer  $Y$  as a KK mode
  - Use D3-instantons to generate  $W \sim \nu Y$
  - Net superpotential is

$$W \sim \nu Y + M_{KK} Y^2$$

so that  $\langle Y \rangle \sim \frac{\nu}{M_{KK}}$

- Case 3: Give  $Z$  a mass
  - Use D3-instantons to generate explicit mass term  $W \sim MZ^2$

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## Coupling to GUTs

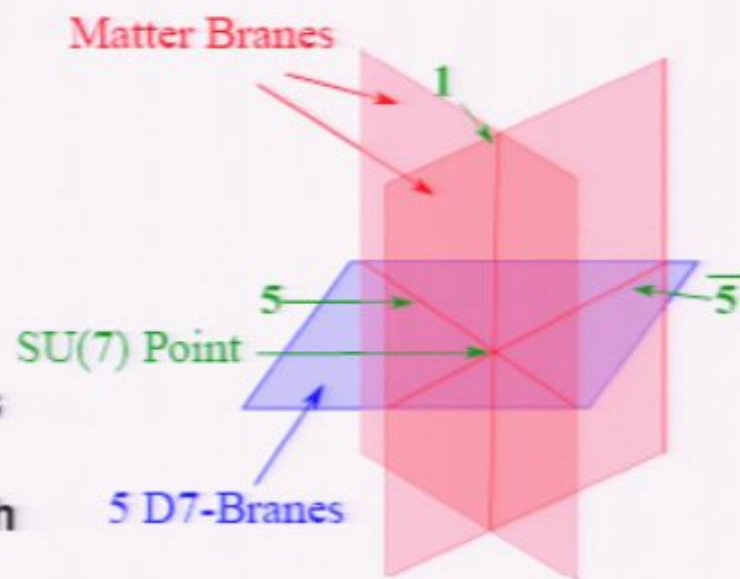
- Our D7-branes also intersect the GUT stack along **matter curves**
  - D3-instanton also intersects GUT stack along a **matter curve,  $\Sigma$**
- Extra fermi zero modes between the D3-instanton and GUT stack can spoil our superpotentials

JM, Saulina, Schäfer-Nameki

- A necessary condition to lift the "3-GUT" zero modes is

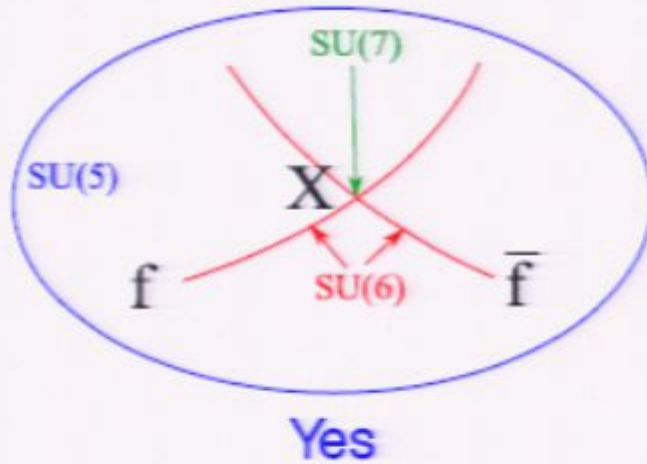
$$\mathcal{L}_{\text{inst}}|_{\Sigma} = 0 \quad \mathcal{L}_{\mathcal{Y}}|_{\Sigma} = 0$$

- We used  $\mathcal{L}_{\mathcal{Y}}$  to lift Higgs triplets!
  - $\mathcal{L}_{\mathcal{Y}}$  does **not** restrict trivially to Higgs matter curves
  - **Cannot "use" D3-instantons which wrap Higgs matter branes**

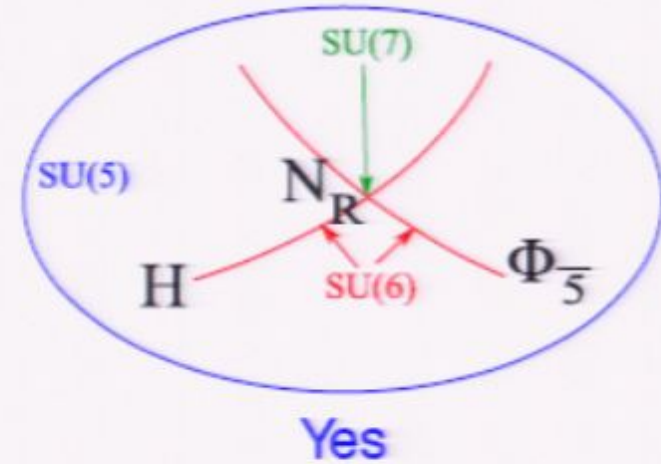


# What can we do with D3-instantons?

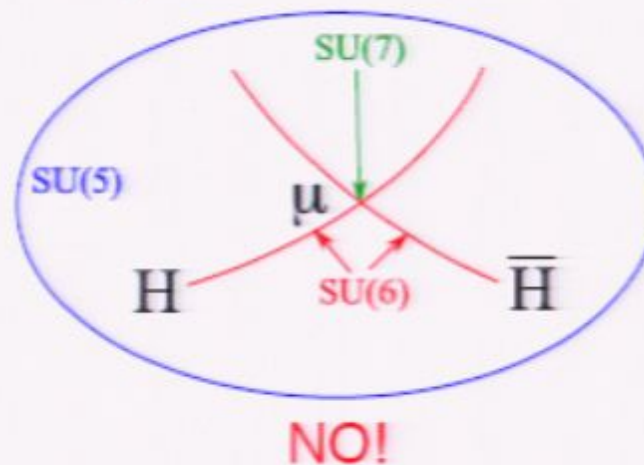
SUSY-Breaking???



Neutrino Masses???



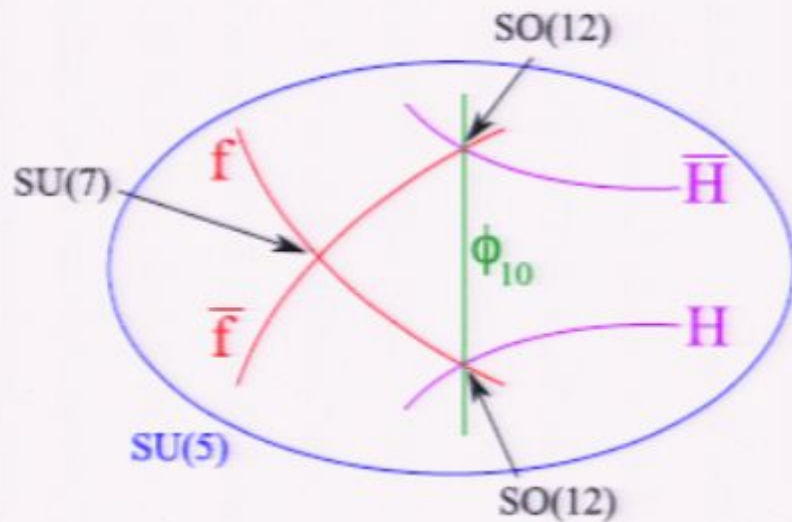
$\mu$  Parameter???



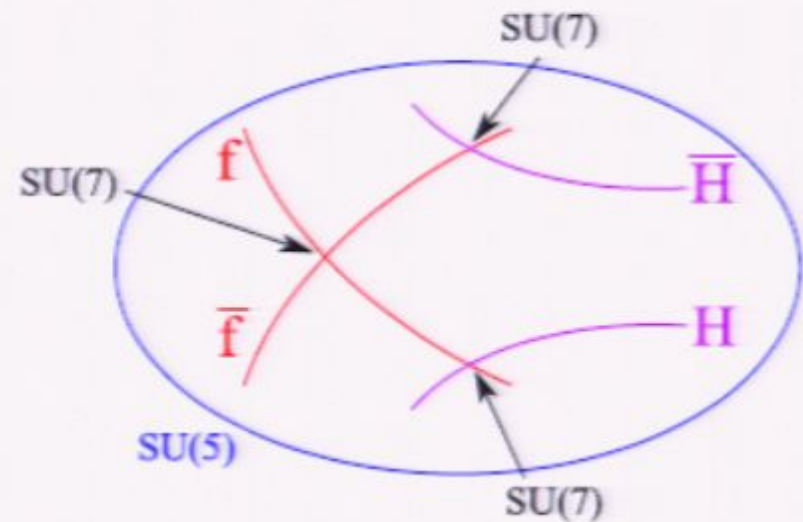
# Getting $\mu$

JM, Saulina, Schäfer-Nameki

- We cannot independently generate the scale  $\mu$ 
  - $\mu$  is a "derived scale"
    - Natural for phenomenology – EWSB requires  $\mu \sim m_{\text{soft}}$
- We suppose that  $\mu$  is related to SUSY-breaking sector
  - How to generate?



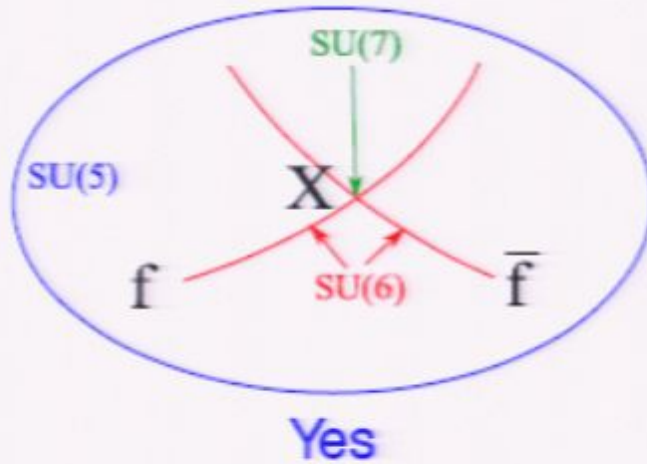
Higgs and messenger curves meet at  $SO(12)$  points



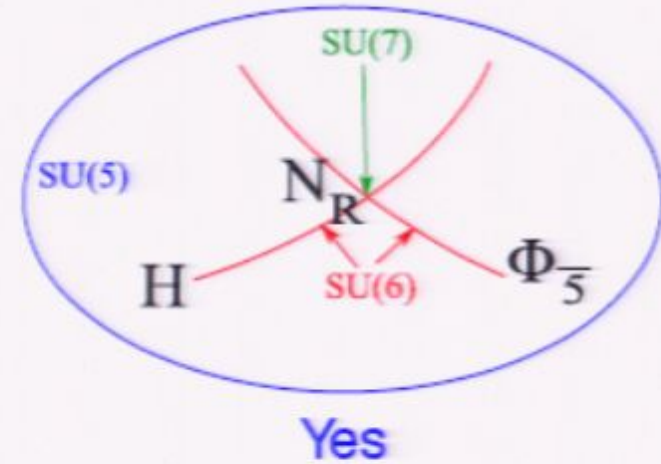
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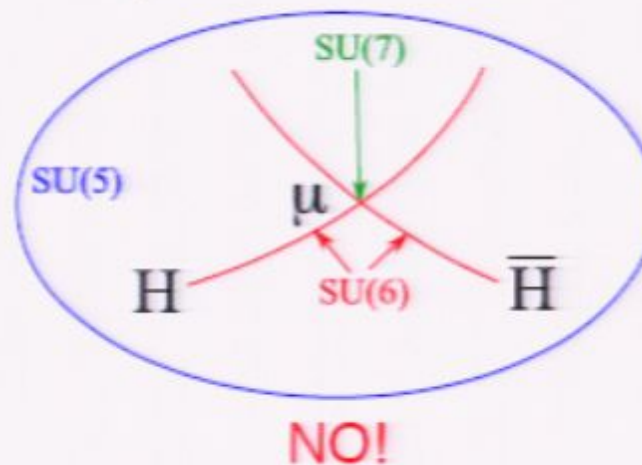
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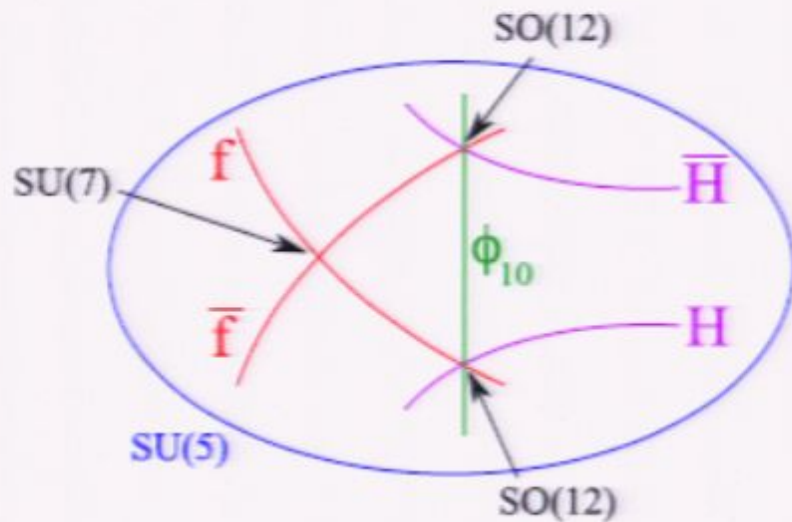
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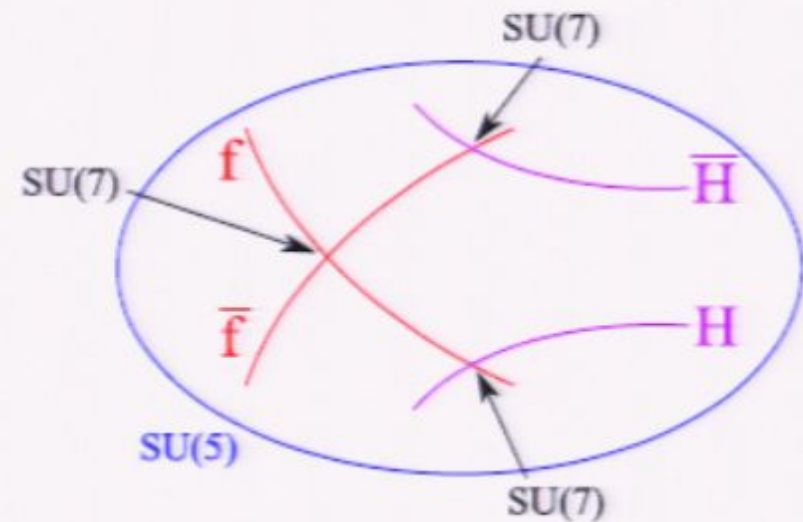
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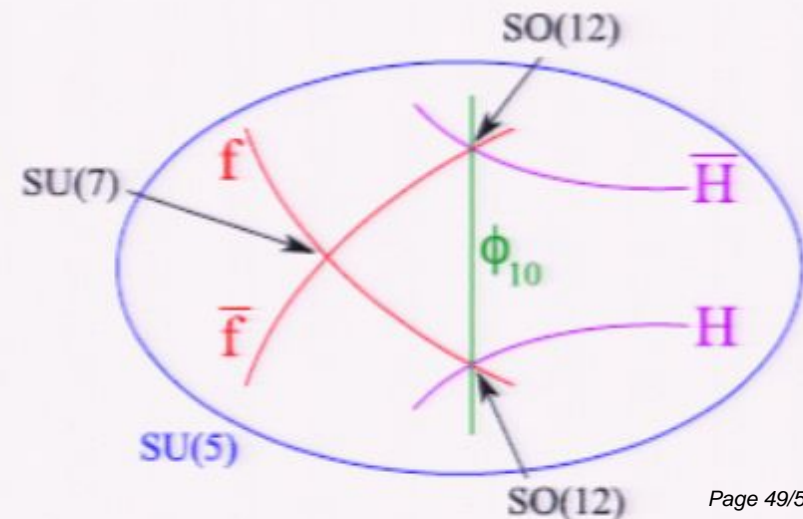


## Emergence of $U(1)_{PQ}$

- Arrange for  $\phi_{10}$  curve to have KK modes only
- Effective action below  $M_{GUT} \sim M_{KK}$  includes corrections from KK modes
- Form of effective action constrained by (approx) global  $U(1)$  symmetries
- Can obtain  $U(1)$ 's directly from geometry
  - Easier to read them off directly from superpotential

$$W \sim Xf\bar{f} + Hf\bar{\phi}_{10, KK} + \bar{H}\bar{f}\phi_{10, KK} + M_{KK}\phi_{10, KK}\phi_{10, KK}$$

Field	$U(1)_{PQ}$	$U(1)_a$	$U(1)_b$
$H$	1	1	1
$\bar{H}$	1	-1	-1
$f$	-1	-1	1
$\bar{f}$	-1	1	-1
$\phi_{10}$	0	0	-2
$\bar{\phi}_{10}$	0	0	2
$X$	2	0	0



## Importance of $U(1)_{PQ}$

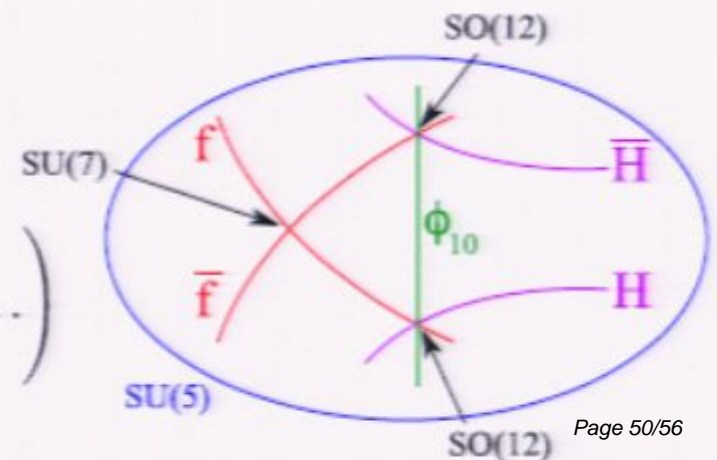
	$H$	$\bar{H}$	$f$	$\bar{f}$	$\phi_{10}$	$\bar{\phi}_{10}$	$X$
$U(1)_{PQ}$	1	1	-1	-1	0	0	2

- Naturally obtain a  $U(1)_{PQ}$  which forbids a bare  $\mu$  term,  $\mu H\bar{H}$ 
  - Often invoked to explain why  $\mu \ll M_{Pl}$
- Moreover,  $X$  carries  $U(1)_{PQ}$  charge
  - Connects SUSY-breaking with breaking of  $U(1)_{PQ}$
  - **Connects SUSY-breaking with generation of  $\mu$ !!!**

Ibe, Kitano

- $U(1)_{PQ}$  constrains effective action below  $M_{GUT}$  to be of form

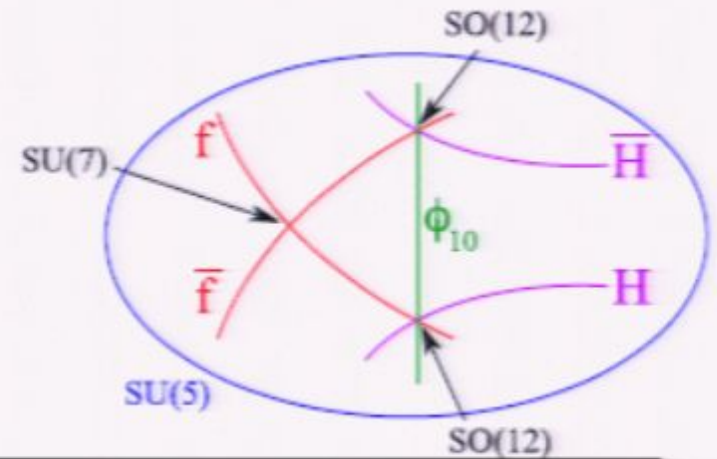
$$\int d^4\theta \left( \frac{c_\mu X^\dagger H\bar{H}}{M_{GUT}} + \frac{c_H X^\dagger X (H^\dagger H + \bar{H}\bar{H}^\dagger)}{M_{GUT}^2} + \dots \right)$$



## Generation of $\mu$

	$H$	$\bar{H}$	$f$	$\bar{f}$	$\phi_{10}$	$\bar{\phi}_{10}$	$X$
$U(1)_{PQ}$	1	1	-1	-1	0	0	2

$$\int d^4\theta \left( \frac{c_\mu X^\dagger H \bar{H}}{M_{GUT}} + \frac{c_H X^\dagger X (H^\dagger H + \bar{H} \bar{H}^\dagger)}{M_{GUT}^2} + \dots \right)$$



- For  $\langle X \rangle \sim M_{\text{Mess}} + \theta^2 F_X$  this leads to generation of

$$\mu \sim \frac{c_\mu F_X}{M_{GUT}} \quad m_H^2 \sim c_H \left( \frac{F_X}{M_{GUT}} \right)^2$$

→  $\mu$  exhibits same suppression as SUSY-breaking

- Term which could generate  $B_\mu$  is **forbidden** by  $U(1)_{PQ}$ !

$$\int d^4\theta \frac{X^\dagger X H \bar{H}}{M_{GUT}^2}$$

→  $B_\mu = 0$  at messenger scale – solution to  $\mu/B_\mu$  problem

## SUSY-Breaking Sector

- D3-instanton generated Polonyi superpotential

$$W \sim \nu X \quad \nu \sim e^{-t}$$

- Pseudo-moduli space of SUSY-breaking vacua
- Lifted by corrections to Kähler potential from physics at high scales
- Two possible sources for such corrections
  - Massive  $U(1)_{PQ}$  gauge boson

Heckman, Vafa

- KK modes at GUT scale

JM, Saulina, Schäfer-Nameki

- In all that follows, we assume that  $m_{U(1)_{PQ}}^2 > M_{GUT}^2$

## General Structure of KK Corrections

- Expect KK modes to correct Kähler potential

$$K \sim |X|^2 - \frac{a|X|^4}{M_{GUT}^2} + \dots$$

- Two natural scales for  $\langle X \rangle$

$$a > 0 \implies \boxed{\langle X \rangle = 0} \qquad a < 0 \implies \boxed{\langle X \rangle \sim M_{GUT}}$$

- Recall that  $\langle X \rangle$  sets the messenger scale via  $W_{OGM} \sim X f \bar{f}$ 
  - $\langle X \rangle \sim M_{GUT}$  too large for gauge mediation
  - $\langle X \rangle = 0$  leads to vanishing messenger masses
    - SUSY-breaking vacuum rendered unstable to SUSY one

# "Gravitational" Gauge Mediation

Kitano

- In general, nothing prevents SUGRA from generating a linear term

$$V \sim m(\nu X + \nu^* X^\dagger)$$

- Not negligible because it is the **leading** contribution
- Indeed, we can see how such a term might arise
  - In general, expect flux contribution to  $W$
  - Model with constant  $W_0$

$$W \sim \nu X + W_0$$

- $W_0$  has no effect in strict  $M_{Pl} \rightarrow \infty$  limit
  - At finite but large  $M_{Pl}$ , however, it leads to linear term in  $V$

$$V \sim \frac{W_0}{M_{Pl}^2} (\nu X + \nu^* X^\dagger) + \frac{a|\nu|^2|X|^2}{M_{GUT}^2} + \dots$$

- Vacuum at  $\langle X \rangle = 0$  is shifted to nonzero value

$$\langle X \rangle \sim \left( \frac{W_0}{\nu M_{Pl}} \right) \frac{M_{GUT}^2}{M_{Pl}} \sim \left( \frac{W_0}{\nu M_{Pl}} \right) \times 10^{14} \text{ GeV}$$

# Sweet Spot Supersymmetry from $F$ -Theory

## Summary of Model

$$\mathcal{L} \sim \int d^4\theta \left( |X|^2 - \frac{a|X|^4}{M_{GUT}^2} + \frac{c_\mu X^\dagger H \bar{H}}{M_{GUT}} + \frac{c_H X^\dagger X (H^\dagger H + \bar{H} \bar{H}^\dagger)}{M_{GUT}^2} + \dots \right)$$

$$W \sim \nu X + \lambda X f \bar{f} + W_{MSSM} + \dots$$

- Structure fixed by  $U(1)_{PQ}$  symmetry with respect to which  $X$  is charged
- **D3-instanton** generated  $W$  simultaneously breaks SUSY and  $U(1)_{PQ}$
- Realization of the "Sweet Spot Supersymmetry" scenario

Ibe, Kitano

- Can obtain from explicit  $SU(5)$  GUT constructions in  $F$ -theory

JM, Saulina, Schäfer-Nameki

- $\langle X \rangle$  stabilized at  $M_{GUT}^2/M_{Pl}$  via "gravitational gauge mediation"
- "Viable" model when  $m_{3/2} \sim 1$  GeV and  $c_\mu \sim c_H \sim \mathcal{O}(1)$

## Conclusions

- Local models are a promising way for string theory to make contact with particle physics
- $F$ -theory is a natural and effective arena for engineering local models of SUSY GUTs
- Dimensionful parameters are easily incorporated into  $F$ -theory GUTs
  - D3-instantons can generate all of the necessary scales except  $\mu$
  - $\mu$  is not an independent scale
- Naive coupling of Higgs and messenger sectors →  $U(1)_{PQ}$  symmetry
  - SUSY-breaking field  $X$  has nonzero PQ charge
  - Connects SUSY-breaking to generation of  $\mu$
- $U(1)_{PQ}$  leads to "Sweet Spot Supersymmetry"
  - Viable class of models when  $m_{3/2} \sim 1$  GeV