

Title: Dirac's penumbra: constraints and gauge transformations in reparametrization invariant theories

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Abstract: A simple theorem of Dirac identifies primary first-class constraints as generators of transformations, 'that do not affect the physical state'. This result has profound implications for the definition of physical states and observables in the quantization of constrained systems, and leads to one aspect of the infamous 'problem of time' in quantum gravity. As I will discuss, a close look at the theorem reveals that it depends crucially on the assumption of an absolute time. This assumption does not hold for reparametrization invariant theories, such as parametrized particle mechanics, and in these theories, the primary Hamiltonian constraint does generate physical change. I will also look at just what Dirac did and did not say about this case, and what has been said by reviewers since.

Strong CP Problem

arXiv:0808.1723 Matter Abundance



Strong CP Problem

arXiv:0808.1723 Matter Abundance

Strong CP Problem

arXiv:0808.1223 Matter Abundance



Citing CP Problem

arXiv:0808.1223 Letter Abundance



"Dir casts no penumbra!"

Strong CP Problem

arXiv:0808.1223

Neutrino Abundance



"Dirac casts no penumbra!"

σ

$$[\hat{\sigma}, \hat{\phi}] = 0$$

σ

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$$\dot{\sigma} \propto [\sigma, H] = 0$$

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σ

$$[\dot{\sigma} / \dot{\phi}] = 0$$

$$\dot{\sigma} \propto [\dot{\sigma} / H] = 0$$

Dirac, "Lectures on QM" (1964)



Dirac, "Lectures on QM" (1964)

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$$H =$$

Dirac, "Lectures on QM" (1964)

$$H_T = H[q, p] + \int_a \phi_a [q, p]$$

Dirac, "Lectures on QM" (1964)

$$H_T = H[q, p] + \sum_a \dot{\phi}_a [q, p]$$

Dirac, "Lectures on QM" (1964)

$$H = H[q, p] + \underbrace{\sum_a \phi_a [q, p]}_{\text{arbitrary}} \quad \text{constrain}$$

q

$$H_T = H[q, p] + \underbrace{\sum_a \phi_a[q, p]}_{\text{arbitrary}} \quad \text{constraint}$$

$$\delta q =$$

$$H_T = H[q, p] + \underbrace{\lambda_a \phi_a[q, p]}_{\text{arbitrary}} \quad \text{constraint}$$

$$\begin{aligned} \delta q &= \delta t [q, H_T] \\ &= \delta t \{q, H\} + \lambda_a [q, \phi_a] \end{aligned}$$

$$H_T = H[q, p] + \underbrace{\sum_a \dot{\phi}_a [a, p]}_{\text{arbitrary}}$$

$$\begin{aligned} \delta g &= \delta t [g, H_T] \\ &= \delta t \{ [g, H] + \sum_a [g, \dot{\phi}_a] \} \end{aligned}$$

Dirac, "Lectures on QM" (1964)

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$$\delta q = \delta t [q, H_T] \\ = \delta t \{ [q, H] + \sum_a [q, \phi_a] \}$$

$$\Delta q(\delta t) = \epsilon_a [q, \phi_a], \quad \epsilon_a = \delta t [\dot{q}_a - \dot{q}'_a]$$

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A. same state, same δt , different v

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Strong CP problem

1. Jacobi's Principle

Strong CP problem

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- N particles, q_i

Strong CP problem

1. Jacobi's Principle

N particles,

q_i

$$I = \int_{A(t_0)}^{B(t_1)} dt \left(\frac{1}{2} \sum_i \left(\frac{dz_i}{dt} \right)^2 - V[q_i] \right)$$

1) Jacobi's Principle

• N particles,

$$I = \int_{A(t_0)}^{B(t_f)} dt \left[\frac{1}{2} \sum_i \left(\frac{dz_i}{dt} \right)^2 - V[q_i] \right]$$

1) Parametrize
 t

Dynamically sets $\theta = 0$.

Principle

• N particles,

\vec{q}_i

$$I \leftarrow \int_{A(t_0)}^{B(t_f)} dt \left(\frac{1}{2} \sum_i \left(\frac{dz_i}{dt} \right)^2 - V[\vec{q}_i] \right)$$

1) Parametrize

$$\lambda \rightarrow \int_{A(t_0)}^{B(t_f)} d\lambda$$

$$\left| \frac{V(t_0)}{q(t_0)} \Lambda_{acd}^4 \sin^2 \theta \right| \Rightarrow \boxed{m_a = \frac{\Lambda_{acd}^2}{f_a}}$$

Dynamically sets $\theta = 0$.

Principle

• N particles,

\vec{q}_i

$$I = \int_{A(t_0)}^{B(t_f)} dt \left(\frac{1}{2} \sum_i \left(\frac{d\vec{z}_i}{dt} \right)^2 - V[\vec{q}_i] \right)$$

1) Parametrize

$\vec{z}(\lambda)$

$$= \int_{A, t_0}^{B, t_f} d\lambda \dot{\vec{z}} \left(\frac{T}{\dot{z}^2} - V \right)$$

$$|V(\theta) = \Lambda_{\text{QCD}}^4 \sin^2 \theta| \Rightarrow \boxed{m_a = \frac{\Lambda_{\text{QCD}}^2}{f_a}}$$

Dynamically sets $\theta=0$.

1) Jacobi's Principle

• N particles,

$$I = \int_{A(t_0)}^{B(t_f)} dt \left(\frac{1}{2} \sum_i \left(\frac{dq_i}{dt} \right)^2 - V[q_i] \right)$$

1) Parametrize

$$\int_{A, t_0}^{B, t_f} d\lambda \quad \dot{L} \left(\frac{T}{\dot{t}^2} - V \right)$$

Dynamically sets $\theta = 0$.

1) Jacobi's Principle

• N particles,

$$I = \int_{A(t_0)}^{B(t_f)} dt \left[\frac{1}{2} \sum_i \left(\frac{dz_i}{dt} \right)^2 - V[q_i] \right]$$

1) Parametrize

$$I = \int_{A, t_0}^{B, t_f} d\lambda \left[\frac{1}{2} \dot{z}^2 - V \right]$$

2. Reduce

t. cyclic

$$\dot{P}_t = 0$$

$$P_t = -[T_{t^2} + V]$$

$$= -E$$

2. Reduce

t cyclic

$$\dot{P}_t = 0$$

$$P_t = -[T_{t^2} + V]$$

$$\bar{L} = L - P_t t = -E$$

2. Reduce

cyclic

$$\dot{p}_t = 0, \quad p_t = -\left[\frac{T}{t^2} \right]$$

$$\bar{L} = L - p_t \dot{t} = -E$$

$$I_T = 2 \int_A^B d\lambda \sqrt{(E - V)T}$$

2. Reduce

cyclic

$$\dot{P}_t = 0$$

$$P_t = -\left[\frac{T}{t^2} + V\right]$$

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2. Reduce

cyclic

$$\dot{P}_L = 0$$

$$P_L = -\left[\frac{T}{L^2} + V\right]$$

$$\bar{L} = L - P_L \dot{L} = -E$$

$$I_T = 2 \int_A^B d\lambda \sqrt{(E - V)T}$$

$$p_i = \sqrt{\frac{m}{T}} \dot{q}_i$$

$$h = \frac{1}{2} \sum_i p_i^2$$

$$P_i = \sqrt{\frac{E-v}{T}} \dot{\phi}_i$$

$$h = \frac{1}{2} \sum_i P_i^2 - (E-v)$$

$$P_i = \sqrt{\frac{E-v}{T}} \dot{q}_i$$

$$h = \frac{1}{2} \sum_i P_i^2 - (E-v) = 0$$



$$P_i = \sqrt{\frac{E-v}{c^2}} \dot{q}_i$$

$$h = \frac{1}{2} \sum_i P_i^2 - (E-v) = 0$$

$$H = (P\dot{q} - \bar{L}) + \sqrt{c^2} Q_a$$

$$0 + \sqrt{c^2} h$$

$$P_i = \sqrt{\frac{E-v}{T}} \dot{q}_i$$

$$h = \frac{1}{2} \sum_i P_i^2 - (E-v) = 0$$

$$H_+ = (P\dot{q} - \bar{L}) + \sqrt{a} Q_a$$

$$= 0 + \sqrt{h}$$

Strong CP problem

• $|S + a + e \Rightarrow \{g, \frac{dg}{dt}\}$
 \Downarrow
 $\{Q\}$

Strong CP problem

• State $\leftrightarrow \{q, \frac{d_q}{dt}\}$
 \Downarrow
 $[Q, d_q]$

State $\longleftrightarrow \{q, \frac{dq}{dt}\}$

$[Q, d_Q]$

unique $[q, p]$

$$\delta g = \delta \lambda [g, H] = [g, h](v - \delta \lambda)$$

$$\delta g = \delta \lambda [g, H] = [g, h](v - \delta \lambda)$$

$$I = \int d\lambda [p \dot{q} - H]$$

$$\delta I = 0 \iff \delta(\int d\lambda) = 0$$

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$$\delta g = \delta \lambda [g, H] = [g, h](v - \delta \lambda)$$

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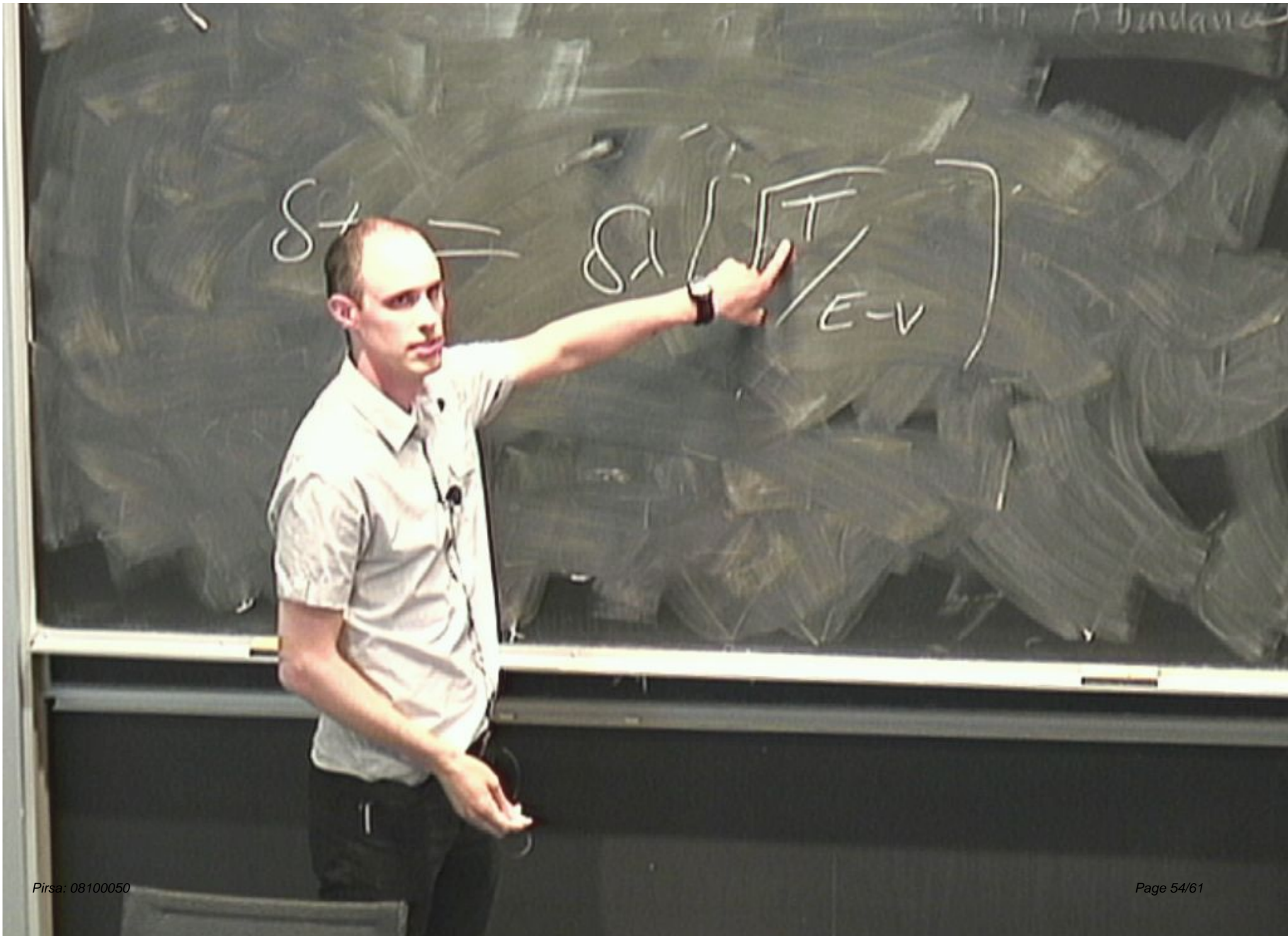
$$\delta I = 0 \iff \delta \left(\int d\lambda \right) = 0$$

“We now have to face the problem of seeing how we can ensure that our quantum theory shall be a relativistic theory. For that purpose *we have to go back to first principles...I would like to go back to the beginning of our Hamiltonian development* and consider a special case.”

(pp. 45-46)

“One can see in this way that the [tangential component] is something which is not of real physical importance, it is just concerned with the mathematical technique. The quantity which is of real physical importance is the [normal component]. This [normal component] gives us the first-class constraint which is associated with a motion of the surface normal to itself. *That is something which is of dynamical importance.*”

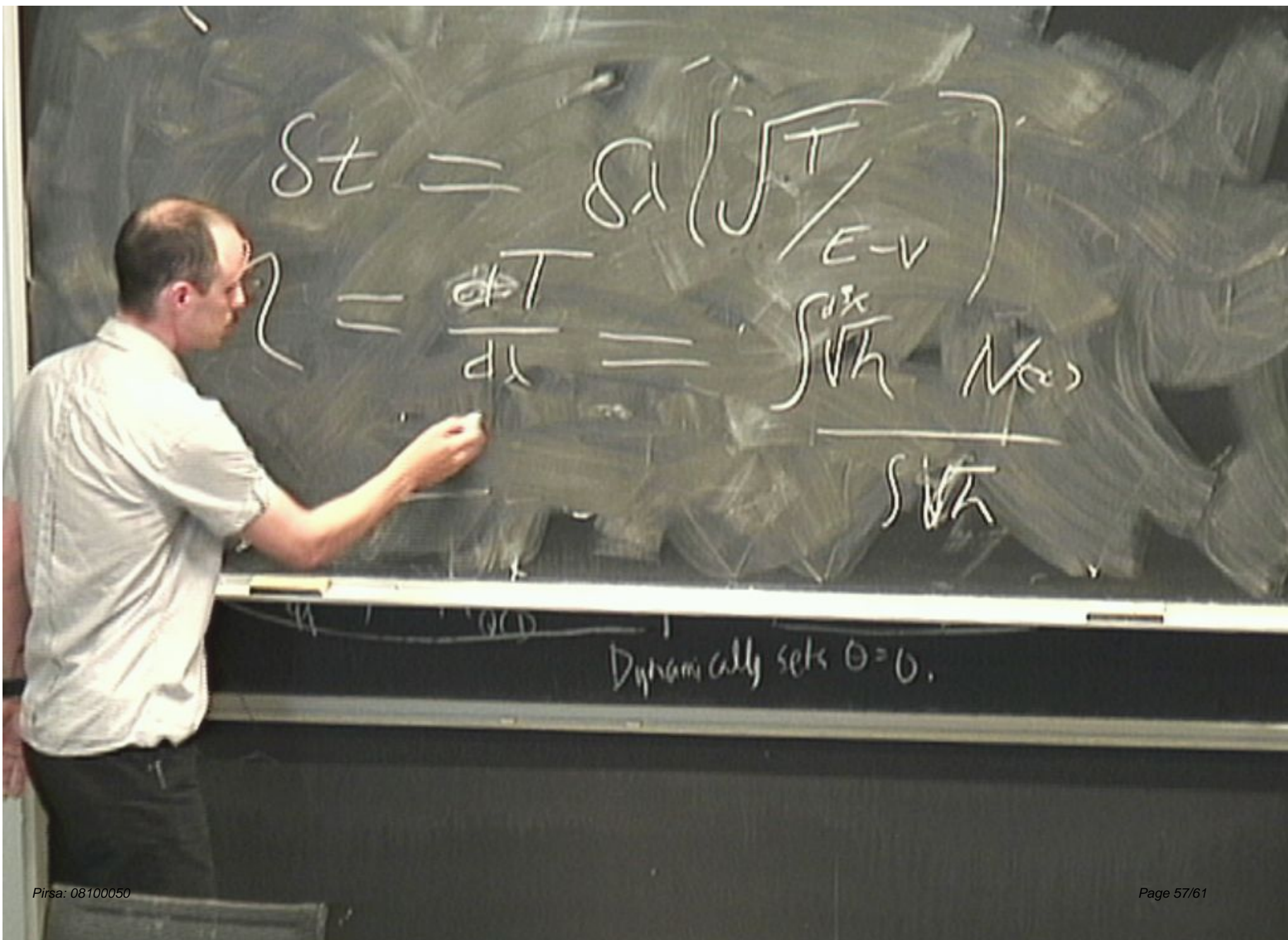
(p. 60)



Abundance

$$\delta t = \delta \left(\sqrt{\frac{T}{E-v}} \right)$$

$$\delta t = \delta \left(\frac{\sqrt{T}}{E - V} \right)$$



$$\delta t = \delta \left(\frac{\sqrt{T}}{E-v} \right)$$

$$\delta t = \frac{dT}{dx} = \frac{\int_{x_1}^{x_2} \sqrt{h} \, dx}{\int \sqrt{h}}$$

Dynamically sets $\theta=0$.

$$\delta t = \delta \left(\sqrt{1 - \frac{v^2}{c^2}} \right)$$

$$\eta = \frac{dT}{dx} = \frac{1}{c} \frac{d}{dx} \left(\frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} \right)$$

$$I = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}$$

$$N_{\pi}(\pi)$$



$$S_t = \Omega \left(\sqrt{\frac{T}{E-v}} \right)$$

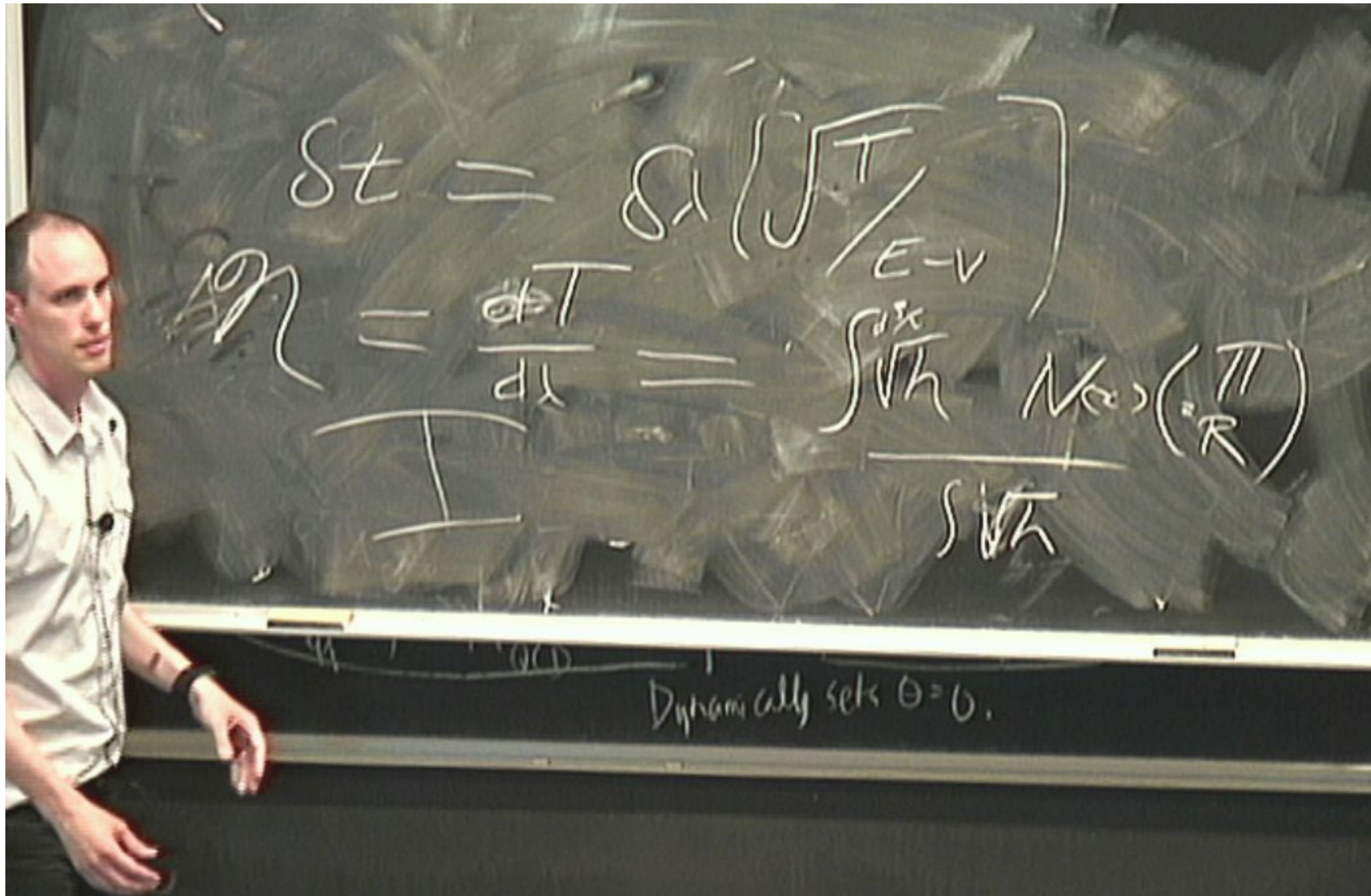
$$\Omega = \frac{\int_{\sqrt{h}}^{\sqrt{x}} N_{\alpha}(\frac{\pi}{R})}{\int \sqrt{h}}$$

usually sets $\theta = 0$.

$$\delta t = \delta_1 \left(\sqrt{\frac{T}{E-v}} \right)$$

$$A = \frac{dT}{dx} = \frac{\int_{\sqrt{h}}^{\sqrt{x}} N_{\alpha}(\pi) d\pi}{\int \sqrt{h}}$$

Dynamically sets $\theta = 0$.



$$\delta t = \delta \left(\sqrt{\frac{T}{E-v}} \right)$$

$$\mathcal{N} = \frac{dT}{dx} = \frac{\int_{\sqrt{h}}^{\sqrt{x}} N_{\alpha}(\pi) d\pi}{\int \sqrt{h}}$$

Dynamically sets $\theta=0$.