

Title: Wiggling Hilbert Space

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Abstract: After using the complex Hilbert space formalism for quantum theory for so long, it is very easy to begin to take for granted features like projection operators and the projection postulate, the algebra of observables, symmetric transition probabilities, linear evolution, etc.... Over the past 50 years there have been many attempts to gain a better understanding of this formalism by reconstructing it from different kinds of (sometimes) physically motivated assumptions. By looking at how the above features are motivated and used in different reconstructions, it becomes clear just how special and restrictive many of them are. The question is then what a theory which does not have some of these features looks like. Another interesting question is whether there are any reasons to be suspicious of postulating them in reconstructions or when trying to generalize or apply the quantum formalism to untested situations.

# “Wiggling” Hilbert Space

Cozmin Ududec

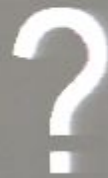
Sept. 23, 2008

# Staring at Hilbert space

- ▶ Try to understand:
  - Its mathematical structure
  - Physical meaning
  - Origin
- ▶ Understand it by **deriving** it...
  - Exercise tells you about the structure of theory
  - Insight into going *beyond* it?
  - Sleep well at night!

# Overview

- Projection operators and state update rule
- Algebra of observables
- Unitary evolution
- Symmetric transition probabilities
- Tensor product
- ....



- 1) What axioms are sufficient for these structures?
- 2) Do these features/objects have any operational meaning?
- 3) What are theories which do not have these features like?
- 4) Generalizations and modifications?



$$|\langle x | y \rangle|^2 = |\langle y | x \rangle|^2$$

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# Reconstructions

- ▶ Axiomatic reconstruction/derivation:
  - 1) a set of (physical) principles/postulates
  - 2) their mathematical representation
  - 3) derivation of the formalism of the theory
  
- ▶ Careful with axioms!!
  - Not necessarily ultimate truths about logic/probability/nature
  - Axioms can be deceptive even if they look obvious
  - Don't sleep TOO well



# Old and New Approaches

- ▶ ~3 old approaches/styles/starting points:
  - Logic of experimental propositions → quantum logic
  - algebras of observables →  $C^*$ -algebras
  - convexity – states and measurement outcomes
- ▶ New approaches:
  - Information-theoretic tasks and conditions, optimal reasoning and continuity, composite/sub-system conditions, etc...
- ▶ 'generalized probability' theories:
  - Many seemingly quantum features are generic!!



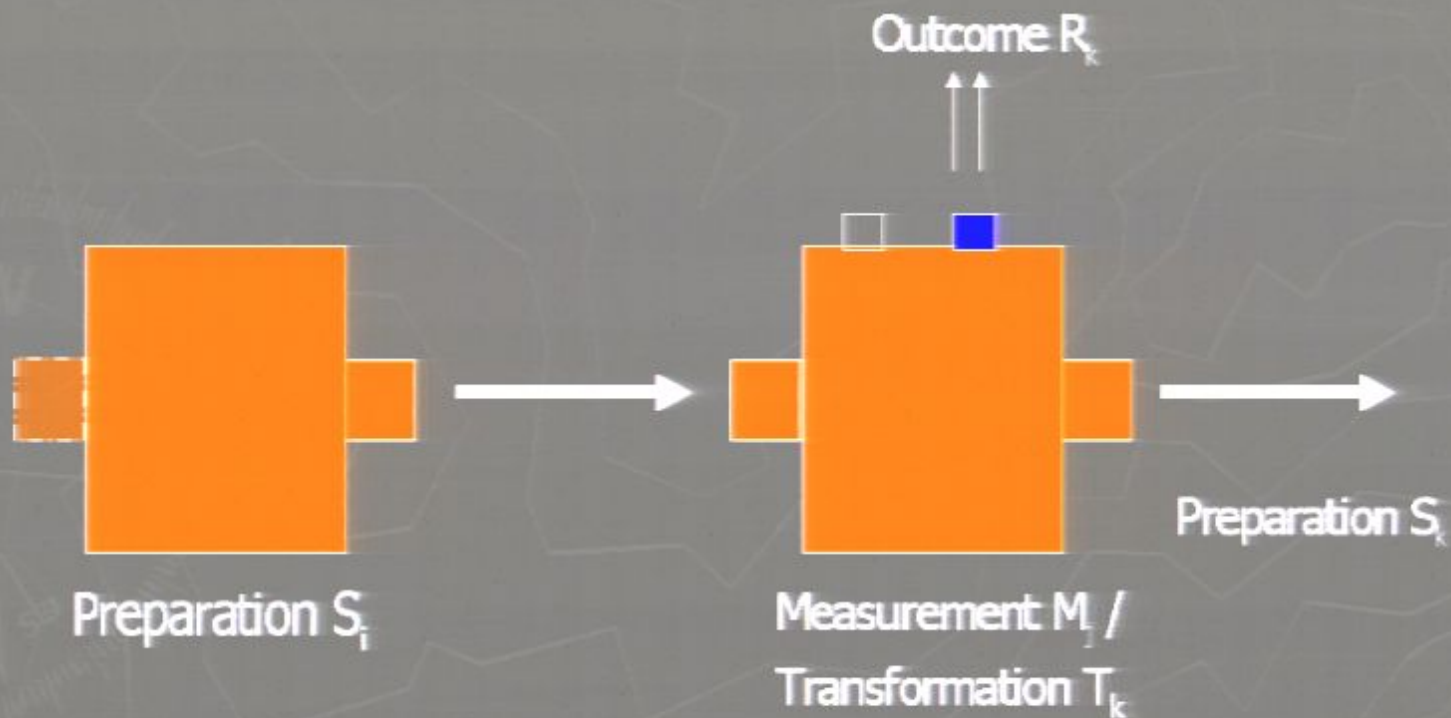
# Physics as...

- ▶ First goal of any physical theory:
  - Predict measurement outcomes, and correlate experiences
  - Simplify/compress these correlations
  
- ▶ What are the essential features of any physical theory?
  - Look at it from a distance – no Hamiltonians, fields, etc...

Whats left?!

# ...Little Boxes, and...

- ▶ Very common and idealized formalization of what goes on in a lab:
  - $S_i$  is a list of instructions for what to...



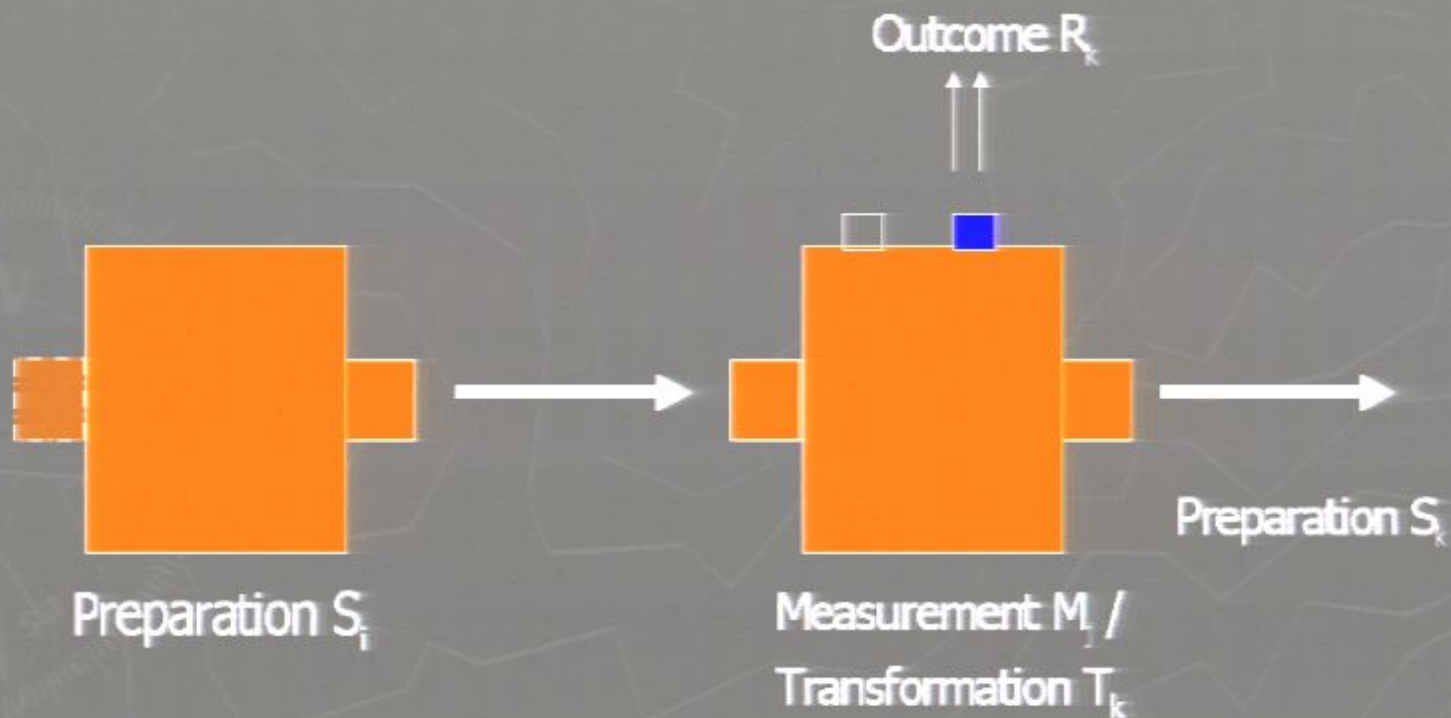
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# ...Probability Data Tables

▶  $S_j$  = proposition describing some preparation procedure

▶  $R_k$  = proposition describing 'yes' outcome of measurement  $M_k$

▶  $p_{kj}$  = probability of outcome

		$S_1$	$S_2$	$S_3$	...
$M_1$	$R_1$	$p_{11}$	$p_{12}$	$p_{13}$	...
	$R_2$	$p_{21}$	$p_{22}$	$p_{23}$	...
	$R_3$	$p_{31}$	$p_{32}$	$p_{33}$	...
$M_2$	$R_4$	$p_{41}$	$p_{42}$	$p_{43}$	...
	...	...	...	...	...

# Compression

- ▶ Linear algebra tricks => compression of table into:
  - Given some coordinate  $(i,j)$  in the table, we can find  $p_{ij}$  as

$$p_{ij} = r_i \cdot s_j$$

- ▶  $r_i$  and  $s_j$  are vectors in  $\mathbb{R}^K$ 
  - $K$  is the rank of the matrix
- ▶  $s_j$  are elements of *state* space  $S$
- ▶  $r_i$  are elements of *effect* space  $R$
- ▶  $r_i$  is a "coordinate" for the (equivalence class of) proposition  $R_i$
- ▶ System is "in state  $s_j$ " = system prepared by apparatus labelled by vector  $s_j$

# Geometry!

- ▶ Sets of  $S$  vectors and  $R$  vectors (as well as transformations) encode the content of the table – the physics!
- ▶ Statistics of **anything** leads these kind of structures.
- ▶ Qualitative and quantitative features of a system are determined by the geometry of these spaces!

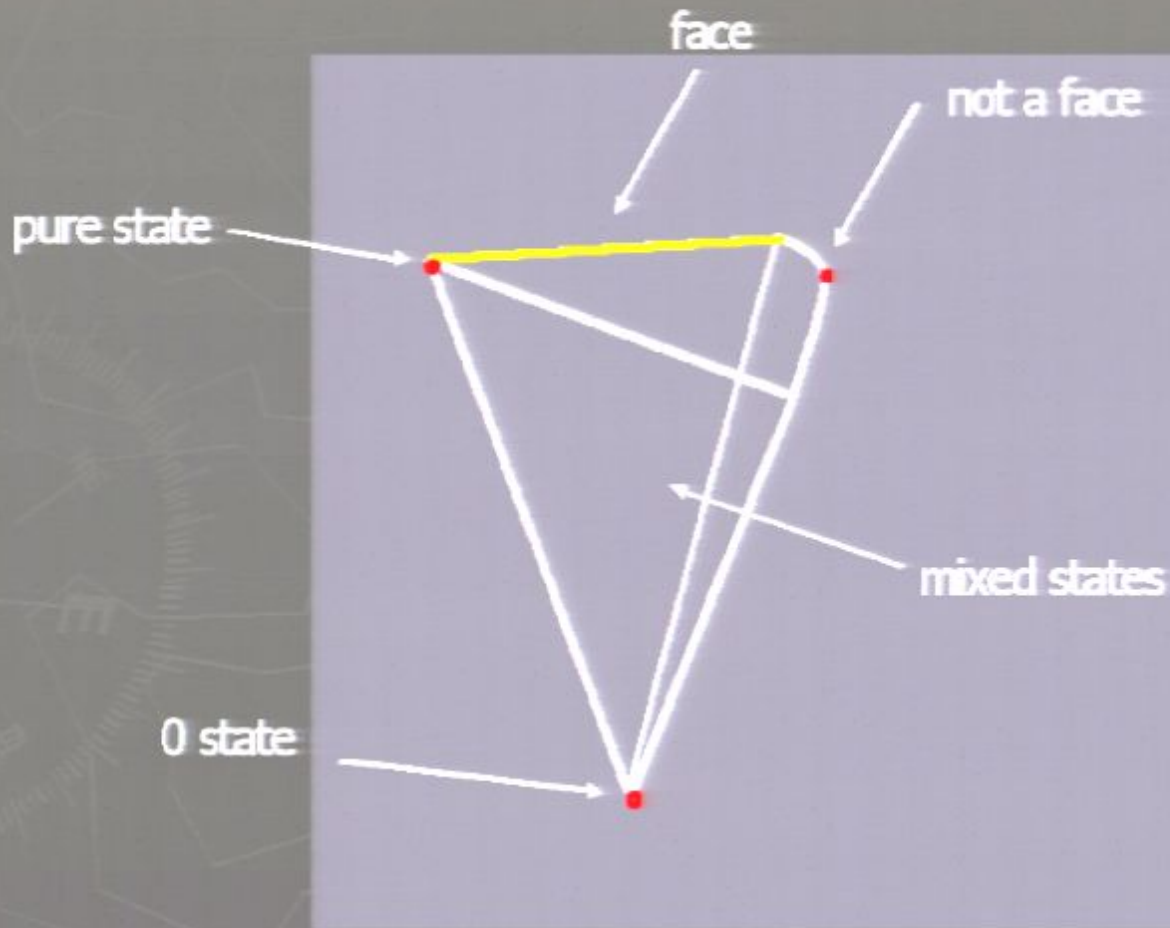
# A Bit More Generally

- ▶ Physical system modeled in a vector space  $\mathbf{R}^n$ 
  - Un-normalized state space: cone  $S$  in  $\mathbf{R}^n$
  - Normalized states:  $S_j$  in a plane in  $S$
- ▶ Outcomes/effects as positive linear functionals on states:
  - Think of  $p_{ij} = r_i \cdot s_j$  as element of  $\mathbf{R}$  acting on element of  $S$ :  
$$\mathbf{R} = S^* = \{ r \text{ in } \mathbf{R}^n \mid 0 \leq r(s) \leq 1 \text{ for all } s \text{ in } S \}$$
- ▶ **Order unit**: special functional  $u$  which is 1 on all of  $S_j$ , representing "is there a system?"
- ▶ Process/transformation/dynamics: positive linear map  $O: S \rightarrow S$ 
  - $u(Os)$  : probability that process  $O$  occurs



# Cones

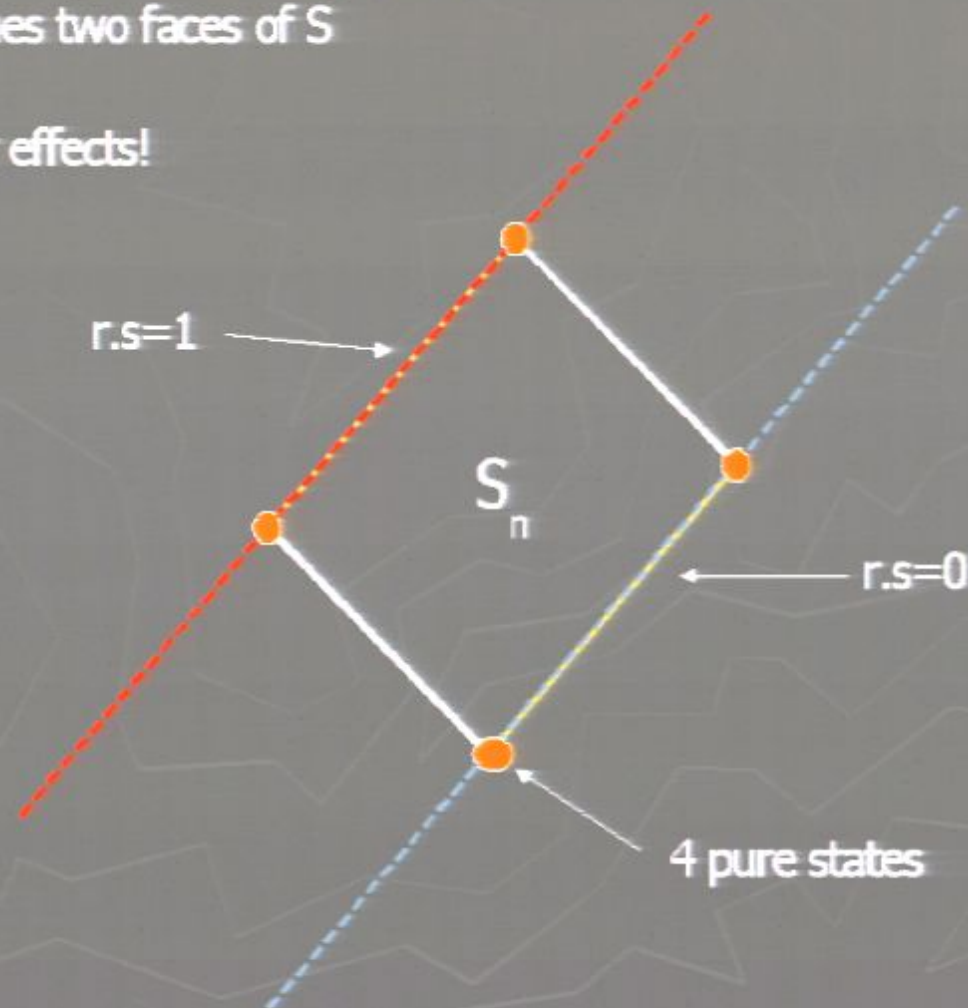
► Cone of states:



# Measurement outcomes as planes

Each effect can be thought of as two planes in  $S$  space: determines two faces of  $S$

Note: no algebra for effects!



# Filters

- ▶ effects  $\Leftrightarrow$  operations  $\Leftrightarrow$  preparations
- ▶ **Filter:** Operation  $O_i$  conditional on some measurement outcome  $r_i$ :
  - 1) linear absorbent:
    - ▶  $O(s + s') = O(s) + O(s')$  and  $u(Os) \leq u(s)$
  - 2) neutral to every system to which it is transparent:
    - ▶  $u(Os) = u(s) \Rightarrow Os = s$
  - 3) idempotent:  $O^2 = O$
- ▶  $r_i(s) = u(O_i s)$  – many  $O_i$  can give same  $r_i$
- ▶ Filters determine the faces of  $S$ :  $B_{O_i} = \{s \mid Os = s\}$

# Detection ratios and transition probabilities

- ▶ Take a face  $S_0$  and take  $D(S_0) = \{ \text{all } d \mid d(S_0) = 1 \}$
- ▶ Define detection ratio:  $d(x|S_0) = \inf \{ d(x) \mid d \text{ is in } S_0 \}$
- ▶ Any detector registering all  $S_0$  systems, must register at least  $d(x|S_0)$  of  $x$  systems

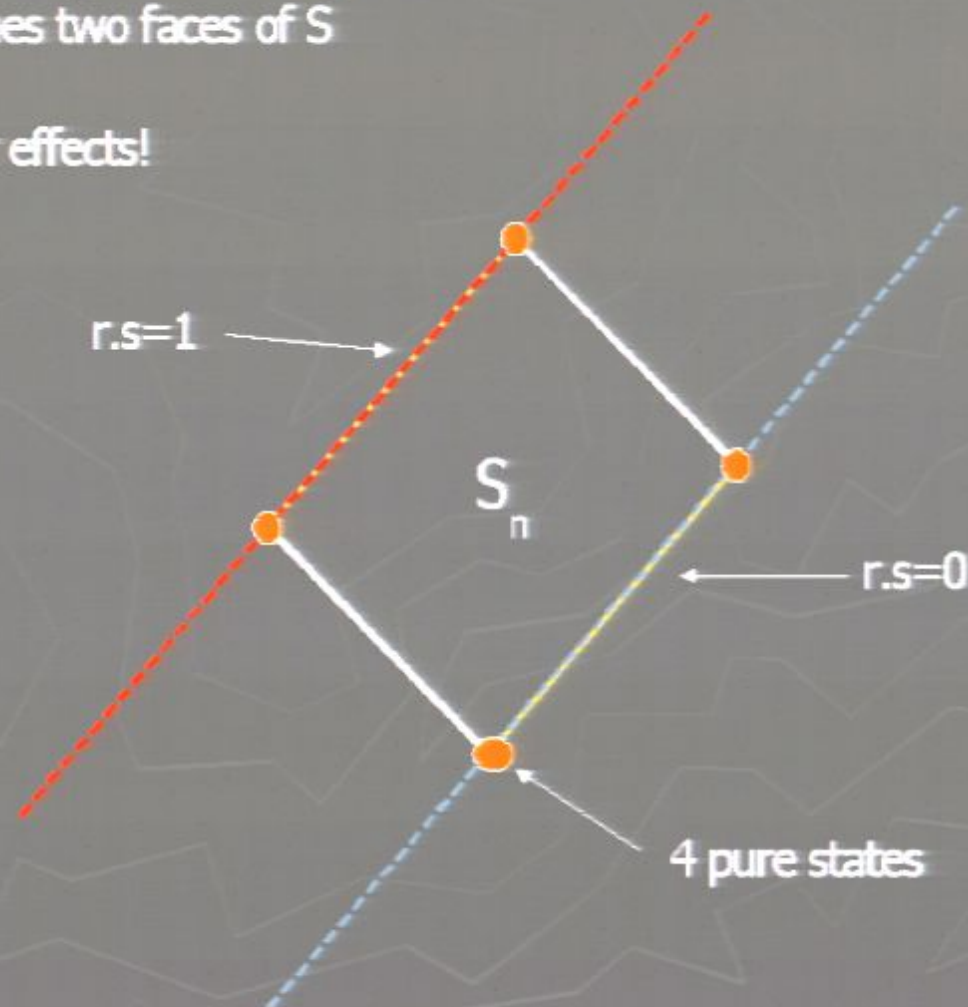




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# Impossibility Principle

- ▶ **Quantum case:** Pure state  $|a\rangle$ , and effect  $E$  such that:
  - $\text{Tr}[E |a\rangle\langle a|] = 1$
  - For all other states  $|b\rangle$ ,  $\text{Tr}[E |b\rangle\langle b|] \geq |\langle a|b\rangle|^2$
  - Minimal probability of confusing two states
- ▶ Hilbert space angle is coded in operational geometry!
- ▶ **ex:** linear and circular polarization of light
- ▶ Arent transition probabilities usually associated with dynamics??

# Transition Probabilities?

- ▶ Take two pure states  $x$ ,  $y$ , and the filters  $\{a_x\}$  and  $\{a_y\}$ 
  - $a_x, a_y$  are neutral to  $x$  and  $y$ , ie: they “verify” that the particles are in the respective states
- ▶ Let state  $x$  pass through filter  $a_y$ 
  - $u(a_y|x) \stackrel{?}{=} ?$  transition probability  $\Pr(y|x)$
- ▶ **Quantum case:** 1-1 relationship between pure states  $x$ , and filters  $a_x$  identifying them!
- ▶ What if there are many  $a_x$  ?
- ▶ What if there is no unique minimum?
- ▶ Is  $\Pr(y|x)$  symmetric?





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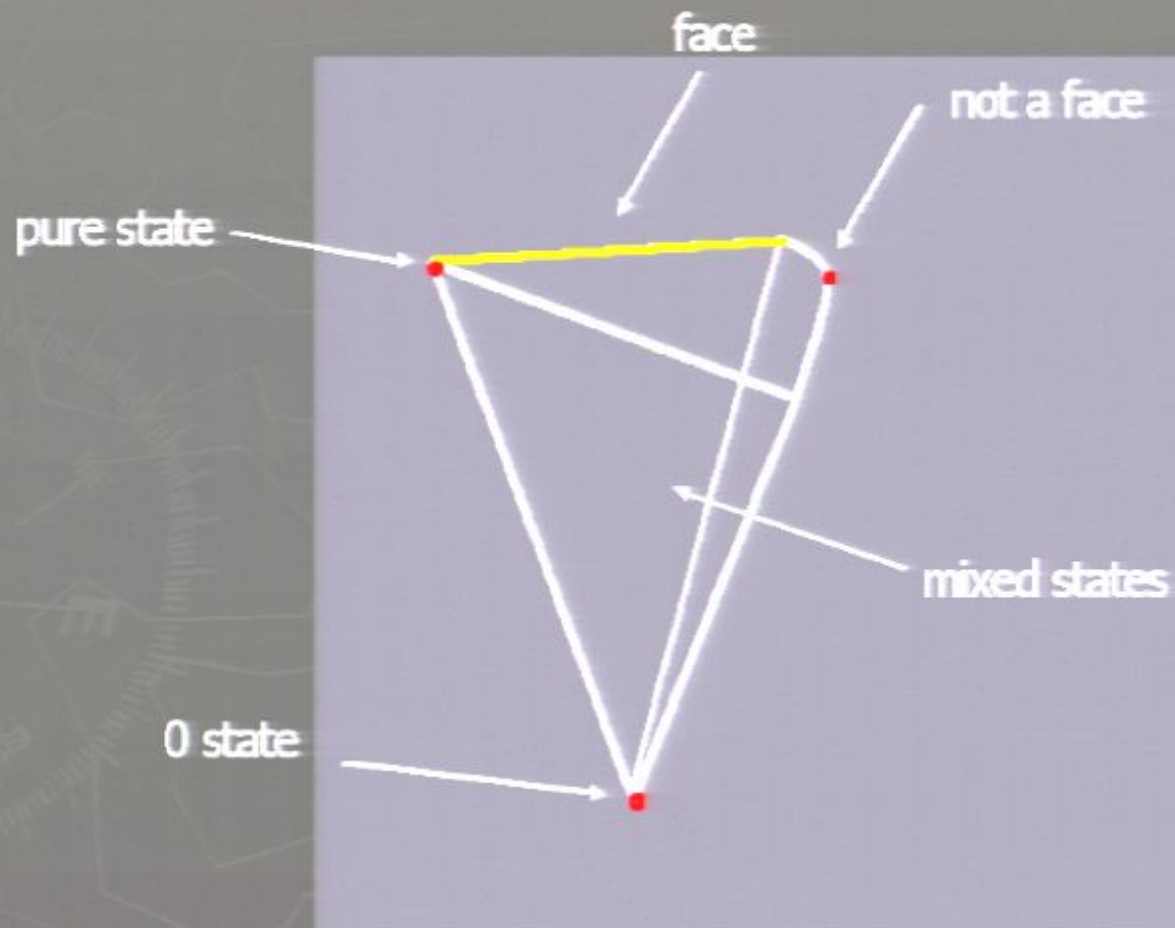
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# Haag axioms

- ▶ **H1)** There exists a 1-1 correspondence between pure sources/preparations and pure detectors/effects such that:
  - a) for every pure state  $s$ , there exists a pure effect  $r_s$  such that:  
 $r_s(s)=1$ , and  $r_s(s')<1$  for all  $s'$  different from  $s$
  - b)  $r_s(s')=r_{s'}(s)$
- ▶ **H2)**  $S$  is homogeneous, ie: for all  $s, s'$  there is an  $O$  with  $O s=s'$

# What does this mean?

- ▶ Pure states can be prepared and identified!
- ▶ probability of some dynamical process?
  - symmetry of corresponding evolution process
- ▶ conditional probability?
  - given some information, what is the probability of verifying some other information?
- ▶ What if we drop some axioms??

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# Example 1

- ▶ Box theory:
  - Not strictly convex, only 4 pure states
- ▶ Given pure state  $x$ , the effect identifying it is not pure!
  - TWO pure functionals for each pure state (almost identifying)
- ▶ no unique transition probability between 2 pure states!
  - Detection ratio between any two pure states is 0

# Example 2

- ▶ Non-elliptic theory:
  - strictly convex, with smooth boundary
- ▶ H1a) satisfied
- ▶ Asymmetric transition probabilities!
  - Not describable in  $C^*$ -algebra framework

# Consequences

► **Theorem:** An irreducible space of normalized states  $S_n$  satisfies the above axioms if and only if it is a state space of a finite dimensional simple, real Jordan-Banach algebra.

► **Jordan algebra:** Algebra over a real vector space, such that:

- 1)  $xy = yx$  - commutativity
- 2)  $(xy)(yx) = x(y(xx))$  - special associativity

- 1) Self adjoint  $N \times N$  real matrices
- 2) Self adjoint  $N \times N$  complex matrices
- 3) Self adjoint  $N \times N$  quaternionic matrices
- 4) Self adjoint  $3 \times 3$  octonionic matrices
- 5)  $S^n$  - spin factors

► See Alfsen and Shultz

# Geometry again

- ▶ Complex projective space:
  - Special symplectic manifold with Riemannian metric
  - Constant (holomorphic sectional) curvature
- ▶ Schroedinger evolution = restricted Hamiltonian evolution
- ▶ Unitary maps = Symplectic and orthogonal
- ▶ Suggests natural modification: non-constant curvature!
  
- ▶ See Ashtekar 9706069



# Comments and Questions

- ▶ Status of axioms?
  - CBH and information theoretic axioms...
- ▶ Why is QT so rigid and symmetric?
- ▶ Is there a theory with a strictly convex, smooth boundary, which satisfies  $K=N^d$  ?
- ▶ Is it possible to modify these structures while not going back to a classical space?
  - Asymmetric transition probabilities?
  - Curvature?

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