

Title: Structure Formation in the Early Universe via Stochastic Gravity.

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# Cosmological Perturbations and Structure Formation via

## STOCHASTIC GRAVITY



B. L. Hu

*Maryland Center for Fundamental Physics*

*University of Maryland, College Park*

- Canadian Atlantic GR meeting, *Univ. New Brunswick, May 11, 2008*
- CITA seminar *Univ. Toronto* *April 18, 2008.*
- *KITP- UCSB Conference on Nonequilibrium Phenomena in  
Cosmology and Particle Physics* *(Feb 25-29, 2008)*

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# OUTLINE

1. **Semiclassical gravity** (SCG): SC Einstein Eqn:  $\langle T_{mn} \rangle$
2. **Stochastic gravity: Einstein-Langevin Equation**

**Noise Kernel:**  $\langle T_{mn} T_{rs} \rangle$

3. Influence functional; Stochastic Effective Action.
4. One of many areas of Application:

**Primordial cosmological perturbations**

- *Gives result equivalent at linear order to usual method of quantizing metric and inflaton perturbations*
- *But can treat **quadratic order perturbations***

*needed in  $R^2$  trace anomaly driven (Starobinsky) inflation.*

**A (very modest) Bottom-Up route:**  
**General Relativity + Quantum Field Theory** →  
**Quantum Field Theory in Curved Spacetime** →  
**Semiclassical Gravity** → **Stochastic Gravity**

1970s, 1980s

Semiclassical Gravity

- Quantum Fields in <sup>Classical</sup> Curved Space: Test Field approx.
- Vacuum state (Fulling 71)
  - Particle Creation: Cosmological Spacetimes, Black Holes: Hawking Radiation (1974)
  - Regularization of  $\langle T_{\mu\nu} \rangle$

$$G_{\mu\nu}(g_{\alpha\beta}) = 8\pi G \langle T_{\mu\nu}(\phi) \rangle$$

▷ Backreaction: Expectation value wrt vacuum

Spacetime not fixed, but determined by field

- Self-consistency and vice versa

# SEMICLASSICAL GRAVITY

Semiclassical Einstein eq. for classical geometry,  
metric function  $g$

$$G_{ab}[g] = \kappa \langle \hat{T}_{ab}[g] \rangle_{ren} \quad \kappa = 8\pi G = 8\pi / m_P^2$$

Klein-Gordon eq. for quantum matter field  $\hat{\phi}$

$$(\nabla_g^2 - m^2 - \xi R)\hat{\phi} = 0$$

- Solve for  $g$  and  $\hat{\phi}$  self-consistently.
- Backreaction problem is at the heart of semiclassical gravity.

# SEMICLASSICAL EINSTEIN EQUATION

Renormalization introduces quadratic tensors

$$G_{ab}[g] + \Lambda g_{ab} - \alpha A_{ab}[g] - \beta B_{ab}[g] = \kappa \langle \hat{T}_{ab}[g] \rangle_{ren}$$

where

$$A^{ab} = \frac{1}{\sqrt{-g}} \frac{\delta}{\delta g_{ab}} \int d^4x \sqrt{-g} C_{cdef} C^{cdef}$$

$$B^{ab} = \frac{1}{\sqrt{-g}} \frac{\delta}{\delta g_{ab}} \int d^4x \sqrt{-g} R^2$$

$$T^{ab} = \nabla^a \phi \nabla^b \phi - \frac{1}{2} g^{ab} (\nabla^c \phi \nabla_c \phi + m^2 \phi^2) + \xi (g^{ab} \nabla^c \nabla_c - \nabla^a \nabla^b + G^{ab}) \phi^2$$

# LIMITS OF SEMICLASSICAL GRAVITY

- Below Planck energy: measurements of time and length intervals

$$\Delta t \gg t_P, \quad \Delta l \gg \ell_P$$

- Quantum fluctuations of stress tensor small:

$$\langle \hat{T}^2 \rangle - \langle \hat{T} \rangle^2 \approx 0$$

We want to extend semiclassical Einstein equations to

account for fluctuations of  $\hat{T}_{ab}$  consistently.



# Semiclassical Gravity

**Semiclassical Einstein Equation** (schematically):

$$\tilde{G}_{\mu\nu}(g_{\alpha\beta}) = \kappa \langle \hat{T}_{\mu\nu} \rangle_q + \kappa (T_{\mu\nu})_c$$

$\tilde{G}_{\mu\nu}$  is the Einstein tensor (plus covariant terms associated with the renormalization of the quantum field)

$\kappa = 8\pi G_N$  and  $G_N$  is Newton's constant

Free massive scalar field

$$(\square - m^2 - \xi R)\hat{\phi} = 0.$$

$\hat{T}_{\mu\nu}$  is the stress-energy tensor operator  
 $\langle \rangle_q$  denotes the expectation value

# Stochastic Gravity

**Einstein- Langevin Equation** (schematically):

$$\tilde{G}_{\mu\nu}(g_{\alpha\beta}) = \kappa (T_{\mu\nu}^c + T_{\mu\nu}^{\text{qs}})$$

$T_{\mu\nu}^c$  is due to classical matter or fields

$$T_{\mu\nu}^{\text{qs}} \equiv \langle \hat{T}_{\mu\nu} \rangle_{\text{q}} + T_{\mu\nu}^{\text{s}}$$

$T_{\mu\nu}^{\text{qs}}$  is a new stochastic term

related to the quantum fluctuations of  $T_{\mu\nu}$

# How could a quantum field give rise to a **stochastic source**?

via **Influence functional** (Feynman-Vernon 1963):

- We will assume linear perturbation of semiclassical solution

$g_{ab} + h_{ab}$  But stochastic gravity is NOT restricted to linear perturbations

- **Einstein-Langevin** equation:  $G_{g+h} = \kappa(\langle \hat{T} \rangle_{g+h} + \xi)$

$$G_{ab}^{(1)}[g+h] = \kappa \langle \hat{T}_{ab}^{(1)}[g+h] \rangle_{ren} + \kappa \xi_{ab}[g]$$

$$(\nabla_{g+h}^2 - m^2 - \xi R)\hat{\phi} = 0$$

# NOISE KERNEL

- Exp Value of 2-point correlations of stress tensor: bitensor
- Noise kernel measures **quantum fluctuations** of stress tensor

$$N_{abcd}(x, y) = \frac{1}{2} \langle \langle \hat{t}_{ab}(x), \hat{t}_{cd}(y) \rangle \rangle$$

$$\hat{t}_{ab} \equiv \hat{T}_{ab} - \langle \hat{T}_{ab} \rangle \hat{I}$$

It can be represented by (shown via influence functional to be equivalent to) a classical **stochastic** tensor source  $\xi_{ab}[g]$

$$\langle \xi_{ab} \rangle_s = 0$$

$$\langle \xi_{ab}(x) \xi_{cd}(y) \rangle_s = N_{abcd}(x, y)$$

- **Symmetric, traceless** (for conformal field), **divergenceless**

# Noise associated with the fluctuations of a quantum field

- The noise kernel is real and positive semi-definite as a consequence of stress energy tensor being self-adjoint

the ultraviolet behaviour of  $\langle \hat{T}_{ab}(x) \hat{T}_{cd}(y) \rangle$  is the same as that of  $\langle \hat{T}_{ab}(x) \rangle \langle \hat{T}_{cd}(y) \rangle$ ,

- Classical Gaussian stochastic tensor field:

$$\langle \xi_{ab}[g; x] \rangle_S = 0, \quad \langle \xi_{ab}[g; x] \xi_{cd}[g; y] \rangle_S = N_{abcd}[g; x, y],$$

$\langle \dots \rangle_S$

denotes statistical average wrt this noise distribution

# Classical Stochastic Field

## assoc. with a Quantum Field

- Stochastic tensor is covariantly conserved in the background spacetime (which is a solution of the semiclassical Einstein equation).

$$\nabla^a \xi_{ab}[g; x) = 0.$$

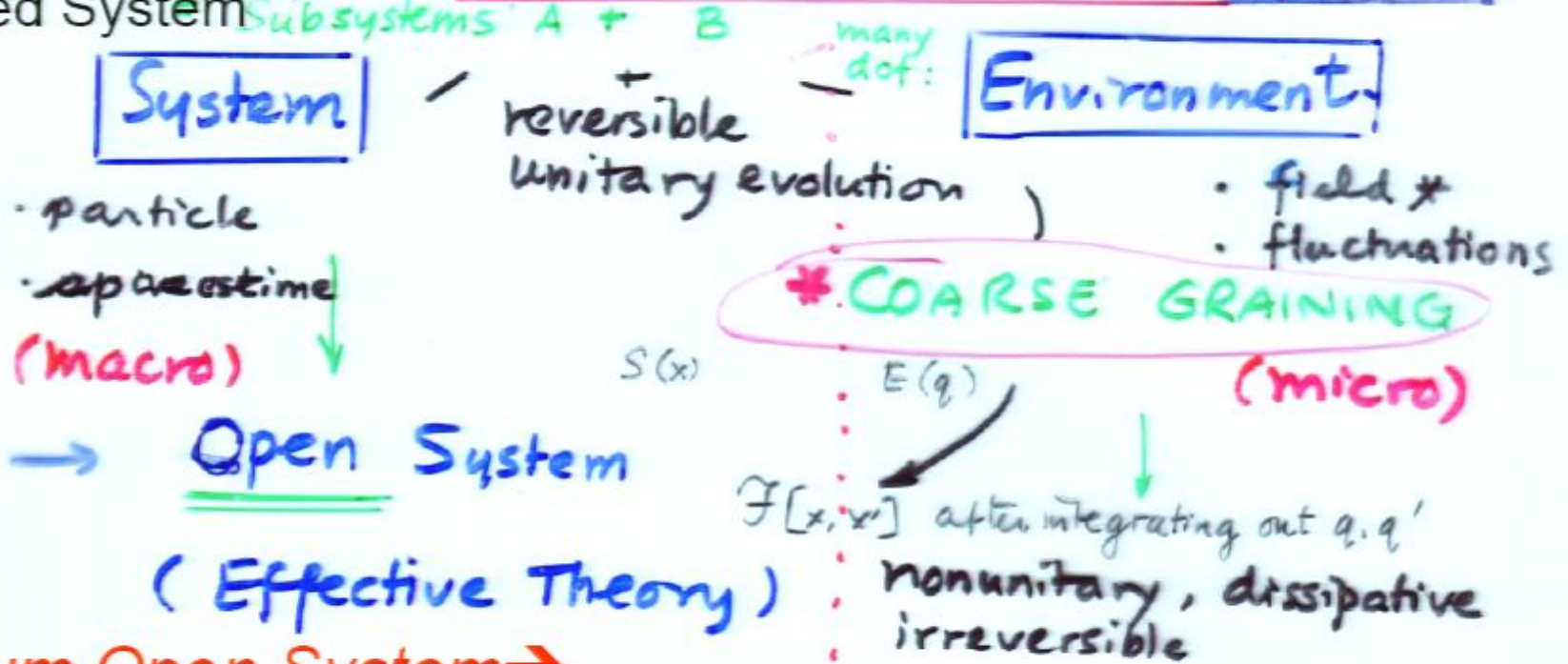
- For a conformal field  $\xi_{ab}$  is traceless:

$$g^{ab} \xi_{ab}[g; x) = 0;$$

Thus there is no stochastic correction to the trace anomaly

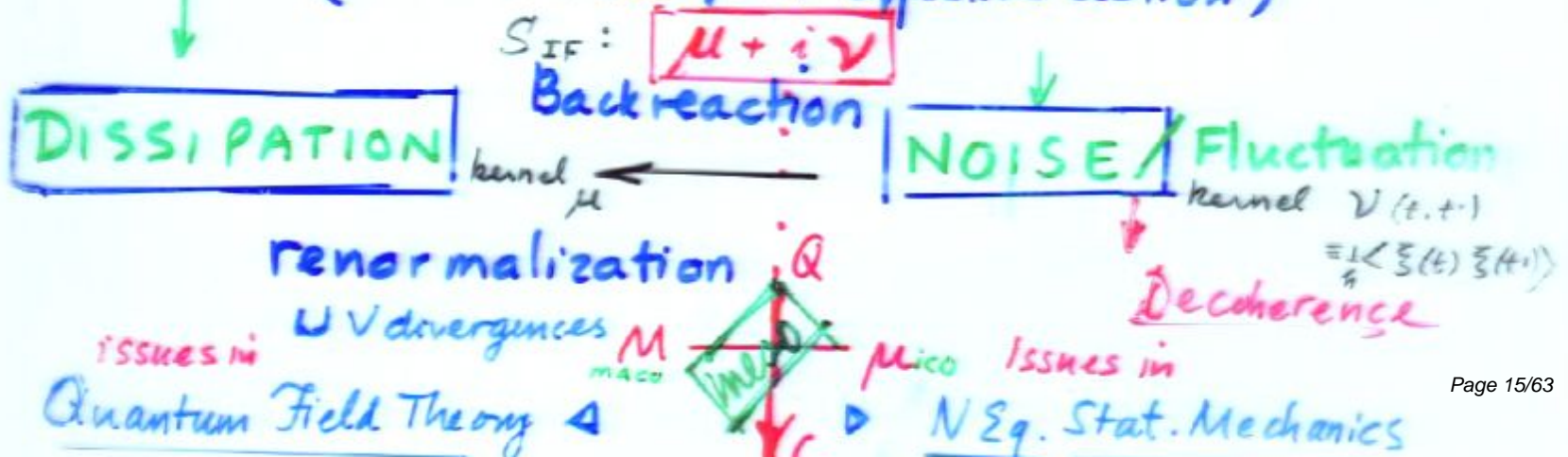
► Closed System: Quantum deterministic Dynamics

Q. Closed System



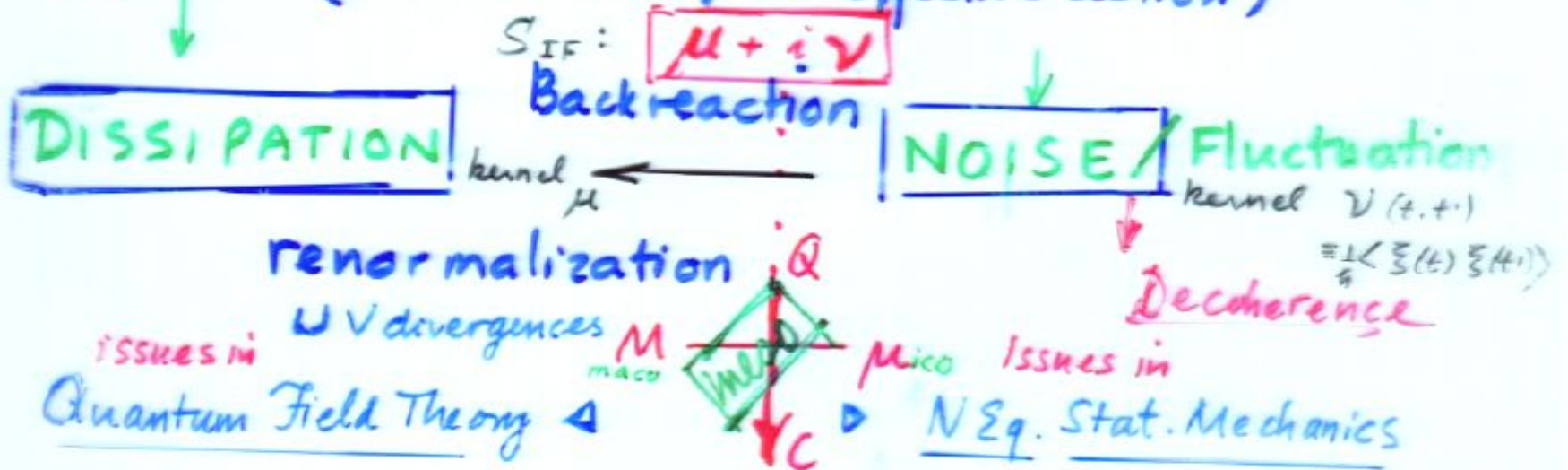
Quantum Open System →

- Influence Functional / action  $\mathcal{F} = e^{i S_{IF}}$   
(closed-time-path coarse-grained effective action)



Quantum Open System

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Quantum  $\rightarrow$  Classical via

(environment-induced) decoherence

Master Eqn., Fokker-Planck Eqn.

Langevin Eqn. Classical Stochastic Dynamics

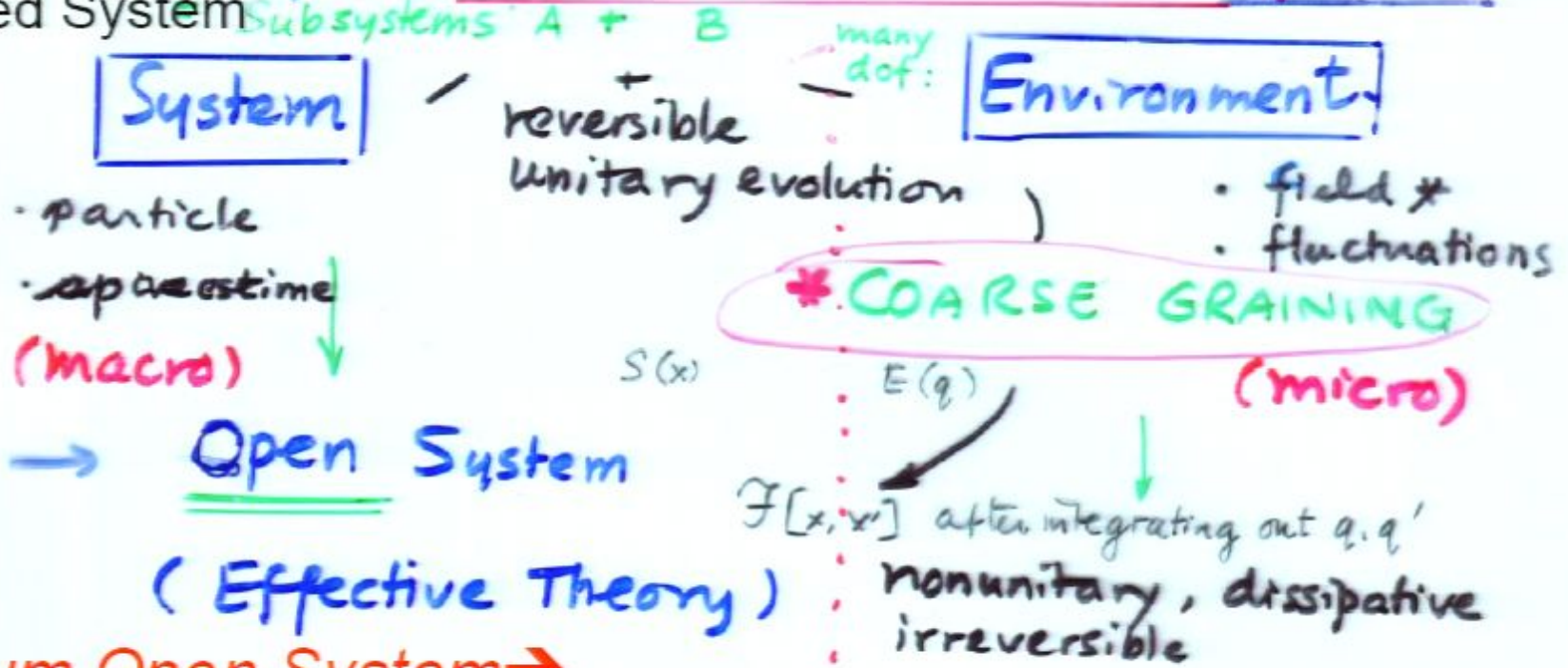
e.g.  $M \frac{d^2}{ds^2} X_c(s) + 2M \int_0^s ds' \gamma(s-s') \frac{d}{ds'} X_c(s') + M\omega_0^2 X_c(s) = F_\xi(s)$

$\underbrace{\hspace{10em}}_{CM \text{ of classical paths}}$   $\underbrace{\hspace{10em}}_{\frac{dx}{ds} = \mu \text{ Dissipation}}$   $\underbrace{\hspace{10em}}_{\omega_0^2}$   $\underbrace{\hspace{10em}}_{\xi \text{ for linear cplg. Noise}}$



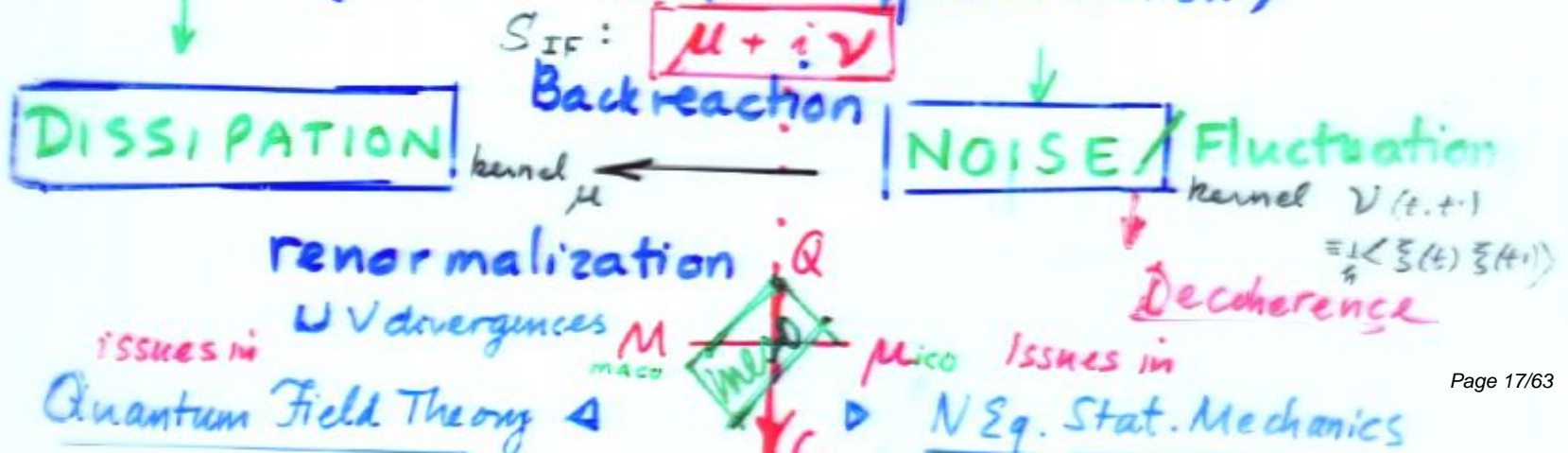
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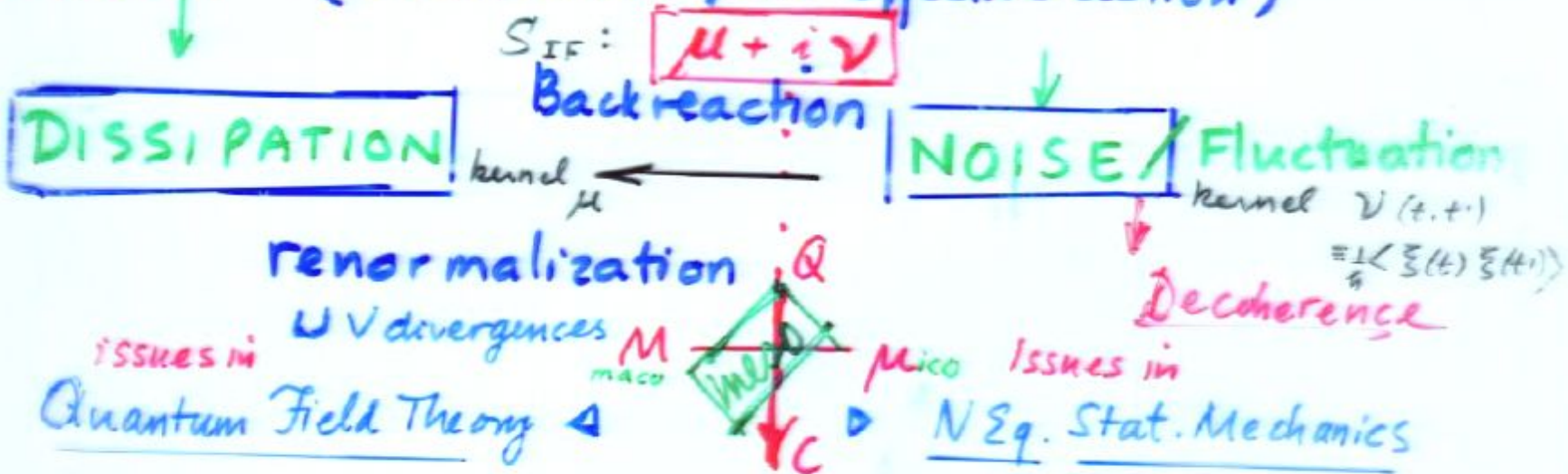
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Quantum → Classical via (-environment-induced) decoherence

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e.g.  $M \frac{d^2}{ds^2} X_c(s) + 2M \int_0^s ds' \gamma(s-s') \frac{d}{ds'} X_c(s') + M\omega_0^2 X_c(s) = F_\xi(s)$

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$\xi$  for linear cplg. Noise

# Quantum Open System

Closed System: **Density Matrix**  $\hat{\rho}(t) = \mathcal{J}(t, t_i)\hat{\rho}(t_i)$ .

$\mathcal{J}(x, \mathbf{q}, x', \mathbf{q}', t | x_i, \mathbf{q}_i, x'_i, \mathbf{q}'_i, t_i)$  is the (**unitary**)  
**evolutionary operator** of the system from initial time  $t_i$  to time  $t$ .

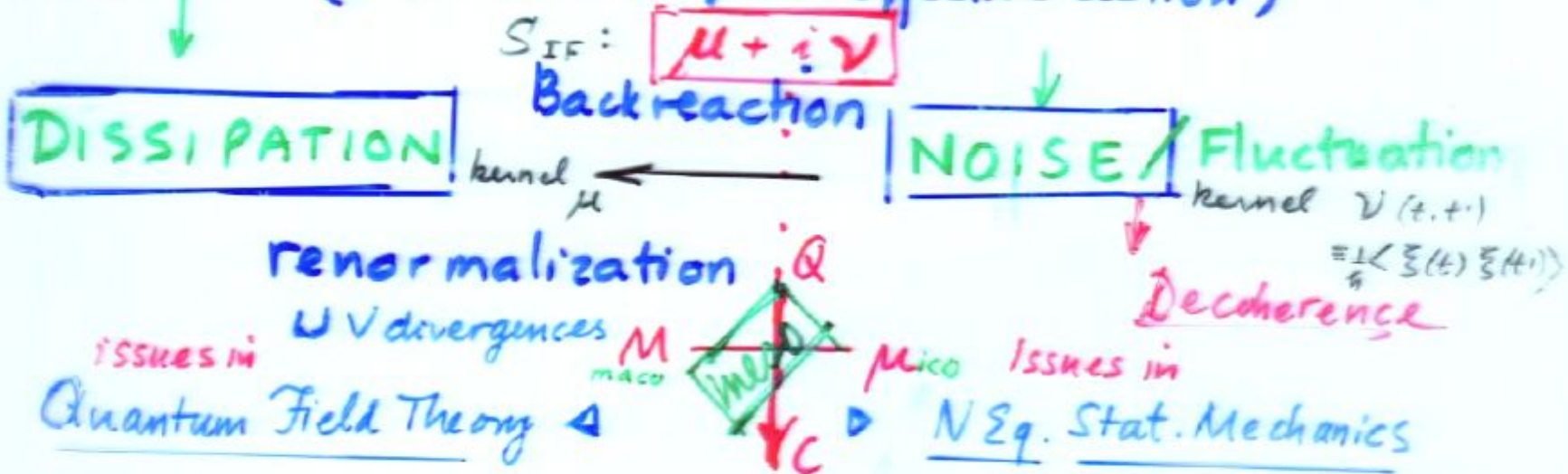
**OPEN SYSTEM:** System (s) interacting with an Environment (e) or Bath (b): Integrate out (coarse-graining) the bath dof renders the system open. Its evolution is described by the **Reduced Density Matrix**

$$\rho_r(x, x') = \int_{-\infty}^{+\infty} dq \int_{-\infty}^{+\infty} dq' \rho(x, \mathbf{q}; x', \mathbf{q}') \delta(\mathbf{q} - \mathbf{q}')$$

$$\rho_r(x, x', t) = \int_{-\infty}^{+\infty} dx_i \int_{-\infty}^{+\infty} dx'_i \mathcal{J}_r(x, x', t | x_i, x'_i, t_i) \rho_r(x_i, x'_i, t_i).$$

Quantum Open System

- Influence Functional / action  $\mathcal{F} = e^{i S_{IF}}$   
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Quantum  $\rightarrow$  Classical via (-environment-induced) decoherence

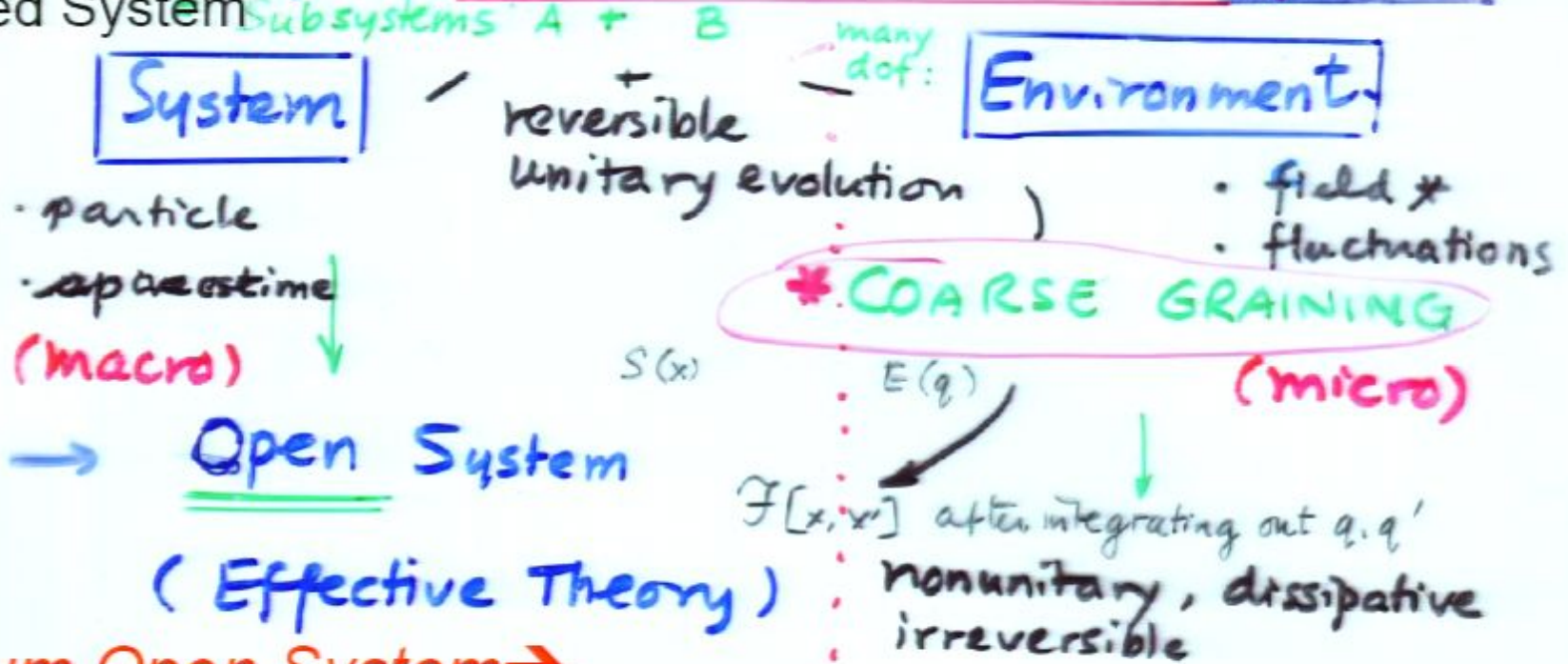
Master Eqn., Fokker-Planck Eqn., Langevin Eqn. Classical Stochastic Dynamics

e.g.  $M \frac{d^2}{ds^2} X_c(s) + 2M \int_0^s ds' \gamma(s-s') \frac{d}{ds'} X_c(s') + M\omega_0^2 X_c(s) = F_{\xi}(s)$

$\underbrace{\hspace{10em}}_{\text{C.M. of classical paths}} \quad \underbrace{\hspace{10em}}_{\substack{\frac{dx}{ds} = \mu \\ \text{Dissipation}}} \quad \underbrace{\hspace{10em}}_{\substack{\omega_0^2 \\ \text{Noise}}} = F_{\xi}(s) \quad \text{Noise for linear cplg}$

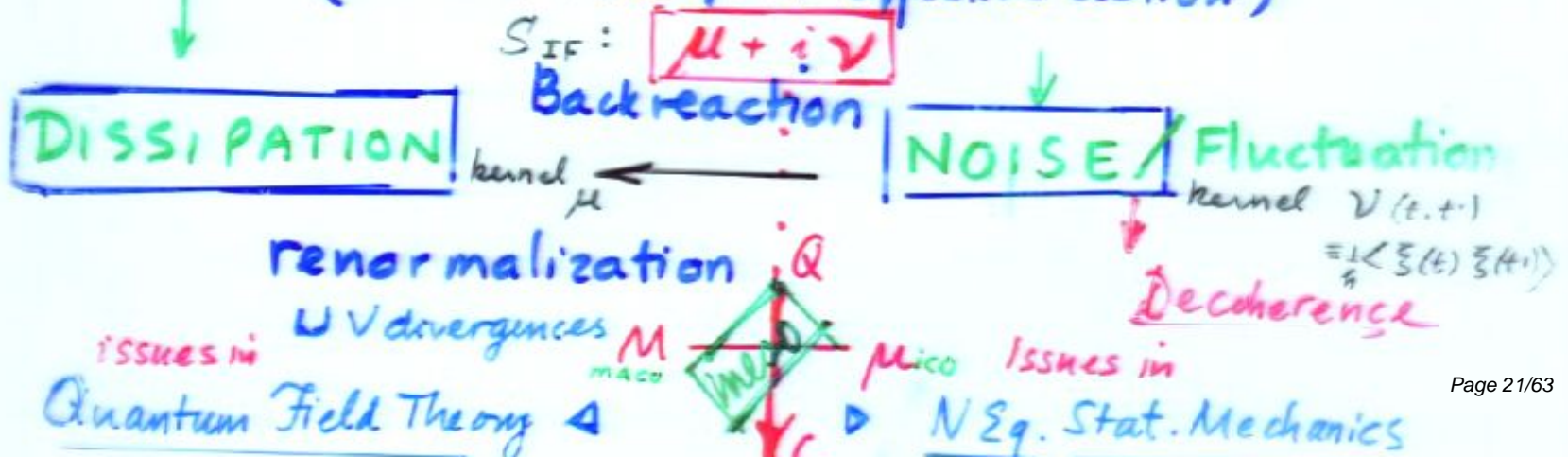
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Quantum Open System →

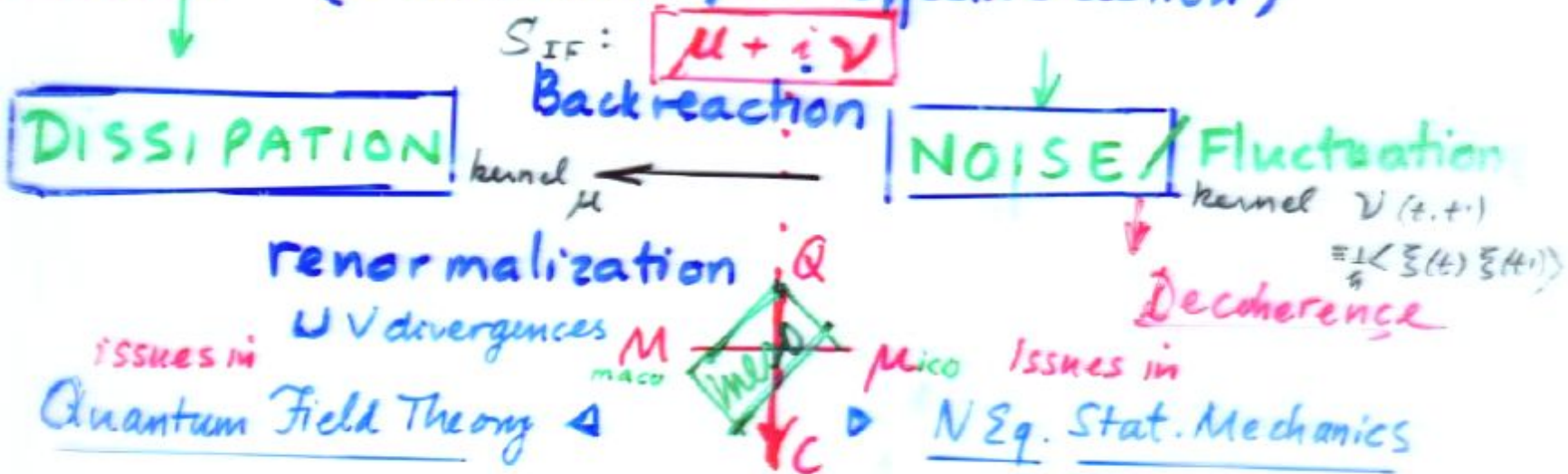
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Quantum Open System

• Influence Functional / action  
 (closed-time-path coarse-grained effective action)

$$\mathcal{Z} = e^{i S_{IF}}$$



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Master Eqn., Fokker-Planck Eqn.,

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e.g.  $M \frac{d^2}{ds^2} X_c(s) + 2M \int_0^s ds' \gamma(s-s') \frac{d}{ds'} X_c(s') + M\omega_0^2 X_c(s) = F_\xi(s)$

C.M. of classical paths

$\frac{dx}{dt} = \dots$  Dissipation

$\omega_0^2$

$\xi$  for linear cplg

Noise

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$$\rho_r(x, x') = \int_{-\infty}^{+\infty} dq \int_{-\infty}^{+\infty} dq' \rho(x, \mathbf{q}; x', \mathbf{q}') \delta(\mathbf{q} - \mathbf{q}')$$

$$\rho_r(x, x', t) = \int_{-\infty}^{+\infty} dx_i \int_{-\infty}^{+\infty} dx'_i \mathcal{J}_r(x, x', t | x_i, x'_i, t_i) \rho_r(x_i, x'_i, t_i).$$

# Influence Functional

Assume factorizable condition between the system (s) and the bath (b) initially

$$\hat{\rho}(t = t_i) = \hat{\rho}_s(t_i) \times \hat{\rho}_b(t_i),$$

Evolutionary operator for the reduced density matrix is

$$\mathcal{T}_r(x_f, x'_f, t | x_i, x'_i, t_i) = \int_{x_i}^{x_f} Dx \int_{x'_i}^{x'_f} Dx' \exp\left(\frac{i}{\hbar} \{S[x] - S[x']\}\right) \mathcal{F}[x, x']$$

Influence Functional

$$\mathcal{F}[x, x'] = \int_{-\infty}^{+\infty} d\mathbf{q}_f \int_{-\infty}^{+\infty} d\mathbf{q}_i \int_{-\infty}^{+\infty} d\mathbf{q}'_i \int_{\mathbf{q}_i}^{\mathbf{q}_f} D\mathbf{q} \int_{\mathbf{q}'_i}^{\mathbf{q}'_f} D\mathbf{q}' \exp\left(\frac{i}{\hbar} \{S_b[\mathbf{q}] + S_{\text{int}}[x, \mathbf{q}] - S_b[\mathbf{q}'] - S_{\text{int}}[x', \mathbf{q}']\}\right) \times \rho_b(\mathbf{q}_i, \mathbf{q}'_i, t_i)$$

Influence Action

$$= \exp\left(\frac{i}{\hbar} \delta \mathcal{A}[x, x']\right)$$



# Quantum Brownian Motion II

**System (S):** quantum oscillator *with time dependent natural frequency*

**Environment (E) :** n-quantum oscillators

*with time-dependent natural frequencies = Scalar Field*

**Coupling:**  $c_n F(x) q_n$ .

$$S[x, \mathbf{q}] = S[x] + S_E[\mathbf{q}] + S_{\text{int}}[x, \mathbf{q}]$$

$$= \int_0^t ds \left[ \frac{1}{2} M(s) [\dot{x}^2 + B(s) x \dot{x} - \Omega^2(s) x^2] \right.$$

$$\left. + \sum_n \left\{ \frac{1}{2} m_n(s) [\dot{q}_n^2 + b_n(s) q_n \dot{q}_n - \omega_n^2(s) q_n^2] + \sum_n \left( -c_n(s) F(x) q_n \right) \right\} \right]$$

# Influence functional for a Paramp

$$\mathcal{F}[x, x'] = \exp \left\{ -\frac{i}{\hbar} \int_{t_i}^t ds \int_{t_i}^s ds' [F(x(s)) - F(x'(s))] \mu(s, s') [F(x(s')) + F(x'(s'))] \right. \\ \left. - \frac{1}{\hbar} \int_{t_i}^t ds \int_{t_i}^s ds' [F(x(s)) - F(x'(s))] \nu(s, s') [F(x(s')) - F(x'(s'))] \right\}$$

$$\Sigma(s) = \frac{1}{2} (F(x(s)) + F(x'(s))),$$

$$\Delta(s) = F(x(s)) - F(x'(s)),$$

## Dissipation $\mu$ and Noise $\nu$ Kernels

$$\mathcal{F}[x, x'] = \exp \left\{ \frac{i}{\hbar} \int_{t_i}^t ds \Delta(s) \langle \xi(s) \rangle - \frac{1}{\hbar^2} \int_{t_i}^t ds \int_{t_i}^s ds' \Delta(s) \Delta(s') C_2(s, s') \right. \\ \left. \langle \bar{\xi}(t) \bar{\xi}(t') \rangle = C_2(s, s') \equiv \hbar \nu(s, s') \right\}$$

## Langevin Equation:::

$$\frac{\partial L}{\partial x} - \frac{d}{dt} \frac{\partial L}{\partial \dot{x}} - 2 \frac{\partial F(x)}{\partial x} \int_{t_i}^t \mu(t, s) F(x(s)) ds = - \frac{\partial F(x)}{\partial x} \bar{\xi}(t)$$

# Noise and Dissipation Kernels

Equation of Motion for the amplitude function of a Parametric Oscillator

$$b_n = 0 \text{ and } m = 1 \quad \kappa_n = \dot{m}_n(t_i)\omega_n(t_i) \quad \ddot{X}_n + \omega_n^2(t)X_n = 0,$$

$$\mu(s, s') = \frac{i}{2} \int_0^\infty d\omega I(\omega, s, s') \left[ X_\omega^*(s)X_\omega(s') - X_\omega(s)X_\omega^*(s') \right],$$

$$\nu(s, s') = \frac{1}{2} \int_0^\infty d\omega I(\omega, s, s') \coth\left(\frac{\hbar\omega(t_i)}{2k_B T}\right) \left[ \cosh 2r(\omega) \left[ X_\omega^*(s)X_\omega(s') + X_\omega(s)X_\omega^*(s') \right] \right. \\ \left. - \sinh 2r(\omega) \left[ e^{-2i\phi(\omega)} X_\omega^*(s)X_\omega^*(s') + e^{2i\phi(\omega)} X_\omega(s)X_\omega(s') \right] \right].$$

Spectral Density Function

$$I(\omega, s, s') = \sum_n \delta(\omega - \omega_n) \frac{c_n(s)c_n(s')}{2\kappa_n}$$

$I(\omega) \sim \omega^n$        $n=1$ : Ohmic,    $n>1$  Supra Ohmic;    $n<1$  Subohmic

Squeezed and Rotation parameters:  $\hat{\rho}_b(t_i) = \prod_n \hat{S}_n(r(n), \phi(n)) \hat{\rho}_{th} \hat{S}_n^\dagger(r(n), \phi(n))$

e.g., for an initial squeezed thermal bath

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# Stochastic Equations

**Master Equation:**  
**Non-Markovian)**

$$i\hbar \frac{\partial}{\partial t} \hat{\rho}_r(t) = [\hat{H}_{\text{ren}}, \hat{\rho}] + iD_{pp}[\hat{x}, [\hat{x}, \hat{\rho}]] + iD_{xx}[\hat{p}, [\hat{p}, \hat{\rho}]] \\ + iD_{xp}[\hat{x}, [\hat{p}, \hat{\rho}]] + iD_{px}[\hat{p}, [\hat{x}, \hat{\rho}]] + \Gamma[\hat{x}, \{\hat{p}, \hat{\rho}\}],$$

$$\hat{H}_{\text{ren}} = \frac{\hat{p}^2}{2M(t)} - \frac{B(t)}{4}(\hat{p}\hat{x} + \hat{x}\hat{p}) + \frac{M(t)}{2}\Omega_{\text{ren}}(t)\hat{x}^2.$$

**Wigner Function:**

$$F_W(\Sigma, p, t) = \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} e^{ip\Delta/\hbar} \left\langle \Sigma - \frac{\Delta}{2} \left| \hat{\rho} \right| \Sigma + \frac{\Delta}{2} \right\rangle d\Delta,$$

**Fokker-Planck or Wigner Equation: (Non-Markovian)**

$$\frac{\partial}{\partial t} F_W(\Sigma, p, t) = \left[ -\frac{p}{M(t)} \frac{\partial}{\partial \Sigma} + \frac{1}{2} M(t) \Omega_{\text{ren}}^2(t) \Sigma \frac{\partial}{\partial p} + \Gamma(t) \frac{\partial}{\partial p} p - 2D_{pp}(t) \frac{\partial^2}{\partial p^2} \right. \\ \left. - \hbar D_{xx}(t) \frac{\partial^2}{\partial \Sigma^2} + 2(D_{xp}(t) + D_{px}(t)) \frac{\partial^2}{\partial \Sigma \partial p} \right] F_W(\Sigma, p, t).$$

# Influence functional for a Paramp

$$\mathcal{F}[x, x'] = \exp \left\{ -\frac{i}{\hbar} \int_{t_i}^t ds \int_{t_i}^s ds' [F(x(s)) - F(x'(s))] \mu(s, s') [F(x(s')) + F(x'(s'))] \right. \\ \left. - \frac{1}{\hbar} \int_{t_i}^t ds \int_{t_i}^s ds' [F(x(s)) - F(x'(s))] \nu(s, s') [F(x(s')) - F(x'(s'))] \right\}$$

$$\Sigma(s) = \frac{1}{2} (F(x(s)) + F(x'(s))),$$

$$\Delta(s) = F(x(s)) - F(x'(s)),$$

## Dissipation $\mu$ and Noise $\nu$ Kernels

$$\mathcal{F}[x, x'] = \exp \left\{ \frac{i}{\hbar} \int_{t_i}^t ds \Delta(s) \langle \xi(s) \rangle - \frac{1}{\hbar^2} \int_{t_i}^t ds \int_{t_i}^s ds' \Delta(s) \Delta(s') C_2(s, s') \right. \\ \left. \langle \bar{\xi}(t) \bar{\xi}(t') \rangle = C_2(s, s') \equiv \hbar \nu(s, s') \right\}$$

## Langevin Equation:::

$$\frac{\partial L}{\partial x} - \frac{d}{dt} \frac{\partial L}{\partial \dot{x}} - 2 \frac{\partial F(x)}{\partial x} \int_{t_i}^t \mu(t, s) F(x(s)) ds = - \frac{\partial F(x)}{\partial x} \bar{\xi}(t)$$



# Quantum Brownian Motion II

**System (S):** quantum oscillator *with time dependent natural frequency*

**Environment (E) :** n-quantum oscillators

*with time-dependent natural frequencies = Scalar Field*

**Coupling:**  $c_n F(x) q_n$ .

$$S[x, \mathbf{q}] = S[x] + S_E[\mathbf{q}] + S_{\text{int}}[x, \mathbf{q}]$$

$$= \int_0^t ds \left[ \frac{1}{2} M(s) [\dot{x}^2 + B(s) x \dot{x} - \Omega^2(s) x^2] \right]$$

$$+ \sum_n \left\{ \frac{1}{2} m_n(s) [\dot{q}_n^2 + b_n(s) q_n \dot{q}_n - \omega_n^2(s) q_n^2] + \sum_n \left( -c_n(s) F(x) q_n \right) \right\}$$

# Influence Functional

Assume factorizable condition between the system (s) and the bath (b) initially

$$\hat{\rho}(t = t_i) = \hat{\rho}_s(t_i) \times \hat{\rho}_b(t_i),$$

Evolutionary operator for the reduced density matrix is

$$\mathcal{T}_r(x_f, x'_f, t | x_i, x'_i, t_i) = \int_{x_i}^{x_f} Dx \int_{x'_i}^{x'_f} Dx' \exp\left(\frac{i}{\hbar} \{S[x] - S[x']\}\right) \mathcal{F}[x, x']$$

Influence Functional

$$\mathcal{F}[x, x'] = \int_{-\infty}^{+\infty} d\mathbf{q}_f \int_{-\infty}^{+\infty} d\mathbf{q}_i \int_{-\infty}^{+\infty} d\mathbf{q}'_i \int_{\mathbf{q}_i}^{\mathbf{q}_f} D\mathbf{q} \int_{\mathbf{q}'_i}^{\mathbf{q}'_f} D\mathbf{q}' \exp\left(\frac{i}{\hbar} \{S_b[\mathbf{q}] + S_{\text{int}}[x, \mathbf{q}] - S_b[\mathbf{q}'] - S_{\text{int}}[x', \mathbf{q}']\}\right) \times \rho_b(\mathbf{q}_i, \mathbf{q}'_i, t_i)$$

Influence Action

$$= \exp\left(\frac{i}{\hbar} \delta \mathcal{A}[x, x']\right)$$

# Quantum Open System

Closed System: **Density Matrix**  $\hat{\rho}(t) = \mathcal{J}(t, t_i)\hat{\rho}(t_i)$ .

$\mathcal{J}(x, \mathbf{q}, x', \mathbf{q}', t | x_i, \mathbf{q}_i, x'_i, \mathbf{q}'_i, t_i)$  is the (**unitary**)  
**evolutionary operator** of the system from initial time  $t_i$  to time  $t$ .

**OPEN SYSTEM:** System (s) interacting with an Environment (e) or Bath (b): Integrate out (coarse-graining) the bath dof renders the system open. Its evolution is described by the **Reduced Density Matrix**

$$\rho_r(x, x') = \int_{-\infty}^{+\infty} dq \int_{-\infty}^{+\infty} dq' \rho(x, \mathbf{q}; x', \mathbf{q}') \delta(\mathbf{q} - \mathbf{q}')$$

$$\rho_r(x, x', t) = \int_{-\infty}^{+\infty} dx_i \int_{-\infty}^{+\infty} dx'_i \mathcal{J}_r(x, x', t | x_i, x'_i, t_i) \rho_r(x_i, x'_i, t_i).$$

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$$S[x, \mathbf{q}] = S[x] + S_E[\mathbf{q}] + S_{\text{int}}[x, \mathbf{q}]$$

$$= \int_0^t ds \left[ \frac{1}{2} M(s) [\dot{x}^2 + B(s) x \dot{x} - \Omega^2(s) x^2] \right.$$

$$\left. + \sum_n \left\{ \frac{1}{2} m_n(s) [\dot{q}_n^2 + b_n(s) q_n \dot{q}_n - \omega_n^2(s) q_n^2] + \sum_n \left( -c_n(s) F(x) q_n \right) \right\} \right]$$

# Stochastic Equations

**Master Equation:**  
**Non-Markovian)**

$$i\hbar \frac{\partial}{\partial t} \hat{\rho}_r(t) = [\hat{H}_{\text{ren}}, \hat{\rho}] + iD_{pp}[\hat{x}, [\hat{x}, \hat{\rho}]] + iD_{xx}[\hat{p}, [\hat{p}, \hat{\rho}]] \\ + iD_{xp}[\hat{x}, [\hat{p}, \hat{\rho}]] + iD_{px}[\hat{p}, [\hat{x}, \hat{\rho}]] + \Gamma[\hat{x}, \{\hat{p}, \hat{\rho}\}],$$

$$\hat{H}_{\text{ren}} = \frac{\hat{p}^2}{2M(t)} - \frac{B(t)}{4}(\hat{p}\hat{x} + \hat{x}\hat{p}) + \frac{M(t)}{2}\Omega_{\text{ren}}(t)\hat{x}^2.$$

**Wigner Function:**

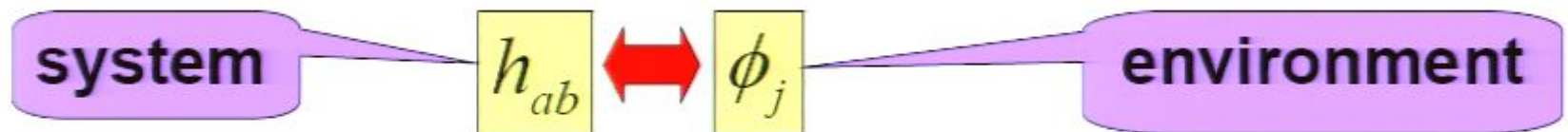
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# INFLUENCE FUNCTIONAL

- Open quantum system (Feynman-Vernon 63)



$$F_{IF} \equiv e^{iS_{IF}} = \int D[\phi_+] D[\phi_-] \exp(S_m[\phi_+, g^+] - S_m[\phi_-, g^-])$$

$$S_{IF}(g + h^\pm) = \frac{1}{2} \int \langle \hat{T}_x \rangle [h_x] - \iint [h_x] H_{xy} \{h_y\} + \frac{i}{8} \iint [h_x] N_{xy} [h_y]$$

$$[h] \equiv h^+ - h^- \quad \{h\} \equiv (h^+ + h^-) / 2 \quad (x,y \text{ denotes } ab.cd)$$

$$H'_{xy} = \frac{1}{4} \text{Im} \langle T^* (\hat{T}_x \hat{T}_y) \rangle - \frac{i}{8} \langle [\hat{T}_x, \hat{T}_y] \rangle$$

$$\langle T_{ab}^{(1)} [g + h] \rangle_{ren} = -2 \int d^4 y \sqrt{-g} H_{abcd}(x, y) h^{cd}(y)$$

# Stochastic Equations

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$$\hat{H}_{\text{ren}} = \frac{\hat{p}^2}{2M(t)} - \frac{B(t)}{4}(\hat{p}\hat{x} + \hat{x}\hat{p}) + \frac{M(t)}{2}\Omega_{\text{ren}}(t)\hat{x}^2.$$

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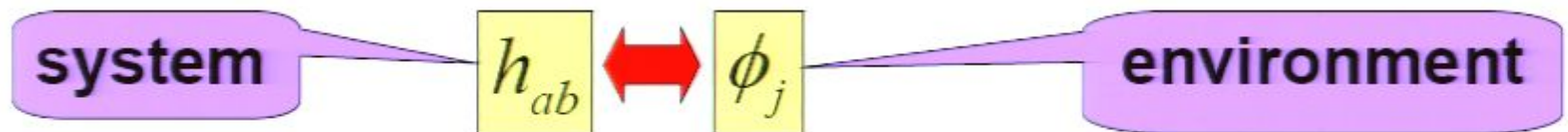
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$$\langle T_{ab}^{(1)} [g + h] \rangle_{ren} = -2 \int d^4 y \sqrt{-g} H_{abcd}(x, y) h^{cd}(y)$$



# INFLUENCE FUNCTIONAL

- Closed Time Path effective action at tree level in metric pert.

$$\Gamma_{CTP}^{(0)}[h^+, h^-] = S_g[h^+] - S_g[h^-] + S_{IF}[h^+, h^-] + O(h^3)$$

$S_g$  is EH action plus quadratic terms.

- Integral identity (Feynman Vernon 1963):

$$e^{-\text{Im}S_{IF}} \equiv \exp\left(-\frac{1}{8} \iint [h_x] N_{xy} [h_y]\right) \propto \int D\xi \exp\left(-\frac{1}{2} \iint \xi_x N_{xy}^{-1} \xi_y + \frac{i}{2} \int \xi_z [h_z]\right)$$

- Probability distribution functional of a classical stochastic field  $\xi_{ab}(x)$

$$P[\xi] \propto e^{-\frac{1}{2} \iint \xi N^{-1} \xi}$$

$$e^{iS_{IF}[h^+, h^-]} = \int D\xi P[\xi] e^{i\left(\text{Re}S_{IF} + \frac{1}{2} \int \xi[h]\right)} \equiv \left\langle e^{i\left(\text{Re}S_{IF} + \frac{1}{2} \int \xi[h]\right)} \right\rangle_S$$

# STOCHASTIC EFFECTIVE ACTION

- Define a **stochastic effective action**:

$$\Gamma_{stc} [h^+, h^-; \xi] = S_g [h^+] - S_g [h^-] + \text{Re} S_{IF} + \frac{1}{2} \int \xi_z [h_z]$$

- field equation from:  $\frac{\delta \Gamma_{stc}}{\delta h^+} \Big|_{h^\pm = h} = 0$

 the **Einstein-Langevin** equation

$$G_{ab}^{(1)} [g + h] = \kappa \langle \hat{T}_{ab}^{(1)} [g + h] \rangle_{ren} + \kappa \xi_{ab} [g]$$

# SOLUTIONS OF EINSTEIN-LANGEVIN EQUATIONS

- These stochastic equations determine the correlations

$$h_{ab}(x) = h_{ab}^0(x) + \kappa \int d^4x' \sqrt{-g} G_{abcd}^{ret}(x, x') \zeta^{cd}(x')$$

$$\langle h_{ab}(x) h_{cd}(y) \rangle_s = \langle h_{ab}^0(x) h_{cd}^0(y) \rangle_s + \kappa^2 \iint G_{abef}^{ret}(x, x') N^{efgh}(x', y') G_{ghcd}^{ret}(y', y)$$

**Intrinsic fluctuations**

+

**Induced fluctuations**

(flucts in the initial state)

(due to matter field fluct)

- Stochastic metric correlations is equivalent to quantum metric correlations in  $1/N$ : (Calzetta, Roura, Verdaguer)

$$\frac{1}{2} \langle \{ \hat{h}_{ab}(x), \hat{h}_{cd}(y) \} \rangle = \langle h_{ab}(x) h_{cd}(y) \rangle_s$$

# Applications of Stochastic Gravity: Fluctuations & Back-reaction Problems

1. Validity of Semiclassical Gravity — Hu, Rouma, Verdaguer (PRD04)
  - Stability of solutions to SC Einstein Eqn with contributions of fluctuations — Einstein-Langevin Eqn
  - Stochastic Gravity as next-to-leading-order  $1/N$  limit. (Rouma Verdaguer 03, Hantle-Herowitz 80)

## 2. Vacuum Fluctuations of Quantum Fields & Induced effects on Spacetime Dynamics:

- Negative energy density, quantum interest (Ford Roman)
- Reexamine classical theorems in GR: Energy Dominance Condition with effects of quantum fluctuations

# Stochastic Semiclassical Gravity

Stochastic Semiclassical Gravity : 1990s - 2000 noise-averaging

Einstein-Langevin equation (more details later)

- Backreaction: dissipation  $G_{\mu\nu}(g_{\alpha\beta}) = 8\pi G \langle T_{\mu\nu} \rangle + T_{\mu\nu}^{\text{stoch}}$  noise term from fluctuations of quantum fields

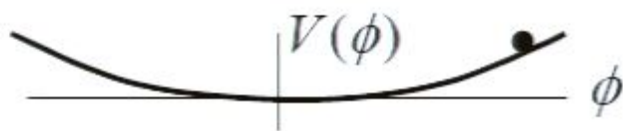
$T_{\mu\nu}^{\text{stoch}} = 2\varepsilon_{\mu\nu}$  classical stochastic source
- Decoherence by quantum field leads to quantum → emergence of Classical spacetime noise

**Key ingredient: NOISE, FLUCTUATIONS**

→ PHASE TRANSITION, STRUCTURE FORMATION, ENTROPY GENERATION

# STOCHASTIC GRAVITY AND PRIMORDIAL COSMOLOGICAL PERTURBATIONS

- Quantum fluctuations of **inflaton** are seeds for structure formation
- Simplest chaotic inflationary model (**Linde**): Massive minimally coupled inflaton field, initially at average value larger than Planck scale



$$\dot{\phi}^2 \ll V(\phi)$$

$$m_P \ll \phi_0$$

$$L(\phi) = \frac{1}{2} \partial_a \phi \partial^a \phi + \frac{1}{2} m^2 \phi^2$$

- Background inflaton field and FRW metric

$$\phi(\eta) = \langle \hat{\phi} \rangle$$

$$ds^2 = a^2(\eta)(-d\eta^2 + \delta_{ij} dx^i dx^j)$$

# PERTURBATIONS

- Inflaton and **scalar** metric perturbations

$$\hat{\phi}(x) = \phi(\eta) + \hat{\phi}(x) \quad \langle \hat{\phi} \rangle_g = 0$$

$$ds^2 = a^2(\eta) [-(1 + 2\Phi)d\eta^2 + (1 - 2\Psi)\delta_{ij}dx^i dx^j]$$

- Einstein-Langevin equations

$$G_{ab}^{(0)}[g] - \kappa \langle \hat{T}_{ab}^{(0)}[g] \rangle + G_{ab}^{(1)}[h] - \kappa \langle \hat{T}_{ab}^{(1)}[h] \rangle = \kappa \xi_{ab}[g]$$

zeroth order metric  $g$  is assumed to be quasi- de Sitter.

$$\hat{T}_{ab} = \tilde{\nabla}_a \hat{\phi} \tilde{\nabla}_b \hat{\phi} - \frac{1}{2} \tilde{g}_{ab} (\tilde{\nabla}_c \hat{\phi} \tilde{\nabla}^c \hat{\phi} + m^2 \hat{\phi}^2) \quad \tilde{g} = g + h$$

$$\langle \xi(x) \xi(y) \rangle_s = \frac{1}{2} \langle \{ \hat{t}(x), \hat{t}(y) \} \rangle [g] \quad \hat{t} \equiv \hat{T} - \langle \hat{T} \rangle$$

# STRESS TENSOR CORRELATIONS

$$\langle \hat{T}[g+h] \rangle = \langle \hat{T}[g+h] \rangle_{\phi\phi} + \langle \hat{T}[g+h] \rangle_{\phi\varphi} + \langle \hat{T}[g+h] \rangle_{\varphi\varphi}$$

$$\langle \{\hat{t}, \hat{t}\} \rangle [g] = \langle \{\hat{t}, \hat{t}\} \rangle [g]_{\phi^2\varphi^2} + \langle \{\hat{t}, \hat{t}\} \rangle [g]_{\varphi^2\varphi^2} \equiv \langle \xi^{(1)} \xi^{(1)} \rangle_s + \langle \xi^{(2)} \xi^{(2)} \rangle_s$$

- assume Gaussian state:  $\langle \hat{\phi} \rangle = 0$      $\langle \hat{\phi} \hat{\phi} \hat{\phi} \rangle = 0$
- two independent stochastic sources:  $\xi^{(1)}, \xi^{(2)}$   
independently conserved
- Including only the first (**linear**) term:  $\langle \dots \rangle_{\phi^2\varphi^2}$   
we will show that the stochastic gravity  
formulation gives equivalent results as the traditional  
quantized metric and scalar field perturbations



# E-L eqn for linear perturbations

$$\begin{aligned}\frac{\kappa}{2}a^2 \left( \langle \delta \hat{\mathcal{T}}_0^0 \rangle_{\Phi} + \xi_0^0 \right) &= 3\mathcal{H}(\mathcal{H}\Phi + \Psi') - \nabla^2 \Psi, \\ \frac{\kappa}{2}a^2 \left( \langle \delta \hat{\mathcal{T}}_0^i \rangle_{\Phi} + \xi_0^i \right) &= \partial_i(\Psi' + \mathcal{H}\Phi), \\ \frac{\kappa}{2}a^2 \left( \langle \delta \hat{\mathcal{T}}_i^j \rangle_{\Phi} + \xi_i^j \right) &= \left[ (2\mathcal{H}' + \mathcal{H}^2) \Phi + \mathcal{H}\Phi' + \right. \\ &\quad \left. \Psi'' + 2\mathcal{H}\Psi' + \frac{1}{2}\nabla^2 D \right] \delta_i^j - \frac{1}{2}\delta^{jk} \partial_k \partial_i D.\end{aligned}$$

where  $\mathcal{H} = a'(\eta)/a(\eta)$ ,  $D = \Phi - \Psi$ ,  $\nabla^2 = \delta^{ij} \partial_i \partial_j$

- Since  $\langle \hat{T}_{ij} \rangle = 0, (i \neq j) \rightarrow \xi_{ij} = 0, (i \neq j)$

$\rightarrow$  metric perturbations  $\Phi = \Psi$

- Fourier transf. of 0i-component: (neglecting non-local term):

$$2k_i (H\Phi_k + \Phi'_k) = \kappa \xi_{k(0i)} \quad H \equiv \frac{a'(\eta)}{a(\eta)}$$

- **Retarded propagator** for  $\Phi_k$

$$G_{ret}^k(\eta, \eta') = \frac{\kappa}{2k_i} \left( \theta(\eta - \eta') \frac{a(\eta)}{a(\eta')} + f(\eta, \eta') \right)$$

With  $\Psi = \Phi$  we get for the ii component of E-L eqn:

$$\frac{\kappa}{2} a^2 \left( \langle \delta \hat{\mathcal{T}}_i^i \rangle_{\Phi} + \xi_i^i \right) = (2\mathcal{H}' + \mathcal{H}^2) \Phi + 3\mathcal{H}\Phi' + \Phi''.$$

*Two unknowns* 1. scalar metric perturbations  $\Phi(x)$   
 2.  $\langle \hat{\varphi} \rangle_{\Phi}$  the expectation value of the quantum operator for the inflaton perturbations on the spacetime with the perturbed metric,  $\langle \hat{\varphi}[g + h] \rangle$

These three equations reduce to two because of the **Bianchi Identity**, which holds here since the averaged and stochastic sources in the EL eqn are separately conserved.

On the one hand, the conservation of  $\langle \delta \mathcal{T}_{ab} \rangle_{\Phi}$  is equivalent to the Klein-Gordon equation for the expectation value  $\langle \hat{\varphi} \rangle_{\Phi}$ , which is completely analogous to Eq. (36):

$$\langle \hat{\varphi} \rangle_{\Phi}'' + 2\mathcal{H} \langle \hat{\varphi} \rangle_{\Phi}' - \nabla^2 \langle \hat{\varphi} \rangle_{\Phi} + m^2 a^2 \langle \hat{\varphi} \rangle_{\Phi} - 4\sigma' \Phi' + 2m^2 a^2 \phi \Phi = 0. \quad (41)$$

On the other hand, the conservation of the stochastic source is a consequence of the conservation of the noise kernel. It relies on the fact that the quantum operator for the inflaton perturbations  $\hat{\varphi}[g]$  satisfies the Klein-Gordon equation on the background spacetime.  $(\nabla_a \nabla^a - m^2) \hat{\varphi}(x) = 0$ .

# Equivalence with Quantum approach:

Can show that EL eqn reduces to (Roura and Verdaguer 2007)

$$\Phi'' + 2 \left( \mathcal{H} - \frac{\phi''}{\phi'} \right) \Phi' - \nabla^2 \Phi + 2 \left( \mathcal{H}' - \mathcal{H} \frac{\phi''}{\phi'} \right) \Phi = 0,$$

Same as the conventional approach via quantized linear perturbations, e.g., Eq. (6.48) of

V. F. Mukhanov, H. A. Feldman, and R. H. Brandenberger, Phys. Rep. **215**, 203 (1992).

## Comments:

1. In Fourier space **nonlocal terms in the integro-differential equation** in the spatial sector simplify to products. Non-locality in time in this equation disappears due to an exact cancellation of the different contributions from  $\langle \delta \hat{\mathcal{T}}_a^b \rangle_\Phi$
2. Seems like there is no dependence on the stochastic source.  
But the solutions to EL eqn should also satisfy the constraint eqn at the initial time in addition to the dynamical eqn. The **initial conditions** for  $\Phi_k(\eta_0)$  and  $\Phi'_k(\eta_0)$  have **dependence on the stochastic source**.

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# CORRELATIONS FOR METRIC PERTURBATIONS

- Solutions of E-L equation:

$$\langle \Phi_{\vec{k}}(\eta) \Phi_{\vec{k}'}(\eta') \rangle_s = (2\pi)^2 \delta(\vec{k} + \vec{k}') \iint G_{ret}^k \langle \xi_{\vec{k}} \xi_{\vec{k}'} \rangle_s G_{ret}^{k'}$$

$$\langle \xi_{\vec{k}} \xi_{\vec{k}'} \rangle_s \equiv \frac{1}{2} \langle \{ \hat{t}_{\vec{k}}, \hat{t}_{\vec{k}'} \} \rangle$$

$$\langle \{ \hat{t}_{0i}^k(\eta_1), \hat{t}_{0i}^{-k}(\eta_2) \} \rangle = k_i k_i \phi'(\eta_1) \phi'(\eta_2) \langle \{ \hat{\phi}_{\vec{k}}(\eta_1), \hat{\phi}_{-\vec{k}}(\eta_2) \} \rangle$$

$$\langle \{ \hat{\phi}_{\vec{k}}(\eta_1), \hat{\phi}_{-\vec{k}}(\eta_2) \} \rangle = G_{\vec{k}}^{(1)}(\eta_1, \eta_2)$$

is the **Hadamard function** for free scalar field on **de Sitter**, in Euclidean **vacuum**

$$a(\eta) = -\frac{1}{H\eta}; -\infty < \eta < 0$$

# METRIC PERTURBATION CORRELATIONS

Computing  $G_k^{(1)}$  perturbatively in  $m/m_P$

assuming **slow roll**  $\dot{\phi}(t) \simeq -m_P^2 (m/m_P)$

taking (rather insensitive to initial conds.)  $\eta_0 \rightarrow -\infty$

$$\langle \Phi_{\vec{k}}(\eta) \Phi_{\vec{k}'}(\eta') \rangle_s \simeq 8\pi^2 \left( \frac{m}{m_P} \right)^2 k^{-3} (2\pi)^3 \delta(\vec{k} + \vec{k}') \cos[k(\eta - \eta')]$$

- Harrison-Zel'dovich scale inv. spectrum large scales  $k\eta \leq 1$
- Amplitude of **CMB anisotropies**  $\rightarrow \frac{m}{m_P} \simeq 10^{-6}$
- Agreement with linear perturbations approach (Mukhanov 92)
- Stochastic gravity can go beyond linear app. in inflaton flucts. (Weinberg 05) and deal with Starobinsky (tr anomaly) inflation



# Summary: Main Features

1. **Semiclassical gravity** depends on **e.v.** of  $q$ . stress tensor  
S.G fails when **flucts.** of quantum stress tensor are large
2. **Stochastic gravity** incorporates these fluctuations  
(at Gaussian level) through the **noise kernel**  
**acting** as source for the **Einstein-Langevin equation**
3. **Stochastic** two-point metric correlations agree with **quantum**  
two-point metric correlations to order  **$1/N$**  in large  $N$  expansion

4. Cosmological Perturbation and Structure Formation:

**Agreement with linear perturbations** approach (e.g., Mukhanov 92)

• But **can go beyond linear order** in inflaton fluctuations

necessary for trace-anomaly driven inflations (e.g., Starobinsky 1980)

# Stochastic Gravity program

- *(since 1994)* *E. Calzetta (Buenos Aires),  
B. L. Hu, A. Matacz, N.G. Phillips, S. Sinha (Maryland)  
A. Campos, R. Martin, A. Roura, Enric Verdaguer (Barcelona);*
- **Current work:**  
with *A. Roura (Los Alamos), Enric Verdaguer (Barcelona)*  
- *cosmological perturbations work // by Urakawa and Maeda (Waseda)*
- **Review:**  
*B. L. Hu and E. Verdaguer, “Stochastic gravity: Theory and Applications”, in Living Reviews in Relativity 7 (2004) 3.*  
[update in [arXiv:0802.0658](https://arxiv.org/abs/0802.0658)]



- Since  $\langle \hat{T}_{ij} \rangle = 0, (i \neq j) \rightarrow \xi_{ij} = 0, (i \neq j)$

$\rightarrow$  metric perturbations  $\Phi = \Psi$

- Fourier transf. of 0i-component: (neglecting non-local term):

$$2k_i (H\Phi_k + \Phi'_k) = \kappa \xi_{k(0i)} \quad H \equiv \frac{a'(\eta)}{a(\eta)}$$

- **Retarded propagator** for  $\Phi_k$

$$G_{ret}^k(\eta, \eta') = \frac{\kappa}{2k_i} \left( \theta(\eta - \eta') \frac{a(\eta)}{a(\eta')} + f(\eta, \eta') \right)$$

# Stochastic Semiclassical Gravity

Stochastic Semiclassical Gravity : 1990s - 2000 noise-averaging

Einstein-Langevin equation (more details later)

- Backreaction: dissipation  $G_{\mu\nu}(g_{\alpha\beta}) = 8\pi G \langle T_{\mu\nu} \rangle + T_{\mu\nu}^{\text{stoch}}$  noise term from fluctuations of quantum fields
  - Decoherence by quantum field leads to quantum → emergence of Classical spacetime noise
- classical stochastic source

**Key ingredient: NOISE, FLUCTUATIONS**

→ PHASE TRANSITION, STRUCTURE FORMATION, ENTROPY GENERATION

3. Black Hole Horizon Fluctuations & Backreaction (Hu, Raval <sup>Sinha</sup> 98, 03)

- many speculations on the magnitude of such fluctuations but no quantitative calculations yet.
- Stochastic gravity is the theory for such inquiries

Roura Hu  
(06, 07)

4. Structure Formation from grav. perturbations (Roura + Verdaguer 03)

- particularly useful for trace anomaly-induced inflations.

Roura & Verdaguer (99, 07), Urakawa and Maeda (07)

→ 5. A platform towards Quantum Gravity —  
defined as a theory of the micro-scopic structure of ST  
NOT quantizing GR

Hu 03

# SOLUTIONS OF EINSTEIN-LANGEVIN EQUATIONS

- These stochastic equations determine the correlations

$$h_{ab}(x) = h_{ab}^0(x) + \kappa \int d^4x' \sqrt{-g} G_{abcd}^{ret}(x, x') \zeta^{cd}(x')$$

$$\langle h_{ab}(x) h_{cd}(y) \rangle_s = \langle h_{ab}^0(x) h_{cd}^0(y) \rangle_s + \kappa^2 \iint G_{abef}^{ret}(x, x') N^{efgh}(x', y') G_{ghcd}^{ret}(y', y)$$

**Intrinsic fluctuations**

+

**Induced fluctuations**

(flucts in the initial state)

(due to matter field fluct)

- Stochastic metric correlations is equivalent to quantum metric correlations in  $1/N$ : (Calzetta, Roura, Verdaguer)

$$\frac{1}{2} \langle \{ \hat{h}_{ab}(x), \hat{h}_{cd}(y) \} \rangle = \langle h_{ab}(x) h_{cd}(y) \rangle_s$$