

Title: Introduction to quantum foundations (Part 1B)

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Abstract:

5. Two Choices Yield Four Strategies

We must choose between:

(Dynamics): We propose new dynamical laws that evolve a superposition into a (proper) mixture.

(ExtraValues) We ascribe to certain quantities values beyond those prescribed by the eigenvalue-eigenstate link.

"We only know the macrorealm *appears* definite" prompts another choice:

(DefMac): We secure a definite macrorealm; and expect a 'classical psychophysics' to account for experience.

(DefApp): We allow an indefinite macrorealm, but secure that it *appears* definite—and so expect some 'quantum psychophysics'.

Hence, four strategies:

(Dynamics) and (DefMac):

Models of the 'collapse of the wave-packet' by e.g. Gisin, Ghirardi, Rimini, Weber, Pearle, Percival, Penrose.

(Dynamics) and (DefApp):

Consciousness collapses the wave-packet; e.g. Wigner, Stapp.

(ExtraValues) and (DefMac):

The pilot-wave interpretations of de Broglie and Bohm.

(ExtraValues) and (DefApp):

The 'many worlds' interpretation of Everett et al.

The quantum state of the universe Ψ is a superposition of states corresponding to many different definite macrorealms.

And all these definite macrorealms are actual.

S. Adler CUP
2009

P. Penle Stud History Philosophy
Modern Physics

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Modern Physics

John & Hilary Undivided Universe
Holland On Theory of Motion

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modal interpretation

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$$\psi = R \exp(iS/\hbar)$$

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$$m\dot{\mathbf{x}} = \vec{\nabla} S$$

P. Pearle Stud History Philosophy
Modern Physics

$$p = \frac{\partial S}{\partial \mathbf{x}}$$

Rudolf Peierls & Hiley Undivided Universe
1992 Holland On Theology of Motion
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modal interpretation

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On Theory of Motion

pr

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Rudolf Peierls & Hiley Undivided Universe

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1992

Holland CUP

On Theory of Motion

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S. Adler CUP
2009

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R. Penrose & H. Penrose Undivided Universe

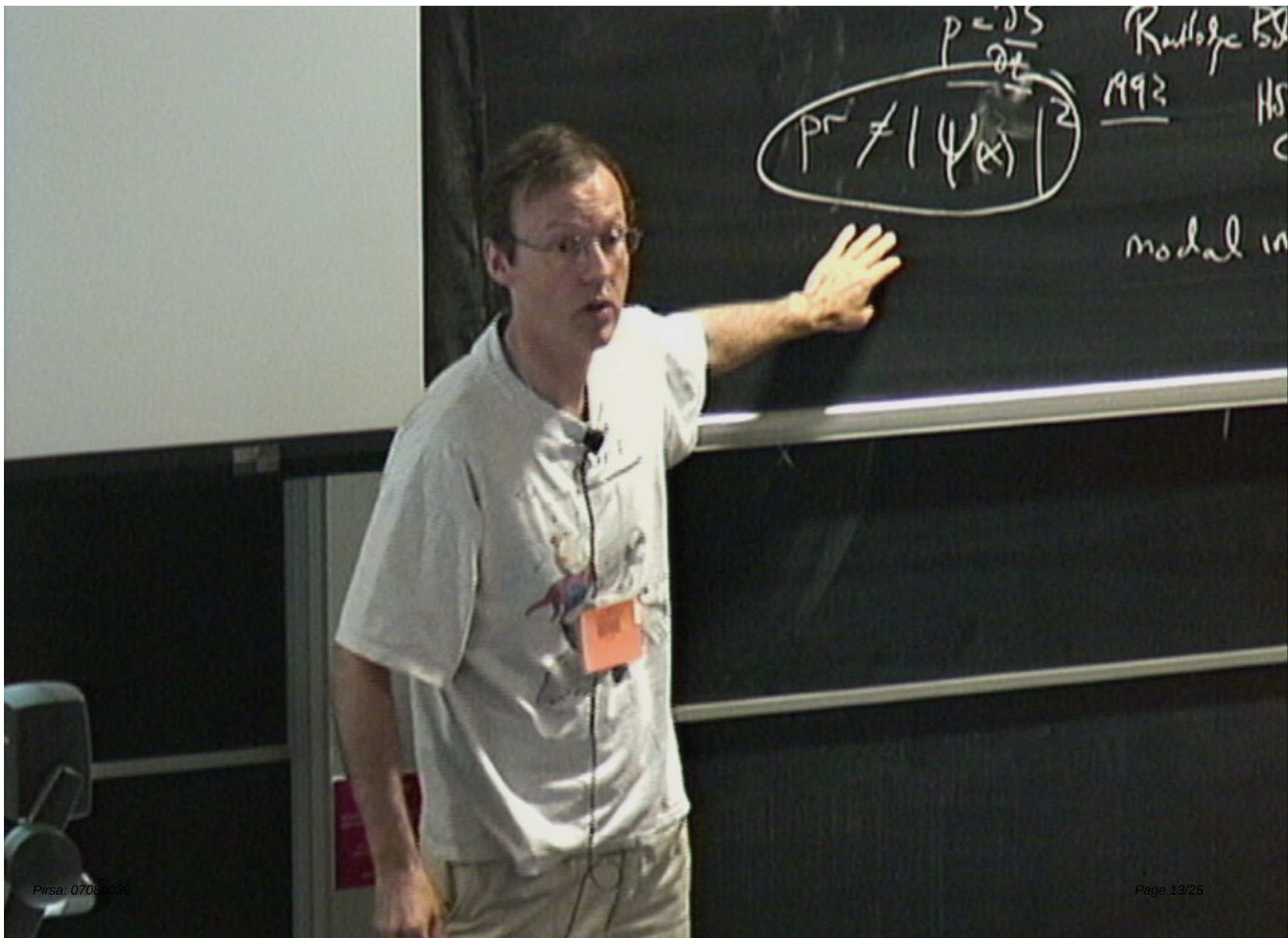
$$p_r = |\psi(x)|^2$$

1992

H. Gold CUP

On Theory of Motion

modal interpretation



$$\psi = R \exp(iS/\hbar)$$

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2007

$$m \ddot{\vec{x}}_1 = -\vec{\nabla}_1 S(\vec{x}_1, \vec{x}_2, \vec{x}_3)$$

P. Pearle Stud History Philosophy
Modern Physics

$$p = \frac{\partial S}{\partial \vec{x}}$$

Road to Bohm & Hiley

Undivided Universe

$$pr = |\psi(x)|^2$$

1992

Holland CUP

On Theory of Motion

modal interpretation

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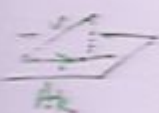
The quantum state of the universe Ψ is a superposition of states corresponding to many different definite macrorealms.

And all these definite macrorealms are actual.

The Projection Postulate: "collapse of the wave packet"

① "Orthodoxy" (in Neumann, Heisenberg; not Bohr) says

If you measure a quantity on a system that is in ψ ,
and you get a_k corresponding to subspace A_k
then the state immediately after measurement
is not ψ , but



the projection of ψ onto A_k
(divided by its own length: "renormalized")

② Indeterminism & Chances

- ① can collapse into any A_k for which $P_{A_k}(\psi) \neq 0$
- ② does so with Born probability $\|P_{A_k}(\psi)\|^2$

③ Justification?

① Why should a unitary evolution of a composite induce
a non-unitary evolution of a component?

(In fact, at first sight suitable unitaries seem to —
they give mathematically right mixtures

But actually, not interpretatively right

They need to be ignorance-interpretable

But they are only improper mixtures

But...

② Empirically successful: in particular

PP $\Rightarrow$ an immediately repeated measurement is certain to
yield again whatever a_k was first got

and

③ Mathematically natural

It makes an operational definition of commensurability
of P_A, P_B

equivalent to: $[P_A, P_B] = 0$

Mixtures

- ① Given two probability distributions pr_1 and pr_2 ,
a convex combination

$$pr() := \lambda pr_1() + \mu pr_2() \quad \lambda + \mu = 1 \quad \lambda, \mu > 0$$

is itself a probability distribution.

Generally: $pr := \sum_i \lambda_i pr_i$ is a prob distribⁿ $\sum \lambda_i = 1$
 $\lambda_i > 0$

- ② Physical idea: "ignorance interpretation"

Think of a large ensemble of systems,
of which a proportion λ_i are described by pr_i

(Classically, a pure state is a trivial pr_i ;
i.e. it assigns 1 or 0 to every event A
dispersion-free

But in QT: the pr_i are given by vectors v_i (width, spread))

- ③ Improper mixtures

Given an ensemble of composite systems, in some
state (maybe mixed) which determines states
for component systems:-

If a component's state is an ignorance-mixture
then the composite state must be

(viz. at least as regards this component)

- QT, a pure composite state in general
determines mixtures as the states of components

So these can't be ignorance-interpreted.

They are called improper.

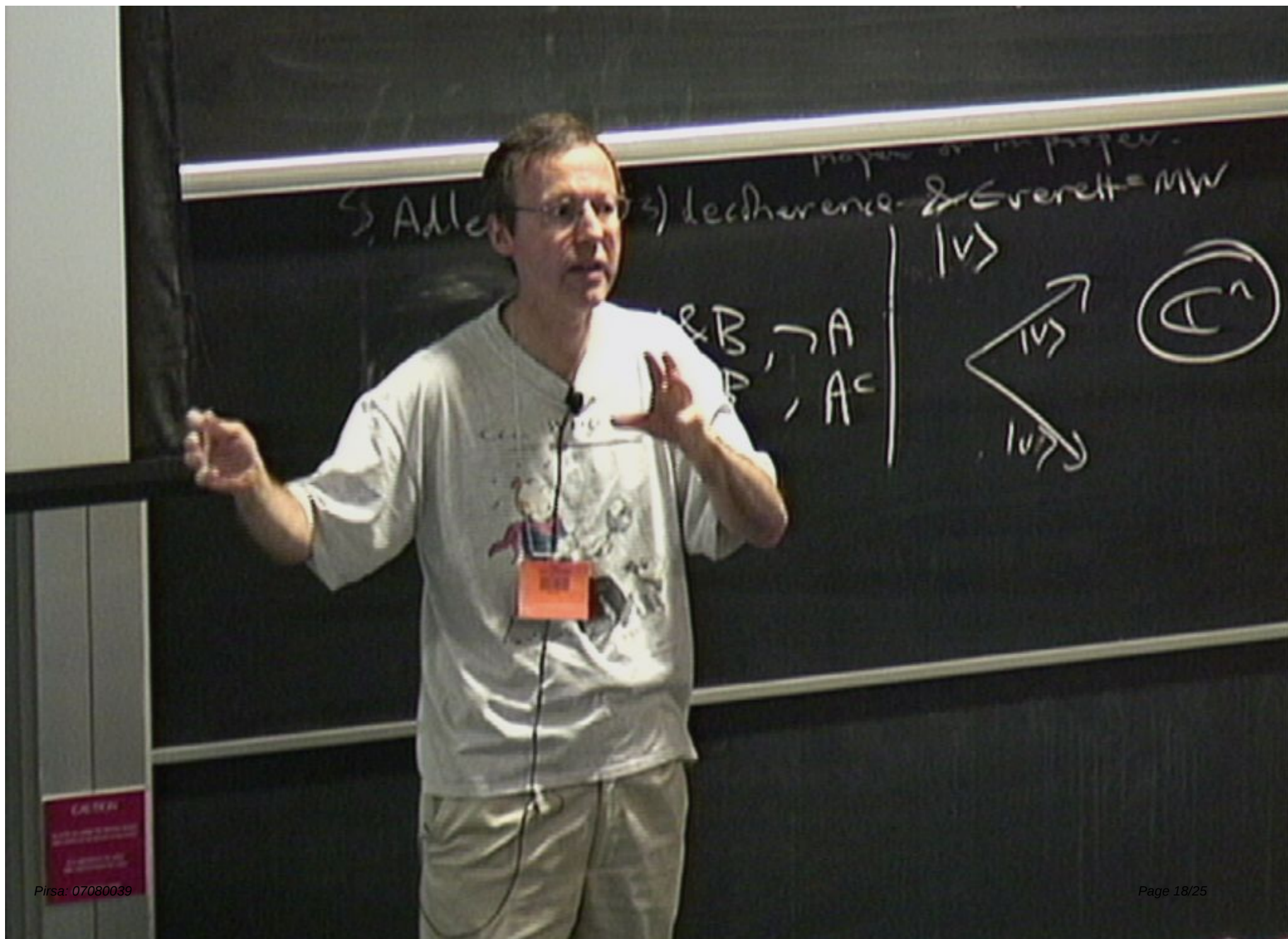
- ④ Mixtures $\neq$ Superpositions!

A pure state v , and a quantity for which it is a superposition
determine a corresponding mixture

$$v = \sum_i c_i v_i \quad \text{determines} \quad p = \sum_i |c_i|^2 \text{ "Being in } v_i \text{"}$$

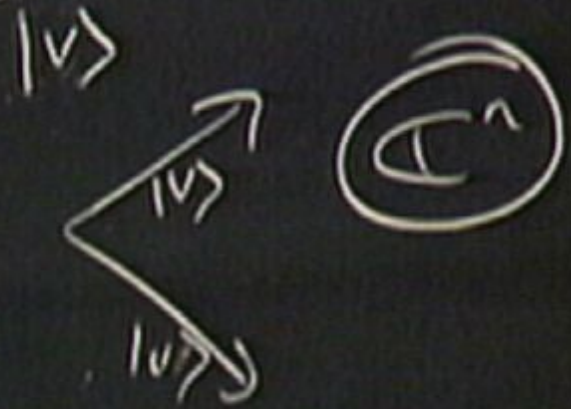
(scalar) eigenstates

v and p fix identical prob^y distribⁿ for $\mathcal{Q}$
But not for $\mathcal{Q}'$, which doesn't "interfere"



proper or improper.
S. Allen (1983) Lechewerence & Everett = MW

A
A^c



② Physical idea: "ignorance interpretation" $\sum \lambda_i = 1$
 $\lambda_i > 0$
 Think of a large ensemble of systems,
 of which a proportion λ_i are described by pv_i .
 (Classically, a pure state is a trivial pv_i :
 i.e. it assigns 1 or 0 to every event A
 dispersion-free
 width, spread)
 But in QT: the pv_i are given by vectors v_i .

③ Improper mixtures

Given an ensemble of composite systems, in some state (maybe mixed) which determines states for component systems:-

If a component's state is an ignorance-mixture then the composite state must be "ignorance-mixture"
 (i.e. at least as regards this component)

QT, a pure composite state in general determines mixtures as the states of components.

So these can't be ignorance-interpreted.
 They are called improper.

④ Mixtures $\neq$ Superpositions!

A pure state v , and a quantity Q for which it is a superposition determine a corresponding mixture.

$$v = \sum_i c_i v_i \quad \text{determines} \quad \rho = \sum_i |c_i|^2 \text{ "being in } v_i \text{"}$$

$\swarrow$ scalars $\searrow$ eigenstates

v and ρ fix identical prob. distrib's for Q .

But not for Q' , which doesn't commute with Q . "interference terms"

The Projection Postulate and Mixtures

- ① Assume a proj. post. measmt of a (for simplicity: maximal) quantity $A = \sum_n a_n P_{u_n}$ on a system in state $v = \sum \lambda_n u_n$

Ask: what is final state?

Selective measmt: one of the u_n

Non-selective measmt:-

Physically: a proper mixture of the u_n with weights $|\lambda_n|^2$

Mathematically: **not v !** It gives **wrong** probabilities for subsequent measmt of B st. $[A, B] \neq 0$.

- ② Define trace of any $B: H \rightarrow H$ relative to orthonormal basis $\{e_i\}$
by: $\text{tr}(B) := \sum_n \langle e_n, B e_n \rangle$ **in fact, basis-independent**

- ③ tr is a linear functional: $\text{tr}(B+C) = \text{tr}(B) + \text{tr}(C)$
 $\text{tr}(wB) = w \text{tr}(B) \quad \forall \text{ scalars } w$

- ④ $\text{prob}(\text{get } a_k \text{ if measure } A \text{ on state } v) = |\lambda_k|^2 = \text{tr}(P_{[a_k]} \cdot P_{[v]})$

So: the initial state is **equally well** represented by $P_{[v]}$,
if we use this to calculate Born probabilities.

⑤ Idea

Represent the proper mixture of the u_n , with weights w_n ,
($\sum w_n = 1$) by: $W = \sum w_n P_{[u_n]}$

and extract probabilities by:

$$\text{prob}(\text{get } a_k \text{ if measure } A \text{ on ensemble}) = \text{tr}(W \cdot P_{[a_k]})$$

By linearity of trace, this = $\sum w_n \cdot \text{prob}(\text{get } a_k \text{ if measure } A \text{ on } u_n)$

W is a density matrix (aka: statistical operator)

- ⑥ So non-selective proj. post. measmt of A on v yields:-

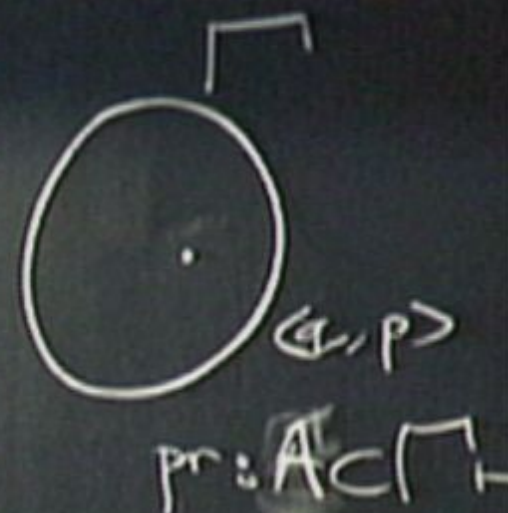
$$P_{[v]} \longrightarrow \sum_n |\lambda_n|^2 P_{[u_n]} \quad \text{where } v = \sum \lambda_n u_n$$

NB: Final mixture depends on quantity measured

If B has eigenbasis $\{v_n\}$ and $v = \sum w_n v_n$,
then measuring B gives:-

$$P_{[v]} \longrightarrow \sum |w_n|^2 P_{[v_n]}$$

$$\sum_j c_j \varphi_i \otimes \psi_j$$



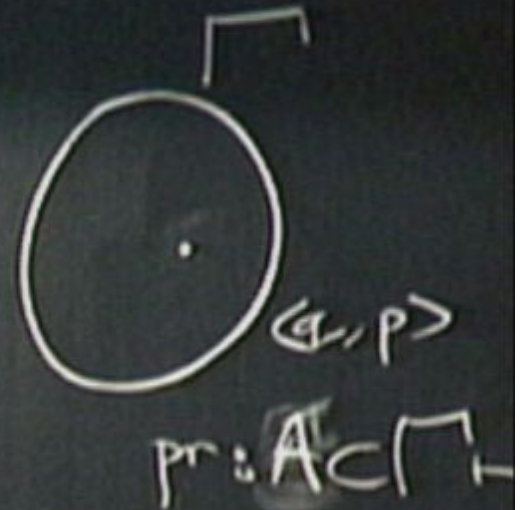
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Schmidt $\exists$ bases $\tilde{\varphi}$ $\tilde{\psi}$
 biorthog. $\sum_i d_i \tilde{\varphi}_i \otimes \tilde{\psi}_i$

$$\Rightarrow \left(\sum d_i \varphi_i \right) \otimes \psi_i$$

$$\sum |d_i|^2 |\varphi_i\rangle \langle \varphi_i|$$

$\Rightarrow$ projection



$$\sum_j c_j \varphi_j \otimes \psi_j$$

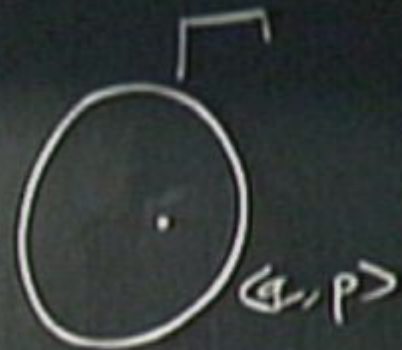
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$$\Rightarrow (\sum d_i \varphi_i) \otimes \psi_i$$

$$\sum |d_i|^2 |\varphi_i\rangle\langle\varphi_i|$$

Simulation



$$pr: A \subset \Gamma \rightarrow pr(A) \in [0,1]$$

$$R_{\text{sim}} \sum |d_i|^2 |\psi_i\rangle\langle\psi_i|$$

$$\sum_j c_j \varphi_j \otimes \psi_j$$

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L is in $\sum |d_i|^2 |\varphi_i\rangle\langle\varphi_i|$

Mathematics Kochan
 Dirac 1945-1995
 Hawking



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$$R$$
 is in $\sum |d_i|^2 |\psi_i\rangle\langle\psi_i|$

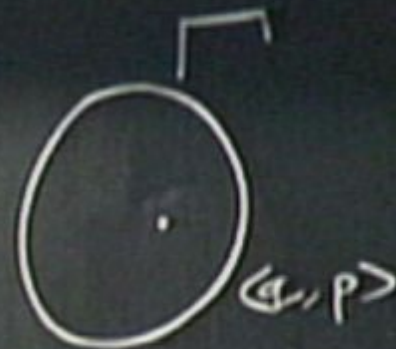
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Mathematics Kochan
 Dicks 1745-1795
 Harley



$$pr: A \subset \Gamma \rightarrow pr(A) \in [0,1]$$

$$R \text{ is in } \sum |d_i|^2 |\psi_i\rangle \langle \psi_i|$$