

Title: What's the trouble with the anthropic principle?

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Abstract: Anthropic arguments based on selection effects for observers have been claimed to successfully explain the measured value of the cosmological constant. In this talk I review the foundations of such claims in the context of probability theory and show that different (and equally legitimate) ways of assigning probabilities to candidate universes lead to totally different anthropic predictions. As an explicit example, I discuss a weighting scheme based on the total number of possible observations that observers can carry out over the entire lifetime of the Universe. I show that this leads to an extremely small probability for observing a value of the cosmological constant equal to or greater than what we now measure, in marked contrast with the usual result.

I also discuss principles of consistent probabilistic reasoning, showing that the anthropic principle as applied in most of the literature is logically inconsistent. I conclude that current implementations of the anthropic principle display a worrisome lack of predictivity, and cannot be used to explain the value of the cosmological constant, nor, likely, any other physical parameters.



WHAT'S THE TROUBLE WITH THE ANTHROPIC PRINCIPLE?

Roberto Trotta
(with Glenn Starkman, CWRU)

University of Oxford, Astrophysics
St Anne's College

&

Lockyer Fellow of the Royal Astronomical Society



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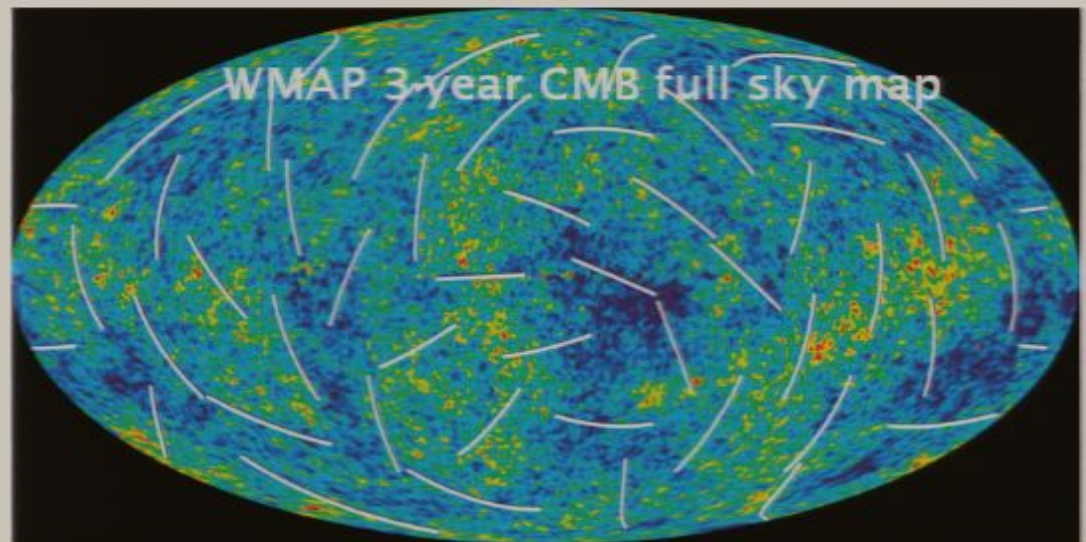
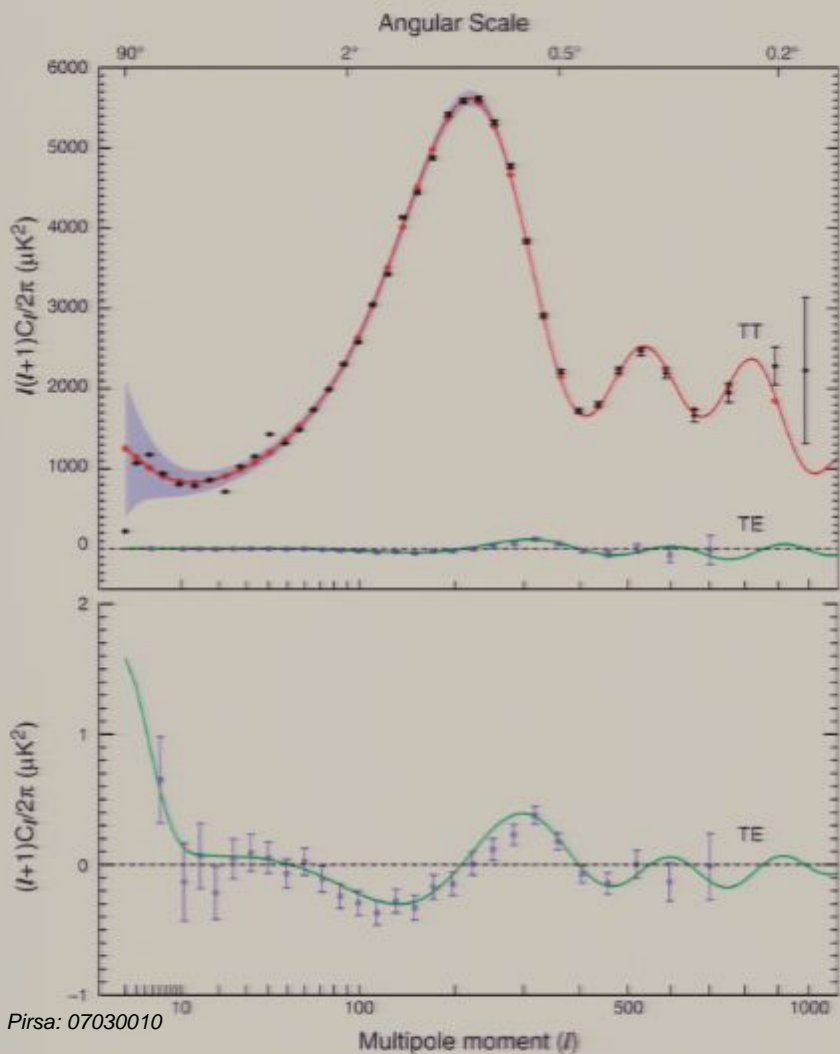
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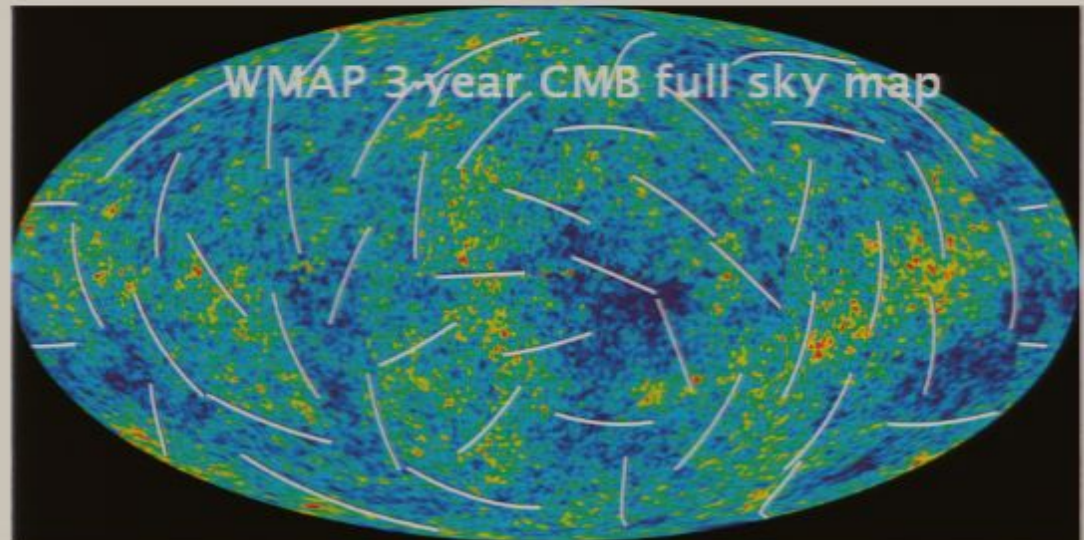
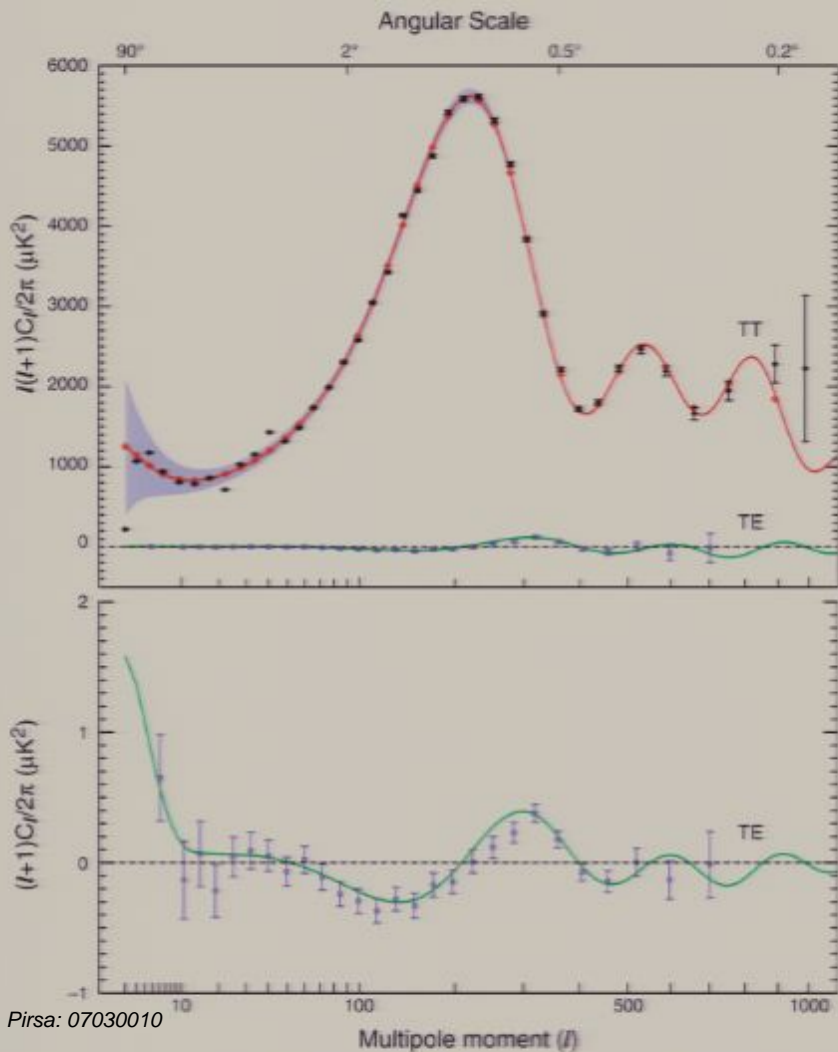
Pinning down the cosmic recipe

- Quality & quantity of data has increased dramatically



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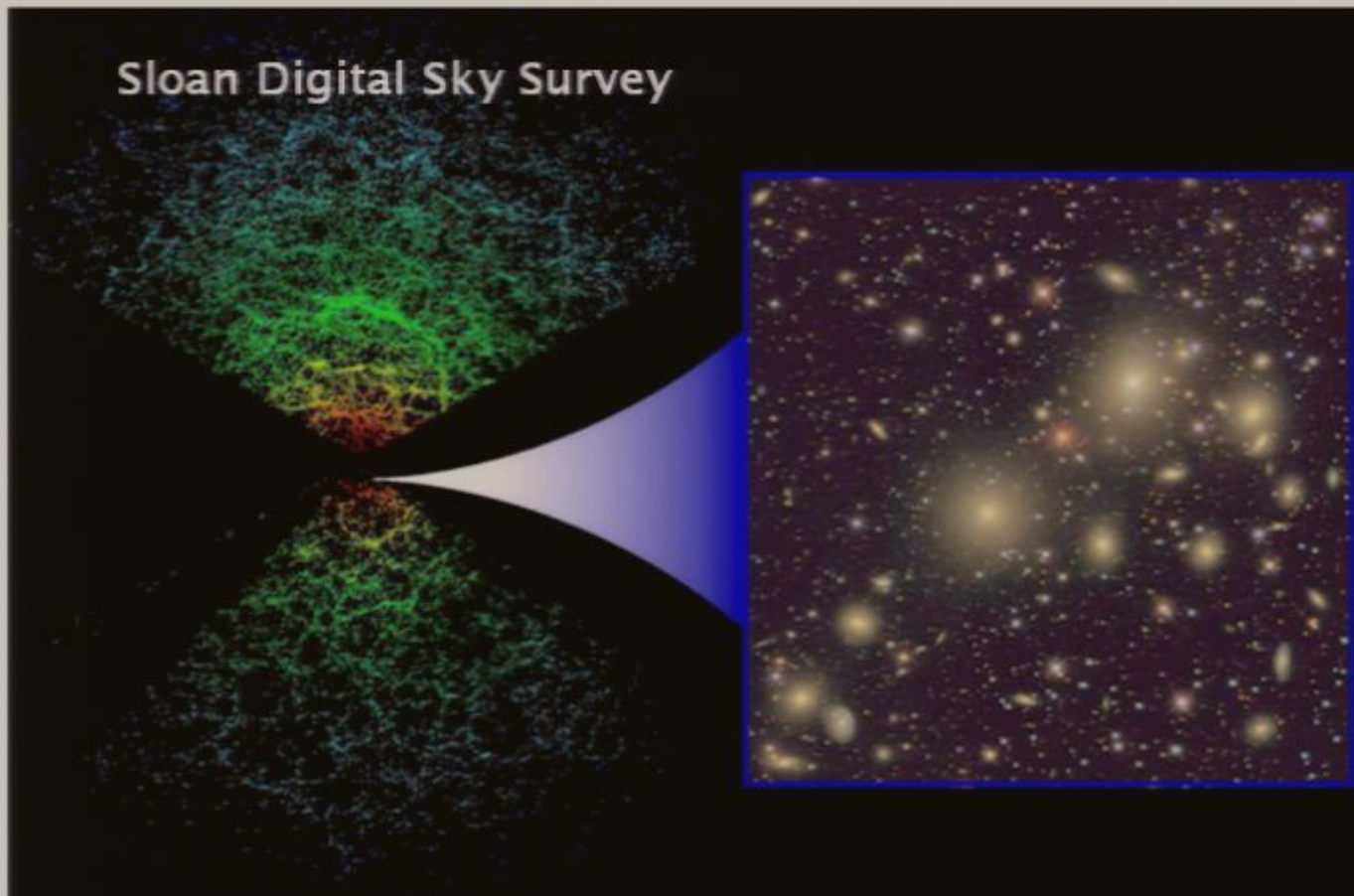


CMB anisotropies probe the high- z Universe:

$$\Omega_b h^2, \Omega_m/\Omega_r, D_A/r_s, n_S, A$$

Large scale structure

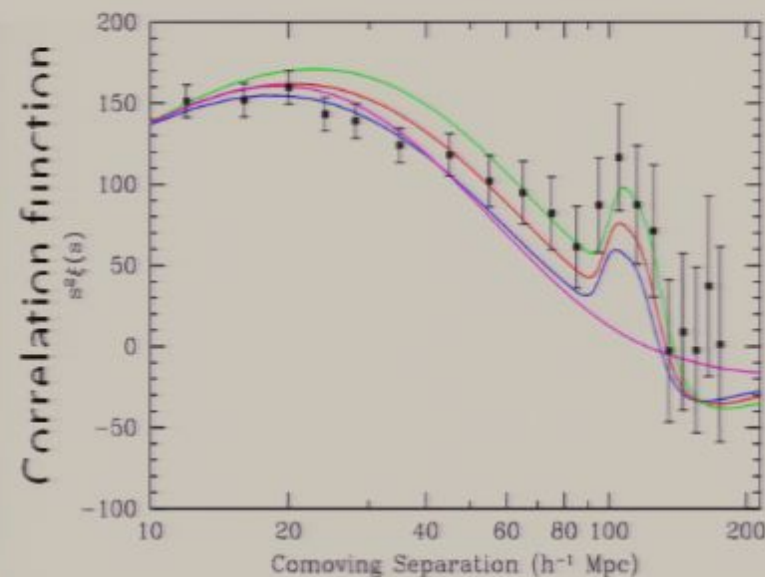
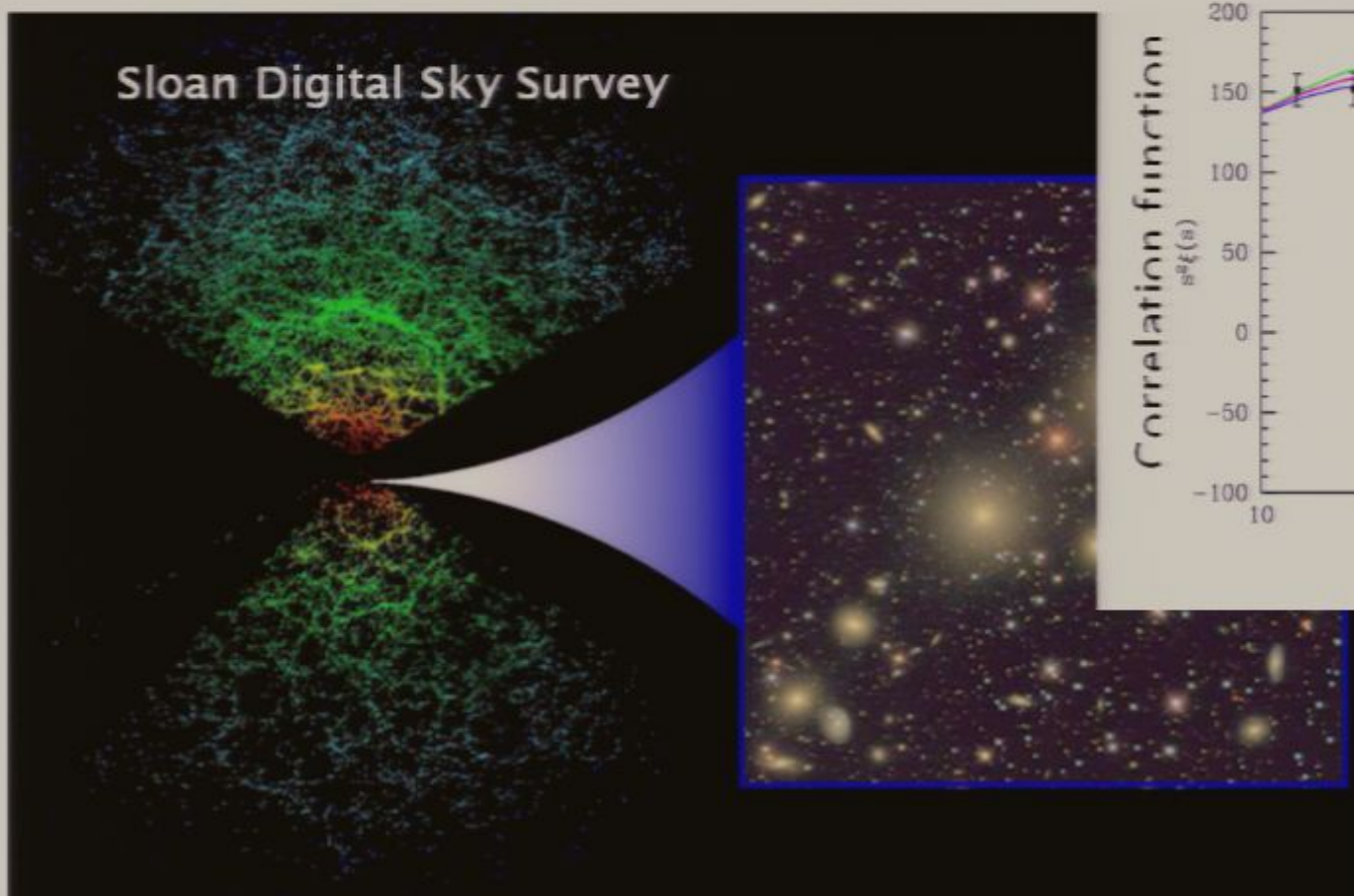
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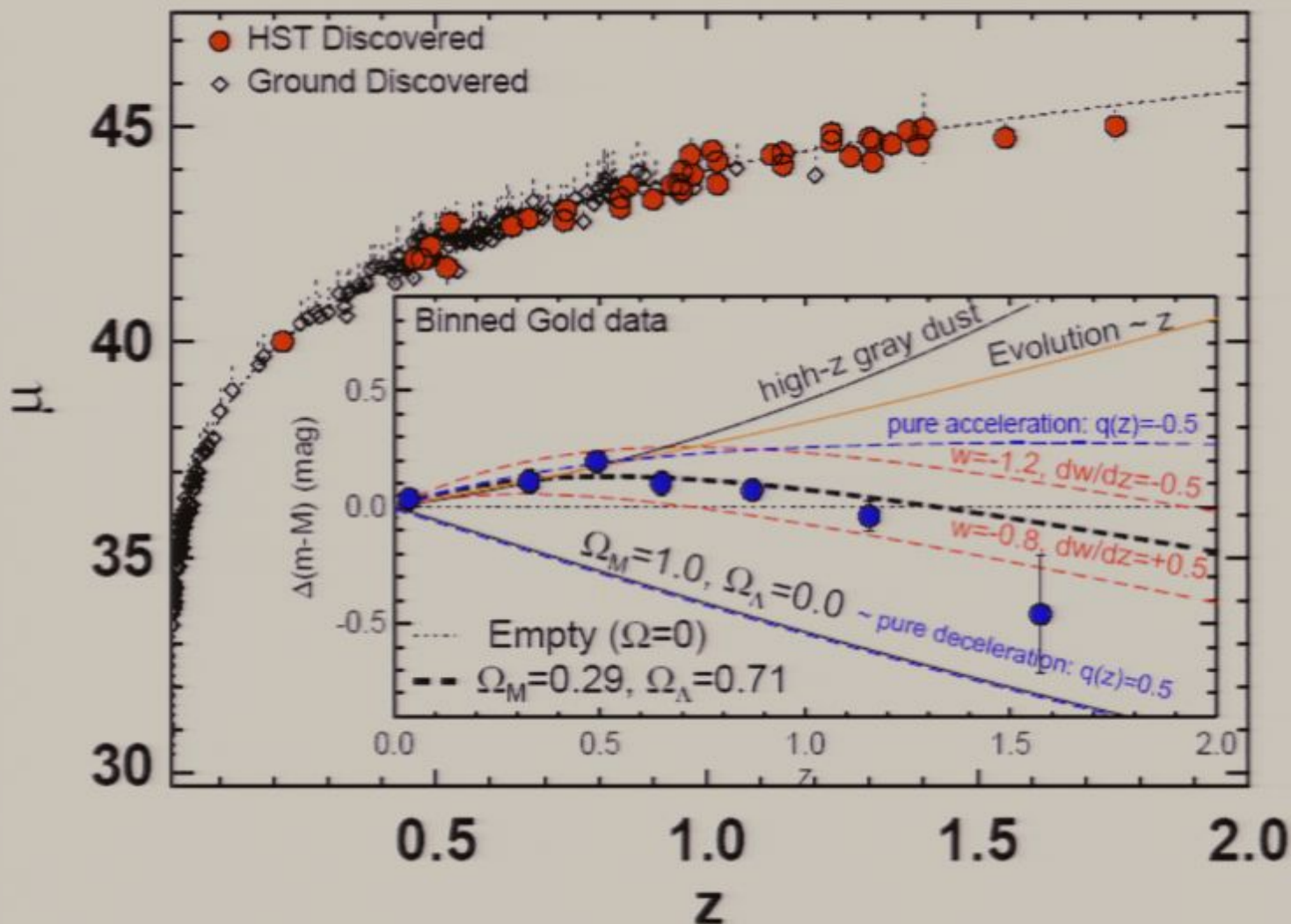
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BAO signature (SDSS LRG sample)



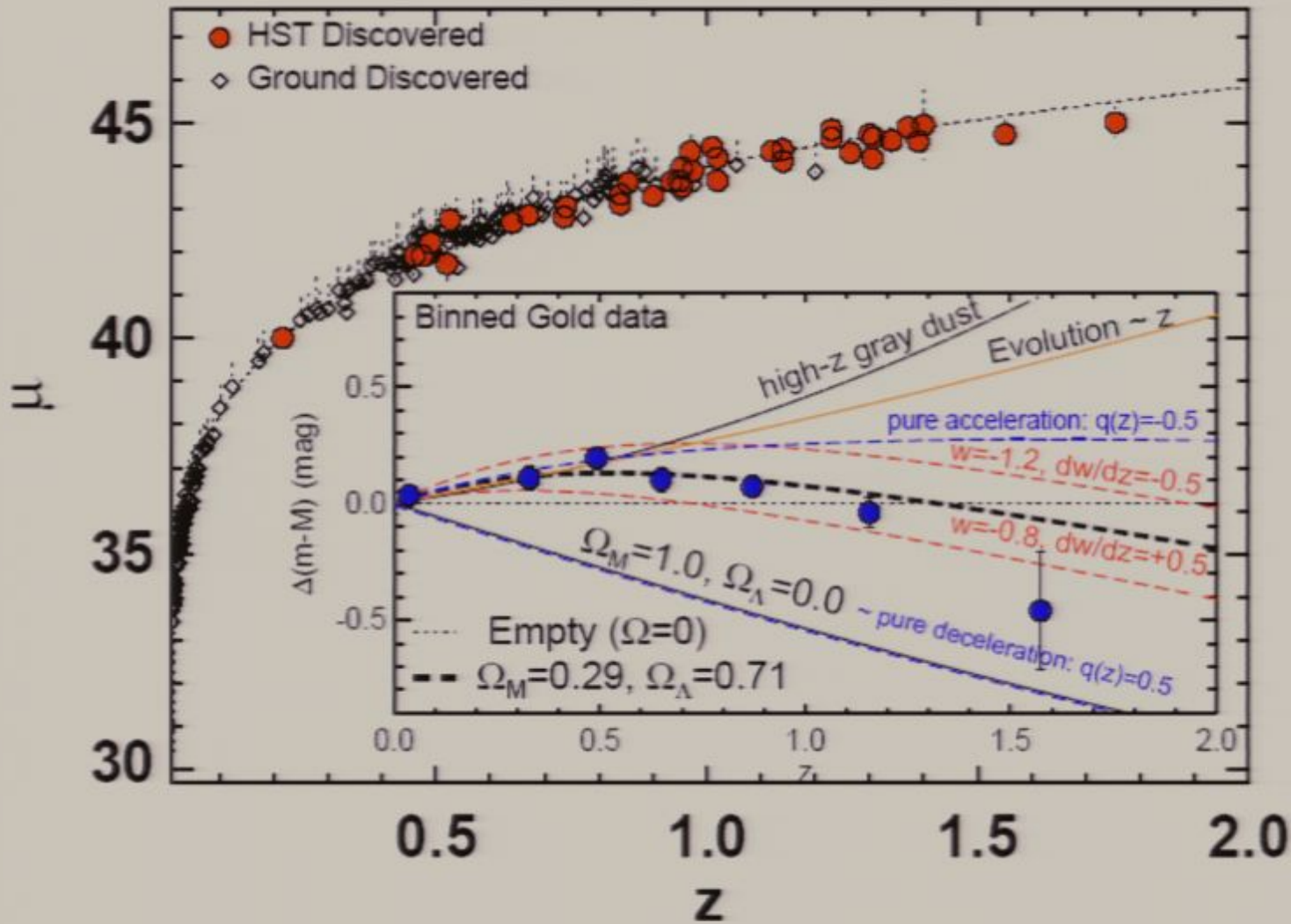
Luminosity distance measurements

- *Supernovae type Ia as (almost) standard candles*



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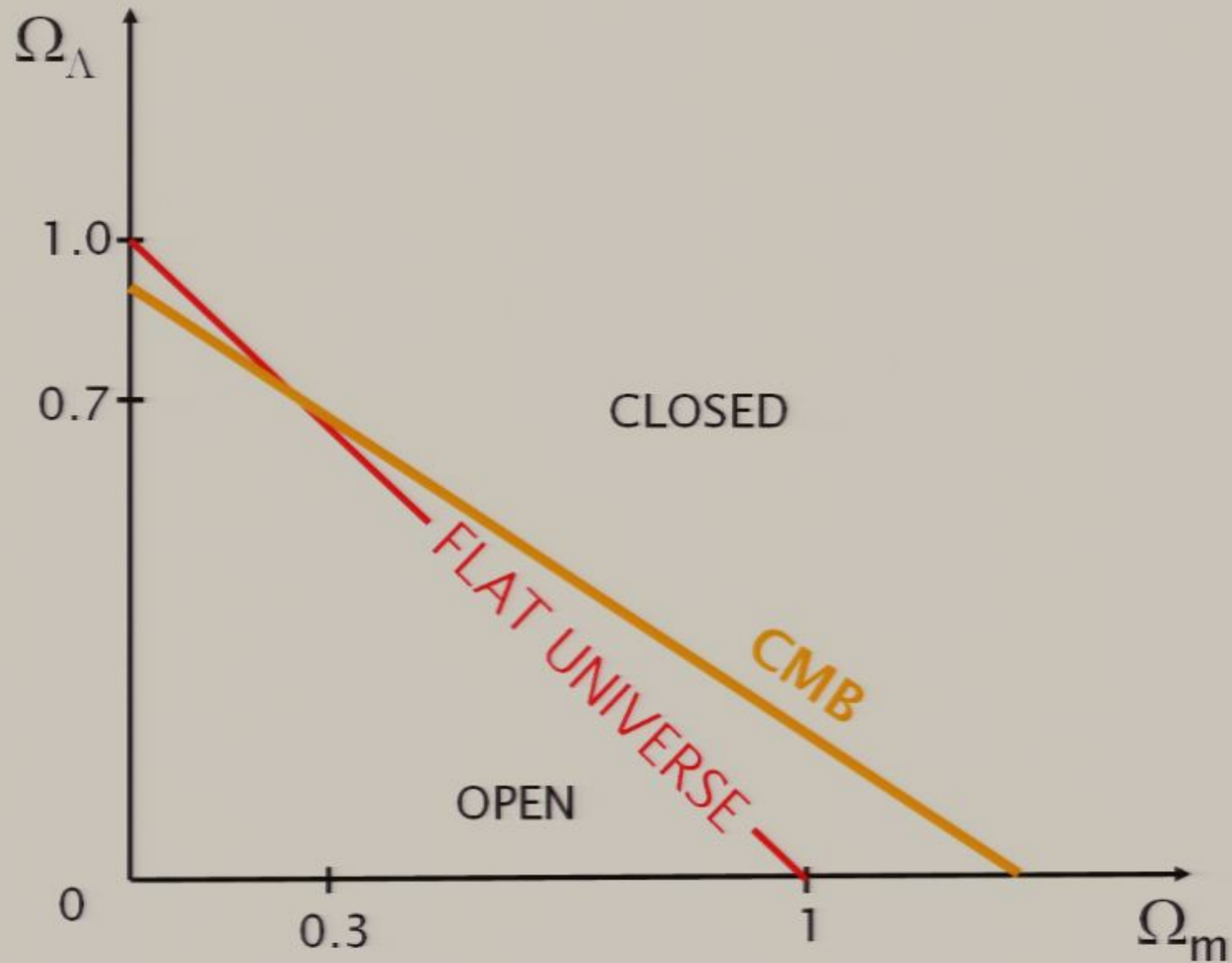


Riess et al (2006)

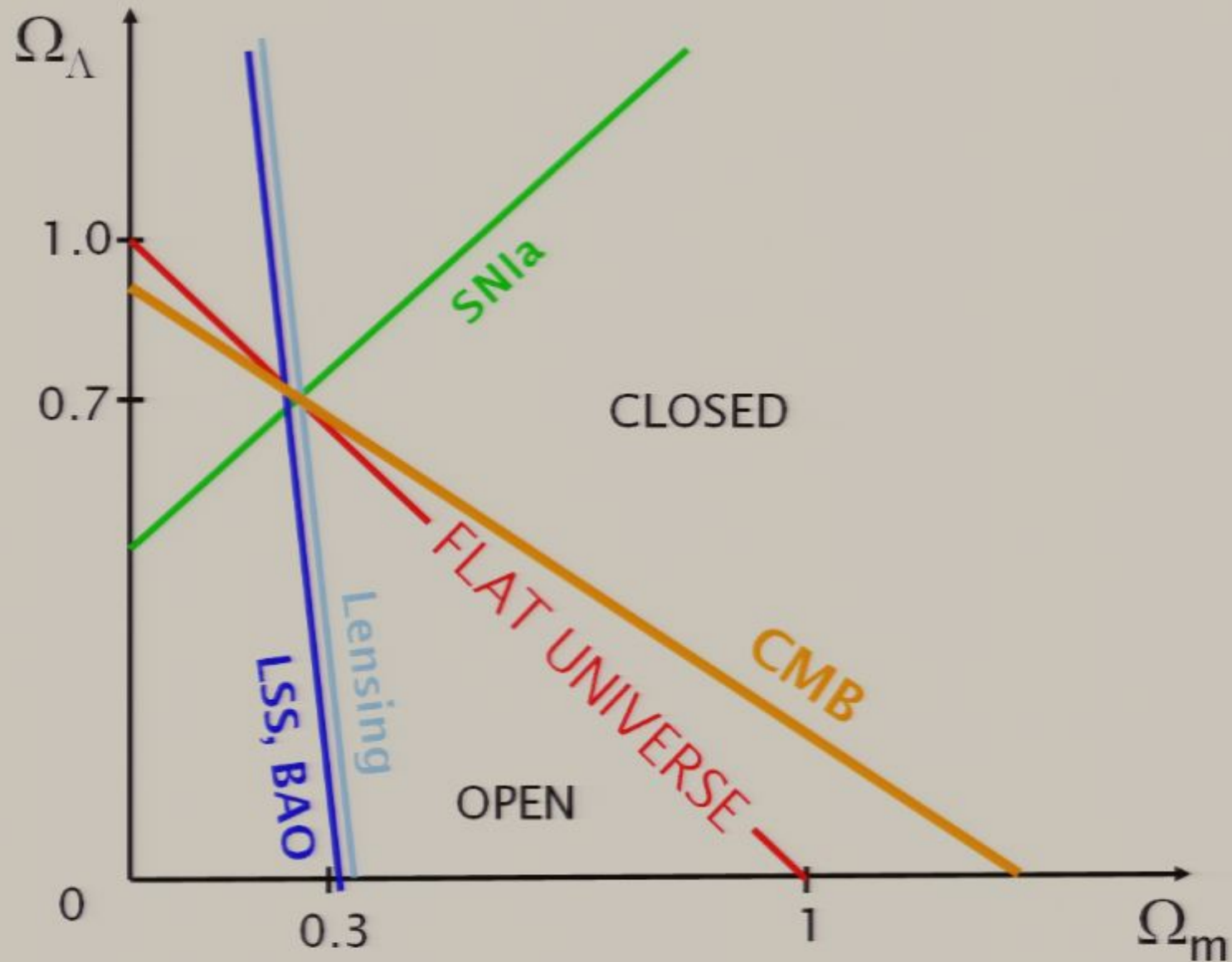
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$D_L(z)$ (a function of $\Omega_m, \Omega_\Lambda, \Omega_K, H_0$)

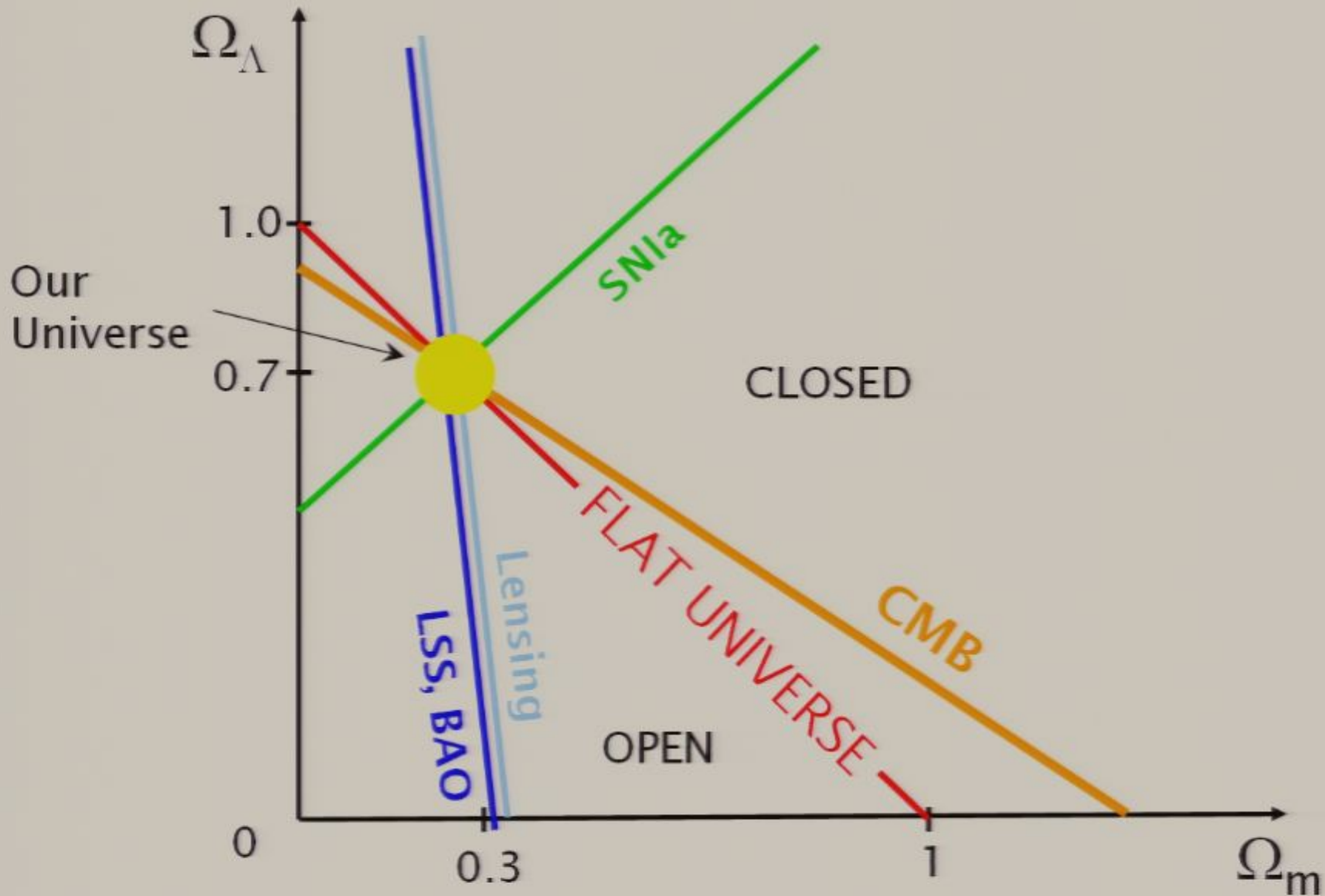
Accumulating evidence



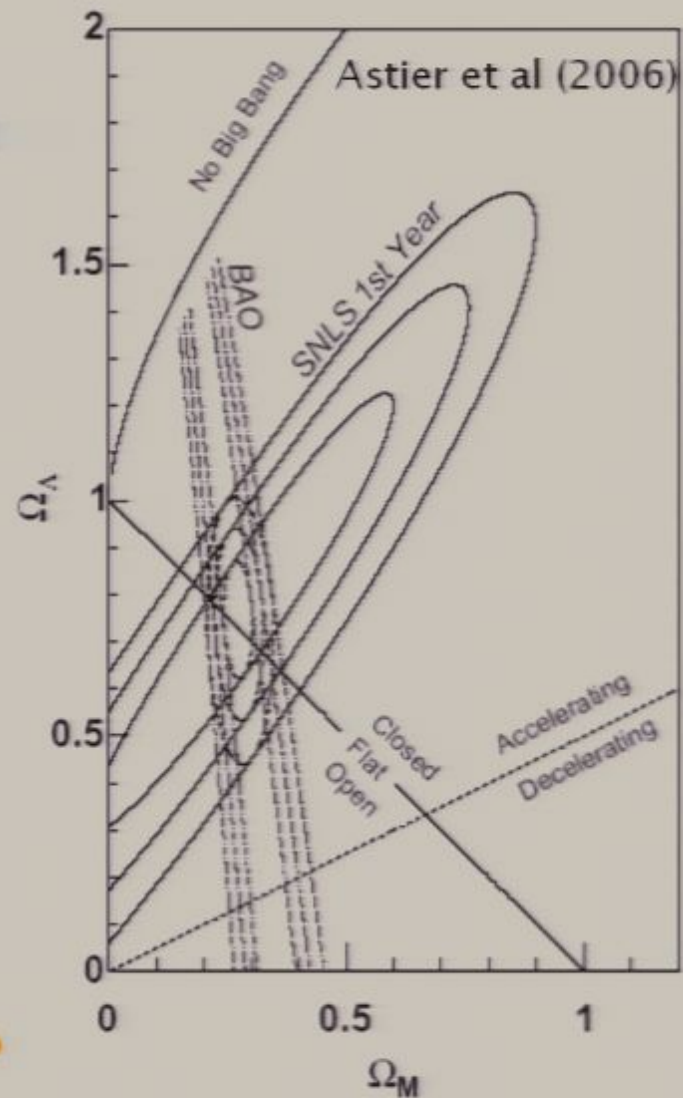
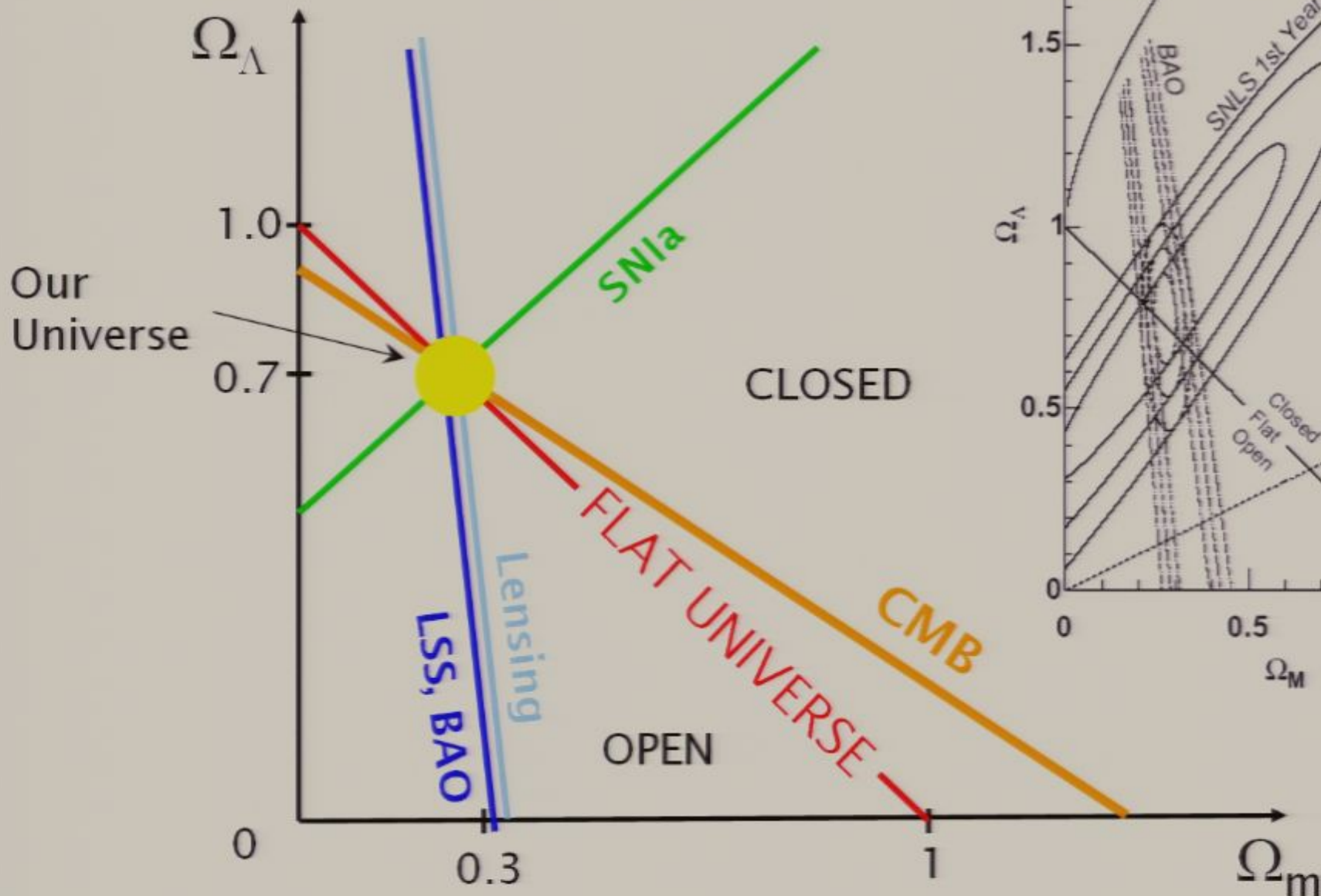
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$$\Omega_m = 0.271 \pm 0.020, \Omega_\Lambda = 0.751 \pm 0.082$$

The accelerating Universe

Dark energy: a component with (possibly time dependent) negative pressure

$$\frac{d}{dt} \left(\frac{\dot{a}}{a} \right) = -\frac{4\pi G}{3} \rho (1 + 3w)$$

$$w(z) = p/\rho < -1/3$$

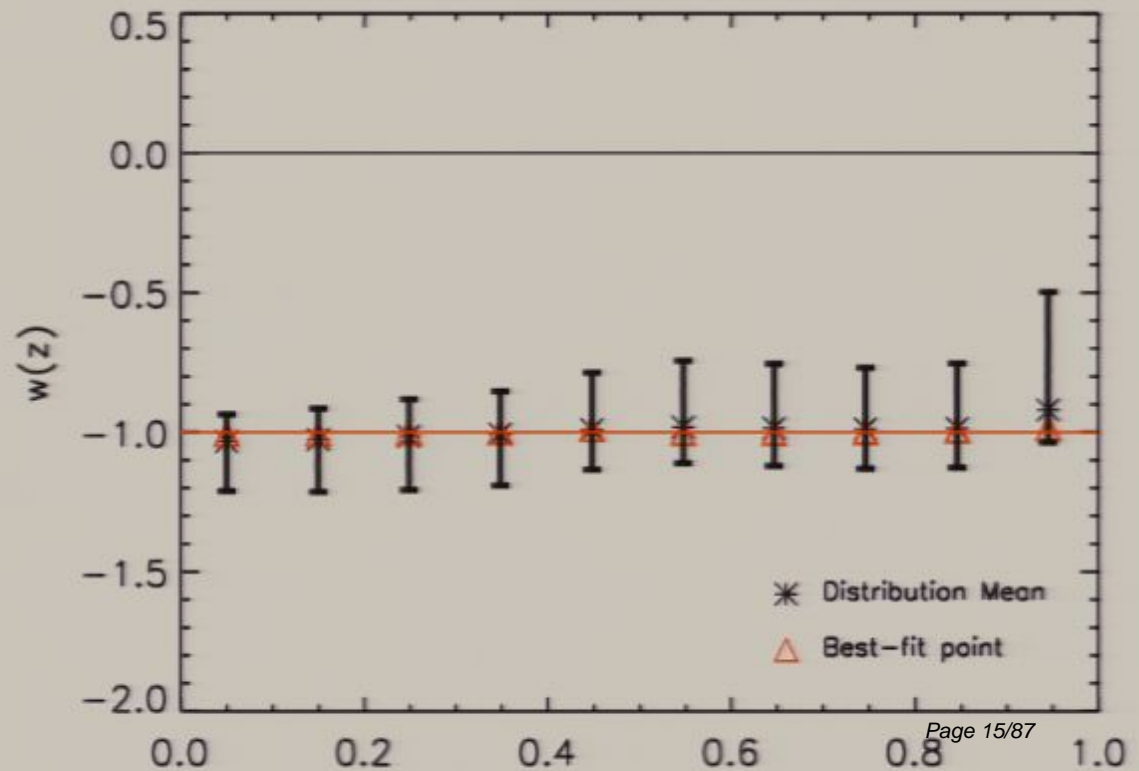
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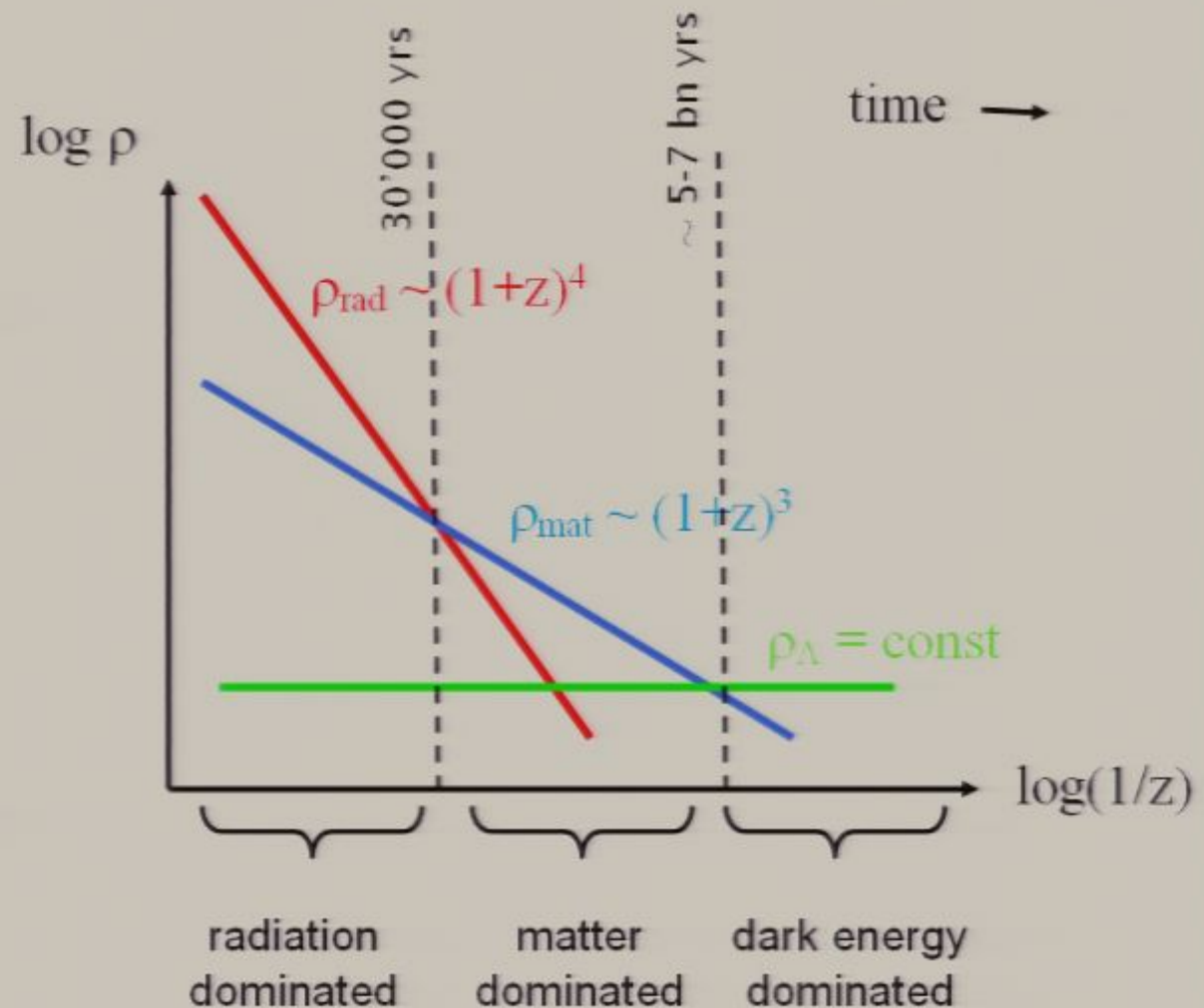
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So far, all data compatible with the hypothesis of a cosmological constant ($w = -1$)



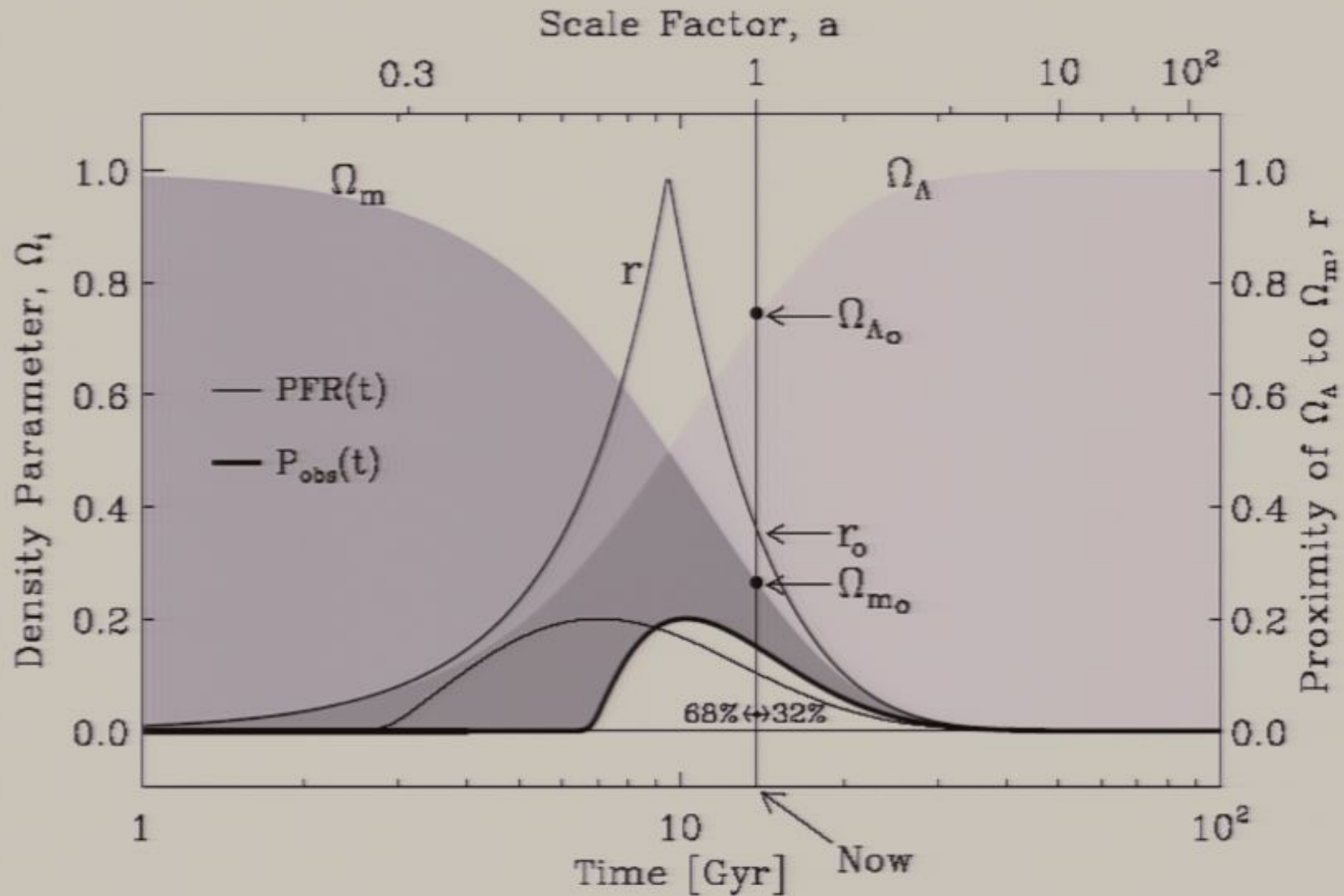
The coincidence problem

- Since $\rho_{\text{mat}} \propto (1+z)^3$
but $\rho_{\Lambda} = \text{const}$,
matter-cosmological
constant equality
epoch is at $z \sim 0.7$
- Why are the dark
matter and
cosmological
constant energy
densities of the same
order just today?



A temporal selection effect?

Lineweaver & Egan, astro-ph/0703429



More anthropic coincidences?

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Coincidence #1: the strength of the strong force

- *Apparently fine tuned within 1%*
- *Weaker: no D fusion; stronger: runaway H burning*

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Coincidence #5: ^{12}C resonance

- *The production of ^{12}C occurs resonantly via a “triple-alpha process”. Energy level fine tuned within 1%*



Fred Hoyle
(1915-2001)

Possible viewpoints

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- *Deeper symmetries in the laws of Nature*

What determined those symmetries in the first place?

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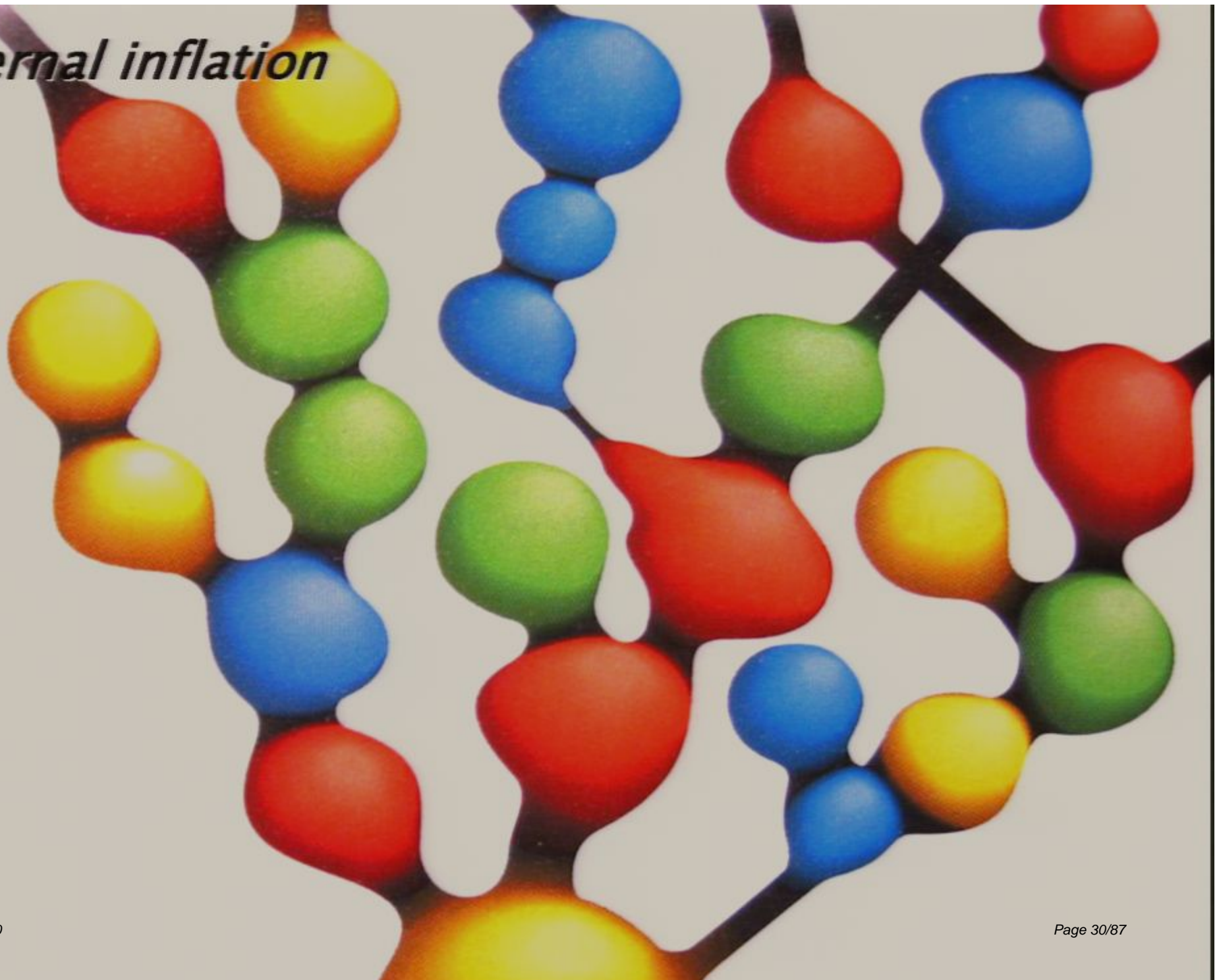
No explanatory power, probably wrong

- *Multiverse + Anthropic Principle:
we must live in one
“realization” favourable for
life*

Introduces a (possibly infinite) number of unobservable Universes. Physical reality?

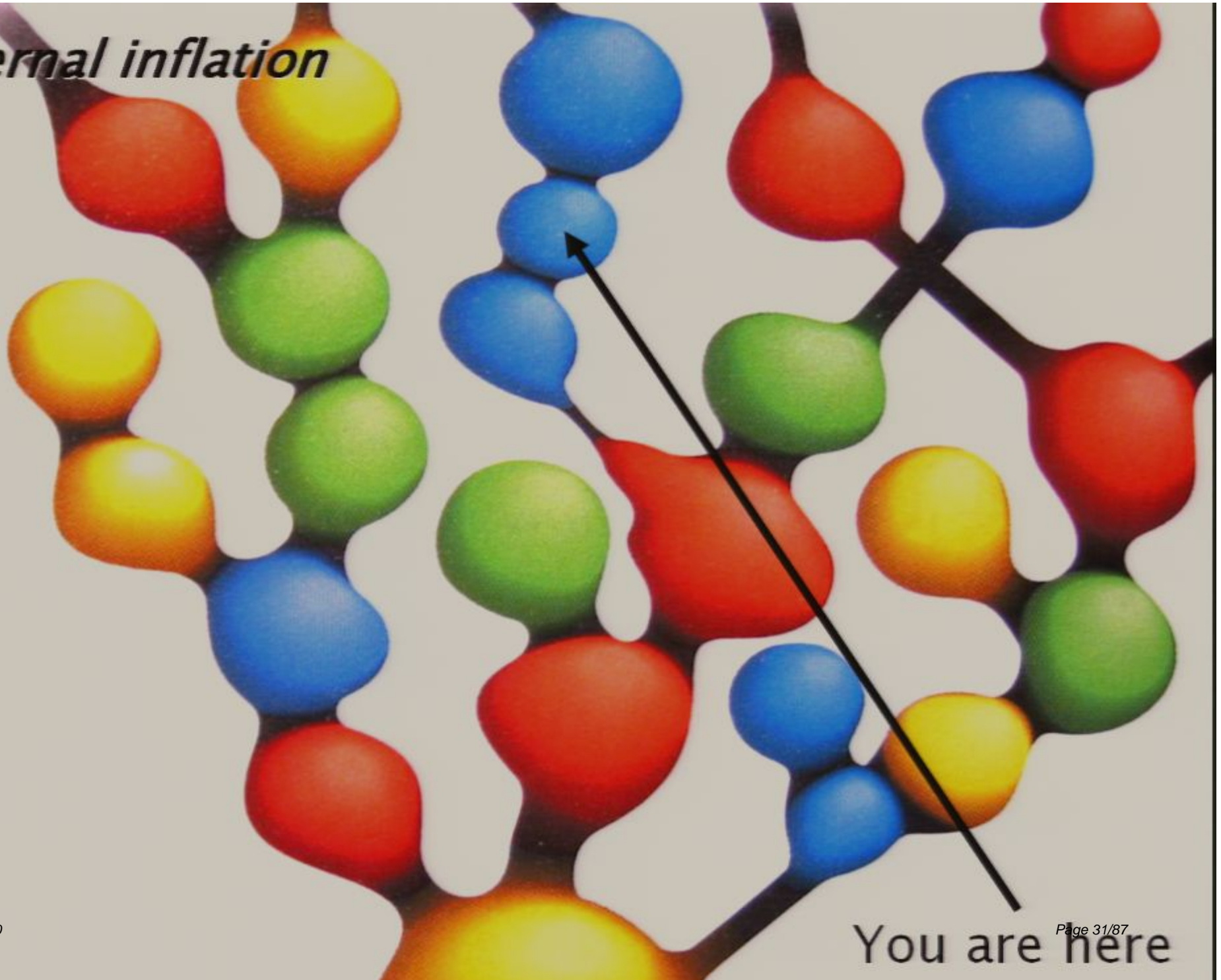
Eternal inflation

↑
TIME

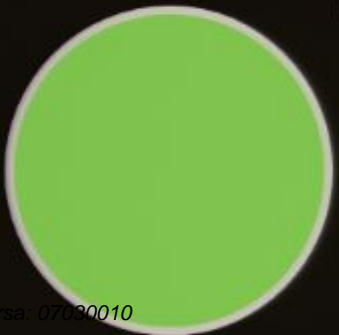
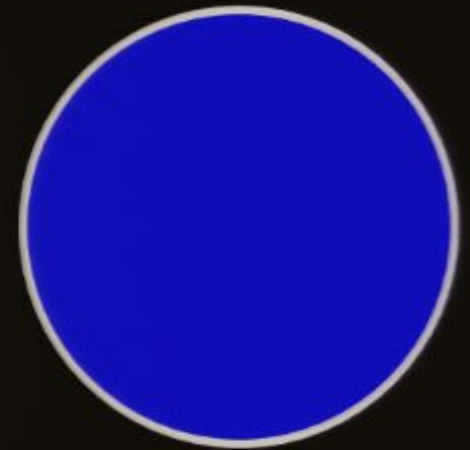


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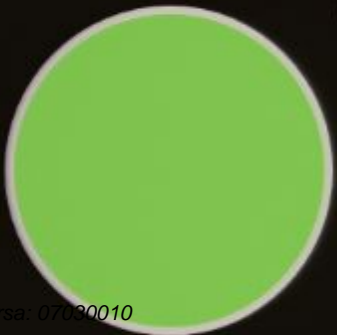
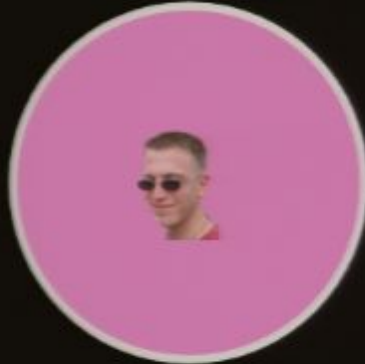
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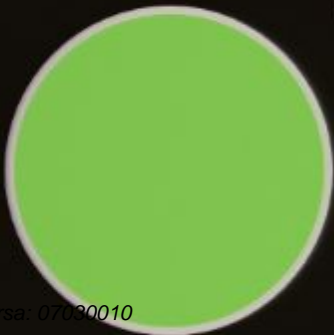
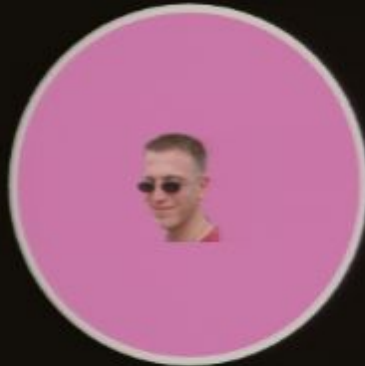
*The landscape
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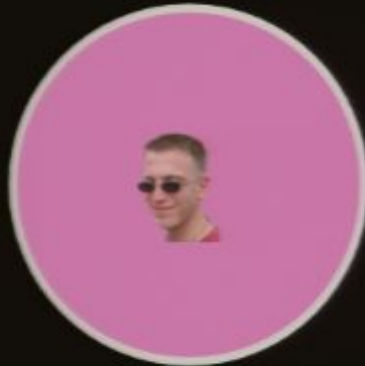
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The cosmological constant problem

If the cosmological constant is a manifestation of vacuum energy, its magnitude $\sim M_{\text{Pl}}^4$
(possibly the most spectacularly wrong prediction in the history of science)

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why is $\Lambda_0/M_{\text{Pl}}^4 \approx 10^{-123}$?

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Weinberg, PRL, 59, 22 (1987):

“We may conclude that anthropic considerations **do not** explain the smallness of the cosmological constant”



Brands of the anthropic principle

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- *Strong anthropic principle (Carter, 1974):*
The Universe must have the properties that allow life to exist
- *Participatory anthropic principle (Wheeler, 1975):*
Observers are necessary to bring the Universe into being

The weak AP as selection effect

By using Bayes theorem:

$$\Pr(\Lambda|\text{life}) \propto \Pr(\Lambda) \Pr(\text{life}|\Lambda)$$

*prob of observing = prior distribution * likelihood function*

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Pr(Λ): the prior distribution

- *Reflects our prior state of knowledge (Bayesian) or relative frequency of outcomes (Frequentist)*
- *Must be worked out from first principles, usually waved away as being 'flat'. Might need to be adjusted for the presence of observers*

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Pr(life| Λ): the selection function

- *Encompasses observers selection effects for a given value of Λ*
- *What do we mean by 'life'? What count as observers?*

Does the AP explain Λ ?

Efstathiou, MNRAS 274, L37-L76 (1995) argues in favour of the AP:

- *Assume that the probability of life \propto space density of (L_* , M_*) galaxies*
- *Assume that the prior probability of Λ is uniform*
- *Assume that the photon entropy per CDM particle is constant*
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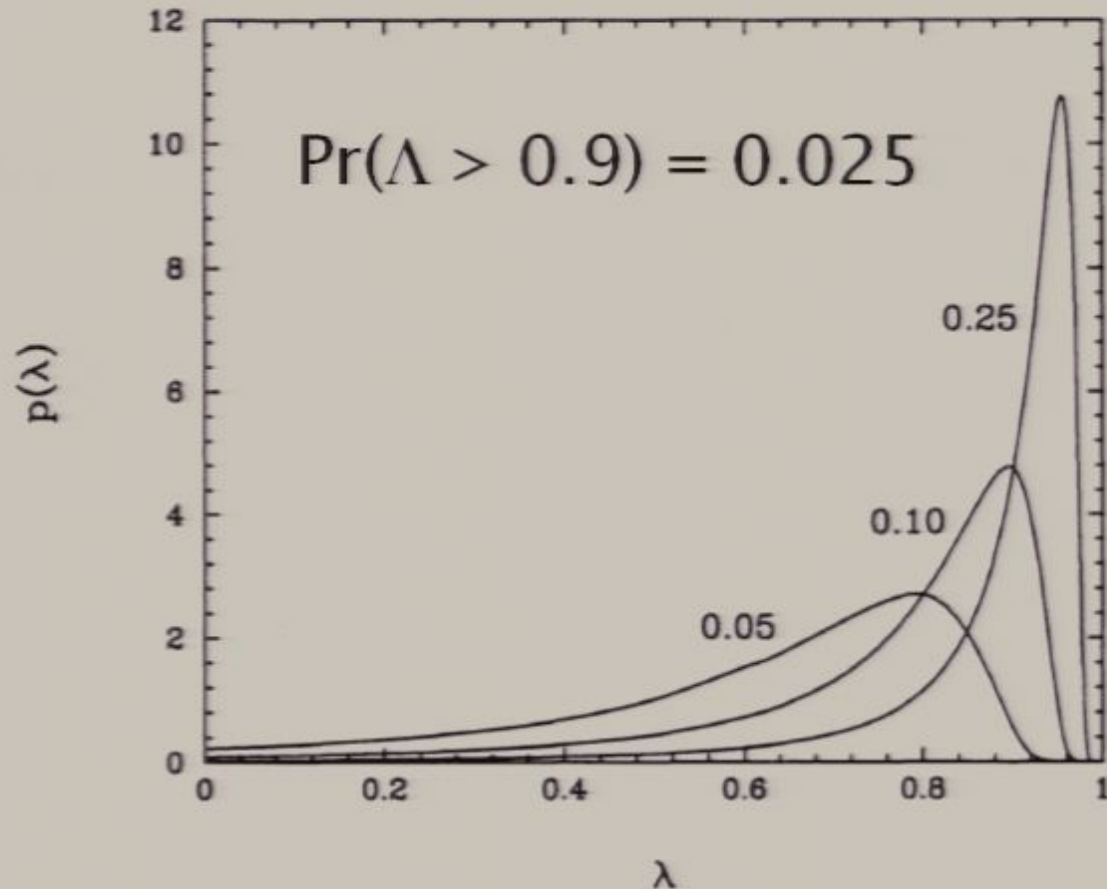
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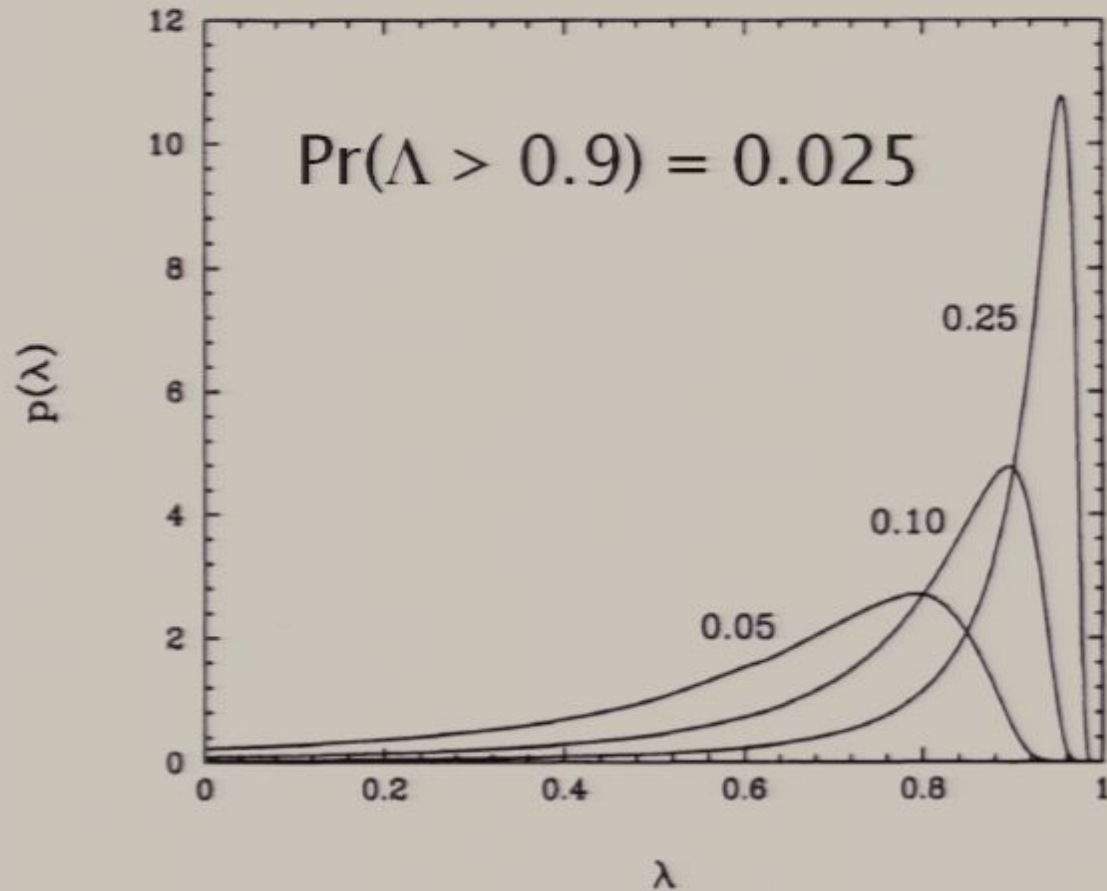
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“These assumptions suggest that the small value of the cosmological constant may be explained by the AP”

Criticisms of the AP

- **Instability wrt definition of observers:**

how do we compute the density of observers for a given cosmological model? Currently mostly taken proportional to the fraction of gas in structures – MANO offers an explicit counter-example (Starkman & Trota, 2006)

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‘Reference class of observers’ and the Self-Indication Assumption (Neil, 2006)

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‘Reference class of observers’ and the Self-Indication Assumption (Neil, 2006)

- **Which parameters are allowed to vary?**

If we change other fundamental parameters at the same time, we can compensate for larger values of Λ (Aguirre, 2001)

The selection function

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The selection function $\Pr(\text{life}|\Lambda)$

What counts as “observers”?

How do we predict the emergence of “life” in other Universes?

Proportionality to n_{gal} could be anything

Certainly important to integrate over time

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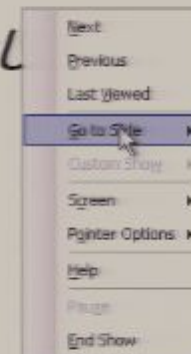
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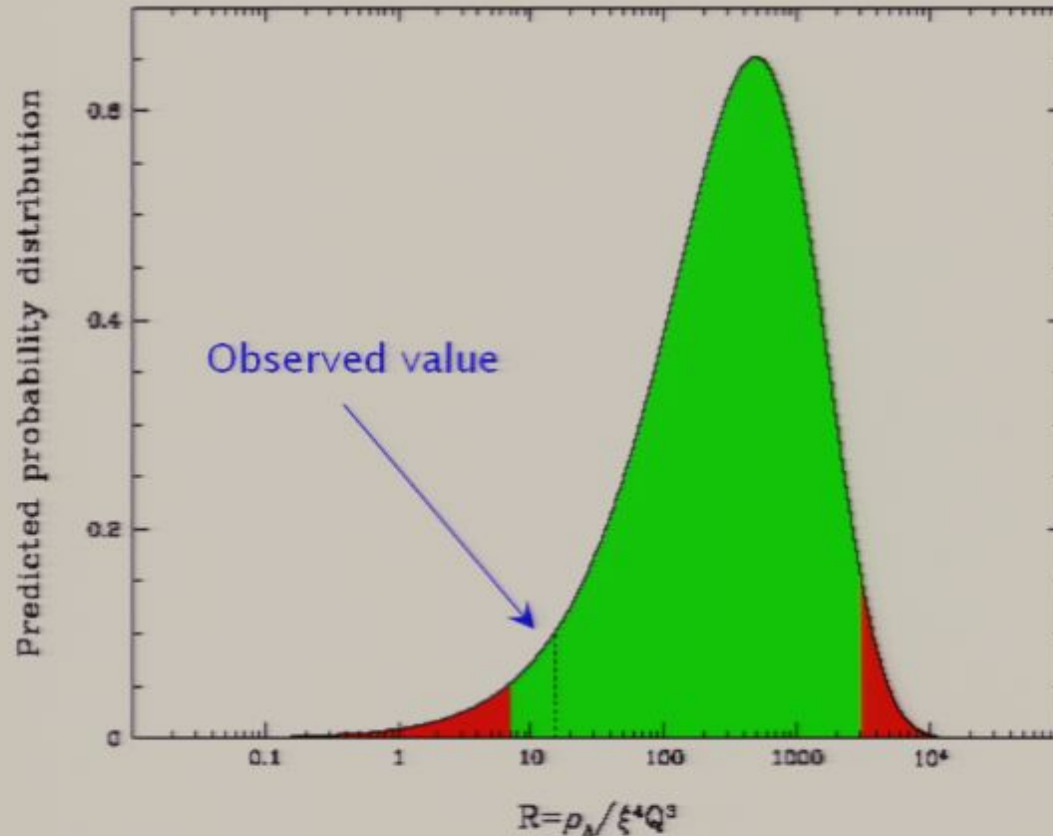
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Λ is not enough



Aguirre (2001) considers varying

$$\eta_\gamma, Q, \eta_{dm}, \eta_L, R, \Lambda$$

Applying a variety of selection effects, normalizing to the observed values, other widely different cosmologies equally plausible, eg:

$$10^{-9}, 10^{-4}, 0, 1, 10^5, 10^{17}$$

Anthropic prediction only 'works' if one fixes (ξ, Q) to their observed values

Which parameters should vary?

Parameter	Meaning	Measured value
g	Weak coupling constant at m_Z	0.6520 ± 0.0001
θ_W	Weinberg angle	0.48290 ± 0.00005
g_s	Strong coupling constant at m_Z	1.221 ± 0.022
μ^2	Quadratic Higgs coefficient	$\sim -10^{-32}$
λ	Quartic Higgs coefficient	~ 17
G_e	Electron Yukawa coupling	2.94×10^{-6}
G_μ	Muon Yukawa coupling	0.000607
G_τ	Tauon Yukawa coupling	0.0102156233
G_u	Up-quark Yukawa coupling	0.000016 ± 0.000007
G_d	Down quark Yukawa coupling	0.00003 ± 0.00002
G_c	Charm quark Yukawa coupling	0.0072 ± 0.0006
G_s	Strange quark Yukawa coupling	0.0006 ± 0.0002
G_t	Top quark Yukawa coupling	1.002 ± 0.029
G_b	Bottom quark Yukawa coupling	0.026 ± 0.003
$\sin \theta_{12}$	Quark CKM matrix angle	0.2243 ± 0.0016
$\sin \theta_{23}$	Quark CKM matrix angle	0.0413 ± 0.0015
$\sin \theta_{13}$	Quark CKM matrix angle	0.0037 ± 0.0005
δ_{12}	Quark CKM matrix phase	1.05 ± 0.24
θ_{QCD}	CP-violating QCD vacuum phase	$< 10^{-9}$
G_{ν_e}	Electron neutrino Yukawa coupling	$< 1.7 \times 10^{-11}$
G_{ν_μ}	Muon neutrino Yukawa coupling	$< 1.1 \times 10^{-6}$
G_{ν_τ}	Tau neutrino Yukawa coupling	< 0.10
$\sin \theta'_{12}$	Neutrino MNS matrix angle	0.55 ± 0.06
$\sin 2\theta'_{23}$	Neutrino MNS matrix angle	≥ 0.94
$\sin \theta'_{13}$	Neutrino MNS matrix angle	≤ 0.22
δ'_{12}	Neutrino MNS matrix phase	?
ρ_Λ	Dark energy density	$(1.25 \pm 0.25) \times 10^{-122}$
ξ_b	Baryon mass per photon ρ_b/n_γ	$(0.50 \pm 0.05) \times 10^{-28}$
ξ_c	Cold dark matter mass per photon ρ_c/n_γ	$(2.5 \pm 0.2) \times 10^{-28}$
ξ_ν	Neutrino mass per photon $\rho_\nu/n_\gamma = \frac{1}{11} \sum m_{\nu_i}$	$< 0.9 \times 10^{-28}$
Q	Scalar fluctuation amplitude δ_H on horizon	$(2.0 \pm 0.2) \times 10^{-5}$
n_s	Scalar spectral index	0.98 ± 0.02
α_n	Running of spectral index $dn_s/d \ln k$	$ \alpha \lesssim 0.01$
r	Tensor-to-scalar ratio $(Q_t/Q)^2$	≤ 0.36
n_t	Tensor spectral index	Unconstrained
w	Dark energy equation of state	-1 ± 0.1
κ	Dimensionless spatial curvature $k/c^2 T^2$ [85]	$ \kappa < 10^{-60}$

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G_u	Up-quark Yukawa coupling	0.000016 ± 0.000007
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δ_{12}	Quark CKM matrix phase	1.05 ± 0.24
θ_{tot}	CP-violating QCD vacuum phase	$< 10^{-9}$
G_{ν_e}	Electron neutrino Yukawa coupling	$< 1.7 \times 10^{-11}$
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δ'_{12}	Neutrino MNS matrix phase	?
ρ_Λ	Dark energy density	$(1.25 \pm 0.25) \times 10^{-122}$
ξ_b	Baryon mass per photon ρ_b/n_γ	$(0.50 \pm 0.05) \times 10^{-28}$
ξ_c	Cold dark matter mass per photon ρ_c/n_γ	$(2.5 \pm 0.2) \times 10^{-28}$
ξ_ν	Neutrino mass per photon $\rho_\nu/n_\gamma = \frac{1}{11} \sum m_{\nu_i}$	$< 0.9 \times 10^{-28}$
Q	Scalar fluctuation amplitude δ_H on horizon	$(2.0 \pm 0.2) \times 10^{-5}$
n_s	Scalar spectral index	0.98 ± 0.02
α_n	Running of spectral index $dn_s/d \ln k$	$ \alpha \lesssim 0.01$
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n_t	Tensor spectral index	Unconstrained
w	Dark energy equation of state	-1 ± 0.1
κ	Dimensionless spatial curvature $k/c^2 H^2$ [85]	$ \kappa < 10^{-60}$

Which parameters should vary?

Parameter	Meaning	Measured value
g	Weak coupling constant at m_Z	0.6520 ± 0.0001
θ_W	Weinberg angle	0.48290 ± 0.00005
g_s	Strong coupling constant at m_Z	1.221 ± 0.022
μ^2	Quadratic Higgs coefficient	$\sim -10^{-32}$
λ	Quartic Higgs coefficient	~ 17
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The selection function

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The selection function $\Pr(\text{life}|\Lambda)$

What counts as “observers”?

How do we predict the emergence of “life” in other Universes?

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*An explicit counter-example: **MANO** weighting
Maximum **N**umber of **A**llowed **O**bservations*

The maximum number of observations

- *We suggest to use the maximum number of observations that can be carried out instead.*
- *Consider the maximum amount of energy accessible to observers:*

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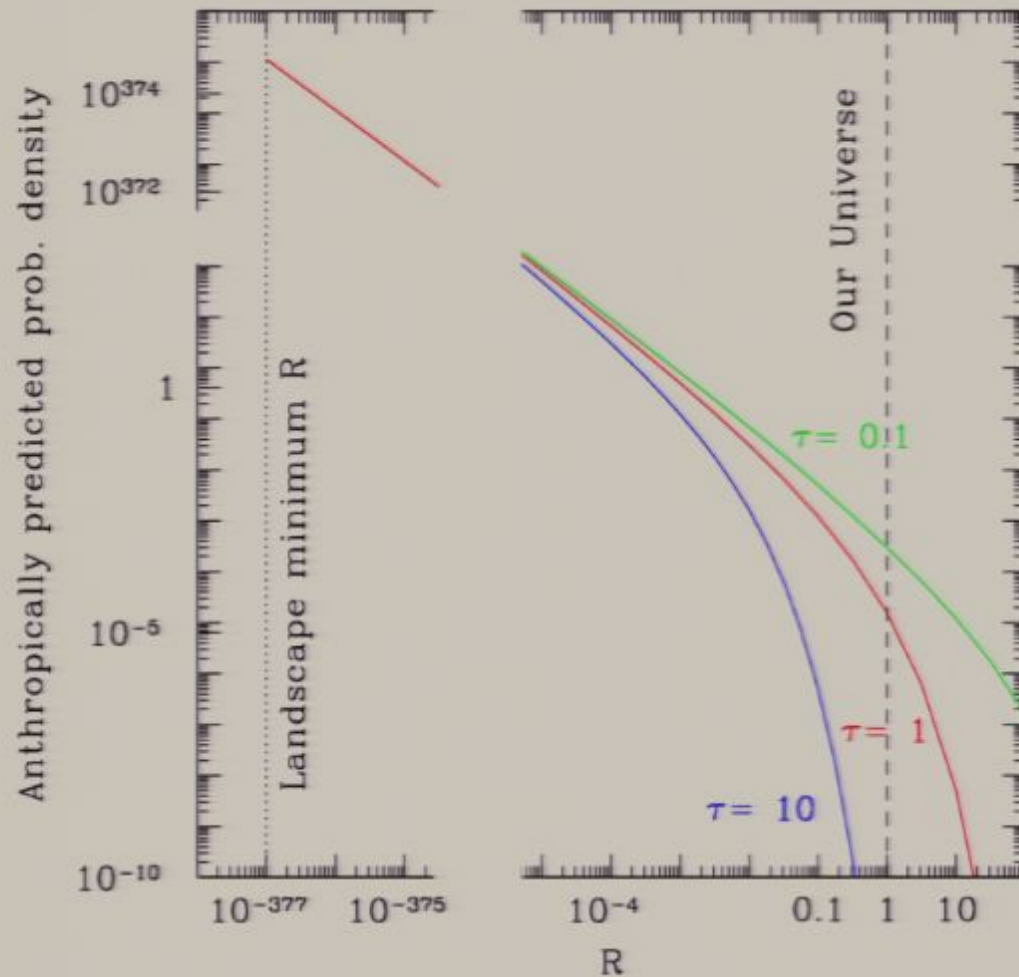
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MANO = Maximum Allowed Number of Observations

- *Rare observers limit – max number of observations that each observer can perform*
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- *The correspondence between observers and observations is difficult to compute. If we assume it is a constant, than our scheme is equivalent to traditional AP applications, but integrated over lifetime of the Universe*

MANO weighting of Universes

Starkman & Trota, Phys Rev Lett 97, 201301 (2006)



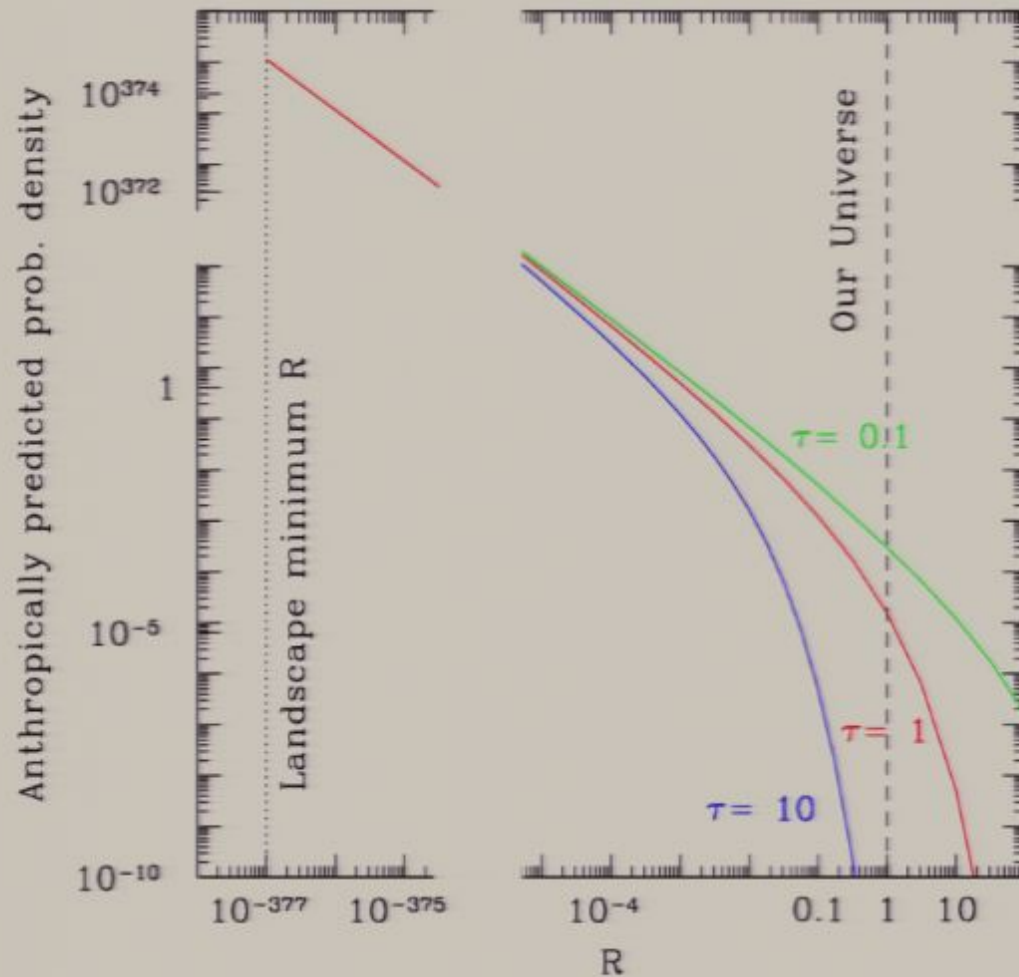
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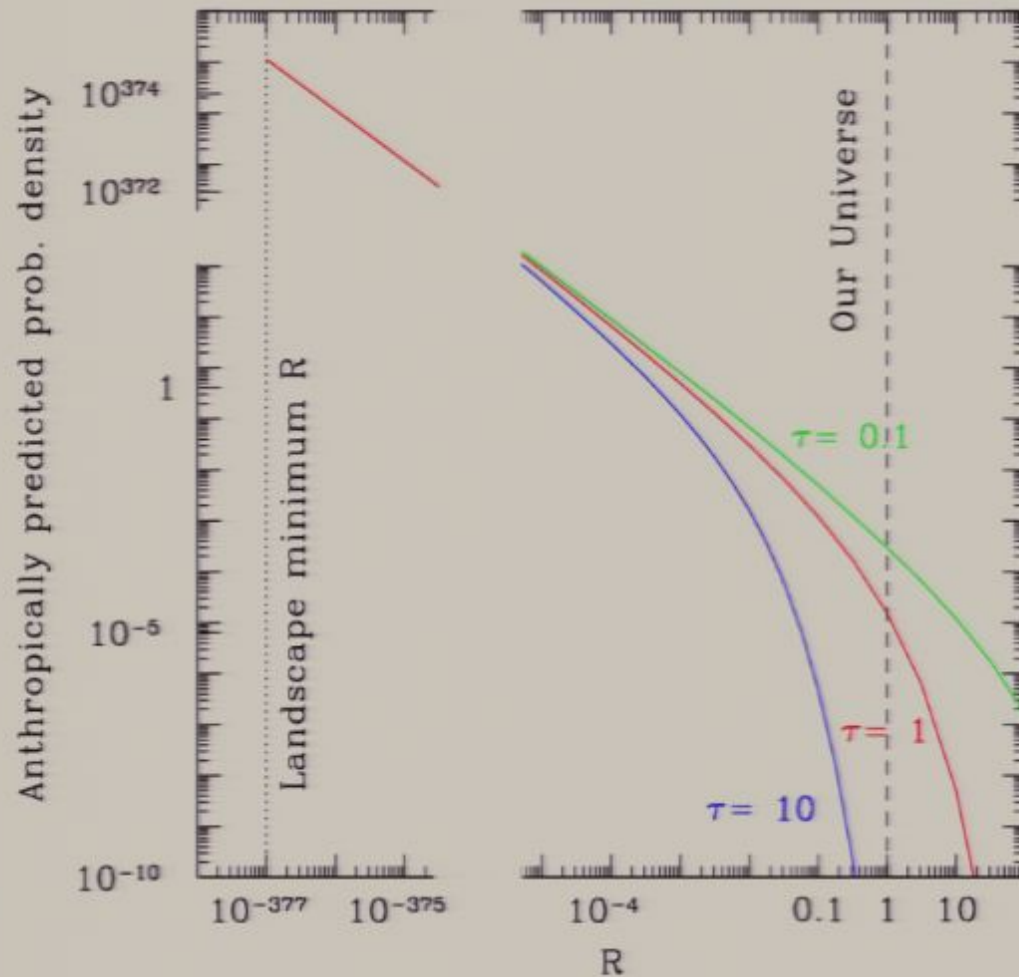
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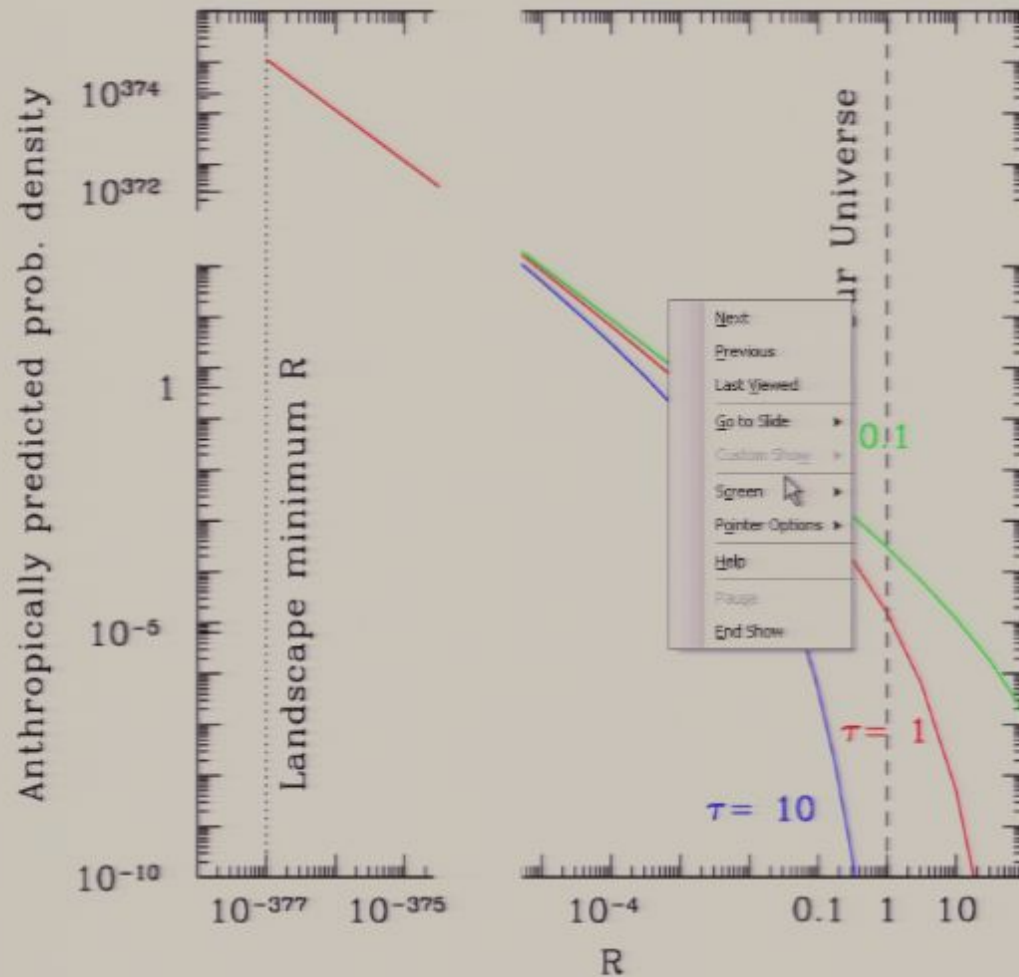
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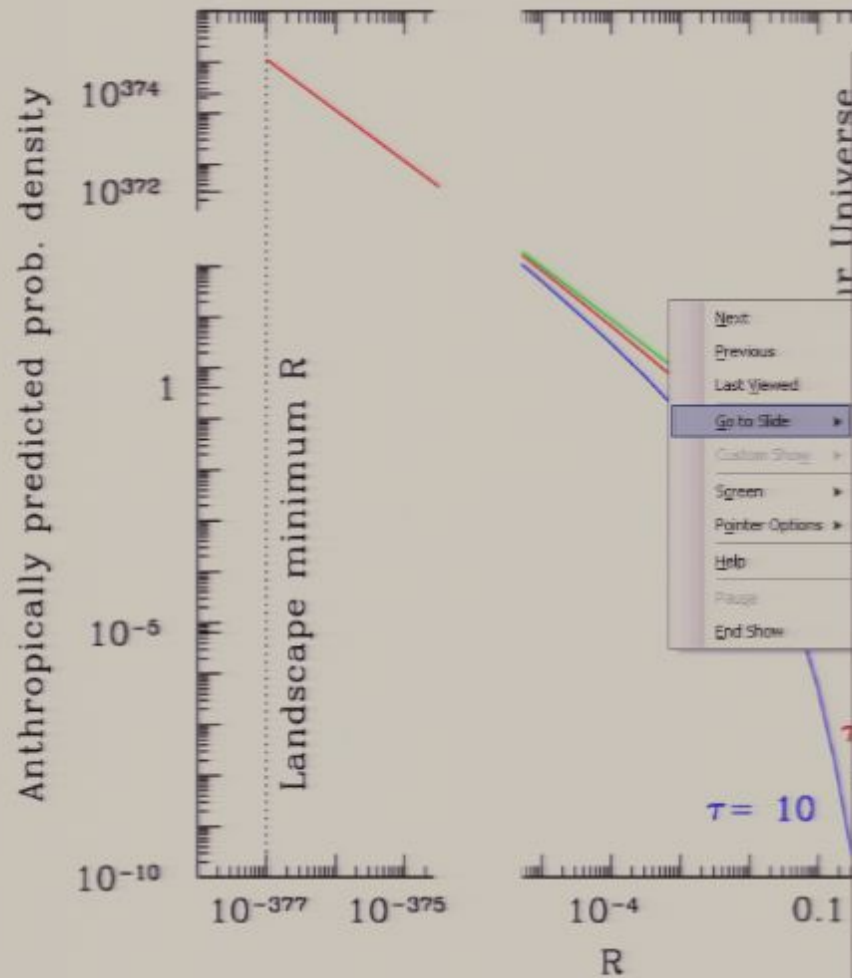
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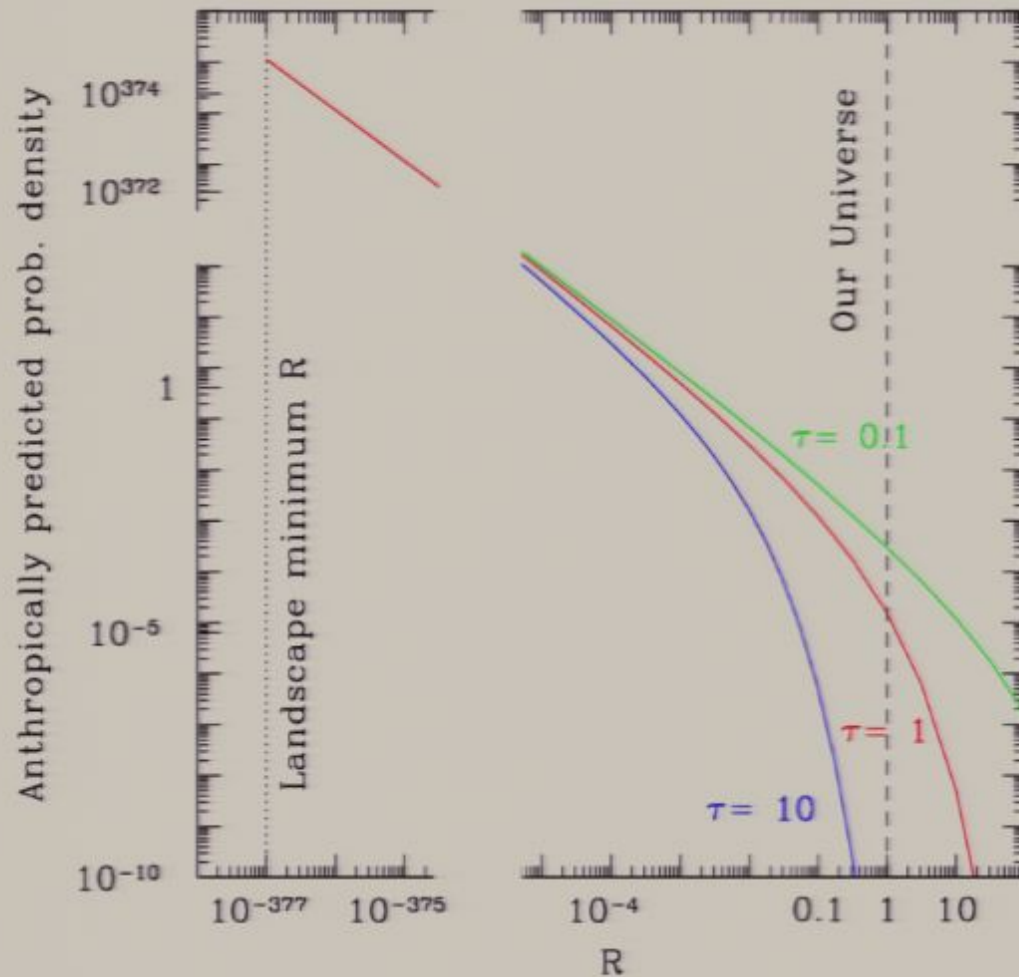
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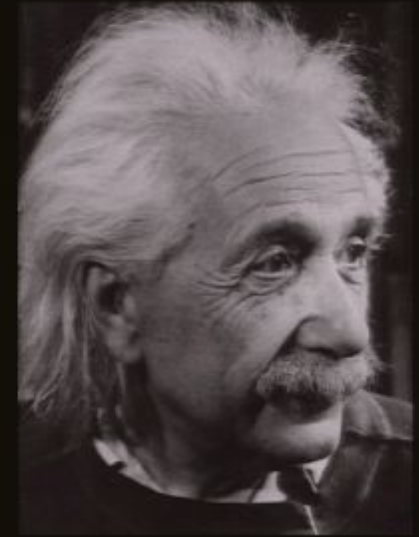
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Final remarks & cosmic thoughts

- *Selection effects are unavoidable: our observations are conditional on the fact that we exist*
- *There have been attempts to “solve” the cosmological constant problem by invoking selection effects in some kind of multiverse (WAP)*
- *Criticisms to the anthropic principle:*
 - instability wrt definition of observers: different ways of counting observers lead to totally different “predictions”
 - which parameters should vary?
 - consistent reasoning requires either SSA+SIA or common reference class of observers. Answer depends on number of companions in the class

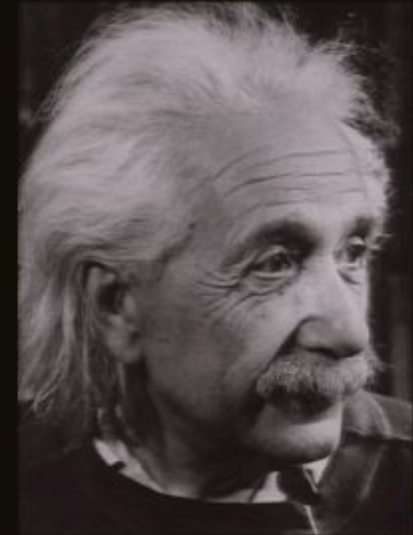
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“If only God would give me some clear sign! Like making a large deposit in my name in a Swiss bank”

(Woody Allen)