

Title: An extended, quartic quantum theory and a generalised theory of quantum information processing

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Abstract: We propose an extended quantum theory, in which the number of degrees of freedom  $K$  behaves as FOURTH power the number  $N$  of distinguishable states. As the simplex of classical  $N$ -point probability distributions can be embedded inside a higher dimensional convex body of mixed quantum states, one can further increase the dimensionality constructing the set of extended quantum states. The embedding proposed corresponds to an assumption that the physical system described in  $N$  dimensional Hilbert space is coupled with an auxiliary subsystem of the same dimensionality. The extended theory is shown to be a non-trivial generalisation of the standard quantum theory for which  $K=N^2$ . Imposing certain restrictions on initial conditions and dynamics allowed in the quartic theory one obtains quadratic theory as a special case. We discuss the question, how the theory of information processing looks like in the framework of the generalised quantum theory. In particular we propose a scheme of extended dense coding, in which one transmits two qubits by sending one extended bit, provided it was initially entangled with the extended bit of the receiver.

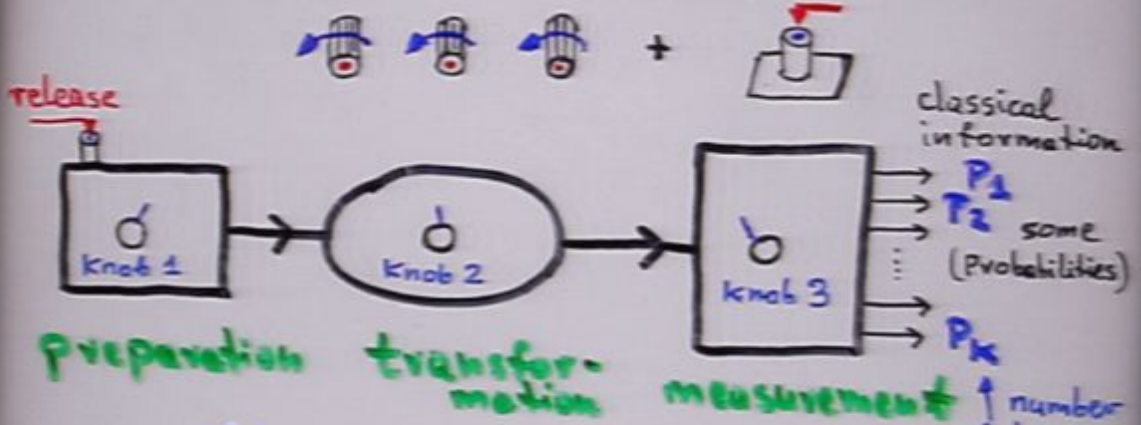
A generalised, **quartic**  
Quantum Mechanics  
and

a generalised theory  
of quantum information

**Karol Życzkowski**

Jagiellonian University  
(Cracow),  
Polish Academy of Sciences  
(Warsaw)

# General scheme: 3 Knobs + release button



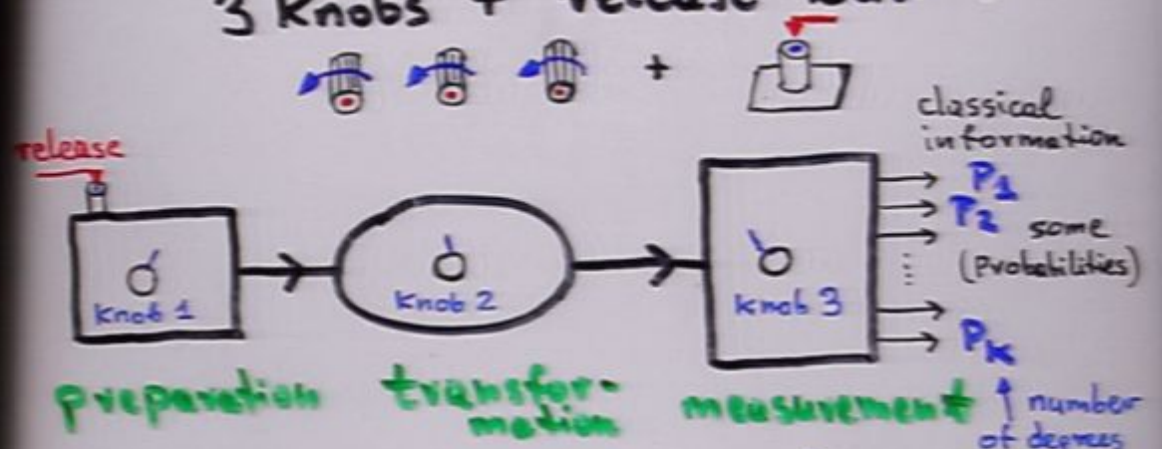
→  $\vec{P} = (P_1, \dots, P_k) \in S \subset \mathbb{R}^k$  ← suitable set

state  $\equiv$  **thing**, represented by any mathematical object, useful to calculate probability of any measurement: eg. vector of probability, density matrix  $\rho$ .

$\omega$

$N$  - maximal number of states

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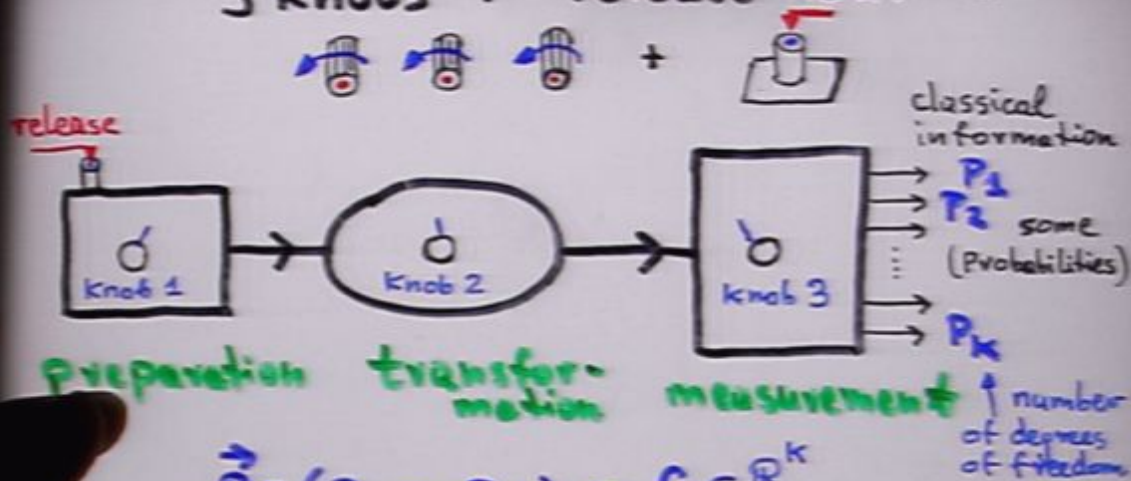
**N** - maximal number of states that can be **distinguished** in a single-shot experiment.

### examples:

1. **Classical theory**,  $K=N$ ,  $\omega = (P_1, \dots, P_N)$ , unnormalised vector,  $\sum_{i=1}^N P_i \leq 1$

2. **Quantum theory**,  $K=2^n$ ,  $\rho$ , unnormalised density matrix

### 3 Knobs + release button



Preparation      transformation      measurement

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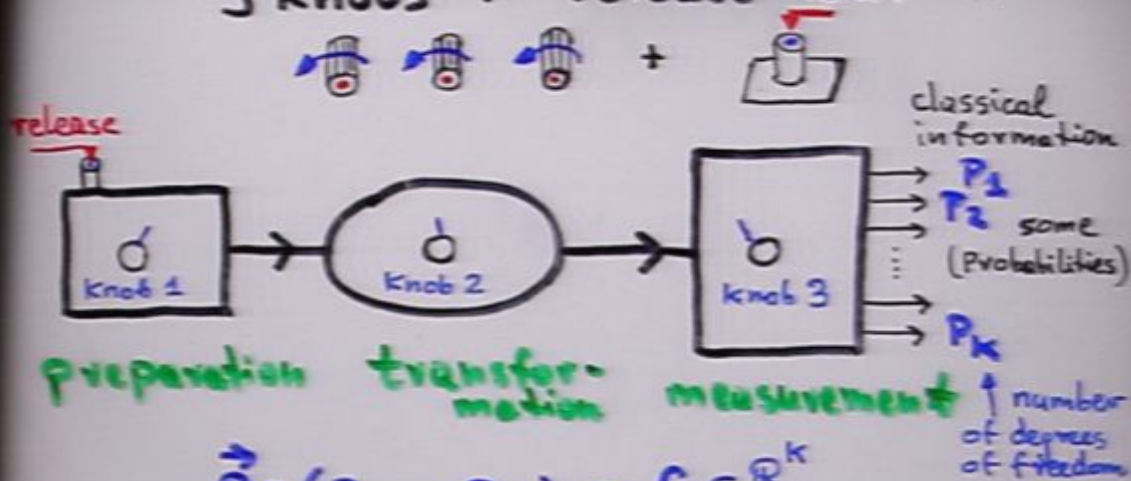
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unnormalised vector

2. Quantum theory,  $K=N^2$ ,  $\omega = \rho = \rho$ ,  $\text{Tr} \rho \leq 1$

unnormalised density matrix (complex)

### 3 Knobs + release button



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# Quantum Theory from $\forall$ reasonable axioms<sup>5</sup>

Lucien Hardy  
(2004)

quant-ph/0404012  
quant-ph/0411068

## Axioms of Hardy:

1. **Probabilities.** Frequencies of measurement outcomes for an ensemble of  $n$  systems for a given set-up converge to the same value in the limit  $n \rightarrow \infty$ .
2. **Subspaces.** There exist systems for which  $N = 1, 2, \dots$  and all the systems with a fixed  $N$  have the same properties.
3. **Composite Systems.** For a system composed of subsystems  $A$  and  $B$   
 $N = N_A \cdot N_B$  and  $K = K_A \cdot K_B$  (**Product rule**)
4. **Continuity.** Between any two pure states of the system there exist a continuous, reversible transformation.
5. **Simplicity.** For each number  $N$  the number  $K$  takes minimal value consistent with other axioms.

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## Some implications

{ 2. Subspaces  
 3. Composite syst. }  $\Rightarrow$

$$K(N+1) > K(N)$$

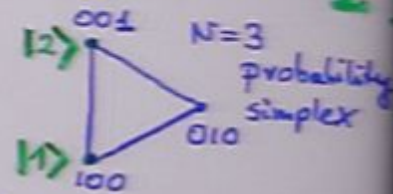
$$K(NM) = K(N) \cdot K(M)$$

(\*)  $K(N) = N^{\nu}$

[rules out quantum theory based on  $\nu$  real density matrices, for which  $K = \frac{N(N+1)}{2}$ ]

Special case

a)  $\nu = 1, K = N$   
Classical theory (linear)

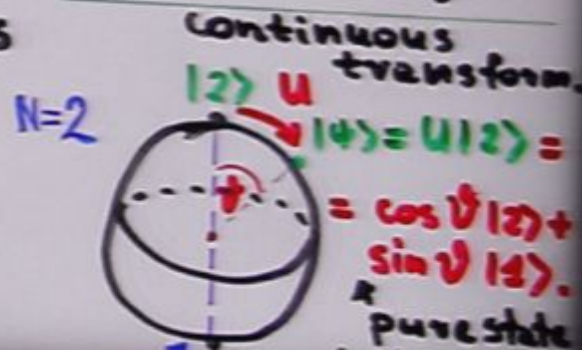


4. Continuity -  
 rules out classical theory with  $\nu = 1$  !

No continuous transformation  $|1\rangle \leftrightarrow |2\rangle$

5. Simplicity: implies

b)  $\nu = 2, K = N^2$   
Quantum theory (quadratic)



## Some implications

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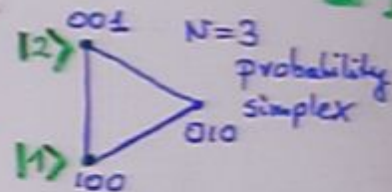
$$\left. \begin{array}{l} K(N+1) > K(N) \\ K(NM) = K(N) \cdot K(M) \end{array} \right\}$$

(\*)  $K(N) = N^r$

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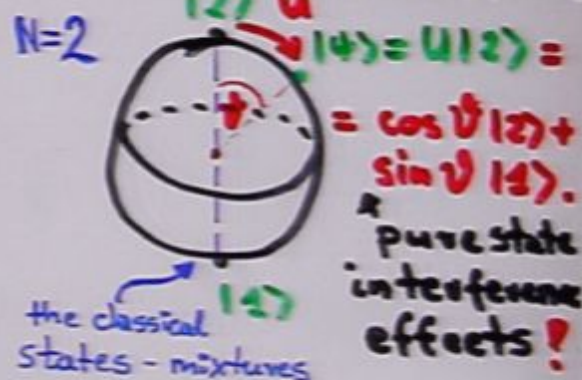
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Simplicity: implies

$r=2$ ,  $K=N^2$   
Quantum theory (quadratic)

Continuous transform.



example: Qubit +  
Bloch sphere

## An attempt to explore theories beyond Quantum Mechanics.

Higher order theories

a) cubic,  $r=3$ ,  $K=N^3$ , density  $\epsilon_{abc}$

b) quartic,  $r=4$ ,  $K=N^4$  { tensors  $\epsilon_{ijkl}$

$\epsilon_{\dots}$   
 $r$ -indices - tensor-like objects, in some special cases they should reduce to density matrices  $S_{mn}$  to give the standard QM.

### possibilities:

i) higher tensor calculus

(delicate issue: e.g. - no eigendecomposition of a tensor

- even rank of a tensor is not defined uniquely...

ii) standard QM for composite systems with additional restrictions

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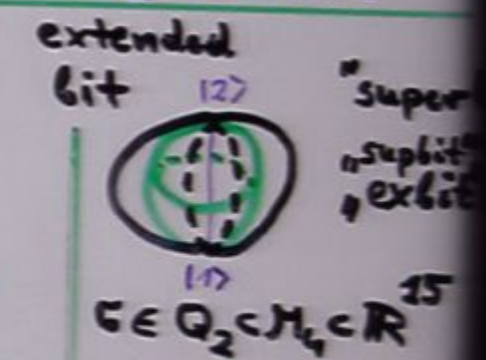
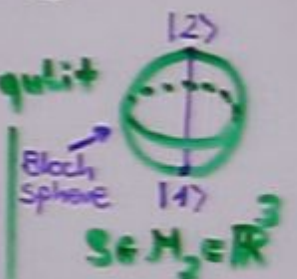
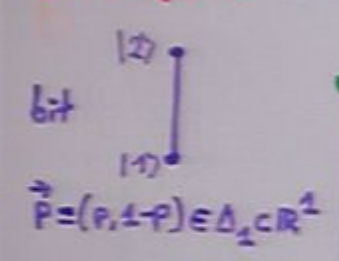
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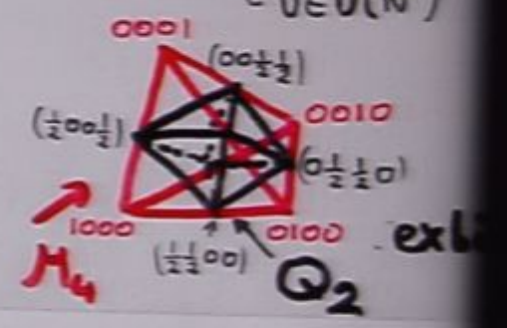
the extended quantum theory

classical, $p_i$ (linear) $\vec{p} = (p_1, \dots, p_n)$	quantum $g_{ij}$ (quadratic) $g = g^+$	extended, $\xi_{ij}$ (quartic) $\xi = \xi^+$
extension $\rightarrow$	$g = \text{diag}(\vec{p})$	$\xi = g \otimes \frac{1}{N} \mathbb{1}$
"decoherence" $\rightarrow$	$\vec{p} = \text{diag}(g)$	$g = \text{tr}_B \xi$ <small><math>\approx</math> ancilla (ghost)</small>
	$\leftarrow$	$\leftarrow$ "hyperdecoherence"

example:  $N=2$

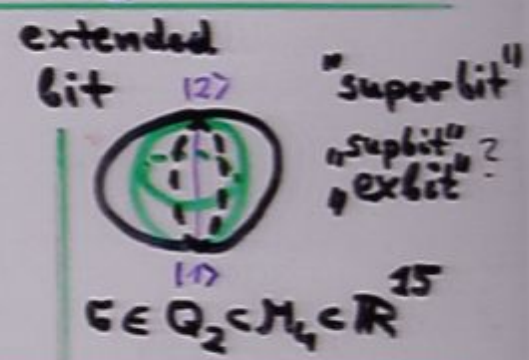
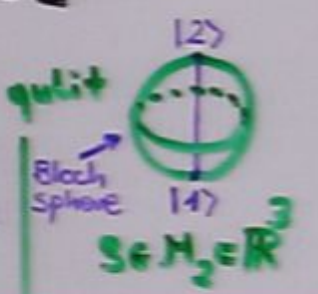
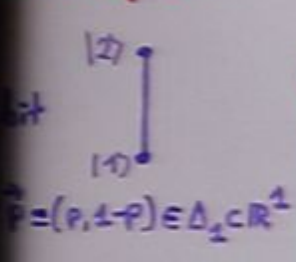


"exbit"  $Q_N \equiv \text{conv hull} \left[ U |1\rangle \langle 1| U^\dagger \frac{1}{N} U^\dagger \right] =$   
 $\uparrow U \in U(N^2)$   
 $=$  truncated  $M_N$  such  
 that only  $N$  states  
 are distinguishable



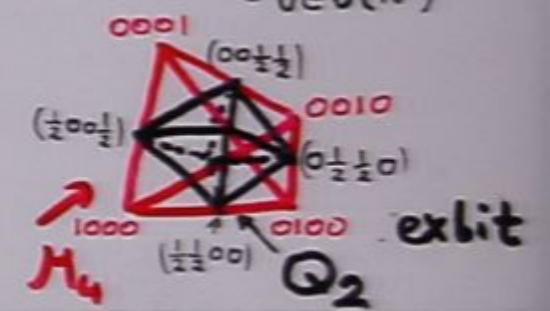
	(linear)	(quadratic)	(quartic)
Dimension	$\vec{p} = (p_1, \dots, p_n)$	$g = g^+$	$G = G^+$
Decoherence	$\vec{p} = \text{diag}(g)$	$g = \text{tr}_B G$	$G = g \otimes \frac{1}{N} \mathbb{1}$
		← "hyperdecoherence"	← ancilla ("ghost")

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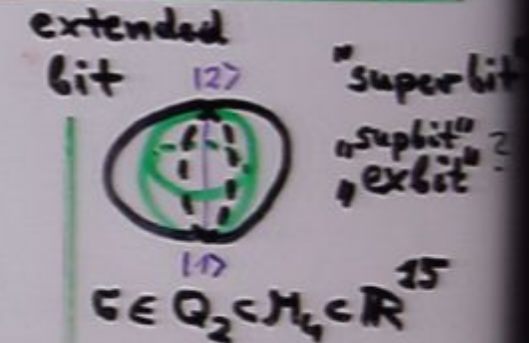
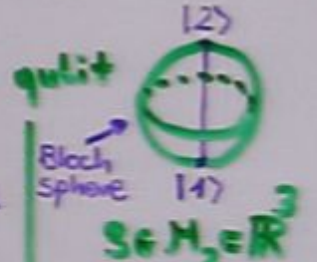
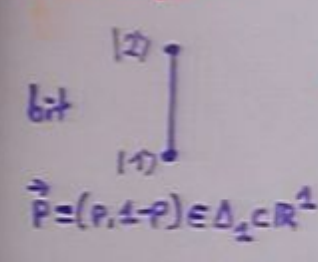
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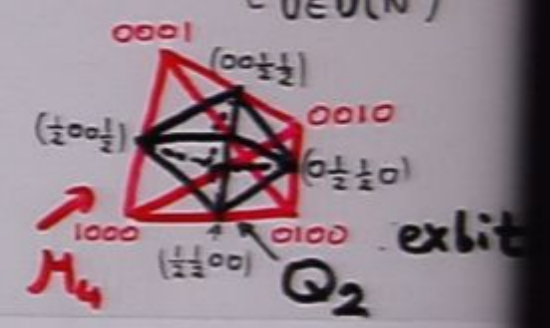


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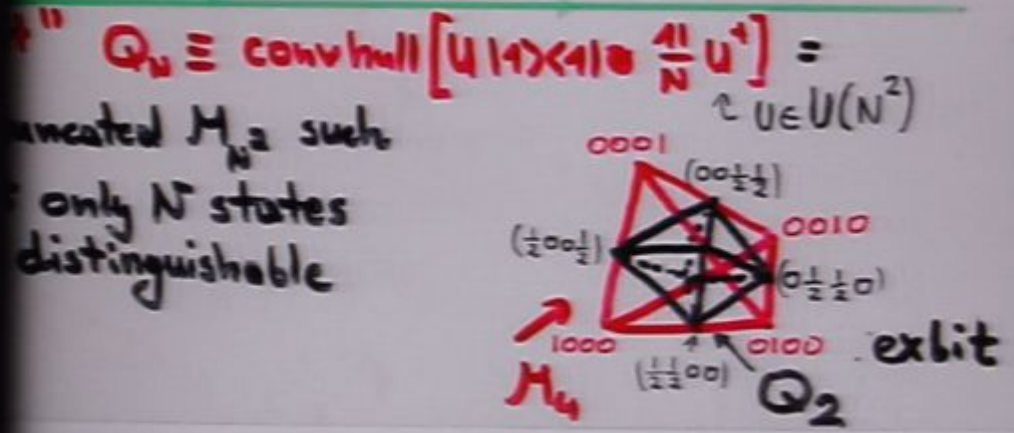
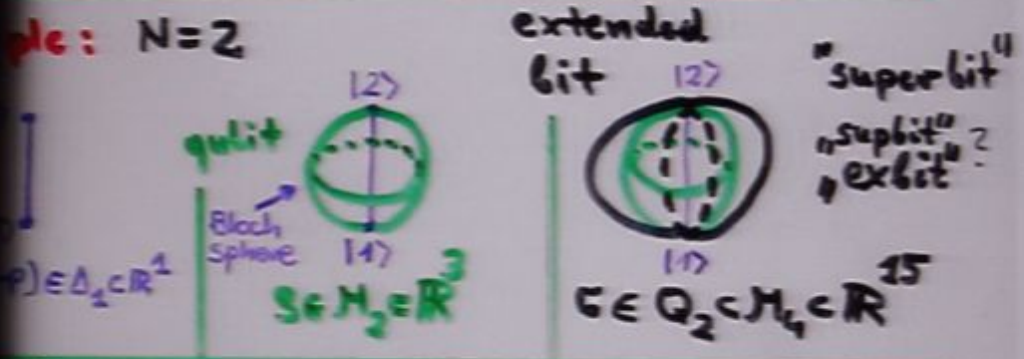


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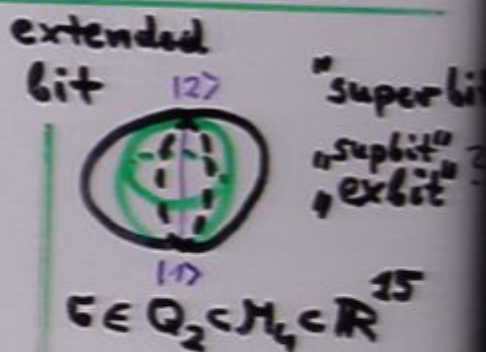
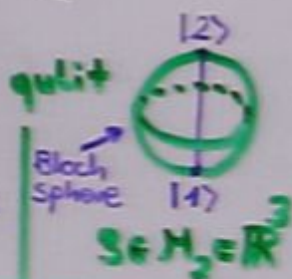
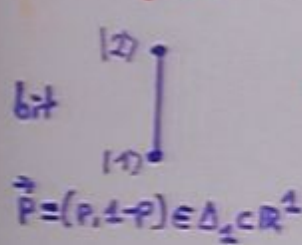
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$p_i \rightarrow -p_i$	$g = g^+$	$\xi = \xi^+$
$g = \text{diag}(p)$	$\xi = g \otimes \frac{1}{N} \mathbb{1}$	
"reference" $\vec{p} = \text{diag}(g)$	$g = \text{tr}_B \xi$	$\xi$ ancilla ("ghost")
		"hyperdecoherence"



# An extended quartic theory

classical, $p_i$ (linear) $\vec{p} = (p_1, \dots, p_n)$	quantum $\rho_{ij}$ (quadratic) $\rho = \rho^\dagger$	extended, $\xi_{ijkl}$ (quartic) $\xi = \xi^\dagger$
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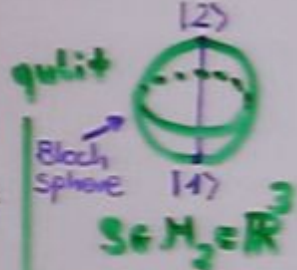
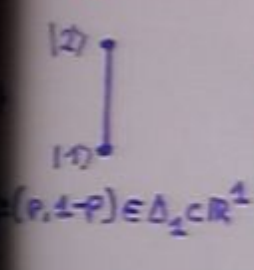


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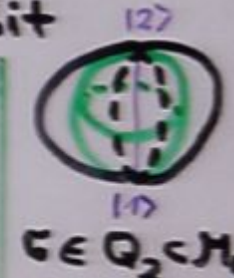


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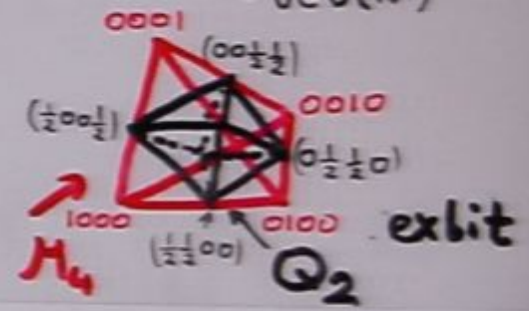
extended bit



"super bit"  
"supbit"  
"exbit"

"qubit"  $Q_N \equiv \text{conv hull} [U |i\rangle\langle i| \otimes \frac{1}{N} U^\dagger] =$   
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Lemma (D. Markham,  $k \geq 2$ )

Let  $\{\rho_i\}_{i=1}^k$  be a set of  $k$  mutually distinguishable states on  $\mathcal{H}_D$ .

Then  $\sum_{i=1}^k \text{rank}(\rho_i) \leq D$

$\Downarrow$   
 set  $\mathcal{Q}_N$  contains  $N$  distinguishable states, e.g.

$$\rho_1 = \text{diag} \left( \underbrace{\frac{1}{N} \dots \frac{1}{N}}_N, \underbrace{0 \dots 0}_{N^2 - N} \right)$$

$$\rho_2 = \text{diag} \left( \underbrace{0 \dots 0}_N, \underbrace{\frac{1}{N} \dots \frac{1}{N}}_N, 0 \dots 0 \right)$$

$$\rho_i = \text{diag} \left( 0 \dots 0, \dots, \underbrace{\frac{1}{N} \dots \frac{1}{N}}_N \right)$$

example: exlit

$$\mathcal{Q}_2 = \{G \in M_4 : \text{eig}(G) \in T_2\}$$

$$T_2 = \text{conv hull} \left[ \text{Perm} \left( \frac{1}{2}, \frac{1}{2}, 0, 0 \right) \right]$$

$$G_2 = 1/2 \times (1 \oplus 1) \oplus 1/2$$

$$(0, 0, \frac{1}{2}, \frac{1}{2})$$

eig(G)



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permutahedron

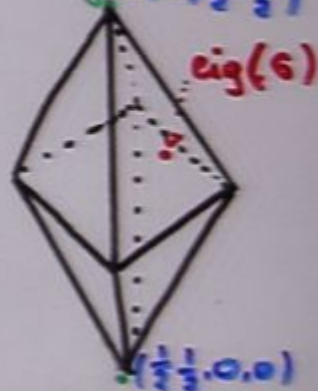
in this case

$$T_2 = \text{octahedron} =$$

$$= \text{"truncated" tetrahedron}$$

$$\rho_2 = 1/4 \times 1/4 \otimes 1/2$$

$$(0, 0, \frac{1}{2}, \frac{1}{2})$$



$$\rho_0 = 1/4 \times 1/4 \otimes 1/2$$

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$$\rho_i = \text{diag} \left( 0, \dots, 0, \dots, 0, \underbrace{\frac{1}{N}, \dots, \frac{1}{N}}_N \right)$$

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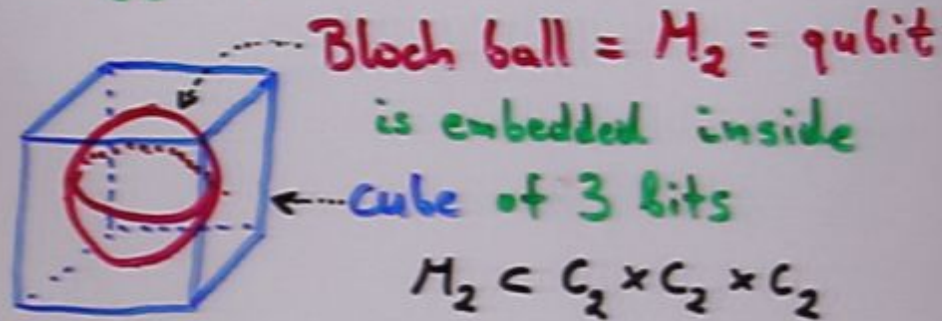
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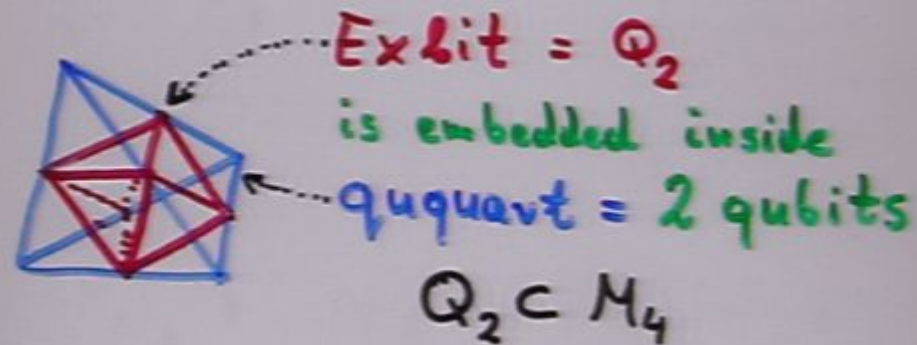
$$\rho_2 = 1/4 \times 0 \otimes 1/2$$

Analogy: quantum  $\leftrightarrow$  classical transition



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extended theory  $\leftrightarrow$  standard quantum transition



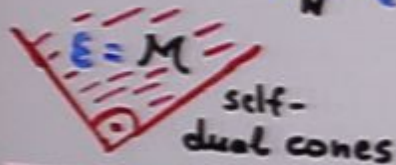
## Measurements

(positive, operator  
valued measures)

### a) Quantum theory

$$\text{POVM} = \{E_i\}_{i=1}^k : E_i \in \mathcal{E}_N, \sum_{i=1}^k E_i = \mathbb{1}.$$

$$\mathcal{E}_N = \{E_i : E_i = E_i^\dagger, E_i \geq 0\}$$

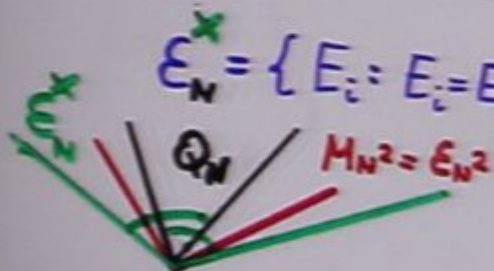


$$\text{Tr } E_i \rho \geq 0 \quad \forall \rho \in \mathcal{M}_N$$

### b) Extended Quantum theory

$$\text{XPOVM} = \{E_i\}_{i=1}^k : E_i \in \mathcal{E}^*, \sum_{i=1}^k E_i = \mathbb{1}.$$

$$\mathcal{E}_N^* = \{E_i : E_i = E_i^\dagger, \text{Tr } E_i G \geq 0 \quad \forall G \in \mathcal{Q}_N\}$$



dual cones

$$\mathcal{E}_N^* = \mathcal{Q}_N^0$$

for  $N=2$

octahedron

example :  $N=2$

$\mathcal{E} = \text{diag}(\dots)$



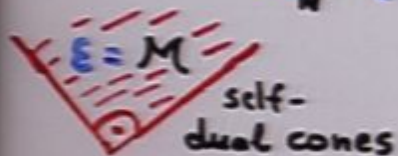
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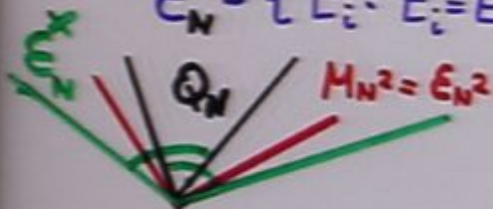


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## b) Extended Quantum theory

$$\text{XPOVM} = \{E_i\}_{i=1}^k : E_i \in \mathcal{E}^x, \sum_{i=1}^k E_i = \mathbb{1}.$$

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dual cones

$$\mathcal{E}_N^x = \mathcal{Q}_N^0 \quad \text{for } N=2$$

octahedron  $\mathcal{Q}_2$

example :  $N=2$

$$E_1 = \text{diag} \left( -\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2} \right)$$

$$E_2 = \text{diag} \left( \frac{1}{2}, -\frac{1}{2}, \frac{1}{2}, \frac{1}{2} \right)$$

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$$E_4 = \text{diag} \left( \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, -\frac{1}{2} \right)$$

$$\sum_{i=1}^4 E_i = \mathbb{1}, \text{Tr } E_i \rho \geq 0 \Rightarrow E_i \in \mathcal{E}_2^x$$



$$\text{POVM} = \{E_i\}_{i=1}^k : E_i \in \mathcal{E}_N, \sum_{i=1}^k E_i = 11.$$

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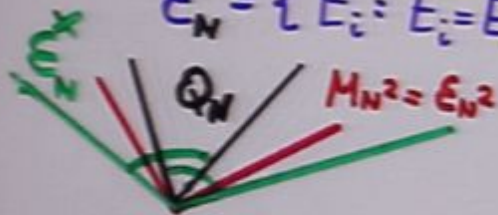


$$\text{Tr } E_i \rho \geq 0 \quad \forall \rho \in \mathcal{M}_N$$

### 6) Extended Quantum theory

$$\text{XPOVM} = \{E_i\}_{i=1}^k : E_i \in \mathcal{E}^*, \sum_{i=1}^k E_i = 11.$$

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$$\mathcal{E}_N^* = \mathcal{Q}_N^0 \quad \text{for } N=2$$

octahedron  $\mathcal{Q}_2$

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$$\sum_{i=1}^4 E_i = 11, \text{Tr } E_i \sigma \geq 0 \Rightarrow E_i \in \mathcal{E}_2^*$$



## XT := extended Quantum Theory:

1. Extended set of states  $Q_N \subset M_{N^2} \subset \mathbb{R}^{N^4}$   
quartic theory

2. Measurements: XPOVM

dual set  $E_N^X = Q_N^\circ \supset E_{N^2}$   
(larger than the set of POVM)

3. Composite systems: standard tensor product structure,

$G_A \in Q_N, G_B \in Q_K \Rightarrow G_{AB} \in Q_{NK}$ .

4. discrete linear dynamics:

supermaps  $G' = \Gamma(G), \Gamma: Q_N \rightarrow Q_N$

↓  
completely preserving maps (not necessarily completely positive!)

$(\Gamma \otimes \mathbb{I})G \in Q_{N^2} \quad \forall G \in Q_{N^2}$

### Proposition 1.

Extended quartic theory covers entire quantum (quadratic) theory.

Proof: use product operators / states

$$G = \rho \otimes \mathbb{1}/N; \quad Y_i = X_i \otimes \mathbb{1}$$

then partial trace

$\uparrow$   
Kraus operators

$$S = \text{Tr}_B G \text{ gives back QT.}$$

### Proposition 2.

Extended theory is **not** equivalent to QT.

Proof: use generic (non product) states and evolve them by generic supermap  $\Gamma$

XT:

$$G \xrightarrow{\Gamma} G' = \Gamma(G)$$

hyper-decoherence  
 $\downarrow$

$$\text{QT: } \text{Tr}_B G = S \xrightarrow{\psi(\Gamma)} S'' \neq S' = \text{Tr}_B G'$$

quartic theory  
 $\downarrow$

quadratic theory

where  $\psi(\Gamma)$  is a CP map in QT such that its Choi...

## XT := extended Quantum Theory:

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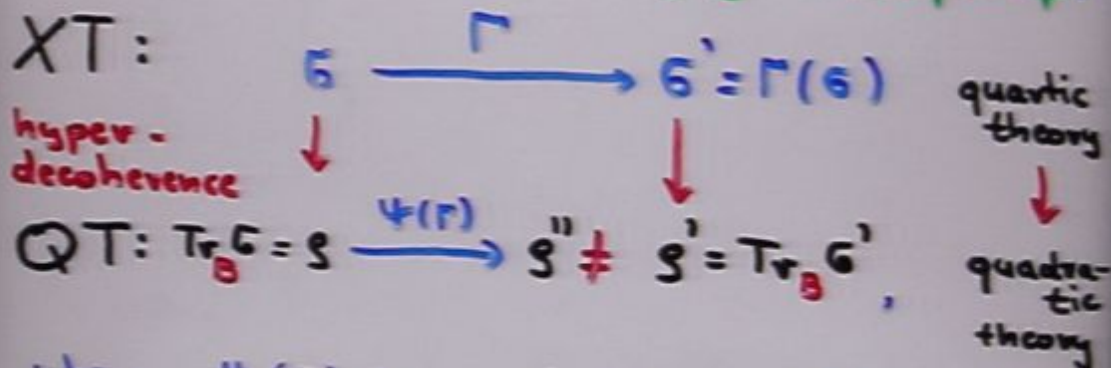
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where  $\Psi(\Gamma)$  is a CP map in QT such that its Choi matrix is obtained by partial trace of Choi matrix for  $\Gamma$ .

for an updated Catalogue consult  
<http://chaos.if.uj.edu/~karol/Hadamard>

### 1-d $B_6^{(1)}(y)$ family

K. Beauchamp and R. Nicoara, April 2006

W. Bruzda, May 2006

$$B_6^{(1)}(\mathbf{y}) = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & -1 & -1/x & -\mathbf{y} & \mathbf{y} & 1/x \\ 1 & -x & 1 & \mathbf{y} & 1/z & -1/t \\ 1 & -1/\mathbf{y} & 1/\mathbf{y} & -1 & -1/t & 1/t \\ 1 & 1/\mathbf{y} & z & -t & 1 & -1/x \\ 1 & x & -t & t & -x & -1 \end{bmatrix}$$

where

$$x(y) = \frac{1 + 2y + y^2 \pm \sqrt{2}\sqrt{1 + 2y + 2y^3 + y^4}}{1 + 2y - y^2}$$

$$z(y) = \frac{1 + 2y - y^2}{y(-1 + 2y + y^2)}$$

$$t(y) = xyz$$

and  $y$  is a free parameter  $\mathbf{y} = \exp(i\mathbf{s})$  and  $\mathbf{s}$  varies in the interval:

$$\frac{\arccos(\frac{1}{2}(\sqrt{3}-1))}{2\pi} < \mathbf{s} < 1 - \frac{\arccos(\frac{1}{2}(\sqrt{3}-1))}{2\pi}$$

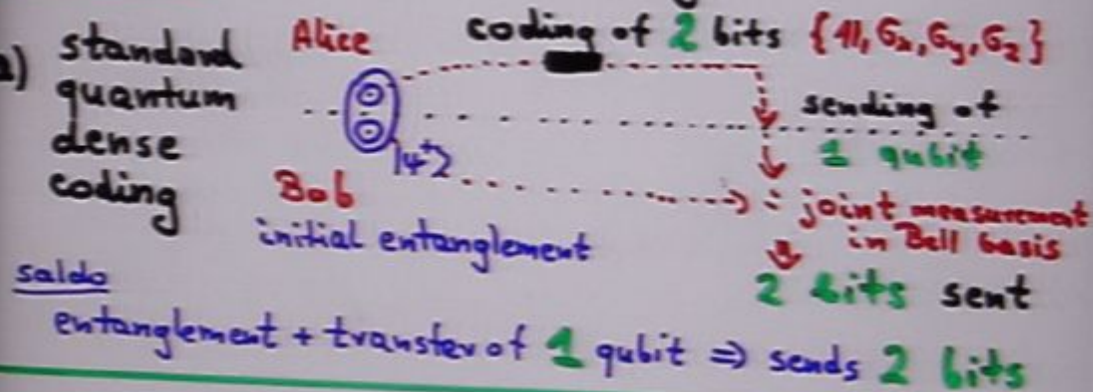
a non-affine family

## extended theory of Quantum Information

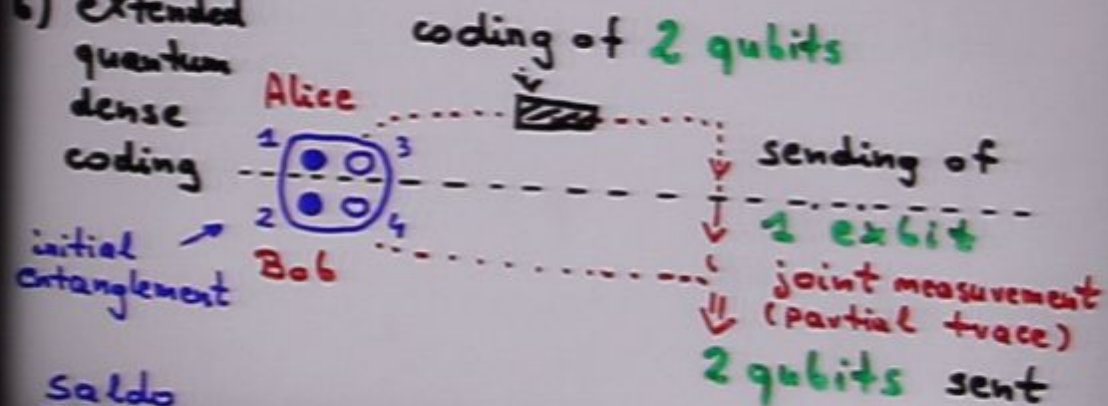
assume, we can use **exbits** for information processing.  
What could you do with them?

### example: dense coding

a) standard quantum dense coding



b) extended quantum dense coding

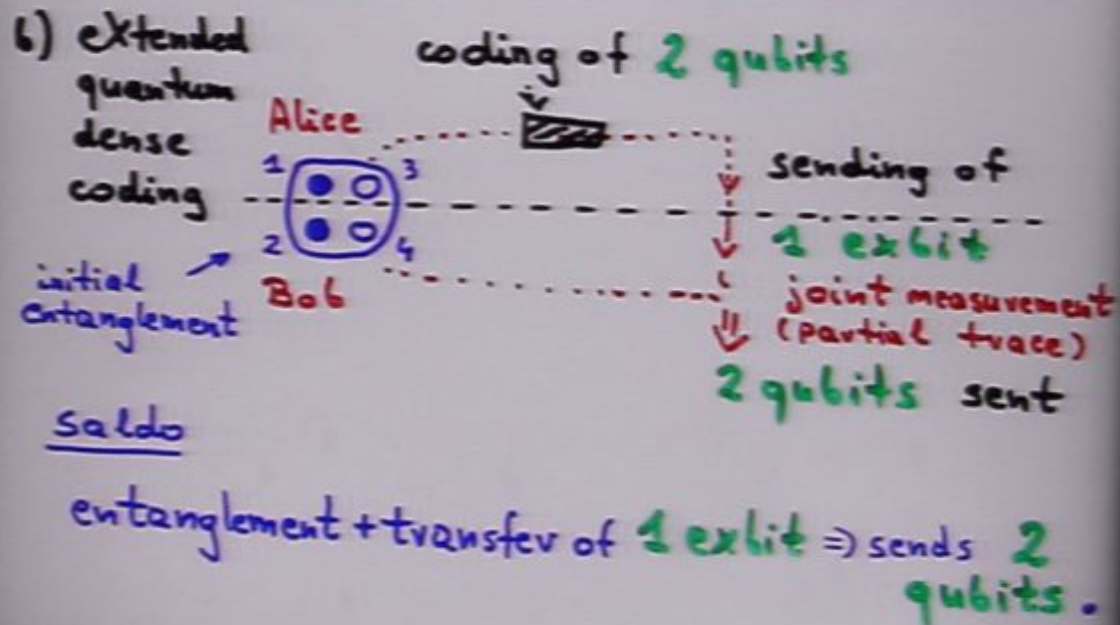
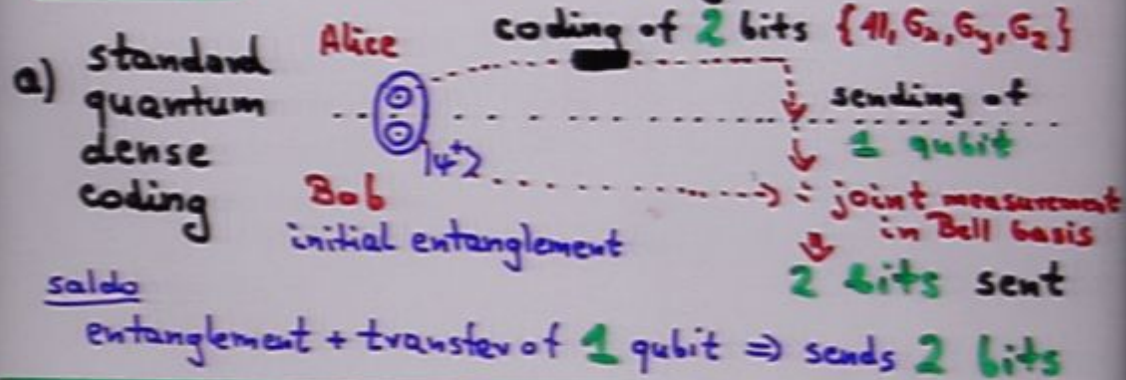




extended coding

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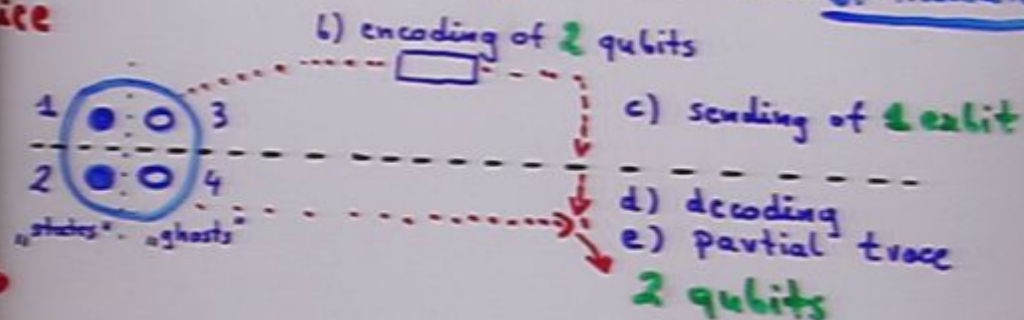
example: dense coding



# Extended quantum dense coding

joint work with J. Misra

Alice



initial entangled state of 2 exbits:

$$S_a = \frac{1}{4} [ |0000\rangle\langle 0000| + |1111\rangle\langle 1111| + |1100\rangle\langle 1100| + |1001\rangle\langle 1001| + |0011\rangle\langle 0011| ]$$

$$S_a \in Q_4$$

encoding of 2 qubits by Alice

$$S_b = U S_a U^\dagger, \quad U = U_A \otimes 1_B = W_1 \otimes W_2 \otimes 1_4,$$

$$W_1 = (|+\rangle, -|+\rangle), \quad W_2 = (|+\rangle, -|+\rangle)$$

sending of 1 qubit to Bob

decoding = global unitary done by Bob

$$S_d = D S_b D^\dagger, \quad \text{where } D = S^{243} (X \otimes X)$$

$$X = (\sigma_x \otimes \sigma_x) \otimes |1\rangle\langle 1| + 1 \otimes |0\rangle\langle 0|$$

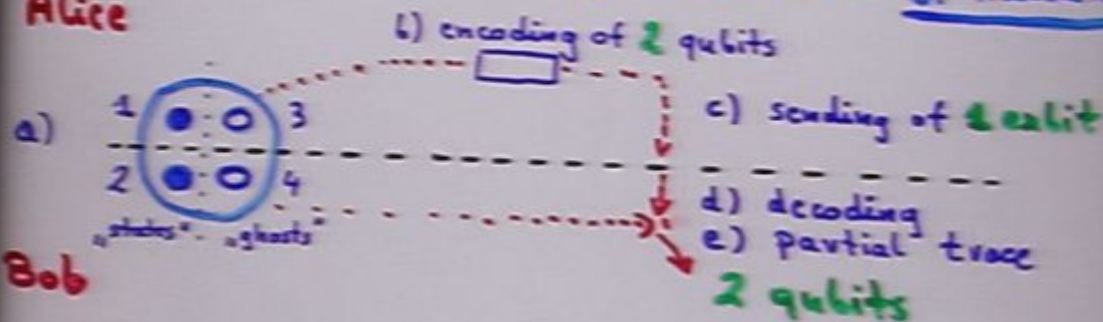
$$S^{243} = \text{SWAP operation exchanging } 2 \text{ \& } 3$$

measurement = partial trace (over both "ghosts")

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joint work with J. Misra

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**Bob**

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c) sending of 1 ebit to Bob

d) decoding = global unitary done by Bob

$$S_d = D S_b D^\dagger, \quad \text{where } D = S^{2693} (X \otimes X)$$

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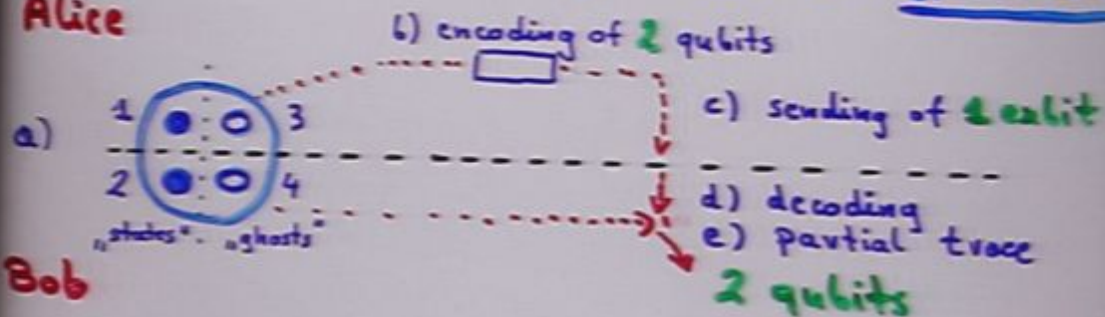
$$S^{2693} = \text{SWAP operation exchanging } 2^{\text{nd}}, 3^{\text{rd}}$$

e) measurement = partial trace

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joint work with J. Misra

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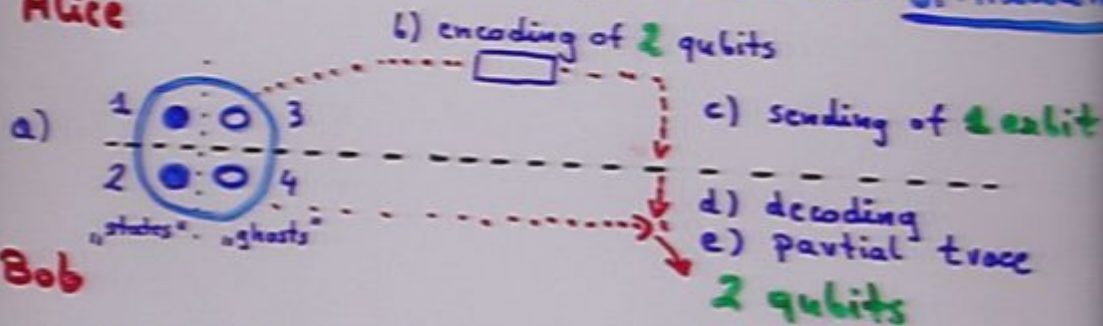
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joint work with J. Misra

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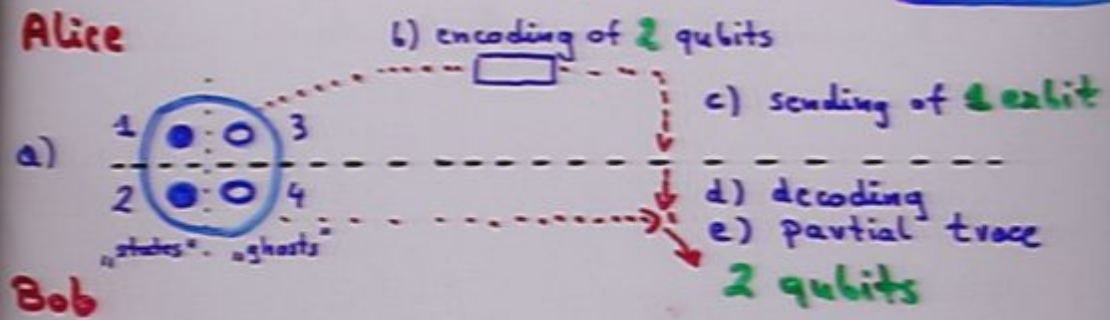
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$$X = (G_2 G_x) \otimes |1\rangle\langle 1| + |1\rangle\langle 0| \otimes |0\rangle\langle 0|$$

$$S^{2 \otimes 3} = \text{SWAP}$$

# Extended quantum dense coding

joint work with J. Misra



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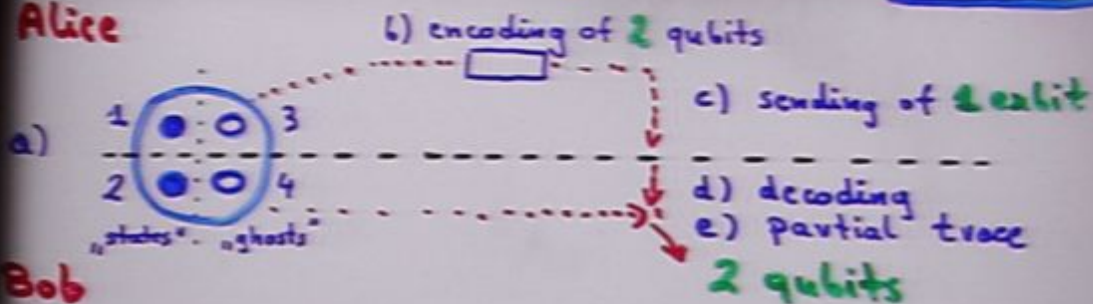
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$$\rho_b = U \rho_a U^\dagger, \quad U = U_A \otimes 1_B = W_1 \otimes W_2 \otimes 1_4,$$

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c) sending of 1 exbit to Bob

d) decoding = global unitary done by Bob

$$\rho_d = D \rho_b D^\dagger, \quad \text{where } D = S^{2 \leftrightarrow 3} (X \otimes X)$$

$$X = (\sigma_z \otimes \sigma_x) \otimes |1\rangle\langle 1| + |1\rangle\langle 1| \otimes |0\rangle\langle 0|$$

$S^{2 \leftrightarrow 3}$  = SWAP operation exchanging 2 and 3

e) measurement = partial trace (over both "ghosts" 3 and 4)

$$\rho_{fin} = \text{Tr}_{3,4} \rho_d = |\psi_2^+\rangle\langle \psi_2^+| \otimes |\psi_2^+\rangle\langle \psi_2^+| \quad \text{!}$$

2 qubits sent!

## Higher-order-quantum theories

- more "ghosts"  $\equiv$  larger ancillas...

$$K = N^r, \quad r = 2^m$$

further embedding of Bloch ball into higher dimensions...

$$\mathcal{Q}_N^{(m)} := \left\{ G \in \mathcal{M}_N : G \in \text{conv hull} \left[ U \left( \mathbb{1} \otimes \mathbb{1} \otimes \frac{\mathbb{1}}{N^m} \right) U^\dagger \right] \right\}$$

where  $U \in U(N^{m+1})$

$\uparrow$   
set of even-move-extended-states.

- more faces of permutahedron
- "more truncated" simplex of eigenvalues
- even larger set of **extended** POVMs.

$r=1$	(linear)	classical	} infinite hierarchy of embedded quantum theories $\downarrow$
$r=2$	(quadratic)	quantum	
$r=4$	(quartic)	extended	
$r=8$	(octonic)		
$\vdots$			
$r=2^m$	(two-ent-ic)	more -1- theory	

$\Downarrow$   
we obtain an infinite set of embedded theories of



## Higher-order-quantum theories

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further embedding of Bloch ball into higher dimensions...

$$Q_N^{(m)} := \left\{ G \in M_N : G \in \text{conv hull} \left[ U \left( |1\rangle\langle 1| \otimes \frac{11}{N^m} \right) U^\dagger \right] \right. \\ \left. \text{where } U \in U(N^{m+1}) \right\}$$

↑  
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$\vdots$			
$r=2^m$	(two-ent-ic)	move $\pm 1$ -theory	

we obtain an infinite set of embedded theories of **generalised quantum**

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## CONCLUSIONS

1. By extending the set of states and operations we proposed a generalised, quantic quantum theory.
2. Extended theory covers standard QT, but is different from QT.
3. Conclusion for  $\bar{V}$  axioms approach by d. Hardy: simplicity axiom is necessary.

## Challenge

4. (physics) Design an experimental scheme for which probabilities computed with respect to XT and QT do differ!  
[entanglement with „ghosts”]
  - a) If these differences cannot be observed estimate upper bound for the time of hyperdecoherence
5. (Information theory)
  - a) find a useful extended operation (not admissible in QT).
  - b) use „power” of XT to design algorithms „better” than those of QT

## CONCLUSIONS

1. By extending the set of states and operations we proposed a generalised, **quantic** quantum theory.
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3. Conclusion for **V** axioms approach by d. Hardy: simplicity axiom is necessary.

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[entanglement with "ghosts"]
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5. (Information theory)
  - a) find a useful **extended** operation (not admissible in **QT**).
  - b) use "power" of **XT** to design algorithms "better" than these of **QT**.
  - c) compare "power" (advantages, possibilities) offered by **classical**, **quantum** and **extended** theories.

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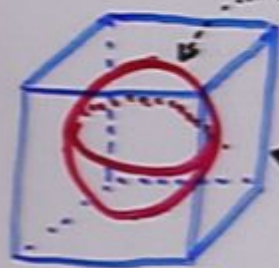
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a) find a useful extended operation  
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c) compare "power" (advantages, possibilities)  
offered by classical, quantum and extended  
theory of information processing.

Analogy: quantum  $\leftrightarrow$  classical transition



Bloch ball =  $M_2$  = qubit  
is embedded inside

cube of 3 bits

$$M_2 \subset C_2 \times C_2 \times C_2$$

---

extended theory  $\leftrightarrow$  standard quantum transition



Exbit =  $Q_2$

is embedded inside

ququart = 2 qubits

$$Q_2 \subset M_4$$