Title: IR Free or Interacting? A Proposed Diagnostic Date: Oct 14, 2006 09:45 AM URL: http://pirsa.org/06100051 Abstract:

## John's physics papers

- Susy gauge theories: 9605232, 9611197, 9802092
- branes, strings, and connections with gauge theories: 9704043, 9705068, 9709228, 9711001, 9803140, 9809067, 0012068, 0101115, 0208191
- Giant gravitons and stringy quantum Hall fluid: 0010105, 0107178
- Brane inflation: 0301138

### RG flows to the IR; where do they end?

 Some asymptotically free theories flow to IR free theories. E.g. QCD with just a few light flavors, flows to IR free pions.

 Others RG flow to interacting RG fixed points, e.g. QCD with many massless flavors (just barely asymptotically free).
E.g. Banks-Zaks.

### RG flows to the IR; where do they end?

 Some asymptotically free theories flow to IR free theories. E.g. QCD with just a few light flavors, flows to IR free pions.

 Others RG flow to interacting RG fixed points, e.g. QCD with many massless flavors (just barely asymptotically free).
E.g. Banks-Zaks.



Make this precise? = A longstanding goal.

Pirsa: 06100051

### One tool: 't Hooft anomaly matching

If there are unbroken chiral symmetries, 't Hooft anomaly matching constrains the IR spectrum.

A non-trivial matching, with an IR free spectrum, can be viewed as some evidence that the IR free scenario is correct.

### Example: N=1 susy SU(2) with Q in 4

(KI, N. Seiberg, S. Shenker, '94)

Scenario 1: IR free, with spectrum  $X = Q^4$ , satisfies very non-trivial 't Hooft matching. If correct, get dynamical susy breaking (by "confinement"), upon adding  $W_{tree} = \lambda X$ .

Scenario 2: Interacting SCFT at origin. Anomaly matching is a fluke. No DSB.  $W_{tree} = \lambda X$  is irrelevant.

Which is correct? Still not known!

Known examples of highly non-trivial, but still misleading, anomaly matching (KI, John Brodie, P. Cho '98)

SO(N) with matter S in two-index symmetric tensor. Form  $O_n = \text{Tr}(S^n)$ , n = 1..N. These saturate the Tr R and Tr R<sup>3</sup>'t Hooft anomalies. Highly non-trivial, for all N! (Also a  $Z_{2N+4}$  discrete anomaly matching satisfied.)

Suggests the theory is IR free. But, we show that it must instead be an interacting CFT.

### Example: N=1 susy SU(2) with Q in 4

(KI, N. Seiberg, S. Shenker, '94)

Scenario 1: IR free, with spectrum  $X = Q^4$ , satisfies very non-trivial 't Hooft matching. If correct, get dynamical susy breaking (by "confinement"), upon adding  $W_{tree} = \lambda X$ .

Scenario 2: Interacting SCFT at origin. Anomaly matching is a fluke. No DSB.  $W_{tree} = \lambda X$  is irrelevant.

Which is correct? Still not known!

Known examples of highly non-trivial, but still misleading, anomaly matching (KI, John Brodie, P. Cho '98)

SO(N) with matter S in two-index symmetric tensor. Form  $O_n = Tr(S^n)$ , n = 1..N. These saturate the Tr R and Tr R<sup>3</sup>'t Hooft anomalies. Highly non-trivial, for all N! (Also a  $Z_{2N+4}$  discrete anomaly matching satisfied.)

Suggests the theory is IR free. But, we show that it must instead be an interacting CFT.

#### Phase structure of these theories



(KI, J. Brodie, P. Cho '98)

#### Another IR diagnostic: a-function

$$a_{Cardy} \sim \int_{S^4} \langle T^{\mu}_{\mu} \rangle$$

Cardy's conjecture:  $a_{IR} < a_{UV}$  $a_{IR} \ge 0$ 

Conjectured 4d analog of 2d Zamolodchikov's thm.

If true, could rule out incorrect IR scenarios. Many non-trivial checks of the conjecture in susy theories.

#### Phase structure of these theories



(KI, J. Brodie, P. Cho '98)

#### Another IR diagnostic: a-function

$$a_{Cardy} \sim \int_{S^4} \langle T^{\mu}_{\mu} \rangle$$

Cardy's conjecture:  $a_{IR} < a_{UV}$  $a_{IR} \ge 0$ 

Conjectured 4d analog of 2d Zamolodchikov's thm.

If true, could rule out incorrect IR scenarios. Many non-trivial checks of the conjecture in susy theories.

#### I make another conjecture:

"Given two plausible IR scenarios, the correct one is that with larger  $a_{IR}$ ."

Motivation:  $\Delta a \equiv a_{UV} - a_{IR} \sim \text{RG}$  flow length should be minimized.

A stronger conjecture: operators can only become IR free if that leads to a larger value for  $a_{IR}$ .

The conjectures work in every known example of susy gauge theories that I have checked.

#### Susy theories and a-maximization

$$a_{Cardy} = \frac{3}{32}(3\text{Tr}R^3 - \text{Tr}R)$$
 Anselmi, Freedman,  
Grisaru, Johansen '97

The correct R-symmetry is that which maximizes this function. Intriligator and Wecht '03

Almost proves Cardy's conjecture (for susy thys), but possibility of various IR free ops (more gen'ly, accidental symms) prevents a complete proof.

Unitarity:  $\Delta(X) \ge 1$  , with equality iff it's free. Chiral X:  $\Delta(X) = 3R(X)/2$  so  $R(X) \ge 2/3$ 

#### Effect of IR free chiral operator X on a

Anselmi, Erlich, Freedman, Johansen; Kutasov, Parnachev, Sahakyan

$$a(R) = \frac{3}{32}(3(R-1)^3 - (R-1))$$

213



In case shown here, this increases *a*. Fits with a-maximization intuition - maximizing over a bigger space of possible R symms.

# Chiral operators above the unitarity bound can also become IR free



# IR free operator X with large $R^{(0)}$ ? a(R) $R^{(0)}(X)$ $R^{(0)}(X)$ R(X)R(X)

#### If so, accidental symmetry reduces a. Possible?

My conjecture: No. Only X with  $R^{(0)}(X) < 5/3$ can become IR free. The weaker conjecture is that the full theory is IR free only if total value for *Pirse: 06* 70051 satisfies  $a_{IR}^{free} > a_{IR}^{interacting}$ *Page 1946* 

#### Effect of IR free chiral operator X on a

Anselmi, Erlich, Freedman, Johansen; Kutasov, Parnachev, Sahakyan

$$a(R) = \frac{3}{32}(3(R-1)^3 - (R-1))$$

2/3

Replace 
$$R(X)$$
:  
 $R^{(0)}(X) \rightarrow 2/3$ 

R(X)

In case shown here, this increases *a*. Fits with a-maximization intuition - maximizing over a bigger space of possible R symms.

# Chiral operators above the unitarity bound can also become IR free



# IR free operator X with large $R^{(0)}$ ? a(R) $R^{(0)}(X)$ $R^{(0)}(X)$ R(X)R(X)

#### If so, accidental symmetry reduces a. Possible?

My conjecture: No. Only X with  $R^{(0)}(X) < 5/3$ can become IR free. The weaker conjecture is that the full theory is IR free only if total value for PITSA: 06 TODD51 Satisfies  $a_{IR}^{free} > a_{IR}^{interacting}$ Page 22/46

# Chiral operators above the unitarity bound can also become IR free





#### If so, accidental symmetry reduces a. Possible?

My conjecture: No. Only X with  $R^{(0)}(X) < 5/3$ can become IR free. The weaker conjecture is that the full theory is IR free only if total value for PITSE: 06 70051 Satisfies  $a_{IR}^{free} > a_{IR}^{interacting}$ Page 2446

#### Example SQCD

$$\begin{split} \mathsf{U}(1)_{\mathsf{R}} \text{ determined to be: } & R(Q) = (N_f - N_c)/N_f \\ R^{(0)}(M) &= 2(N_f - N_c)/N_f & \qquad \\ & \mathsf{Unitarity: } \mathsf{M=IR free} \\ & \mathsf{if} \quad N_f \leq 3N_c/2 \\ R^{(0)}(B) &= N_c(N_f - N_c)/N_f & \qquad \\ & \mathsf{Is } \mathsf{B IR free or} \\ & & \mathsf{interacting?} \end{split}$$

 $N_f = N_c + 1$  Seiberg: "both M and B are free."

#### Consistent with my conjectured diagnostic:

 $a_{IR}^{free} > a_{IR}^{interacting}$ 

 $R^{(0)}(B) < 5/3$ 

# Chiral operators above the unitarity bound can also become IR free



#### Example SQCD

$$\begin{split} \mathsf{U}(1)_{\mathsf{R}} \text{ determined to be: } & R(Q) = (N_f - N_c)/N_f \\ R^{(0)}(M) &= 2(N_f - N_c)/N_f & \qquad \\ & \mathsf{Unitarity: } \mathsf{M=IR free} \\ & \mathsf{if} \quad N_f \leq 3N_c/2 \\ R^{(0)}(B) &= N_c(N_f - N_c)/N_f & \qquad \\ & \mathsf{Is } \mathsf{B IR free or} \\ & & \mathsf{interacting?} \end{split}$$

 $N_f = N_c + 1$  Seiberg: "both M and B are free."

#### Consistent with my conjectured diagnostic:

 $a_{IR}^{free} > a_{IR}^{interacting}$ 

 $R^{(0)}(B) < 5/3$ 

# Chiral operators above the unitarity bound can also become IR free





#### If so, accidental symmetry reduces a. Possible?

My conjecture: No. Only X with  $R^{(0)}(X) < 5/3$ can become IR free. The weaker conjecture is that the full theory is IR free only if total value for *Pirse: 06* 70051 satisfies  $a_{IR}^{free} > a_{IR}^{interacting}$ *Page 29/6* 

#### Example SQCD

$$\begin{split} \mathsf{U}(1)_{\mathsf{R}} \text{ determined to be: } & R(Q) = (N_f - N_c)/N_f \\ R^{(0)}(M) &= 2(N_f - N_c)/N_f & \qquad \\ & \mathsf{Unitarity: } \mathsf{M=IR free} \\ & \mathsf{if} \quad N_f \leq 3N_c/2 \\ R^{(0)}(B) &= N_c(N_f - N_c)/N_f & \qquad \\ & \mathsf{Is } \mathsf{B IR free or} \\ & & \mathsf{interacting?} \end{split}$$

 $N_f = N_c + 1$  Seiberg: "both M and B are free."

#### Consistent with my conjectured diagnostic:

 $a_{IR}^{free} > a_{IR}^{interacting}$ 

 $R^{(0)}(B) < 5/3$ 

# Chiral operators above the unitarity bound can also become IR free



#### Example SQCD

$$\begin{split} \mathsf{U}(1)_{\mathsf{R}} \text{ determined to be: } & R(Q) = (N_f - N_c)/N_f \\ R^{(0)}(M) &= 2(N_f - N_c)/N_f & \qquad \\ & \mathsf{Unitarity: } \mathsf{M=IR free} \\ & \mathsf{if} \quad N_f \leq 3N_c/2 \\ R^{(0)}(B) &= N_c(N_f - N_c)/N_f & \qquad \\ & \mathsf{Is } \mathsf{B IR free or} \\ & & \mathsf{interacting?} \end{split}$$

 $N_f = N_c + 1$  Seiberg: "both M and B are free."

#### Consistent with my conjectured diagnostic:

 $a_{IR}^{free} > a_{IR}^{interacting}$ 

 $R^{(0)}(B) < 5/3$ 

SQCD, continued

 $N_f \leq 3N_c/2$ 

Seiberg: "IR free theory of M, and  $SU(N_f - N_c)$  dual gauge fields and quarks q."

Compatible with my conjectured diagnostic. IR free scenario preferred over a hypothetical interacting one:  $a_{IR}^{free} > a_{IR}^{interacting}$ 

Follows from  $R^{(0)}(q) = N_c/N_f < 5/3$ 



#### If so, accidental symmetry reduces a. Possible?

My conjecture: No. Only X with  $R^{(0)}(X) < 5/3$ can become IR free. The weaker conjecture is that the full theory is IR free only if total value for PHISE: 06 00051 Satisfies  $a_{IR}^{free} > a_{IR}^{interacting}$ Page 3446 SQCD, continued

 $N_f \leq 3N_c/2$ 

Seiberg: "IR free theory of M, and  $SU(N_f - N_c)$  dual gauge fields and quarks q."

Compatible with my conjectured diagnostic. IR free scenario preferred over a hypothetical interacting one:  $a_{IR}^{free} > a_{IR}^{interacting}$ 

Follows from  $R^{(0)}(q) = N_c/N_f < 5/3$ 

# IR free operator X with large $R^{(0)}$ ? a(R) $R^{(0)}(X)$ $R^{(0)}(X)$ R(X)R(X)

#### If so, accidental symmetry reduces a. Possible?

My conjecture: No. Only X with  $R^{(0)}(X) < 5/3$ can become IR free. The weaker conjecture is that the full theory is IR free only if total value for *Pirse: 06* 70051 satisfies  $a_{IR}^{free} > a_{IR}^{interacting}$ *Page 3646* 

# Chiral operators above the unitarity bound can also become IR free



# IR free operator X with large $R^{(0)}$ ? a(R) ? $R^{(0)}(X)$ $R^{(0)}(X)$ R(X) R(X)

#### If so, accidental symmetry reduces a. Possible?

My conjecture: No. Only X with  $R^{(0)}(X) < 5/3$ can become IR free. The weaker conjecture is that the full theory is IR free only if total value for PITSE: 06 70051 Satisfies  $a_{IR}^{free} > a_{IR}^{interacting}$ Page 38/6

#### Example SQCD

$$\begin{split} \mathsf{U}(1)_{\mathsf{R}} \text{ determined to be: } & R(Q) = (N_f - N_c)/N_f \\ R^{(0)}(M) &= 2(N_f - N_c)/N_f & \qquad \\ & \mathsf{Unitarity: } \mathsf{M=IR free} \\ & \mathsf{if} \quad N_f \leq 3N_c/2 \\ R^{(0)}(B) &= N_c(N_f - N_c)/N_f & \qquad \\ & \mathsf{Is } \mathsf{B IR free or} \\ & & \mathsf{interacting?} \end{split}$$

 $N_f = N_c + 1$  Seiberg: "both M and B are free."

#### Consistent with my conjectured diagnostic:

 $a_{IR}^{free} > a_{IR}^{interacting}$ 

 $R^{(0)}(B) < 5/3$ 

SQCD, continued

 $N_f \leq 3N_c/2$ 

Seiberg: "IR free theory of M, and  $SU(N_f - N_c)$  dual gauge fields and quarks q."

Compatible with my conjectured diagnostic. IR free scenario preferred over a hypothetical interacting one:  $a_{IR}^{free} > a_{IR}^{interacting}$ 

Follows from  $R^{(0)}(q) = N_c/N_f < 5/3$ 

### Check diagnostic in other IR free cases

Many examples of theories have been argued to be IR free. The diagnostic checks if all have

$$a_{IR}^{free} > a_{IR}^{interacting}$$

The stronger conjecture is that all IR free ops X have  $R^{(0)}(X) < 5/3$ 

This is indeed satisfied, for every example that I have checked.

SQCD, continued

 $N_f \leq 3N_c/2$ 

Seiberg: "IR free theory of M, and  $SU(N_f - N_c)$  dual gauge fields and quarks q."

Compatible with my conjectured diagnostic. IR free scenario preferred over a hypothetical interacting one:  $a_{IR}^{free} > a_{IR}^{interacting}$ 

Follows from  $R^{(0)}(q) = N_c/N_f < 5/3$ 

### Check diagnostic in other IR free cases

Many examples of theories have been argued to be IR free. The diagnostic checks if all have

$$a_{IR}^{free} > a_{IR}^{interacting}$$

The stronger conjecture is that all IR free ops X have  $R^{(0)}(X) < 5/3$ 

This is indeed satisfied, for every example that I have checked.

#### Also check known non-free examples

The conjectured diagnostic again works in every known case that I have checked. E.g. examples of Brodie, Cho, KI:  $R(\text{Tr}S^n) = 4n/(N+2)$  some exceed 5/3, so diagnostic says they're not all IR free. And for all N find:

$$a_{IR}^{interacting} > a_{IR}^{free}$$

Diagnostic correctly favors interacting over IR free scenario. This is the correct answer.

Back to SU(2) with Q in the 4 R(Q) = 3/5 so  $R^{(0)}(X = Q^4) = 12/5$ 

# Find $a_{IR}^{interacting} > a_{IR}^{free}$ since R(X)>5/3.

So, applied to this example, our conjectured diagnostic suggests that the correct IR phase is interacting (and the 't Hooft matching was a misleading fluke). If so, the theory does not yield DSB after all (unfortunately). Still, not a direct argument, so the jury is still out on this Pirsa: 061000 theory...

### Conclude

- A speculative diagnostic for the IR phase: a plausible IR free phase is favored over an interacting phase if that has the larger conformal anomaly a. The stronger conjecture: each operator X can be IR free only if that increases a.
- Appears to work in every known (susy) example checked so far. (But doesn't give the answer we wanted, for DSB, in the SU(2) theory.)