

Title: Compactification Effects in D-brane Inflation

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Abstract: Realizations of inflation in string theory hold the promise of connecting the theory to observational tests, and at the same time providing new insights for field theory models of inflation. I will report on progress towards realizing inflation on D-branes in type IIB string theory. Moduli stabilization effects generically lead to an eta problem in this scenario, and to analyze the model it is necessary to compute a particular correction to the nonperturbative effects arising on wrapped D-branes. I will explain this calculation, then present results for the full inflaton potential that establish the existence of successful models, albeit with qualitatively new predictions.

# Compactification Effects in String Inflation

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in collaboration with

Daniel Baumann, Anatoly Dymarsky, Igor Klebanov,  
Juan Maldacena, and Arvind Murugan

[hep-th/0607050](#)  
[hep-th/061xxxx](#)

# Key Question:

What predictions can string theory make about the early universe?

## Status:

- Predictions are possible in concrete models.
- Given a model, deriving the effective theory is nontrivial.
- No general answer to date.

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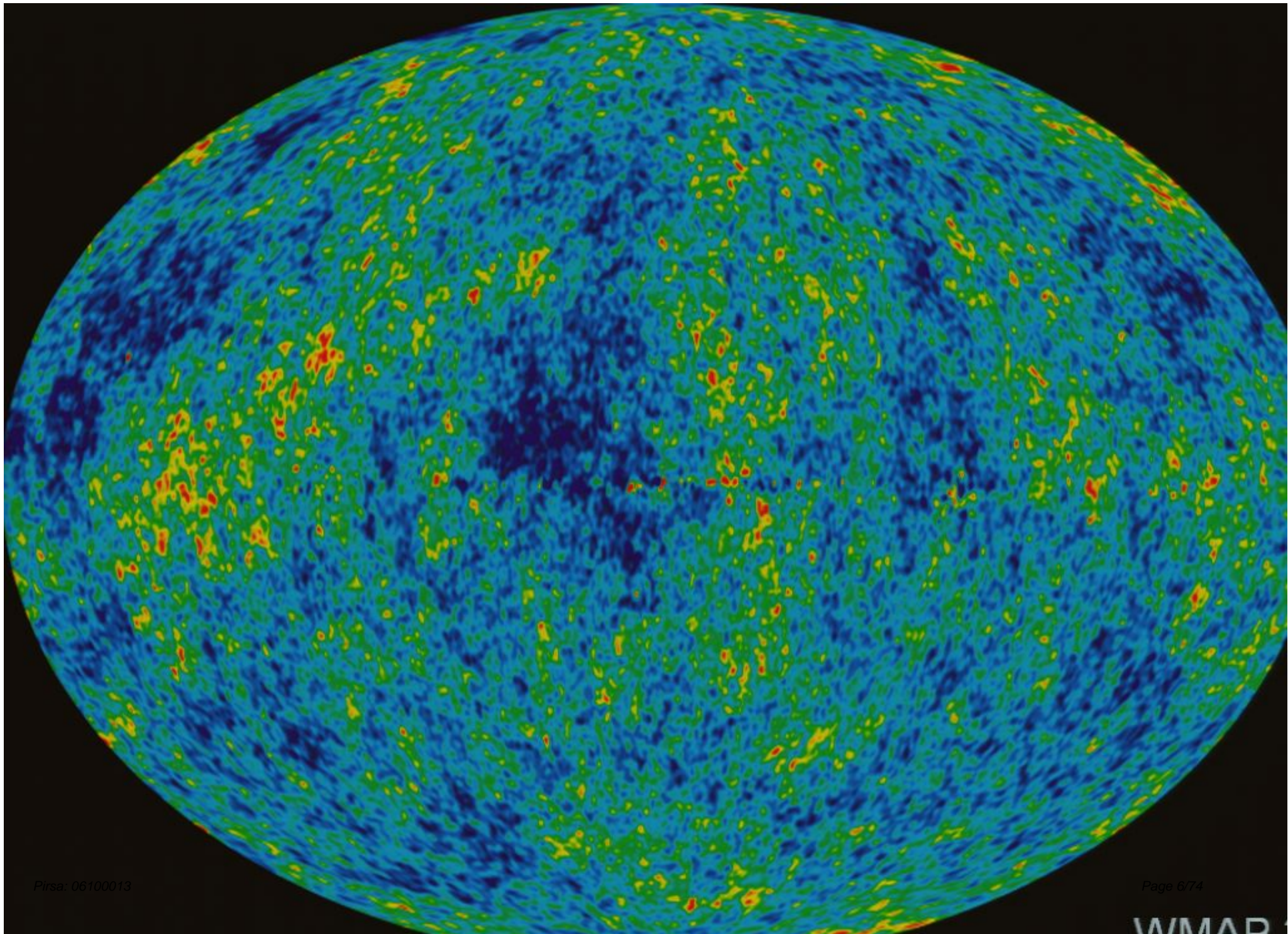
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# Inflation

A period of accelerated expansion

$$ds^2 = -dt^2 + e^{Ht} d\vec{x}^2 \quad H \approx \text{const.}$$

- Solves horizon, flatness, and monopole problems.
- *i.e.* explains why universe is so large, so flat, and so empty.
- Predicts minute variations in CMB temperature:
  - approximately, **but not exactly**, scale-invariant
  - approximately Gaussian
- Observed!



# Wish List

- ❑ Rigorous context with clear rules
  - for better predictivity
- ❑ UV control; ideally full quantum gravity
- ❑ **Specific**, reliable, non-debatable **predictions**
  - loose predictions → observation may not discriminate!

Obvious wishes. What's new since early 80's?

- Theoretical tools (strings, D-branes, moduli stabilization, ...)
- Precise observations! Time limit on **predictions**.

# String Inflation Assessment

- ☑ Rigorous context with clear rules
- ☑ Full quantum gravity theory
- ➔ Specific, reliable, non-debatable predictions
  - Achievable in concrete string inflation models.
  - Achieved in very few.
  - Lots of work to do!



# Plan of the Talk

- I. Context and Motivation
- II. Model: Inflation from D3-branes
  - i. Setup
  - ii. Problem: what is the potential?
- III. Computation of the D3-brane Potential
  - i. Backreaction in supergravity
  - ii. Result
- IV. Applications
- V. Conclusions

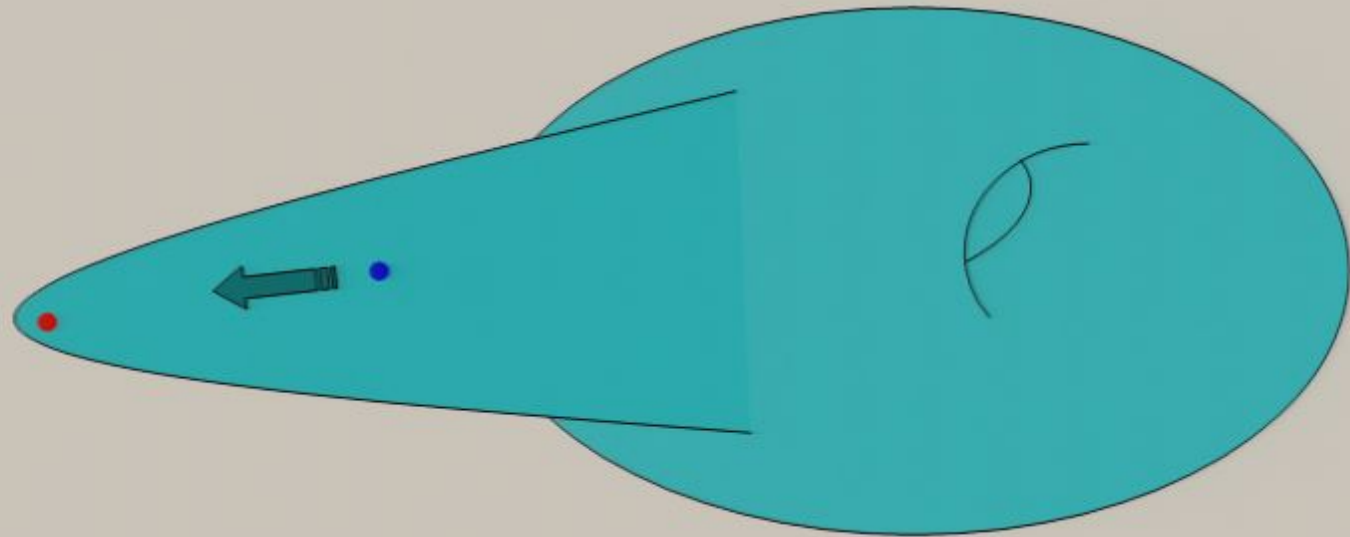
# Part II.

## Inflation from D-branes

# Some Brane Inflation Models

- **Brane-Antibrane** Dvali&Tye; Alexander; Dvali, Shafi, Solganik; Burgess, Majumdar, Nolte, Rajesh, Zhang; Sarangi&Tye.
- **Branes at Angles**. Garcia-Bellido, Rabadan, Zamora.
- **D3-D7**. Dasgupta, Herdeiro, Hirano, Kallosh; Hsu, Kallosh, Prokushkin; Hsu&Kallosh.
- ➔ **warped brane-antibrane**  
Kachru, Kallosh, Linde, Maldacena, L. M., Trivedi; Firouzjahi&Tye; Burgess, Cline, Stoica, Quevedo; Iizuka&Trivedi; Berg, Haack, Körs; Cline&Stoica; Kofman&Yi; Frey, Mazumdar, Myers; Chialva, Shiu, Underwood; Shandera&Tye.
- **DBI**. Silverstein&Tong; Alishahiha, Silverstein, Tong; Chen; Kecskemeti, Maiden, Shiu, Underwood.
- **Giant Inflaton**. DeWolfe, Kachru, Verlinde.
- **Warped tachyonic**. Cremades, Quevedo, Sinha.

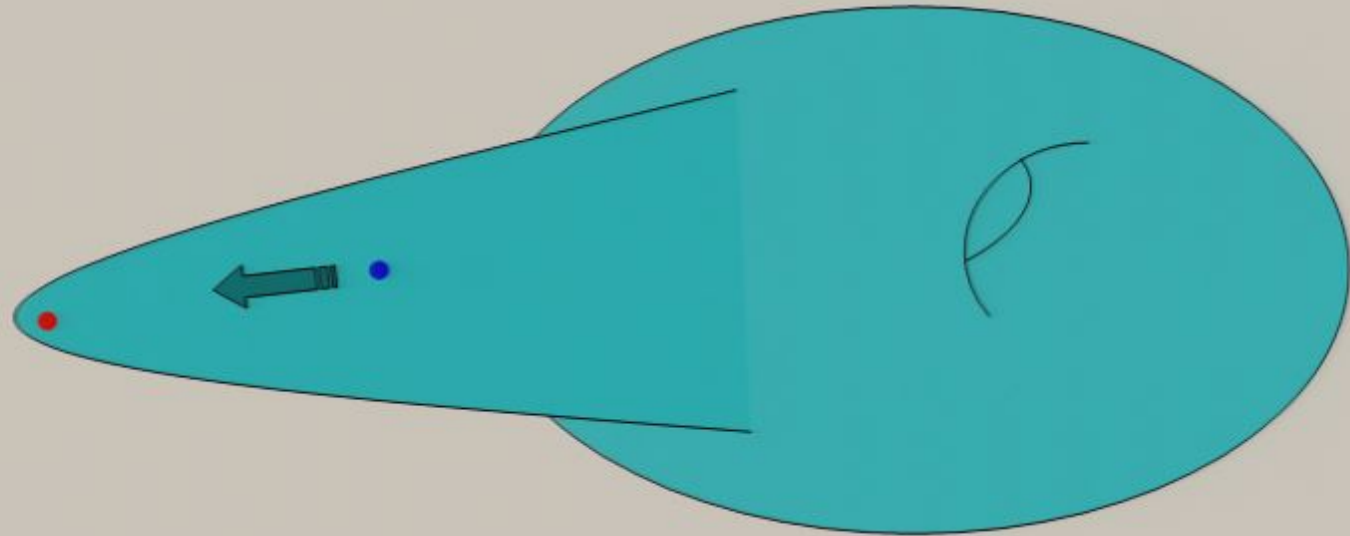
# Warped Brane Inflation



# Why Warped?

- Coulomb potential too steep in **unwarped** space  
→ slow roll inflation hard to achieve.
- Exponential warping makes potential ‘exponentially flat’.
- Local model, explicit metrics, hence computable.
- RS-like hierarchy, so can adjust scales.
  - in particular, allows cosmic superstrings.
  - rich, novel reheating.

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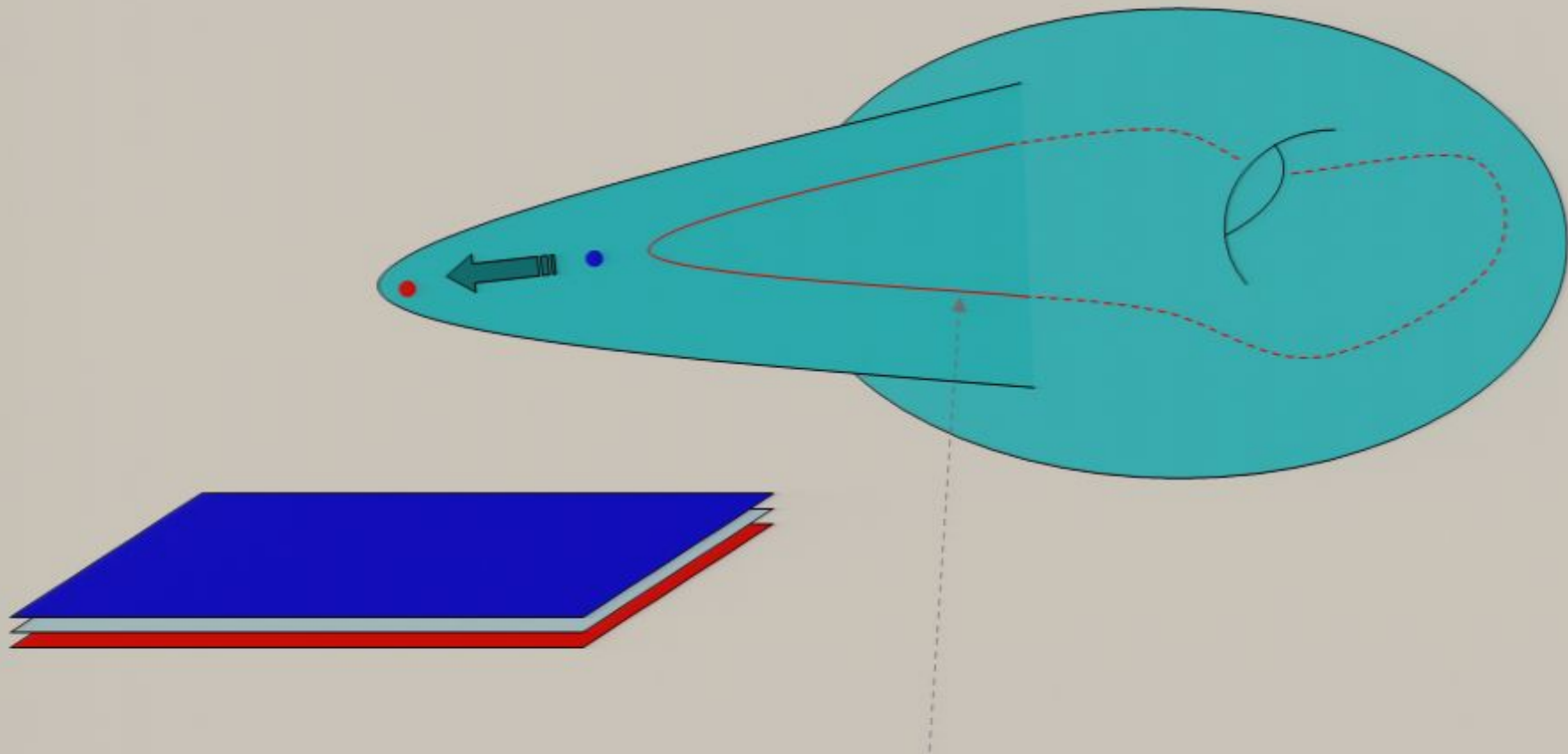
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# The Problem

- Moduli stabilization crucial for realistic model.
  - unfixed moduli can spoil BBN; overclose universe; give fifth-force couplings; allow runaway decompactification; spoil slow-roll inflation.
- But moduli stabilization (e.g. by KKLТ mechanism) spoils flatness of the potential!
- Obligated to compute corrected potential in stabilized vacuum (today's task)



# Stabilized Warped Brane Inflation



‘wrapped brane’: Euclidean D3-brane,  
or D7-brane stack, on a four-cycle

# Question:

What is the potential for motion of a D3-brane in a nonperturbatively-stabilized flux compactification?

Has implications beyond D-brane inflation, for:

- particle-physics models with D3-branes
- open string moduli stabilization

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# Related Work

- O. Ganor, hep-th/9612007
- S. Kachru, R. Kallosh, A. Linde, J. Maldacena, L.M., and S. Trivedi, hep-th/0308055
- M. Berg, M. Haack, and B. Körs, hep-th/0404087
- S. Giddings and A. Maharana, hep-th/0507158

# Warmup: D3 in flux background

Consider type IIB on a  $CY_3$  orientifold with  $G_3$  flux.

$$\text{EOM: } *G_3 = i G_3 \text{ (ISD)}$$

But scalars governing motion of a spacetime-filling D3-brane couple **only** to

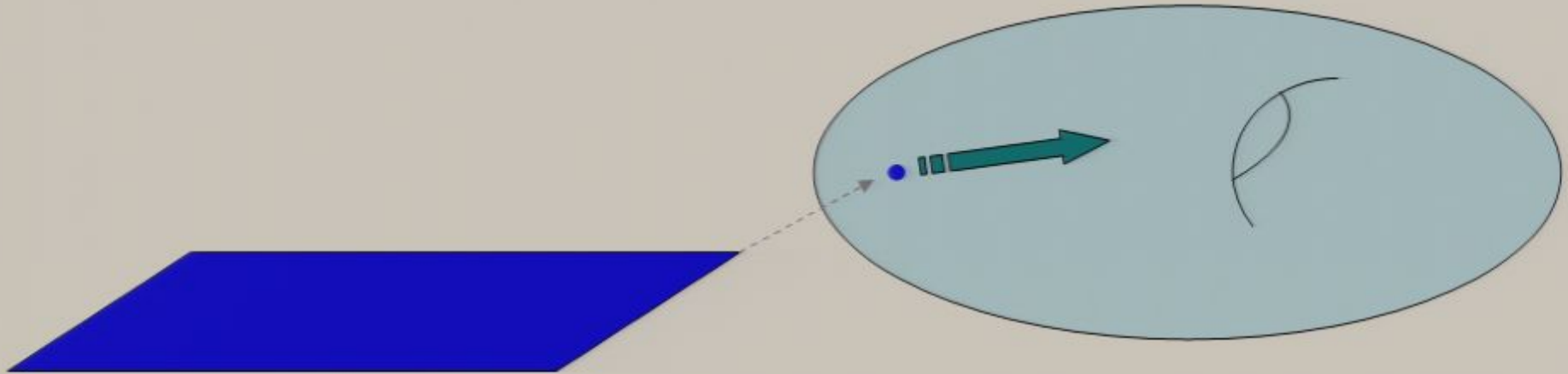
$$*G_3 - i G_3 \text{ (IASD flux)}$$

Graña;  
Graña, Grimm,  
Jockers, Louis

**‘No-force’ condition.**

- Can also see as a DBI-CS cancellation.
- A ‘BPS-like’ property (GKP).
- But, does **not** require unbroken SUSY.

# D3-brane in ISD flux



D3-brane scalars are free fields.

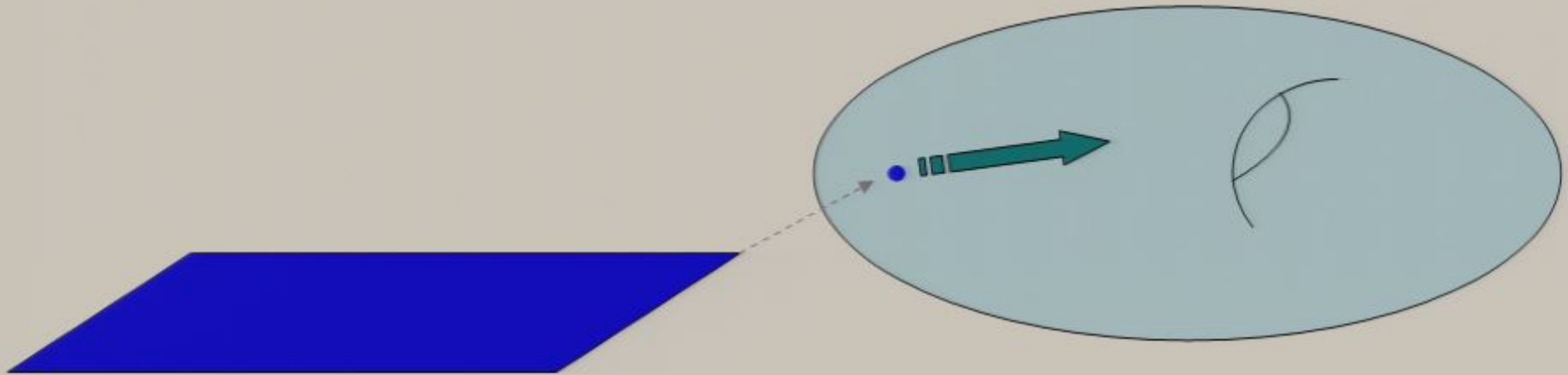
D3-brane moduli space is the CY.

Is this property preserved in more complicated cases?

# Moduli Stabilization

- In type IIB, generic fluxes lift complex structure moduli and dilaton.
- Kähler moduli are unlifted by flux.
- KKLT scenario: stabilize Kähler moduli by incorporating nonperturbative effects in a flux compactification.
- The same nonperturbative effects also lift the D3-brane moduli.
- Our task: compute resulting potential.

# D3-brane in ISD flux



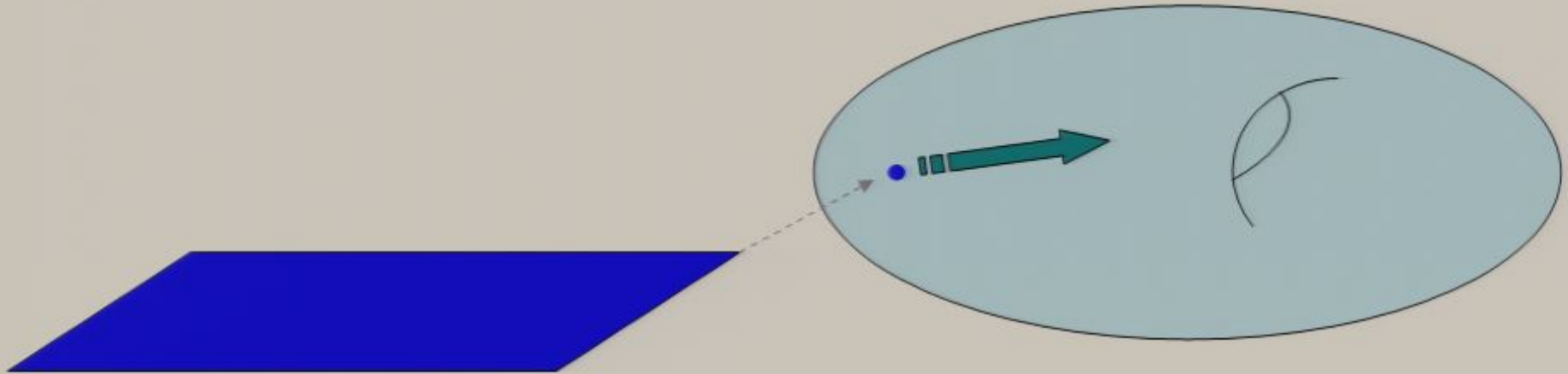
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# Part III.

## Computing the D3-brane Potential

1. Nonperturbative effects
2. Backreaction in warped backgrounds
3. Computation
4. Result: 'the superpotential prefactor is the embedding condition'

# Nonperturbative Effects

$$ds^2 = h^{-\frac{1}{2}}(Y) g_{\mu\nu} dx^\mu dx^\nu + h^{\frac{1}{2}}(Y) g_{ij} dY^i dY^j \quad V_{\Sigma_4}^w \equiv \int_{\Sigma_4} d^4 Y \sqrt{g} h(Y)$$

- Gaugino condensation on N D7-branes wrapping a four-cycle  $\Sigma_4$

$$W_{\lambda\lambda} = \exp\left(-\frac{8\pi^2}{g_{YM}^2 N}\right)$$

- Euclidean D3-branes wrapping a four-cycle  $\Sigma_4$

$$W_{np} = \exp\left(-T_3 V_{\Sigma_4}^w\right)$$

Either case: can write

$$W_{np} = \exp\left(-\frac{T_3 V_{\Sigma_4}^w}{N}\right)$$

# KKLT Proposal

$$W_{KKLT} = \int G \wedge \Omega + \exp\left(-\frac{T_3 V_{\Sigma_4}^w}{N}\right)$$

In their language:

$$W_{KKLT} = \int G \wedge \Omega + A e^{-a\rho}$$

Key point for today:

$$A \rightarrow A(\varphi)$$

D3-brane position

# Corrected Warped Volumes

$$ds^2 = h^{-\frac{1}{2}}(Y) g_{\mu\nu} dx^\mu dx^\nu + h^{\frac{1}{2}}(Y) g_{ij} dY^i dY^j$$

$$V_{\Sigma_4}^w \equiv \int_{\Sigma_4} d^4Y \sqrt{g} h(Y) \quad (\text{probe approximation})$$

Including D3-brane backreaction:

$$h = h(Y, X)$$

D3-brane position

$$h = h_0(Y) + \delta h(X, Y)$$

$$V_{\Sigma_4}^w = \underbrace{\int_{\Sigma_4} d^4Y \sqrt{g} h_0(Y)}_{V_0} + \underbrace{\int_{\Sigma_4} d^4Y \sqrt{g} \delta h(X, Y)}_{\delta V}$$

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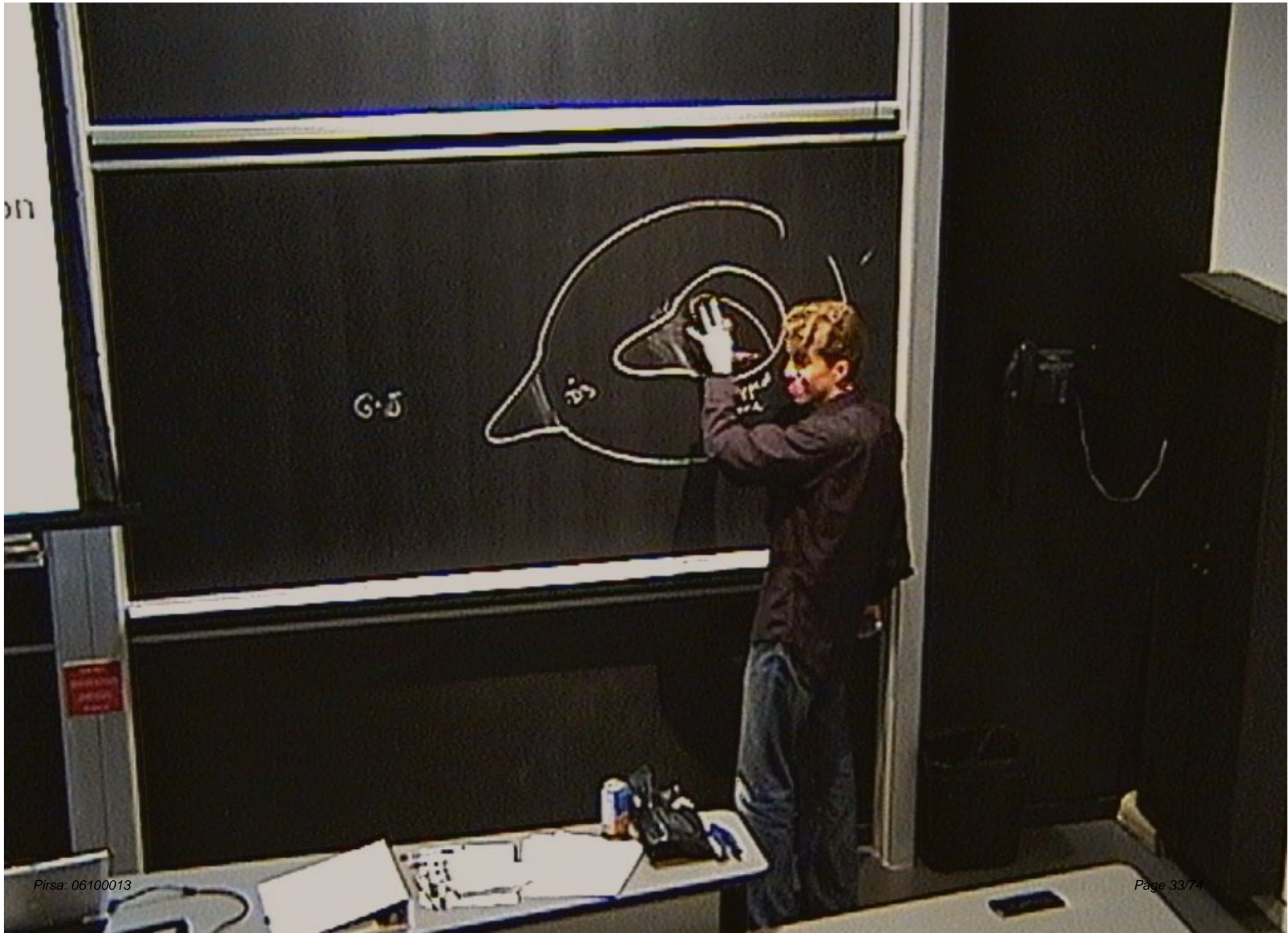
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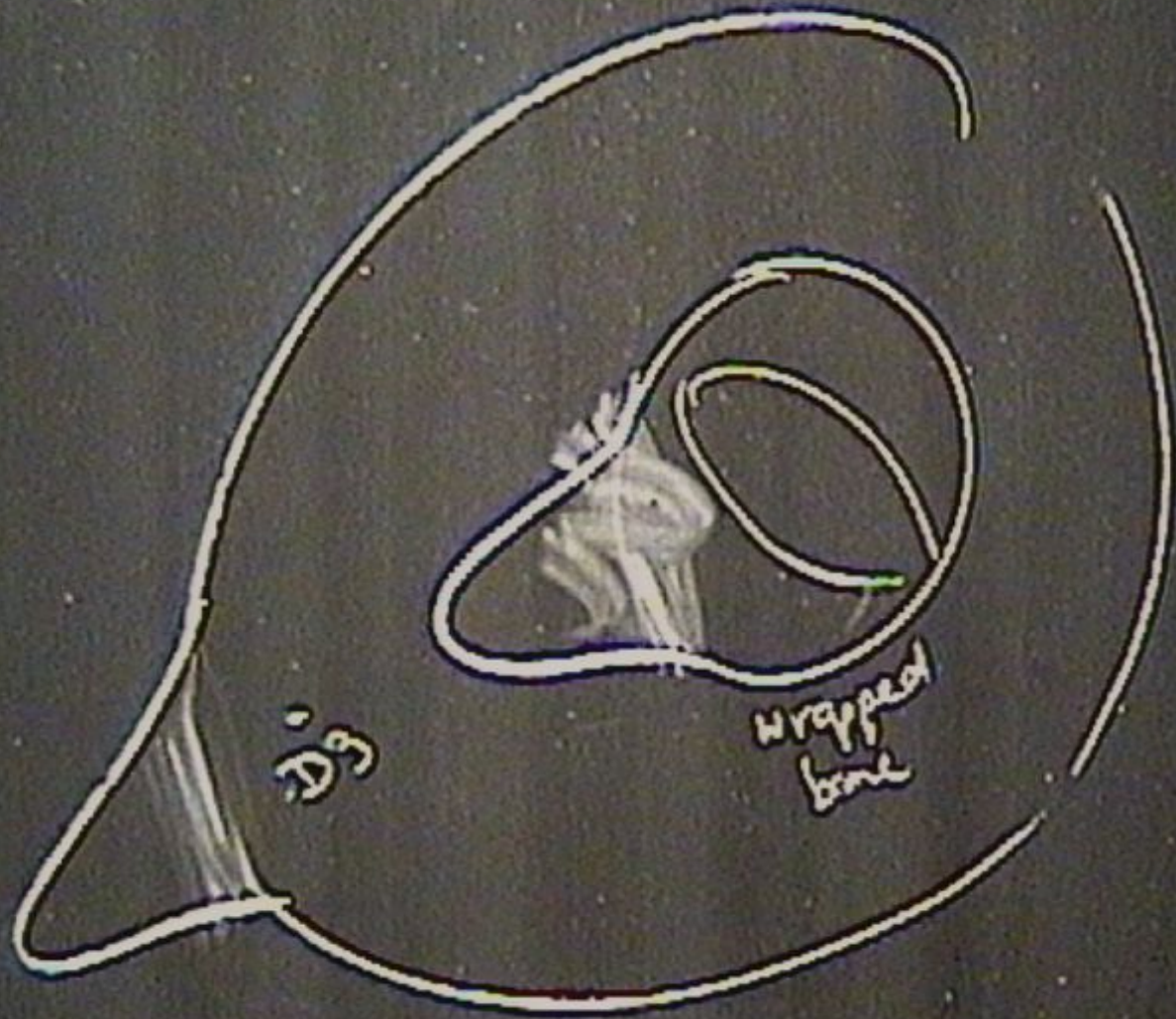


017

G-5

3j

G.J



D3

wrapped  
bone

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$$\nabla_Y^2 \delta h(X, Y) = -2\kappa_{(10)}^2 T_3 \left[ \delta^6(X - Y) - \rho_{bg}(Y) \right]$$

- Solve for  $\delta h$
- Integrate over  $\Sigma_4$  to get  $\delta V(X)$
- Read off  $\delta W(X)$

$$W_{np} = \exp\left(-\frac{T_3 V_0}{N}\right) \exp\left(-\frac{T_3 \delta V(X)}{N}\right)$$

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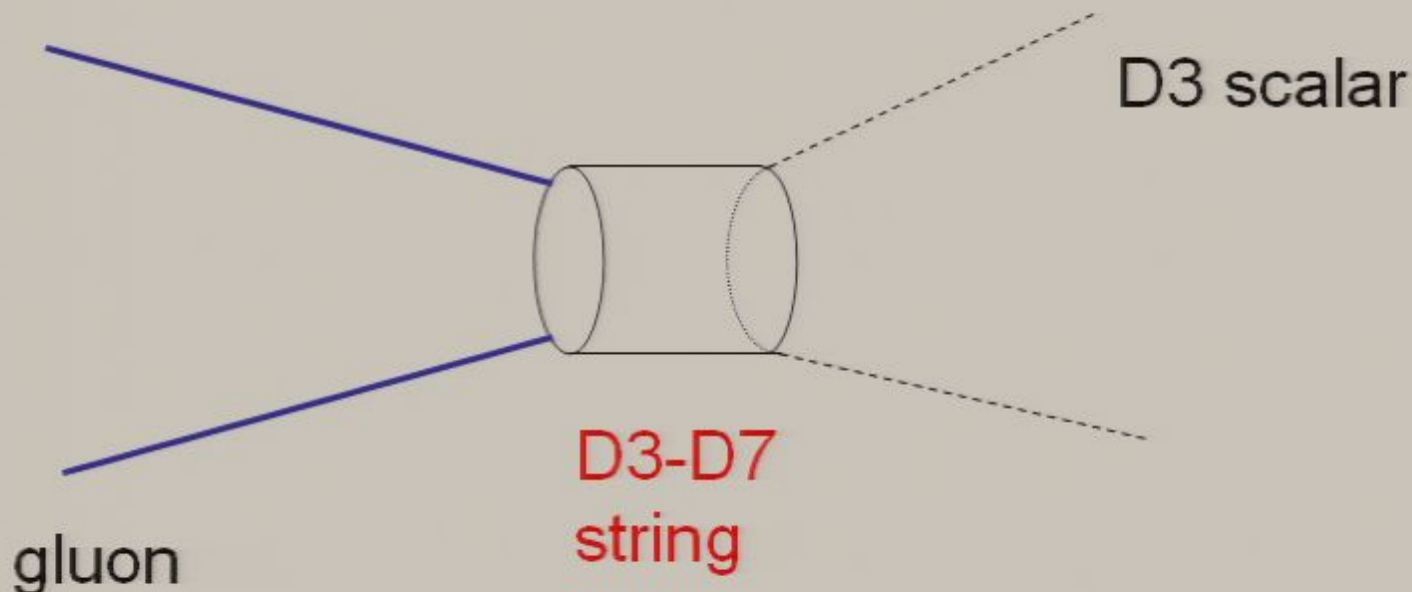
# Comments

- D3-brane effect in exponent in  $W$ , so even minute effects important.
- This is the **leading effect lifting the D3 moduli space**.
- Effect vanishes if  $W_{np}$  does. Requires a topological condition on  $\Sigma_4$ .
- Our result is the D3-brane-dependence of the instanton fluctuation determinant (or, of D7-brane gauge theory threshold correction)
- Dependence on complex structure not known.

# Open String Method

Berg, Haack, Körs '04 (BHK)

In case of gaugino condensation, they compute dependence on D3 position as **threshold correction due to 3-7 strings**.



# Comparison

BHK

Us

(cf. Giddings &  
Maharana)

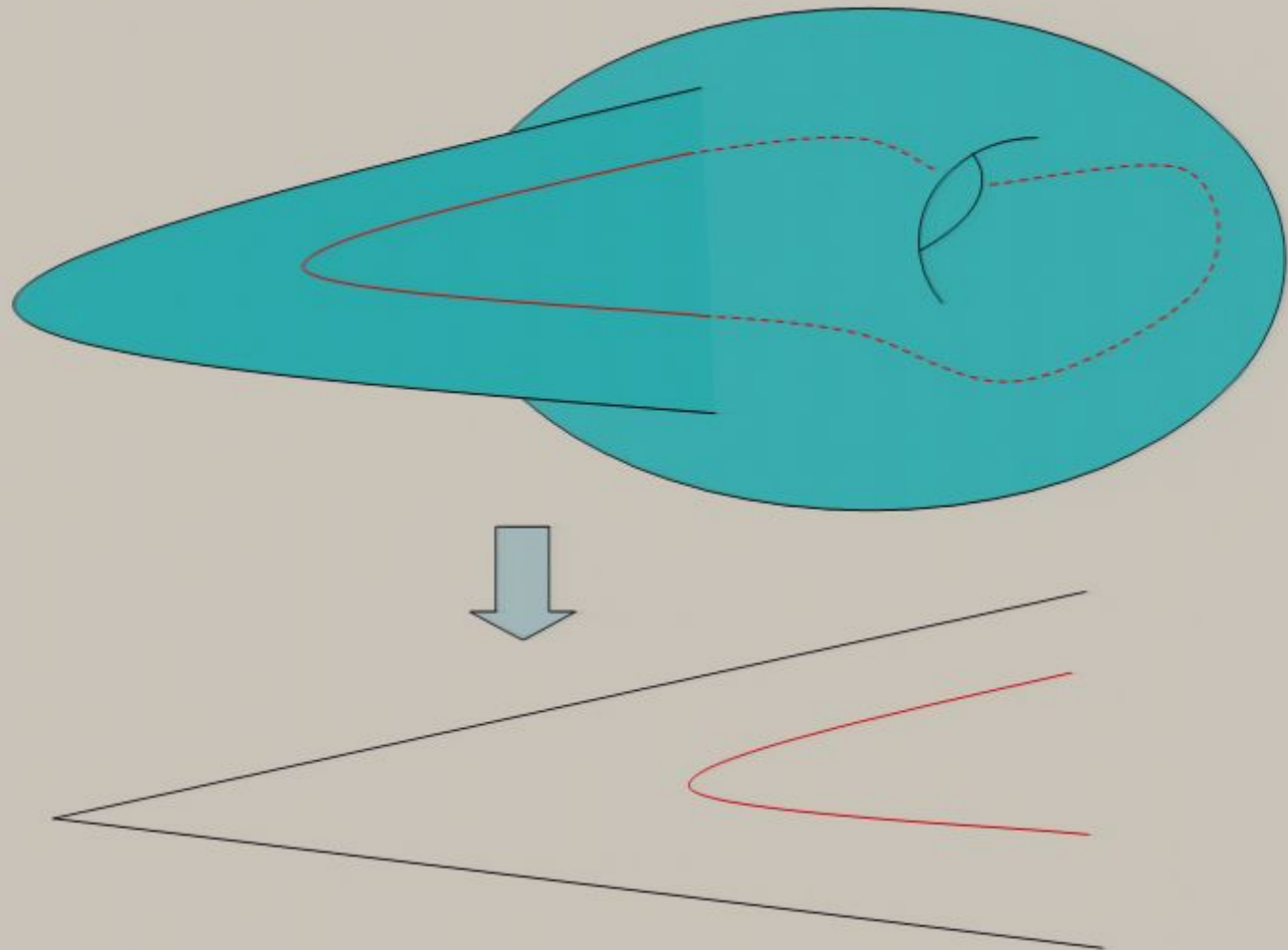
One-loop open string  
Threshold correction  
Gaugino only  
Hard (and impressive)  
Toroidal cases only  
Unwarped only

Tree-level SUGRA  
Backreaction on warping  
Gaugino or ED3  
Comparatively easy  
More general geometries  
Warping ok

Perfect agreement where comparison is possible.



# Asymptotically Conical Space



# Wrapped Branes in Throats

$$w_1 w_2 - w_3 w_4 = 0$$

$$w_i \in \mathbb{C}$$

$$w_1 = r^{\frac{3}{2}} \sin\left(\frac{\theta_1}{2}\right) \sin\left(\frac{\theta_2}{2}\right) \exp\left[\frac{i}{2} (\psi - \phi_1 - \phi_2)\right]$$

SUSY embedding of D7:

$$\prod_{i=1}^4 w_i^{p_i} - \mu^P = 0$$

$\Sigma_4$

Areán, Crooks, Ramallo,  
hep-th/0408210: conifold

$$p_i \in \mathbb{Z} \quad P \equiv \sum p_i \quad \mu \in \mathbb{C}$$

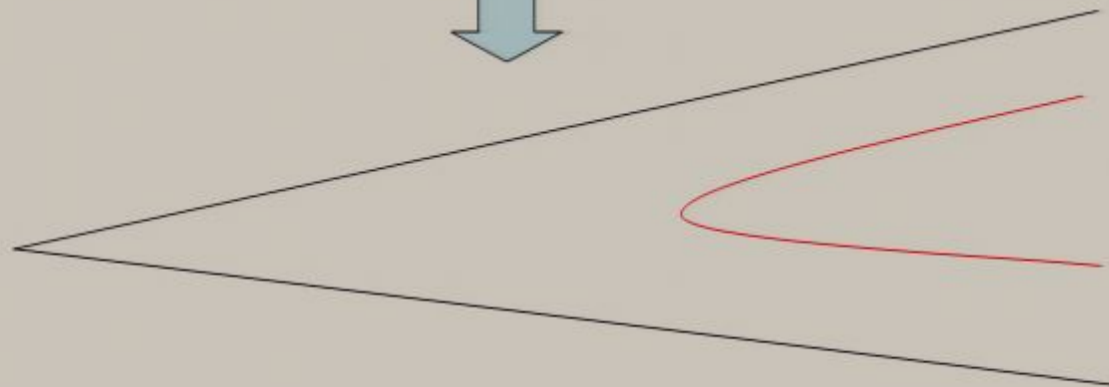
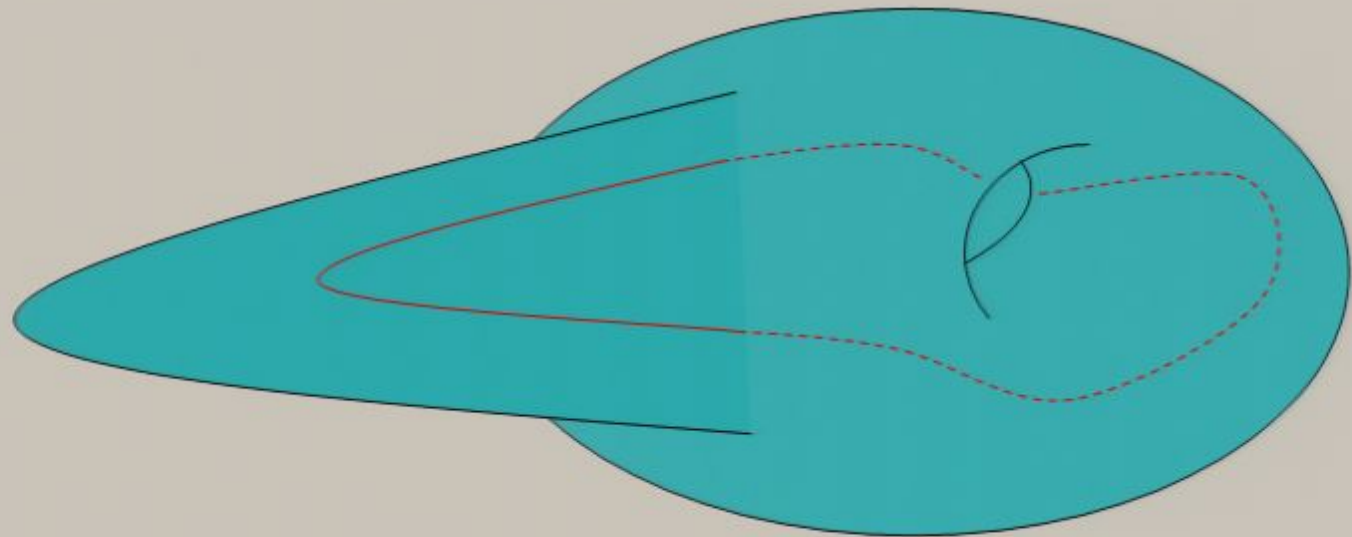
Karch & Katz, hep-th/0205236

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# Example: Singular Conifold

$$ds^2 = dr^2 + r^2 ds_{T^{1,1}}^2$$

$$X = \{r, \psi_\alpha\}$$

$$\nabla_X^2 G(X, X') = -\delta^6(X - X')$$

Solution:

$$G(X, X') = \sum_L N_L Y_L^*(\psi'_\alpha) Y_L(\psi_\alpha) \left(\frac{r'}{r}\right)^{-2 + \sqrt{4 + \Lambda_L}} r^{-4}$$

$$L = \{l_1, l_2, m_1, m_2, r\} \leftrightarrow SU(2) \times SU(2) \times U(1)_R$$

where

$$\nabla_\psi^2 Y_L(\psi) = -\Lambda_L Y_L(\psi)$$

$$\Lambda_L = 6\left(l_1(l_1 + 1) + l_2(l_2 + 1) - \frac{r^2}{8}\right)$$

Now: integrate  $G(X, X')$  over SUSY  $\Sigma_4$

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surprise:

$$I_L \neq 0 \Leftrightarrow l_1 = l_2 = \frac{r}{2}$$

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# Dual description

$$\delta h = \frac{27\pi g_s \alpha'^2}{r^4} \left( \sum_i \frac{c_i f_i}{r^{\Delta_i}} \right)$$

$f_i$ : angular eigenfunction  
 $\Delta_i$ : conformal weight  
 $c_i$ : coefficient of operator  $\mathcal{O}_i$   
(D3-brane position)

chiral subset:

$$\mathcal{O}_k = \text{Tr}[A_{\alpha_1} B_{\beta_1} \dots A_{\alpha_k} B_{\beta_k}]$$

$$l_1 = l_2 = \frac{k}{2}$$

Only chiral operators contribute to  $\delta V$ !

# Result for Conifold Case

$$I_k^{chiral} = \frac{1}{2k} \left( \prod_i \bar{w}_i^{P_i} / \bar{\mu}^P \right)^k$$

$$W_{np} = \exp\left(-\frac{T_3 V_0}{N}\right) \exp\left(-\frac{T_3 \delta V_4}{N}\right)$$

$$T_3 \delta V_4 = \sum_k I_k = -\text{Re} \left[ \log \left( \mu^P - \prod_{i=1}^4 \bar{w}_i^{P_i} \right) - \log(\mu^P) \right]$$

$$\exp(-T_3 \delta V_4 / N) = \left( \mu^P - \prod_{i=1}^4 w^i \right)^{1/N} \mu^{P/N}$$

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# Result

## for a general warped throat

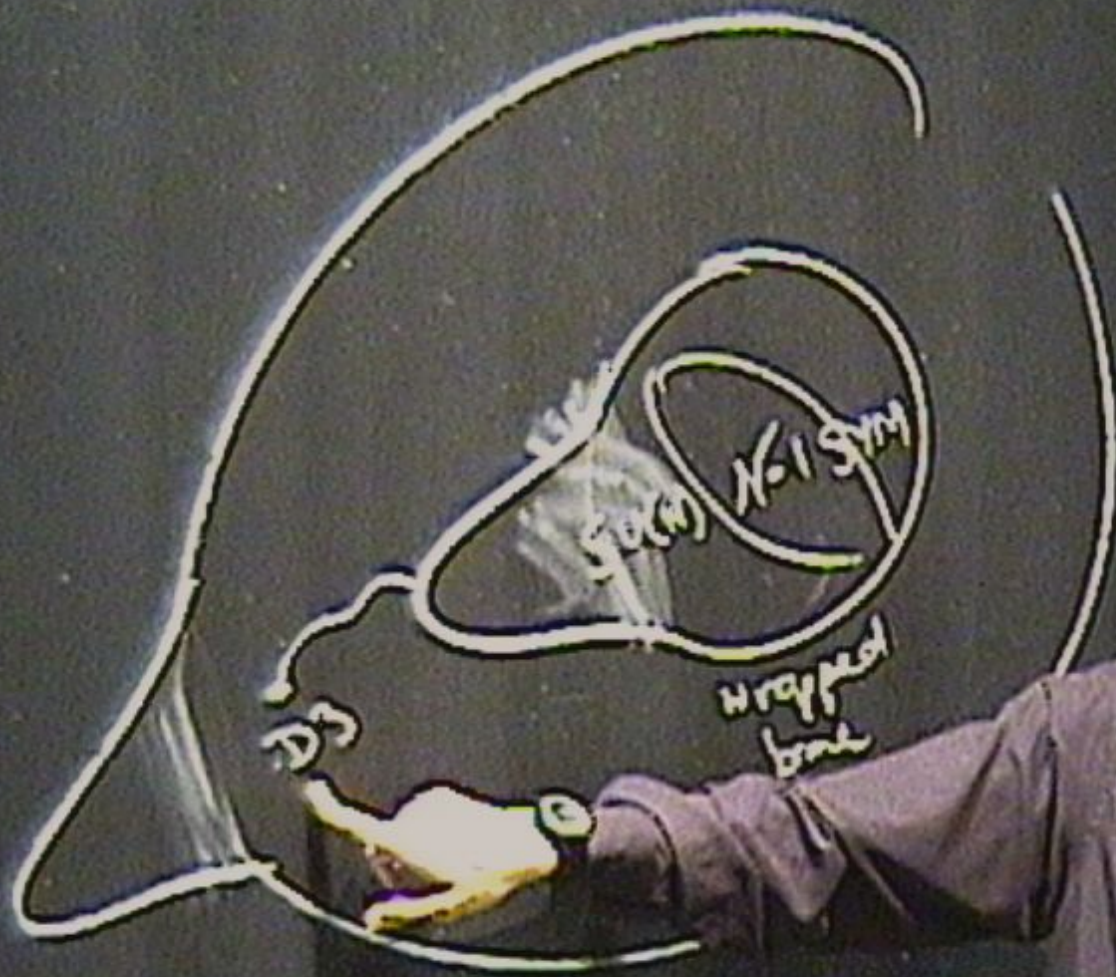
If wrapped branes are embedded along

$$f(w_i) = 0$$

then the superpotential correction is

$$A = A_0 \exp(-T_3 \delta V_4 / N) = [f(w_i)]^{1/N}$$





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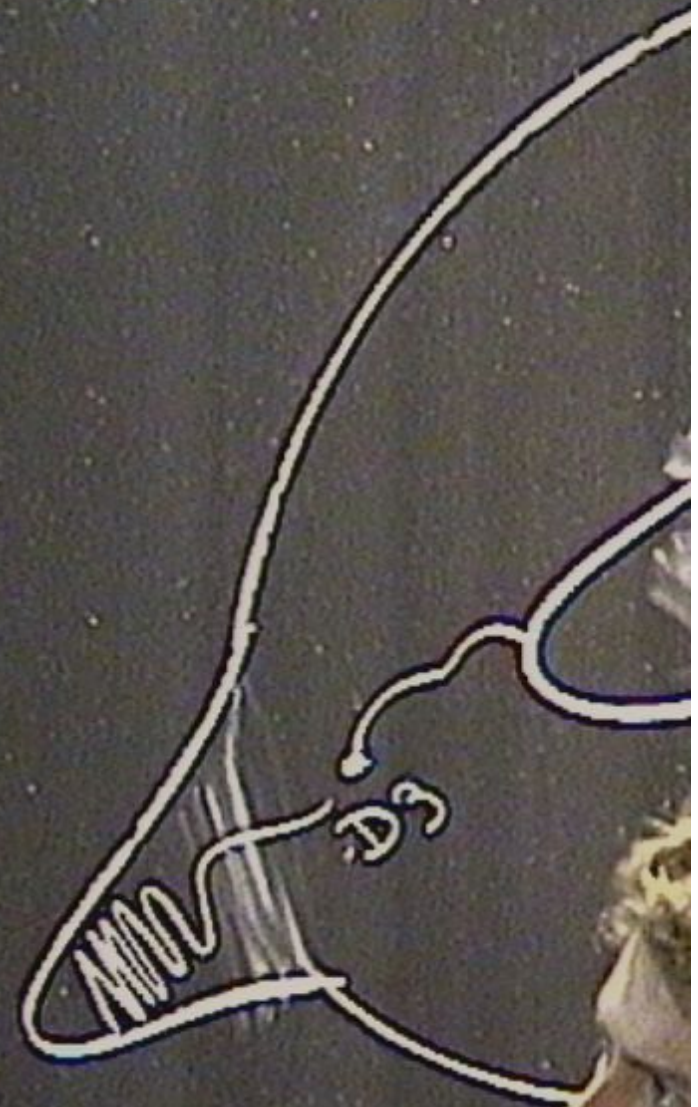
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$(W \rightarrow P)^{\#}$   
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G-J



# Another Approach

O. Ganor, hep-th/9612077:

Used monodromy argument for the **phase** of  $W_{np}$  to argue that  $A$  is a section of the 'associated bundle' **[D]** of the wrapped divisor  $D$ .

Our result confirms this, by explicit calculation of the **modulus** of  $W$ .

$A \propto f(w_i)$  is just a section of **[D]** trivialized in one patch.

# Part IV.

## Applications

# Lifting of D3-brane Moduli

$$W_{KKLT} = \int G \wedge \Omega + A_0 f(w_i)^{1/N} e^{-a\rho}$$
$$K = -3 \log(\rho + \bar{\rho} - k(w_i, \bar{w}_i))$$

In general, D3-branes preserve SUSY only at **special points** in the CY.

Mass around a SUSY min:

$$m^2 \approx \frac{V_F^{\min}}{M_P^2}$$

cf. Kachru et al.

# Achieving Inflation

- Typically requires a scalar field  $\phi$  with a rather **flat** potential  $V(\phi)$ .

$$\eta \equiv M_{pl}^2 \frac{V''}{V} \ll 1 \quad \text{and} \quad \varepsilon \equiv \frac{1}{2} M_{pl}^2 \left( \frac{V'}{V} \right)^2 \ll 1$$

- Key goal of inflationary model-building: find such a field and such a potential in a **controllable, well-motivated, natural setting**.

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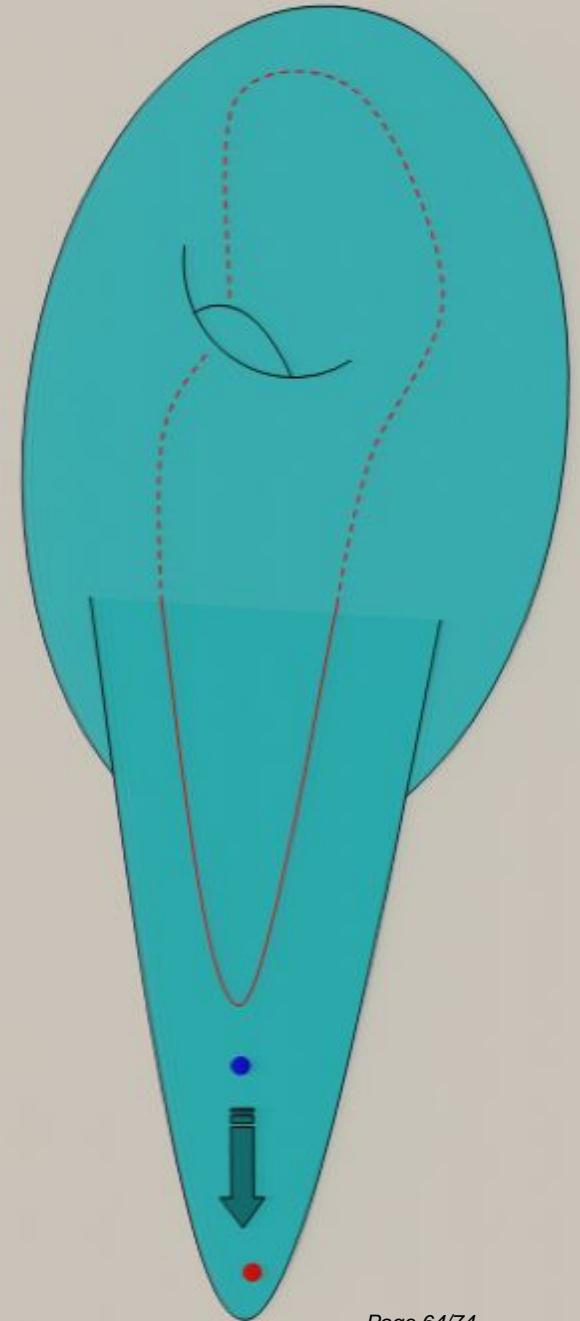
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Neglecting **f**:  $\eta = \frac{2}{3}$

Including **f**:  $\eta \ll 1$   
is **possible**, but **not generic**.

One can:

- (1) reject model as fine-tuned, or
- (2) search parameter space for small  $\eta$  and reassess





# Status of Warped Brane Inflation

- Well-known:  $\eta$  is generically  $O(1)$ .
- Our work gives substantially complete potential (including angular directions).
- One can check explicitly whether  $\eta$  is small for given microscopic parameters.
- Explicit fine-tuning gives important qualitative differences from uncorrected potential.
- Can change spectral index, tensor amplitude, cosmic string tension (in preparation).

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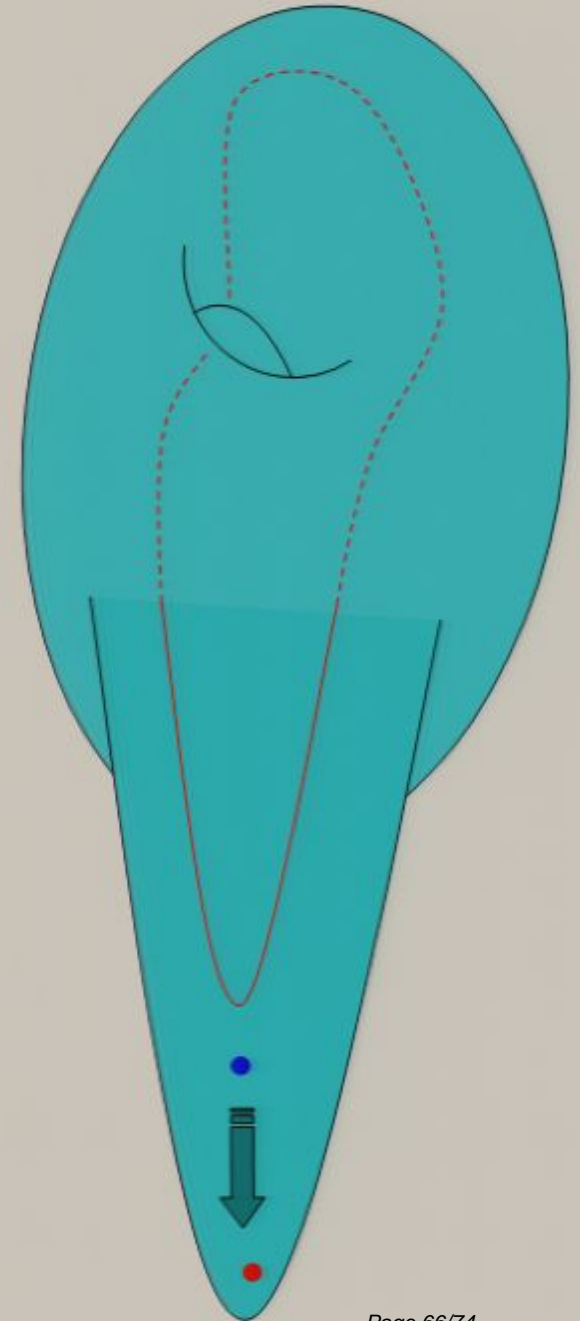
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# Conclusions

- We computed the interaction between D3-branes and wrapped branes, in warped throat backgrounds, using supergravity.
- Striking cancellations of non-chiral terms led to a simple result: 'superpotential correction is the embedding equation'.
- Very explicit confirmation of Ganor's result.
- Agrees with open-string method of BHK, but allows more complicated spaces; fluxes; warping.
- This gives the complete potential for D3-brane motion in a throat of a KKLT compactification.
- Hence, overcomes a technical obstacle for analysis of warped brane inflation.
- Implications for open string moduli stabilization.

# Compactification Effects in String Inflation

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Juan Maldacena, and Arvind Murugan

[hep-th/0607050](#)  
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