

Title: Effective actions for gravity

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Abstract:

EFFECTIVE ACTIONS FOR GRAVITY

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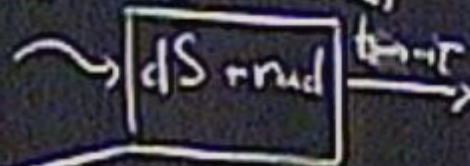
↳ S. Sarangi, G. Shiu, J. P. V. de Schoen

∴ Universe "lurches" from nothing (HH)

↳ predicts? IC of fluctuations

∴ minisuperspace \leadsto dS $\xrightarrow{t \rightarrow \infty}$ S_4 : IC is regularly @ SP

∴ beyond minisuperspace (decoherence)



: No always regularly condition

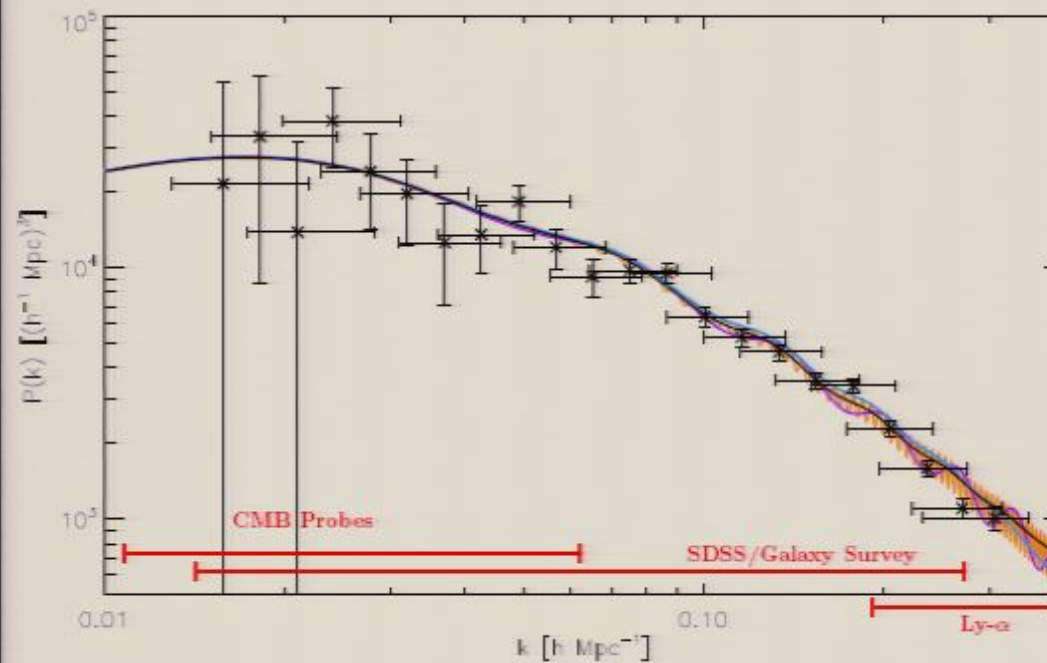
↳ Power Spectrum is Exact!

EFFECTIVE ACTIONS FOR GRAVITY

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Experiment: Cosmological Data



Linear matter power spectrum (source: Easter, Kinney, Peiris)

- Projected accuracy 10^{-6} [Spergel]
- Ratio of scales $H_{infl}/M_{Pl} \sim 10^{-5} \dots$
- NOTE: Early times \Leftrightarrow Large Scales
Late times \Leftrightarrow Small Scales
(Counterintuitive to effective field theory expectations)

Theory:

- Effective action:

- Controlled theoretical error
- Predictability
 - finite number of undetermined parameters
- “Identifies the small parameter” E/M ;
 - consistent up to arbitrary precision in this parameter.
 - Need a UV completion beyond scale M to describe physics for $E/M > 1$ (includes fluctuations beyond M).
- Action:

$$S = \int d^4x M^4 \mathcal{O}^{(0)} + M^3 \mathcal{O}^{(1)} + \dots + \mathcal{O}^{(4)} + \frac{1}{M} \mathcal{O}^{(5)} + \dots$$

$\mathcal{O}^{(n)}$: all operators of dimension n allowed by the symmetries (modulo field redefinitions/total derivatives)

- $\mathcal{O}^{(n<4)}$: relevant; renormalizable
- $\mathcal{O}^{(n=4)}$: marginal; renormalizable
- $\mathcal{O}^{(n>4)}$: irrelevant; non-renormalizable
(coupling constant has negative dimension)

- Physical principles underlying effective actions

- Decoupling (separation of scales)
- $\text{Energy conservation implicit!}$

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Effective actions for gravity:

- General Relativity:

$$S = \frac{1}{2\kappa} \int d^4x \sqrt{g} g^{\mu\nu} R_{\mu\nu}(g) + \dots$$

Coupling constant $\kappa \sim M_{pl}^{-2}$ has negative dimension.

- Can consistently describe physics in terms of $E/M_{pl} < 1$ [Burgess hep-th/0311082]
- Need a UV completion (Quantum gravity) beyond M_{pl}

- Difficult:

NEW!

In FT a UV completion is 'easy'

(remember the days before asymptotic freedom)...

- Hints that the UV completion of gravity is "unique"

- (String theory ...) [Vafa, Ooguri hep-th 0605264]

- AdS/CFT:

UV gravity = IR gauge theory

(only described in terms of the relevant parameters; RG-flow)

Corollary: (asymptopia!!)

IR gravity = UV gauge theory

Keep this in mind!!

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Effective actions in gravity/cosmology

- BUT, axiom “Energy is conserved” does not always hold;

⇒ *No clean separation of scales*

- (Possible) Exception: spacetimes with a time-like Killing vector

.... *It works ...*

- (Possible) Exception: adiabatically evolving spacetimes:

.... *It works ...*

- (Possible) Exception: adiabatic ground state fluctuations

.... *It works ...*

⇒ *What is the small parameter?*

- By the same effective action logic we expect a quantitatively controlled expansion in $\dot{\omega}/\omega^2$.

- SUCH AN EFFECTIVE THEORY IS THE TEMPLATE AGAINST WHICH TO COMPARE COSMOLOGICAL MEASUREMENTS.

Effective Actions and Cosmology

- GR is an effective field theory for $p \equiv \frac{\vec{k}}{a(t)} \leq M$
- Effects of high energy physics encoded in irrelevant higher derivative operators. [Kaloper, Kleban, Lawrence, Shenker; ...]

- Leading term:

$$S^{irr.op.} = \frac{1}{M^2} \int [D_\mu D_\nu \phi D^\mu D^\nu \phi + \dots]$$

- Leading effect of order $\frac{k^2}{a^2 M^2} \sim \frac{H^2}{M^2}$ UNOBSERVABLE

- Phenomenological models/Toy studies

- Cut-off $p(t) = M$ means an earliest time (different for each \vec{k}) [Easther, Greene, Kinney, Shiu; Danielsson; Kempf, Niemeyer; ...]
- Demand that at smallest scale $\left(t_{\vec{k}}^{earliest}\right)$ “recover” flat space (Minkowski vacuum)

COSMOLOGICAL VACUUM AMBIGUITY

\Rightarrow NEW effects:

- Leading effect of order $\frac{H}{M}$

The Cosmological Vacuum Ambiguity

- Can cosmological data contain signatures of new physics ?

- Dominant effect $\frac{H}{M}$ arises from

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$$\Delta E \neq 0 ; \quad E|\text{vac}\rangle = E_{min} ?$$

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- PROBLEM: Non-standard vacua in cosmology are difficult to square with decoupling.
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$$\langle \text{vac} | T_{\mu\nu} | \text{vac} \rangle - T_{\mu\nu}^{\text{Mink.bare}}$$

diverges.

- EXPLICIT EXAMPLES:

- suggest they are consistent

[Vilenkin Ford, Burgess, Cline, Holman; Kaloper, Kaplinghat; ...]

[KKLS; Banks; Larsen-Einhorn; Brandenberger, EGKS, ...]

Deciphering Initial State Physics

- Primordial Power Spectrum

$$D^\mu D_\mu \Phi_\pm(t, k) = 0$$

$$\phi_b(t, k) = \Phi_+(t, k) + b(k)\Phi_-(t, k) \quad (\text{b.c./vacuum choice})$$

$$P(k) = \frac{k^3}{2\pi} \lim_{t \rightarrow \infty} |\phi_b(t, k)|^2$$

(Choose basis where $b(k) = 0$ standard Bunch-Davies vacuum)

- Characteristic signature initial state effects

- Mode “mixing”

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- results in oscillations

$$\delta P = P_{BD} (b(k) + b^*(k))$$

$$= 2P_{BD} |b(k)| \cos \alpha(k) \quad b = |b| e^{i\alpha}$$

Phenomenological NPH Approach

- Shortest length b.c. (New Physics Hypersurface)

[Danielson; Brandenberger; Easther, Greene, Kinney, Shiu; Kempf, Niemeyer;....]

- Boundary conditions “imposed” at

$$p(t) = k/aH = M$$

- Symmetries: homogeneity, isotropy and “scale” invariance

$$b(k) = \tilde{\beta} \frac{H(k)}{2iM} e^{-2i \frac{M}{H(k)(1-\epsilon)}}$$

- Slow roll

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New physics corrections to the initial state encoded in irrelevant boundary operators [Symanzik; Schalm, Shiu, vd-Schaar; Porrati]

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 - Backreaction is under control: new boundary couplings absorb $\langle T \rangle_{Cosmo} - \langle T \rangle_{Mink}$ divergences

Deciphering New Physics

	BEFT	SL-NPH
Power Spectrum	$\delta P = P_{BD} \left(\mathcal{A} k \sin \left(\frac{2\pi k}{c} \right) \right)$	$\delta P = P_{BD} \left(A \sin \left(\frac{2\pi}{C} \ln \frac{k}{k_{piv}} \right) \right)$
Amplitude	$\mathcal{A} = \frac{\beta}{a_0 M}$	$A = \tilde{\beta} \frac{H}{M}$
Period	$\Delta k = \mathcal{C} = \pi a_0 H$	$\Delta \ln \frac{k}{k_{piv}} = C = \frac{\pi H}{M \epsilon_H}$
# of Osc.	$\mathcal{N} \leq \frac{M}{\pi H}$	$N \simeq \epsilon_H \frac{M}{\pi H} \ln \frac{k_{max}}{k_{min}}$
Ratio of scales	$\mathcal{A} \cdot \Delta k = \frac{\beta}{H} M$	$A = \tilde{\beta} \frac{H}{M}, \quad \frac{\epsilon_H C}{\pi} = \frac{H}{M}$

- BEFT bound $k_{max} < a_0 M$

$$\Rightarrow k_{max} < \pi M C / H$$

[Bergstrom, Danielsson; Elgaroy, Hannestad; Okamoto, Lim; Martin, Ringeval; Sriramkumar, Padmanabdan; Easter, Kinney, Peiris]

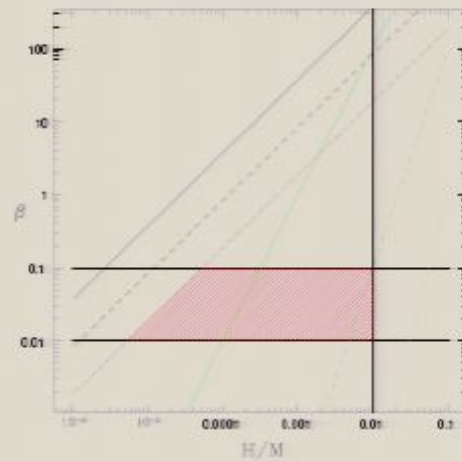
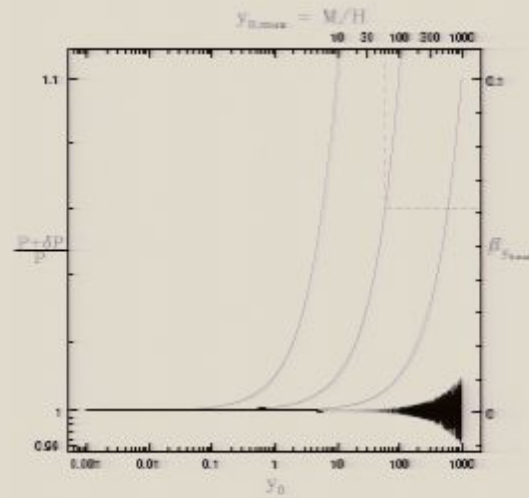
- Qualitative difference \Leftarrow **Symmetries**

- Linear **BEFT** vs. Log **SL-NPH** periodicity

- Preliminary studies (SL-NPH)

- Observable if $\frac{\beta H}{M} \sim 1\%$.

Signature of BEFT corrections



A. Generic change in the power spectrum from initial state effects as deduced with boundary EFT.

B. A refined estimate of the sensitivity of the CMB to new physics.

BEFT CONUNDRUM

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$$S = \int d^4x M^4 \mathcal{O}^{(0)} + M^3 \mathcal{O}^{(1)} + \dots + \mathcal{O}^{(4)} + \frac{1}{M} \mathcal{O}^{(5)} + \dots$$

$\mathcal{O}^{(n)}$: all operators of dimension n allowed by the symmetries (modulo field redefinitions/total derivatives)

- $\mathcal{O}^{(n<4)}$: relevant; renormalizable
- $\mathcal{O}^{(n=4)}$: marginal; renormalizable
- $\mathcal{O}^{(n>4)}$: irrelevant; non-renormalizable
(coupling constant has negative dimension)

- Physical principles underlying effective actions

- Decoupling (separation of scales)
- *Energy conservation implicit!*

Boundary Effective Field Theory II

- Can be shown to be consistent initial conditions
 - Backreaction is under control: new boundary couplings absorb $\langle T \rangle_{Cosmo} - \langle T \rangle_{Mink}$ divergences

Deciphering New Physics

	BEFT	SL-NPH
Power Spectrum	$\delta P = P_{BD} \left(\mathcal{A} k \sin \left(\frac{2\pi k}{c} \right) \right)$	$\delta P = P_{BD} \left(A \sin \left(\frac{2\pi}{C} \ln \frac{k}{k_{piv}} \right) \right)$
Amplitude	$\mathcal{A} = \frac{\beta}{a_0 M}$	$A = \tilde{\beta} \frac{H}{M}$
Period	$\Delta k = \mathcal{C} = \pi a_0 H$	$\Delta \ln \frac{k}{k_{piv}} = C = \frac{\pi H}{M \epsilon_H}$
# of Osc.	$\mathcal{N} \leq \frac{M}{\pi H}$	$N \simeq \epsilon_H \frac{M}{\pi H} \ln \frac{k_{max}}{k_{min}}$
Ratio of scales	$\mathcal{A} \cdot \Delta k = \frac{\beta}{H} M$	$A = \tilde{\beta} \frac{H}{M}, \quad \frac{\epsilon_H C}{\pi} = \frac{H}{M}$

- BEFT bound $k_{max} < a_0 M$

$$\Rightarrow k_{max} < \pi M \mathcal{C} / H$$

[Bergstrom, Danielsson; Elgaroy, Hannestad; Okamoto, Lim; Martin, Ringeval; Sriramkumar, Padmanabdan; Easter, Kinney, Peiris]

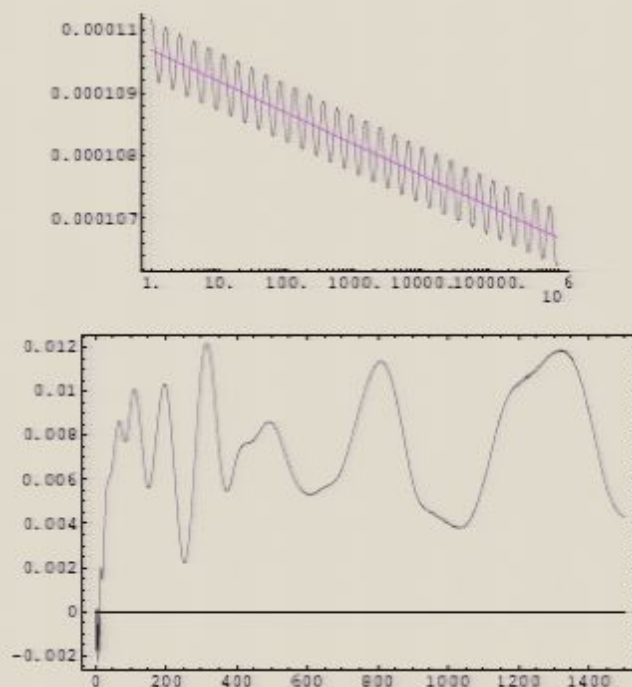
- Qualitative difference \Leftarrow **Symmetries**

- Linear **BEFT** vs. Log **SL-NPH** periodicity

- Preliminary studies (SL-NPH)

- Observable if $\frac{\beta H}{M} \sim 1\%$.

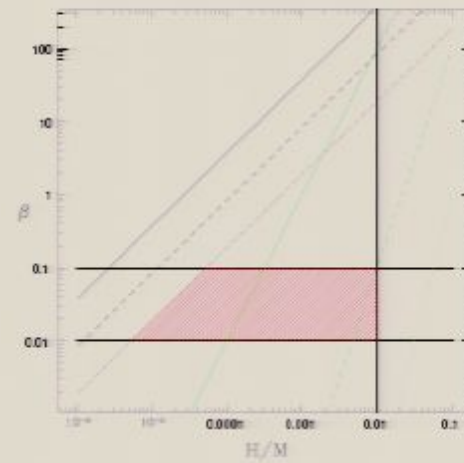
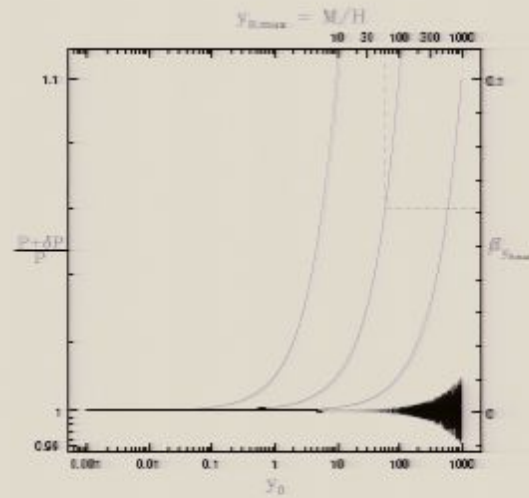
Signature of SL-NPH corrections



A. The modified perturbation spectrum $P(\vec{k})$ (for a power-law inflationary model) as a function of the momentum for a nearly “scale invariant” change in the initial conditions compared to Bunch-Davies.

B. The percentage change in the observed spherical harmonic coefficients C_ℓ , $P(|\vec{k}|, \theta, \phi) = \sum_{\ell, m} C_\ell(|\vec{k}|) Y_m^\ell(\theta, \phi)$ for a canonical cosmological constant cold dark matter model. (Source Easter et.al. hep-th/0110226)

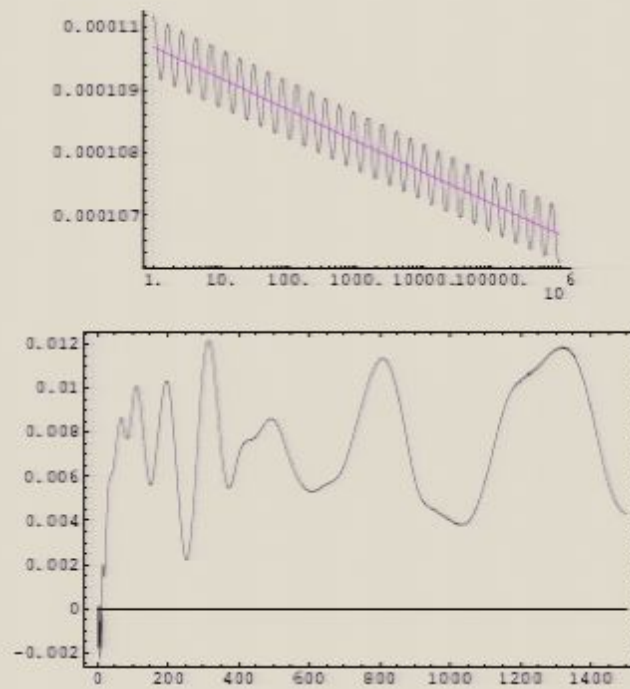
Signature of BEFT corrections



A. Generic change in the power spectrum from initial state effects as deduced with boundary EFT.

B. A refined estimate of the sensitivity of the CMB to new physics.

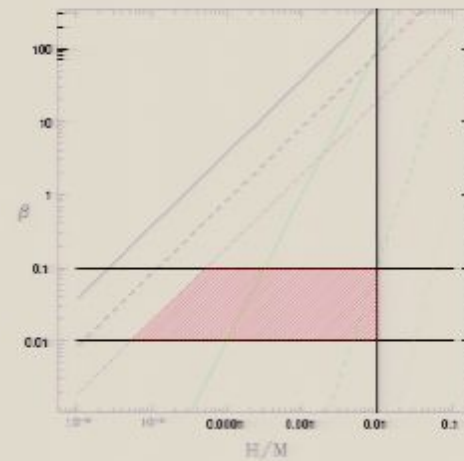
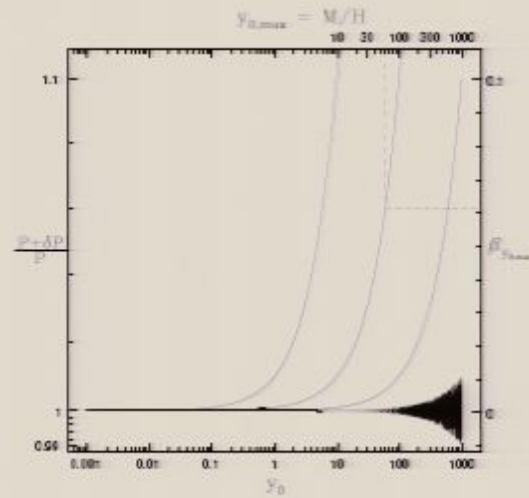
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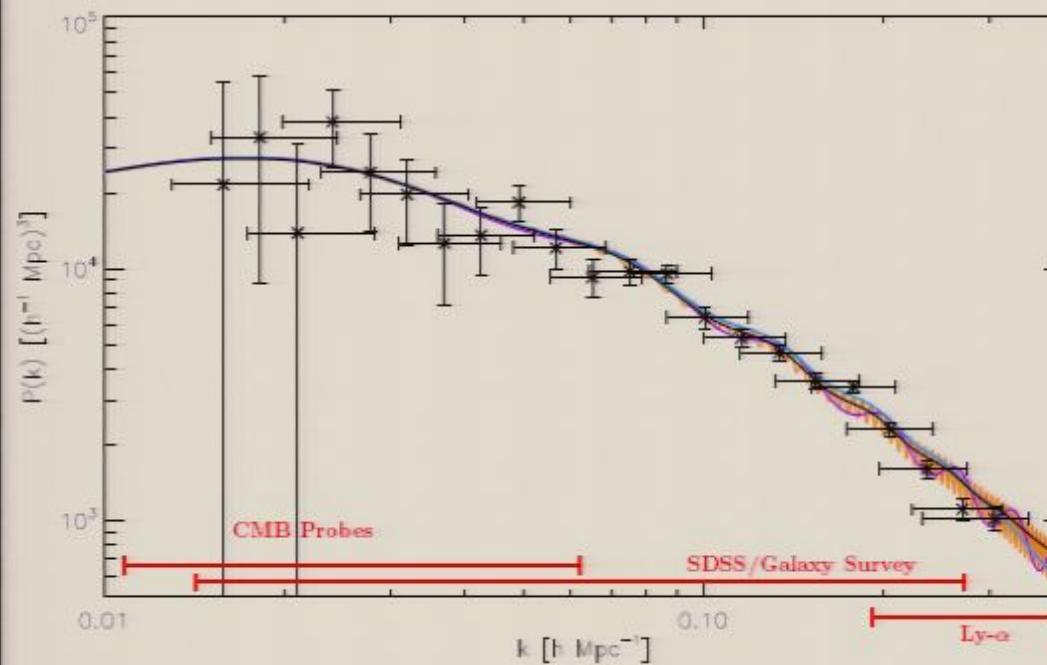
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Linear matter power spectrum II



BEFT corrections to linear matter power spectrum
(source: Easter, Kinney, Peiris)

- Growth of BEFT corrections with \vec{k} :
 - suggests LSS- Ly α searches
- \Leftrightarrow Absence in Data:
 - suggests irrelevance of BEFT to observed cosmology.
- **NOTE:** Early times \Leftrightarrow Large Scales
 Late times \Leftrightarrow Small Scales
 (Counterintuitive to effective field theory expectations)

Summary: Quantum Field theory in curved spacetimes

- Are quantum gravity contributions decipherable in cosmological data?
- Initial states in Effective Field Theory
 - Phenomenological SL-NPH approach
 - Intuitively sensible; lacks interpretation/consistency
 - Indicates moderately large H/M corrections for *any* model
 - Theoretically controlled boundary action formalism
 - but, growth with $\vec{k} \Rightarrow$ LSS data suggests irrelevant
 - Best of Both “Universes” approach?
 - Cosmological Effective Field theory (in progress)
- Application to Cosmology
 - Parametrize the cosmological vacuum ambiguity
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Summary: New Physics in Cosmology:

- Are quantum gravity contributions decipherable in cosmological data?
- Measured (indirectly)

- Spatial curvature fluctuations

$$P_{\mathcal{R}} = \frac{P}{M_p^2 \epsilon}$$

$$\stackrel{BD}{\Rightarrow} \frac{H^2}{M_p^2 \epsilon} \quad \left[\sim 10^{-10} \quad \begin{array}{l} \text{COBE} \\ \text{WMAP} \end{array} \right]$$

- Primordial Gravitational Waves

$$P_T = \frac{P}{M_p^2}$$

$$\stackrel{BD}{\Rightarrow} \frac{H^2}{M_p^2}$$

- measures $\frac{H}{M_p}$ directly! [not yet observed]

If $H/M \simeq 1\% \Leftrightarrow$ primordial gravity waves observed, there is good reason to search for effects of new physics in the data

ON THE IR STRUCTURE OF GRAVITY
AND
HOLOGRAPHY

Koenraad Schalm

with

Justin Khoury

hep-th/0605080

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Gravity in the IR

- Standard GR \Leftrightarrow W/O Dark Energy
Dark Matter

$$1 \text{ mm} < r < \text{Galactic scale} \sim 10^{22} \text{ m}$$

- MODIFY GRAVITY IN THE IR??

- MOND [Milgrom, Bekenstein, ...]

$$\mu\left(\frac{a}{a_0}\right)a = \nabla\Phi_N \qquad \begin{array}{ll} \mu(x) \rightarrow 1 & x \gg 1 \\ \mu(x) \rightarrow 0 & x \ll 1 \end{array}$$

Phenomenologically succesful (until recently...)

- DGP [Dvali, Gabadadze, Porrati; Vainsthein]

$$S = M_5^3 \int R^{(5)} + M_4^2 \int R^{(4)}$$

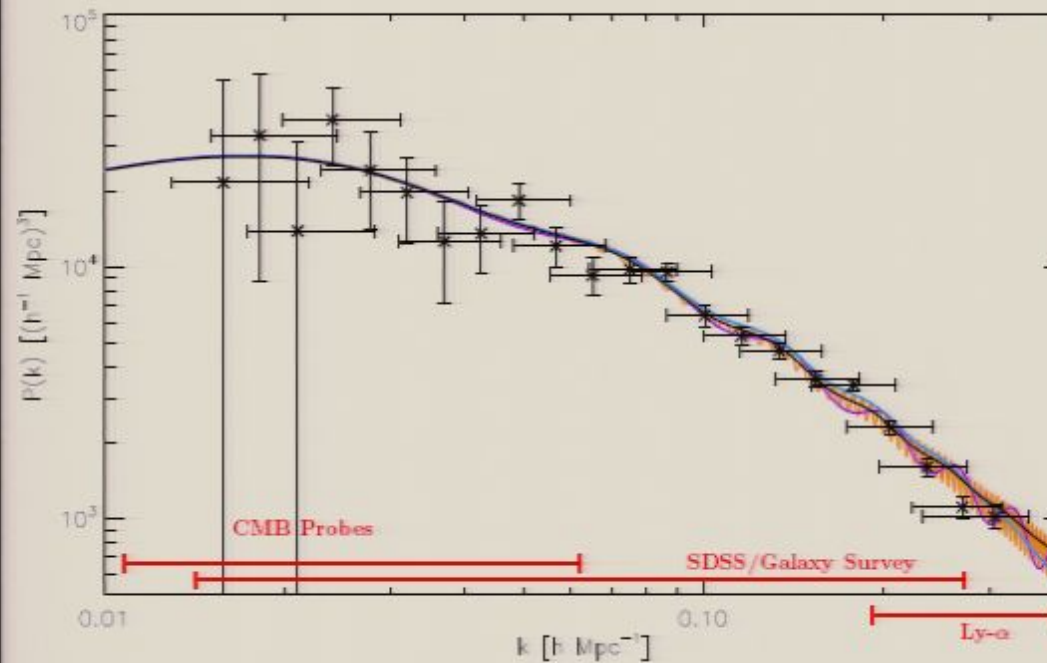
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Gravity and Effective Actions Revisited

- Physics: What is the relevant scale?

- Decoupling
- Effective action

⇒ Theory vs. Measurement ⇐

- Gravity: [Cosmology]

Λ CDM Concordance Model

$$1 \text{ mm} < r < \Lambda_{\text{today}}$$

Two “obscure” ingredients:

- Dark Energy $(\rho = -p) \sim 70\%$
- Dark Matter $(p = 0) \sim 25\%$

- Evidence:
 - Cosmic Expansion (CMB/LSS)
 - Gravitational Lensing
 - (DE) Supernova Standard Candles
 - (DM) Galactic rotation Curves

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- ...

An UV effective action?

- Different IR extensions

⇒ GR for scales $r < \text{Galactic}$

$$\text{GR} + \frac{\Lambda_{IR}}{r} + \frac{\Lambda_{IR}^2}{r^2} + \dots$$

- Lift to an action?

- Λ_{IR} : IR Cut-off/IR scale

$$\begin{aligned}\Lambda_{IR} &\sim H_{\text{today}}? && \text{Asymptopia} \\ &\sim \text{Horizon} && \text{Observer dependent}\end{aligned}$$

- Physics independent of Λ_{IR}

An IR RG?

- Connections with Holography?

- IR Cutoff = Boundary? (Just one type IR regulator)

- $\Lambda = 0?$ $\Lambda > 0?$

Why an UV-effective action could exist

• Wilsonian RG a la Polchinski

- Pick an arbitrary separation scale Λ ;
Demand physics does not depend on the cutoff

$$\frac{d}{d\Lambda} Z = 0$$

In terms of the effective action:

$$\frac{\partial S^{eff}}{\partial \Lambda} = \frac{1}{2} \frac{\partial S^{eff}}{\partial \phi} \frac{\partial G}{\partial \Lambda} \frac{\partial S^{eff}}{\partial \phi} - \frac{\partial S^{eff}}{\partial \phi} \frac{\partial \ln G}{\partial \Lambda} \phi$$

- ϕ can be either UV or IR excitation

$$G \sim \frac{1}{\square} \mathcal{F}(\square/\Lambda) \rightarrow 0 \quad \begin{cases} \square \gg \Lambda, & \phi_{IR} \\ \square \ll \Lambda, & \phi_{UV} \end{cases}$$

- Generates all relevant and irrelevant operators plus RG-flow (classical/quantum)
 - UV: irrelevant $\dots \rightarrow$ non-local?
 - IR: irrelevant \leftrightarrow higher derivative
- Example: $\lambda \phi^4$ (isn't gravity special?)

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Consistency of UV-effective actions

- IR-effective action

$$S^{eff} = S + \frac{\Box}{\Lambda^2} + \left(\frac{\Box}{\Lambda^2} \right)^2 + \dots$$

Extra terms: irrelevant, non-renorm, higher-derivative operators

- UV-effective action

$$?? \quad S^{eff} = S + \frac{\Lambda^2}{\Box} + \left(\frac{\Lambda^2}{\Box} \right)^2 + \dots \quad ??$$

Extra terms: NON-LOCAL?

⇒ Correlated Problem: Sources and Sinks

- IR-Effective action: $J_{UV} = 0 \rightarrow$ Energy conservation

- UV-Effective action: **SOFT EMISSION?**

⇒ Possible solution: **smeared wavefunctions**

IR-effective action:

Lattice/graining in
 x -space

UV-effective action:

coherent sum $0 < p < \Lambda$
emissions

discretize x

discretize p

(\sim finite volume
IR-cutoff)

ERG for (pure) gravity

- GR-ERG equation:

$$\frac{\partial S^{eff}}{\partial \Lambda} = \frac{\partial S^{eff}}{\partial g^{\mu\nu}} \frac{\partial G^{\mu\nu\rho\sigma}}{\partial \Lambda} \frac{\partial S^{eff}}{\partial g^{\rho\sigma}} + \frac{\partial S^{eff}}{\partial g^{\mu\nu}} \frac{\partial \ln G^{\mu\nu\rho\sigma}}{\partial \Lambda} g_{\rho\sigma}$$

Last term renormalizes G_N/M_{pl} .

- Let $G^{\mu\nu\rho\sigma} = \tilde{G}^{\mu\nu\rho\sigma} \mathcal{F}\left(\frac{\square}{\Lambda}\right)$

with

$$\begin{cases} \mathcal{F}(x) \rightarrow 0 & \text{as } x \rightarrow 0 \\ \mathcal{F}(x) \rightarrow 1 & \text{as } x \rightarrow \infty \end{cases}$$

- Solution: ($\mathcal{F} \ll 1$)

$$S = \int \sqrt{g} \left(R + G^{\mu\nu} \frac{1 - \mathcal{F}}{\square} R_{\mu\nu} + \dots \right)$$

- Leading irrelevant operator [see Barvinsky]
- Theory is still pure GR!

Leading order correction of Einstein-Hilbert

- Naive expectation: (NOT REALIZED)

$$S = \int \sqrt{g} \left(R + \alpha R \frac{1}{\square} R + \gamma R^{\mu\nu} \frac{1}{\square} R_{\mu\nu} + \epsilon R^{\mu\nu\rho\sigma} \frac{1}{\square} R_{\mu\nu\rho\sigma} + \dots \right)$$

- vDVZ discontinuity: $\alpha = -\gamma/2$; $\epsilon = 0$ [Barvinsky]
- beyond symmetry

- Integrated full UV effective action to order R^2

$$S = \int \sqrt{g} \left(R + G^{\mu\nu} \frac{\mathcal{F}^{-1} - 1}{\square} R_{\mu\nu} + \dots \right)$$

- \Rightarrow EOM

$$\mathcal{F}^{-1} G_{\mu\nu} = T_{\mu\nu}$$

Filter function: Λ does not gravitate [Arkani-Hamed, Dimopoulos, Dvali, Gabadadze; Barvinsky]

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Universality of the UV-effective action

- Different IR extensions (consistent ...)

⇒ different coefficients of irrelevant operators

$$S^{irr} = \int \sqrt{g} \left(\alpha R \frac{\mathcal{F}}{\square} + \gamma R^{\mu\nu} \frac{\mathcal{F}}{\square} R_{\mu\nu} + \epsilon R^{\mu\nu\rho\sigma} \frac{\mathcal{F}}{\square} R_{\mu\nu\rho\sigma} + \dots \right)$$

- DGP

$$\frac{4D}{r} < \frac{M_4}{M_5^2} < \frac{4D+\text{scalar}}{r} < \frac{M_4^2}{M_5^3} < \frac{5D}{r}$$

- (in progress) ($\alpha = -3/2$; $\gamma = 1$; $\epsilon = 0$)

- vDVZ !

- Analytic?

$$\mathcal{F} = \frac{1}{r_c \sqrt{\square}} \quad (?)$$

- MOND

Scalar+Vector+Gravity Cf. Brans-Dicke-like

$$S = \int (1 + \phi) R + \frac{1}{2} \phi \square \phi$$

- Higgsed Gravity

Cf. K-inflation

$$S = \int \sqrt{g} R + P((\partial\phi)^2)$$

Cosmological solution

- IR-Cutoff: screening of Λ_{CC}
- BUT: effective action \Leftrightarrow physics “same”
- Self-gravitating solutions: (Brans-Dicke)
 - DGP (Exact) [See, however, Charmousis, Gregory, Kaloper, Padilla]

$$H^2 - \frac{H}{r_c} = \frac{8\pi G}{3}\rho$$

$r_c \sim H_{today}$

(Generic?), (Dimensional analysis)

- Quantitative agreement???

Observational constraints

- Constraints on α, γ, ϵ .
 - for DGP [Maartens, Maggiore; Ishak, Spergel, Upadhye; Sanichi, Carroll]

•
•
•

(in progress)

Open questions

- Is a UV-effective action truly consistent?

Locality?

Universality?

- (Non)-uniqueness of IR-completions of Gravity
- Connection with Holography

AdS-CFT: boundary IR cutoff \simeq Gauge theory UV cutoff

- independence of the choice of cutoff function?
- non-aAds spaces
- Horizon physics?
- ...

- Unifies open ideas in universal physical concept

- MOND-like and/or IR modifications
- Filters CC
- Barvinsky's action explained

Conclusions

- Consistent effective action framework is still lacking
 - Evidence that both a IR and a UV-effective action should exist.
 - As data improves, these effective actions will be necessary

$$S = \int \mathcal{D}\varphi e^{i \int d^4x \varphi F(\Box) \varphi}$$



$$S = \frac{1}{2} \int \varphi F(\Box) \varphi$$

$$\int \mathcal{D}\varphi e$$

$$\Box \varphi \leq 0$$

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