

Title: Initial conditions in the presence of a UV cutoff: modified mode equations in inflation

Date: Sep 06, 2006 04:00 PM

URL: <http://pirsa.org/06090027>

Abstract:

Initial conditions in the presence of a UV cutoff:

Modified mode equations in inflation

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Waterloo, September 5-8, 2006



A model for short distance physics

At the Planck scale, our notion of “distance” itself loses meaning: A photon with wavelength $\lambda = l_{Pl}$ inflicts too much curvature on spacetime to resolve structure of that size.

How can this cutoff be incorporated into quantum gravity?

- Allow QG corrections to the uncertainty relation:

$$\Delta x \Delta p \geq \frac{1}{2} \left(1 + \beta (\Delta p)^2 + \dots \right)$$

where $\sqrt{\beta} = \Delta x_{min} = l_{Pl}$

- Corrections caused by QG modified commutation relations:

$$[x, p] = i (1 + \beta p^2 + \dots)$$

To observe these effects, we need a Planck scale microscope.

Inflation as a Planck scale microscope

According to inflation, structures $\sim l_{Pl}$ in the early Universe are blown up to cosmological size today. Inflation also provides us with tools for calculating the CMB spectrum. **How?**

$$S = S_0 + \delta_2 S_{gr} + \delta_2 S_m$$

	Metric	Matter
background + perturbations	$ds^2 = (g_{\mu\nu}^0 + \delta g_{\mu\nu}) dx^\mu dx^\nu$	$\phi(x, t) = \phi_0(t) + \delta\phi(x, t)$

- Describe **scalar** perturbations by φ

- Use perturbed Einstein equations:

$$\delta G^\mu{}_\nu(g^i) = 8\pi G \delta T^\mu{}_\nu(g^i)$$

- Construct g.-i. variable **intrinsic curvature**:

$$\mathcal{R} = -\frac{a'}{a} \frac{\delta\phi}{\phi_0} - \varphi$$

Inflation as a Planck scale microscope

Re-write the perturbed action in terms of **intrinsic curvature**:

$$\delta_2 S = \frac{1}{2} \int d^4 x z^2 (\mathcal{R}'^2 - \delta^{ij} \partial_i \mathcal{R} \partial_j \mathcal{R})$$

with $z = a\phi'_0/H$

Standard quantization procedure:

- Define canonically conjugate momentum
- Impose canonical commutation relations
- Use plane wave expansion
- Resulting mode equation:

$$\mathcal{R}''_{\mathbf{k}} + 2 \frac{z'}{z} \mathcal{R}'_{\mathbf{k}} + k^2 \mathcal{R}_{\mathbf{k}} = 0$$

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Inflation as a Planck scale microscope

The same action also describes **tensor perturbations**:

$$\delta_2 S = \frac{1}{2} \int d^4x a^2 (\Phi'^2 - \delta^{ij} \partial_i \Phi \partial_j \Phi)$$

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with $z \rightarrow a$

Modified quantization procedure to introduce the cutoff Δx_{min} :

- **Go to proper coordinates**
- Define canonically conjugate momentum
- Impose **modified** commutation relations
- Use plane wave expansion
- Resulting mode equation ?

Mode creation at the cutoff

The effect of the cutoff is twofold:

- Each mode is “created” with a minimum wavelength $\lambda = \Delta x_{min}$.

without cutoff

$$\delta_2 S = \frac{1}{2} \int d^4x a^2 (\Phi'^2 - \delta^{ij} \partial_i \Phi \partial_j \Phi)$$

with cutoff

$$\delta_2 S = \frac{1}{2} \int d\eta \int_{\tilde{k}^2 < \frac{a^2}{e\beta}} d^3 \tilde{k} \mathcal{L}$$

with \mathcal{L} a complicated Lagrangian

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In other words:

$$H = \int_{\tilde{k}^2 < \frac{a^2}{e\beta}} d^3 \tilde{k} (\pi_{\tilde{k}}(\eta) \phi_{\tilde{k}}(\eta) - \mathcal{L})$$

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- The modes obey a modified equation of motion.

without cutoff

$$\Phi_k'' + 2 \frac{a'}{a} \Phi_k' + k^2 \Phi_k = 0$$

with cutoff

$$\phi_{\tilde{k}}'' + \frac{\nu'}{\nu} \phi_{\tilde{k}}' + \left[\mu - 3 \left(\frac{a'}{a} \right)' - 9 \left(\frac{a'}{a} \right)^2 - 3 \frac{a' \nu'}{a \nu} \right] \phi_{\tilde{k}} = 0$$

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$$\delta_2 S = \frac{1}{2} \int d\eta \int_{\tilde{k}^2 < \frac{a^2}{e\beta}} d^3\tilde{k} \mathcal{L}$$

with \mathcal{L} a complicated Lagrangian

creation time $\eta_c(\tilde{k})$

- The modes obey a modified equation of motion.

without cutoff

$$\Phi_k'' + 2 \frac{a'}{a} \Phi_k' + k^2 \Phi_k = 0$$

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$$\phi_{\tilde{k}}'' + \frac{\nu'}{\nu} \phi_{\tilde{k}}' + \left[\mu - 3 \left(\frac{a'}{a} \right)' - 9 \left(\frac{a'}{a} \right)^2 - 3 \frac{a' \nu'}{a \nu} \right] \phi_{\tilde{k}} = 0$$

modified e of m

Modified mode equation

After its creation at $\eta_c(\tilde{k})$, a mode obeys the equation

$$\phi_{\tilde{k}}'' + \frac{\nu'}{\nu} \phi_{\tilde{k}}' + \left[\mu - 3 \left(\frac{a'}{a} \right)' - 9 \left(\frac{a'}{a} \right)^2 - 3 \frac{a' \nu'}{a \nu} \right] \phi_{\tilde{k}} = 0$$

with the functions μ and ν given by:

$$\mu(\eta, \tilde{k}) = - \frac{a^2 \text{plog}(-\beta \tilde{k}^2 / a^2)}{\beta \left(1 + \text{plog}(-\beta \tilde{k}^2 / a^2) \right)^2}$$

$$\nu(\eta, \tilde{k}) = - \frac{\exp\left(-\frac{3}{2} \text{plog}(-\beta \tilde{k}^2 / a^2)\right)}{a^4 \left(1 + \text{plog}(-\beta \tilde{k}^2 / a^2) \right)}$$

The plog-function is the inverse of the function $x \rightarrow x \cdot e^x$.

Therefore $\text{plog}\left(-\frac{1}{e}\right) = -1$.

Modified mode equation

... and why it is difficult to solve

After its creation at $\eta_c(\tilde{k})$, a mode obeys the equation

$$\phi_{\tilde{k}}'' + \frac{\nu'}{\nu} \phi_{\tilde{k}}' + \left[\mu - 3 \left(\frac{a'}{a} \right)' - 9 \left(\frac{a'}{a} \right)^2 - 3 \frac{a' \nu'}{a \nu} \right] \phi_{\tilde{k}} = 0.$$

For each mode, we have: $\text{plog} \left(-\frac{\beta \tilde{k}^2}{a^2(\eta_c)} \right) = -1$

Recall:

$$\mu(\eta, \tilde{k}) \propto -\frac{1}{(1 + \text{plog}(-\beta \tilde{k}^2 / a^2))^2}$$

$$\nu(\eta, \tilde{k}) \propto -\frac{1}{(1 + \text{plog}(-\beta \tilde{k}^2 / a^2))}$$

The coefficients in the e of m have an irregular singular point at creation time!

Modified mode equation

... and approaches to solving it

After its creation at $\eta_c(\tilde{k})$, a mode obeys the equation

$$\phi_{\tilde{k}}'' + \frac{\nu'}{\nu} \phi_{\tilde{k}}' + \left[\mu - 3 \left(\frac{a'}{a} \right)' - 9 \left(\frac{a'}{a} \right)^2 - 3 \frac{a' \nu'}{a \nu} \right] \phi_{\tilde{k}} = 0.$$

The coefficients in the e of m have an irregular singular point at creation time. **How can we deal with it?**

- **Extract dominant behaviour close to $\eta_c(\tilde{k})$**
using series expansions of the coefficients
Approach pursued by Easter et al. (2001) – see later
- **Turn $\eta_c(\tilde{k})$ into a regular singular point**
using a variable transformation

Modified mode equation

... and how to solve it **exactly**

After its creation at $\eta_c(\tilde{k})$, a mode obeys the equation

$$\phi_{\tilde{k}}'' + \frac{\nu'}{\nu} \phi_{\tilde{k}}' + \left[\mu - 3 \left(\frac{a'}{a} \right)' - 9 \left(\frac{a'}{a} \right)^2 - 3 \frac{a' \nu'}{a \nu} \right] \phi_{\tilde{k}} = 0.$$

Recall:

$$\mu(\eta, \tilde{k}) \propto -\frac{1}{(1 + \text{plog}(-\beta \tilde{k}^2 / a^2))^2}$$

$$\nu(\eta, \tilde{k}) \propto -\frac{1}{(1 + \text{plog}(-\beta \tilde{k}^2 / a^2))}$$

We need a variable transformation to turn $\eta_c(\tilde{k})$ into a regular singular point.

$$\tau = \text{plog}(-\beta \tilde{k}^2 / a^2)$$

Creation time then corresponds to $\tau_c = -1$.

Re-write μ, ν as functions of τ and translate derivatives $\frac{\partial}{\partial \eta} \rightarrow \frac{\partial}{\partial \tau} \dots$

Modified mode equation

... and how to solve it **exactly**

After the variable transformation $\eta \rightarrow \tau$, the mode equation reads

$$\phi_{\vec{k}}'' + \left(\frac{\nu'}{\nu} + \frac{\left(\frac{\partial}{\partial \tau} \frac{\partial \tau}{\partial \eta} \right)}{\frac{\partial \tau}{\partial \eta}} \right) \phi_{\vec{k}}' + \left[\frac{\mu}{\left(\frac{\partial \tau}{\partial \eta} \right)^2} - 3 \left(\frac{a'}{a} \right)' - 9 \left(\frac{a'}{a} \right)^2 - 3 \frac{a' \nu'}{a \nu} - 3 \left(\frac{a'}{a} \right) \frac{\left(\frac{\partial}{\partial \tau} \frac{\partial \tau}{\partial \eta} \right)}{\frac{\partial \tau}{\partial \eta}} \right] \phi_{\vec{k}} = 0.$$

- The coefficients of the transformed e of m are regular singular.
- At a regular singular point, the **Frobenius method** can be applied.
- It allows to find two solutions in the form of **power series in** $(\tau - \tau_c)$.

In the following, we will focus on the de Sitter case $a = -\frac{1}{H\eta}$.

The same procedure is possible for a general power-law background.

Exact solution

After the variable transformation $\eta \rightarrow \tau$, the mode equation reads

$$\phi_{\tilde{k}}'' + \left(\frac{\nu'}{\nu} + \frac{\left(\frac{\partial}{\partial \tau} \frac{\partial \tau}{\partial \eta} \right)}{\frac{\partial \tau}{\partial \eta}} \right) \phi_{\tilde{k}}' + \left[\frac{\mu}{\left(\frac{\partial \tau}{\partial \eta} \right)^2} - 3 \left(\frac{a'}{a} \right)' - 9 \left(\frac{a'}{a} \right)^2 - 3 \frac{a' \nu'}{a \nu} - 3 \left(\frac{a'}{a} \right) \frac{\left(\frac{\partial}{\partial \tau} \frac{\partial \tau}{\partial \eta} \right)}{\frac{\partial \tau}{\partial \eta}} \right] \phi_{\tilde{k}} = 0.$$

Here, the Frobenius method gives:

$$\phi_{\tilde{k},1}(\tau) = \sum_{n=0}^{\infty} a_n (\tau - \tau_c)^{n+3}$$

$$\phi_{\tilde{k},2}(\tau) = \mathcal{A} \cdot \ln(\tau - \tau_c) \cdot \phi_{\tilde{k},1}(\tau) + \sum_{n=0}^{\infty} c_n (\tau - \tau_c)^n$$

General solution:

$$\phi_{\tilde{k}}(\tau) = \mathcal{C}_1 \cdot \phi_{\tilde{k},1}(\tau) + \mathcal{C}_2 \cdot \phi_{\tilde{k},2}(\tau)$$

The choice of \mathcal{C}_1 and \mathcal{C}_2 selects a vacuum state.

Exact solution

... and why it is important

After the variable transformation $\eta \rightarrow \tau$, the mode equation reads

$$\phi_{\tilde{k}}'' + \left(\frac{\nu'}{\nu} + \frac{\left(\frac{\partial}{\partial \tau} \frac{\partial \tau}{\partial \eta} \right)}{\frac{\partial \tau}{\partial \eta}} \right) \phi_{\tilde{k}}' + \left[\frac{\mu}{\left(\frac{\partial \tau}{\partial \eta} \right)^2} - 3 \left(\frac{a'}{a} \right)' - 9 \left(\frac{a'}{a} \right)^2 - 3 \frac{a' \nu'}{a \nu} - 3 \left(\frac{a'}{a} \right) \frac{\left(\frac{\partial}{\partial \tau} \frac{\partial \tau}{\partial \eta} \right)}{\frac{\partial \tau}{\partial \eta}} \right] \phi_{\tilde{k}} = 0.$$

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Why bother with a complicated exact solution?

- Effectively, any impact of trans-Planckian physics corresponds to an unusual choice of initial conditions for the usual mode equation (Starobinsky).
- But only the exact solution to the modified mode equation allows to identify these initial conditions on physical grounds.

Exact solution

... and how to formulate initial conditions

We have found an exact solution to the modified mode equation:

$$\phi_{\tilde{k}}(\tau) = \mathcal{C}_1 \cdot \phi_{\tilde{k},1}(\tau) + \mathcal{C}_2 \cdot \phi_{\tilde{k},2}(\tau)$$

where

$$\phi_{\tilde{k},1}(\tau) = \sum_{n=0}^{\infty} a_n (\tau - \tau_c)^{n+3}$$

$$\phi_{\tilde{k},2}(\tau) = \mathcal{A} \cdot \ln(\tau - \tau_c) \cdot \phi_{\tilde{k},1}(\tau) + \sum_{n=0}^{\infty} c_n (\tau - \tau_c)^n$$

To fix \mathcal{C}_1 and \mathcal{C}_2 , choose initial conditions at creation time τ_c .

Expectation:

$$\begin{array}{l} \phi_{\tilde{k}}(\tau = \tau_c) \\ \phi'_{\tilde{k}}(\tau = \tau_c) \end{array} \quad \text{allow to determine } \mathcal{C}_1, \mathcal{C}_2$$

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To fix \mathcal{C}_1 and \mathcal{C}_2 , choose initial conditions at creation time τ_c .

But here:

$$\phi_{\tilde{k}}(\tau = \tau_c) \propto \mathcal{C}_2 \quad \text{because } \phi_{\tilde{k},1}(\tau = \tau_c) = 0$$

$$\phi'_{\tilde{k}}(\tau = \tau_c) \equiv 0 \quad \text{because } \phi'_{\tilde{k},1}(\tau = \tau_c) = 0 \text{ and } c_1 \equiv 0$$

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To fix \mathcal{C}_1 and \mathcal{C}_2 , choose initial conditions at creation time τ_c .

But here: \mathcal{C}_1 still unknown!

$$\phi_{\tilde{k}}(\tau = \tau_c) \propto \mathcal{C}_2 \quad \text{because } \phi_{\tilde{k},1}(\tau = \tau_c) = 0$$

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To fix \mathcal{C}_1 and \mathcal{C}_2 , choose initial conditions at creation time τ_c .

Try higher derivatives:

$$\phi_{\tilde{k}}''(\tau = \tau_c) \propto \mathcal{C}_2 \quad \text{because } \phi_{\tilde{k},1}''(\tau = \tau_c) = 0$$

$$\phi_{\tilde{k}}'''(\tau = \tau_c) \text{ diverges!} \quad \text{because of } \ln(\tau - \tau_c)$$

Encoding of initial conditions

We have found an exact solution to the modified mode equation:

$$\phi_{\vec{k}}^-(\tau) = \mathcal{C}_1 \cdot \phi_{\vec{k},1}^-(\tau) + \mathcal{C}_2 \cdot \phi_{\vec{k},2}^-(\tau)$$

	no. parameters
$\mathcal{C}_1, \mathcal{C}_2$ are complex	4
$\phi_{\vec{k}}^-(\tau = \tau_c) \propto \mathcal{C}_2$	-2
Wronskian condition	-1
no. parameters left to fix	1

We can encode this missing parameter into a phase condition, i.e.:

$$\Im(\phi_{\vec{k}}^-(\tau = \tau_{aux})) \equiv 0$$

Which criteria can we use to identify a vacuum state?

Vacuum identification

Recall:

	no. parameters
$\mathcal{C}_1, \mathcal{C}_2$ are complex	4
$\phi_{\vec{k}}(\tau = \tau_c) \propto \mathcal{C}_2$	-2
Wronskian condition	-1
Phase condition	-1
Solution completely fixed!	0

How to choose a vacuum state?

Two approaches:

- Bogolyubov transformations to other solution sets which suggest a certain vacuum selection
- “Physical” criteria which minimize uncertainty, vacuum energy, ...

Vacuum identification

... using Bogolyubov transformations

We have studied two other sets of solutions:

- solutions to the approximate mode equation

Easther et al. (2001)

These solutions have an oscillatory part similar to the Bunch-Davies vacuum, which suggests a certain vacuum choice.

- solutions of WKB type

One can re-write the modified equation of motion without a friction term and find WKB solutions in its adiabatic regime.

The matching procedure is non-trivial.

These vacuum choices lead to almost adiabatic evolution.

Vacuum identification

... using physical criteria

We have studied three different possible criteria:

- minimum uncertainty criterion (Danielsson)
- diagonalisation of the Hamiltonian
- minimize vacuum energy

At creation time, none of this criteria allow to identify a vacuum because of divergences.

- Single out another time for their application?
- Understand divergence better?

Conclusions

- In inflationary perturbation theory, a UV cutoff Δx_{min}
 - introduces a creation time η_c for every mode and
 - changes the mode evolution equations.
- With an appropriate variable transformation $\eta \rightarrow \tau$, this equation can be solved **exactly**.
 - The solutions are polynomials in $(\tau - \tau_c)$.
 - Specifying their initial conditions is nontrivial.
- Two strategies for selecting a vacuum state can be pursued:
 - Bogolyubov transformations to other solution sets
 - applying “physical” criteria

Lessons learned:

Initial conditions here need to be “paraphrased”.

Is there a underlying principle from which to derive initial conditions?

Vacuum identification

... using physical criteria

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Initial conditions & UV cutoff

Vacuum identification

... using physical criteria

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EPSON
TaskCenter

QuickTime
Player

Maple 9

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4.12.6

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essenger 7.5

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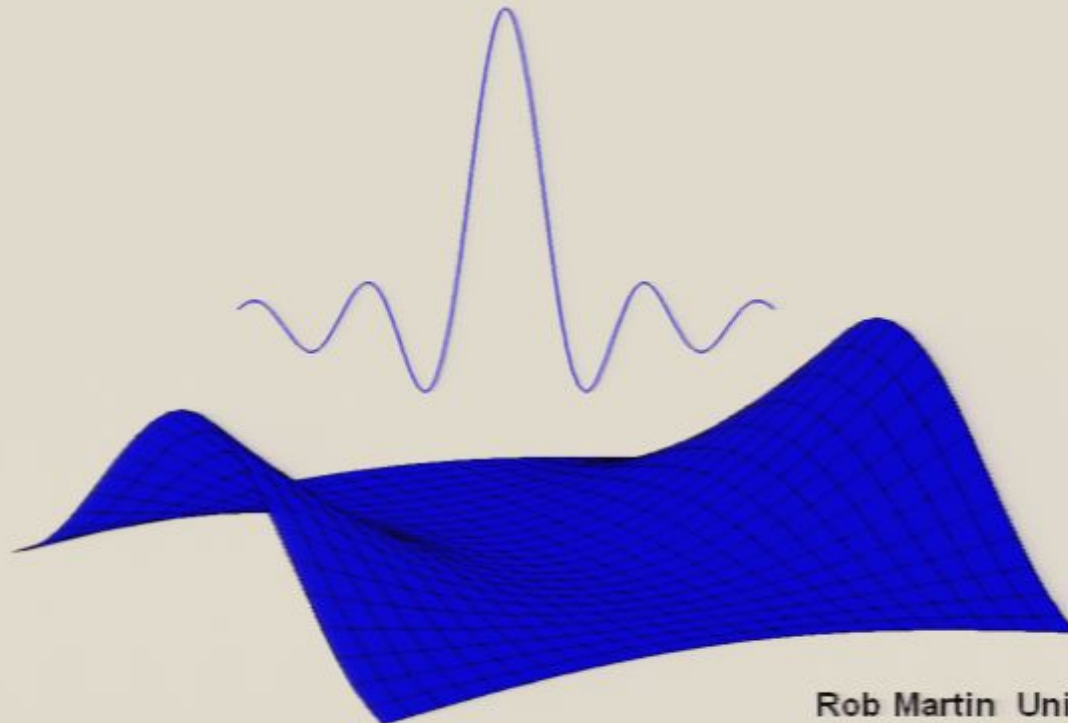
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A covariant UV cutoff: Sampling Theory on curved spacetime



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