

Title: How should any quantum measuring instrument (including a quantum computer) work?

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Abstract: We will look at the axioms of quantum mechanics as expressed, for example, in the book by M. A. Nielsen and I. L. Chung ("Quantum Computation and Quantum Information"). We then take a critical look at these axioms, raising several questions as we go. In particular, we will look at the possible informational completeness property of the family of operators that we measure. We will propose physical solutions based on the results of quantum mechanics on phase space and the measurement of quantum particles by quantum mechanical means. We illustrate this with both momentum-position measurements and spin measurements.

# How Should Any Quantum Measurement Work (Including a Quantum Computer)

Dr. Franklin E. Schroeck, Jr.  
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## Outline:

- 1) Axioms of Quantum Mechanics (from "Quantum Computation and Quantum Information" by M. A. Nielsen and I. L. Chuang).
- 2) A critical look at the axioms.
- 3) A partial solution to the questions that arose.

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- 2) A critical look at the axioms.
- 3) A partial solution to the questions that arose.

Axiom 1) The objective is to categorize a vector in a Hilbert space. Frequently we choose the Hilbert space to be  $C^2$  or  $R^n$ . The Hilbert space is of finite dimension.

Axiom 2) The evolution of a closed quantum system is described by a unitary transformation. In the case of a single qubit, it is assumed that any unitary operator can be realized in realistic systems.

Axiom 3) Quantum measurements are described by a countable collection  $\{M_m \mid \sum M_m^\dagger M_m = I\}$  of measurement operators, and

(a) the probability that  $m$  occurs in state  $\psi$  is

$$p(m) = \langle \psi \mid M_m^\dagger M_m \psi \rangle = \| M_m \psi \|^2$$

(b) the state of the system after measurement is  $\| M_m \psi \|^{-1} M_m \psi$ .

Axiom 4) A composite system of states

$$\rho_1, \rho_2, \dots, \rho_n \text{ is given by } \rho_1 \otimes \dots \otimes \rho_n$$

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On Axiom 1) Finite dim<sup>l</sup> Hilbert space?  
 $\dim(\text{spin space}) = 2$ . What about the position  $\hat{q}$  and momentum  $\hat{p}$  of the particle?

In Hilbert space  $H$ , take a countable basis, expand any wave function. Truncate,  $\gamma$  and  $\gamma$  take you out of this basis.

There is no finite basis providing a representation of the c.c.r.'s.

Question 1) What is a basis on which to choose to truncate?

On Axiom 2) The dynamics of a particle in a closed quantum system is given by a unitary operator on phase space. Given any state "localized within a certain region of phase space" it may have a slow wave packet spreading, and then we may concentrate on the spin by taking the partial trace. We may not get "any unitary operator" this way.

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On Axiom 3) You don't have von Neumann's collapse scheme; so, the  $M_m$ s are not projections. They may be positive operators.

Question 4) Given that you don't have projections in the game, are some or all of the "results" of the Stern-Gerlach experiment, or of quantum computing, or . . . valid? How will we proceed with positive operators?

On Axiom 3 (contin.) If  $M_a$  is a positive operator, then 3(a) remains the same. 3(b) is occasionally wrong. What if the experiment destroys the state, for example?

Question 5) Can we compute the "results" based on a) probabilities, b) dynamics alone?

**Definition** We will take a set of operators  $\{A_\alpha\}$  to be informationally complete if whenever  $\rho$  and  $\rho'$  are density operators, then  $\text{Tr}(\rho A_\alpha) = \text{Tr}(\rho' A_\alpha)$  for all  $\alpha$  implies  $\rho = \rho'$ .

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Question 6) What set of operators is informationally complete? Take a set with this property and then we may take a finite set of these to approximate the equality of states.

On Axiom 4) Simply taking the tensor product of states to obtain multi-particle states is contrary to entanglement. It is a certain subspace of the tensor product of the Hilbert spaces in which we work.

Question 7) Can we take entangled states and do a similar analysis in the case that the states are unentangled? (Answer - Yes.)



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 $\uparrow\uparrow \quad \downarrow\downarrow \quad \frac{1}{\sqrt{2}}(\uparrow\downarrow - \downarrow\uparrow)$



Spin x Spin entangled states  $\longleftrightarrow$

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Via Wigner, we treat quantum mechanics on phase space as coming from the Poincaré (or Galilei) group and then derive the phase spaces, irreducible representations, etc. as coming from the group itself. The following definition is one non-surprising result. See F.E. Schroeck, Jr., Quantum Mechanics on

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### Definition

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Axiom 1. Finite dim'l Hilbert Space?

Axiom 2. Any unitary operator?

Axiom 3. Measurements are by  $\{M_m \mid \sum M_m^\dagger M_m = I\}$

(a)  $p(m) = \langle \psi \mid M_m^\dagger M_m \psi \rangle = \|M_m \psi\|^2,$

(b) AFTER MEASUREMENT, STATE IS  $\frac{M_m \psi}{\|M_m \psi\|}.$

Axiom 4.  $\rho_1, \dots, \rho_n \Rightarrow \rho_1 \otimes \dots \otimes \rho_n. \leftarrow$



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**Definition (or Theorem)** *In relativistic quantum mechanics, the phase space for massive spinning particle is  $\mathbb{R}^3 \otimes \mathbb{R}^3 \otimes S(2)$ . For massive, spin zero particle  $= \mathbb{R}^3 \otimes \mathbb{R}^3$ .  $\mathbb{R}^3 \otimes \mathbb{R}^3$  denotes the momentum space times position space;  $S(2)$  is the spin space.*



Spin zero case: We may want to have particles with momentum in a box  $\Delta_1$  (say around zero) and position in a box  $\Delta_2$ . Take a particle with wave function  $\eta$  that has

$$\langle \eta, P\eta \rangle = \langle \eta, Q\eta \rangle = 0.$$

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$$\begin{aligned} & L_{\Delta_1 \times \Delta_2}^2(\psi) \\ &= \int_{\Delta_1 \times \Delta_2} |\langle U(p, q)\eta, \psi \rangle|^2 dp dq \\ &= \int_{\Delta_1 \times \Delta_2} \chi_{\Delta_1 \times \Delta_2}(p, q) |\langle U(p, q)\eta, \psi \rangle|^2 dp dq \end{aligned}$$

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By comparison, if we were to try to localize just in terms of position (or momentum), a) we would not start with informational completeness and b) we would not get any discrete spectrum at all. This phase space localization is much different.

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How far do the operators we get diverge from the measurement of unitary operators? Well, for the position and momentum, we don't get much divergence in general practically

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The spin is a different story. You can only record a spin by comparing it with a spin in a known direction. This will lead you to the transition probabilities that describe the same thing that gives you the Law of Malus ( $\sim \cos^2 \theta$ ) or its generalization to take care of leakage through crossed polarizers. Here, the importance of quantum mechanics is enormous for deviations from alignment. The result is a positive operator valued measure (POVM) rather than a projection valued measure (PVM).



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Two examples.

Stern-Gerlach device: If you take the beam of (bound) electrons as collimated (which they are), then place the Stern-Gerlach device in the beam, and then treat the beam particles as functions of momentum, position, and spin, you find that the "upper" transmitted beam has a great deal of spin "up", but not all. It may be as much as 1 in 25 spin down. (P. Busch and F. Schroeck, *Found. Phys.* 19 (1989), 807-872.) Spin is a quantity which is physically not an eigenfunction of any projection in this experiment, as you can not align the direction of measurement of the spin perfectly. We may have an eigenfunction of a positive operator with eigenvalue near but not equal to one, obtained in a manner similar to our formulation of  $A^n(\chi_{\Delta_1 \times \Delta_2})$ .

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**Definition** *A qubit is a vector in a two dimensional Hilbert space,  $H_0$ . Choose an orthonormal basis  $\{|0\rangle, |1\rangle\}$ .*

Quantum computing: A CNOT gate is supposed to take a control qubit and a target qubit, and if the control is in state  $|0\rangle$ , then the target is left alone; if the control is in state  $|1\rangle$ , the target is flipped.

This transition may be described by a unitary operator in  $H_0$ . But, having a system with only two states is just an approximation, and the unitary operator you have on that two state system doesn't come from any Hamiltonian dynamics!

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This evolution may be described by a unitary operator  $U$ . For simplicity, we consider the case where  $U$  is a single-qubit gate. The state of the system is then  $U|\psi\rangle$ , where  $|\psi\rangle$  is the initial state. The evolution is reversible, and the state is preserved.

We consider the evolution of the system over time. The state of the system is then  $U(t)|\psi\rangle$ , where  $U(t)$  is the unitary operator. The evolution is reversible, and the state is preserved.

As states evolve, they undergo wave-function spreading. This is a small spreading in terms of  $q$ , but it will change the direction of  $p$  slightly, and thus change the spin which will have a drastic effect if the angle of change is appreciable. Said another way, as the evolution takes place, the state goes from one eigenvector of localization with eigenvalue near 1 to a state that is a mixture of eigenvectors; you don't expect it to go to the orthogonal vector in  $H$ .

The transition is

$$\begin{aligned}\psi &\rightarrow A^\psi(\chi_\Delta)\psi \rightarrow U(\Delta t)A^\psi(\chi_\Delta)\psi \\ &\rightarrow A^\psi(\chi_{\Delta'})U(\Delta t)A^\psi(\chi_\Delta)\psi\end{aligned}$$

where  $U(\Delta t)$  is the unitary time evolution,  $\Delta$  is the set for localizing the particle in the full phase space before the evolution and  $\Delta'$  is for localizing after the evolution, which may be different. Then  $M_\psi = A^\psi(\chi_{\Delta'})U(\Delta t)A^\psi(\chi_\Delta)$ , which is not a unitary operator on the original Hilbert space.

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We have question 5 to contemplate. Take the Stern-Gerlach example, and consider the collimation described by  $A^n(\chi_\Delta)$ . Consider placing a hole in the screen around one of the bright spots, and place another Stern-Gerlach device in the direction of flow immediately after the hole. You have an operator  $A^n(\chi_{\Delta'})$  that describes the hole. You will get  $\psi \rightarrow A^n(\chi_{\Delta'})U(\Delta)A^n(\chi_\Delta)U(\Delta)M^n(\chi_\Delta)\psi$  to describe the process. You may then compute the probabilities independent of what we decide to do with the final electrons. After all, we only record where the electrons were at the time of impact by recording flashes of light where they were approximately.

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## Conclusion

In order to get a truly quantum mechanical theory of measurement we must look a little deeper into the theory of measurement. We may take the previous "results" as just mathematical, and not necessarily physical. For example, we take the unitary operators of time propagation and look at the resultant when we "project" by means of a positive operator. What then will be the analog of Shor's theorem, for example?