

Title: Making sense of non-Hermitian Hamiltonians

Date: Apr 13, 2006 11:00 AM

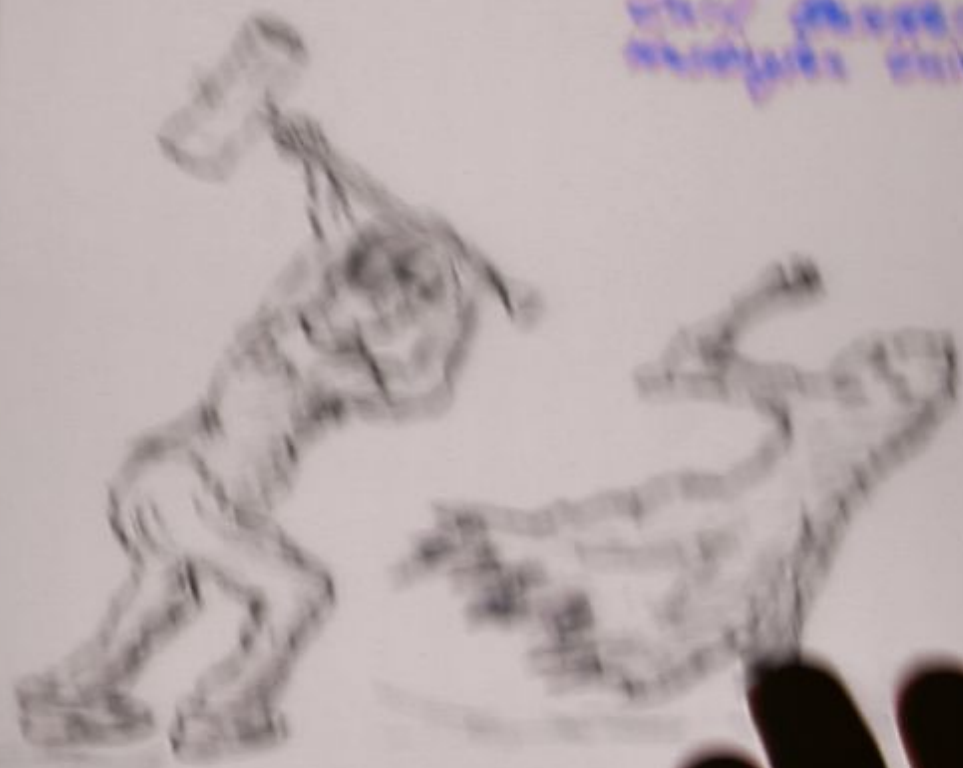
URL: <http://pirsa.org/06040016>

Abstract: It is a standard axiom of quantum mechanics that the Hamiltonian H must be Hermitian because Hermiticity guarantees that the energy spectrum is real and that time evolution is unitary. In this talk we examine an alternative formulation of quantum mechanics in which the conventional requirement of Hermiticity is replaced by the more general and physical condition of space- time reflection (PT) symmetry. We show that if the PT symmetry of H is unbroken, Then the spectrum of H is real. Examples of PT-symmetric non-Hermitian Hamiltonians are $H=p^2+ix^3$ and $H=p^2-x^4$. Amazingly, the energy levels of these Hamiltonians are all real and positive despite the "wrong" sign in the x^4 potential! We show that such PT-symmetric Hamiltonians specify physically acceptable quantum-mechanical theories in which the norms of states are positive and time evolution is unitary. To do so we demonstrate that a Hamiltonian that has an unbroken PT symmetry also possesses a new physical symmetry that we call C. Using C, we construct an inner product whose associated norm is positive definite. The result is a new class of consistent complex quantum theories. In effect, we have extended and generalized quantum mechanics into the complex domain. We then discuss PT-symmetric quantum field theories. PT-symmetric scalar field-theoretic Hamiltonians corresponding to the above quantum-mechanical Hamiltonians have interaction terms $ig\phi^3$ and $-g\phi^4$. The latter Theory is interesting because (1) it is asymptotically free and (2) the expectation value of ϕ is nonzero. (Thus, such a theory might be useful in describing the Higgs sector.) PT symmetry resolves the long-standing problem of ghosts in the Lee model. When the renormalized coupling constant in this model increases past a critical value, the Hamiltonian ceases to be Hermitian and a negative-norm ghost state appears. At this transition the Hamiltonian becomes PT-symmetric, and the ghost is a physical particle. PT-symmetric QED and the PT-symmetric massive Thirring model will also be discussed. Finally, we mention recent papers which suggest that PT-symmetry may provide insight into cosmological problems.

CHART BOOKING -

Making notes of observations
and experiences

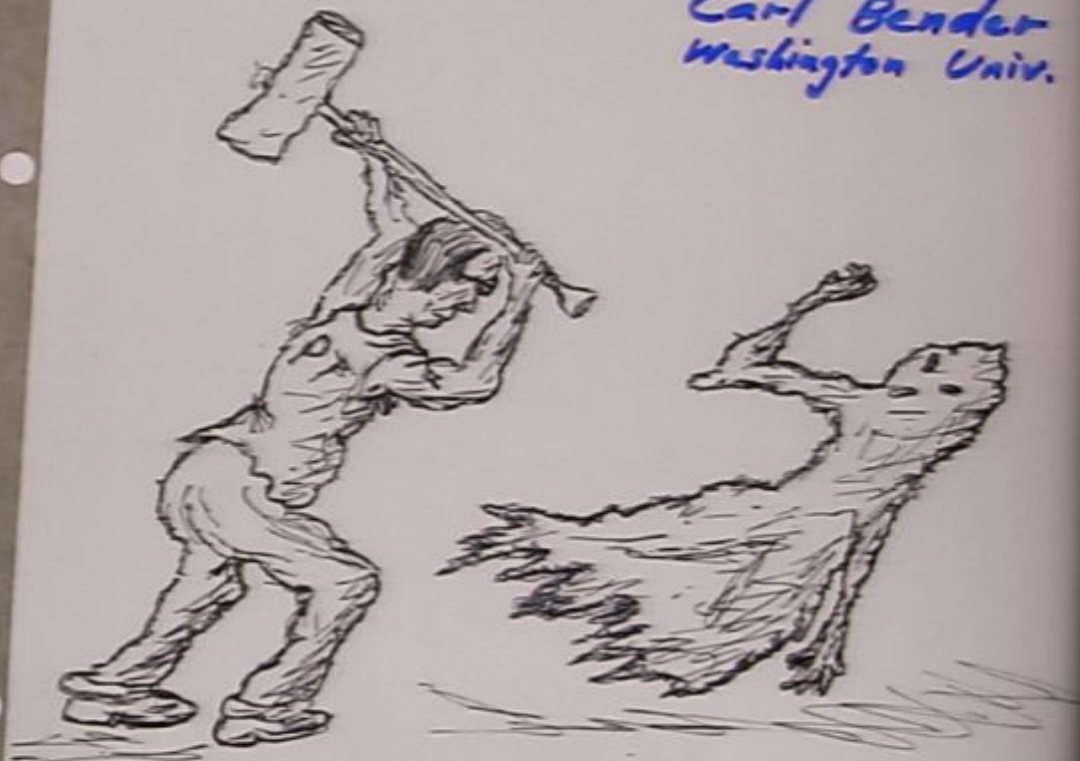
Chief Officer
Responsible



GHOST BUSTING -

Making sense of non-Hermitian
Hamiltonians

Carl Bender
Washington Univ.



Examples:

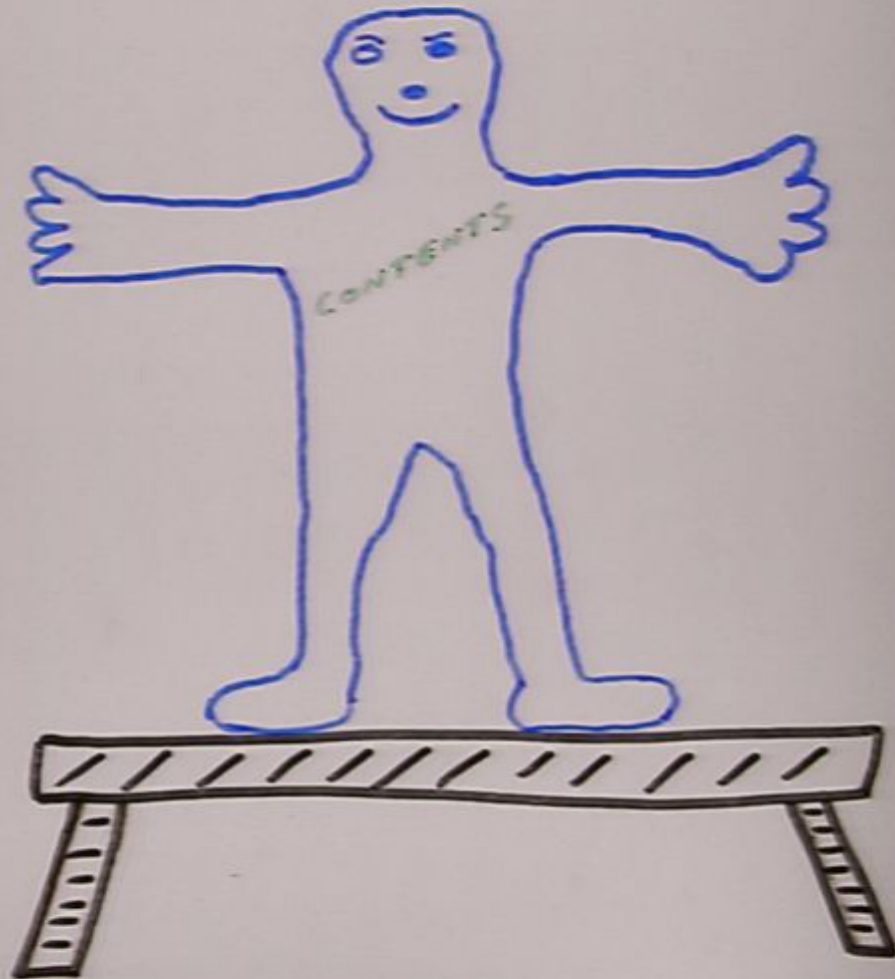
$$H = p^2 + ix^3$$

$$H = p^2 - x^4$$

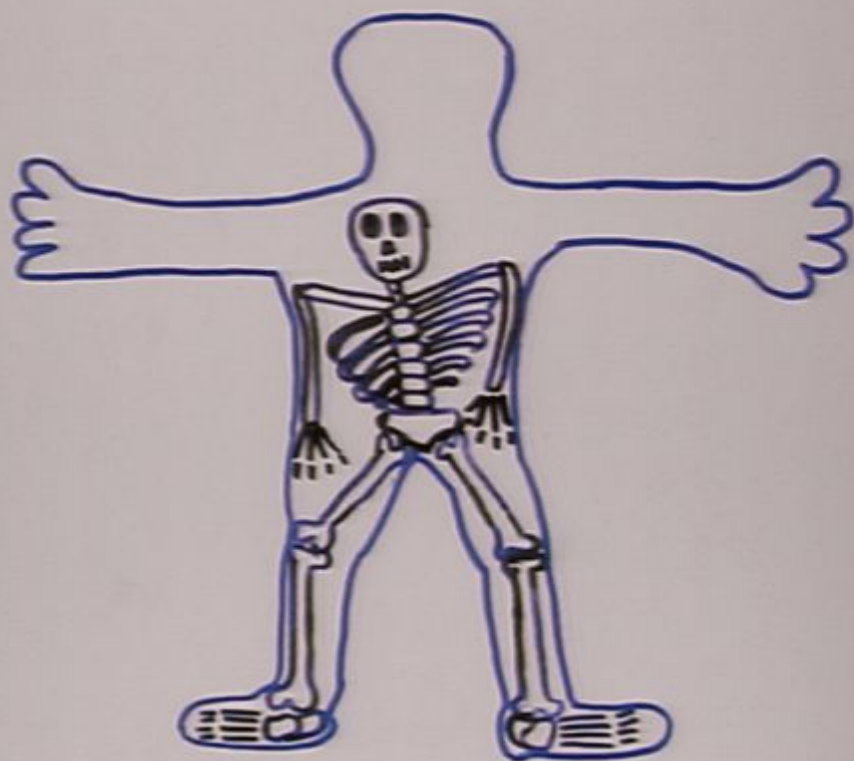
$$H = \frac{1}{2}(\vec{\nabla}\varphi)^2 + \frac{1}{2}\pi^2 + \frac{m^2}{2}\varphi^2 + ig\varphi^3$$

$$H = \frac{1}{2}(\vec{\nabla}\varphi)^2 + \frac{1}{2}\pi^2 + \frac{m^2}{2}\varphi^2 - g\varphi^4$$

TABLE OF CONTENTS



Contents



Contents may have settled during shipping.

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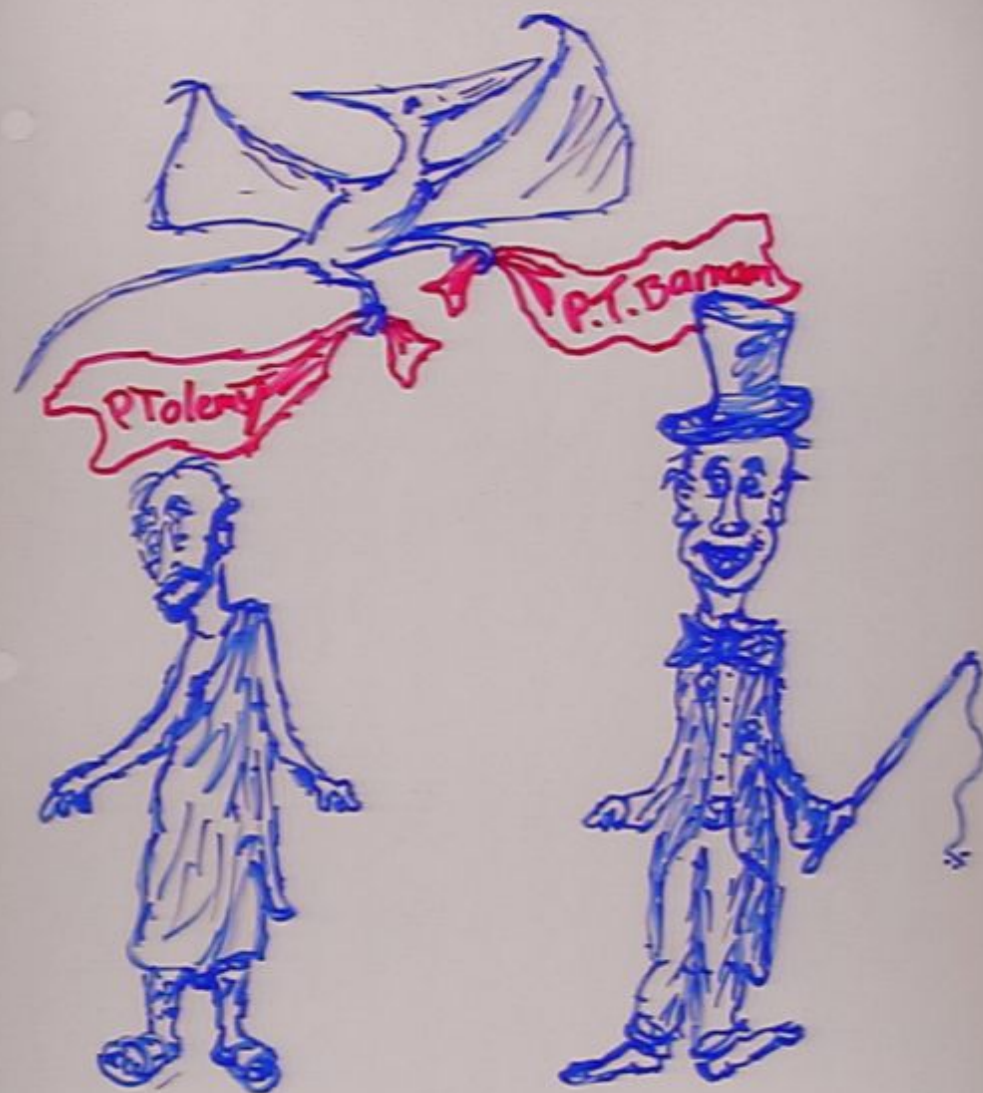
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Quesac	Caliceti
Smilgman	Guenther
Znojil	Heiss
Bernard	Handy
Trink	Grassi
	Sjöstrand
	...

et al! — with apologies



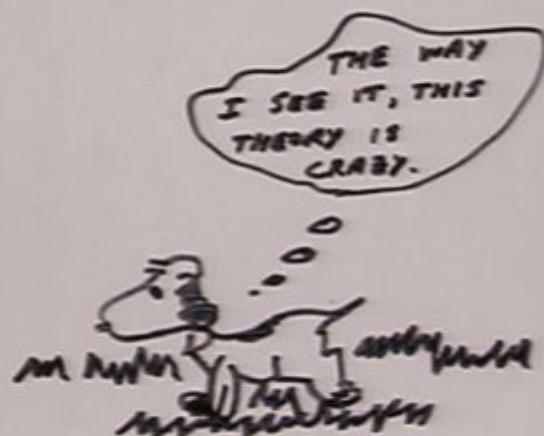
THE ORIGINAL DISCOVERERS
OF PT SYMMETRY
(died from PTomaine poisoning)

HISTORY OF IDEA

$$H = p^2 + ix^3$$

Conjecture by D. Bessis
and J. Zinn-Justin :
spectrum real

$$H = p^2 + ix^3 \quad (?!)$$



WAIT A MINUTE...

$$H = p^2 + ix^3$$

EXHIBITS

PT

SYMMETRY

Symmetric
under

$$PT: x \rightarrow -x$$

$$i \rightarrow -i$$

$$p \rightarrow p$$

parity
(space
reflection)

time
reversal

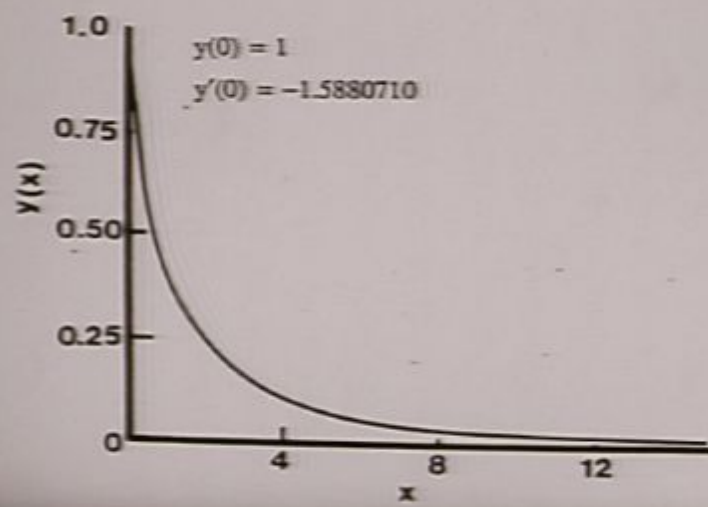


Idea:

$$\text{Try } H = p^2 + x^2(ix)^\epsilon$$

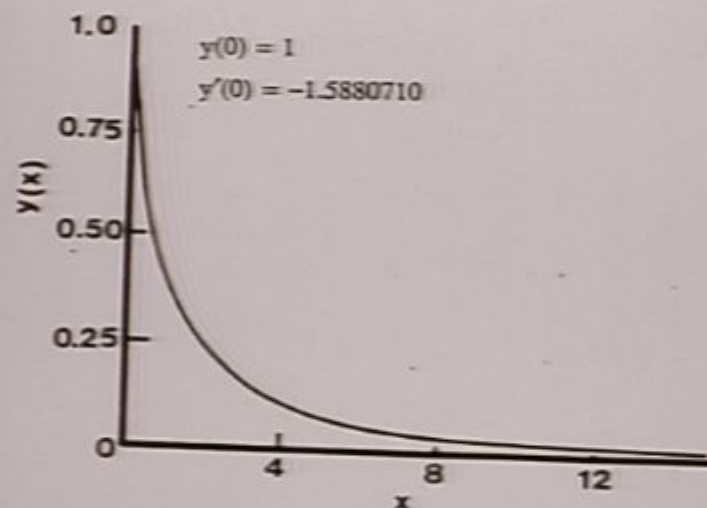
because PT -symmetric
for all ϵ real

THOMAS-FERMI EQUATION
 $y'' = y \frac{3}{2\sqrt{x}}$, $y(0)=1$, $y(\infty)=0$



THOMAS-FERMI EQUATION

$$y'' = \frac{y^{3/2}}{\sqrt{x}}, \quad y(0)=1, \quad y(\infty)=0$$



Expand in powers of nonlinearity:

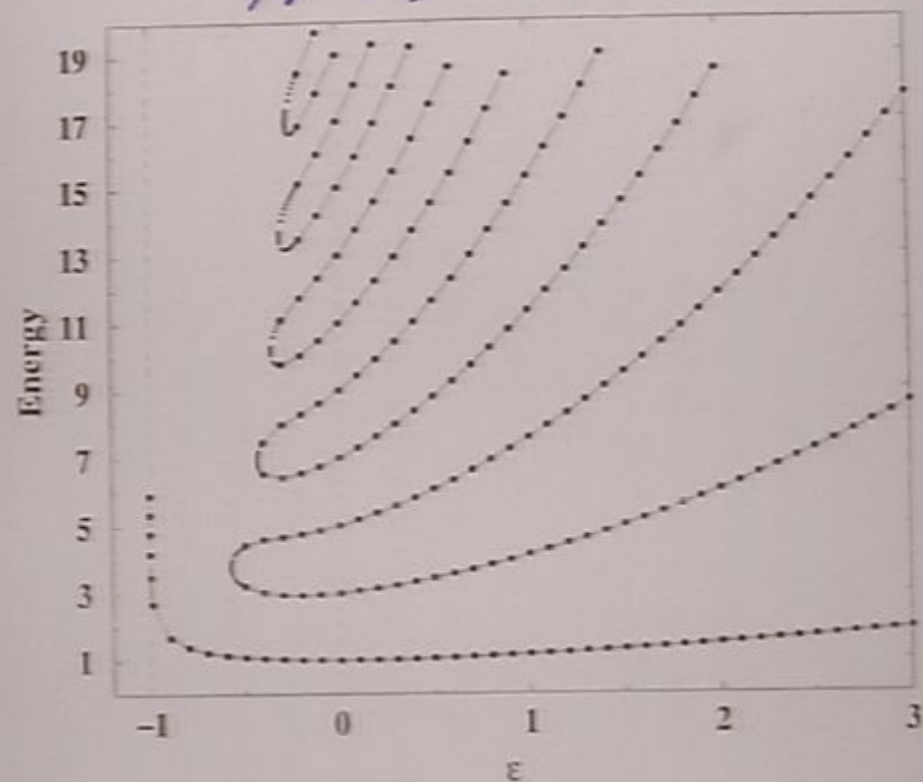
$$y'' = y \left(\frac{y}{x} \right)^\epsilon$$

$$y = \sum_{n=0}^{\infty} y_n \epsilon^n$$

$$y_0 = e^{-x}$$

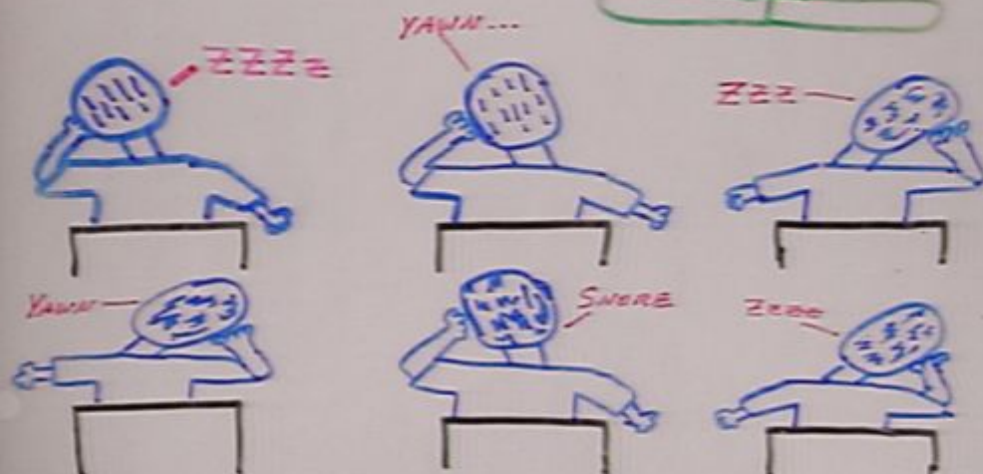
Set $\epsilon = \frac{1}{2}$ at end of calculation

$$H = p^2 + x^2(ix)^\epsilon$$

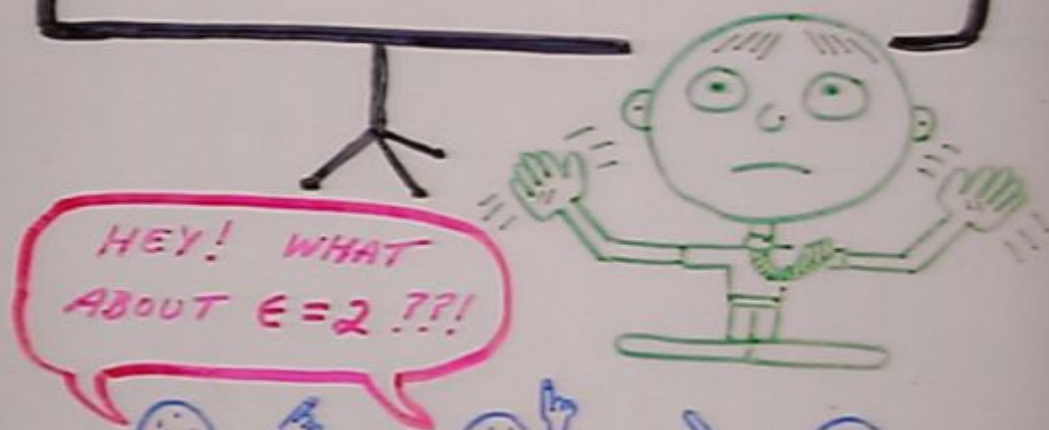


at $\epsilon = 0$
 $H = p^2 + x^2$
 $E_n = 2n + 1$

THE SPECTRUM OF $H = p^2 + x^2(ix)^\epsilon$
IS DISCRETE, REAL, AND
POSITIVE, AND PARITY
SYMMETRY IS BROKEN ($\epsilon > 0$)



THE SPECTRUM OF $H = p^2 + x^2(ix)^6$
IS DISCRETE, REAL, AND
POSITIVE, AND PARITY
SYMMETRY IS BROKEN IF $\epsilon > 0$



$$\{H, PT\} = 0$$

$$\{H, PT\} = 0$$

$$H\psi = E\psi$$

$$PT\psi = \lambda\psi$$

$$[H, PT] = 0$$

$$H\psi = E\psi$$

$$\begin{array}{c} \downarrow \\ \pi P T \psi = \pi P T \lambda \psi \end{array}$$

$$[H, PT] = 0$$

$$H\psi = E\psi$$

$$PT \downarrow PT \psi = PT \lambda_{\Lambda}^{PTPT} \psi$$

$$\{H, PT\} = 0$$

$$H\psi = E\psi$$

$$\begin{aligned} & \downarrow \\ & PT \, PT \, \psi = \lambda \psi \\ & = \lambda^* \psi \end{aligned}$$

$$[H, PT] = 0$$

$$H\psi = E\psi$$

$$\begin{aligned}
 & \downarrow \\
 & PT \, PT \, \psi = \overset{PT}{\lambda} \overset{PT}{\psi} \\
 & = \lambda^* \lambda \psi
 \end{aligned}$$

$$[H, PT] = 0$$

$$H\psi = E\psi$$

$$\begin{aligned}
 & \downarrow \\
 & PT \quad PT \quad \psi \\
 & \psi = \overset{PT}{\lambda} \overset{PT}{\psi} \\
 & \psi = \lambda^* \lambda \psi \\
 & |\lambda| = 1 \\
 & \lambda = e^{i\theta}
 \end{aligned}$$

$$\{H, PT\} = 0$$

$$H\psi = E\psi$$

$$PT \downarrow \psi = \lambda \psi$$

$$\psi = \lambda^* \lambda \psi$$

$$|\lambda| = 1$$

$$\underline{\lambda = e^{i\theta}}$$

$$[H, P_T] = 0$$

$$P_T H \psi = \underbrace{P_T H P_T^{-1}}_{P_T P_T^{-1} = 1} P_T \psi = E^* \lambda \psi$$

$$P_T P_T^{-1} \psi = \underbrace{P_T P_T^{-1}}_{P_T P_T^{-1} = 1} \psi = \lambda^* \lambda \psi$$

$$|\lambda| = 1$$

$$\underline{\lambda = e^{i\theta}}$$

$$[H, P_T] = 0$$

$$P_T H \psi = E \psi$$

$$H P_T \psi = E^* \lambda \psi$$

$$\lambda E \psi = E^* \lambda \psi$$

$$P_T P_T \psi = \lambda \psi$$

$$|\lambda| = 1$$

$$\lambda = e^{i\theta}$$

$$\{H, PT\} = 0$$

$$PT H \psi = E \psi$$

$$H PT \psi = E^* \lambda \psi$$

$$\lambda E \psi = E^* \lambda \psi$$

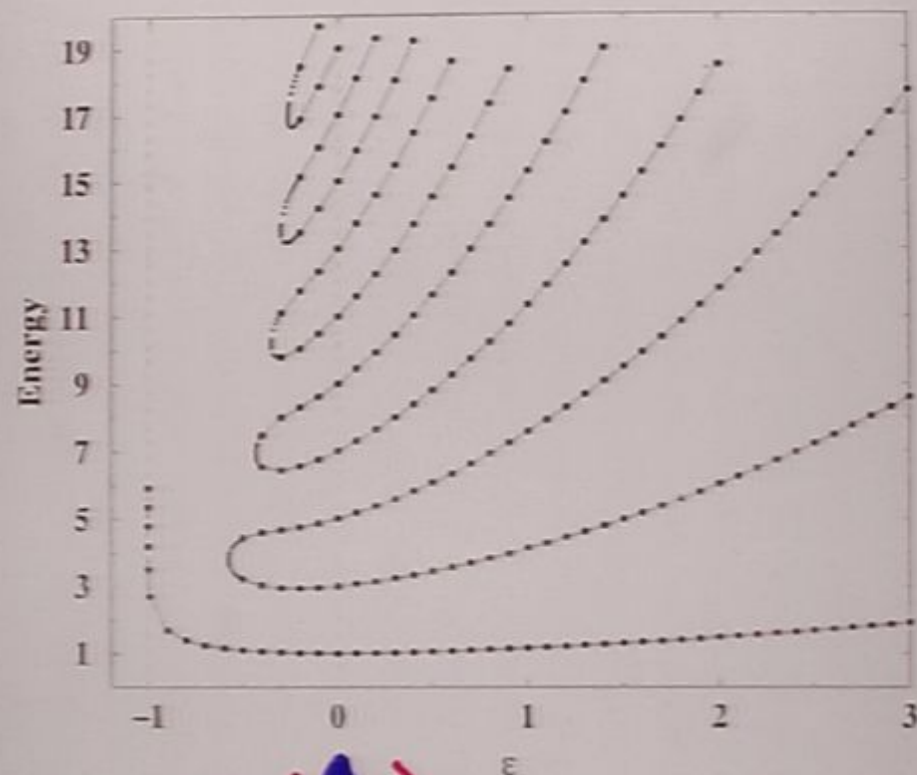
$$E = E^*$$

$$PT PT \psi = \lambda \psi$$

$$\psi = \lambda^* \lambda \psi$$

$$|\lambda| = 1$$

$$\lambda = e^{i\theta}$$



REGION
OF
BROKEN
PT
SYMMETRY

"PT
Boundary"

PT-SYMMETRIC
REGION
(UNBROKEN
PT
SYMMETRY)

$$\{H, P_T\} = 0$$

$$P_T H \psi = E \psi$$

$$H P_T \psi = E^* \lambda \psi$$

$$\lambda \psi = E^* \lambda \psi$$

$$E = E^*$$

$$P_T P_T \psi = \lambda \psi$$

$$\psi = \lambda^* \lambda \psi$$

$$|\lambda| = 1$$

$$\lambda = e^{i\theta}$$

$$\{H, P_T\} = 0$$

$$P_T H \psi = E \psi$$

$$H P_T \psi = E^* \lambda \psi$$

$$\lambda E \psi = E^* \lambda \psi$$

$$E = E^*$$

$$P_T P_T \psi = \lambda \psi$$

$$\psi = \lambda^* \lambda \psi$$

$$|\lambda| = 1$$

$$\lambda = e^{i\theta}$$

$$\{H, PT\} = 0$$

$$PT H \psi = E \lambda \psi$$

$$H PT \psi = E^* \lambda \psi$$

$$\lambda E \psi = E^* \lambda \psi$$

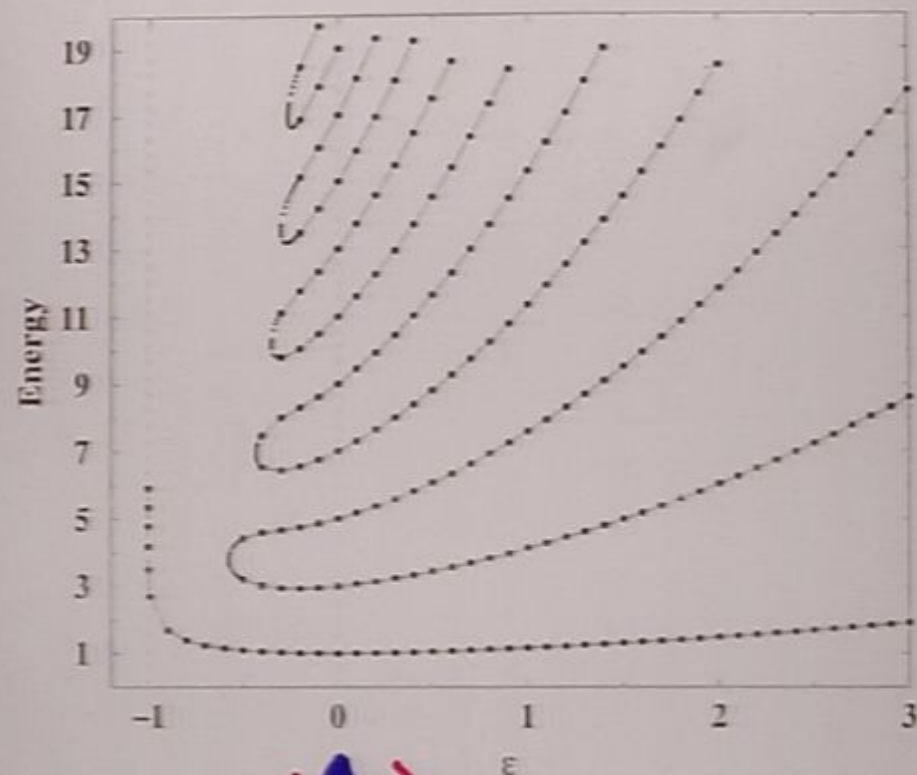
$$E = E^*$$

$$PT PT \psi = \lambda \lambda \psi$$

$$\psi = \lambda^* \lambda \psi$$

$$|\lambda| = 1$$

$$\lambda = e^{i\theta}$$



REGION
OF
BROKEN
PT
SYMMETRY

"PT
Boundary"

PT-SYMMETRIC
REGION
(UNBROKEN
PT
SYMMETRY)

PT Boundary

The greatest murder
mystery of all time!

PERMIAN ERA TRIASSIC ERA
time

↑
PT boundary

extinction of over
90% of species!

Rigorous mathematical contributions

Rigorous proof that
spectrum is real by

Dorey, Dunning, Tateo

Shin

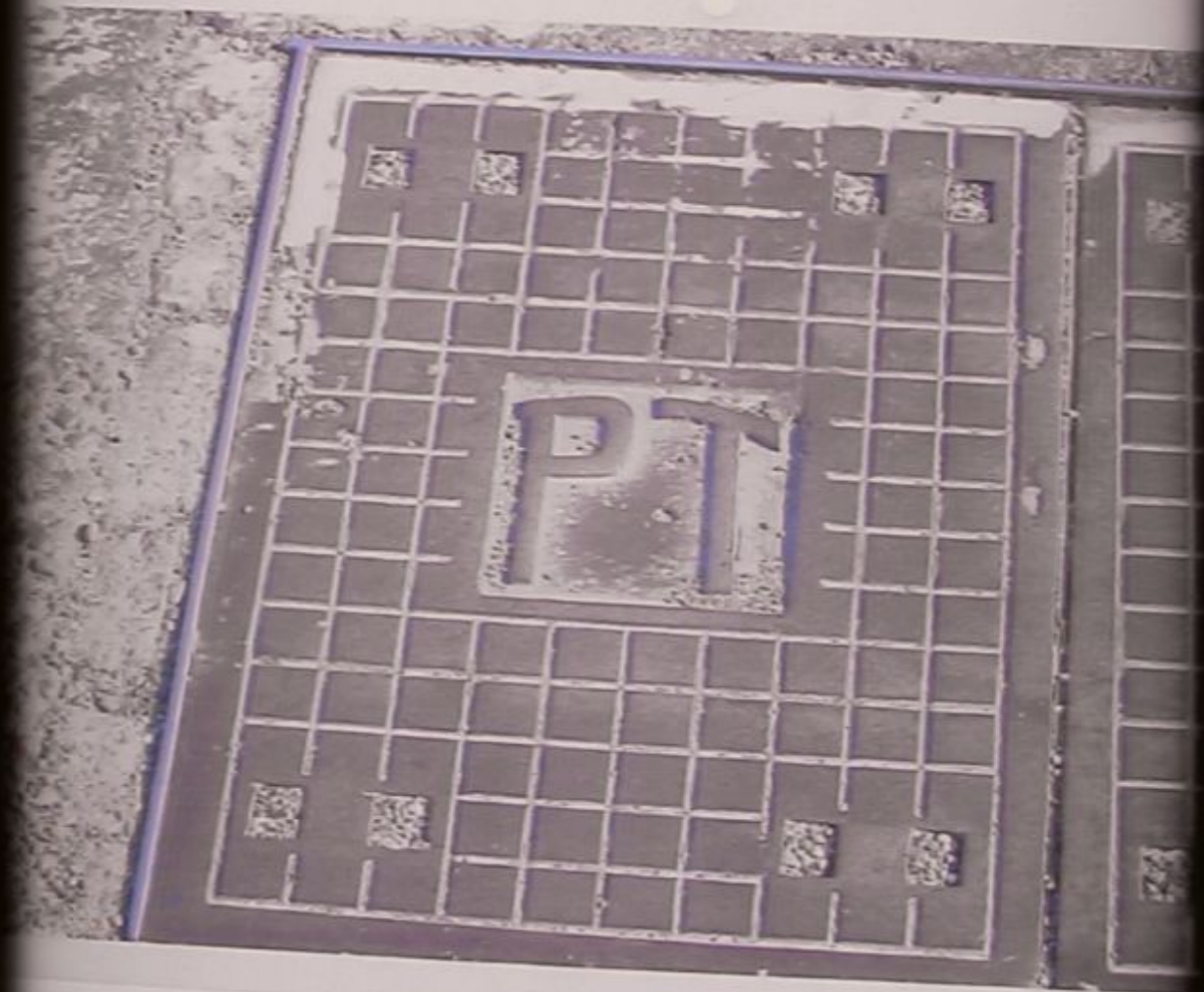
Mostafazadeh

Caliceti, Graffi, Sjöstrand

Pham, Delabaere, Trinh

Waigert

and many more...!
(apologies!)





To define a theory you give the

HAMILTONIAN

H

→ specifies the dynamics
of a theory

→ embodies symmetries

Usual!
symmetries:

CONTINUOUS

Lorentz invariance

DISCRETE

Hermiticity

$$H^\dagger = H$$

$\dagger$ = (transpose)(complex conj.)

Guarantees that

$$E \text{ is real}$$

MAIN IDEA OF TALK:

$H = H^\dagger$ is mathematically
convenient but physically
obscure and remote
($\dagger$ = transpose + c.c. conjugate)

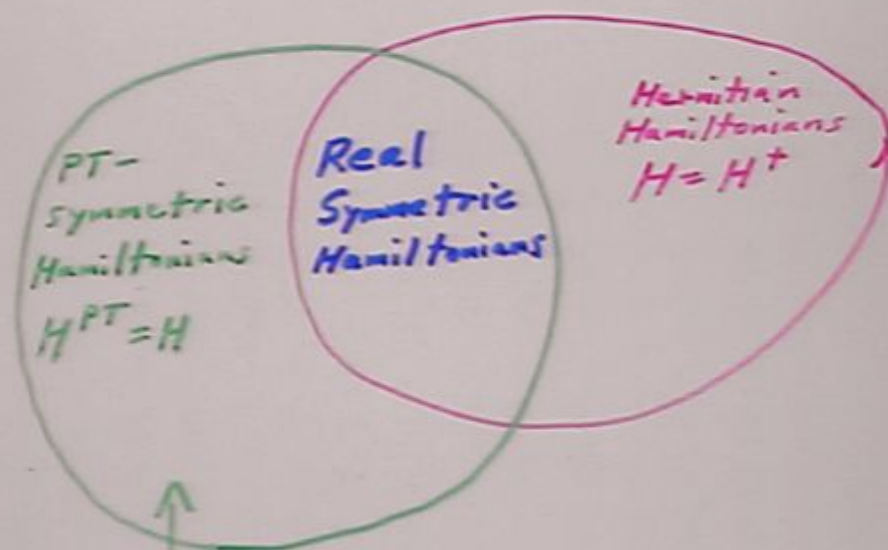
Propose a more physical
discrete symmetry condition:

SPACE-TIME REFLECTION
(PT) SYMMETRY:
 $H^{PT} = H$

[illegible]

A close-up photograph of a hand with a dark skin tone pointing towards a table in a document. The table is partially visible in the background, showing columns of text and numbers. The hand is positioned in the foreground, with the index finger pointing towards the table. The document appears to be a ledger or a record book, with columns for names, dates, and other information. The lighting is bright, and the focus is on the hand and the table.

Two alternative ways to complexify
Real Symmetric Hamiltonians



Lots of new Hamiltonians
to consider!

OUTLINE

MY
TALK

OUTLINE OF TALK:

1. Beginning
2. Middle
3. End

(4. applause!)

$H = p^2 + x^2(ix)^{\epsilon}$
Has a transition at
 $\epsilon=0$ in both the
classical and quantum
versions of the theory

The spectrum is
real and positive
but what about
unitarity?

i.e. Is this QM or just a
catastrophe-Liouville problem?

CMB, D. Brody, H. Jones
PRL 2002

PT - symmetric quantum mechanics in 9 easy steps:

#1 Given $H = p^2 + x^2(ix)^\epsilon$ ($\epsilon \geq 0$)

solve $H\phi_n = E_n\phi_n$:

$$-\phi_n''(x) + x^2(ix)^\epsilon \phi_n(x) = E_n \phi_n(x)$$

Verify:

(a) E_n real, positive

(b) $\phi_n(x)$ is also an eigenfunction of PT for all n

$$PT \phi_n = \lambda_n \phi_n, \quad |\lambda_n| = 1$$

(c) Convention: normalize ϕ_n so that

$$PT \phi_n(x) = \phi_n(x)$$

by appropriate choice of phase

$$[H, P_T] = 0$$

$$P_T H \psi = \overbrace{H P_T}^{P_T H} \psi = E \psi$$

$$H P_T \psi = E^* \lambda \psi$$

$$E^* \lambda \psi = E \psi \quad E = 0$$

$$E = E^*$$

$$P_T \psi = \overbrace{P_T}^{\downarrow} \psi = \overbrace{\lambda \psi}^{P_T P_T \psi}$$

$$\psi = \lambda^* \lambda \psi$$

$$|\lambda| = 1$$

$$\lambda = e^{i\theta}$$



$$[H, PT] = 0$$

$$PT H \psi = E^* PT \psi$$

$$H PT \psi = E^* \lambda \psi$$

$$\lambda E \psi = E^* \lambda \psi \quad E = 0$$

$$E = E^*$$



$$PT \psi = \lambda PT \psi$$

$$\psi = \lambda^* \lambda \psi$$

$$|\lambda| = 1$$

$$\lambda = e^{i\theta}$$

$$[H, PT] = 0$$

$$PT H \psi = E^* PT \psi$$

$$H PT \psi = E^* \lambda \psi$$

$$\lambda E \psi = E^* \lambda \psi \quad E = 0$$

$$E = E^*$$

$$PT \psi = \lambda \psi$$

$$|\lambda| = 1$$

$$\lambda = e^{i\theta}$$

$$E \uparrow$$

$$E = 1$$

$$[H, PT] = 0$$

$$PT H \psi = E^* PT \psi$$

$$H PT \psi = E^* \lambda \psi$$

$$\lambda E \psi = E^* \lambda \psi \quad E = 0$$

$$E = E^*$$

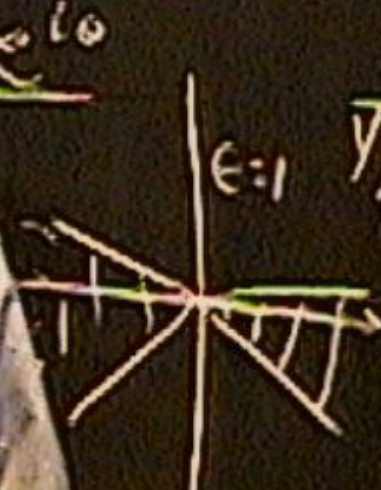
$$PT \psi = \lambda PT \psi$$

$$\psi = \lambda^* \lambda \psi$$

$$|\lambda| = 1$$

$$\lambda = e^{i\phi}$$

$$E = E^*$$



$$[H, PT] = 0$$

$$PT H \psi = E^* PT \psi$$

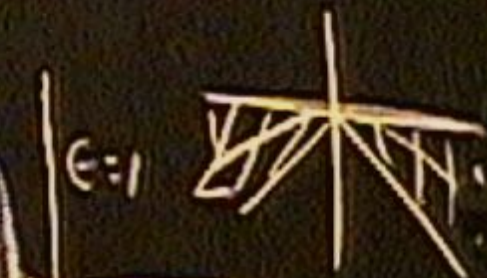
$$H PT \psi = E^* \lambda \psi$$

$$\lambda E \psi = E^* \lambda \psi \quad E = 0$$

$$E = E^*$$

$$PT \psi = \lambda PT \psi$$

$$\psi = \lambda^* \lambda \psi$$



$$[H, PT] = 0$$

$$PT H \psi = E^* PT \psi$$

$$H PT \psi = E^* \lambda \psi$$

$$\lambda E \psi = E^* \lambda \psi \quad E = 0$$

$$E = E^*$$



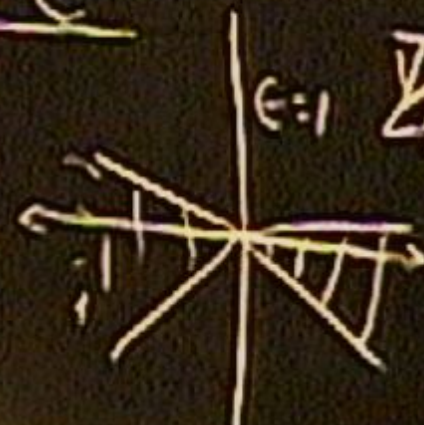
$$PT PT \psi = \lambda PT \psi$$

$$\psi = \lambda^* \lambda \psi$$

$$|\lambda| = 1$$

$$\lambda = e^{i\theta}$$

$$E \uparrow$$



$$E = 1$$



$$E = 2$$

$$E = 0$$



PT - symmetric quantum mechanics in 9 easy steps:

#1 Given $H = p^2 + x^2(ix)^\epsilon$ ($\epsilon \geq 0$)

solve $H\phi_n = E_n\phi_n$:

$$- \phi_n''(x) + x^2(ix)^\epsilon \phi_n(x) = E_n \phi_n(x)$$

Verify:

(a) E_n real, positive

(b) $\phi_n(x)$ is also an eigenfunction of PT for all n

$$PT \phi_n = \lambda_n \phi_n, \quad |\lambda_n| = 1$$

(c) Convention: normalize ϕ_n so that

$$PT \phi_n(x) = \phi_n(x)$$

by appropriate choice of phase

$$H\psi = E\psi$$

$$HPT\psi = E^* \lambda \psi$$

$$\lambda E\psi =$$

$$PT\psi = \lambda \psi$$

$$\psi = \lambda^* \lambda \psi$$

$$|\lambda| = 1$$

$$\lambda = e^{i\theta}$$

$$\epsilon \uparrow$$

$$\epsilon = 0$$

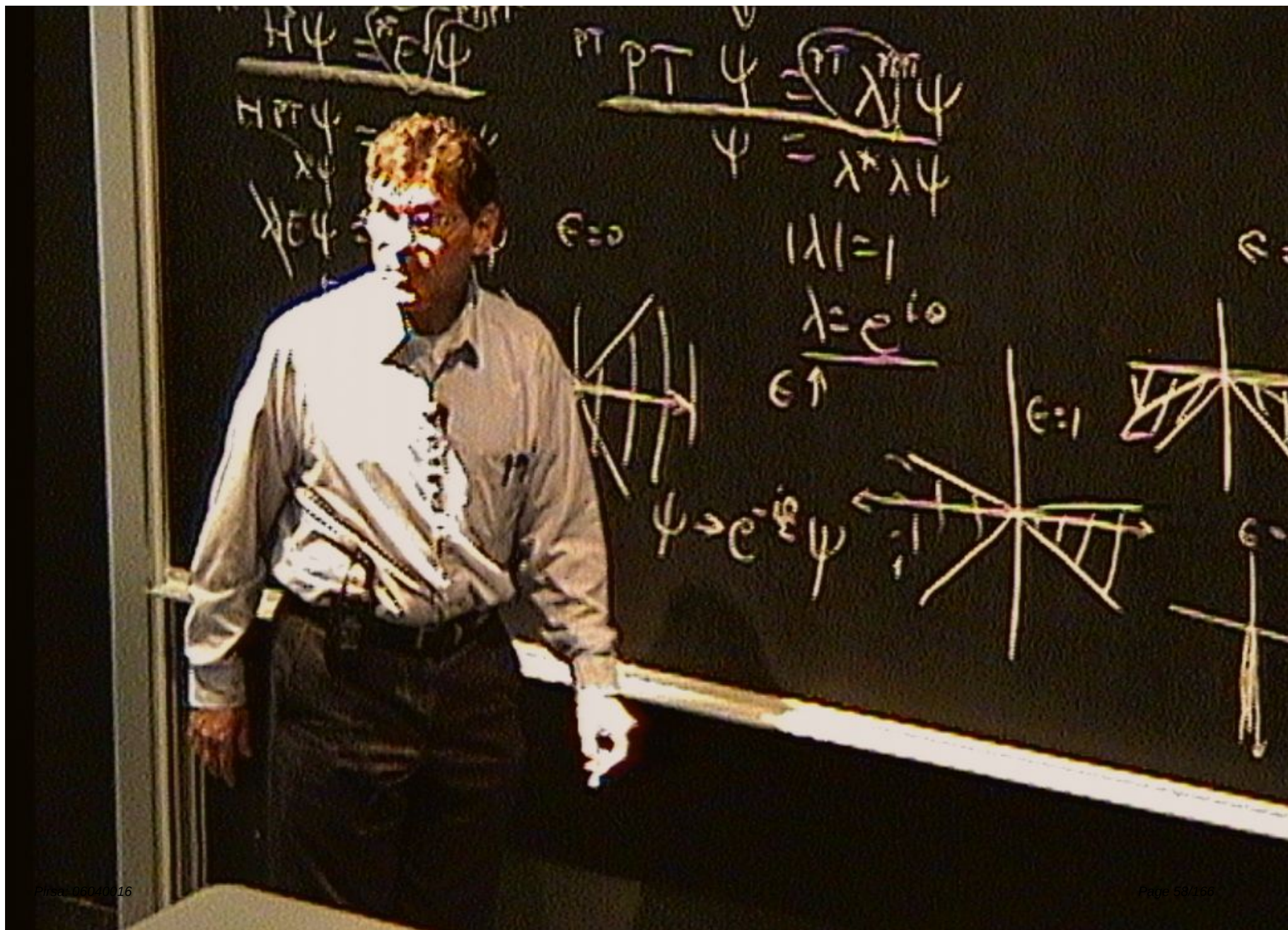


$$\epsilon = 1$$



$$\epsilon = -1$$





PT - symmetric quantum mechanics in 9 easy steps:

#1 Given $H = p^2 + x^2(ix)^\epsilon$ ($\epsilon \geq 0$)

solve $H\phi_n = E_n\phi_n$:

$$-\phi_n''(x) + x^2(ix)^\epsilon \phi_n(x) = E_n \phi_n(x)$$

Verify:

(a) E_n real, positive

(b) $\phi_n(x)$ is also an eigenfunction of PT for all n

$$PT \phi_n = \lambda_n \phi_n, \quad |\lambda_n| = 1$$

(c) Convention: normalize ϕ_n so that

$$PT \phi_n(x) = \phi_n(x)$$

by appropriate choice of phase

#2 Orthogonality

Guess that inner product is

$$\langle a | b \rangle = a^{PT} b$$

verify: $\langle \phi_n | \phi_m \rangle = 0 \quad (n \neq m)$

$$\begin{aligned} \text{i.e. } \langle \phi_n | \phi_m \rangle &= \int_C \phi_n^{PT}(x) \phi_m(x) dx \\ &= \int_C \phi_n(x) \phi_m(x) dx \\ &= 0 \end{aligned}$$

So far, so good, but....

$$\begin{array}{c}
 \downarrow \\
 P T \quad P T \quad \psi \approx \frac{P T \quad P T}{\lambda} \psi \\
 \hline
 \psi = \lambda^* \psi
 \end{array}$$

$$H \sim H^\dagger \\
 \langle 0.16 \rangle$$

$$E=0$$

$$E=2$$



$$\begin{array}{c} \downarrow \\ P T \quad P T \quad \psi = \frac{P T \quad P T \quad \psi}{\psi = \lambda^* \lambda \psi} \end{array}$$

$$H = H^\dagger$$

$$\langle a | b \rangle \equiv a^\dagger \cdot b$$

$$\epsilon = 0$$

$$|\lambda|$$

$$\lambda =$$

$$\epsilon = 2$$



$$\epsilon = 1$$



$$\epsilon = \infty$$

$$\begin{aligned}
 & \downarrow \\
 & P^T P^T \psi = P^T \lambda P^T \psi \\
 & \psi = \lambda^* \lambda \psi \\
 & \epsilon = 0 \quad |\lambda| = 1 \quad \lambda = e^{i\theta}
 \end{aligned}$$

$$H = H^\dagger$$

$$\langle a | b \rangle \equiv a^\dagger \cdot b$$

$$H = H^{PT} \quad a^{PT} \cdot b$$

$$\epsilon = 2$$

$$\epsilon = 1$$



$$\epsilon = \infty$$



$$\begin{aligned}
 &\downarrow \\
 &P^T P^T \psi = \lambda \psi \\
 &\psi = \lambda^* \lambda \psi
 \end{aligned}$$

$\epsilon = 0$

$$|\lambda| = 1$$

$$\lambda = e^{i\theta}$$

$\epsilon \uparrow$



$$\psi \rightarrow e^{-i\theta} \psi$$



$$H = H^\dagger$$

$$\langle a | b \rangle \equiv a^\dagger \cdot b$$

$$H = H^{PT}$$

$$a^{PT} \cdot b$$

$\epsilon = 2$



$\epsilon = \infty$

#2 Orthogonality

Guess that inner product is

$$\langle a | b \rangle = a^{PT} b$$

verify: $\langle \phi_n | \phi_m \rangle = 0 \quad (n \neq m)$

$$\begin{aligned} \text{i.e. } \langle \phi_n | \phi_m \rangle &= \int_C \phi_n^{PT}(x) \phi_m(x) dx \\ &= \int_C \phi_n(x) \phi_m(x) dx \\ &= 0 \end{aligned}$$

So far, so good, but....

#3 The problem:

$$\int_C dx \phi_n^2(x) = (-1)^n$$



Thus, PT norm not positive

Not a disaster
just continue on

#4 Completeness

$$\mathbb{1} = \sum_{n=0}^{\infty} (-1)^n \phi_n(x) \phi_n(y) = \delta(x-y)$$

#5 Reconstruct operators

$$(a) \quad P(x, y) = \delta(x+y) = \sum_{n=0}^{\infty} (-1)^n \phi_n(x) \phi_n(y)$$

note: $P^2 = 1$

i.e. $\int dx \, P(x, z) P(z, y) = \delta(x-y)$

$$(b) \quad H(x, y) = \sum_{n=0}^{\infty} (-1)^n \phi_n(x) \phi_n(y) E_n$$

$$(c) \quad G(x, y) = \sum_{n=0}^{\infty} (-1)^n \phi_n(x) \phi_n(y) \frac{1}{E_n}$$

note: $HG = \delta(x-y)$

can calculate spectral
zeta function exactly

$$\sum_{n=0}^{\infty} \frac{1}{E_n} \quad (\epsilon > 0)$$

can calculate spectral
zeta function exactly

$$\sum_{n=0}^{\infty} \frac{1}{E_n} \quad (E > 0)$$

$$H = p^2 - (ix)^N \quad (N > 2)$$

$$Z_N = \frac{4 \sin^2\left(\frac{\pi}{N+2}\right) \Gamma\left(\frac{1}{N+2}\right) \Gamma\left(\frac{2}{N+2}\right) \Gamma\left(\frac{N-2}{N+2}\right)}{(N+2)^{\frac{2N}{N+2}} \Gamma\left(\frac{N-1}{N+2}\right) \Gamma\left(\frac{N}{N+2}\right)}$$

Negative energies and probabilities should not be considered as nonsense. They are well-defined concepts mathematically, like a negative sum of money, since the equations which express the important properties of energies and probabilities can still be used when they are negative. Thus negative energies and probabilities should be considered simply as things which do not appear in experimental results. The physical interpretation of relativistic quantum mechanics that one gets by a natural development of the non-relativistic theory involves these things and is thus in contradiction with experiment. We therefore have to consider ways of modifying or supplementing this interpretation.

- P. A. M. Dirac

Bakerian Lecture

June 19, 1941

Proc. Royal Society

#6 Construct new symmetry
operator C

$$C(x, y) = \sum_{n=0}^{\infty} \phi_n(x) \phi_n(y)$$



verify: (a) $C^2 = \mathbb{1}$

(b) $C \neq P$ (2 square-roots of 1)

(c) $[C, H] = 0$

(d) $C \phi_n = (-1)^n \phi_n$

(e) $[C, PT] = 0$ (but $[C, P] \neq 0$)

(f) as $\epsilon \rightarrow 0$, $C \rightarrow P$

We have 3 commuting operators:
 H , PT , and C . H and C are
observables.

#7 Construct Hilbert Space

Space is all complex linear combinations of $\phi_n(x)$

Inner product:

$$\langle a|b \rangle = a^{CPT} b$$

Verify: norm is positive

$$\text{i.e. } \langle a|a \rangle \geq 0$$

$$(\text{and } = 0 \text{ iff } |a\rangle = 0)$$

Novelty: Dynamically determined norm — i.e. Hilbert space determined by H

#8 Time evolution

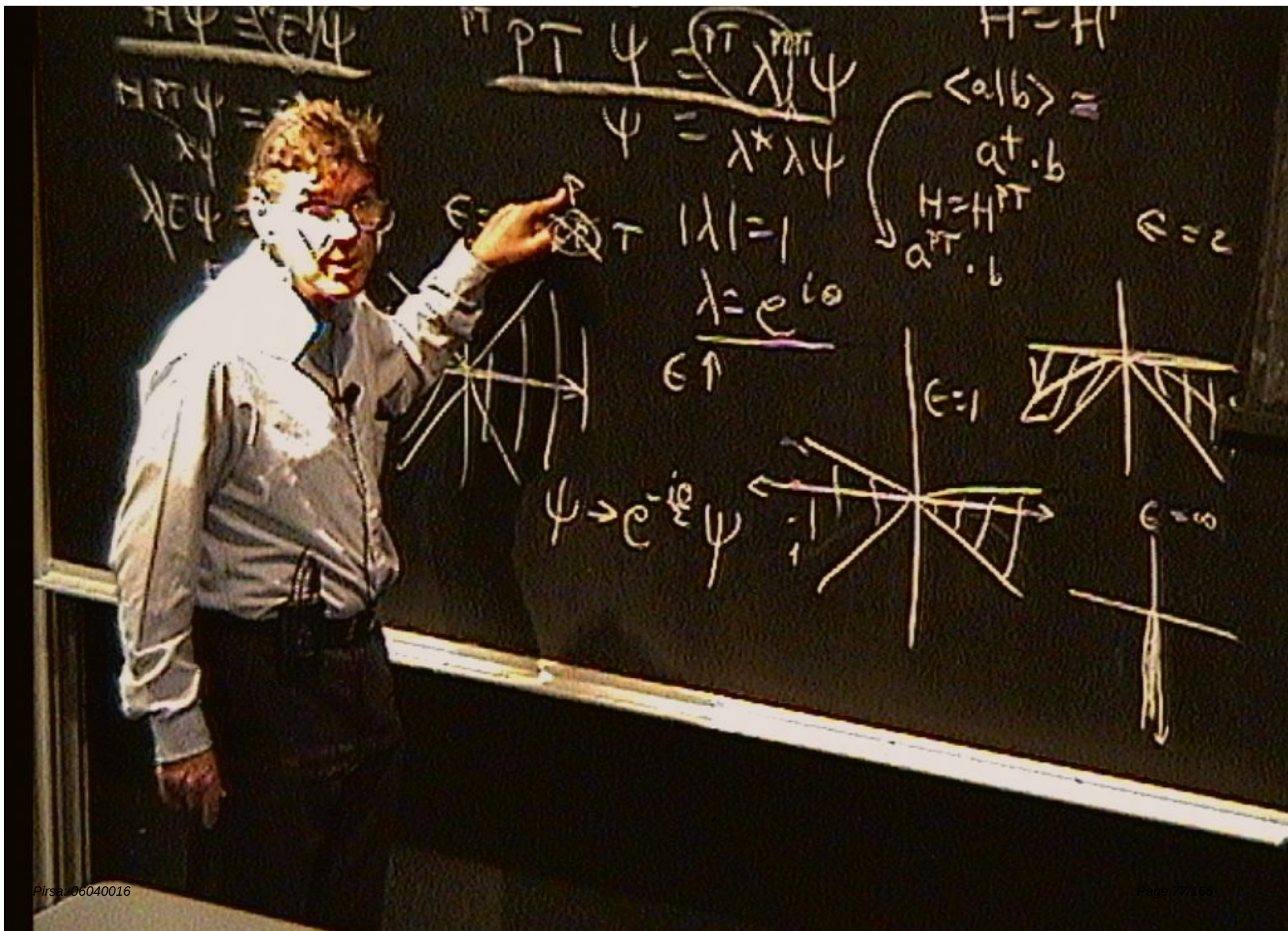
Time evolution operator:
$$e^{iHt}$$

inner product preserved $\Rightarrow$
unitarity

#9 The rest....

- (a) probability density
- (b) probability currents
- (c) Ehrenfest theorem
- (d) definition of observables
- ⋮

Result: Conventional
quantum mechanics based
on non-Hermitian operator H



$$H\psi = E\psi$$

$$H^{\dagger} \psi = E^* \lambda \psi$$

$$\lambda E \psi = E^* \lambda \psi$$

$$E = E^*$$

$$P^{\dagger} P^{\dagger} \psi = \lambda^* \lambda \psi$$

$$\psi = \lambda^* \lambda \psi$$

$$E = 0 \quad \text{or} \quad T \quad |\lambda| = 1$$

$$\lambda = e^{i\theta}$$

$$E \uparrow$$

$$H = H^{\dagger}$$

$$\langle a | b \rangle = a^{\dagger} \cdot b$$

$$H = H^{\dagger} \quad a^{\dagger} \cdot b$$



$$\psi \rightarrow e^{-i\theta} \psi$$



Novelties

(1) Complex extension of
conventional QM

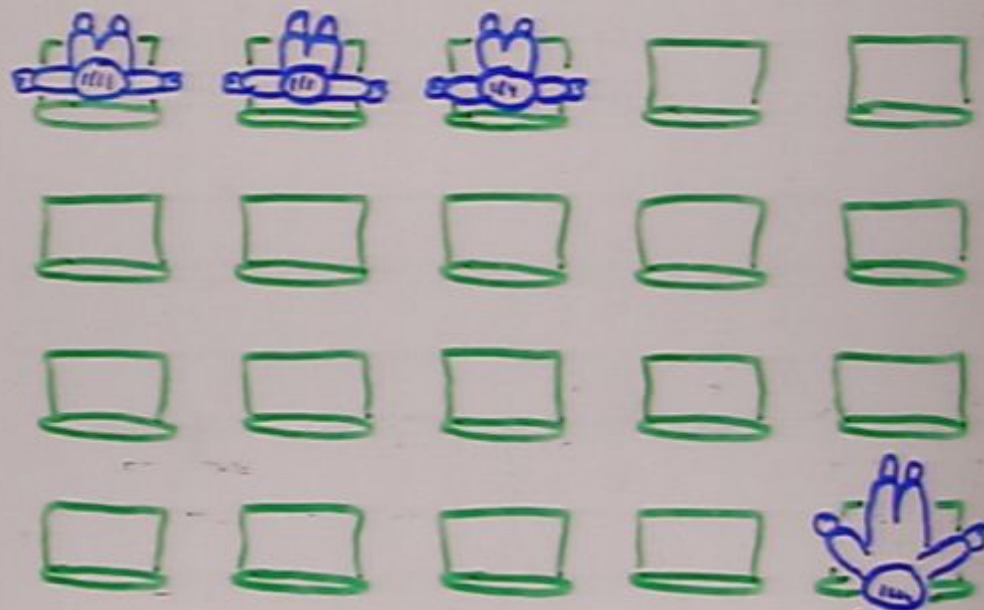
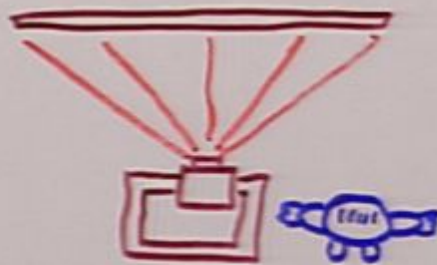
(Remember - no C operator
in ordinary Hermitian QM!)

(2) dynamically determined
Hilbert space and inner product
- "bootstrap" theory

(3) $[C, P] \neq 0$ so "particles" and
"antiparticle" states need not
have same mass

(4) Lots of new models -
 $i\varphi^3$, $-\varphi^4$, ...

Overview of Talk



Zzzzz...

CP

$$CP = e^Q$$



$$CP = e^{Q(\hat{x}, \hat{t})}$$



$$CP = e^{Q(\hat{x}, \hat{p})}$$

Q



$$CP = e^{Q(\hat{s}, \hat{t})}$$

$$Q^{\dagger} = Q$$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{x}, \hat{p})}$$

$$Q^\dagger = Q$$



$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{r}, \hat{r})} = \gamma$$

$$Q^\dagger = Q$$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{x}, \hat{p})} = \gamma$$

$$Q^\dagger = Q$$

$$\gamma H \gamma^{-1} = \underline{\tilde{H}}$$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{x}, \hat{p})} = \gamma$$

$$Q^\dagger = Q$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{z}, \hat{t})} = \gamma$$

$$Q^\dagger = Q$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$H = p^2 - x^4$$

$$\sqrt{CP} = e^{\frac{i}{2} Q(\vec{x}, t)} = \gamma$$

$$Q' = 0$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$H = p^2 - \frac{1}{2} x^4$$

$$\tilde{H} = p^2 + \frac{1}{2} x^4 - \sqrt{2} \gamma x$$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\phi)} = \gamma$$

$$Q^1 = \phi$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$H = p^2 - \frac{1}{2}x^4$$

$$\tilde{H} = p^2 + \frac{1}{2}x^4 - \sqrt{2} \gamma x$$

$$H^\dagger \psi = E^* \psi$$

$$\lambda \psi$$

$$\lambda E \psi = E^* \lambda \psi$$

$$E = E^*$$

C



$$\psi \rightarrow e^{i\theta} \psi$$

$$E \uparrow$$



$$\sqrt{CP} = e^{\frac{i}{2} Q(\varphi, \varphi)} = \gamma$$

$$Q^1 = \varphi$$

$$\gamma H \gamma^{-1} = \gamma$$

$$\begin{aligned}
 H \psi &= E \psi \\
 \lambda \psi &= E \lambda \psi \quad E=0 \quad \text{or} \quad T \quad |\lambda|=1 \\
 \lambda E \psi &= E^* \lambda \psi \quad E=E^* \\
 \psi &\rightarrow e^{-i\theta} \psi
 \end{aligned}$$

$\psi = \lambda^* \lambda \psi$
 $H = H^T$
 $a^T \cdot b$
 $\epsilon = 0$
 $\epsilon \uparrow$
 $\epsilon = 1$
 $\epsilon = \infty$

$$\sqrt{CP} = e^{\frac{i}{2} Q'(\pi)} = \gamma$$

$Q' = Q$

$$3 H \psi = \gamma$$

$$\begin{aligned}
 H\Psi &= E\Psi \\
 H\Psi &= E^* \lambda \Psi \\
 \lambda E\Psi &= E^* \lambda \Psi \\
 E &= E^* \\
 \epsilon &= 0 \quad \epsilon \uparrow \\
 |\lambda| &= 1 \\
 \lambda &= e^{i\theta} \\
 \psi &\rightarrow e^{-i\theta} \psi \\
 \langle a | b \rangle &= a^\dagger \cdot b \\
 H &= H^\dagger \\
 \epsilon &= 1
 \end{aligned}$$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{r}, \hat{r})} = \gamma$$

$Q^\dagger = Q$

$H\psi = E\psi$
 $H^\dagger H\psi = E^* \lambda \psi$
 $\lambda E\psi = E^* \lambda \psi$
 $E = E^*$

$\psi = \lambda^* \lambda \psi$
 $|\lambda| = 1$
 $\lambda = e^{i\theta}$
 $\psi \rightarrow e^{-i\theta} \psi$

$\epsilon = 0$
 $\epsilon = 1$
 $\epsilon = -1$
 $\epsilon = i$
 $\epsilon = -i$

$\langle a|b \rangle = a^\dagger \cdot b$
 $H = H^\dagger$
 $a^\dagger \cdot b$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{r},\hat{p})} = \gamma$$

$Q^\dagger = Q$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{t},\hat{t})} = \gamma$$

$$Q^1 = \hat{Q}$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$\tilde{H} = p^2 + \frac{1}{2}x^4 - \frac{\sqrt{2}g}{2}tx$$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{q}, \hat{p})} = \gamma$$

$$Q' = Q$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$H = p^2 - g x^4 \quad \tilde{H} = p^2 + \frac{1}{4} g x^4 - \underbrace{\sqrt{2} g t x}_{\text{circled}}$$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{z}, \hat{t})} = \gamma$$

$$Q^1 = Q$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$H = p^2 - g x^4$$

$$\tilde{H} = \frac{1}{2} p^2 - g x^4$$

$$\begin{array}{l} p \rightarrow -p \\ x \rightarrow x \end{array}$$

$$\frac{\sqrt{2} g t x}{f}$$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{x}, \hat{p})} = \gamma$$

$$Q^1 = Q$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$H = p^2 - \frac{1}{2}x^4$$

$$\tilde{H} = \frac{p^2 + \frac{1}{2}x^4 - \sqrt{2} \frac{1}{2}ix}{\frac{1}{\sqrt{2}}}$$

$$\begin{array}{lcl} p & \rightarrow & -p \\ x & \rightarrow & x \end{array}$$

$$\sqrt{CP} = e^{\frac{i}{2}Q(x,t)} = \gamma$$

$$Q^\dagger = Q$$

$$H = \frac{1}{4} \tilde{H}^2$$

$$\tilde{H} = p^2 + 4\frac{-x^2}{f}x^4 - \left(\sqrt{2}y\frac{1}{f}x\right)$$

$$\begin{array}{l} p \rightarrow -p \\ x \rightarrow x \end{array}$$

Applications and
properties of
theories defined by
 PT -symmetric Hamiltonians



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(Please enter your birthday in the following format: mm/dd/yyyy), then click the "Card Me" button.)

Card Me

1950's T.T. Wu

quantization of interacting
hard spheres

1970's H. Abarbanel, J. Bronzan, R. Sugar,
A. White, R. Brower, M. Furman,
M. Moshe, C.-I. Tan, ...

Reggeon field theory $i\varphi^3$

1970's - 80's M.E. Fisher, J. Cardy,
G. Mussardo, A. Zamolodchikov, ...

Lee-Yang edge singularity $i\varphi^3$

1980's T. Hollowood, D. Olive

Complex Toda lattices

2000's A. Zeilinger

laser experiments $H = p^2 + i \sin x$,
 $H = p^2 + e^{ix}$

$H\psi = E\psi$

$H^T\psi = E^*\psi$

$\lambda E\psi = E^*\lambda\psi$

$E = E^*$

$\psi = \lambda\psi$

$\psi = \lambda^*\lambda\psi$

$|\lambda| = 1$

$\lambda = e^{i\theta}$

$\epsilon \uparrow$

$\epsilon = 0$ ~~$\otimes$~~ τ

$\psi \rightarrow e^{-i\theta} \psi$

$\epsilon = 1$

$\epsilon = 0$

$\langle a|b \rangle = a^\dagger \cdot b$

$H = H^T$

$a^T \cdot b$

$\epsilon =$

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Complex Toda lattices

2000's A. Zeilinger

laser experiments $H = p^2 + i \sin x$,
 $H = p^2 + e^{ix}$

Other Interesting models

1) Higgs Sector

$$H_2 = -g\varphi^4$$

$$\left[\begin{array}{l} \langle \varphi \rangle \neq 0 \\ \text{dimensionless } g \\ \text{asympt. free!} \end{array} \right.$$

2) PT QED

$$\left[\begin{array}{l} \text{asympt. free} \\ \text{determines own coupling const.} \\ \text{(Johnson, Baker, Willey,} \\ \text{Adler, Gelmann-Low)} \\ \text{Casimir (Boyer)} \end{array} \right.$$

3) Bound states of $-g\varphi^4$

4) PT gravity — repulsive force

5) $\frac{dS}{AdS}$ - CFT correspondence in cosmological models — Witten, Horowitz, Strominger, etc.

$$\sqrt{C} P = e^{\frac{i}{2} Q(\hat{x}, \hat{p})} = \gamma$$

$$Q^\dagger = Q$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$H = \frac{p^2 - g x^4}{2x^2}$$

$$\tilde{H} = \frac{p^2 - x^2 + 4g x^4}{2x^2} - \frac{\sqrt{2g} \hbar x}{2x^2}$$

$$\begin{array}{ccc} p & \rightarrow & -p \\ x & \rightarrow & x \end{array}$$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{x}, \hat{p})} = \gamma$$

$$Q^1 = Q$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$H = p^2 - \frac{1}{2}x^4$$

$$\tilde{H} = p^2 + \frac{1}{2}x^4 - \frac{\sqrt{2}g}{\hbar}x$$

$$\begin{matrix} p & \rightarrow & -p \\ x & \rightarrow & x \end{matrix}$$



$$\sqrt{C} P = e^{\frac{i}{\hbar} Q(x, t)} = \gamma$$

$$Q' = Q$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$H = p^2 - \frac{1}{2} x^4$$

$$\tilde{H} = p^2 + \frac{1}{2} x^4 - \frac{\sqrt{2} g}{\hbar} x$$

$$\begin{array}{ccc} p & \rightarrow & -p \\ x & \rightarrow & x \end{array}$$



$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{x}, \hat{p})} = \gamma$$

$$Q^\dagger = Q$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$H = p^2 - \frac{g}{2} x^4$$

$$\tilde{H} = p^2 + \frac{g}{2} x^4 - \frac{\sqrt{2} g}{4} x^4$$


$$\begin{matrix} p & \rightarrow & -p \\ x & \rightarrow & x \end{matrix}$$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{z}, \hat{r})} = \gamma$$

$$Q^\dagger = Q$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

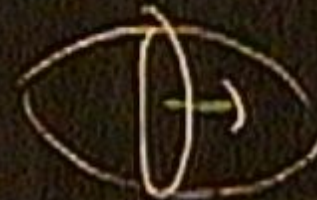
$$H = p^2$$

$$\tilde{H} = p^2 + \frac{-x^2}{2} + 4\frac{1}{2}x^4 - \left(\sqrt{2g} \frac{1}{2} x \right)$$

$$\frac{d^2}{dx^2}$$

$$p \rightarrow -p$$

$$x \rightarrow x$$



$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{x}, \hat{p})} = \gamma$$

$$Q^\dagger = Q$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$\tilde{H} = \frac{p^2}{2} - g x^4$$

$$\begin{aligned} p &\rightarrow -p \\ x &\rightarrow x \end{aligned}$$

$$-x^2 + \frac{g}{2}x^4 - \left(\frac{\sqrt{2}g}{2}tx\right)$$

$$-x^4 - x^2$$

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{x}, \hat{p})} = \gamma$$

$$Q^\dagger = Q$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$H = p^2 - \frac{g}{2}x^4$$

$$\tilde{H} = p^2 + \frac{g}{2}x^4 - \sqrt{2g} \frac{1}{\sqrt{2}} x$$

$$\begin{aligned} p &\rightarrow -p \\ x &\rightarrow x \end{aligned}$$

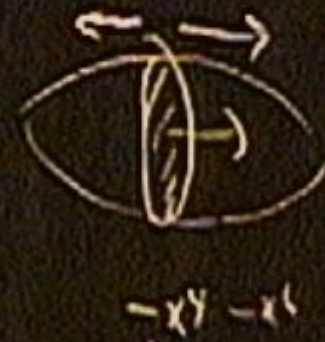


ILLUSTRATION:

Lee Model (1954)

Ghost states found
by Källén and Pauli (1955)

$$\sqrt{CP} = e^{\frac{i}{2}Q(\hat{a}, \hat{a})} = \gamma$$

$$Q^\dagger = Q$$

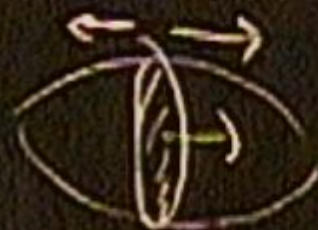
$$V \rightleftharpoons N+0$$

$$\begin{aligned} p &\rightarrow -p \\ x &\rightarrow x \end{aligned}$$

$$\gamma H \gamma^{-1} = \tilde{H}$$

$$H = p^2 - \frac{1}{2} \frac{d^2}{dx^2}$$

$$\tilde{H} = p^2 + \frac{1}{2} \frac{d^2}{dx^2} - \frac{1}{2} x^2$$



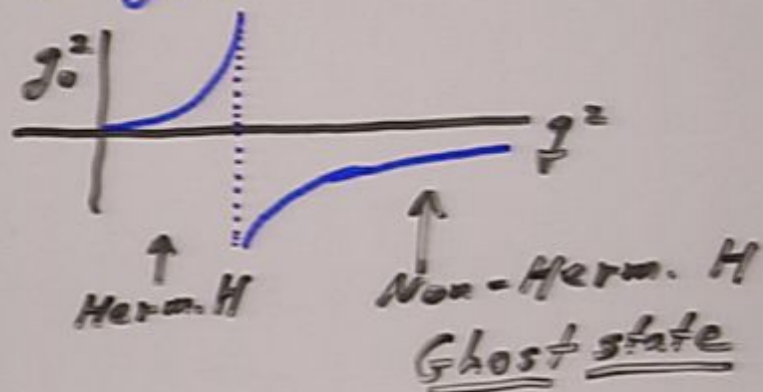
$-xy - x^2$

1954 Lee Model

$$V \rightleftharpoons N + \theta$$

renormalizable

$$H_I = g_0 (V^\dagger N \theta + N^\dagger \theta^\dagger V)$$



Pauli
Wick
Källén
...

"A non-Hermitian Hamiltonian is unacceptable partly because it may lead to complex energy eigenvalues, but chiefly because it implies a non-unitary S matrix, which fails to conserve probability and makes a hash of the physical interpretation."

-Introduction to *Advanced Field Theory* by G. Barton (1963)

Problem Solved in 2005!

CMB, S.F. BRANDT, J.-H. CHEN, Q. WANG
Phys. Rev. D **71**, 025014 (2005)

Solution
Lee model Hamiltonian
becomes PT symmetric!

$$c = \left[1 - n_V - n_N + n_V n_N + \frac{\mu_0 n_V (1 - n_V)}{\sqrt{\mu_0^2 + 4g_0^2 n_0}} + \frac{\mu_0 n_N (1 - n_V)}{\sqrt{\mu_0^2 + 4g_0^2 n_0}} \right. \\ \left. - \frac{2g_0 \sqrt{n_0}}{\sqrt{\mu_0^2 + 4g_0^2 n_0}} a^\dagger N^\dagger V \right] (1 - 2n_V)(1 - 2n_N) e^{i\pi n_0}.$$

Ghost busted

$$(|G\rangle)_{CP_T} \cdot |G\rangle > 0$$



I'm very
excited about
PT symmetry!

$$V \rightleftharpoons N+0$$

$$\begin{aligned} p &\rightarrow -p \\ x &\rightarrow x \end{aligned}$$

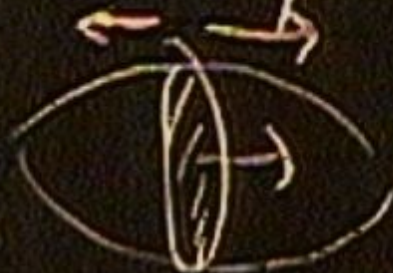
$$\begin{aligned} &p^2 + ix^3 \\ &p^2 + q^2 + \\ &x^2 + y^2 \end{aligned}$$

$$x^4$$

$$V \rightleftharpoons N + 0$$

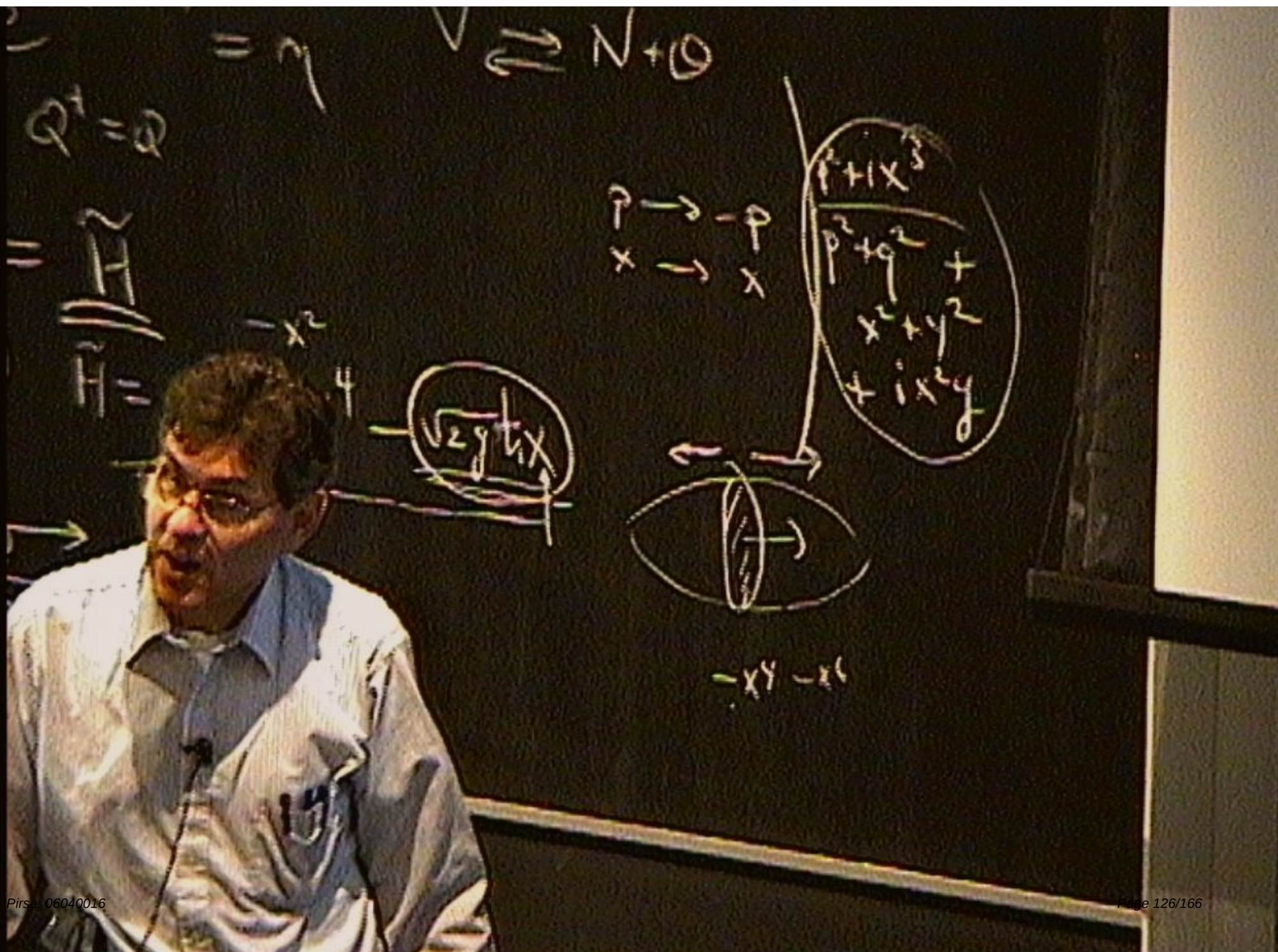
$$\begin{aligned} p &\rightarrow -p \\ x &\rightarrow x \end{aligned}$$

$$\begin{aligned} &p^2 + ix^3 \\ &p^2 + q^2 + \\ &\quad x^2 + y^2 \\ &\quad + ix^2y \end{aligned}$$

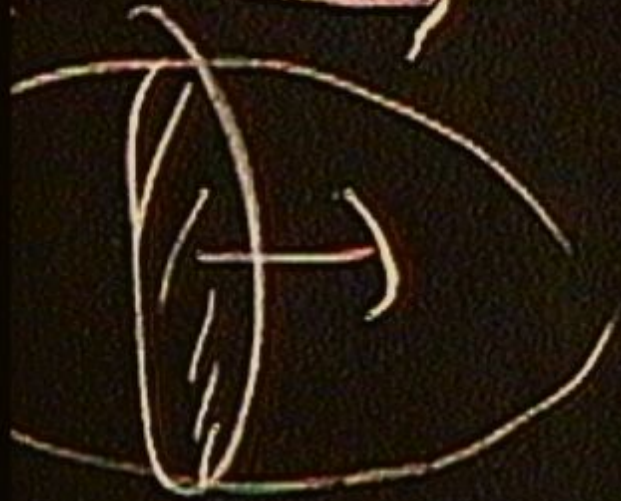


$$-xy - xl$$

$$izy + ix$$



$$\rightarrow x \quad \left(p + q^2 + x^2 + y^2 + ix^2y \right)$$

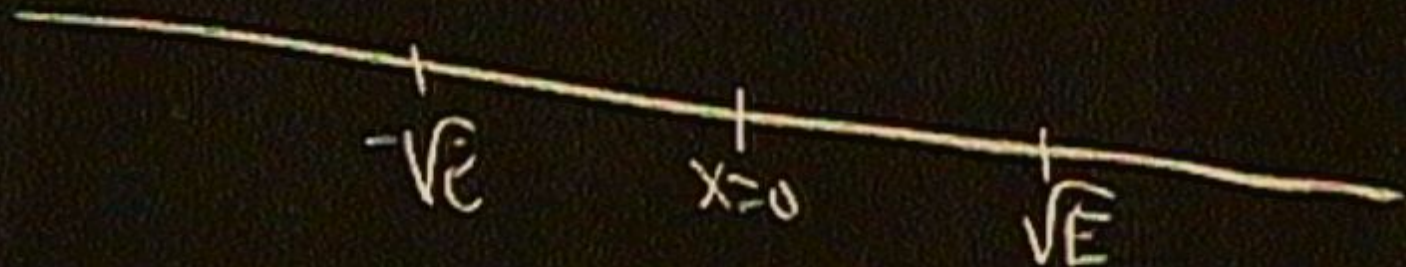


$$ixyz$$

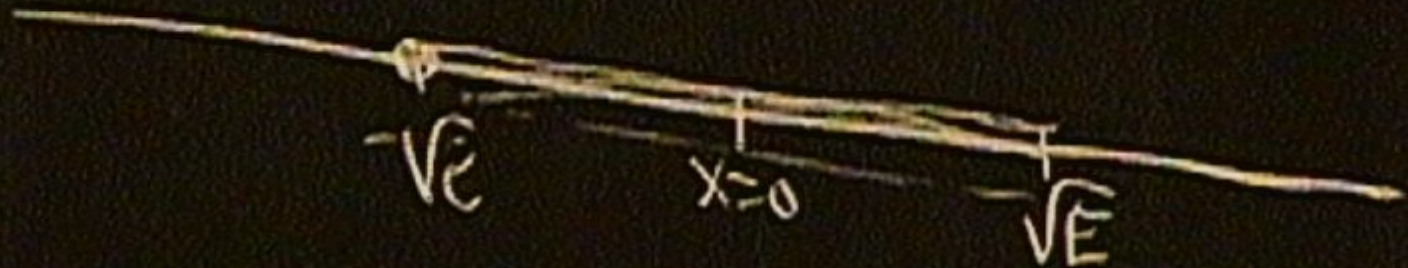
$$p^2 + x^2 = H$$

$$p^2 + x^2 = H = E$$
$$x_{\pm} = \pm \sqrt{E}$$

$$p^2 + x^2 = H = E$$
$$x_{\pm} = \pm \sqrt{E}$$

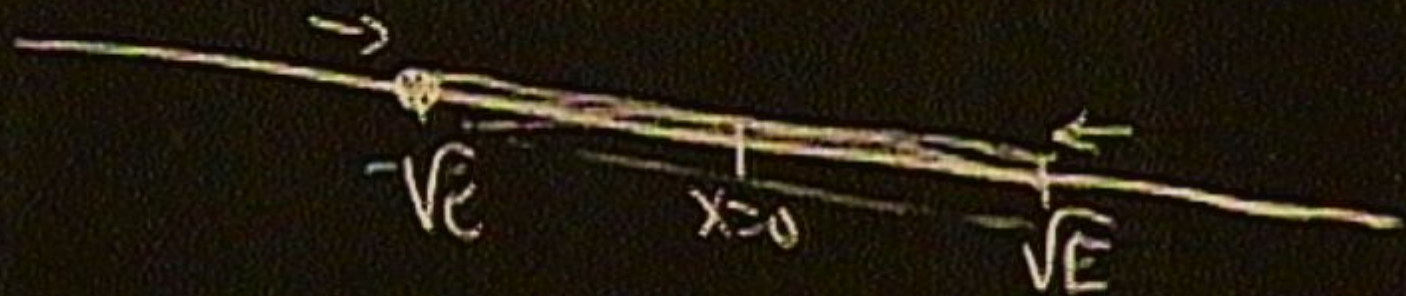


$$p^2 + x^2 = H = E$$
$$x_{\pm} = \pm \sqrt{E}$$



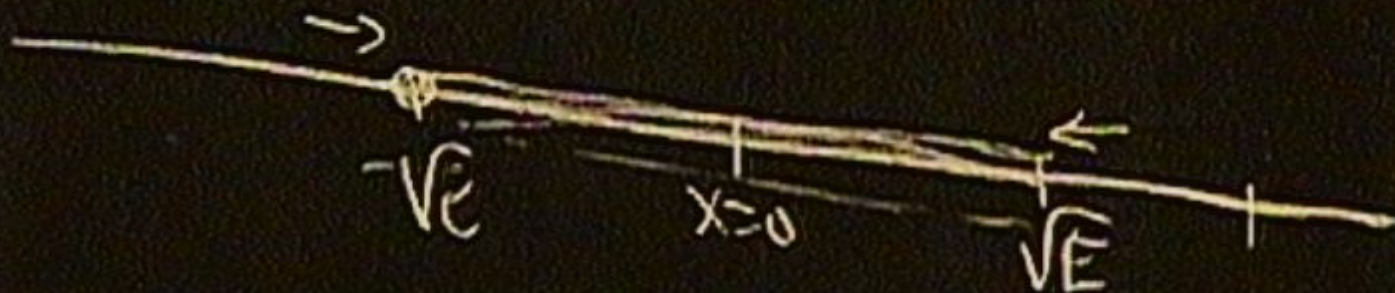
$$p^2 + x^2 = H = E$$

$$x_{\pm} = \pm \sqrt{E}$$



$$p^2 + x^2 = H = E$$

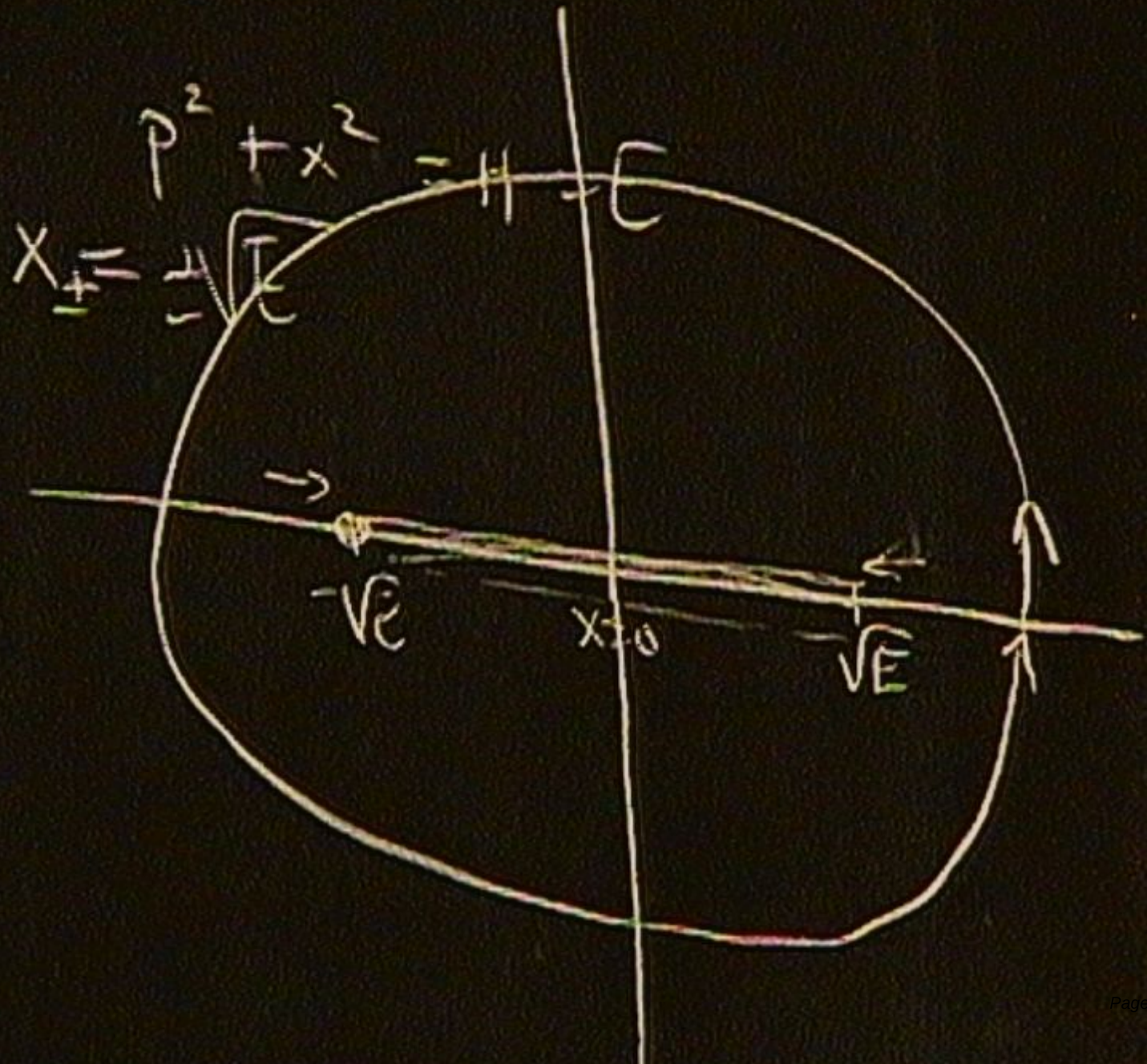
$$x_{\pm} = \pm \sqrt{E}$$

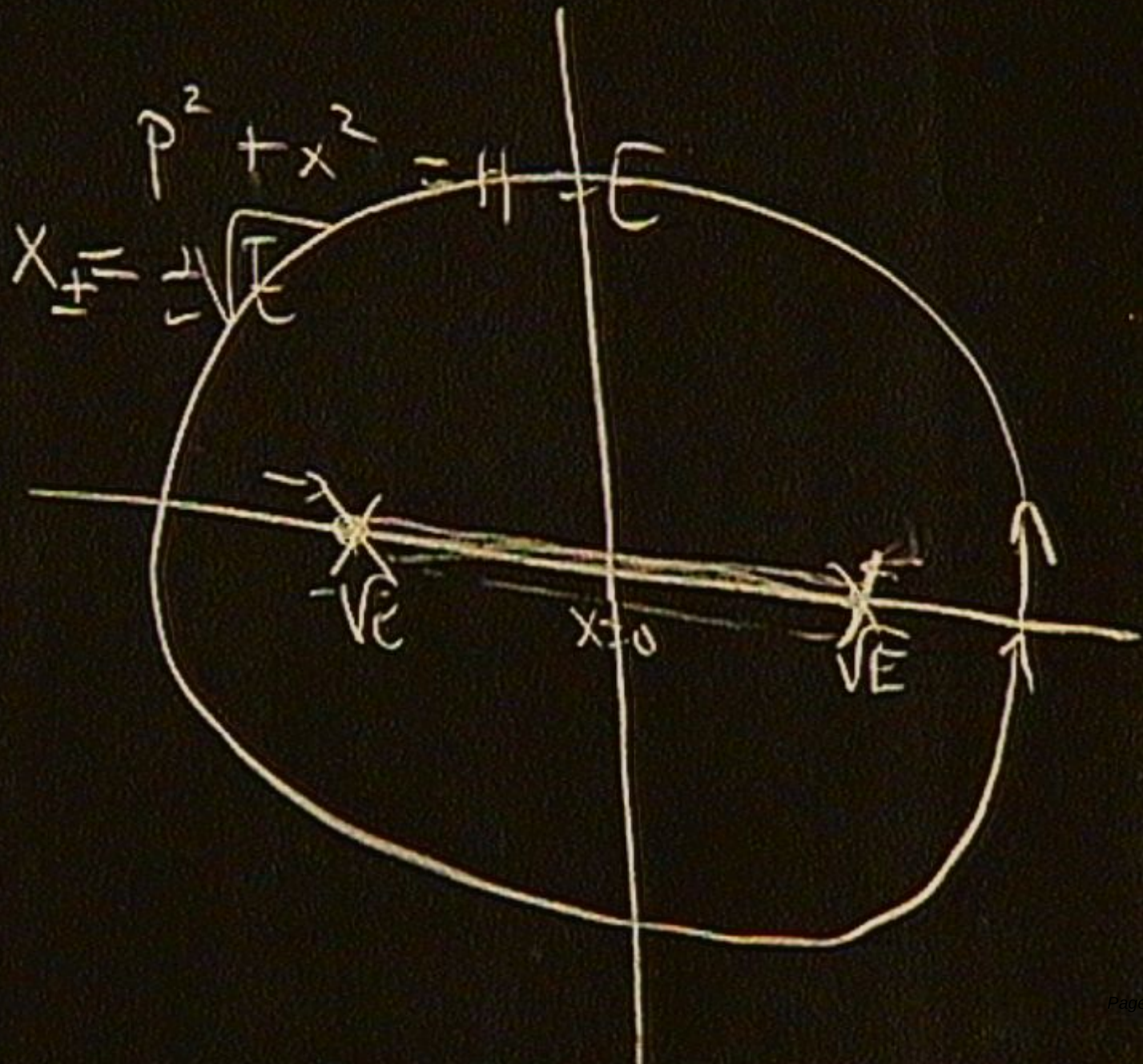


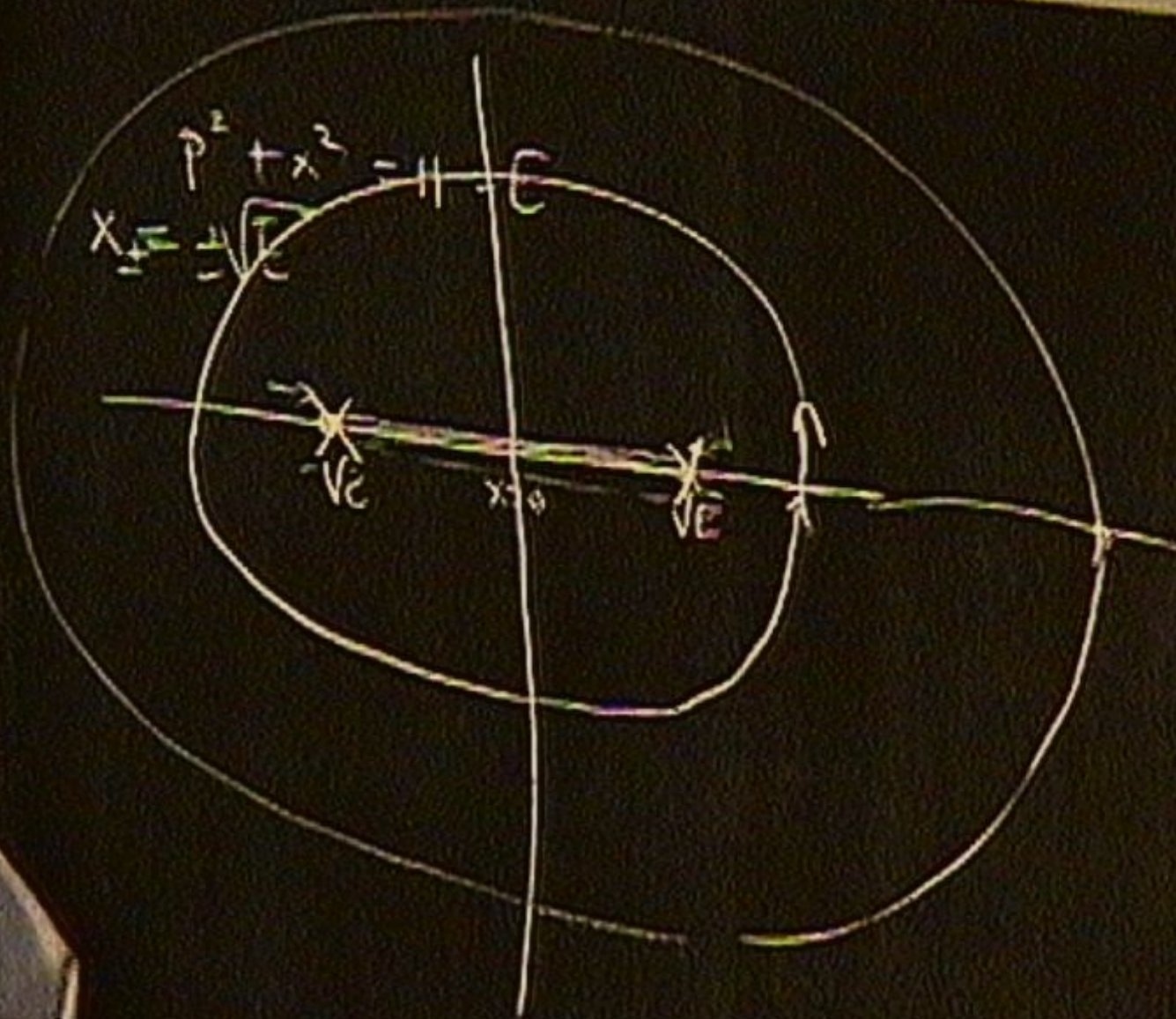
$$p^2 + x^2 = H = E$$

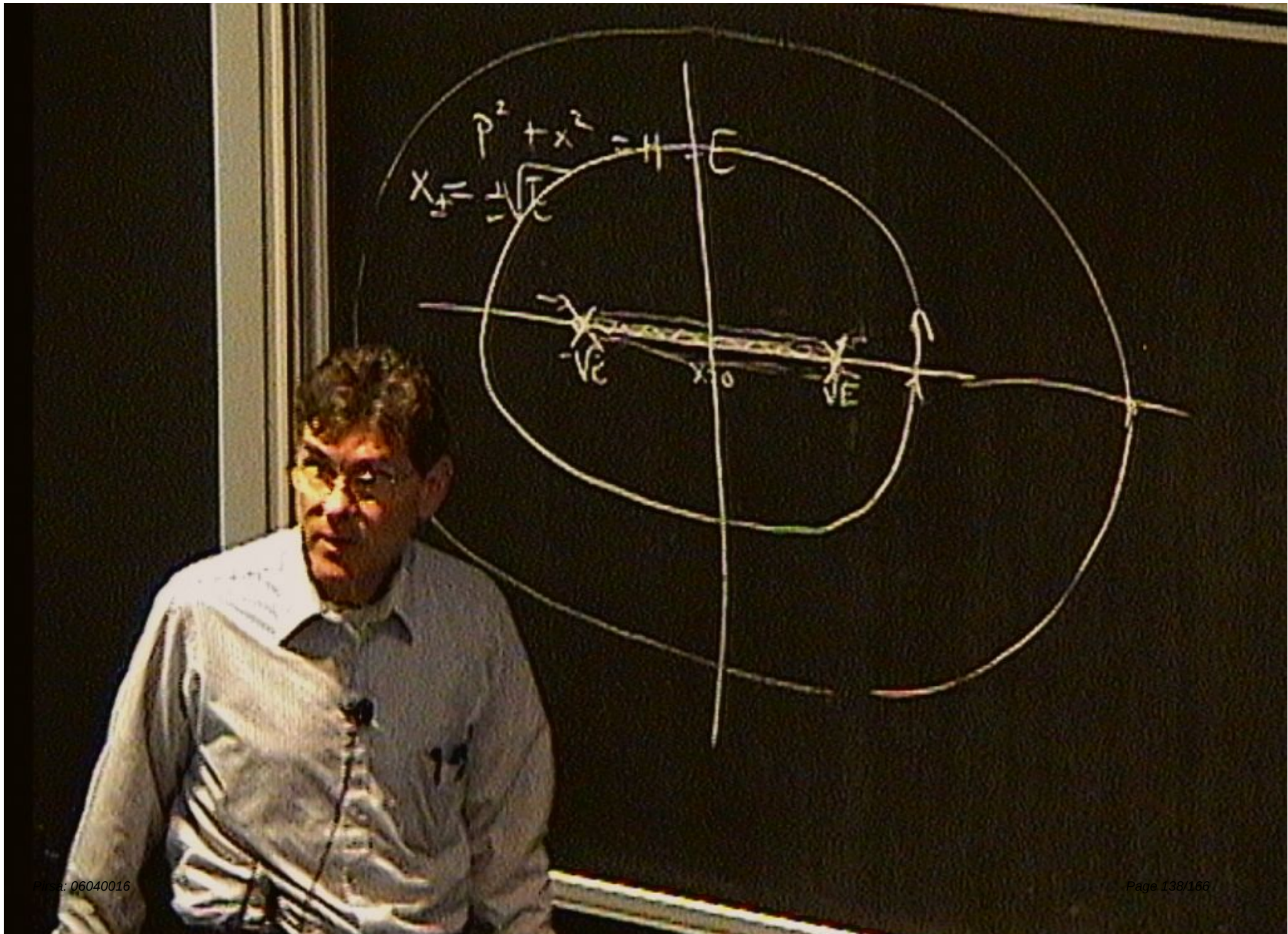
$$x_{\pm} = \pm \sqrt{E}$$

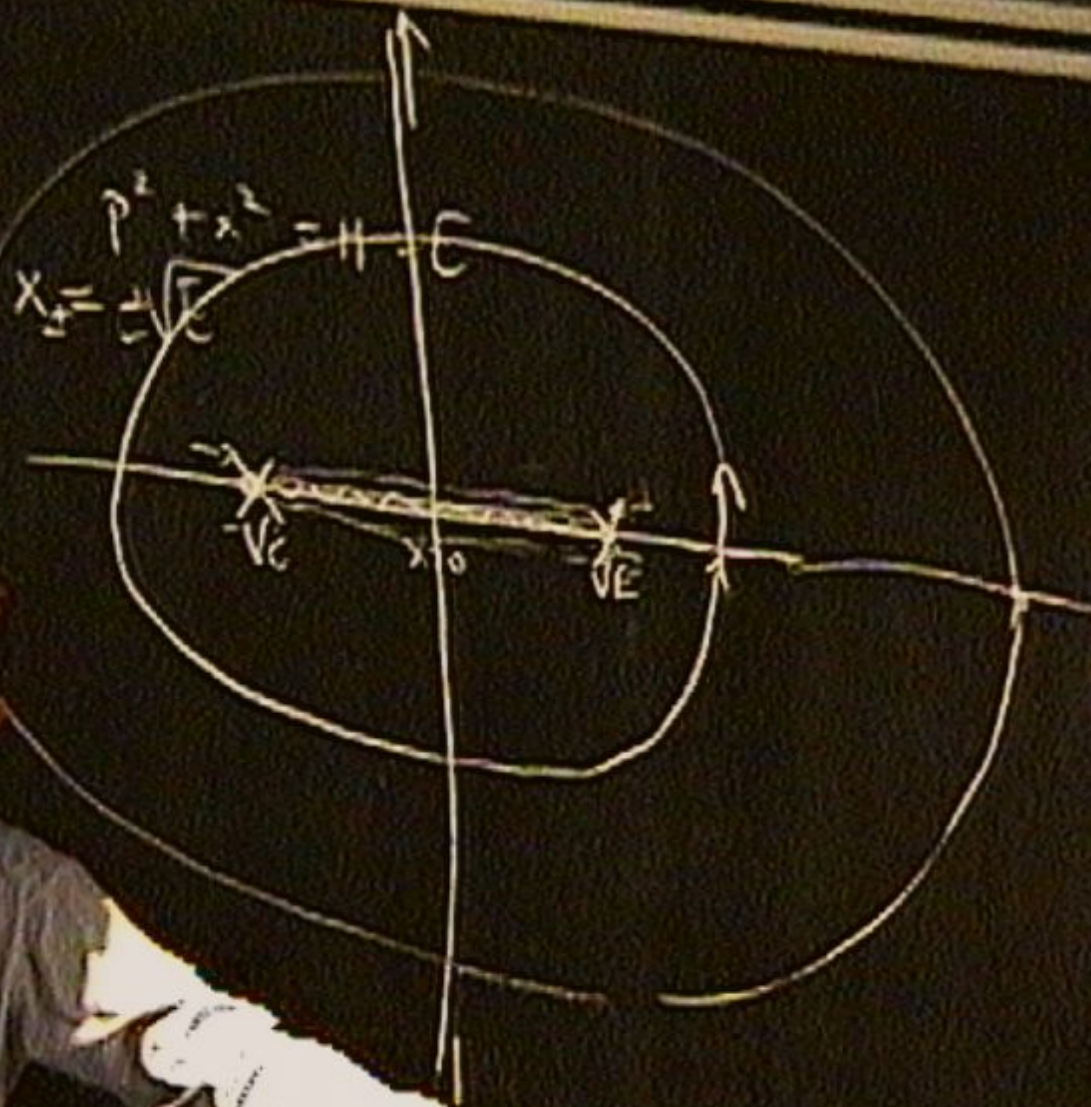


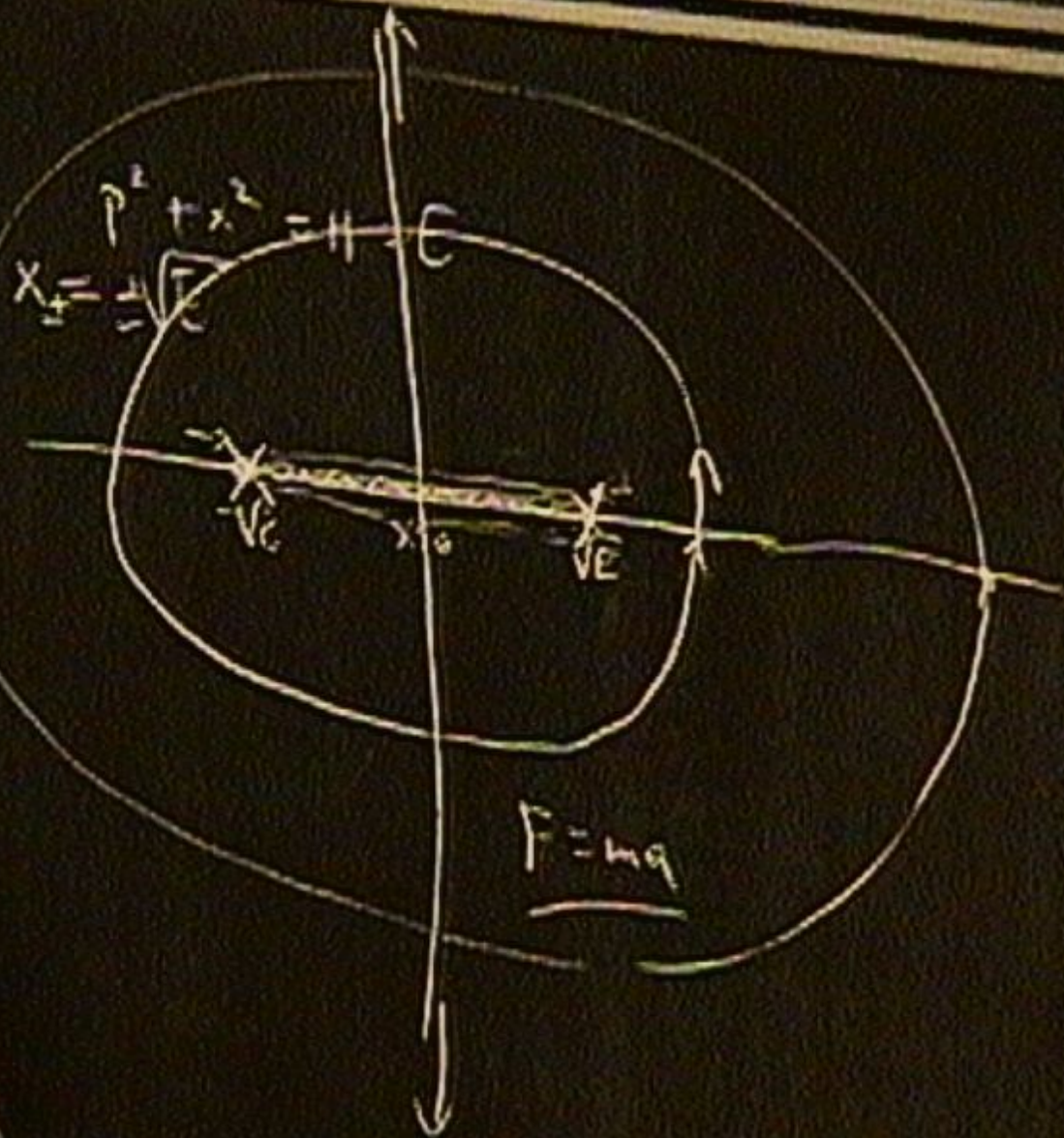


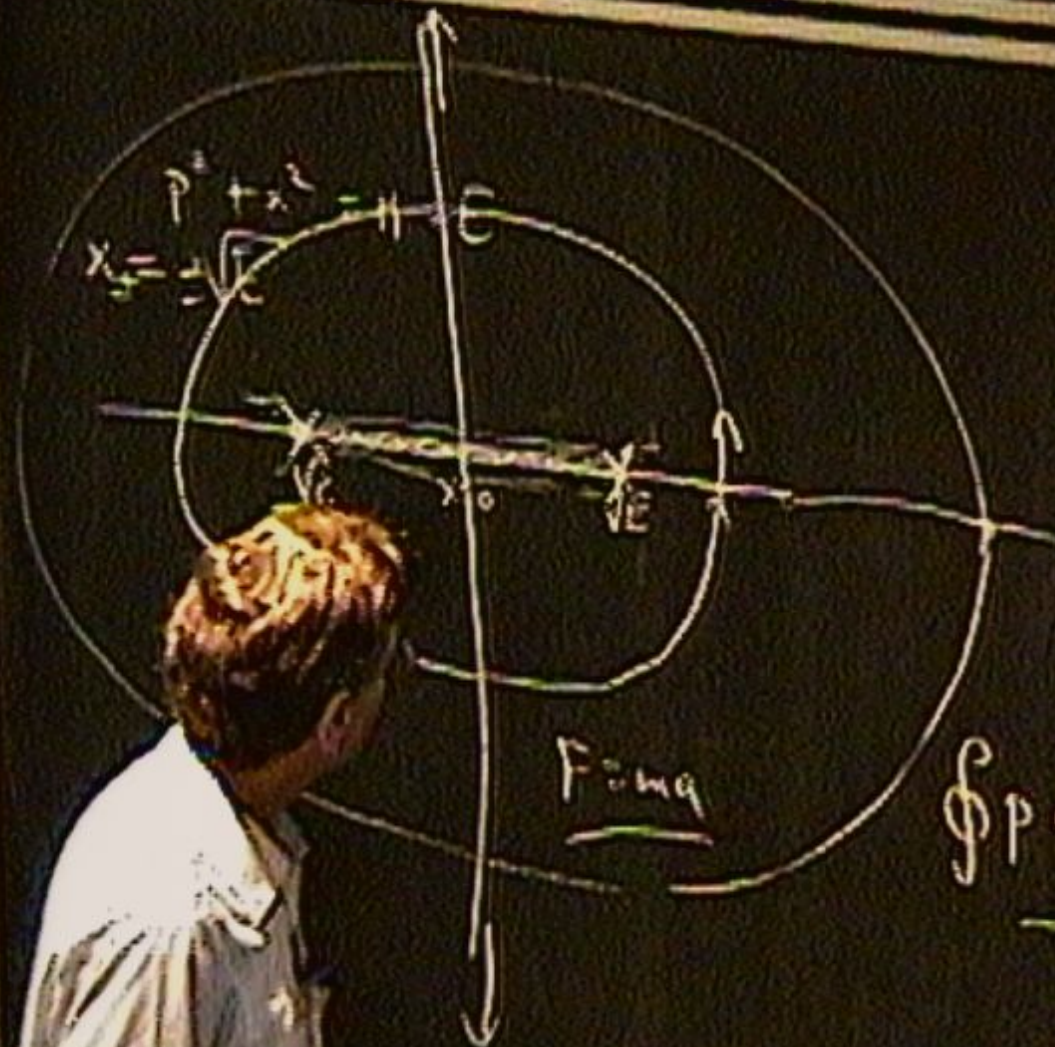




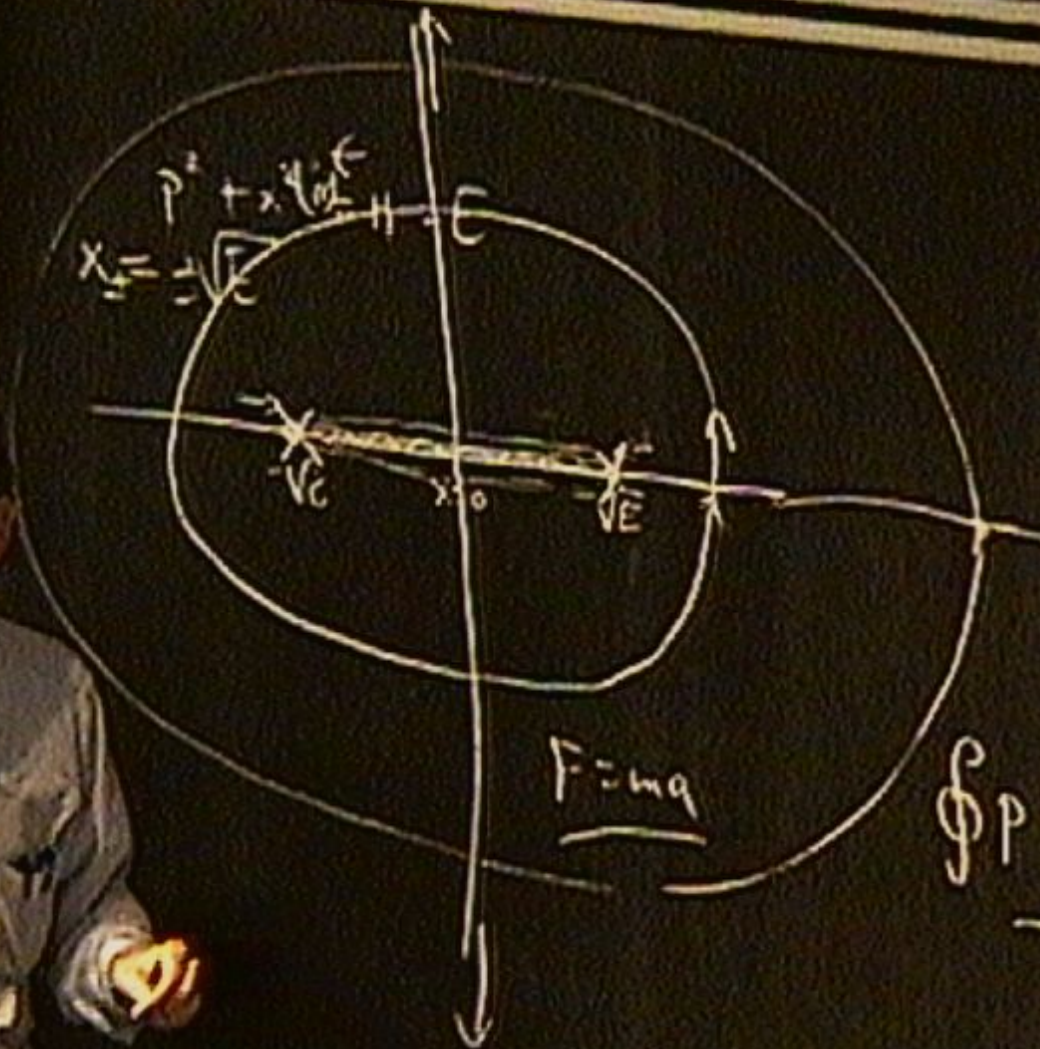




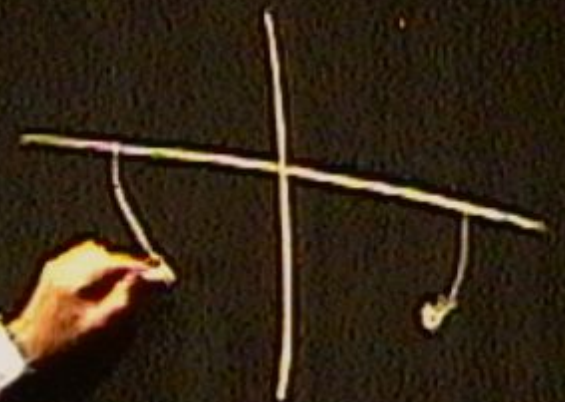
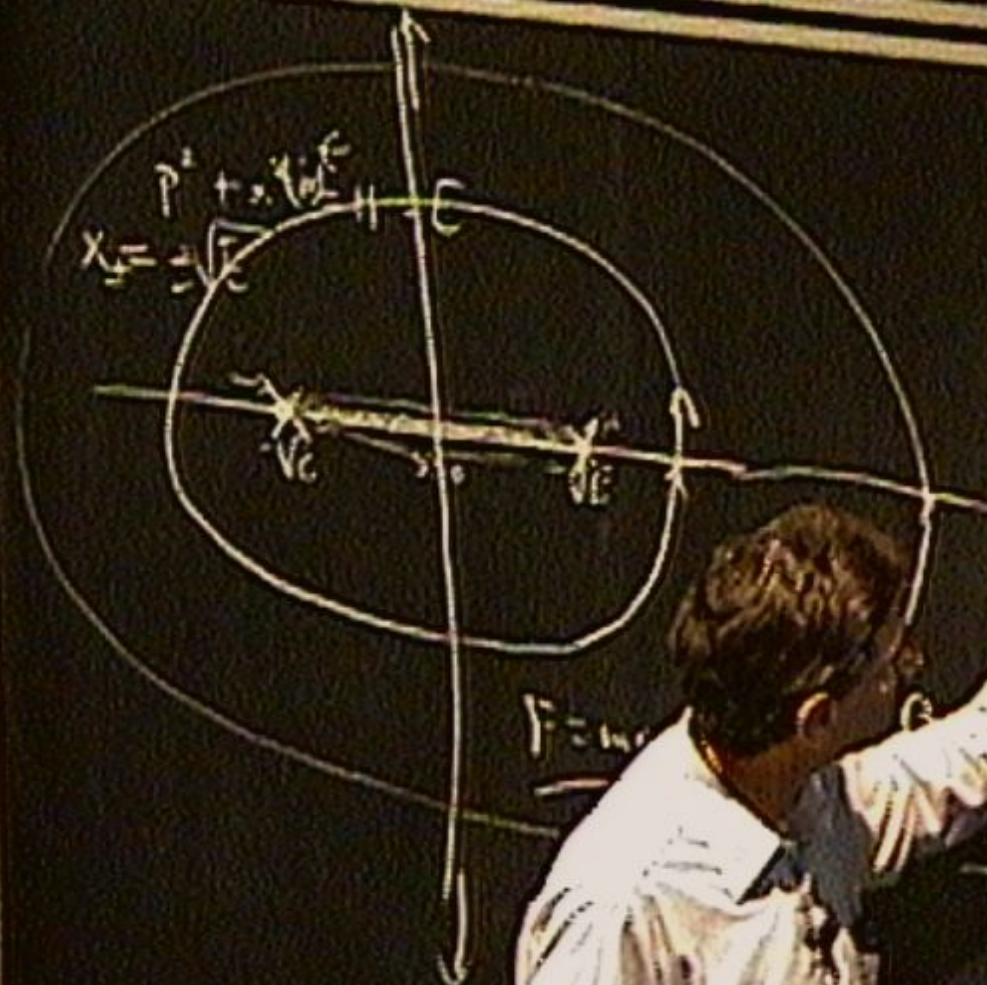




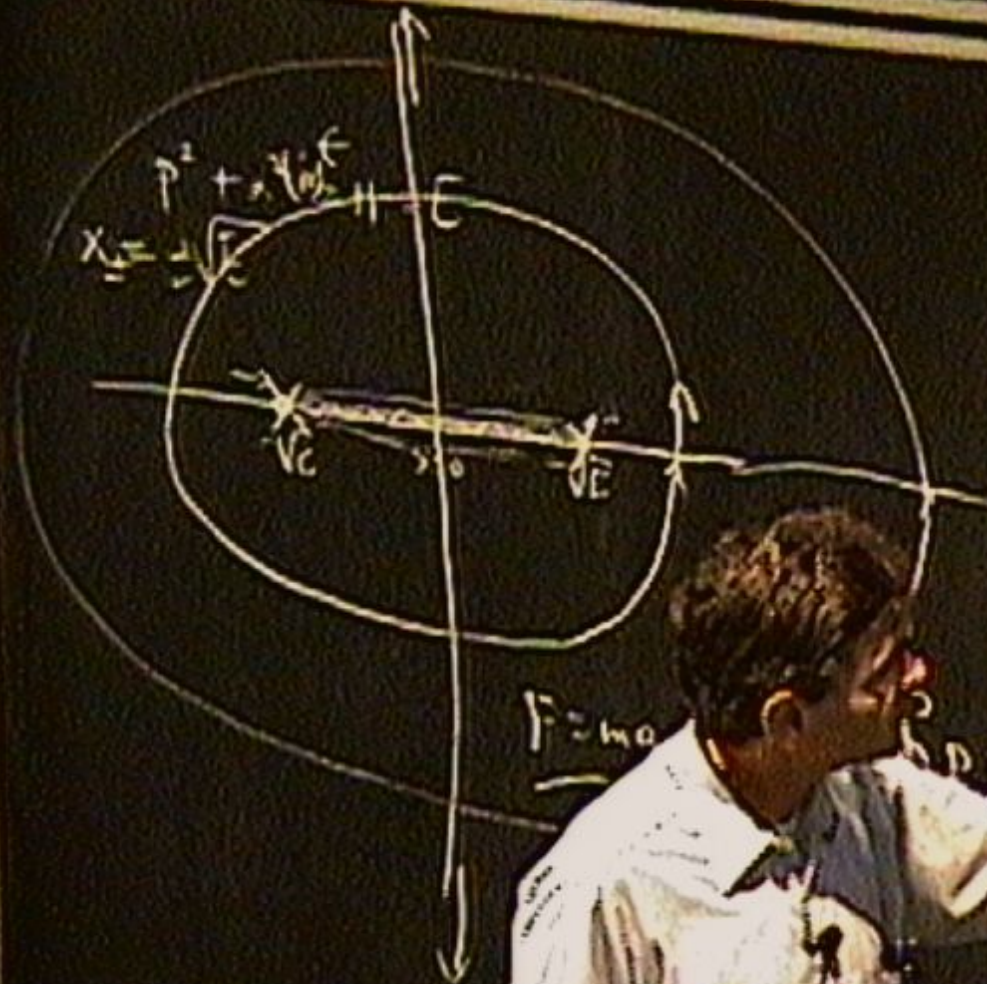
$$\oint p \, dx = (n + \frac{1}{2})\pi$$



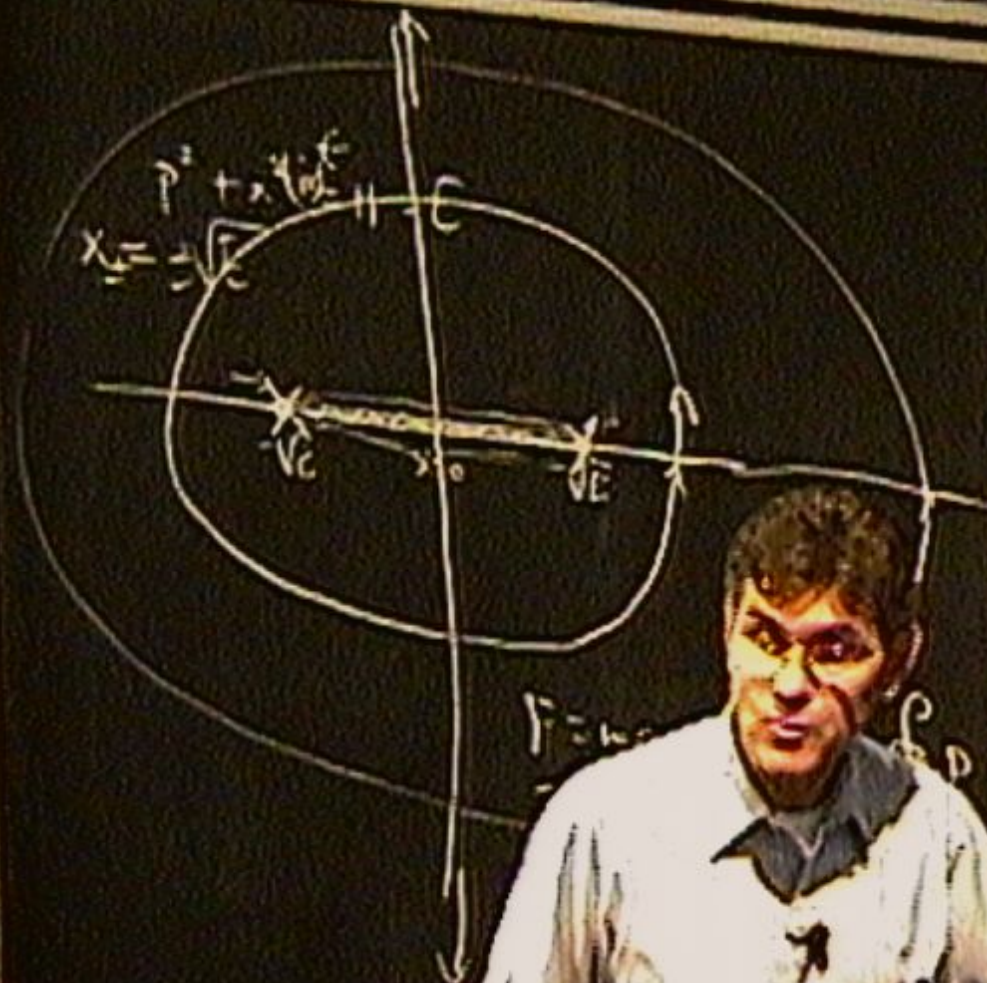
$$\oint p \, dx = (n + \frac{1}{2})\pi$$



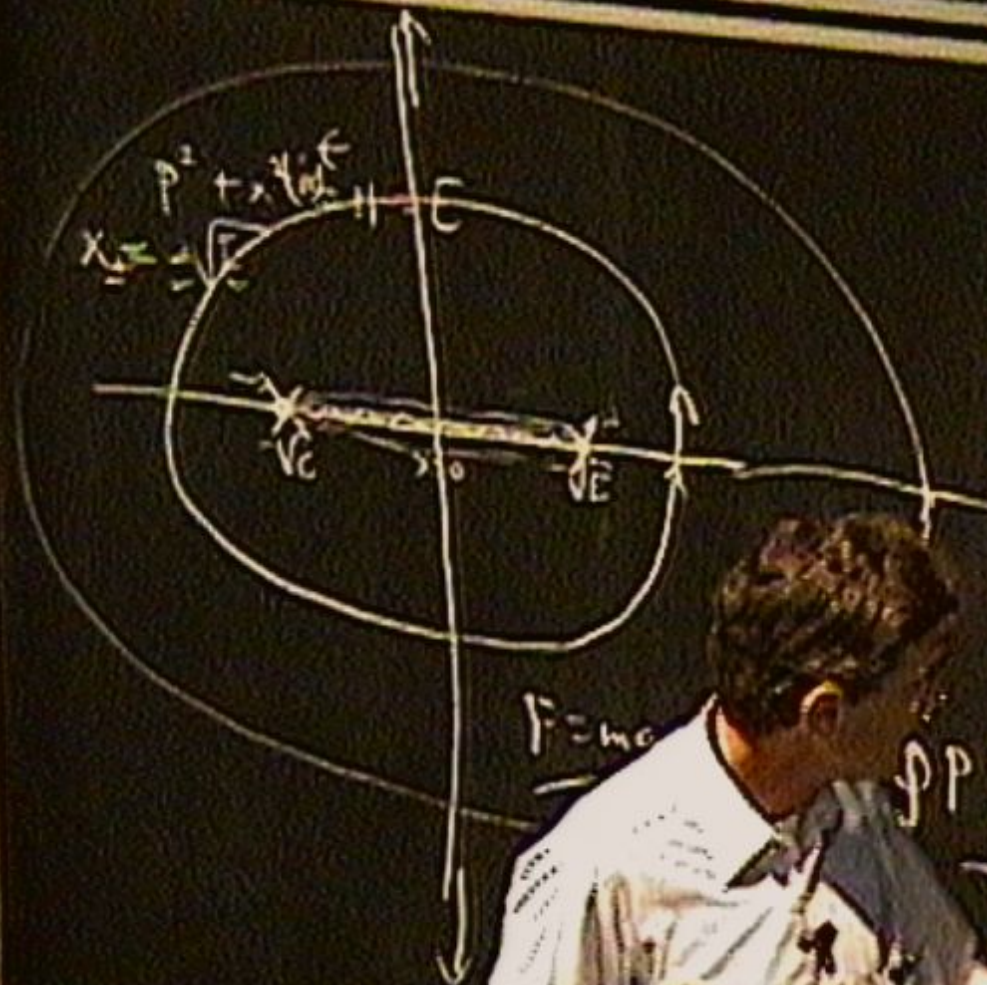
$$-(1 + \frac{1}{2})\pi$$



$$\vec{r} = m_0 \int \vec{p} d\lambda = \dots$$

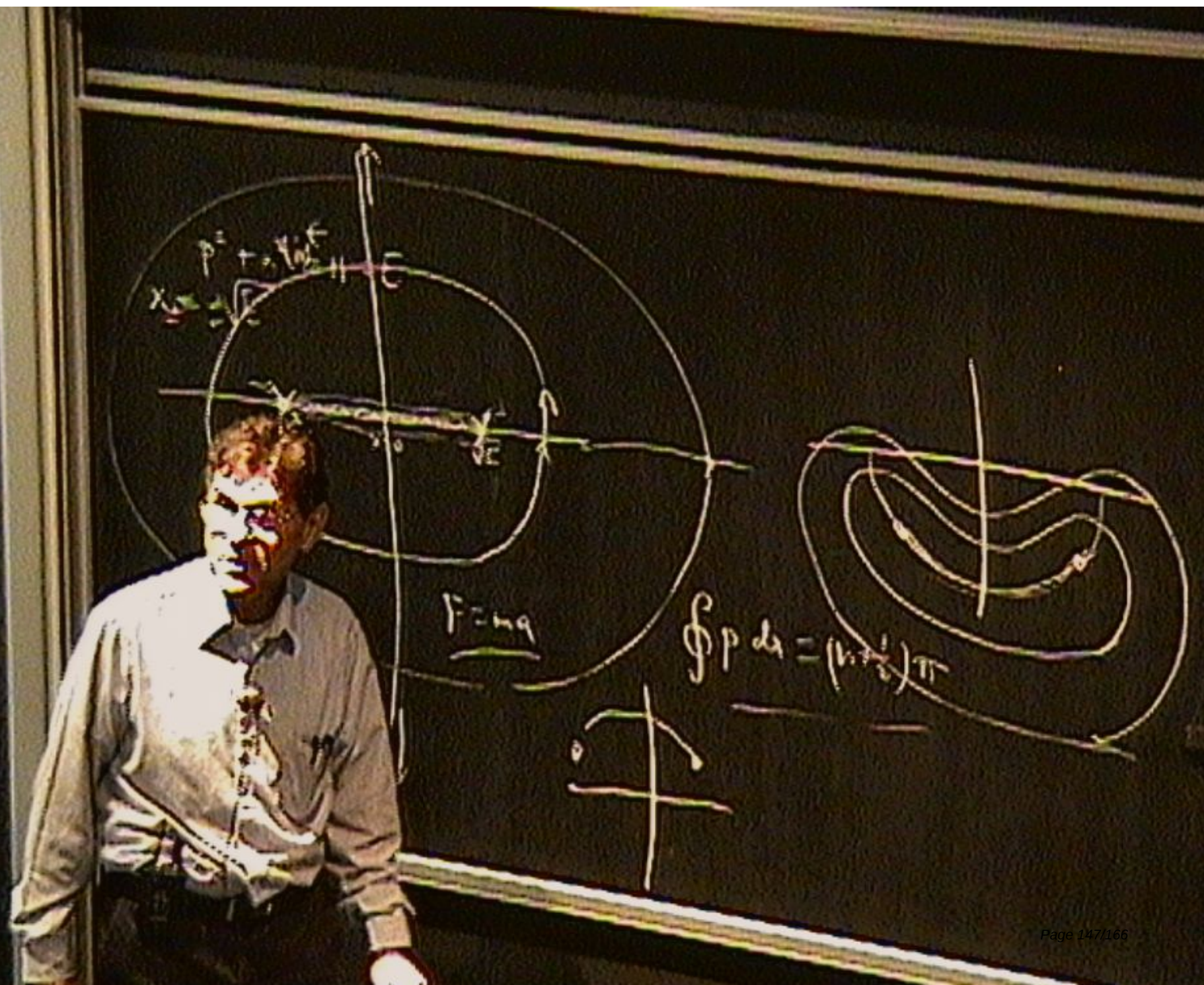


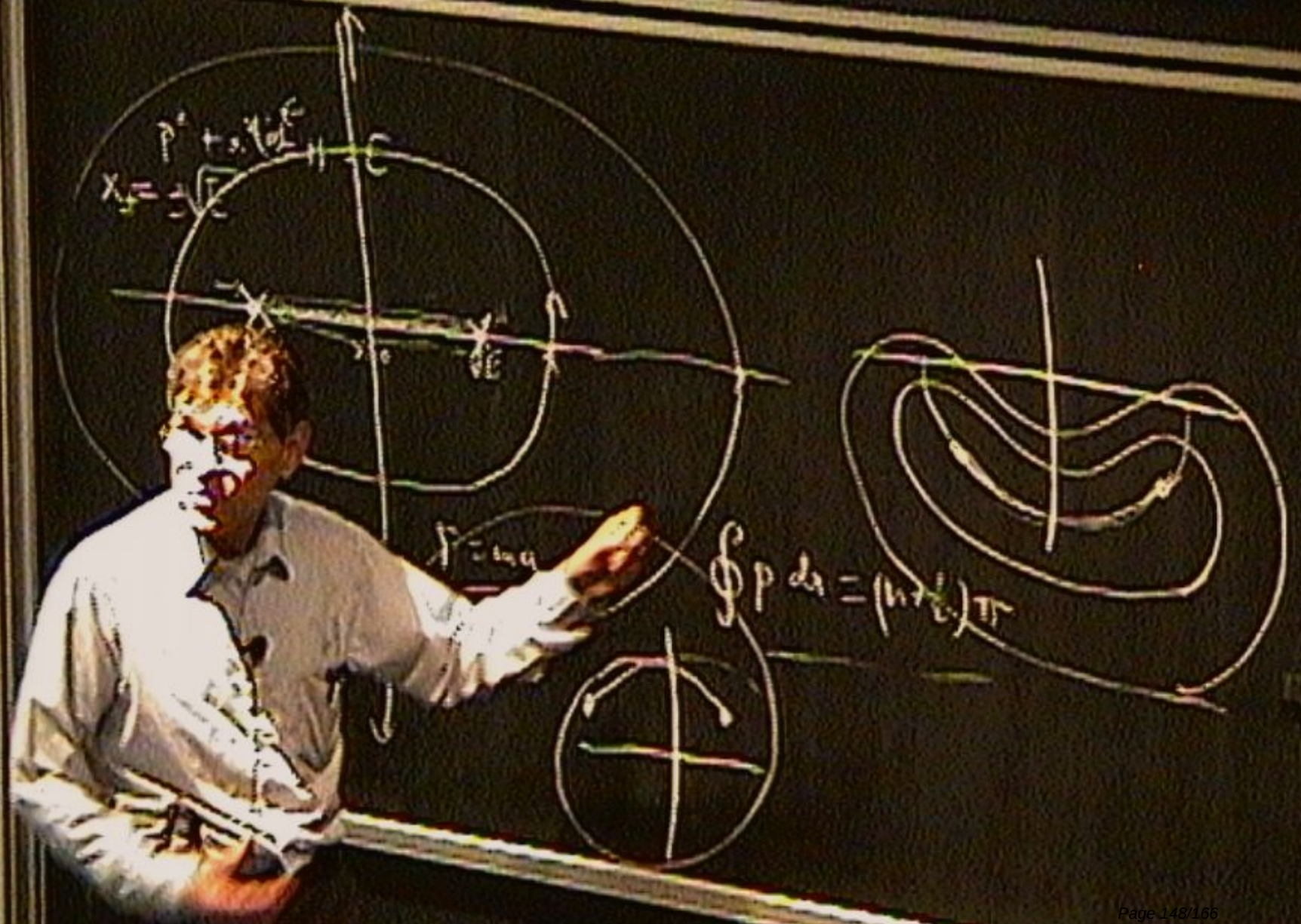
$$\int_{-V_C}^{V_C} p \, dx = (h + \frac{1}{2}) \pi$$

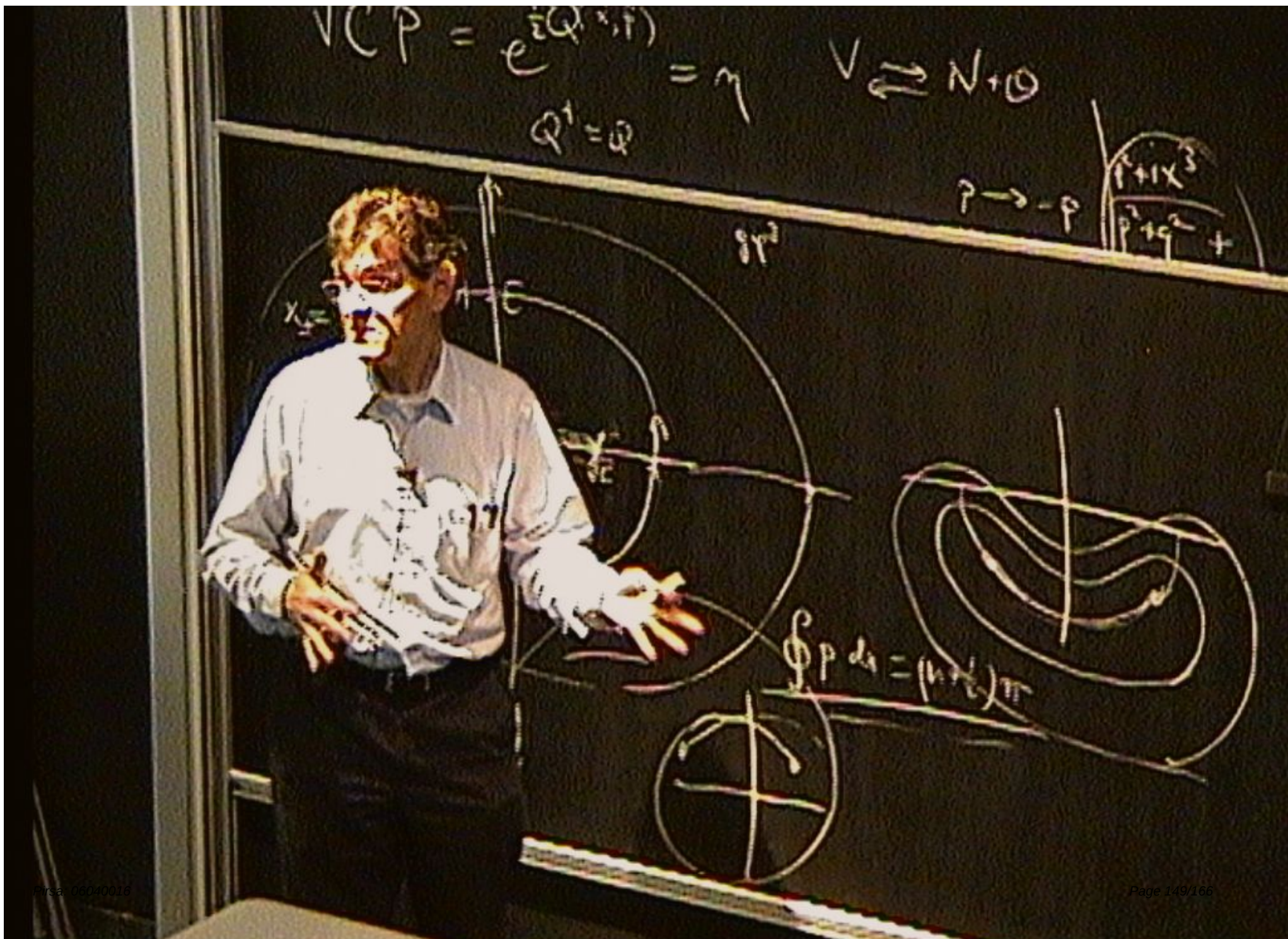


$$V = m\phi$$

$$\oint p \, dx = (n + \frac{1}{2})\pi$$







$$VCP = e^{iQ(x,t)}$$

$$= \eta$$

$$V \approx N+0$$

$$Q^1 = Q$$

$$p \rightarrow -p$$

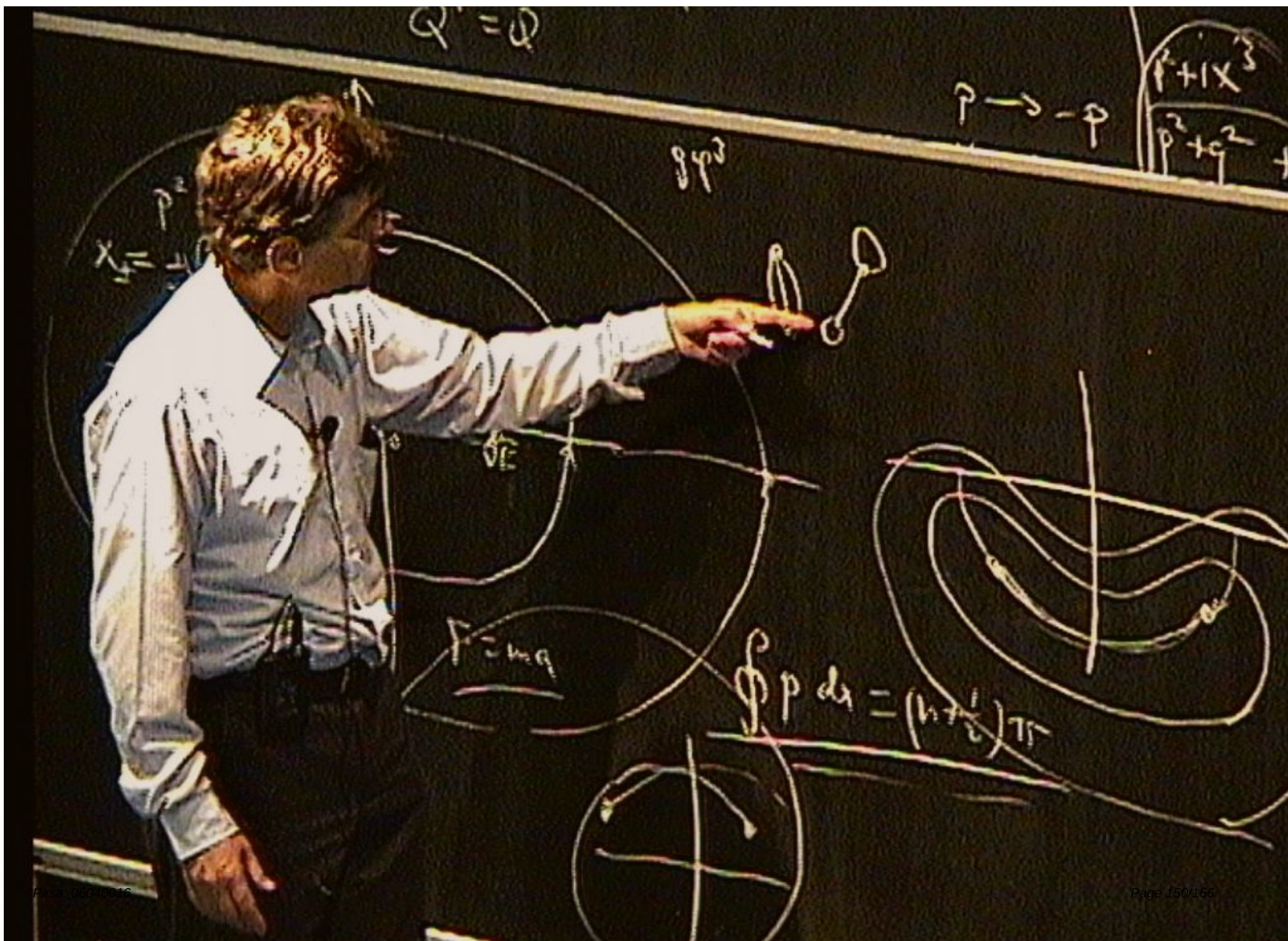
$$\frac{p^2 + ix^3}{p^2 + q^2 +}$$

$$g\gamma^2$$

$$x_2 = \epsilon$$

$$y/E$$

$$\oint p \, dx = (n + \frac{1}{2})\pi$$



$$Q' = Q$$

$$p \rightarrow -p$$

$$\frac{p^2 + ix^3}{p^2 + q^2} +$$

$$g\varphi^3$$

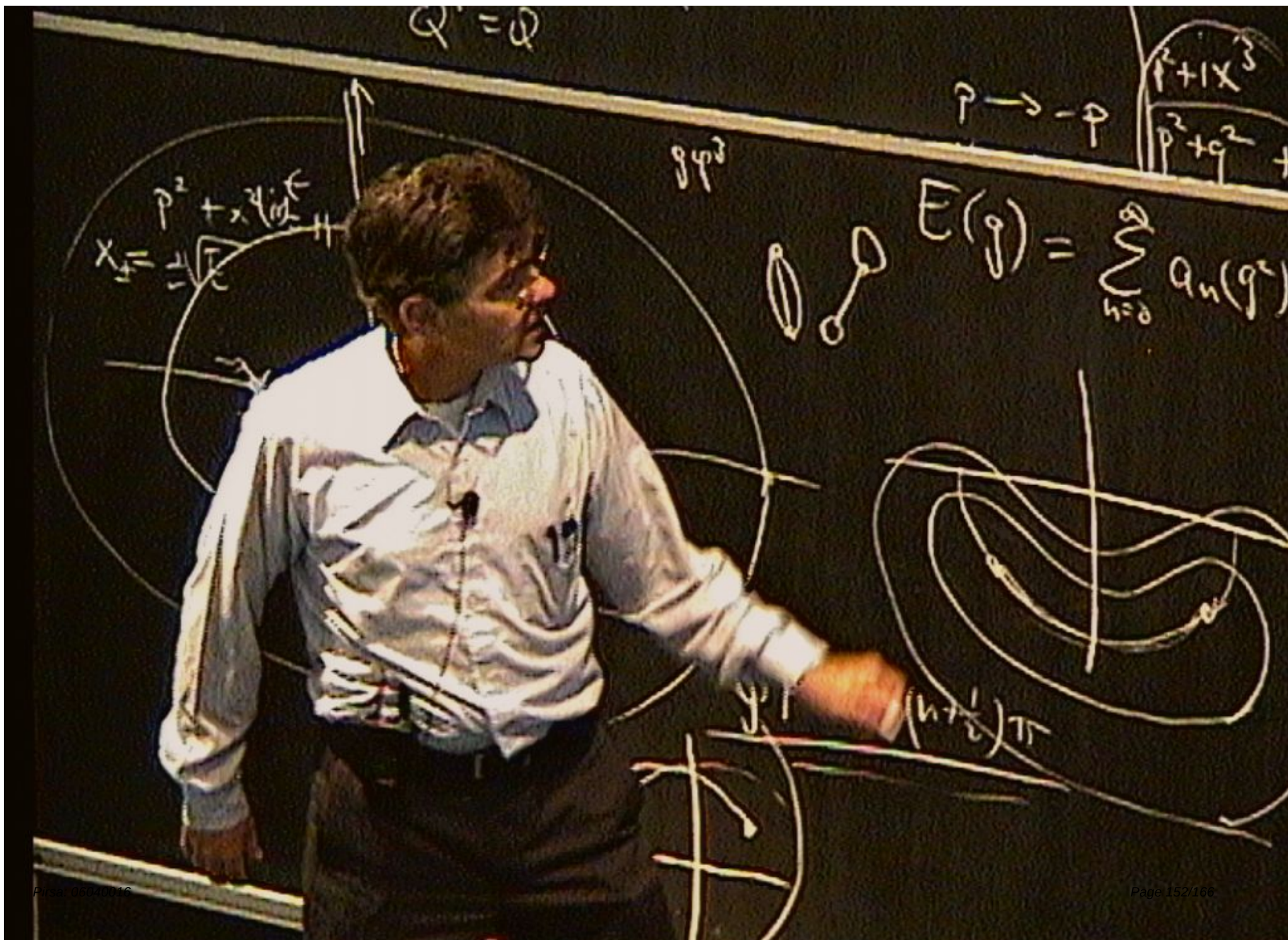
$$E(g) = \sum_{h=0}^{\infty}$$

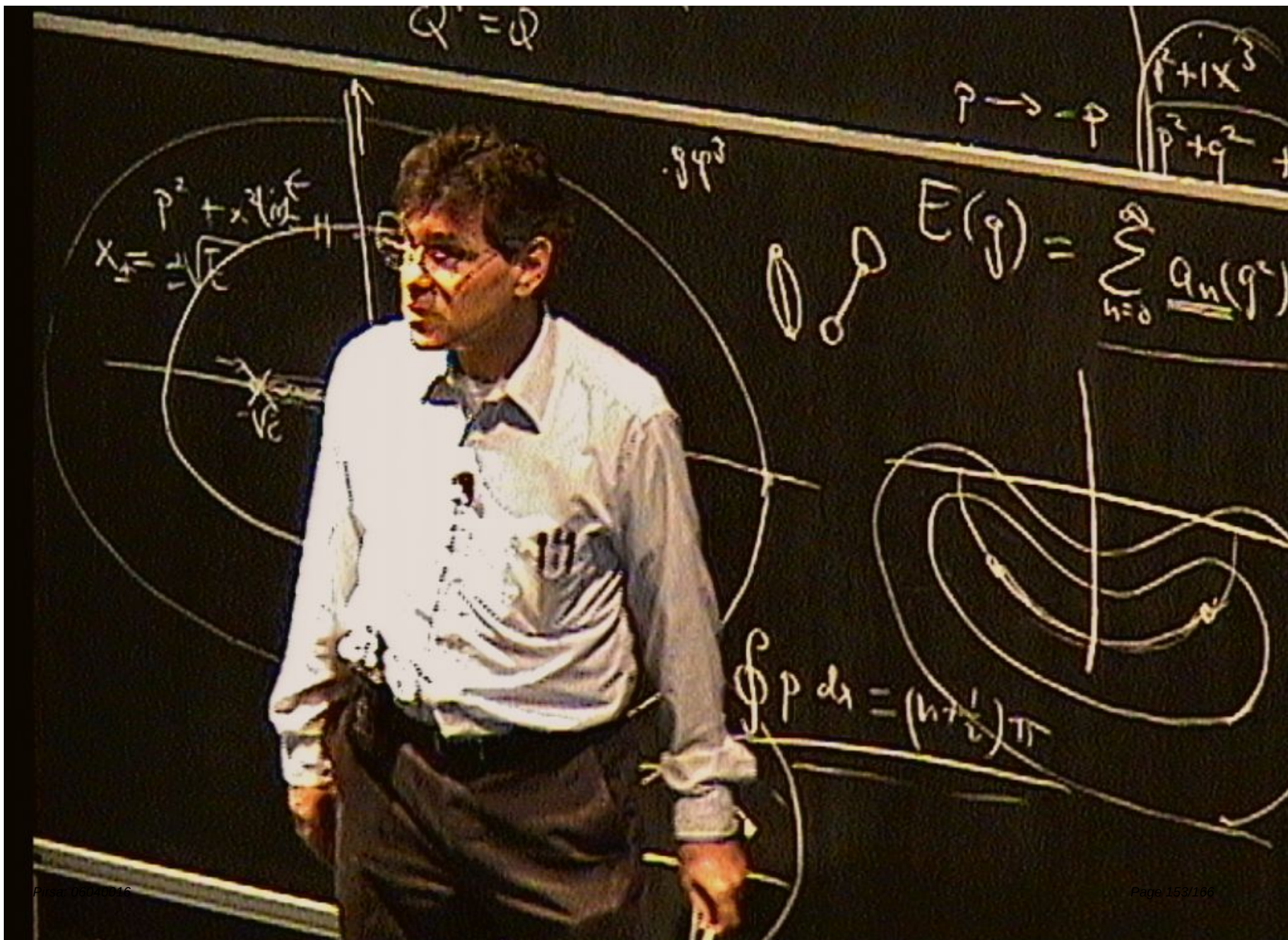
$$p^2 + x^2 m^2$$

$$x_{\pm} = \pm \sqrt{E}$$



$$= (n + \frac{1}{2})\pi$$





$$Q' = Q$$

$$p \rightarrow -p$$

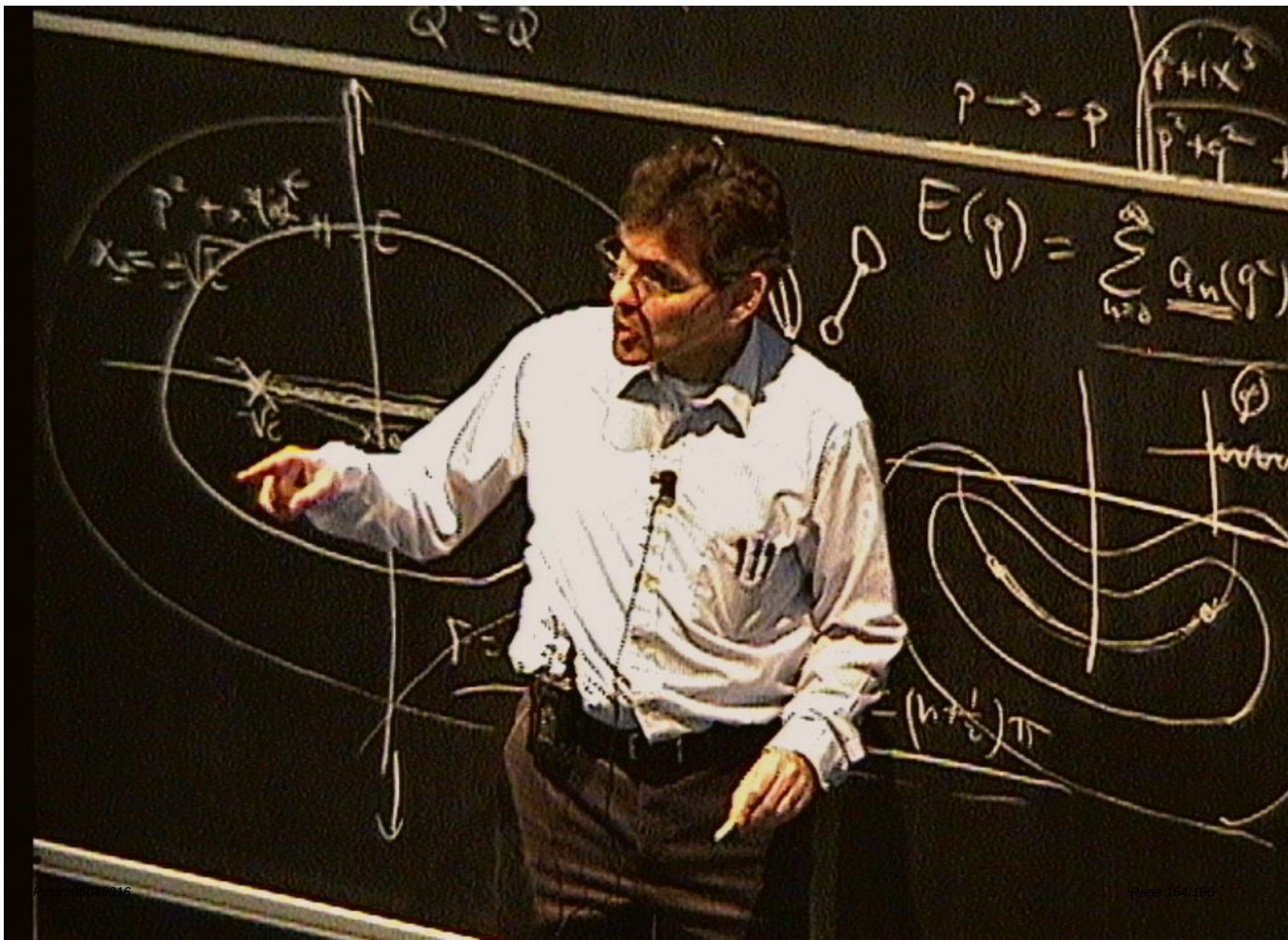
$$\frac{p^2 + ix^3}{p^2 + q^2} +$$

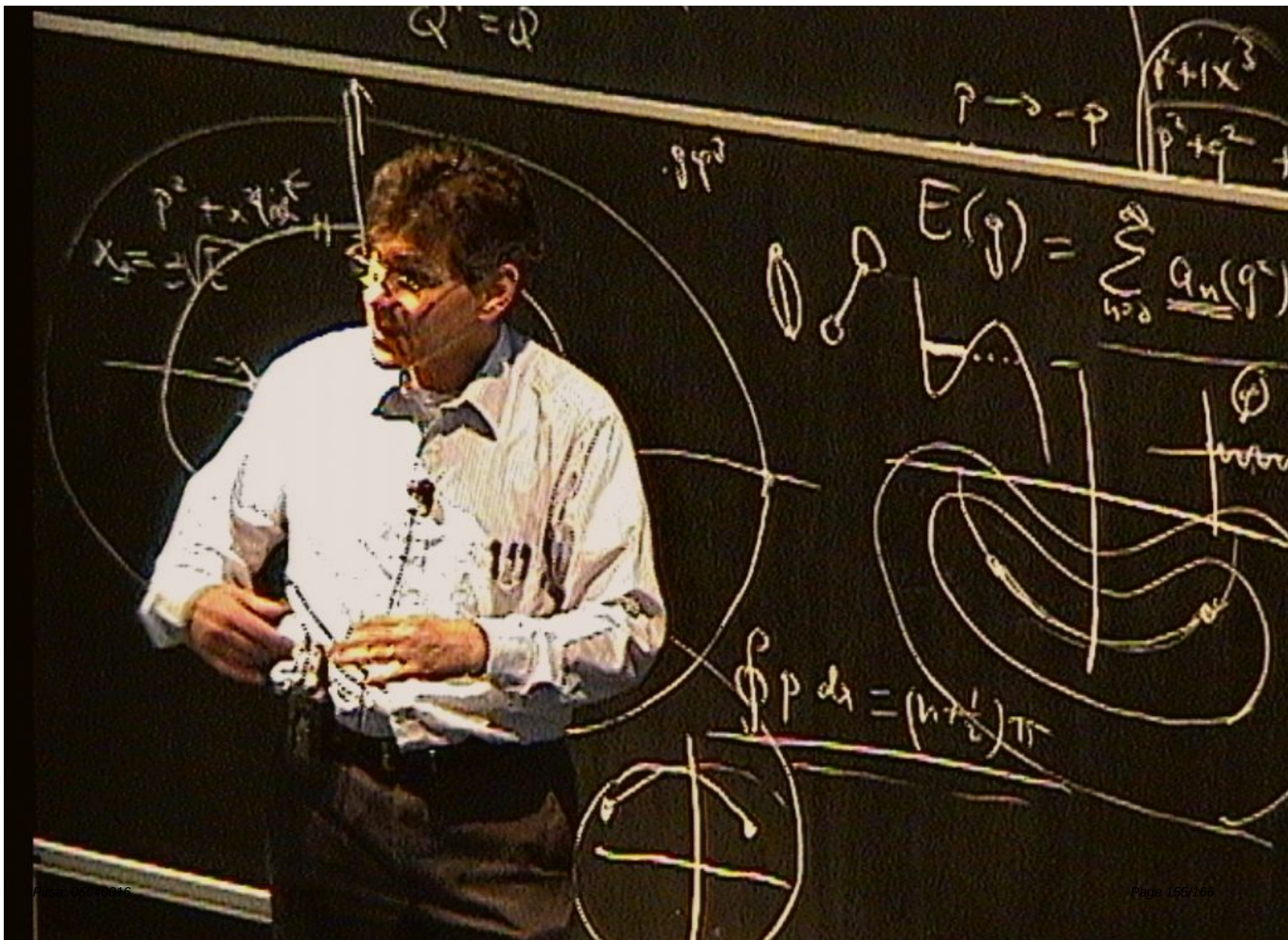
$$g\varphi^3$$

$$E(g) = \sum_{n=0}^{\infty} \underline{a_n(g^2)}$$



$$\oint p \, dx = (n + \frac{1}{2})\pi$$



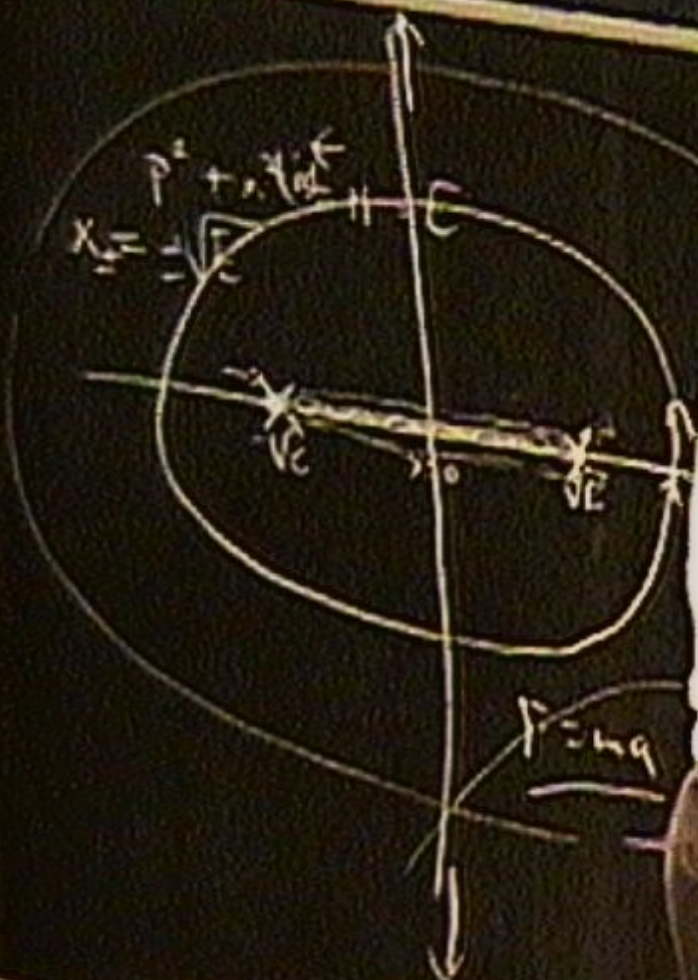


$$V(p) = e^{2i\alpha(p)} = \gamma \quad V \Rightarrow N+0$$

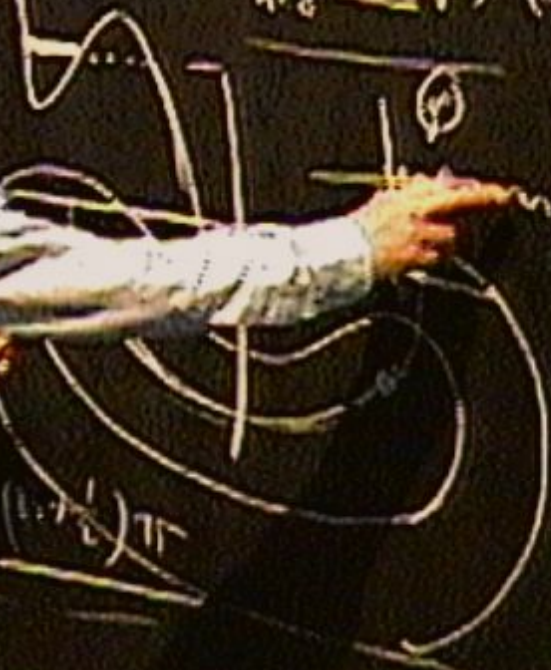
$$Q^1 = Q$$

$$p \rightarrow -p$$

$$\frac{p^2 + i\alpha^2}{p^2 + q^2 + \dots}$$



$$E(q) = \sum_{n=0}^{\infty} \frac{q_n(q')^n}{(-1)^n}$$

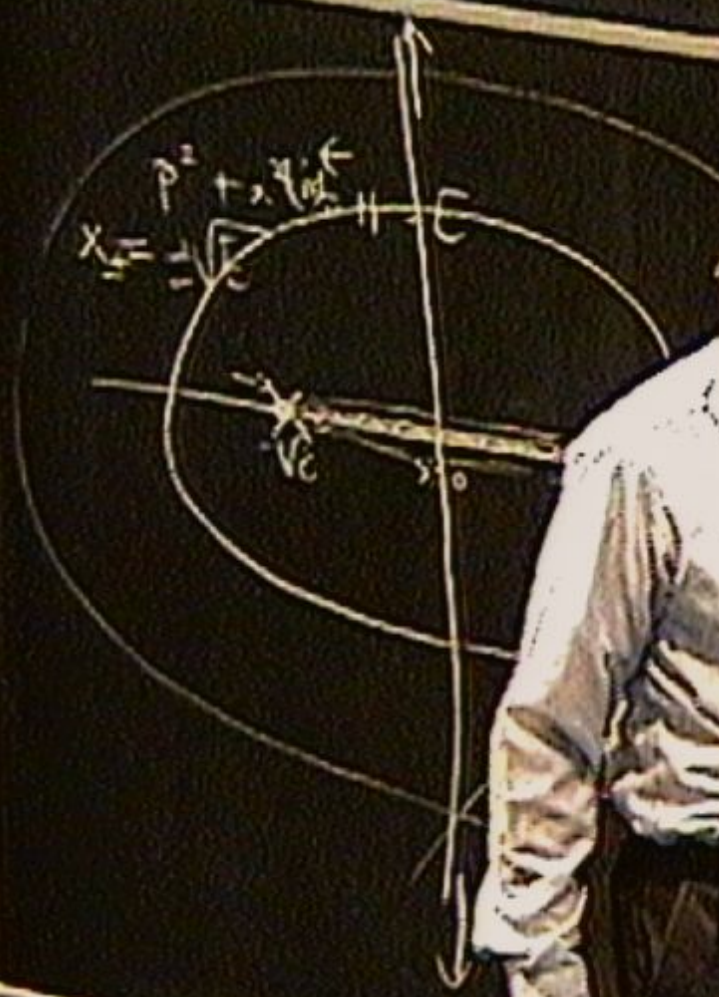


$$VCP = e^{2i\alpha(\pi)} = \gamma \quad V \rightleftharpoons N+0$$

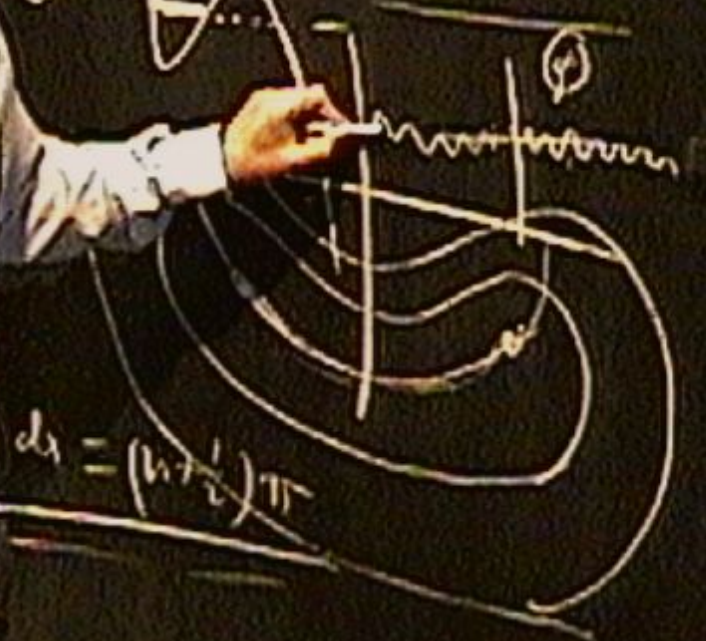
$$Q' = Q$$

$$p \rightarrow -p$$

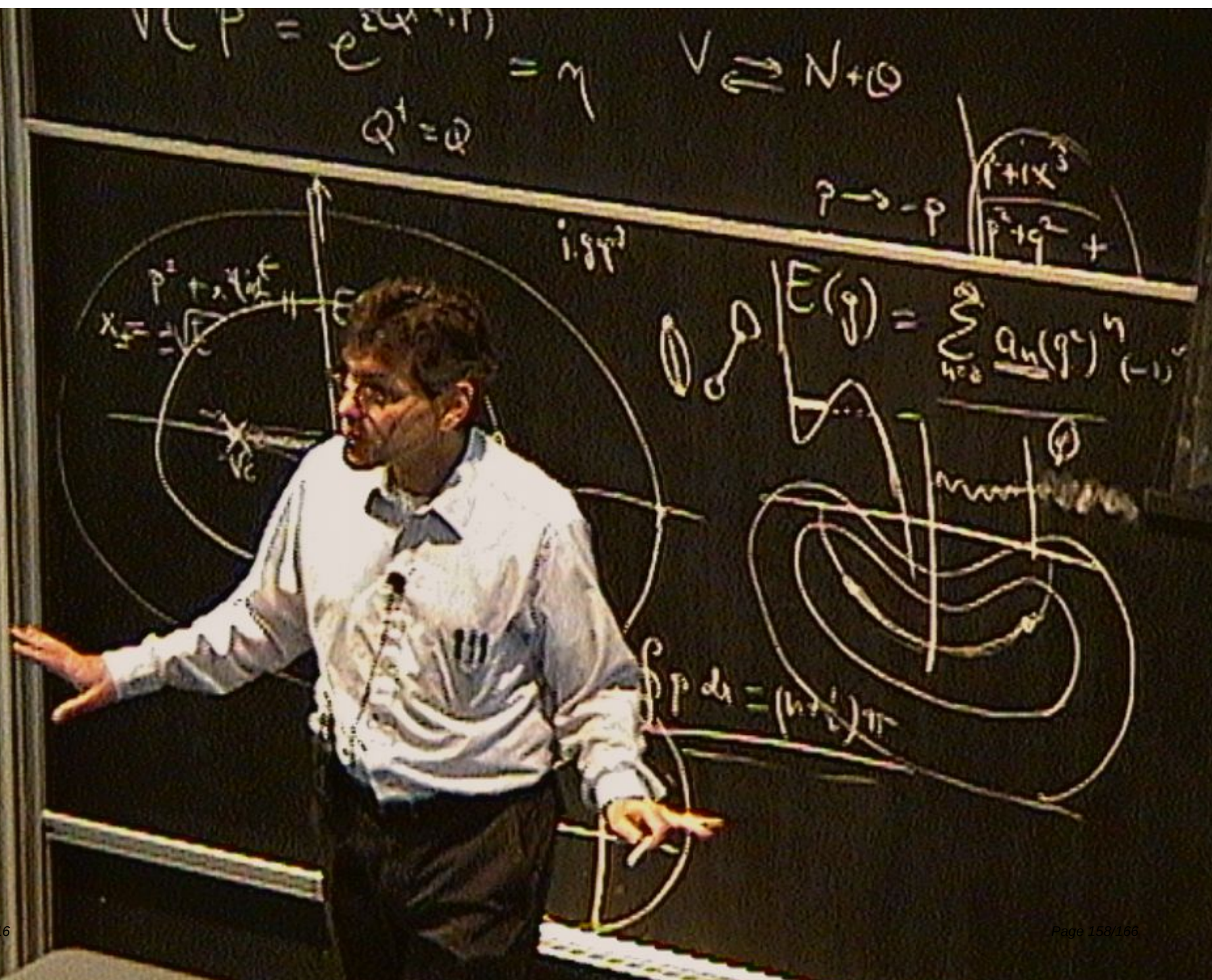
$$\frac{p + ix^3}{p^2 + q^2} +$$



$$E(q) = \sum_{n=0}^{\infty} \frac{a_n(q)}{n!} (-1)^n$$



$$d_1 = (n + \frac{1}{2})\pi$$



$$VCP = e^{2\pi i n} = \gamma \quad V \Rightarrow N+0$$

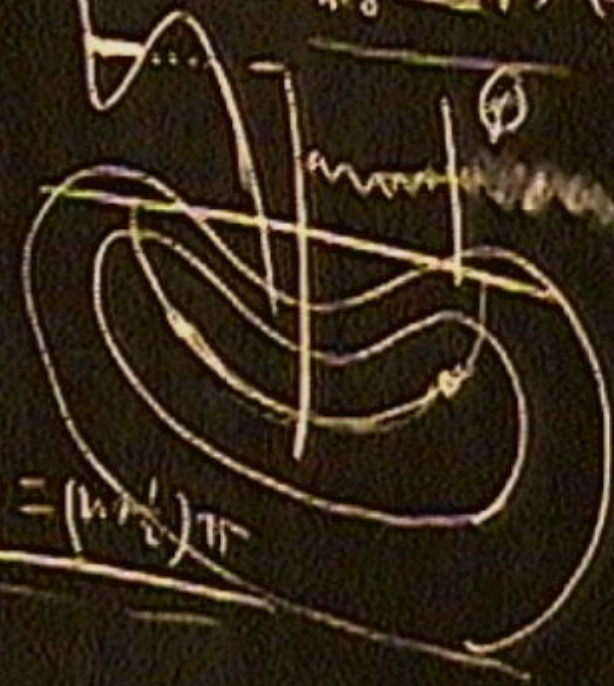
$$Q' = Q$$

$$p \rightarrow -p$$

$$\frac{p+ix^3}{p^2+q^2+}$$

$$i\pi^2 \text{ in}$$

$$E(q) = \sum_{n=0}^{\infty} \frac{q_n(q')^n}{(-1)^n}$$



$$\oint p \, dx = (n\pi) \pi$$

$$r = \ln q$$

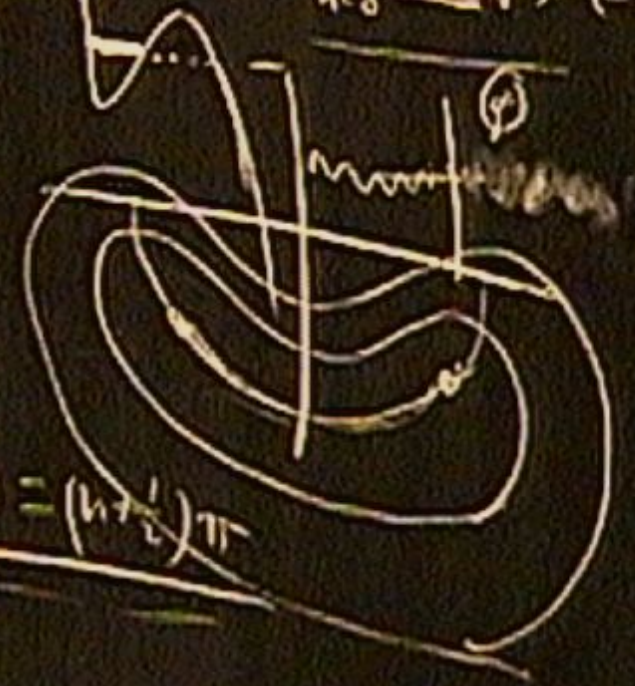


$$V(\mathbf{p}) = e^{i\mathbf{q} \cdot \mathbf{r}} = \gamma \quad V \Rightarrow N+0$$

$$Q^\dagger = Q$$

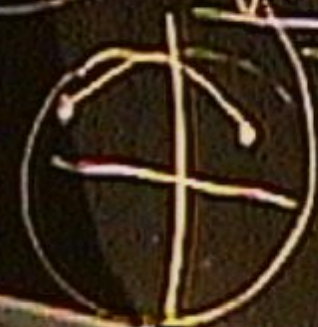
$$p \rightarrow -p \quad \frac{p + ix^3}{p^2 + q^2 + \dots}$$

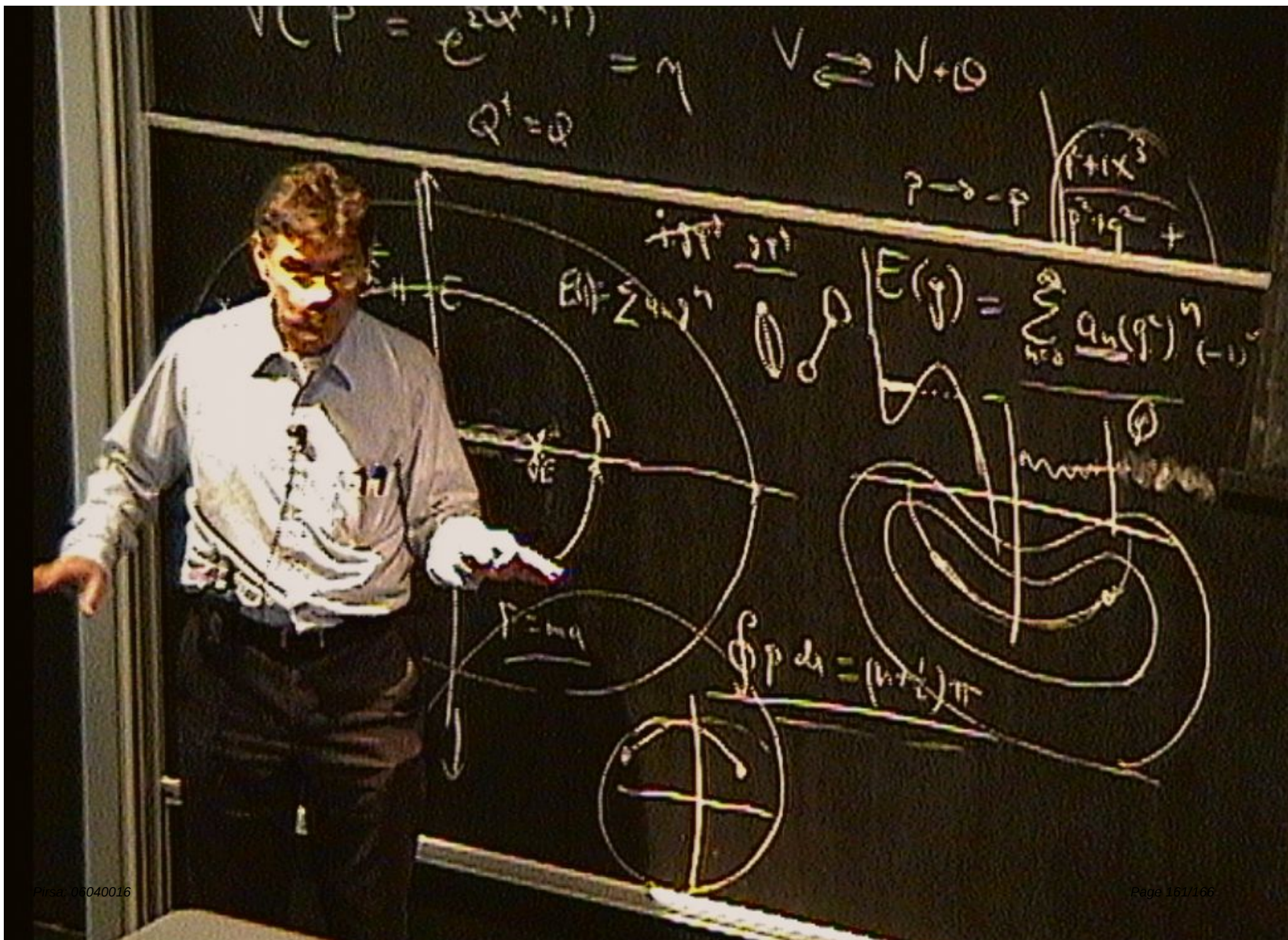
$$E(\mathbf{q}) = \sum_{n=0}^{\infty} \frac{q_n(\mathbf{q})^n}{n!} (-1)^n$$

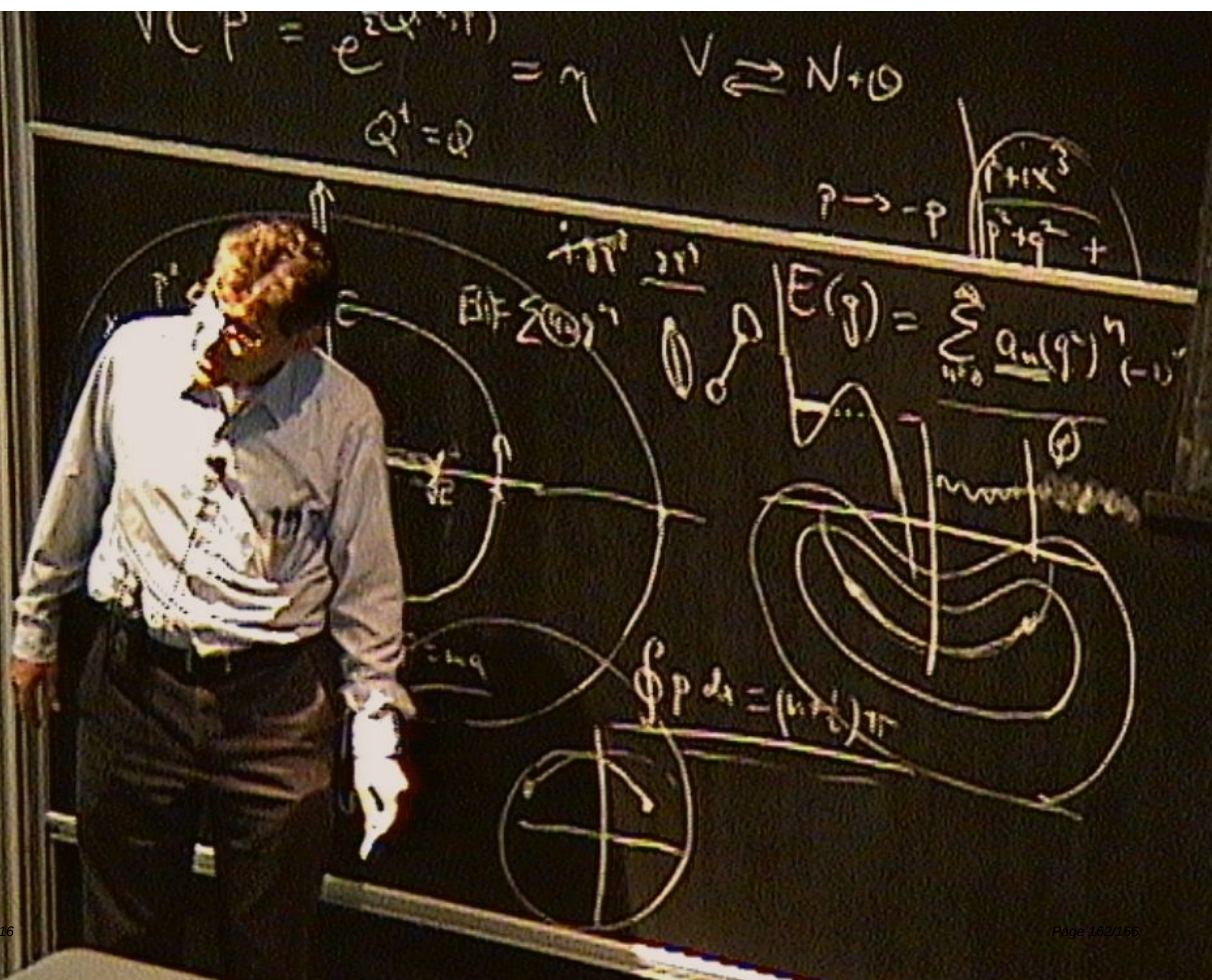


$$\oint p \, dx = (n + \frac{1}{2})\pi$$

$$p = m q$$





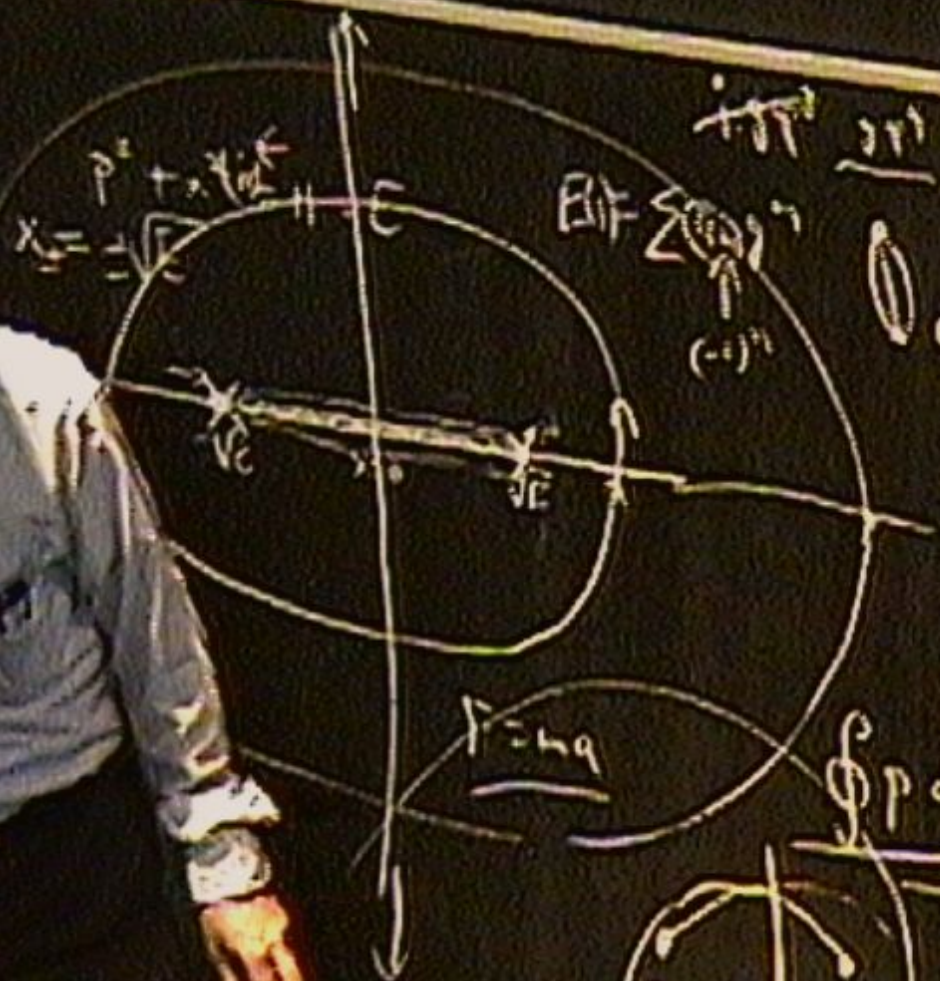


$$VCP = e^{2i\alpha(\gamma)} = \gamma \quad V \cong N+0$$

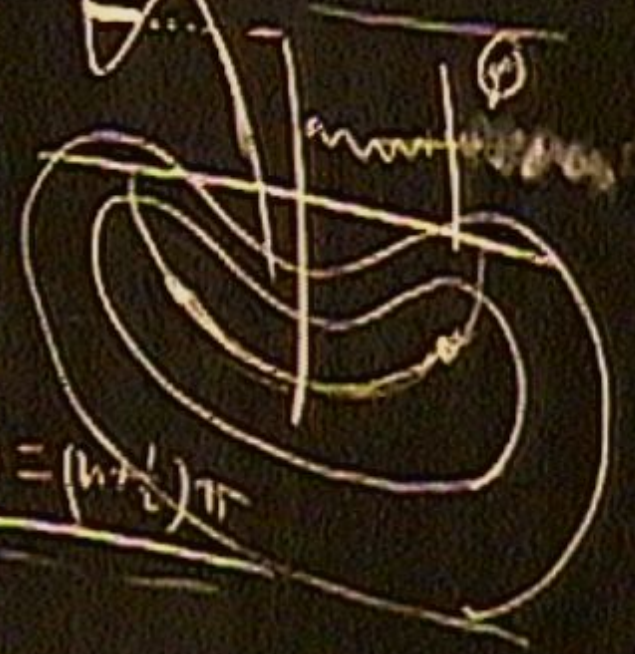
$$Q^\dagger = Q$$

$$p \rightarrow -p$$

$$\frac{p+ix^3}{p^2+q^2} +$$



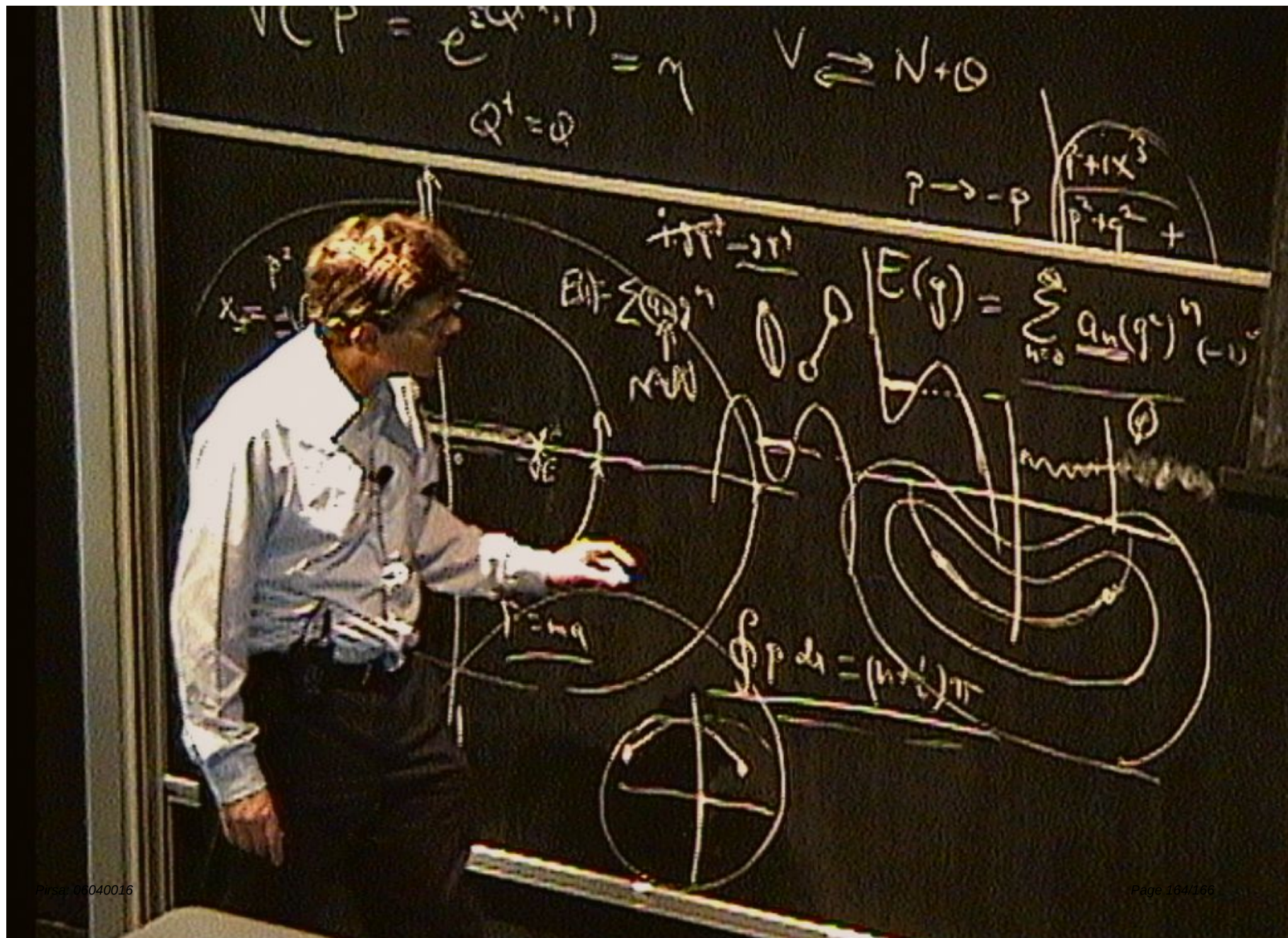
$$E(q) = \sum_{n=0}^{\infty} \frac{q_n(q')^n}{(-1)^n}$$



$$p = \ln q$$

$$\oint p \, dx = (n + \frac{1}{2})\pi$$





$$VCP = e^{\sum \psi_i \pi} = \gamma \quad V \rightleftharpoons N+0$$

$$Q^\dagger = Q$$

$$\text{Im} E = e^{-\frac{1}{2} \dots}$$

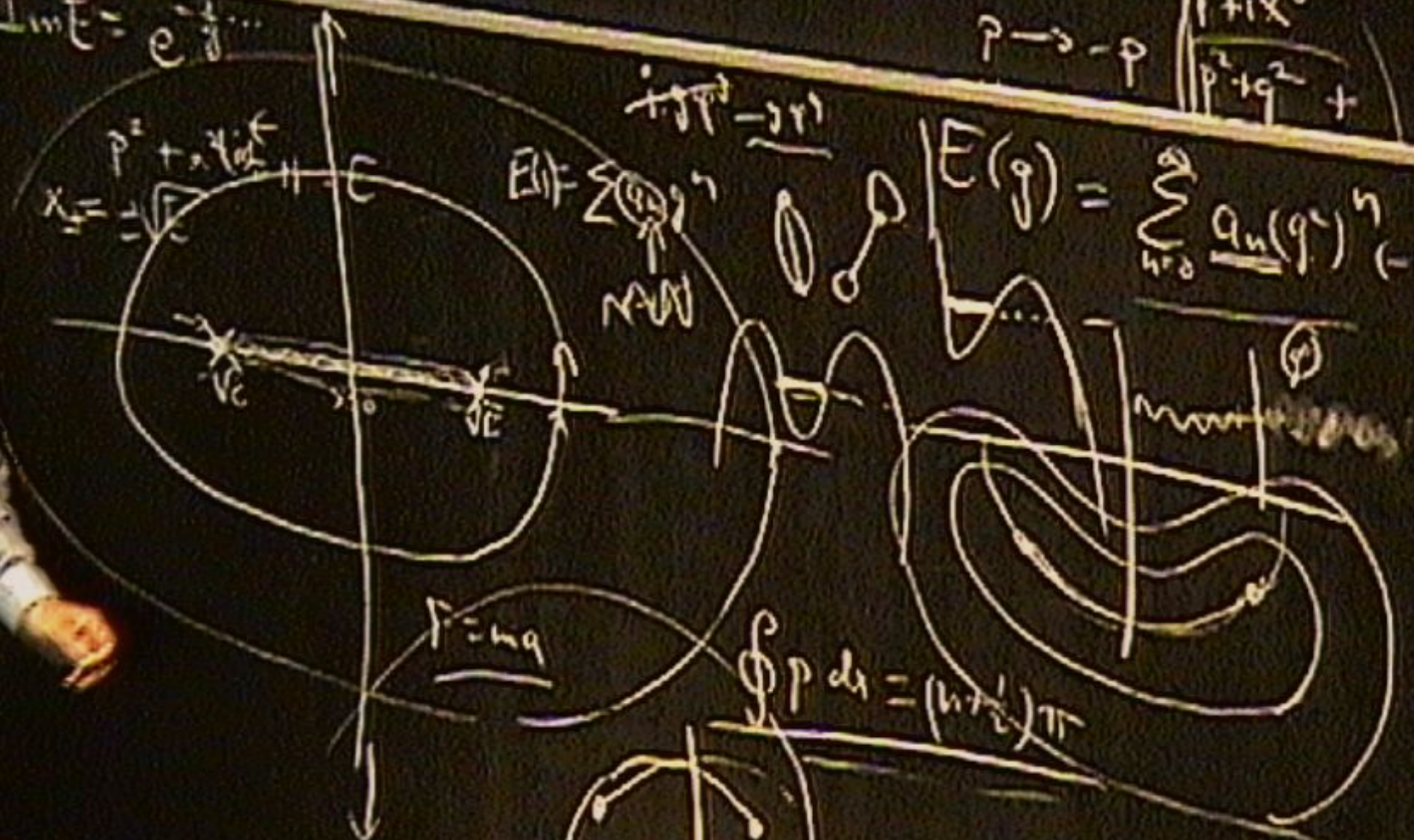
$$p \rightarrow -p$$

$$\frac{p^2 + ix^3}{p^2 + q^2} +$$

$$p^2 + x^2 \dots$$

$$x_{\pm} = \pm \sqrt{\dots}$$

$$E(\gamma) = \sum_{n=0}^{\infty} \frac{q_n(\gamma^n)}{(-1)^n}$$



$$p = m q$$

$$\oint p \, dx = (n + \frac{1}{2}) \pi$$



$$VCP = e^{\sum \alpha_n \eta^n} = \gamma \quad V \Rightarrow N+0$$

$$Q^\dagger = Q$$

$$\text{Im} E = -e^{-\frac{1}{2} \dots}$$

$$p \rightarrow -p$$

$$\frac{p^2 + i\epsilon^3}{p^2 + q^2 + \dots}$$

$$E(\eta) = \sum_{n=0}^{\infty} \frac{a_n(\eta)}{(-1)^n} \eta^n$$

$$\oint p \, dx = (n + \frac{1}{2})\pi$$

$$p = \hbar q$$

