

Title: Gap estimates in adiabatic transport

Date: Feb 11, 2006 11:30 AM

URL: <http://pirsa.org/06020025>

Abstract:

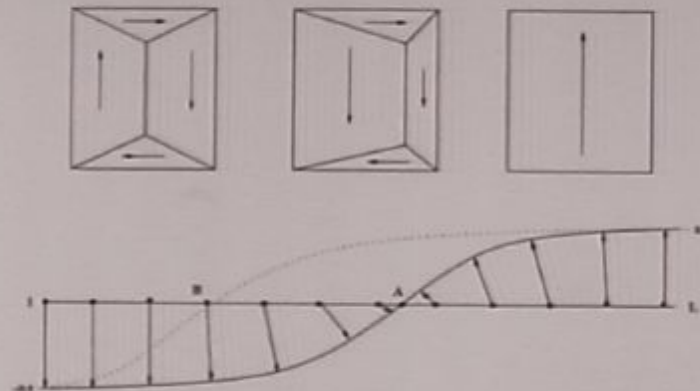
Gap estimates in adiabatic transport

**Wolfgang Spitzer
International University Bremen
Department of Physics**

joint with T. Michoel, B. Nachtergaele, and S. Starr

**Perimeter Institute, Waterloo
February 11, 2006**

Motivation comes from the motion of magnetic domain walls (DW) under the influence of an exterior magnetic field.



- How can we describe this in a microscopic model?
- Understand the motion of a DW in terms of microscopic interaction, applied magnetic field, temperature, etc.

Starting with Alcaraz-Salinas-Wreszinski in 1995, it was realized that a ferromagnetic Heisenberg Hamiltonian, H , has DW as ground states (GS). Now, start with such a GS, ψ_A , centered around lattice site A . Then add a transverse magnetic field, $V(t)$, which at $t = 0$ is localized near A , and at time T localized near B . State at time t is

$$\psi_A(t) = \mathbb{T}\left\{\exp\left[-i \int_0^t (H + V(s)) ds\right]\right\} \psi_A.$$

- If we do this slowly (adiabatically), $\psi_A(T)$ will be close to ψ_B , which is DW centered at lattice site B .
- We want to apply the Adiabatic Theorem. In real applications, a DW may stretch over 100 atoms. So we need gap estimates uniform in the size of the whole system. Martingale method.
- There is no hope to compute $\psi_A(t)$ or adiabatic constants explicitly. In order to get a quantitative numerical picture we have implemented a time dependent DMRG algorithm (Vidal).

Background to Martingale Method (MM):

- In the context of “frustration free” quantum spin systems (QSS), MM was invented by Nachtergaele in 1995. He proved excellent gap estimates for the Heisenberg chain.
- In 2002, jointly with Starr we improved MM and obtained sharp gap estimates for Heisenberg model and good gap estimate for AKLT (*anti*-ferromagnetic spin-1 Heisenberg) model.
- Recently, we have used MM for Heisenberg in a magnetic field (non-translation invariant).
- MM is inductive. We have to know GS. Suppose, we know the gap for QSS on chain $[0, L - 1]$ and how much the GS change(s) if we add another site. Then we get an estimate about the gap of QSS on chain $[0, L]$.

We consider a QSS on the finite chain, $[0, L-1]$. We cover this interval with connected intervals (subsystems), $C_i, i = 0, \dots, N$ s.t.

- $\bigcup_{i=0}^N C_i = [0, L-1]$,
- two such intervals share at most 1 lattice point.

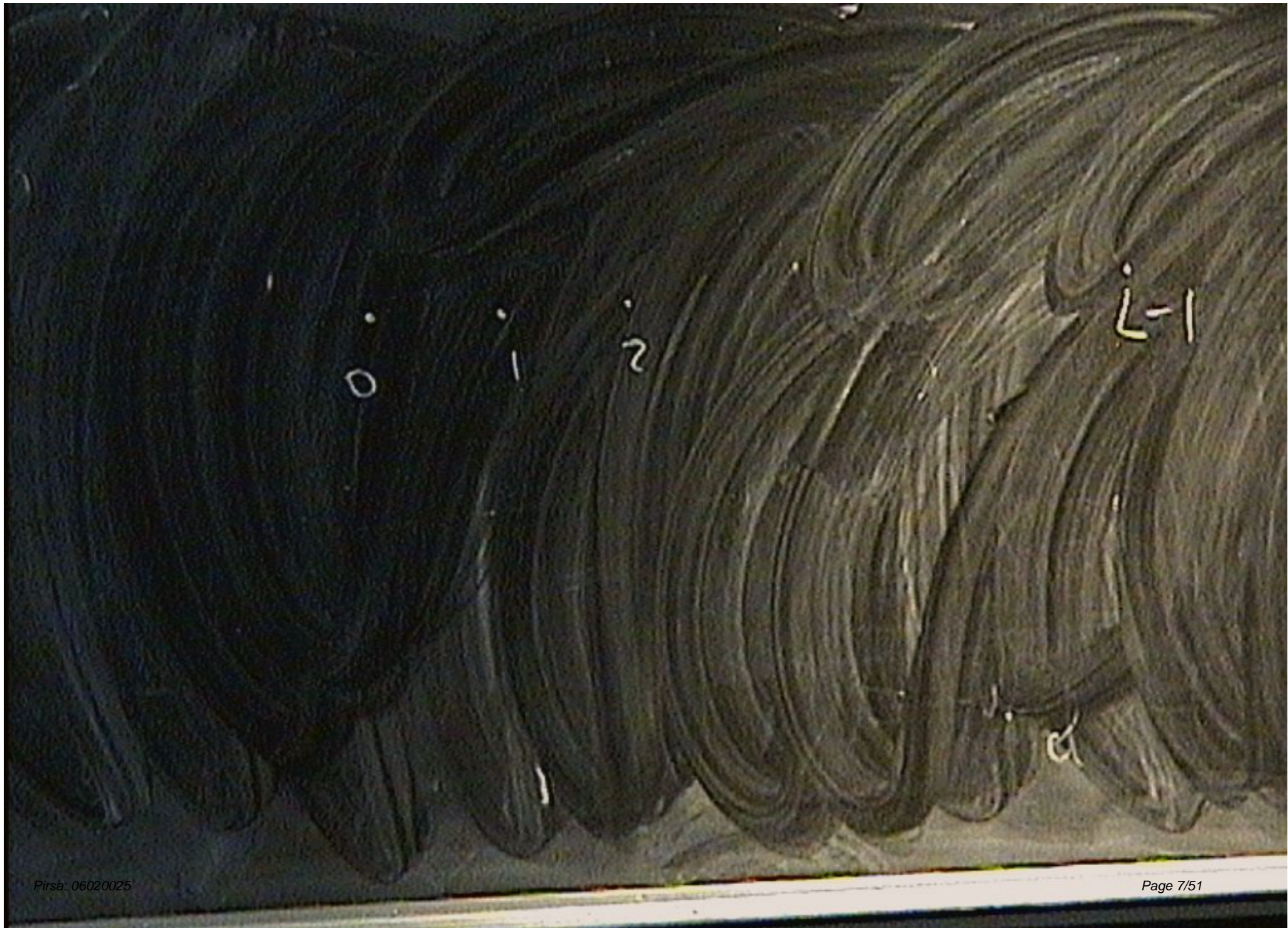
E.g.,

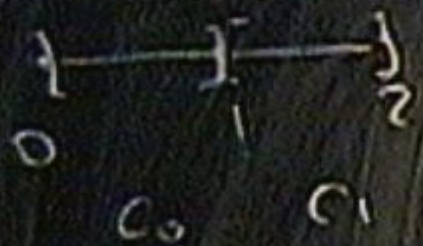
- $C_i = [i, i+1]$ for $i = 0, \dots, L-2$.
- For $0 < x_0 < y_0 < L-1$ start with $C_0 = [x_0, y_0]$. Then define $C_1 = [x_0-1, x_0]$, $C_2 = [y_0, y_0+1]$, $C_3 = [x_0-2, x_0-1]$, etc.

For every subsystem C_i we have a (local) Hamiltonian, h_{C_i} .

Total Hamiltonian of QSS is

$$H_L = \sum_{i=0}^N h_{C_i}.$$



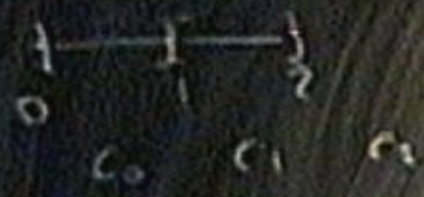


$i-1$

$$UG_i = [0, i-1]$$

$$\# \{ \text{eigV in } (-2, 2) \} = \frac{K}{2N}$$

(1)



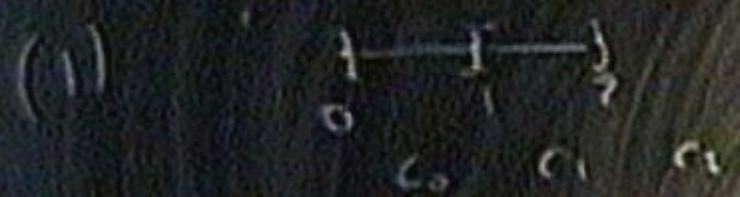
$L-1$

$UG = [0, 4]$

(2)



$$\# \{ \text{eig}(\lambda(z, z)) \} = K(z, z)$$



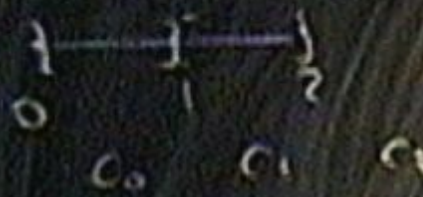
$$L-1$$

$$UG = [0, L-1]$$

(2)



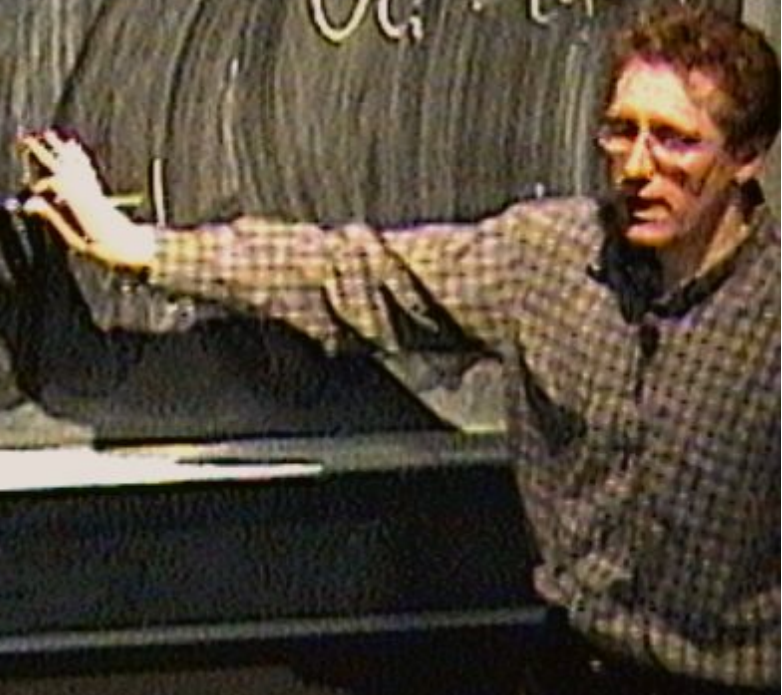
(1)



$L-1$

$$UG_i = [0, L-1]$$

(2)



(1)



c_0 c_1 c_2

$$UG_i = [0, L-1]$$

(2)



$$V(t) = \sum B(x,t) S_x$$

(1)



$L=1$

$$UG = [0, \infty]$$

(2)



$L=1$

$$V(t) = \sum B(x,t) S_n$$

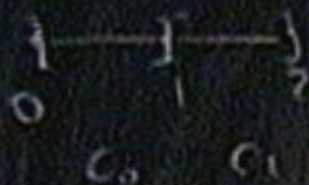
$h c_i$

$$V(t) = \sum B(x, t) S_x$$

h_{ci}

XXZ

(1)



$L-1$

$$UG = [2, L-1]$$

(2)



$L-1$

$$v(t) = \sum B(x, t) S_x$$

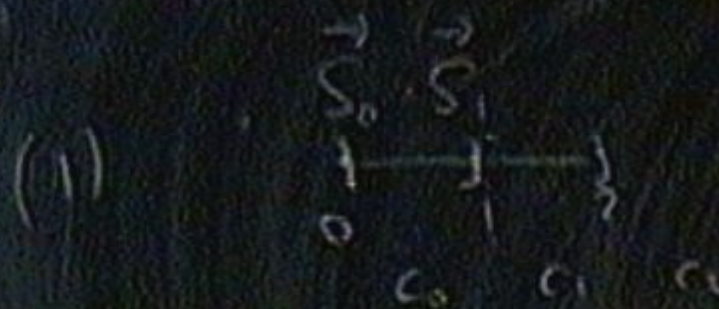
$$X = N, Z$$

For $\forall V(x) = x_{2m} x' + \dots$, $x_{2m} > 0$
 As $N \rightarrow \infty$, we have.

$$\frac{1}{K_N(0,0)} K_N \left(\frac{\xi}{K_N(0,0)}, \frac{\eta}{K_N(0,0)} \right) \xrightarrow{N \rightarrow \infty} \frac{\sin \pi \left(\frac{\xi - \eta}{2} \right)}{\pi \left(\frac{\xi - \eta}{2} \right)}$$

$$\# \{ \text{eig. in } (-\varepsilon, \varepsilon) \} = \int_{-\varepsilon}^{\varepsilon} K_N(t, t) dt \cdot (1 - o(1)), N \rightarrow \infty$$





$L=1$

$$UG = [0, 4]$$



$$V(x) = \sum B(x) S_x$$

$h c_i$

$X = Y, Z$

(1)

$$\begin{array}{c} \xrightarrow{S_0} \quad \xrightarrow{S_1} \\ \{ \text{---} | \text{---} | \text{---} \} \\ 0 \quad c_0 \quad c_1 \quad c_2 \end{array} \quad L-1$$

$UG = [0, L-1]$

(2)

$$\begin{array}{c} \cdot \\ 0 \end{array} \quad \begin{array}{c} | \text{---} | \text{---} | \text{---} | \text{---} | \\ x_0 \quad \quad \quad y_p \end{array} \quad L-1$$

$$v(t) = \sum B(x,t) S_x$$

$$H_L = \sum h_{ci}$$

N, Z

“Frustration free” assumptions on QSS,

(i) $h_{C_i} \geq 0$. Hence, $H_L \geq 0$.

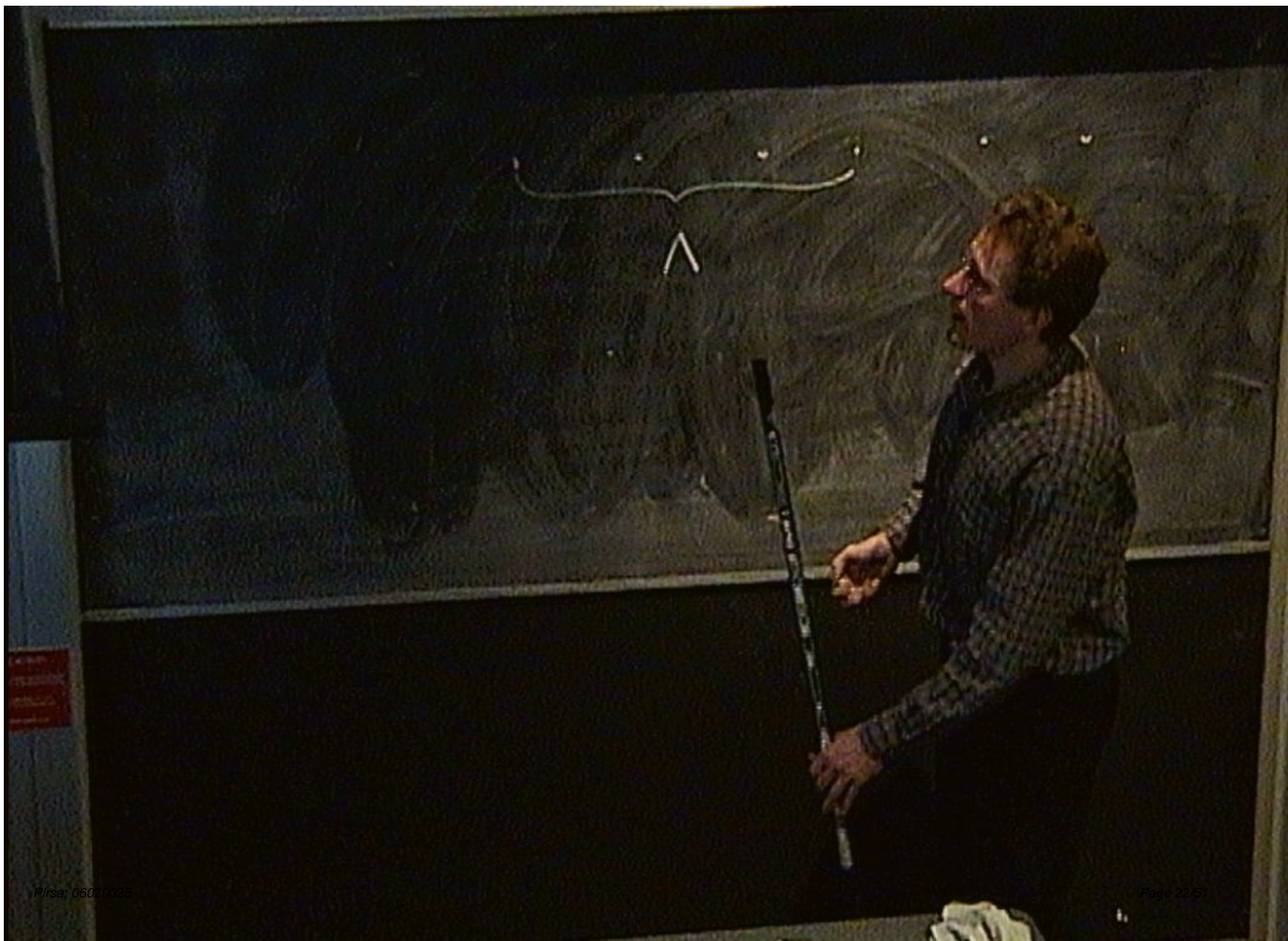
(ii) $\ker H_L \neq \{0\}$.

Note: $\ker H_L = \bigcap_{i=0}^N \ker(h_{C_i})$. Therefore, if $\psi \in \ker(H_L)$ i.e., is a GS, then its restriction to subsystem on C_i is also a GS.

- For $\Lambda \subset [0, L-1]$ define $G_\Lambda = \text{orth proj}(\ker \sum_{i: C_i \subset \Lambda} h_{C_i})$.
- Let $\Lambda_i = \bigcup_{j \leq i} C_j$. Define the projections,

$$E_i = \begin{cases} 1 - G_{\Lambda_0} & i = 0 \\ G_{\Lambda_i} - G_{\Lambda_{i+1}} & 1 \leq i \leq N-1 \\ G_{[0, L-1]} & i = N \end{cases}.$$

- Let γ_i be the gap of h_{C_i} , i.e., $h_{C_i} \geq \gamma_i(1 - G_{C_i})$.





$G_A =$ orth proj





$$G_K = \text{orth proj } \{h c_i\}$$





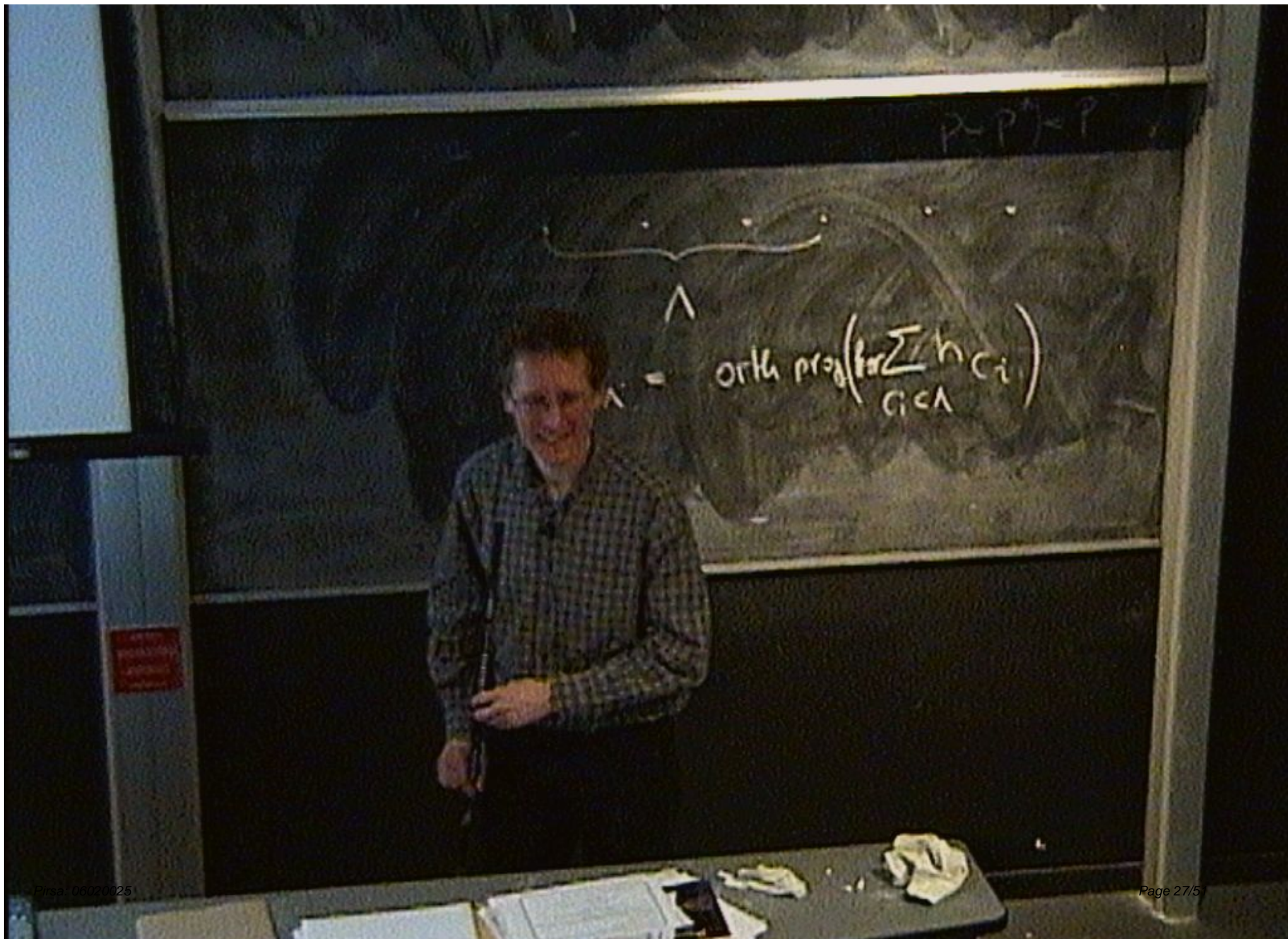
$$G_{\Lambda} = \text{orth proj } \sum_{c \in \Lambda} h_c c$$

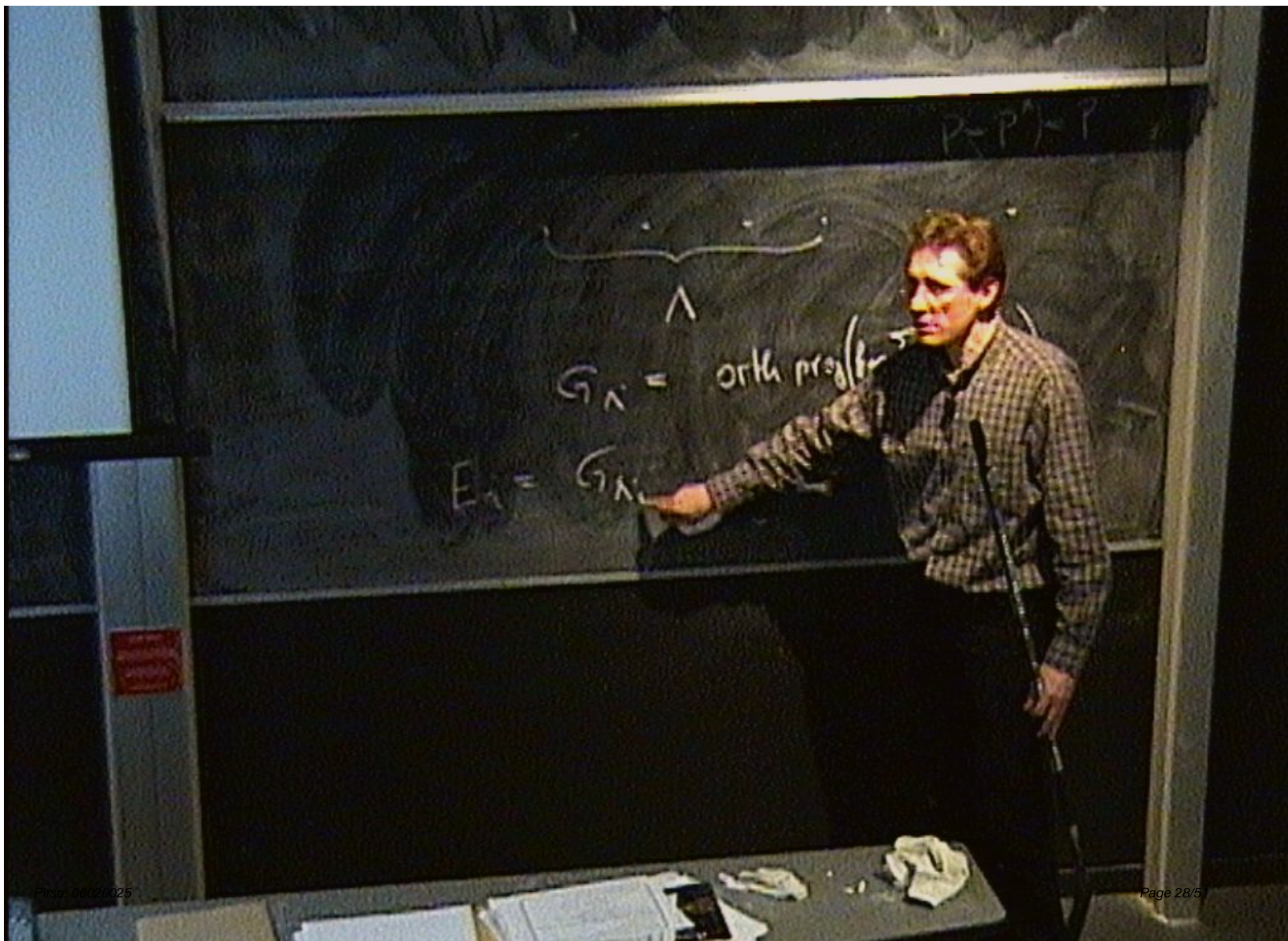


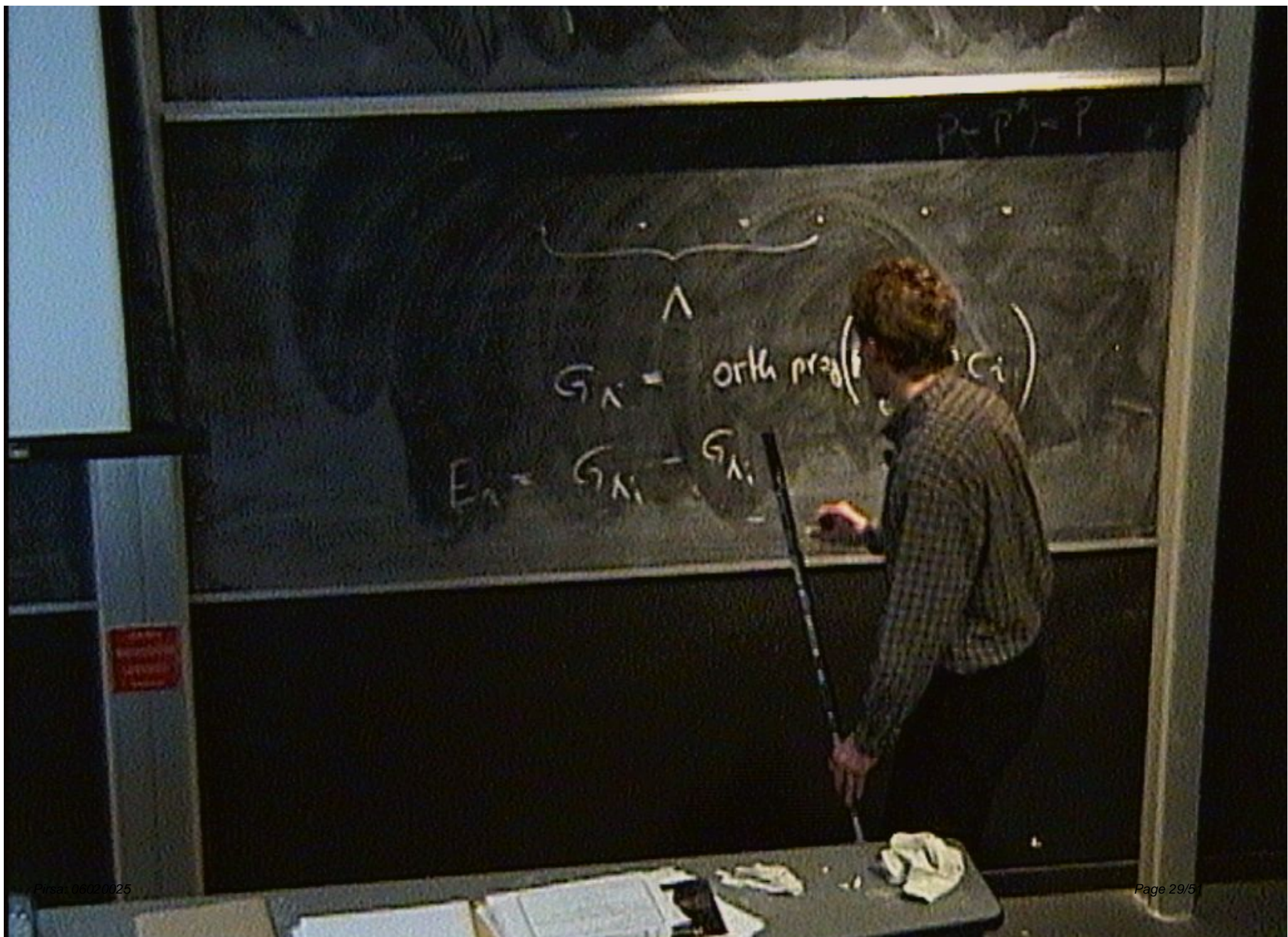


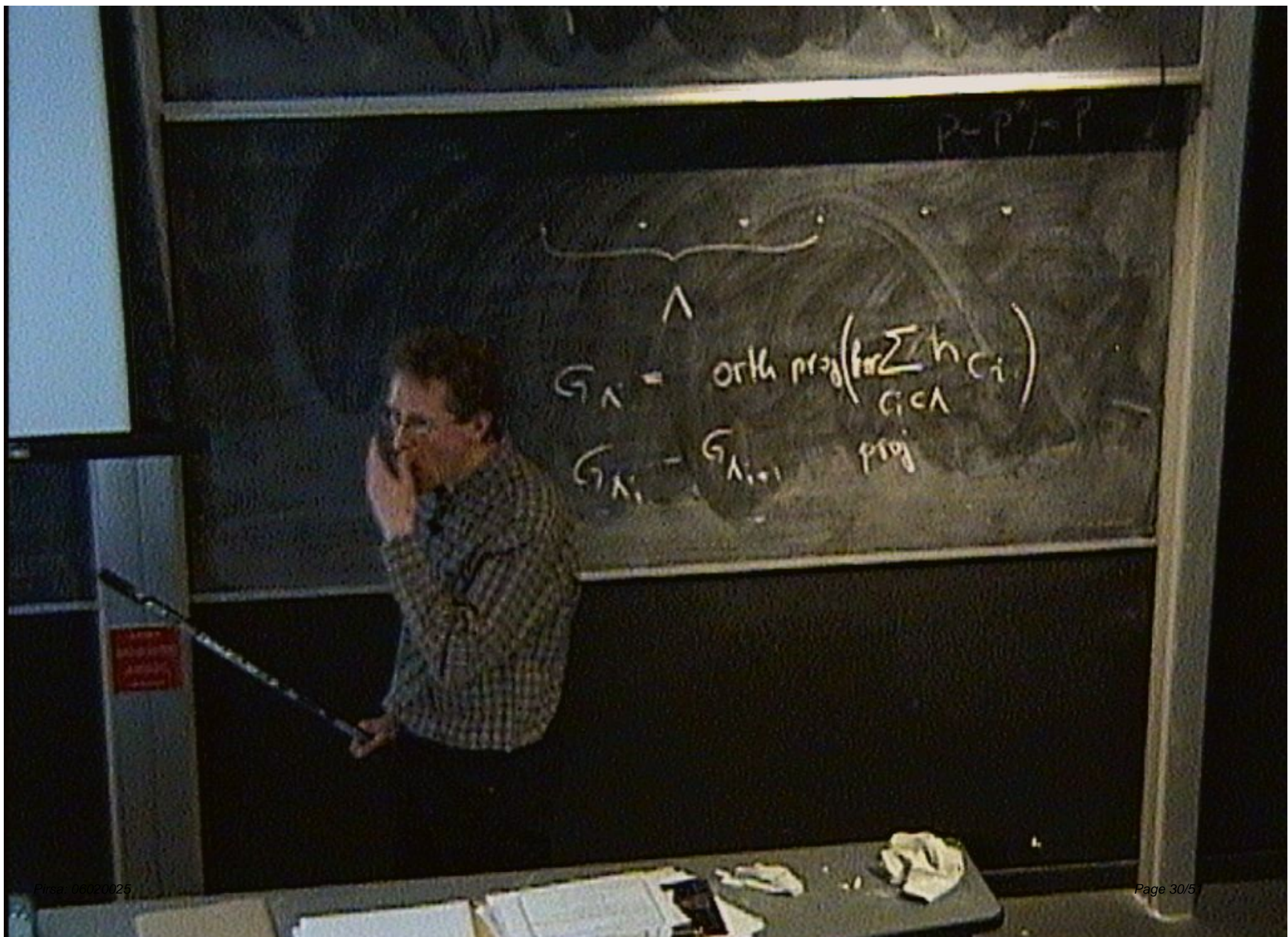
$$G_{\Lambda} = \text{orth proj for } \sum_{c_i \in \Lambda} h_{c_i} c_i$$

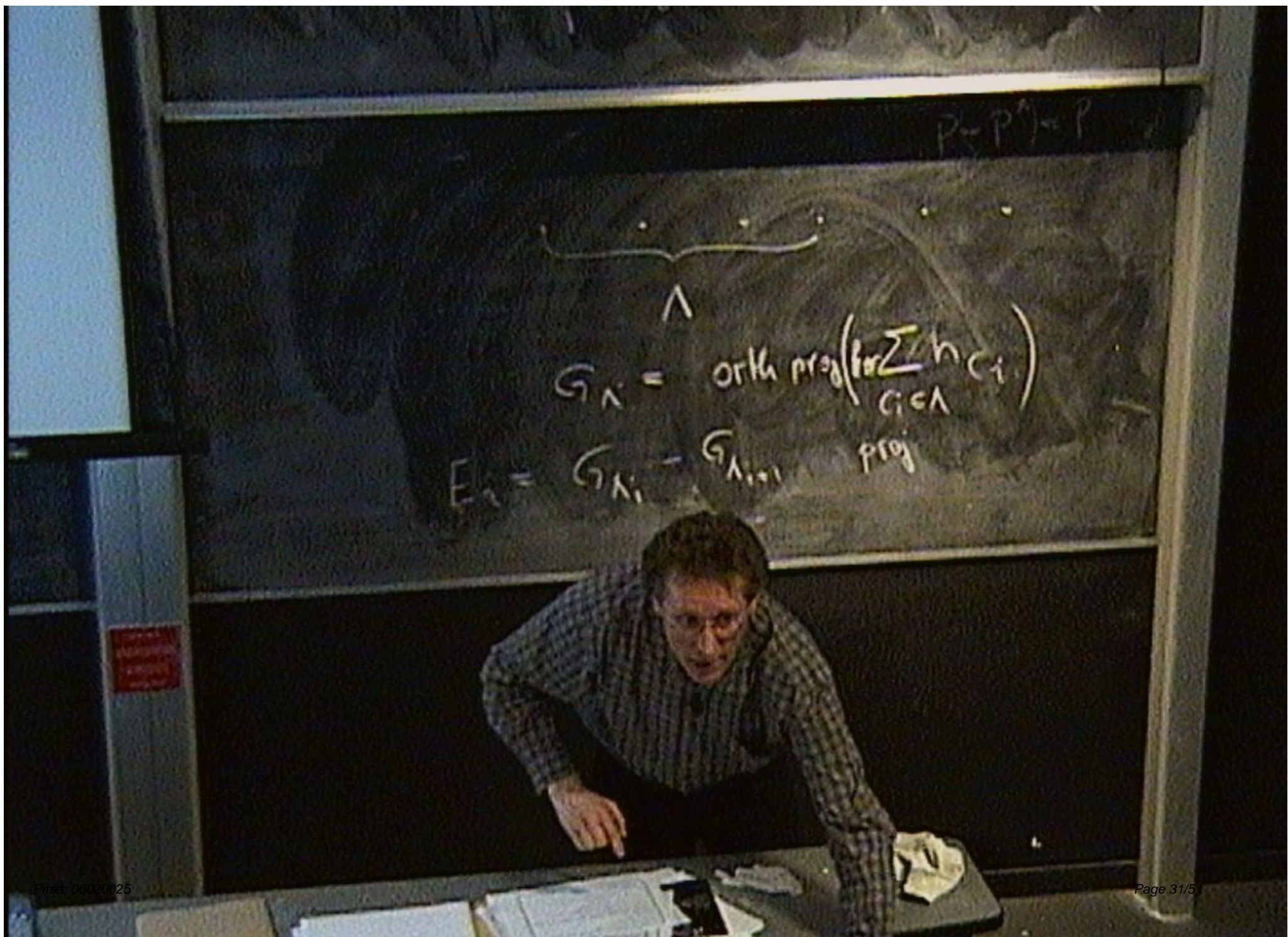












$$P = P^* = P$$



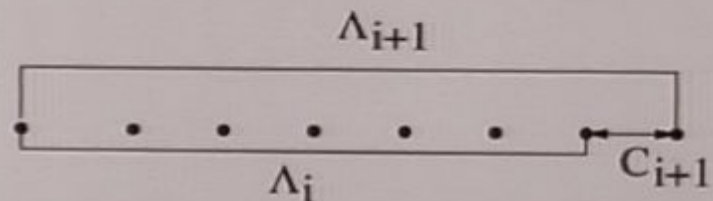
$$G_\Lambda = \text{orth proj} \left(\sum_{C \in \Lambda} h_C C \right)$$

$$E_\Lambda = G_{\Lambda_i} - G_{\Lambda_{i+1}} \quad \text{proj}$$

THEOREM 1. Let $\gamma = \min \gamma_i$. Suppose, that $\|G_{C_{i+1}} E_i\| \leq \varepsilon < 1/\sqrt{2}$ for $0 \leq i \leq N-1$. Then, if ψ is orthogonal to the GS of H_L ,

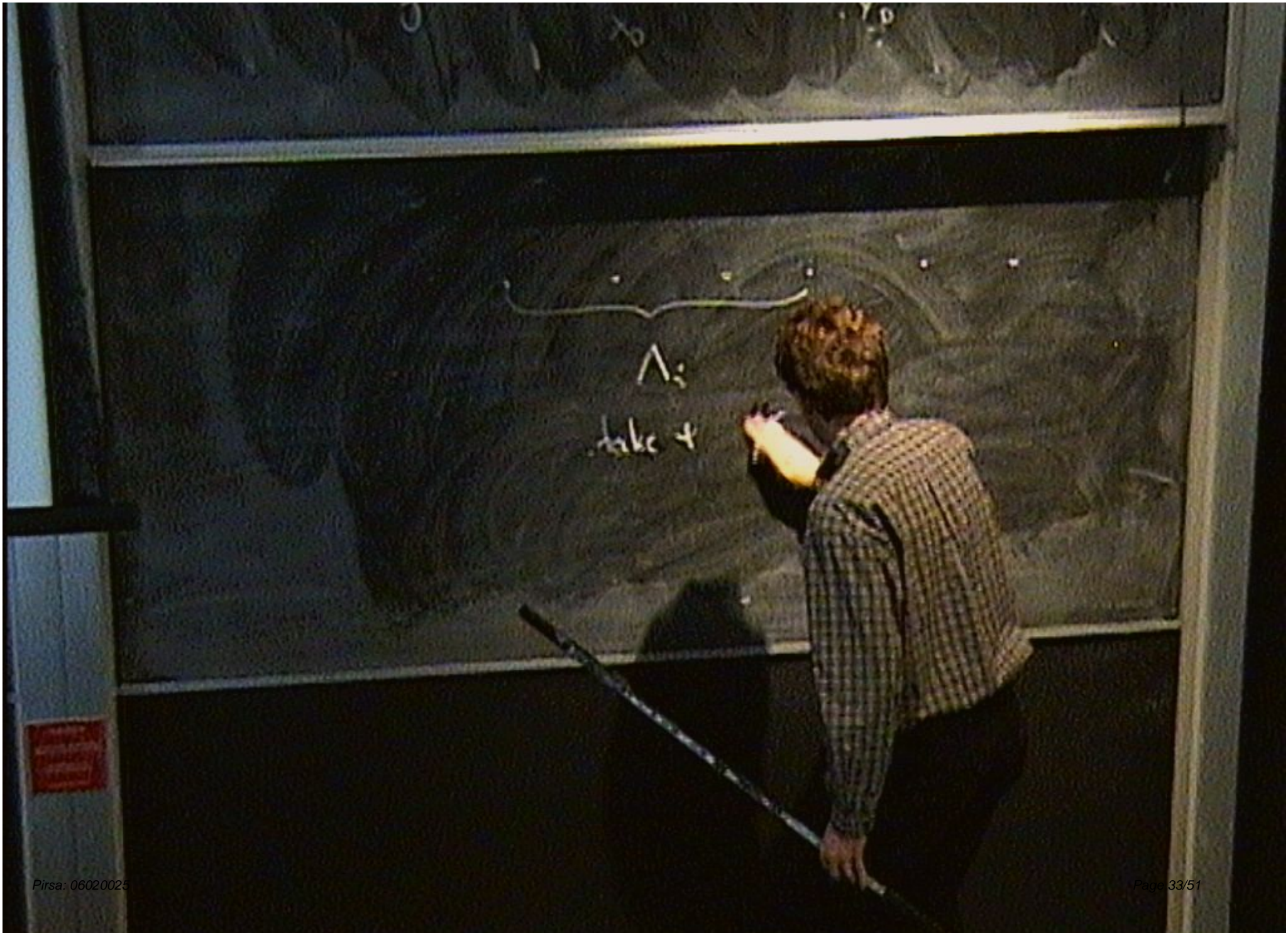
$$\langle \psi, H_L \psi \rangle \geq \gamma(1 - 2\varepsilon\sqrt{1 - \varepsilon^2}) \|\psi\|^2.$$

In order to calculate $\|G_{C_{i+1}} E_i\|$, take ψ in the range of $E_i = G_{\Lambda_i} - G_{\Lambda_{i+1}}$. I.e., ψ is a GS on the chain Λ_i and perpendicular to all GS of Λ_{i+1} . Then compute the norm of the incremental projection, $G_{C_{i+1}} \psi$.



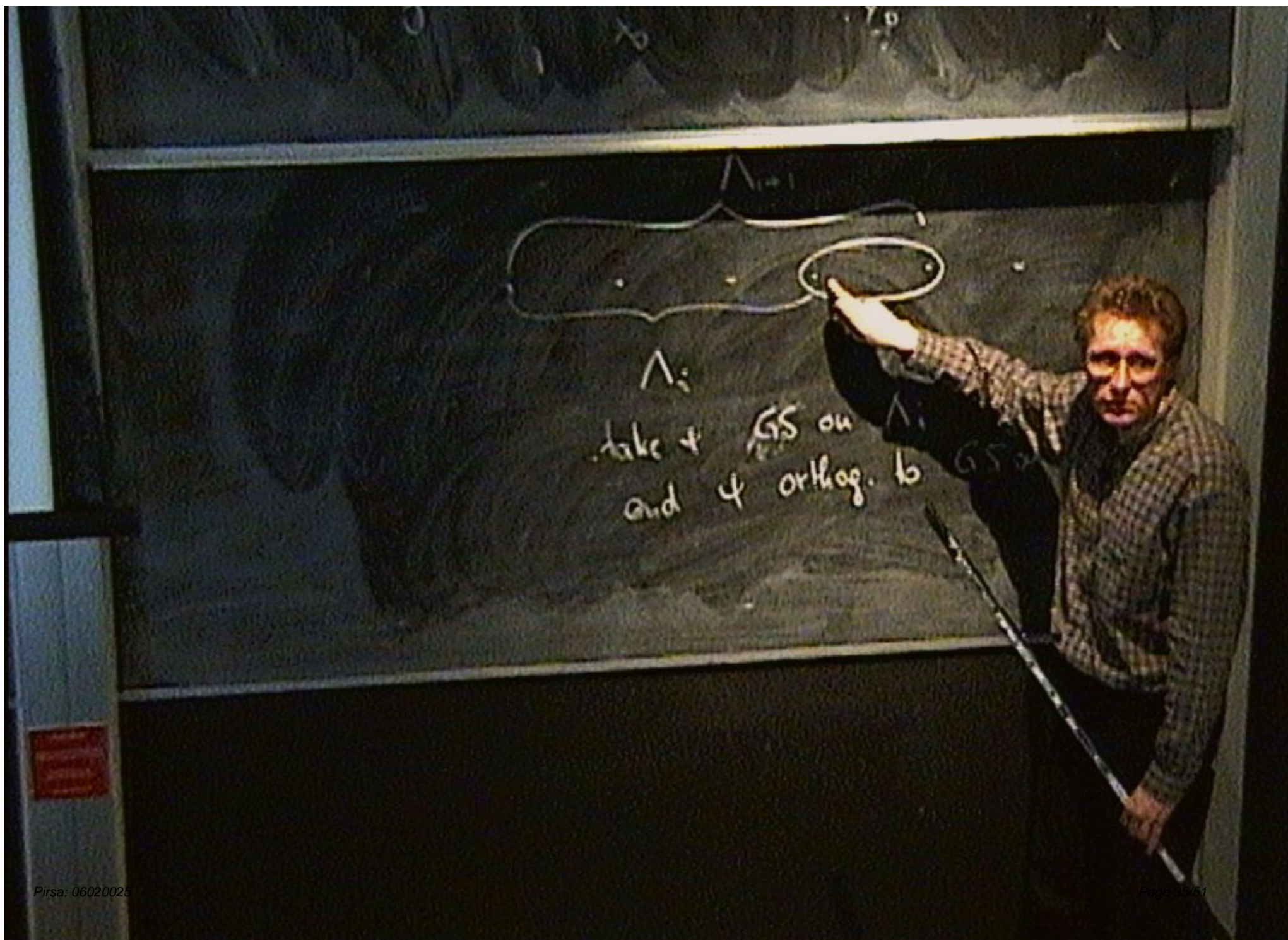
Very useful to prove gap for infinite systems, which is a subtle business.

As an application we consider the spin-1/2 ferromagnetic Heisenberg model in a transverse magnetic field on the chain $[0, L-1]$.



Λ_i

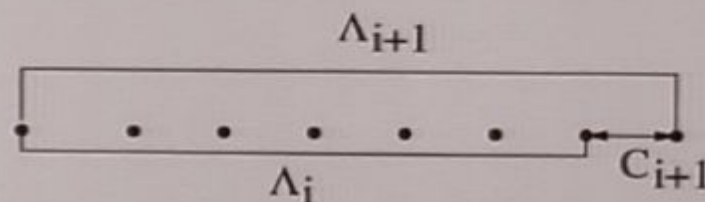
take 4 GS on Λ_i
and 4 orthog. to GS on Λ_{i+1}



THEOREM 1. Let $\gamma = \min \gamma_i$. Suppose, that $\|G_{C_{i+1}} E_i\| \leq \varepsilon < 1/\sqrt{2}$ for $0 \leq i \leq N-1$. Then, if ψ is orthogonal to the GS of H_L ,

$$\langle \psi, H_L \psi \rangle \geq \gamma(1 - 2\varepsilon\sqrt{1 - \varepsilon^2}) \|\psi\|^2.$$

In order to calculate $\|G_{C_{i+1}} E_i\|$, take ψ in the range of $E_i = G_{\Lambda_i} - G_{\Lambda_{i+1}}$. I.e., ψ is a GS on the chain Λ_i and perpendicular to all GS of Λ_{i+1} . Then compute the norm of the incremental projection, $G_{C_{i+1}} \psi$.



Very useful to prove gap for infinite systems, which is a subtle business.

As an application we consider the spin-1/2 ferromagnetic Heisenberg model in a transverse magnetic field on the chain $[0, L-1]$.



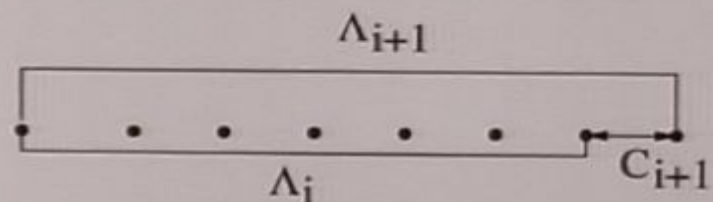
Λ_i

take ψ GS on Λ
and ψ orthog. to GS on Λ_i

THEOREM 1. Let $\gamma = \min \gamma_i$. Suppose, that $\|G_{C_{i+1}} E_i\| \leq \varepsilon < 1/\sqrt{2}$ for $0 \leq i \leq N-1$. Then, if ψ is orthogonal to the GS of H_L ,

$$\langle \psi, H_L \psi \rangle \geq \gamma(1 - 2\varepsilon\sqrt{1 - \varepsilon^2}) \|\psi\|^2.$$

In order to calculate $\|G_{C_{i+1}} E_i\|$, take ψ in the range of $E_i = G_{\Lambda_i} - G_{\Lambda_{i+1}}$. I.e., ψ is a GS on the chain Λ_i and perpendicular to all GS of Λ_{i+1} . Then compute the norm of the incremental projection, $G_{C_{i+1}} \psi$.



Very useful to prove gap for infinite systems, which is a subtle business.

As an application we consider the spin-1/2 ferromagnetic Heisenberg model in a transverse magnetic field on the chain $[0, L-1]$.

Let $\Delta > 1$ be the anisotropy parameter. Then for two nearest neighbor sites $x, x+1$ we have the local ferromagnetic Heisenberg interaction,

$$h_{xx+1} = -\frac{1}{\Delta}(S_x^1 S_{x+1}^1 + S_x^2 S_{x+1}^2) - S_x^3 S_{x+1}^3 + \frac{1}{2} \sqrt{1 - \Delta^{-1}} (S_x^3 - S_{x+1}^3) + \frac{1}{4} 1.$$

The matrices S_x^1, S_x^2, S_x^3 are the usual spin-1/2 matrices,

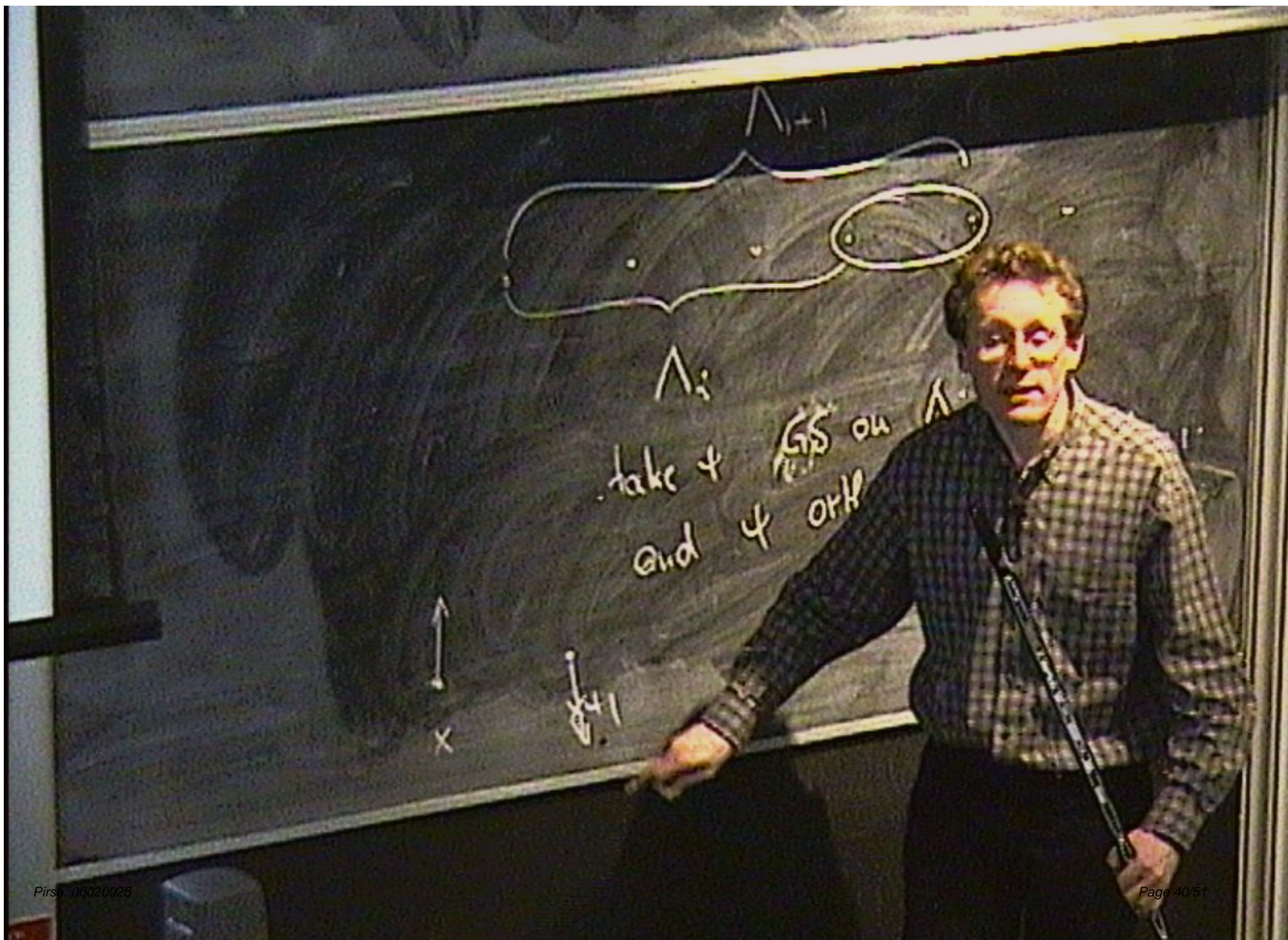
$$S_x^1 = \frac{1}{2} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad S_x^2 = \frac{1}{2} \begin{bmatrix} 0 & i \\ -i & 0 \end{bmatrix}, \quad S_x^3 = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

The ferromagnetic Heisenberg model on the chain $[0, L-1]$ is then

$$H_L = \sum_{x=0}^{L-2} h_{xx+1}.$$

Facts:

- H_L has $L+1$ GS with energy 0 that describe DW.
- Gap above GS is $1 - \frac{1}{\Delta} \cos(\pi/L) \geq 1 - \frac{1}{\Delta}$. Koma-Nachtergaele, 1995.



Let $\Delta > 1$ be the anisotropy parameter. Then for two nearest neighbor sites $x, x+1$ we have the local ferromagnetic Heisenberg interaction,

$$h_{xx+1} = -\frac{1}{\Delta}(S_x^1 S_{x+1}^1 + S_x^2 S_{x+1}^2) - S_x^3 S_{x+1}^3 + \frac{1}{2} \sqrt{1 - \Delta^{-1}} (S_x^3 - S_{x+1}^3) + \frac{1}{4} 1.$$

The matrices S_x^1, S_x^2, S_x^3 are the usual spin-1/2 matrices,

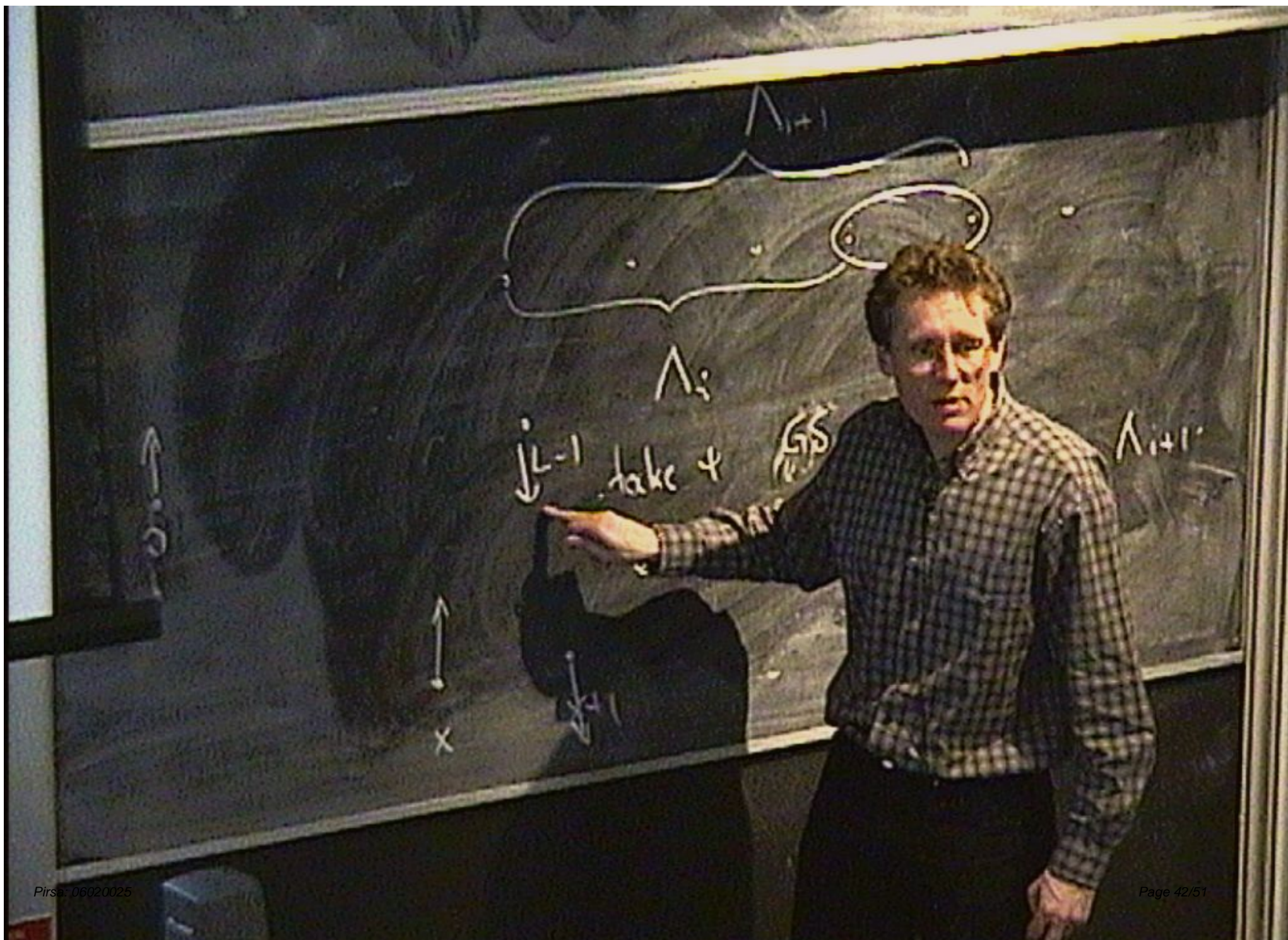
$$S_x^1 = \frac{1}{2} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad S_x^2 = \frac{1}{2} \begin{bmatrix} 0 & i \\ -i & 0 \end{bmatrix}, \quad S_x^3 = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

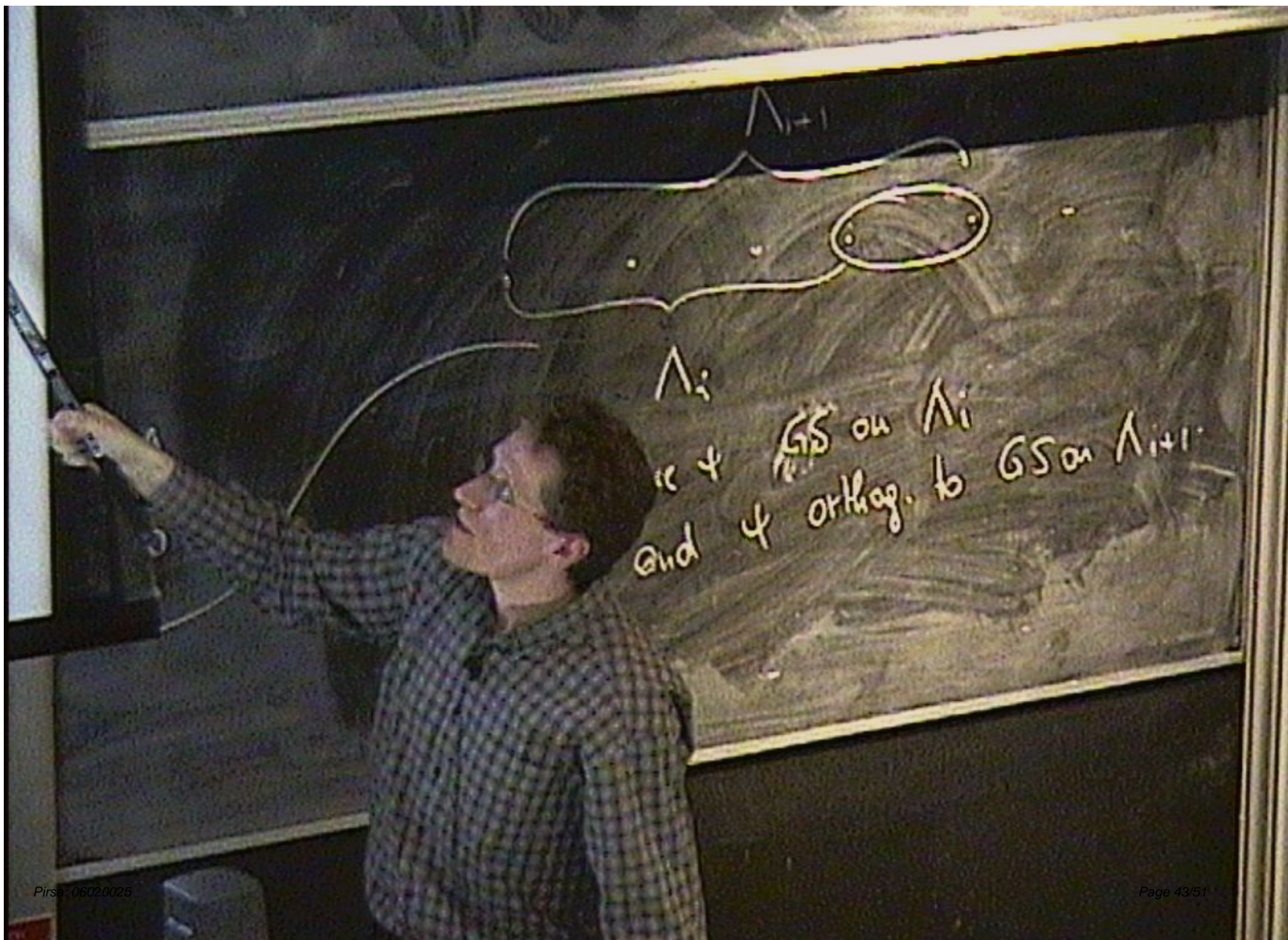
The ferromagnetic Heisenberg model on the chain $[0, L-1]$ is then

$$H_L = \sum_{x=0}^{L-2} h_{xx+1}.$$

Facts:

- H_L has $L+1$ GS with energy 0 that describe DW.
- Gap above GS is $1 - \frac{1}{\Delta} \cos(\pi/L) \geq 1 - \frac{1}{\Delta}$. Koma-Nachtergaele, 1995.





Let $\Delta > 1$ be the anisotropy parameter. Then for two nearest neighbor sites $x, x+1$ we have the local ferromagnetic Heisenberg interaction,

$$h_{xx+1} = -\frac{1}{\Delta}(S_x^1 S_{x+1}^1 + S_x^2 S_{x+1}^2) - S_x^3 S_{x+1}^3 + \frac{1}{2} \sqrt{1 - \Delta^{-1}} (S_x^3 - S_{x+1}^3) + \frac{1}{4} 1.$$

The matrices S_x^1, S_x^2, S_x^3 are the usual spin-1/2 matrices,

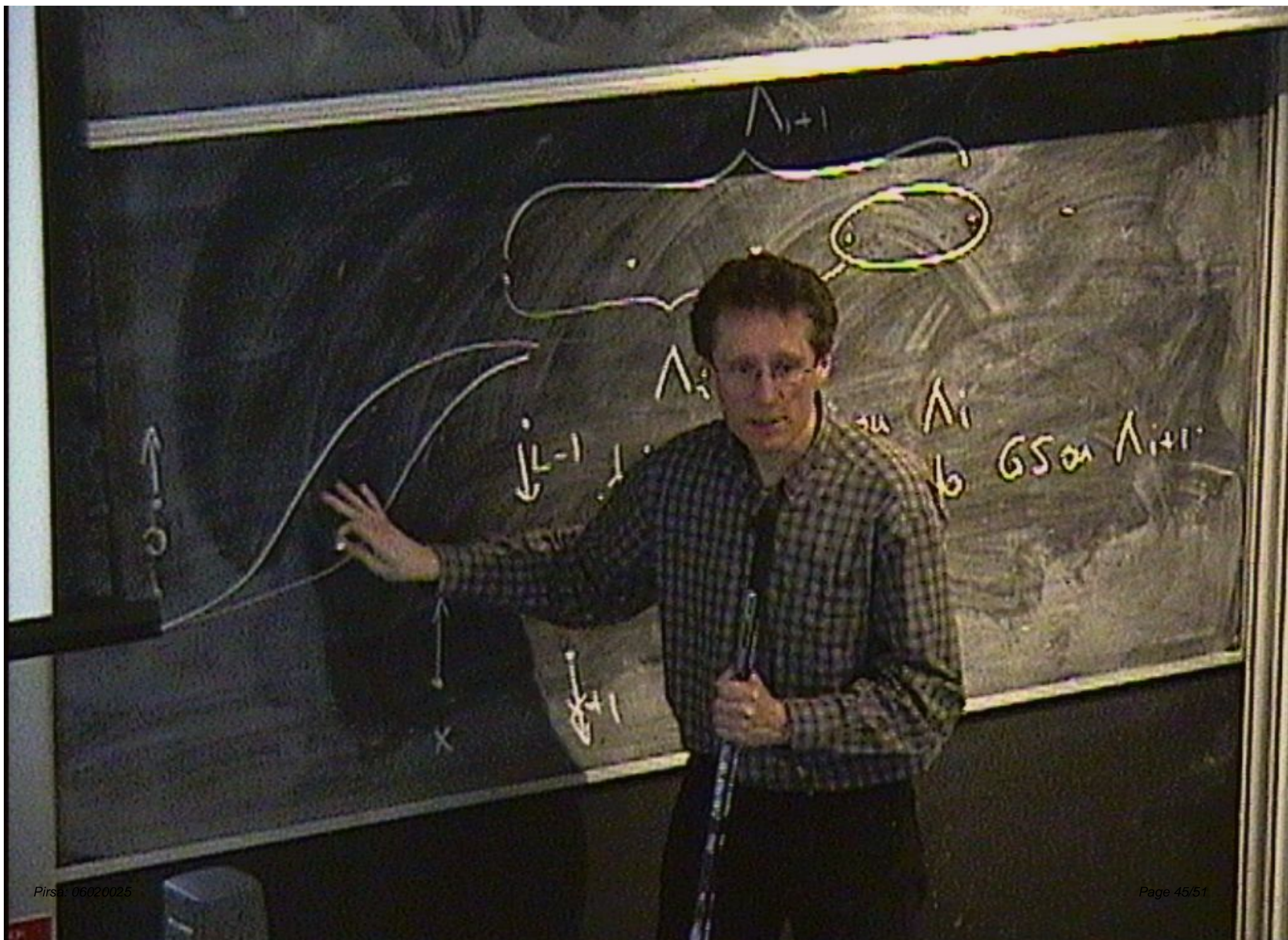
$$S_x^1 = \frac{1}{2} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad S_x^2 = \frac{1}{2} \begin{bmatrix} 0 & i \\ -i & 0 \end{bmatrix}, \quad S_x^3 = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

The ferromagnetic Heisenberg model on the chain $[0, L-1]$ is then

$$H_L = \sum_{x=0}^{L-2} h_{xx+1}.$$

Facts:

- H_L has $L+1$ GS with energy 0 that describe DW.
- Gap above GS is $1 - \frac{1}{\Delta} \cos(\pi/L) \geq 1 - \frac{1}{\Delta}$. Koma-Nachtergaele, 1995.



Let $\Delta > 1$ be the anisotropy parameter. Then for two nearest neighbor sites $x, x+1$ we have the local ferromagnetic Heisenberg interaction,

$$h_{xx+1} = -\frac{1}{\Delta}(S_x^1 S_{x+1}^1 + S_x^2 S_{x+1}^2) - S_x^3 S_{x+1}^3 + \frac{1}{2} \sqrt{1 - \Delta^{-1}} (S_x^3 - S_{x+1}^3) + \frac{1}{4} 1.$$

The matrices S_x^1, S_x^2, S_x^3 are the usual spin-1/2 matrices,

$$S_x^1 = \frac{1}{2} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad S_x^2 = \frac{1}{2} \begin{bmatrix} 0 & i \\ -i & 0 \end{bmatrix}, \quad S_x^3 = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

The ferromagnetic Heisenberg model on the chain $[0, L-1]$ is then

$$H_L = \sum_{x=0}^{L-2} h_{xx+1}.$$

Facts:

- H_L has $L+1$ GS with energy 0 that describe DW.
- Gap above GS is $1 - \frac{1}{\Delta} \cos(\pi/L) \geq 1 - \frac{1}{\Delta}$. Koma-Nachtergaele, 1995.

Transverse magnetic field (for simplicity), $V = \sum B(x)S_x^1$. We assume

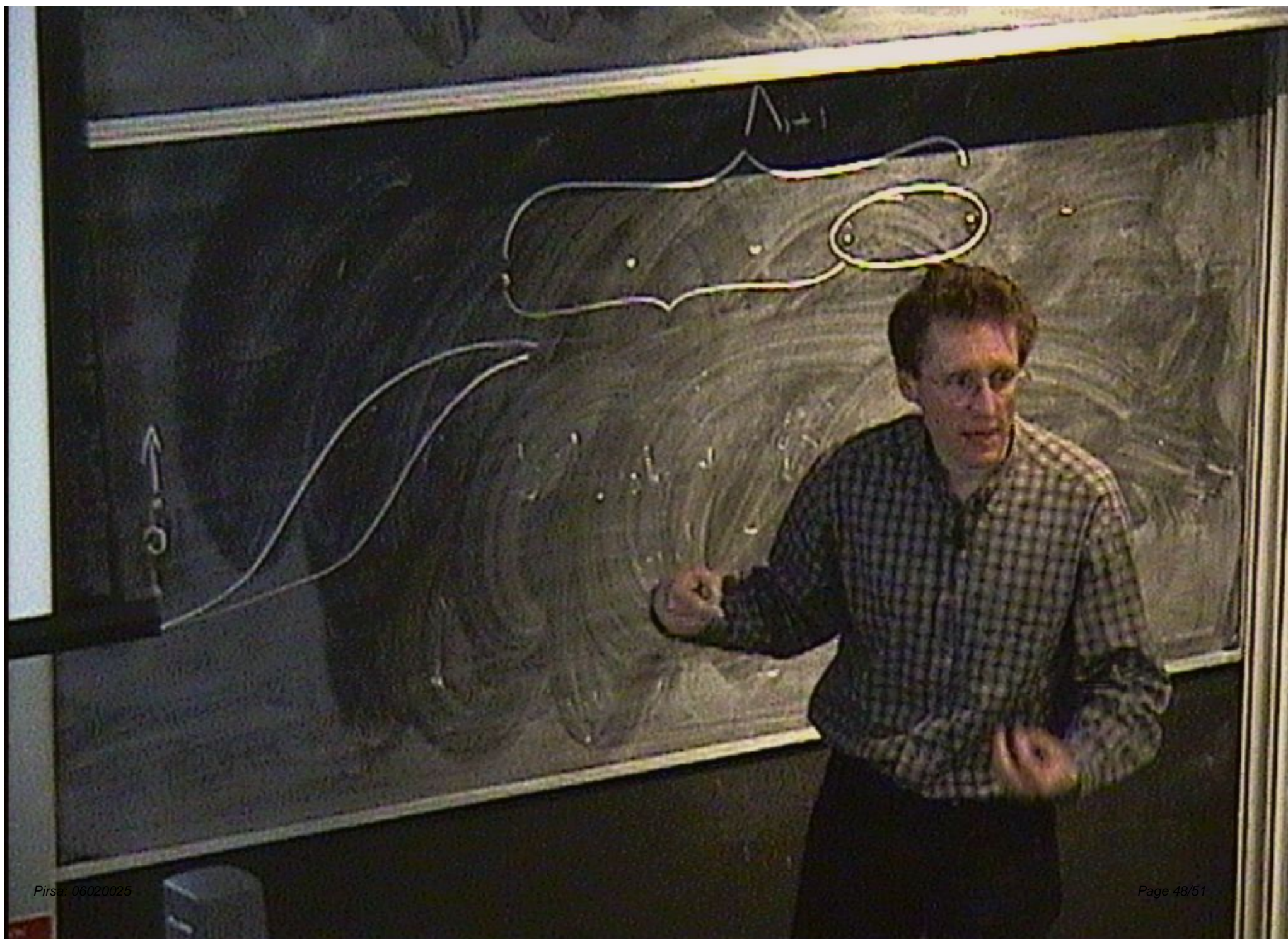
- (i) support of $B(x)$ is finite uniformly in L ,
- (ii) on support, $B(x) > 0$.

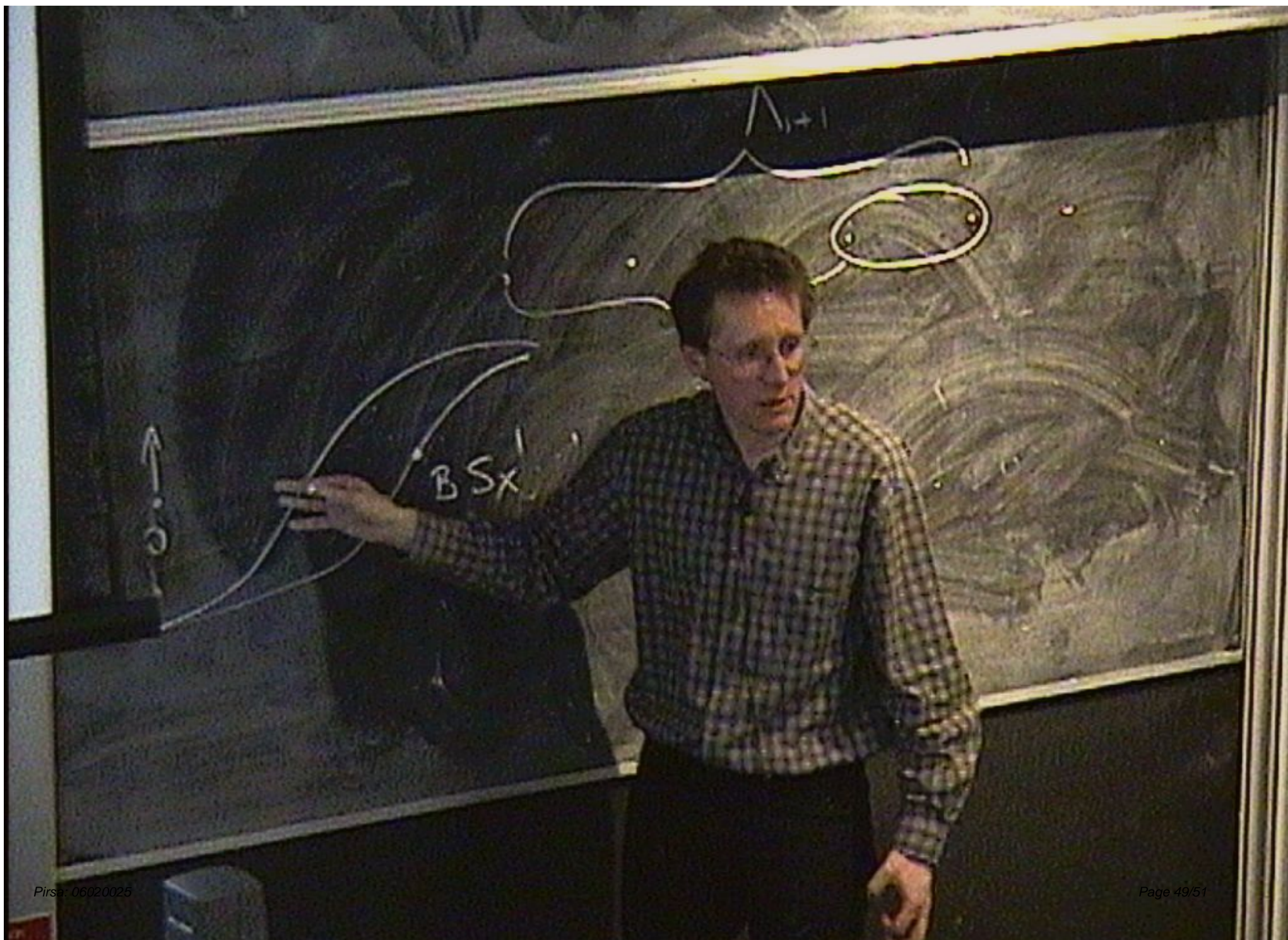
On the chain $[0, L - 1]$ we define the Hamiltonian,

$$H_L(B) = H_L + \sum B(x)S_x^1.$$

Example: Let $B(x) = B\delta(x, y)$. Then, $H_L(B)$ has a unique GS with DW centered at y . This explicitly known GS is gapped uniformly in L .

THEOREM 2. *Under the above assumptions on the magnetic field, $H_L(B)$ has a unique GS with a strictly positive gap, uniformly in L .*





Transverse magnetic field (for simplicity), $V = \sum B(x)S_x^1$. We assume

- (i) support of $B(x)$ is finite uniformly in L ,
- (ii) on support, $B(x) > 0$.

On the chain $[0, L-1]$ we define the Hamiltonian,

$$H_L(B) = H_L + \sum B(x)S_x^1.$$

Example: Let $B(x) = B\delta(x, y)$. Then, $H_L(B)$ has a unique GS with DW centered at y . This explicitly known GS is gapped uniformly in L .

THEOREM 2. *Under the above assumptions on the magnetic field, $H_L(B)$ has a unique GS with a strictly positive gap, uniformly in L .*

