

Title: Unitarity in the Presence of Closed Timelike Curves

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Abstract: TBA

# UNITARITY IN THE PRESENCE OF CLOSED TIMELIKE CURVES

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Based on `hep-th/0506104` with Miguel S. Costa

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## Introduction

- Can quantum field theory (or quantum gravity, or string theory) make sense in a spacetime with closed timelike curves?
- Free theory (single particle states) usually OK (possible quantization of energy eigenvalues)
- Unitarity breaks down when interactions are turned on perturbatively
- Will conjecture and partly show that, in some cases, unitarity is restored at discrete values of the coupling constant

## A Spacetime with Closed Timelike Curves: an Orbifold of $\mathbb{M}^3$

- $\mathbb{M}^3$  with coordinates

$$ds^2 = -2dx^+ dx^- + dx^2$$

- Killing direction

$$\kappa = i \left( J_{+x} + E^{-1} P_- \right)$$

parameterized by the energy scale  $E$ .



- Introduce new coordinates

$$y^+ = x^+ + Exx^- + \frac{E^2}{3}(x^-)^3$$

$$y^- = x^-$$

$$y = x + \frac{E}{2}(x^-)^2$$

- Metric and Killing direction become

$$ds^2 = -2dy^-dy^+ + 2Ey(dy^-)^2 + dy^2$$

$$\kappa = E^{-1} \frac{\partial}{\partial y^-}$$

- Consider the orbifold

$$\mathbb{M}^3/\Omega \quad (\Omega = e^{\kappa})$$

$$y^- \sim y^- + E^{-1}$$

## The Geometry of the Orbifold

- $y^+$  is light-cone time and  $\frac{\partial}{\partial y^+} = \frac{\partial}{\partial x^+}$  is a null Killing vector
- Orbifold generator  $\frac{\partial}{\partial y^-}$  null at  $y = 0$  and timelike for  $y < 0$

$$H \equiv \left( \frac{\partial}{\partial y^-} \right)^2 = 2Ey$$

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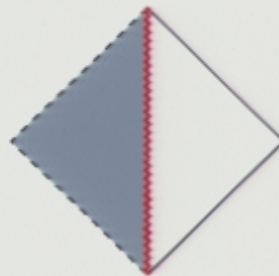


- Two dimensional reduction

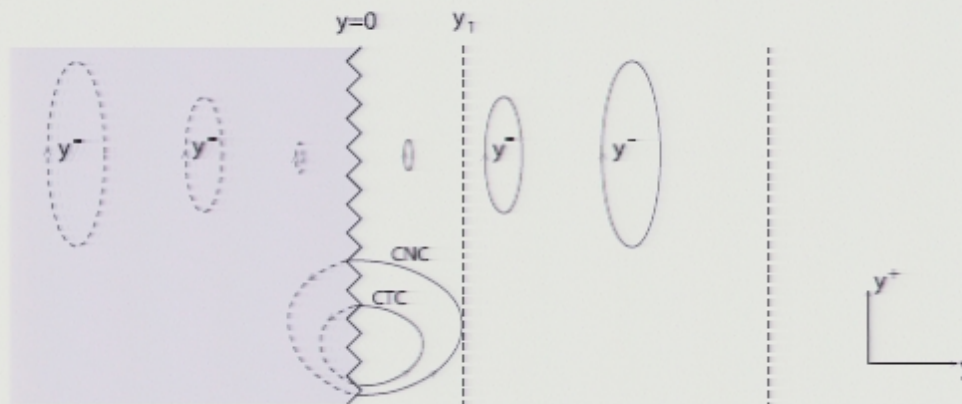
$$ds^2 = ds_2^2 + H (dy^- - H^{-1}dy^+)^2$$

$$ds_2^2 = -H^{-1} (dy^+)^2 + dy^2$$

with  $y > 0$  and Carter–Penrose diagram with **chronological singularity at  $y = 0$**



- In 3D CTC's have to go into the  $y < 0$  region



- Polarization surfaces

$$(x - \Omega^w x)^2 = 0$$

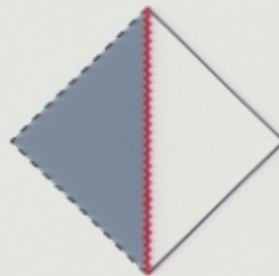
$$y = \frac{1}{24E} w^2$$

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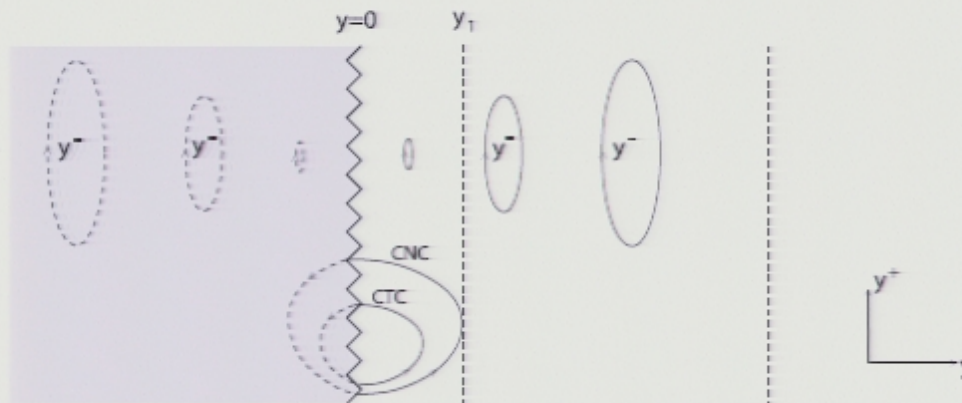
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- Horizon is  $w \rightarrow \infty$  limit of polarization surfaces

### BTZ Black Hole Inside the Horizon

- Extremal BTZ black hole

$$AdS_3 / \Omega$$

with

$$\ell M_{bh} = J \quad (AdS \text{ radius } \ell)$$

- Dual CFT state

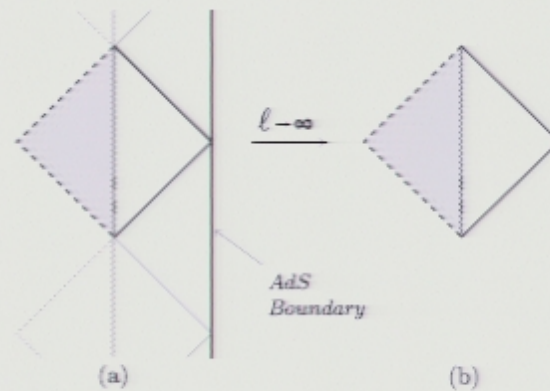
$$L_0 + \tilde{L}_0 = \ell M_{bh} + \frac{\pi}{4} \ell M$$

$$L_0 - \tilde{L}_0 = J$$

$$\left( M = \frac{1}{2\pi G} \text{ Planck mass} \right)$$

In a unitary CFT with fermions we expect **half-integral spins**

$$2J \in \mathbb{Z}$$



- Flat space limit

$$l \rightarrow \infty$$

$J$  fixed

Obtain previous orbifold of  $\mathbb{M}^3$  with

$$\frac{M}{4\pi E} = 2J \in \mathbb{Z}$$

- With fixed geometry  $E$  we expect a unitary evolution at discrete values of the gravitational coupling  $M$ , in the presence of CTC's

### Quantization of $M$ from $O8$ charge quantization

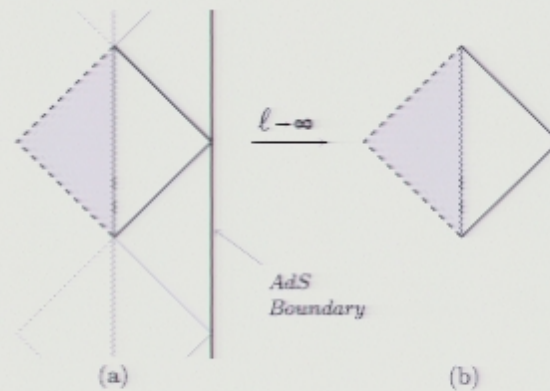
- Type IIA on

$$\mathbb{M}^3/\Omega \times T^7$$



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### Quantization of $M$ from $O8$ charge quantization

- Type IIA on

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- Uplift to  $M$ -theory on

$$\mathbb{M}^3/\Omega \times T^7 \times S^1 \quad \left( \begin{array}{l} R_{11} = l_s g_s \\ l_P^3 = g_s l_s^3 \end{array} \right)$$

Compactify on  $\Omega$  and  $T$ -dualize on  $T^7 \times S^1$  to obtain  $O8$ -plane geometry in Type IIA with

$$\tilde{l}_s^2 = E l_P^3 \quad \tilde{g}_s^2 = (2\pi)^{14} \frac{E^5 l_P^{21}}{R_{11}^2 V_7^2}$$

and tension

$$\tau = -\frac{4E}{2\hat{\kappa}^2} \in \tau_{D8} \mathbb{Z}$$

- In terms of the original orbifold  $O8$ -charge quantization implies as before

$$\frac{M}{4\pi E} \in \mathbb{Z}$$

## Scattering Theory

- External  $\Omega$ -invariant scalar states labelled by  $\lambda = m^2$  and by the conserved momenta

$$\begin{array}{rcl}
 p_+ & \rightarrow & \frac{\partial}{\partial y^+} \\
 p_- \in 2\pi E\mathbb{Z} & \rightarrow & \frac{\partial}{\partial y^-} \quad (\text{KK momentum})
 \end{array}$$



- Wavefunctions are sums over action of  $\Omega$  on plane waves  $\phi_{\mathbf{k}}(\mathbf{x})$

$$\int ds e^{i s \frac{p_-}{E}} \phi_{\mathbf{k}}(\Omega^s \mathbf{x})$$

- Convenient basis

$$V_{\lambda, p_+, p_-}(\mathbf{x}) = \frac{1}{|p_+|} \int dk e^{i(p_+ x^+ + kx + k_- x^-)} \times \\ \times \exp \frac{i}{2E p_+^2} \left[ (2p_+ p_- - \lambda) k - \frac{k^3}{3} \right]$$

$$\left( k_- = \frac{\lambda + k^2}{2p_+} \right)$$

Decay exponentially in  $y < 0$  region



- Propagator: method of images ( $\Delta$  is the Feynman propagator)

$$\langle \Phi(\mathbf{x}) \Phi(\mathbf{x}') \rangle = \sum_w \Delta(\Omega^w \mathbf{x}, \mathbf{x}')$$

Summand given by integral

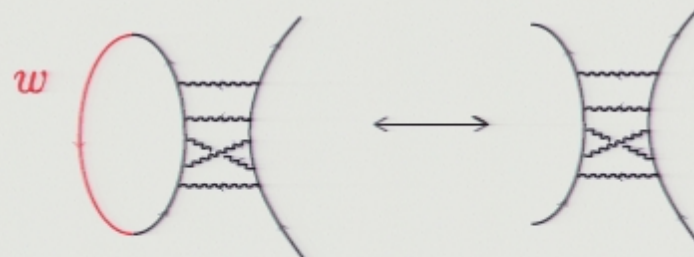
$$\int \frac{d^3 \mathbf{q}}{(2\pi)^3} \phi_{\Omega^{-w/2} \mathbf{q}}(\mathbf{x}) \phi_{\Omega^{w/2} \mathbf{q}}^*(\mathbf{x}')$$

of

$$\frac{-i}{\mathbf{q}^2 + m^2 - i\epsilon} e^{\frac{i}{E} \left( wq_- + \frac{1}{24} w^3 q_+ \right)}$$

$$\begin{array}{ccc} & w & \\ & \longrightarrow & \\ \Omega^{w/2} \mathbf{q} & \mathbf{q} & \Omega^{-w/2} \mathbf{q} \end{array}$$

- Feynman rules for graphs in the “winding representation” (consider in particular a massless scalar coupled to a massless spin  $j$  particle)



- Assign 3D momenta  $\mathbf{k}_i$  to external states
- Assign winding numbers  $w$  to propagators
- Compute graph  $\Gamma_w(\mathbf{k}_i)$  using usual vertices and modified propagator

- Average over momenta  $\mathbf{k}_i$  to get to  $V_{\lambda, p_+, p_-}$  basis for external states

$$\delta_{\sum_i p_{-i}} \int dk_i \delta(c_i k_i) e^{i\chi(k_i)} \Gamma_w(\mathbf{k}_i)$$

with  $k_{i+} = p_{i+}$ ,  $k_i^2 = -\lambda$  and

$$\chi(k_i) = \sum_i \frac{1}{2E p_{+i}^2} \left[ (2p_{+i} p_{-i} - \lambda_i) k_i - \frac{k_i^3}{3} \right]$$

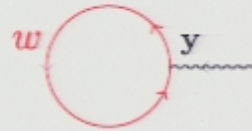
- Sum over “inequivalent” winding choices

*Note : equivalent winding choices yield different expressions in the intermediate steps by the same final result.*

- Cutting rules break down due to winding propagators ( $w \neq 0$ ) going on-shell ! **Breakdown of perturbative unitarity.**

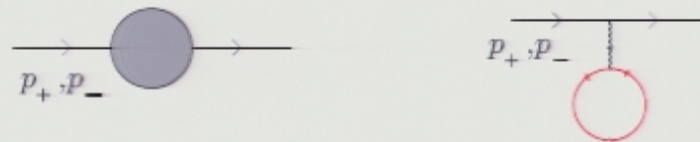


- Tadpole



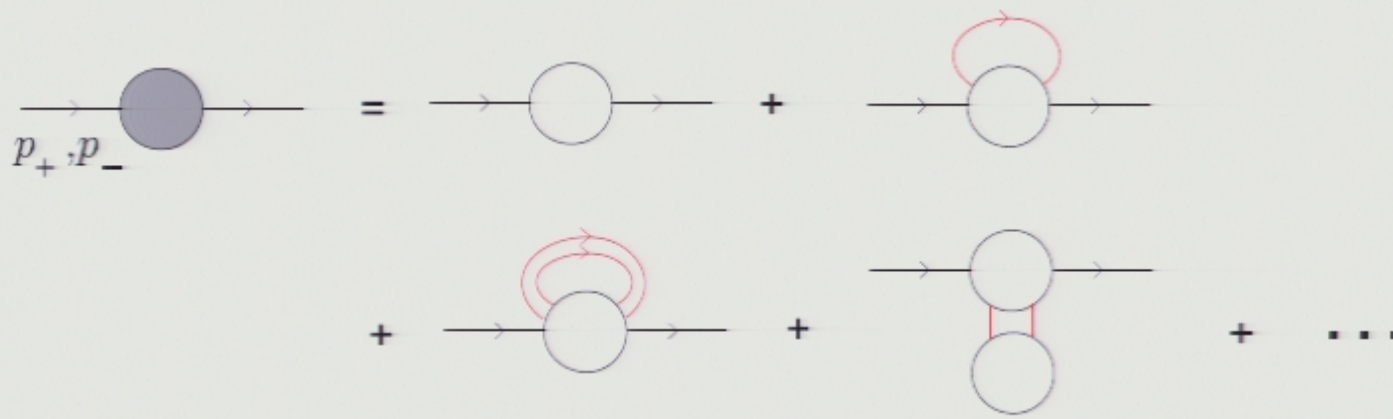
diverges on polarization surfaces at  $y_w = w^2/24E$  (for  $j = 0$  it is proportional to  $\Delta(\Omega^w \mathbf{y}, \mathbf{y}) \propto (y - y_w + i\epsilon)^{-1/2}$ ) and  $\text{Im}(\dots) \neq 0$  for  $y < y_w$

- Consider 2pt function. Leading coupling to tadpole

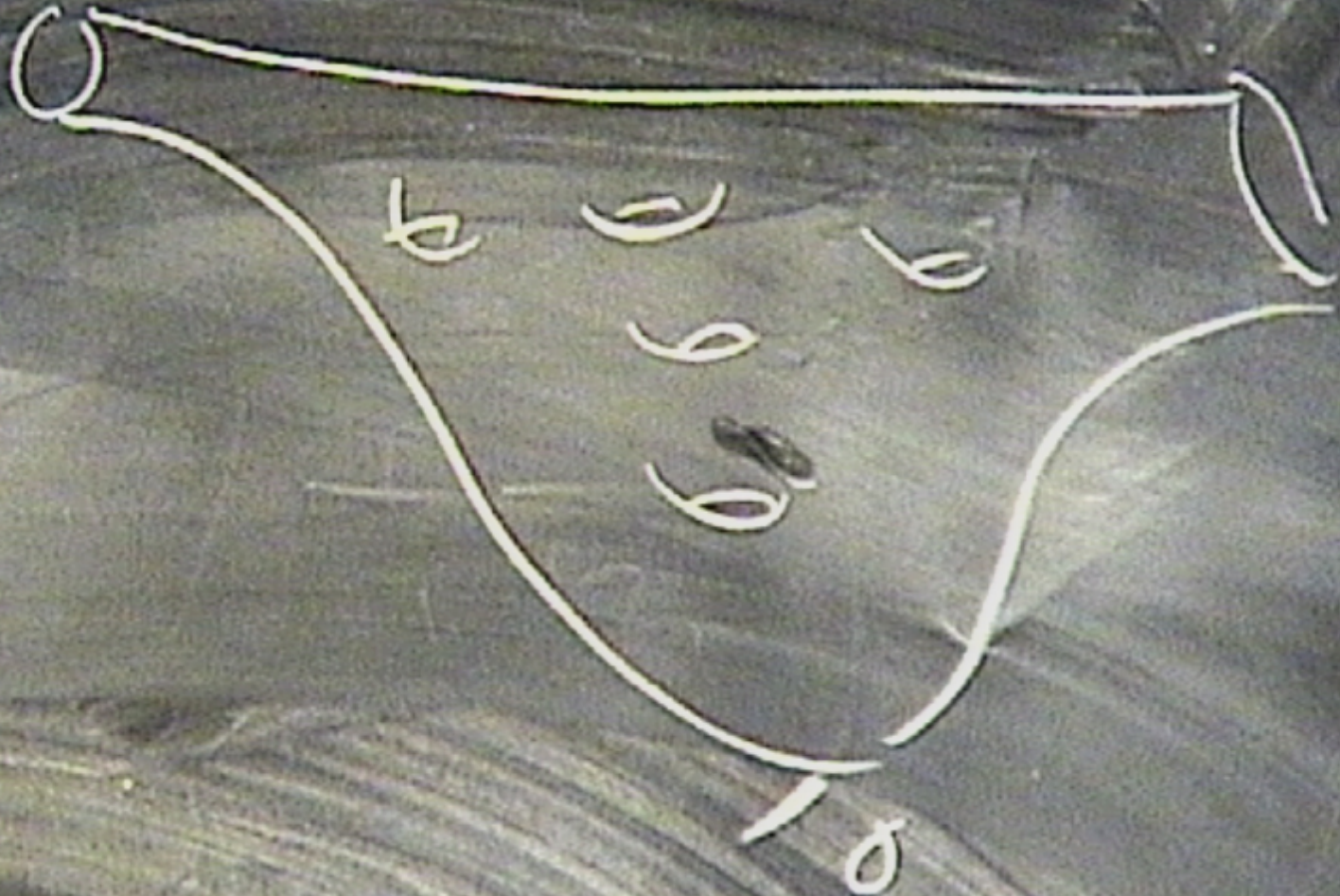


gives a **non-vanishing  $\text{Im}(\dots)$**  part with no decay channel

- Need to partially resum perturbation series
  - leading order in winding
  - all order in parent amplitudes







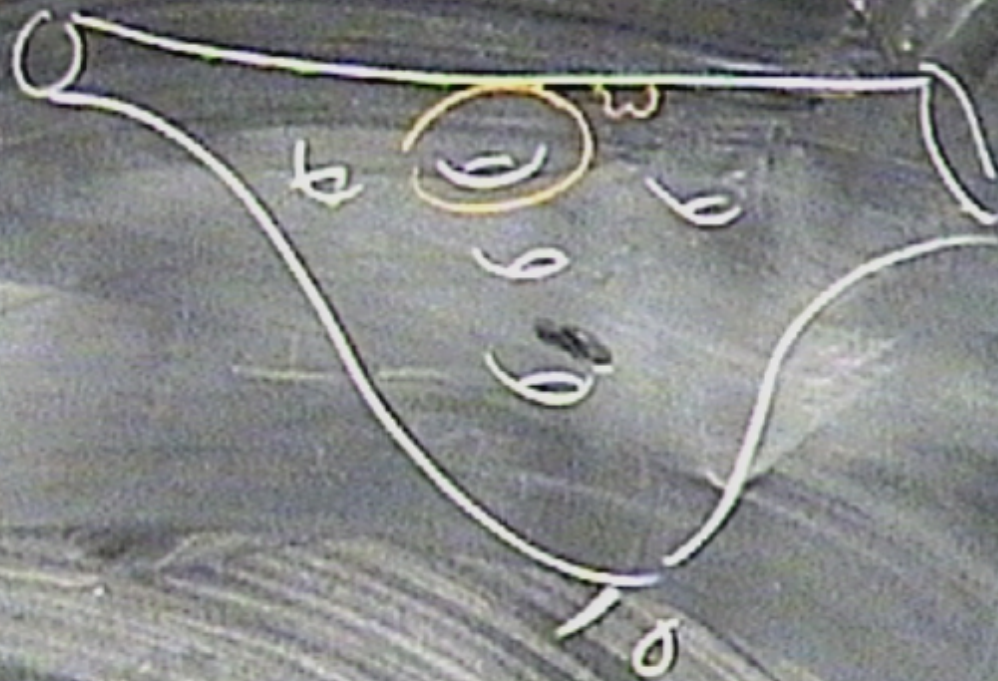
Hand-drawn scribbles and symbols at the bottom left of the page, including a large bracket-like shape and several curved lines.



act on  $X_{L,R}$

$X_{L,R}$

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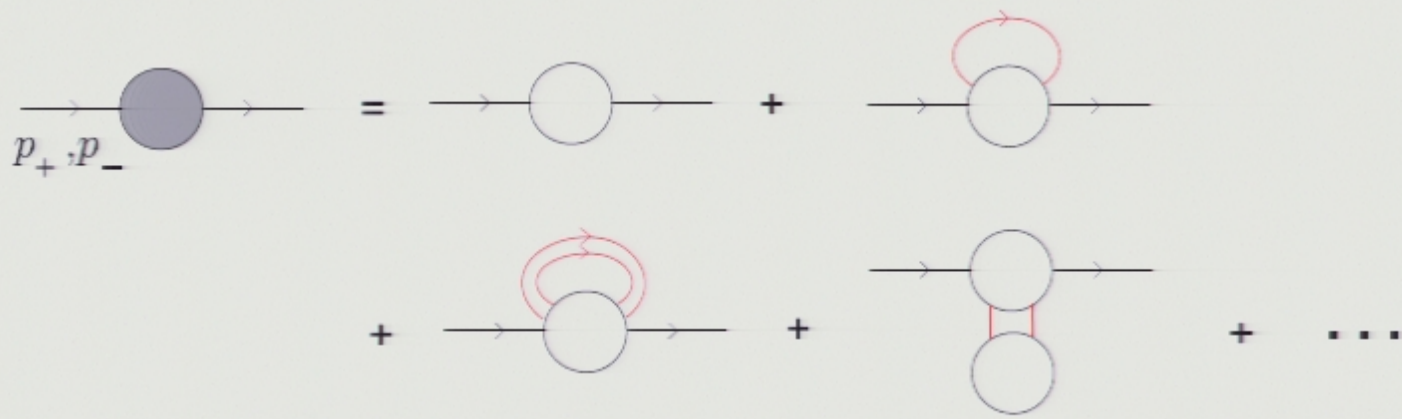
$X_{R}(\tau - \sigma)$

$\tau + 2\alpha_1 \tau / \rho \sigma + \dots$

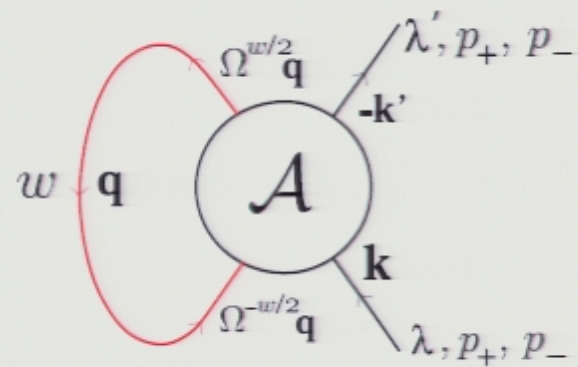


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## Two Point Function: Leading Winding Contribution



- Special kinematical limit

$$\begin{aligned} p_- & \text{ fixed} \\ p_+ & \rightarrow 0 \\ (\lambda, \lambda' & = 0 \text{ for simplicity}) \end{aligned}$$



- External states given by saddle points of phase

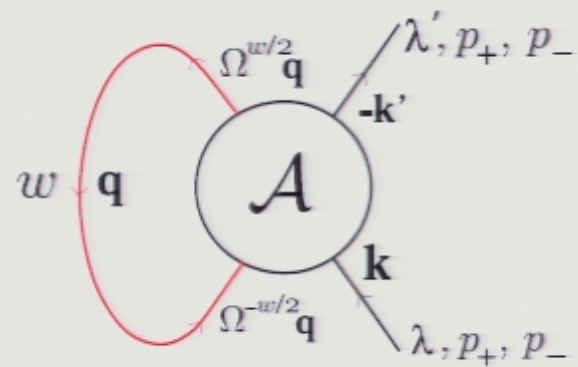
$$\chi(k) = \frac{1}{Ep_+^2} \left( p^2 k - \frac{k^3}{3} \right)$$
$$(p \equiv \sqrt{2p_+ p_-})$$

Saddle at  $k = p$  where external momenta are given by

$$\mathbf{k} = (p_+, p_-, p) \qquad \mathbf{k}' = (-p_+, -p_-, p)$$

(and other saddle at  $k = -p$ )

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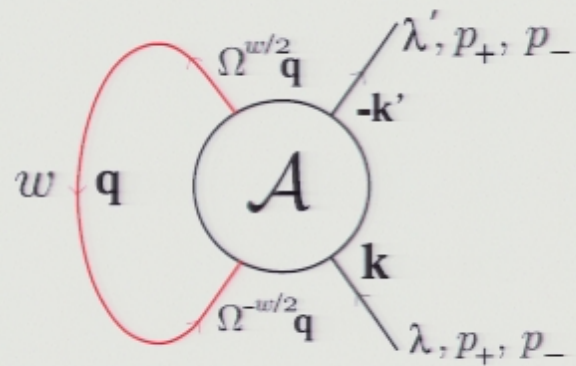
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- Loop integral over  $\mathbf{q}$

$$\int d^3\mathbf{q} \frac{-i}{\mathbf{q}^2 - i\epsilon} e^{iE\left(wq_- + \frac{1}{24}w^3q_+\right)} \times$$

$$\times \delta^3\left(\Omega^{-w/2}\mathbf{q} - \Omega^{w/2}\mathbf{q} + \mathbf{k} + \mathbf{k}'\right) \times$$

$$\times \mathcal{A}(s(\mathbf{q}), t(\mathbf{q}), u(\mathbf{q}))$$

- Two non-trivial  $\delta$ -functions fix  $q_+ = 2p/w$  and  $q = 0$  leaving one integral over  $q_-$
- In the limit

$$p_+ \ll \frac{E^2}{w^2 p_-}$$



the Mandelstam invariants read

$$s = -\left(\mathbf{k} + \Omega^{-w/2} \mathbf{q}\right) \simeq \frac{4p}{w} (q_- + p_-)$$

$$t = -\left(\mathbf{k} + \mathbf{k}'\right) = -4p^2$$

$$u = -\left(\mathbf{k} - \Omega^{w/2} \mathbf{q}\right) \simeq \frac{4p}{w} (q_- - p_-)$$

Then

$t$  fixed

$$u = u(s) = s - \frac{8pp_-}{w}$$

- Two point function is sum of contributions at the two saddles

$$k = \pm p$$

$$c\Gamma_+ + c^*\Gamma_-$$

with  $c$  a constant (dependent on  $p_{\pm}, w$ ) and

$$\Gamma_{\pm} \simeq \int \frac{ds}{2\pi i} \mathcal{A}(s, t, u(s)) e^{\pm i \frac{w^2}{4pE} s} \times \frac{1}{|w| s - 4pp_- + i\epsilon} \quad (\text{winding propagator})$$

- Reality of the two point function equivalent to

$$\Gamma_{-}^* = \Gamma_{+}$$

## Graviton Dominance in Sub-Planckian Eikonal Scattering in 3D

- Massless scalar  $s$ -channel scattering in 3D

$$1 + i\mathcal{A} = 4\sqrt{s} \sum_n e^{in\theta} e^{2i\delta_n(s)} \quad \left( \begin{array}{l} \sin^2 \theta = -t/s \\ \text{Im } \delta_n \geq 0 \end{array} \right)$$

- Small angle

$$\theta \ll 1 \quad |t| \ll s$$
$$\sum_n \rightarrow \int dx$$

with  $x = 2n/\sqrt{s}$  impact parameter. One obtains

$$1 + i\mathcal{A} \simeq 2s \int dx e^{ix\sqrt{-t}} e^{2i\delta(s,x)}$$



- Leading order in couplings

$$\mathcal{A}_{\text{tree}}(s, t) \simeq 4s \int dx e^{ix\sqrt{-t}} \delta(s, x)$$

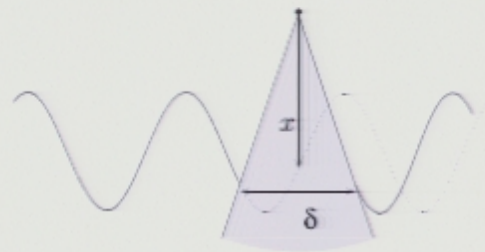
- Numerous derivations
  - High energy behavior of Feynman diagrams
  - “Classical Field” techniques
- Interaction mediated by spin  $j$  particle

$$\mathcal{A}_{\text{tree}} \simeq -4M^{3-2j} \frac{s^j}{t}$$

- Planck mass  $M = \frac{1}{2\pi G}$ . Often choose units so that  $M = 1$
- Unit couplings in Planck units
- Phase shift

$$2\delta \simeq -s^{j-1} |x|$$

negative and linear with impact parameter (easily understood for  $j = 2$  as scattering in a conical singularity)



- Full Eikonal Amplitude (sum of high energy behavior of crossed ladder graphs)

$$1 + i\mathcal{A} \simeq -4i \frac{s^j}{t + s^{2j-2} - i\epsilon}$$



- 't Hooft Poles.  $\delta$ -function contributions at poles changes the free propagation result  $4\pi s \delta(\sqrt{-t})$  to

$$2\pi s \delta(\sqrt{-t} - s^{j-1}) + 2\pi s \delta(\sqrt{-t} + s^{j-1})$$

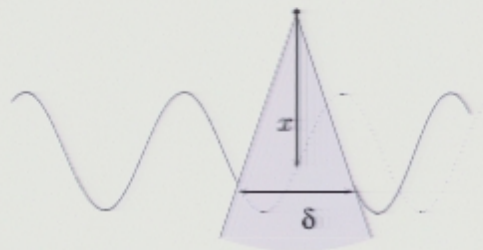
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- Sub Planckian scattering

$$s^2 \lesssim |t| \ll s \ll 1$$

Then

$$\begin{array}{ccc} j=0 & j=1 & j=2 \\ \downarrow & \downarrow & \downarrow \\ \frac{1}{t+s^{-2}} \sim s^2 & \ll \frac{s}{t+1} \sim s & \ll \frac{s^2}{t+s^2} \sim s^2/t \end{array}$$

- $j = 2$  graviton dominates
- $j = 2$  't Hooft pole reliable

- Closer look at  $j = 2$  amplitude. Can write it as

$$\mathcal{A}_{\pm} \simeq \mp \frac{(s-u)^2}{2\sqrt{-t}} \left[ \frac{1}{s \mp \sqrt{-t} \mp i\epsilon} + \frac{1}{u \mp \sqrt{-t} \mp i\epsilon} \right]$$



- *Pseudo*-particle exchange of mass<sup>2</sup>  $\pm\sqrt{-t}$  in  $s, u$  channels.
- Off-shell generalization for the kinematics

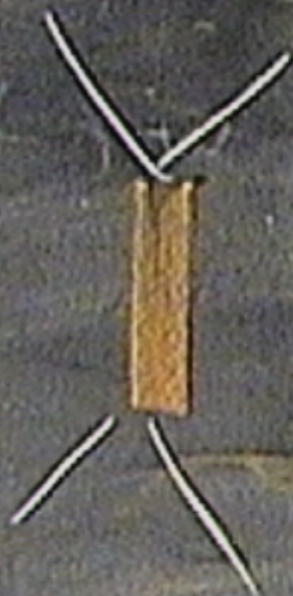
$$\begin{aligned} k_1^2 &= k_2^2 \neq 0 \\ s, u &\gg |t| \end{aligned}$$

The tree-level result is

$$\mathcal{A}_{\text{tree}} \simeq - \frac{(s-u)^2}{t}$$



implicit





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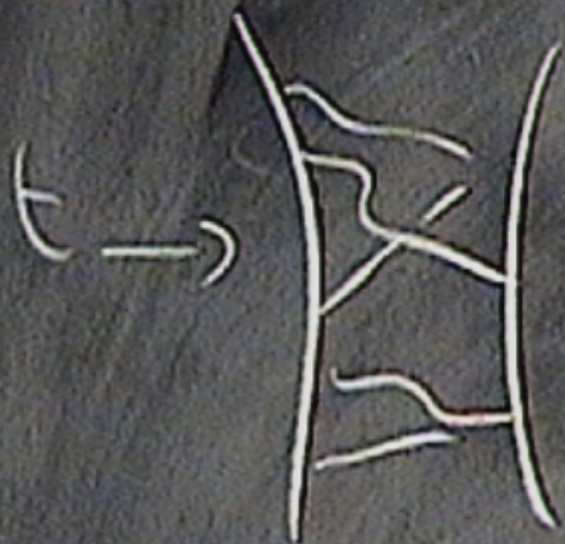
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- Amplitude  $\mathcal{A}(s, u, t)$  symmetric in  $s, u$  with poles at

$$s = \pm (\sqrt{-t} + i\epsilon)$$

$$u = \pm (\sqrt{-t} + i\epsilon)$$

- Example

$$\mathcal{A} = a_+ \mathcal{A}_+ + a_- \mathcal{A}_-$$

If  $a_+ + a_- = 1$  then compatible with

- on-shell eikonal
- off-shell tree level



## Applying the Eikonal Methods

- Recall

$$\Gamma_{\pm} \simeq \int \frac{ds}{2\pi i} \mathcal{A}(s, t, u(s)) \frac{e^{\pm i \frac{w^2}{4pE} s}}{|w| s - 4pp_- + i\epsilon}$$

with

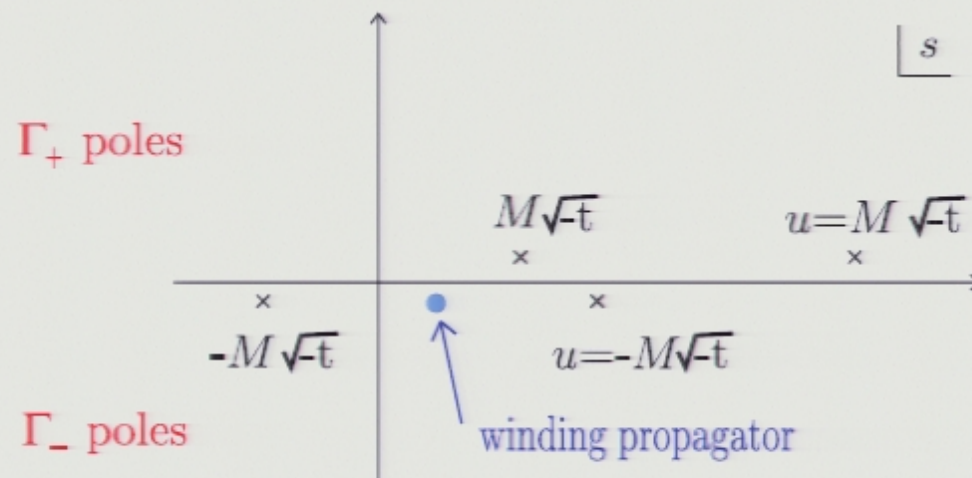
$$t = -4p^2 \quad \text{fixed}$$

$$u(s) = s - \frac{8pp_-}{w}$$

- We are in the eikonal regime

$$|t| \ll s, u \ll M^2$$

- Complex  $s$ -plane



- Consider for simplicity the example (results hold in general)

$$A = a_+ A_+ + a_- A_-$$

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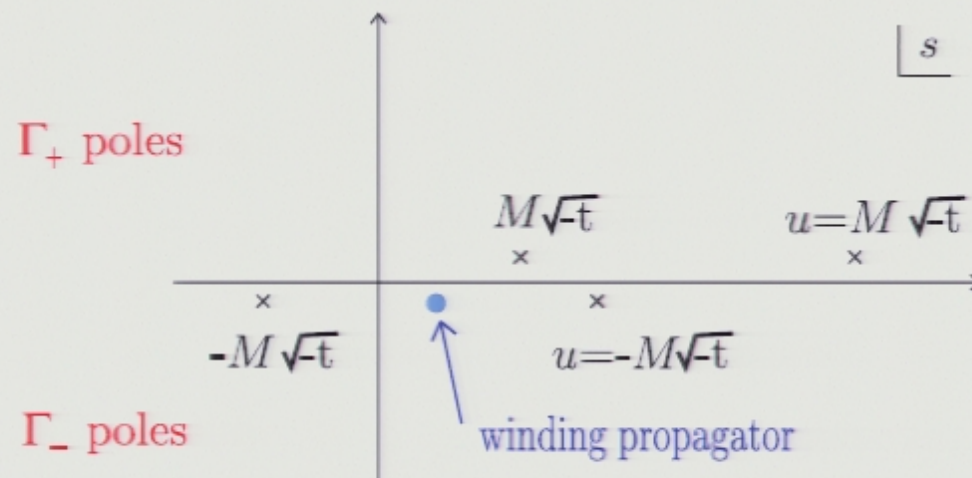
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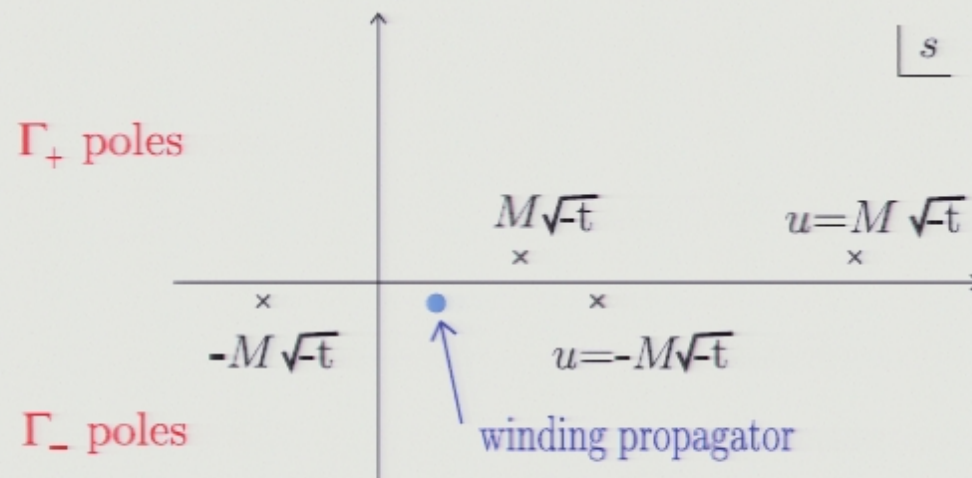
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- We are in the eikonal regime

$$|t| \ll s, u \ll M^2$$

- Complex  $s$ -plane



- Consider for simplicity the example (results hold in general)

$$A = a_+ \mathcal{A}_+ + a_- \mathcal{A}_-$$



One has for all  $w$

$$\begin{aligned}\Gamma_+ &\sim -a_+ e^{i\frac{w^2 M}{2E}} \\ \Gamma_- &\sim a_- e^{i\frac{w^2 M}{2E}} - 1\end{aligned}$$

Unitarity ( $\Gamma_+^* = \Gamma_-$ ) implies

$$\frac{M}{2E} \in 2\pi\mathbb{Z} \quad a_+ + a_- = 1$$

## Notes

- Tree level result for  $\mathcal{A}$  gives

$$\Gamma_+ \sim 0 \quad \Gamma_- \sim -1$$

- Amplitude  $\mathcal{A}(s, u, t)$  symmetric in  $s, u$  with poles at

$$s = \pm (\sqrt{-t} + i\epsilon)$$

$$u = \pm (\sqrt{-t} + i\epsilon)$$

- Example

$$\mathcal{A} = a_+ \mathcal{A}_+ + a_- \mathcal{A}_-$$

If  $a_+ + a_- = 1$  then compatible with

- on-shell eikonal
- off-shell tree level

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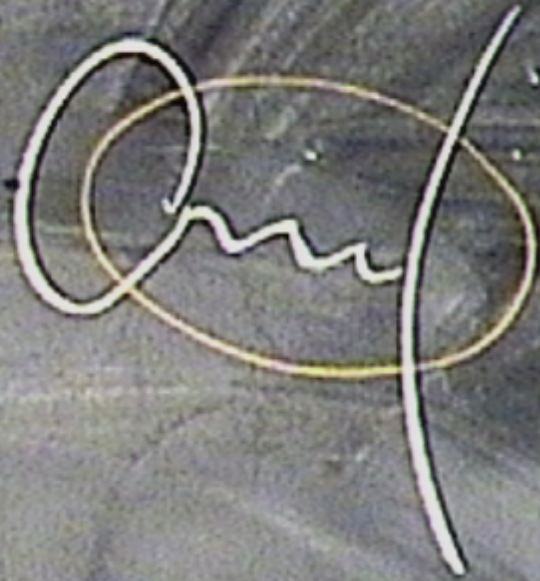
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S



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## Unitarity violation due to coupling to tadpole

- Eikonal scattering is transverse, with no  $p_+$  exchange. All decay channels would require splitting the incoming  $p_+$  in the various decay constituents
- Results obtained at energies  $\ll 1/\sqrt{\alpha'}$ . Independent of string theory (or other high energy completion of the theory) as long as we have a theory of gravity (spin 2 particle exchanged)
- Higher dimensional nature of interaction irrelevant in the low-energy limit, well below the compactification scale. Interactions truly 3D



## Things to Do

- Compute off-shell extension of the eikonal approximation (either by Feynman diagram or by classical field techniques)  
Problematic due to IR divergences which require resummation
- Extend results to  $n \rightarrow m$  scattering (possibly using old work of 't Hooft in 3D quantum gravity)



$$0 = (\bar{L}_0 - 1) | 4 \rangle$$

$$+ 2N_R - 2$$

$$+ 2N_L - 2$$





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