

Title: An Update on AdS/CFT: Sasaki-Einstein Metrics, Toric Quivers and Z-Minimisation

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Abstract: In this talk I will summarise the recent progress in AdS/CFT due to the construction of the new infinite family of Sasaki-Einstein metrics $Y^{p,q}$, and their dual superconformal gauge theories. I will review some aspects of Sasaki-Einstein geometry and the main features of the $Y^{p,q}$ metrics. I will then discuss the use of toric geometry to obtain a description of the corresponding $Y^{p,q}$ Calabi-Yau singularities. I will explain how the AdS/CFT dual $N=1$ supersymmetric gauge theories were constructed using the combined information obtained from the metrics and the toric singularities. A crucial check on the consistency of the construction is provided by the field theory technique of a-maximisation. In the last part of the talk I will briefly discuss the recently formulated geometric dual of this, i.e. "Z-minimisation".

Perimeter Institute, 12 April, 2005

Dario Martelli
CERN

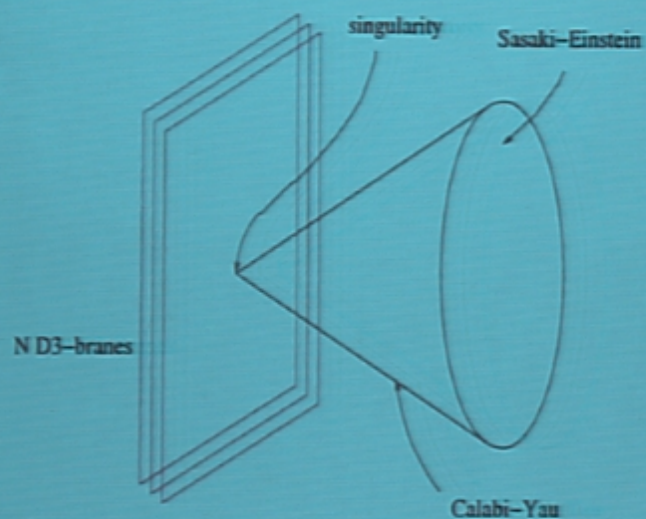
**An update on AdS/CFT:
Sasaki-Einstein metrics,
toric quivers, and Z -minimisation**

D.M., J. Sparks +

S. Benvenuti, S. Franco, J. Gauntlett, A. Hanany, D.
Waldram, S. -T. Yau

hep-th/0403002, hep-th/0411238, hep-th/0411264, hep-th/0503183

Branes at Calabi–Yau singularities



- N D3-branes $\rightarrow SU(N)$ super-Yang-Mills
- backreacted geometry is $AdS_5 \times S^5$

AdS/CFT: $AdS_5 \times S^5$ is dual to $\mathcal{N} = 4$ SYM

$$\underbrace{0123}_D \underbrace{456789}_{R^6 \rightarrow CY}$$

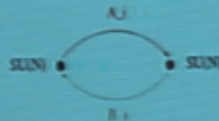
- D3 branes transverse to CY preserve SUSY
- if CY is cone \rightarrow backreacted geometry is $AdS_5 \times Y_5$
 $ds^2(CY) = dr^2 + r^2 ds^2(Y_5)$
- take this as the *definition* of Sasaki-Einstein

The conifold theory

1) $\text{cone}(S^5) = \mathbb{C}^3 \rightarrow \mathcal{N} = 4 \text{ SYM}$ ✓

2) $\text{cone}(T^{1,1}) = \text{conifold}$:

• $\mathcal{N} = 1$ $SU(N) \times SU(N)$ quiver gauge theory. With bi-fundamental fields: A_i, B_i $i = 1, 2$



- $U(1)$ isometry of $T^{1,1} \rightarrow U(1)_R$ R -symmetry
- $SU(2) \times SU(2)$ isometry of $T^{1,1} \rightarrow$ flavour symmetry
- $T^{1,1} \simeq S^2 \times S^3 \rightarrow U(1)_B$ baryonic symmetry from KK reduction on S^3 of the four-form potential C_μ
- central charge $a = \frac{16}{27} = \frac{\pi^2}{4 \text{vol}(T^{1,1})}$
- superpotential $W = \epsilon^{ij} \epsilon^{kl} A_i B_k A_j B_l$ must respect the symmetries

Bottom line: AdS/CFT allows us to study a large number of supersymmetric gauge theories purely in terms of Sasaki-Einstein geometry !

...wd be nice to have more Sasaki-Einstein, besides $T^{1,1}$...

Sasaki–Einstein metrics and AdS/CFT

1979 Tanno: existence of a SE metric on $T^{1,1} \simeq S^2 \times S^3$

⋮

1984 Romans: construction of $T^{1,1}$ metric

⋮

1989 Candelas-de la Ossa: cone over $T^{1,1}$ is the conifold

⋮

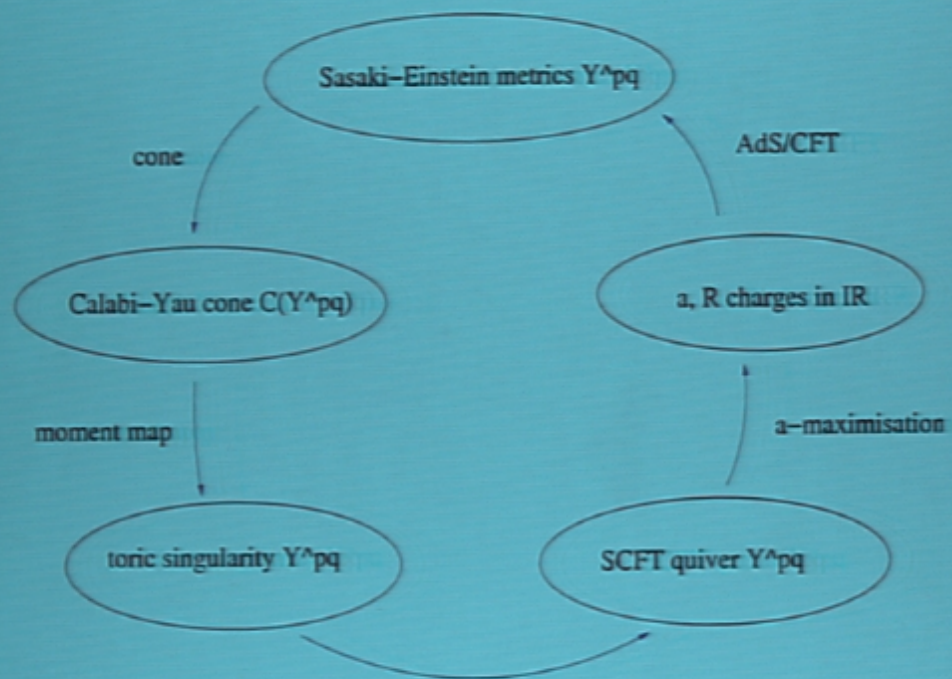
1998 Klebanov-Witten: gauge theory dual of $T^{1,1}$

⋮

Feb 2004 GMSW: Sasaki–Einstein metrics $Y^{p,q}$

Nov 2004 MS: cones over $Y^{p,q}$ are toric Calabi–Yau's

Nov 2004 BFHMS: gauge theory duals of $Y^{p,q}$



The $Y^{p,q}$ metrics

[Gauntlett, DM, Sparks, Waldram]

$Y^{p,q}$ is an infinite family of inhomogeneous and irregular Sasaki-Einstein metrics:

$$ds^2(Y^{p,q}) = \frac{1-y}{6}(d\theta^2 + \sin^2\theta d\phi^2) + \frac{1}{w(y)q(y)}dy^2 + \frac{q(y)}{9}[d\psi - \cos\theta d\phi]^2 + w(y)\ell^2[d\gamma + A]^2$$

Functions $w(y), q(y)$ depend on a discretized parameter

$$\alpha = \frac{1}{2} - \frac{p^2 - 3q^2}{4p^3} \sqrt{4p^2 - 3q^2} \quad \ell = \frac{q}{3q^2 - 2p^2 + p(4p^2 - 3q^2)^{1/2}}$$

Isometry: $SU(2) \times U(1) \times U(1)$

Topology: $Y^{p,q} \simeq S^2 \times S^3$ (p, q co-prime)

Reeb: $K = 3\frac{\partial}{\partial\psi} - \frac{1}{2\ell}\frac{\partial}{\partial\gamma}$ ("U(1)_R" in the SCFT)

The volume of $Y^{p,q}$ is given by

$$\text{vol}(Y^{p,q}) = \frac{q^2[2p + (4p^2 - 3q^2)^{1/2}]}{3p^2[3q^2 - 2p^2 + p(4p^2 - 3q^2)^{1/2}]} \pi^3$$

- It is generically quadratic irrational and it ranges in

$$\text{vol}(T^{1,1}/\mathbb{Z}_p) > \text{vol}(Y^{p,q}) > \text{vol}(S^5/\mathbb{Z}_2 \times \mathbb{Z}_p)$$

Sasaki–Einstein geometry

- Geometric data of a SE structure are
 - a unit-norm Killing vector $K = \frac{\partial}{\partial \psi}$ (Reeb) and its dual one-form η
 - a two-form J_4 such that $d\eta = 2J_4$

Finer classification of SE manifolds

- locally $ds^2(\text{SE}) = ds_4^2 + (d\psi' + \eta)^2$

with (ds_4^2, J_4) Kähler-Einstein

- 1) *Regular*: (ds_4^2, J_4) is a KE manifold
- 2) *Quasi-regular*: (ds_4^2, J_4) is a KE orbifold
- 3) *Irregular*: (ds_4^2, J_4) is not globally defined

- Only in cases 1) and 2) can the SE be defined as $U(1)$ bundle over the respective KE base manifold/orbifold.
- In case 3) one cannot quotient by $\partial/\partial\psi'$: the orbits of the Reeb don't close, but densely fill a torus T^k ($k \equiv \text{rank of the SE}$).

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Calabi–Yau cones $C(Y^{p,q})$

- Metric on the CY cones:

$$ds^2(C(Y^{p,q})) = dr^2 + r^2 ds^2(Y^{p,q})$$

Local KE data $J_4, \Omega_4, \eta \Rightarrow$ CY data J, Ω

$$J = r^2 J_4 + r dr \wedge \left(\frac{1}{3} d\psi' + \eta\right)$$

$$\Omega = e^{i\psi} r^2 \Omega_4 \wedge \left[dr + ir \left(\frac{1}{3} d\psi' + \eta\right)\right]$$

- Use J to find susy 3-submanifolds in $Y^{p,q}$:

$1/2 J \wedge J$ is the calibrating form for Kähler 4-submanifolds (divisors):

$$\frac{1}{2} J \wedge J|_{M_4} \leq \text{vol}(M_4) \quad \forall M_4$$

$$1/2 J \wedge J|_{C(\Sigma_i)} = \text{vol}(C(\Sigma_i)) \Rightarrow C(\Sigma_i) \text{ are complex}$$

$\Rightarrow \Sigma_1, \Sigma_2, \Sigma_3$ are supersymmetric 3-submanifolds in $Y^{p,q}$

$$\text{e.g.: } \text{vol}(\Sigma_2) = 2\pi^2 \ell_p^3$$

- Use J to find out about toric singularity..

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Toric symplectic manifolds

M symplectic manifold, w/ symplectic form J . A $U(1)$ action on M is symplectic if generated by V preserving J :

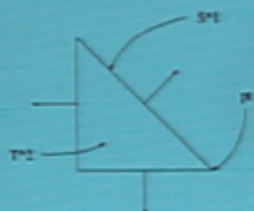
$$\mathcal{L}_V J = d(i_V J) + i_V dJ = d(i_V J) = 0$$

Take μ such that $d\mu = i_V J$ (Hamiltonian)

$\mu : M \rightarrow S^1$ is a moment map

• (M, J) , $\dim(M) = 2n$ is symplectic toric if there is a $T^n = U(1)^n$ action.

• Result: $\mu(M) = \Delta$ is a convex polytope in \mathbb{R}^n

Example: $\mu(\mathbb{C}P^2) =$  T^2 fibered over Δ

The S^1 collapsing over the edges is generated by the vector field specified by the normals v_i .

If M is a symplectic toric cone

$\mu(M) = \mathcal{C}$ is a polyhedral cone in \mathbb{R}^n with d facets

Example:  4-faceted \mathcal{C} in \mathbb{R}^3

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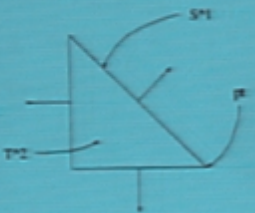
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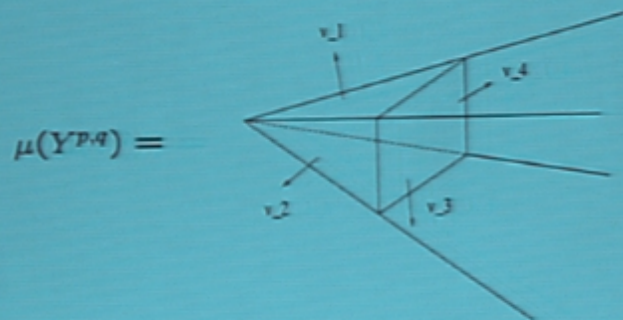
$C(Y^{p,q})$ are symplectic toric cones
[DM,Sparks]

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$$T^3 = [\partial/\partial\phi, \partial/\partial\psi, \partial/\partial\gamma] \subset SU(2) \times U(1) \times U(1) \text{ isometry}$$

T^3 is a Hamiltonian action on J and also an isometry

- Compute the moment map from J . The image is



4 facets correspond to toric divisors, which are cones over

$$\Sigma_1 \simeq S^3/\mathbb{Z}_{p-q}, \quad \Sigma_3 \simeq S^3/\mathbb{Z}_{p+q}, \quad \Sigma_2 \simeq \Sigma_4 \simeq S^3/\mathbb{Z}_p$$

- $\{v_i\}$ span \mathbb{Z}^3 over $\mathbb{Z} \Rightarrow \pi_1(Y^{p,q}) \simeq \mathbb{Z}^3 / \langle v_i \rangle_{\mathbb{Z}} \simeq 0$
- $\pi_2(Y^{p,q}) \simeq \mathbb{Z}_{d-n} = \mathbb{Z} \Rightarrow b_2(Y^{p,q}) = 1$

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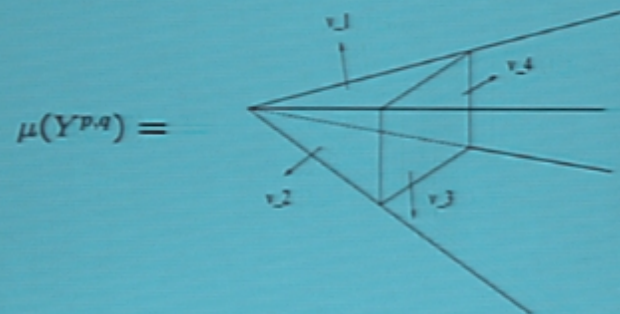
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- $\pi_2(Y^{p,q}) \simeq \mathbb{Z}_{d-n} = \mathbb{Z} \Rightarrow b_2(Y^{p,q}) = 1$

Toric geometry and $Y^{p,q}$

[DM, Sparks]

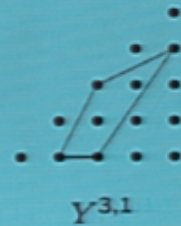
- Normals $\{v_i\}$ \rightarrow gauged linear sigma model

$$C(Y^{p,q}) \simeq \mathbb{C}^4 // U(1) \quad Q = (p, p, -p + q, -p - q)$$

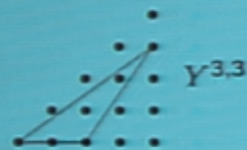
Toric diagrams: because of the CY condition, the information of the moment cone in \mathbb{R}^3 is entirely encoded in polyhedrons in \mathbb{R}^2 . The normals v_i "end" on a plane:



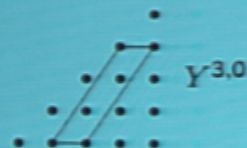
Projecting v_i onto this plane we get diagrams



- Each $Y^{p,q}$ diagram is embedded in that of $\mathbb{C}^3 / \mathbb{Z}_{p+1} \times \mathbb{Z}_{p+1}$
- Special limits: $p = q$ and $q = 0$



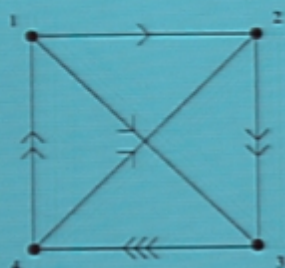
this is $\mathbb{C}^3 / \mathbb{Z}_{2p}$ with action
 $(z_1, z_2, z_3) \rightarrow (\omega_{2p} z_1, \omega_{2p} z_2, \omega_{2p}^{-2} z_3)$



this is conifold / \mathbb{Z}_p with action
 $B_1 \rightarrow \omega_p B_1, B_2 \rightarrow \omega_p^{-1} B_2$ where
 $|A_1|^2 + |A_2|^2 = |B_1|^2 + |B_2|^2$

Quivers and toric quivers

Consider example (dP_1 theory) : $U(N)^4$ theory



Nodes $\bullet = U(N)$ gauge group

$i \rightarrow j =$ bifundamental X_{ij} in (N, \bar{N}) of $U_i(N) \times U_j(N)$

Multiple arrows are assigned to a rep. of the flavour symmetry

Moduli space (consider $U(N) \rightarrow U(1)$)

D -terms: $\vec{\mu} = d \cdot |\vec{X}|^2 = \vec{\xi}_{FI}$ (d is "incidence matrix")

F -terms: $\partial W / \partial X = 0 \rightarrow$ "toric quiver" gives monomial equations

$F + D$ terms are repackaged in terms of some auxiliary fields $p_\alpha, \alpha = 1, \dots, c$, to produce a Kähler quotient

$$\mathbb{C}^c // U(1)^{c-3}$$

which is a $3\text{-dim}_{\mathbb{C}}$ toric variety. By construction, it is also Calabi-Yau.

Constructing the quivers

[Benvenuti, Franco, Hanany, DM, Sparks]

"Partial resolutions" of $\mathbb{C}^3/\mathbb{Z}_{p+1} \times \mathbb{Z}_{p+1} \rightarrow$ not computationally practical..

- Constraints from the geometry: topology and isometry.

gauge groups = # of cycles (in the resolved geometry!) on which D3, D5, D7 branes can wrap, i.e. 0-, 2-, 4-cycles.

$$= b_0 + b_2 + b_4 = \text{Euler characteristic} \quad (b_{\text{odd}} = 0)$$

$$= \# \text{ vertices in the polytope in } \mathbb{R}^3 = 1 + p + (p-1) = 2p$$

- From here.. "educated guess"!

$$Y^{p,p} = S^5/\mathbb{Z}_{2p}, \quad Y^{p,0} = T^{1,1}/\mathbb{Z}_p, \quad Y^{2,1} = C_C(dP_1)$$

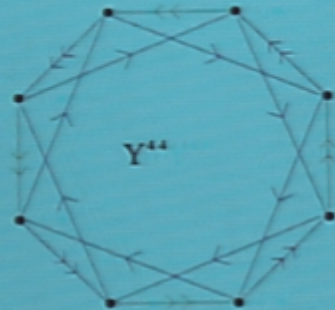
$SU(2)$ flavour symmetry is a strong constraint

Susy 3-submanifolds $\Sigma_i \rightarrow$ baryonic operators

Final check: compute R -charges with a -maximisation

Iterative procedure starting with $Y^{p,p} = \mathbb{C}^3 / \mathbb{Z}_{2p}$

- Quiver is constructed using standard orbifold techniques



Gauge group $SU(N)^{2p}$ with $4p + 2p = 6p$ bifundamentals

$SU(2) \times U(1)$ isometry \Rightarrow global flavor symmetry

$2p$ Y_i singlets, p U_i^α doublets, p V_i^α doublets

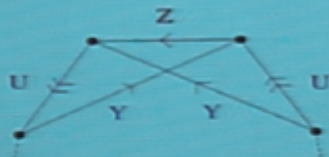
$$W = \sum_{i=1}^p \epsilon_{\alpha\beta} (U_i^\alpha V_i^\beta Y_{2i+2} + V_i^\alpha U_{i+1}^\beta Y_{2i+3})$$

- $2p$ loops \times (3 legs in each loop) \times (2 from $\epsilon_{\alpha\beta}$) $= 12p$
 $= 6p \times 2$ fields, as expected from toric varieties

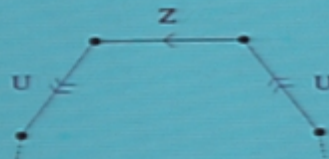
- Now apply a 3-step procedure which preserves symmetries and "toricity"

Pick an (arbitrary) arrow corresponding to a V doublet

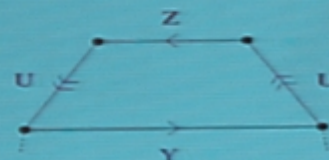
1) replace a V doublet with a new singlet Z



2) remove the two diagonal singlets Y



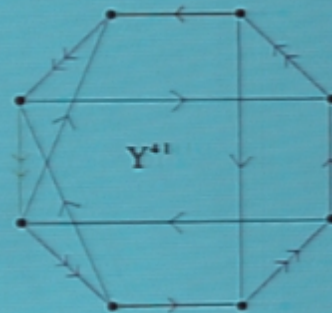
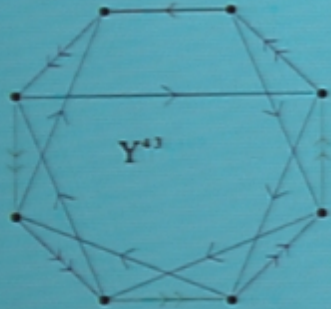
3) add a new singlet Y to close the new loop



- # fields $6p \rightarrow 6p - 2$
 - In W : -2 cubic terms $\rightarrow +1$ quartic $\Rightarrow 12p - 4$ fields
 - Repeating the procedure $p - q$ times gives a $Y^{p,q}$ quiver
- # fields = $6p - 2(p - q) = 4p + 2q = p$ U doublets + q V doublets + $(p - q)$ Z singlets + $(p + q)$ Y singlets

$$W = \sum^{2q} UVY + \sum^{p-q} ZUYU$$

Some examples



$$Y^{p,0} = \text{conifold}/\mathbb{Z}_p$$



- For $Y^{p,0}$: # fields = $4p = (4 \text{ "conifold fields"}) \times p$
 $= p \text{ } U \text{ doublets} + (p \text{ } Z \text{ singlets} + p \text{ } Y \text{ singlets})$

$$W = \Sigma^p \text{ (quartic terms)}$$

a -maximisation

- Any $4d \mathcal{N} = 1$ SCFT must have a $U(1)_R$ R-symmetry, which is part of the superconformal algebra $SU(2, 2|1)$.
- Knowledge of exact R-charges determines the central charges

$$a = \frac{3}{32}(3\text{Tr}R^3 - \text{Tr}R) \quad c = \frac{1}{32}(9\text{Tr}R^3 - 5\text{Tr}R)$$

$$\langle T_{\mu}^{\mu} \rangle = c(\text{Weyl})^2 + a(\text{Euler})^2$$

- The issue: the full symmetry group of a SCFT may contain additional global flavor symmetries. The abelian part can mix with $U(1)_R$.
- Resolution: consider a "trial" R-symmetry (subject to consistency conditions)

$$R_{\text{trial}} = R_0 + \sum_I s^I F_I$$

F_I are generators of flavour $U(1)$'s; $s^I \in \mathbb{R}$ (a priori)

- The exact R-charges are those that *maximise* the central charge a_{trial} over s^I . And $a = a_{\text{max}}$.

The generic charges in $4d \mathcal{N} = 1$ SCFTs are *algebraic irrational* numbers.

R-charges of $Y^{p,q}$ quivers using a-maximisation

- Obtain a parameterization (the s^I) of the R-charges
 - vanishing of β -functions at each node
 - terms in W have R-charge 2

$$R[Z] = x, R[Y] = y, R[V] = 1 + \frac{1}{2}(x-y), R[U] = 1 - \frac{1}{2}(x+y)$$

$$a(x,y) = 2p + (p-q)(x-1)^3 + (p+q)(y-1)^3 - \frac{p}{4}(x+y)^3 + \frac{q}{4}(x-y)^3$$

- Maximising $a(x,y)$ gives the R-charges and a central charge of the $Y^{p,q}$ quiver theory at its IR fixed point.

These agree with values obtained from the $Y^{p,q}$ metric!

$$a_{max} = \frac{\pi^3}{4\text{vol}(Y^{p,q})} = \frac{3p^2[3q^2 - 2p^2 + p(4p^2 - 3q^2)^{1/2}]}{4q^2[2p + (4p^2 - 3q^2)^{1/2}]}$$

Field	R - charge	$U(1)_B$
Y	$(-4p^2 + 3q^2 + 2pq + (2p - q)\sqrt{4p^2 - 3q^2})/3q^2$	$p - q$
Z	$(-4p^2 + 3q^2 - 2pq + (2p + q)\sqrt{4p^2 - 3q^2})/3q^2$	$p + q$
U	$(2p(2p - \sqrt{4p^2 - 3q^2}))/3q^2$	$-p$
V	$(3q - 2p + \sqrt{4p^2 - 3q^2})/3q$	q

Baryons and 3-cycles

There are four types of baryonic operators

$$\mathcal{B}[A] = \epsilon^{\alpha_1 \dots \alpha_N} A_{\alpha_1}^{\beta_1} \dots A_{\alpha_N}^{\beta_N} \epsilon_{\beta_1 \dots \beta_N}$$

$\mathcal{B}[Z], \mathcal{B}[Y]$ are singlets of $SU(2)$

$\mathcal{B}[V], \mathcal{B}[U]$ transform in the $N+1$ of $SU(2)$

- From the a -maximization calculation we know the respective R-charges – these are simply $N \cdot R[A]$
- In the geometry baryons are D3 wrapped on susy 3-submanifolds Σ_i , and their R-charges are given by

$$R[\mathcal{B}_i] = \frac{\pi}{3 \text{vol}(Y^{p,q})} \text{vol}(\Sigma_i)$$

Recall we identified 3 susy cycles in $Y^{p,q}$:

$$\begin{aligned} \Sigma_1 &\simeq S^3/\mathbb{Z}_{p-q} &\rightarrow & \mathcal{B}[Z] \\ \Sigma_2 &\simeq \Sigma_4 \simeq S^3/\mathbb{Z}_p &\rightarrow & \mathcal{B}[U] \\ \Sigma_3 &\simeq S^3/\mathbb{Z}_{p+q} &\rightarrow & \mathcal{B}[Y] \\ \Sigma_5 &\equiv -\Sigma_1 - \Sigma_3 &\rightarrow & \mathcal{B}[V] \end{aligned}$$

- Their volumes match the field theoretical computation of R-charges.
- $\Sigma_2, \Sigma_4 \rightarrow S^2$ degeneracy $\rightarrow N+1$ degeneracy of states (quantization of zero-modes of D3 in N units of flux)

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A geometric dual of a -maximisation

[DM, Sparks, Yau]

Toric singularity $\{v_i\}$ \rightarrow quiver gauge theory \rightarrow

$\rightarrow a_{\{v_i\}}(\text{R-symmetry}) \xrightarrow{a\text{-maximisation}} \text{exact R-symmetry} \rightarrow$

$\rightarrow a(R_{\text{exact}}), R_i(R_{\text{exact}}) \xrightarrow{\text{AdS/CFT}} \text{vol(SE)}, \text{vol}(\Sigma_i)$

- Can we compute the volumes of SE manifolds without knowing the metric?

A geometric dual of a -maximisation [DM, Sparks, Yau]

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- Can we compute the volumes of SE manifolds without knowing the metric?

- If Sasaki-Einstein is regular: $U(1)_R \rightarrow SE \rightarrow KE$

$$\text{vol}(SE) = \text{vol}(\text{fibre}) \cdot \text{vol}(KE) = \text{const.} \int_{KE} c_1^2$$

- What should we extremise over?

Key: K Reeb vector $\leftrightarrow U(1)_R$ R-symmetry

$$\mathcal{L}_K \Omega \neq 0 \quad \Rightarrow \quad \mathcal{L}_K \epsilon \neq 0$$

Z-minimisation

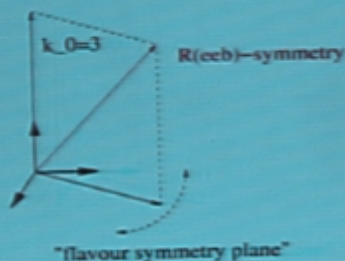
- Idea: consider enlarged space of Sasakian metrics

Sasakian = cone is Kähler, but not Ricci-flat

- Reeb vector still exists!

Given a toric singularity $\{v_i\}$, we define a function $Z_{\{v_i\}}(k_i)$ over the space of Reeb vectors K :

$$K \in \mathbb{T}^3 \Rightarrow K = k_i \frac{\partial}{\partial \phi_i}$$



Why minimise Z ? Because $Z \sim \int R + \Lambda$

$$\frac{\partial Z}{\partial k_i} = 0 \Rightarrow \text{Einstein metric!}$$

Toric singularity $\{v_i\} \rightarrow Z_{\{v_i\}}(k_i)$ $\xrightarrow{Z\text{-minimisation}}$

\rightarrow "exact" Reeb $\rightarrow Z(k_i^{\min}) = \text{vol}(SE), \text{vol}(\Sigma_i)$

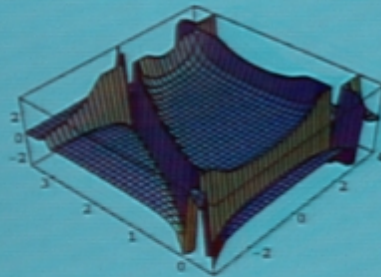
- Reeb, volumes are algebraic numbers

Example: Z -min vs. a -max for SPP

- The "suspended pinch point" is a toric CY singularity

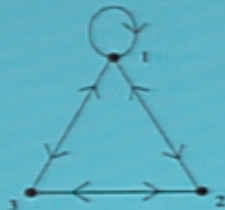


$$Z_{(n)}[x, y, t] = \frac{(x-2)(2x-t)}{2t(t-x)(t-x-y)(x-y)} \quad k_i = (x, y, t)$$



$$dZ = 0 \quad \Rightarrow \quad \text{vol}(Y_{SPP}) = \frac{2}{9}\sqrt{3}\pi^3$$

- Consider now the quiver gauge theory and a -maximisation



$$a(x, w, z, s) = 3 + (x-1)^3 + (w-1)^3 + (z-1)^3 + (s-1)^3 \\ + (x+w-1)^3 + (1-x-w-z)^3 + (1-x-w-s)^3$$

$$da = 0 \quad \Rightarrow \quad a(Y_{SPP}) = \frac{3}{8}\sqrt{3} = \frac{\pi^3}{4 \cdot \text{vol}(Y_{SPP})}$$