

Title: Topological Quantum Computation and Electrons in Solids

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Abstract:

# Topological Quantum Computation and Electrons in Solids

M. Freedman, C.N., K. Shtengel, K. Walker, Z. Wang



# Outline

- Introduction: a simple example.
- Generalizing the example: a class of P,T-invar. topological phases.  
*Topological Quantum Computation.*
- How do we get into these phases?  
*Microscopic Models.*  
*Effective Field Theories.*
- Conclusions, Open Problems



What is the physics of a Hamiltonian such as

$$H = \sum_{i,j} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

Large number of microscopic d.o.f. coupled together.

How do they react when you shine light, neutrons, etc. on them?

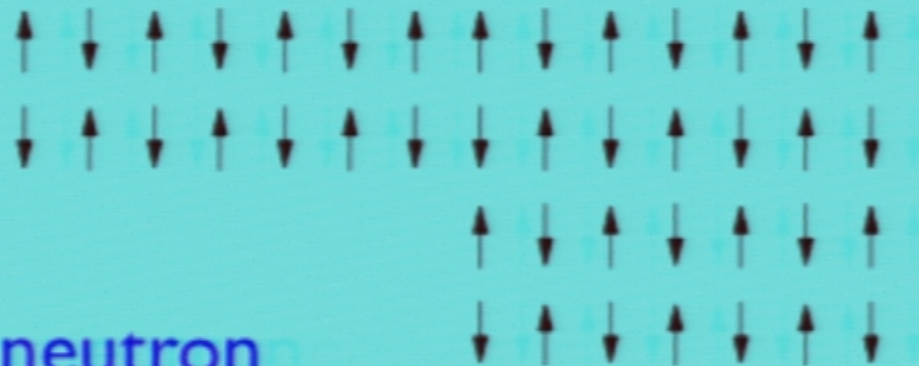


Starting point of analysis:

What *phase* is the system in?

Within a phase, certain properties are determined (e.g. by symmetry) and others can be obtained quant. by perturbing from a soluble model.

e.g. an antiferromagnet



*broken symmetry*  $\Rightarrow$

additional Bragg peaks in neutron scattering; spin-flip scattering occurs

long-wavelength props. det. by  $S = \int d^d x d\tau (\partial_\mu \mathbf{n})^2$



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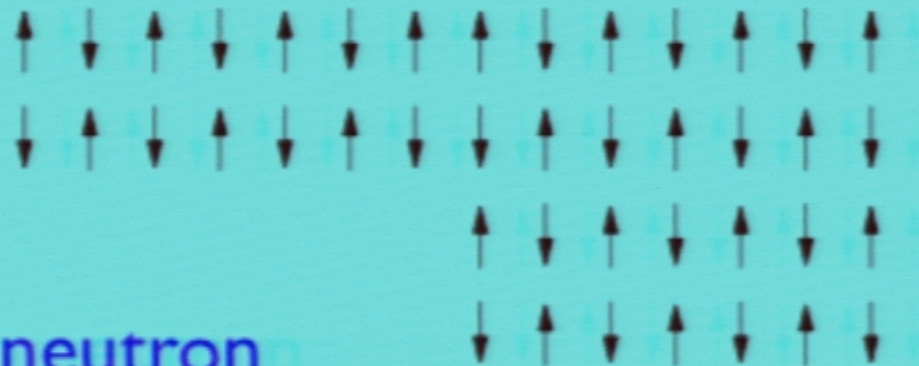


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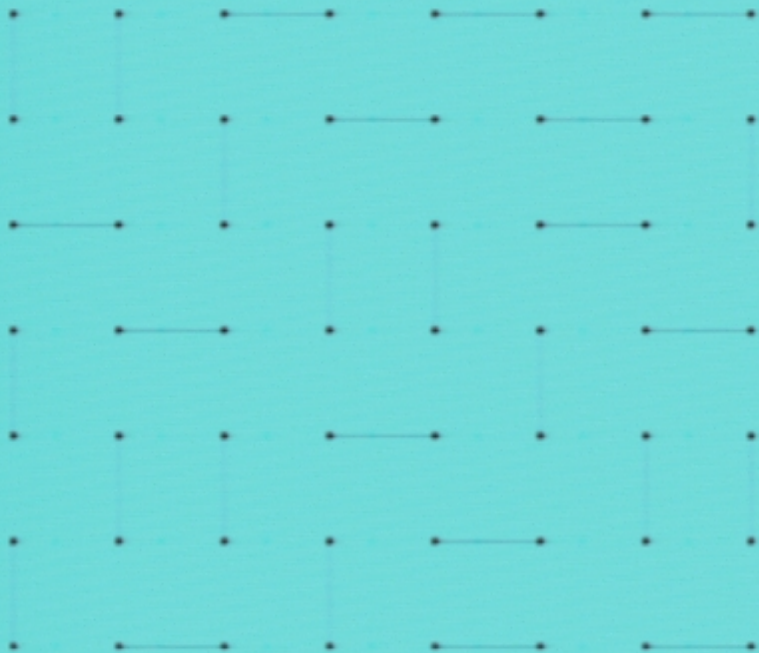
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Other possibilities? Suppose the spins do not order.

They could form near-neighbor singlets which resonate -- i.e. superpositions of different singlet configs. (Anderson):



***Germ of an idea  
Need to make it precise.***

What is the long-wavelength,  
universal physics of such a state?

What is its effective field theory?



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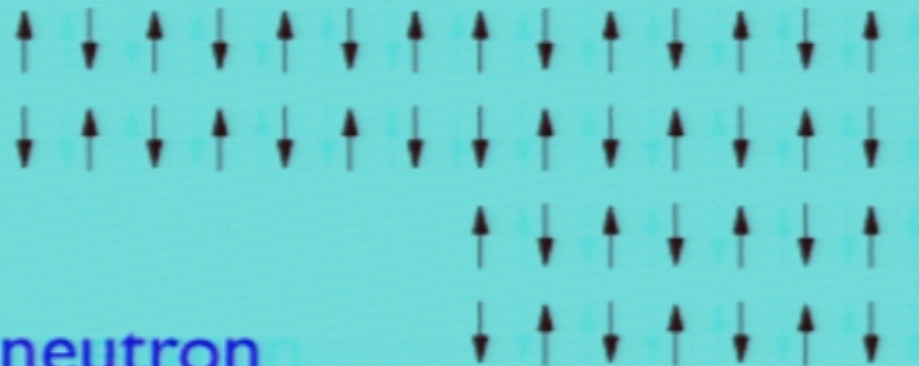


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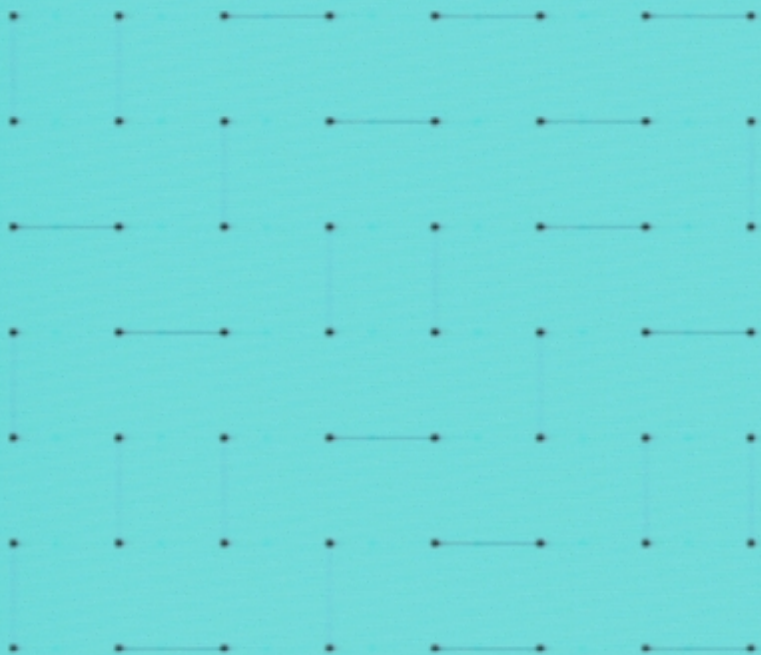
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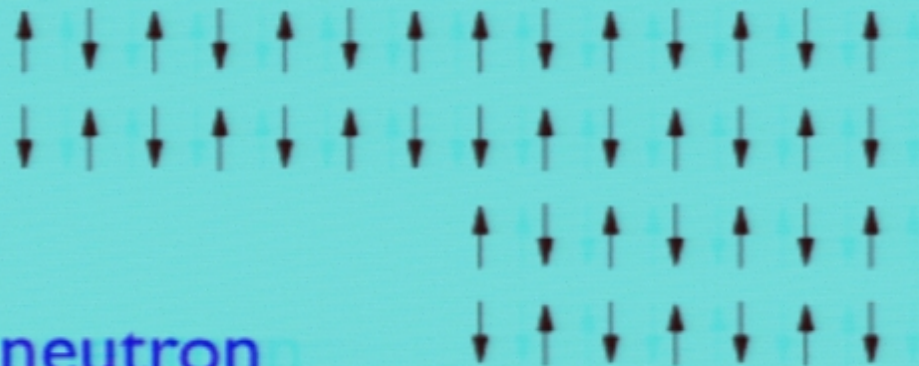


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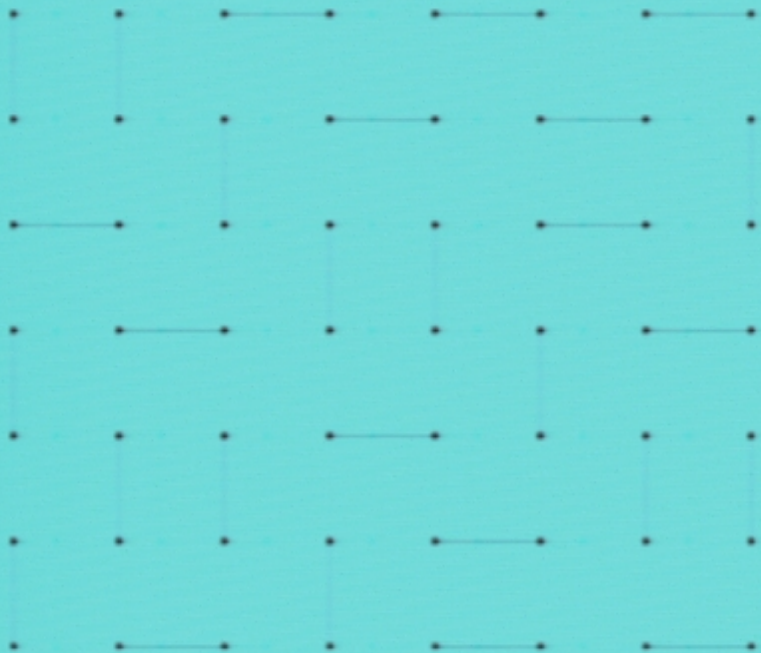
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Consider the 'transition' graph (Rokhsar and Kivelson):



## Fluctuating loops

Details of  $H$  determine how they fluctuate.

This, in turn, determines the physics of such a state.

Goal: understand possible states using this perspective



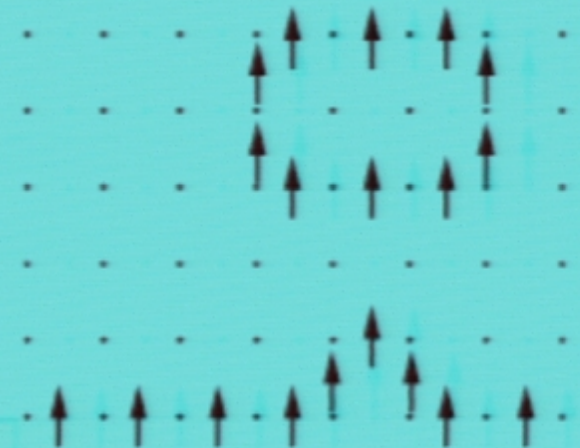
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Represent up-spins by colored bonds,  
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$$A_v |0\rangle = |0\rangle \Rightarrow \text{up-spins form loops}$$



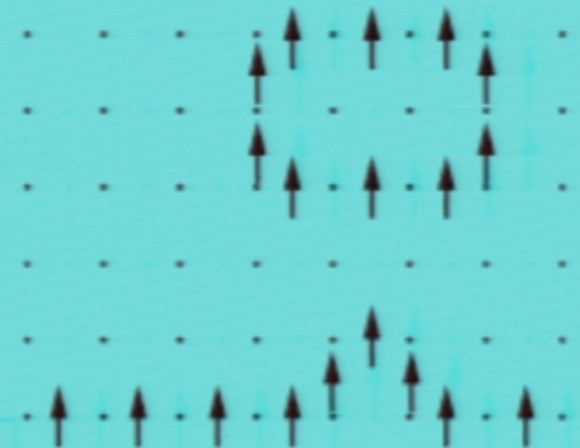
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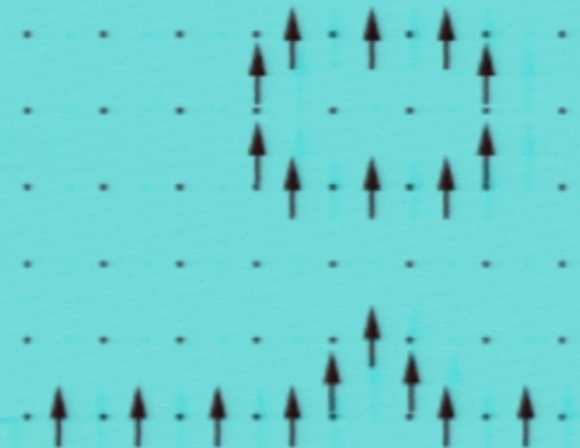
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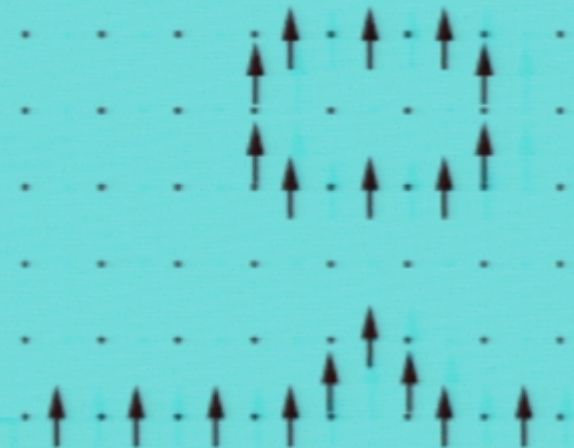
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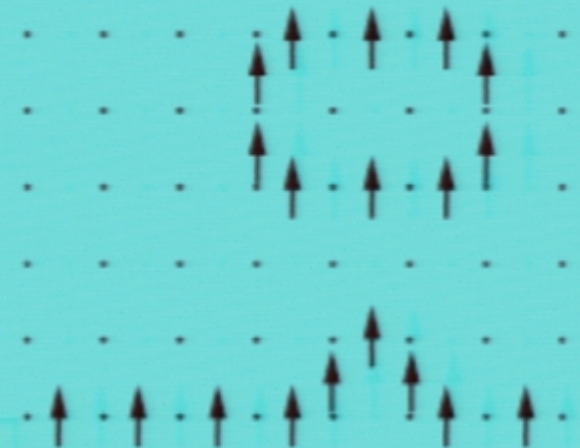
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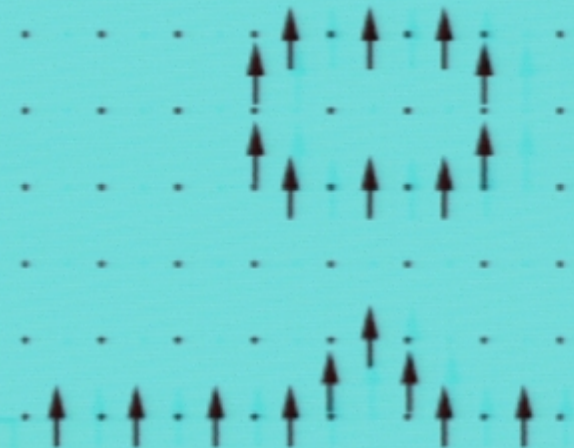
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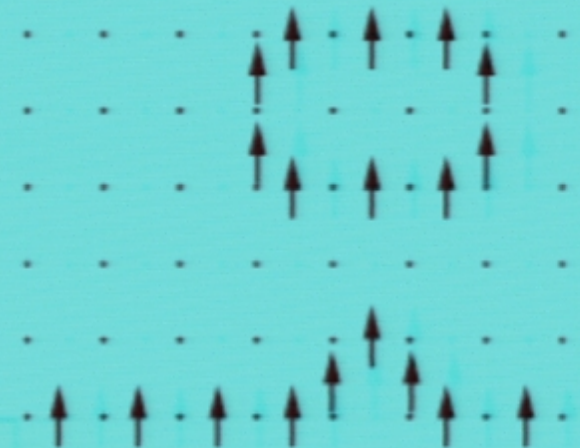
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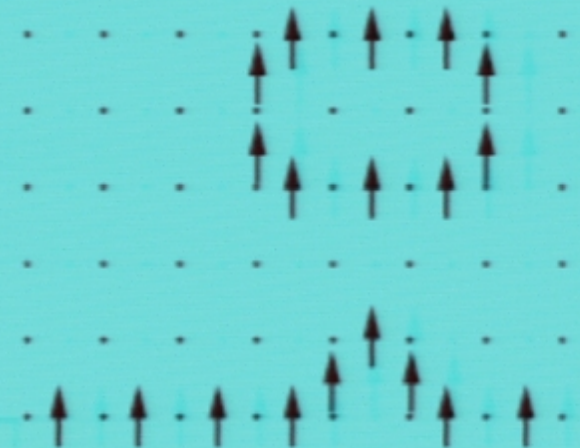
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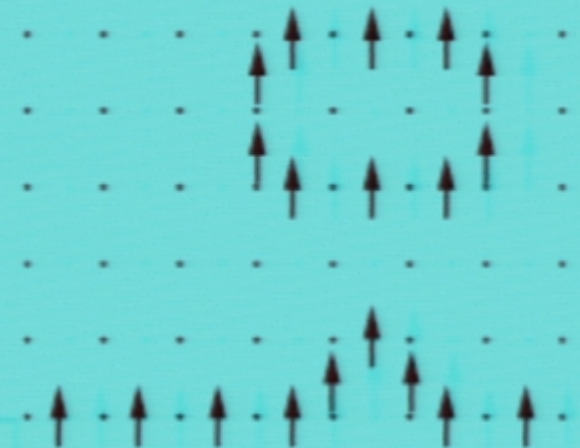
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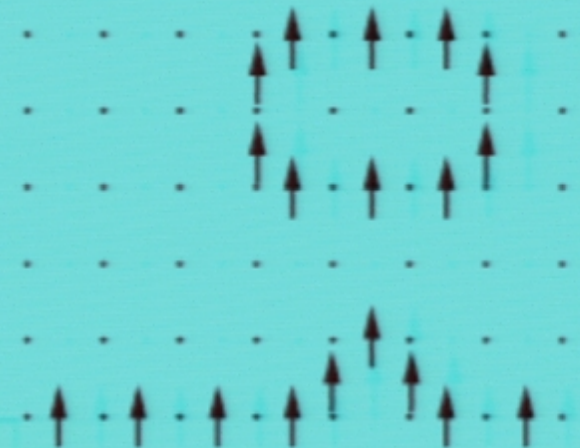
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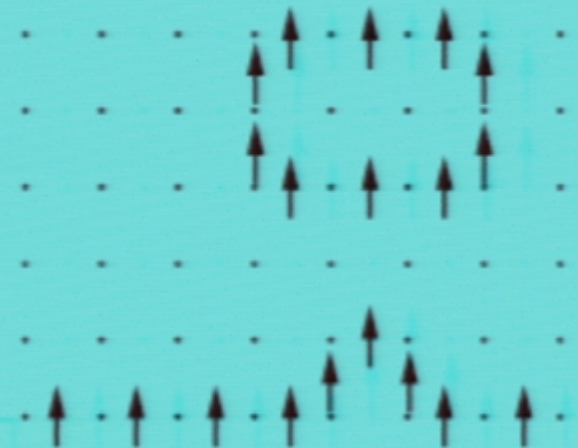
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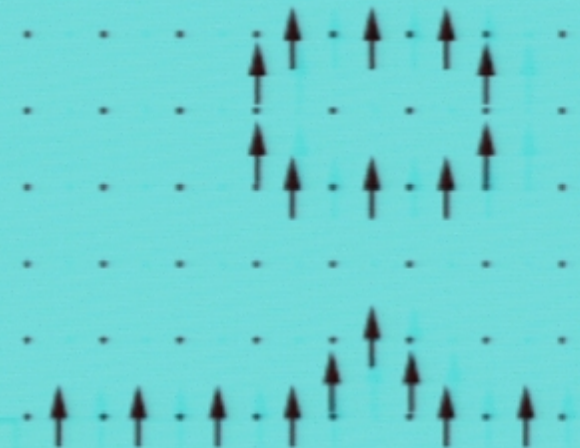
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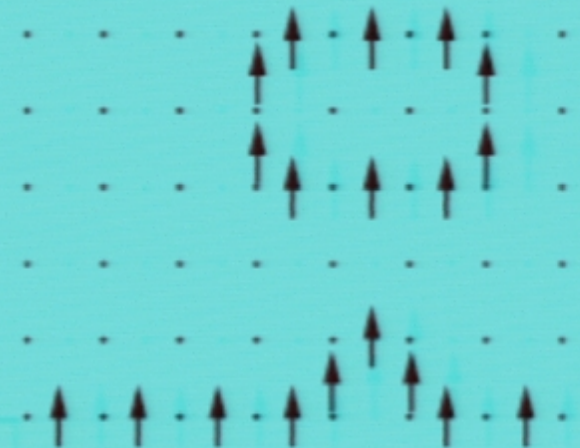
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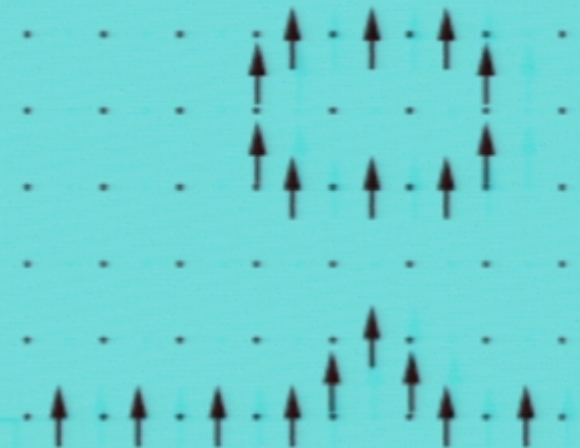
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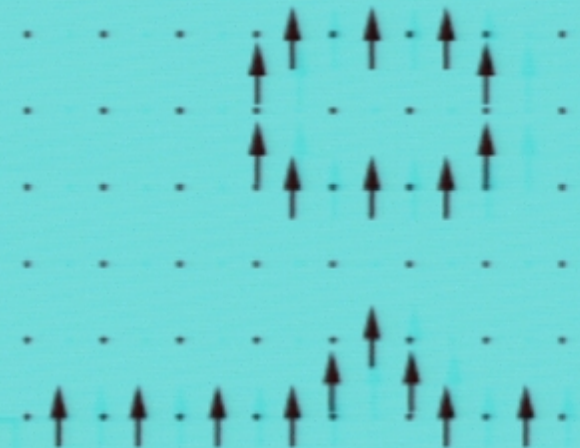
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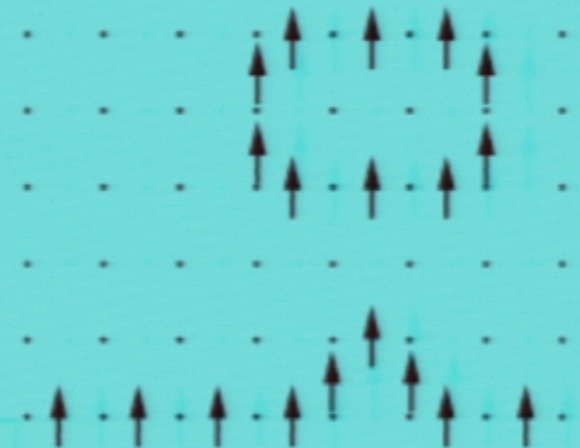
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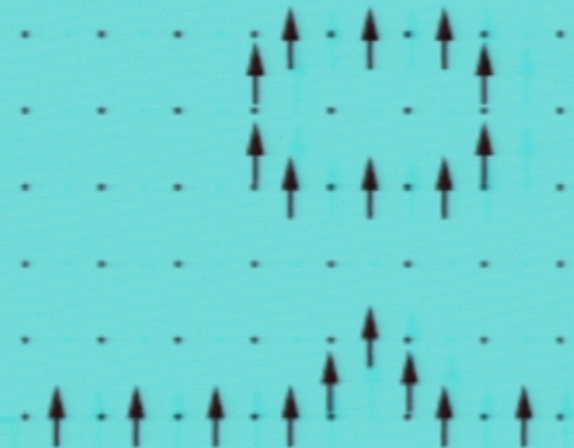
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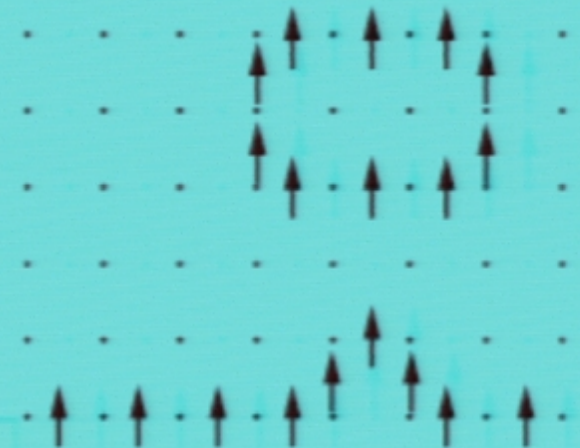
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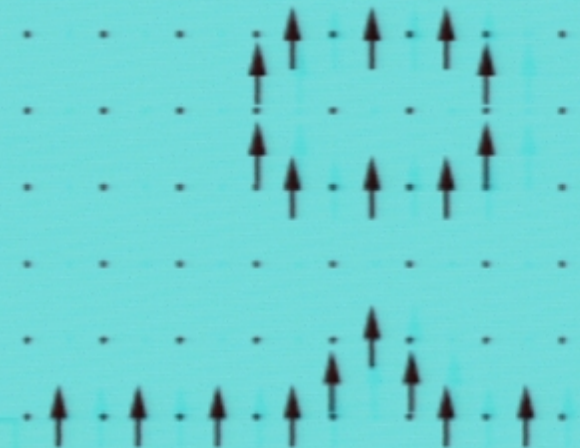
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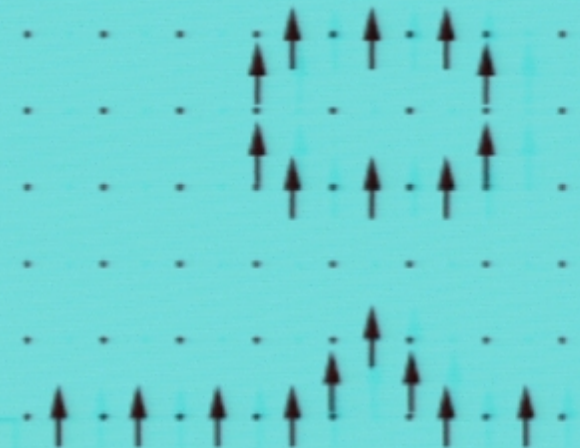
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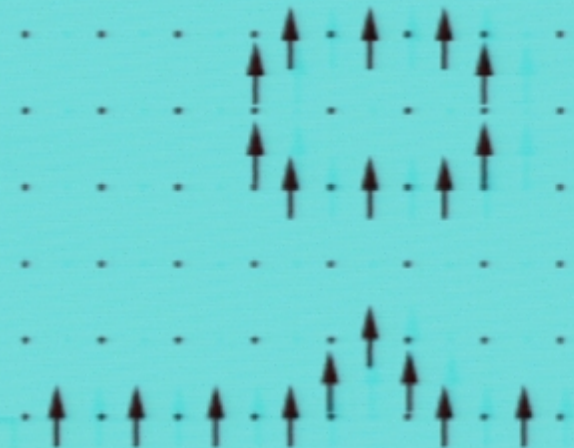
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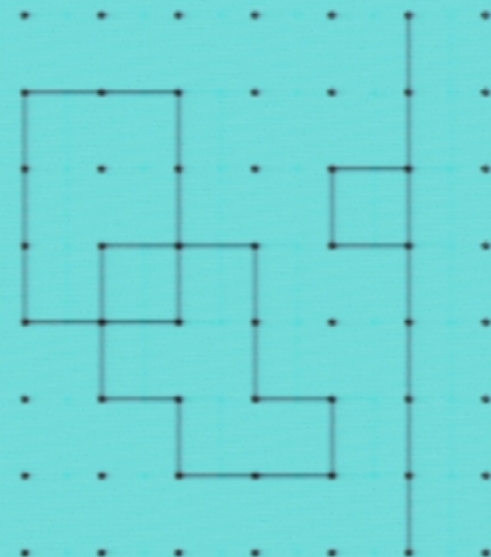
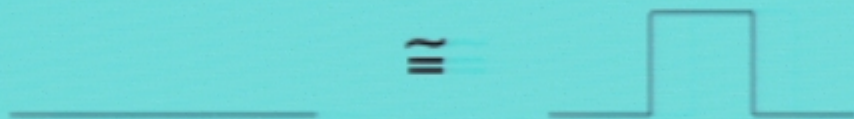
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$$F_p|0\rangle = |0\rangle \Rightarrow \text{following relations hold in the g.s.}$$


**Ground state: equal amplitude superposition of all loop configurations**



## Topological Properties

There are two inequivalent g.s. on the annulus,  
corresponding to even/odd winding numbers.

They cannot be distinguished by local measurements.

(Topologically protected qubits.)

Excitations: vertices or plaquettes at which

$$A_v = -1 \quad \text{or} \quad F_p = -1$$

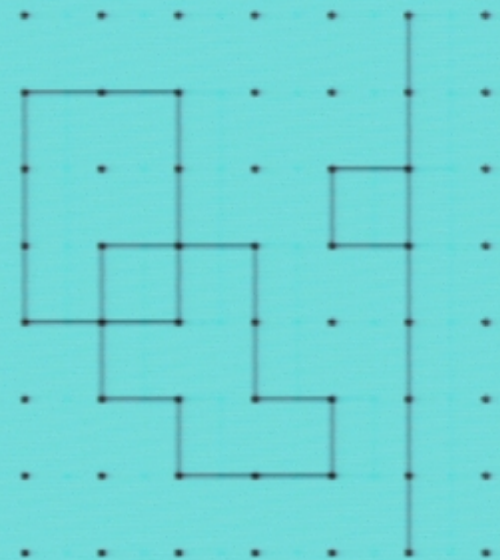
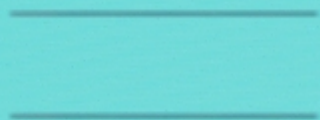
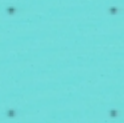
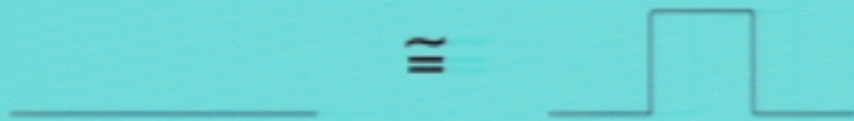


When one is taken around another,  
a minus sign results.





$F_p|0\rangle = |0\rangle \Rightarrow$  following relations hold in the g.s.



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# Topological Quantum Computation



Donut = Coffee Cup  $\Rightarrow$  Rigidity Against Perturb.  
(Fault Tolerance)

*Local* perturbations can neither *measure* nor *change* topological degrees of freedom.

(to exp. in size accuracy)



## Effective Field Theory

These properties are encapsulated in a long-wavelength field theory which is topological.

$$S = \frac{1}{2\pi} \int d^2x d\tau \epsilon^{\mu\nu\lambda} E_\mu F_{\nu\lambda}$$

$E_\mu, A_\mu$  coupled to plaquettes (vortices) and sites (particles).

Aharonov-Bohm  $\rightarrow$  exotic braiding statistics (Wilczek)

Transition to a non-topol. phase = confinement  
transition of  $Z_2$  gauge theory (Senthil-Fisher)



*A simple model of interacting spins can have a topological phase.*

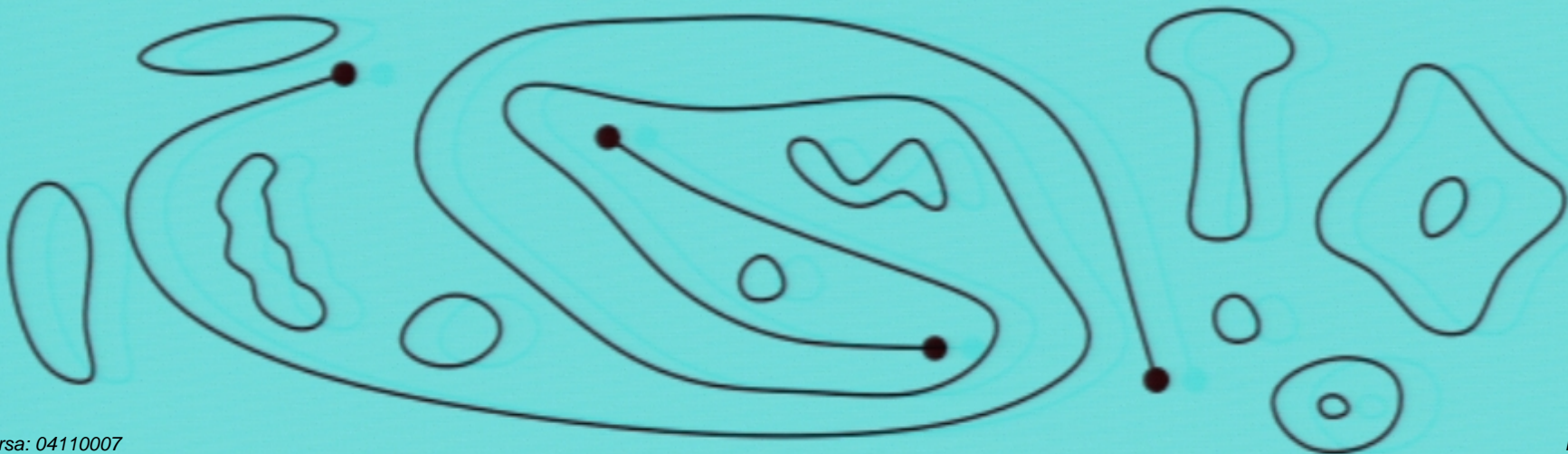
0. Topological Phases occur in the QHE, but energy scales are lower than in spin sys.
1. Low-energy Hilbert space = loop configs.
2. Topologically-degenerate ground states which are locally indistinguishable. (protected qubits)
3. Exotic Braiding Statistics of QPs.  
(need non-Abelian to do non-trivial ops., read-out)
4. Low-energy EFT is a TQFT



## Generalizing this Structure

(Freedman,  
Turaev-Viro)

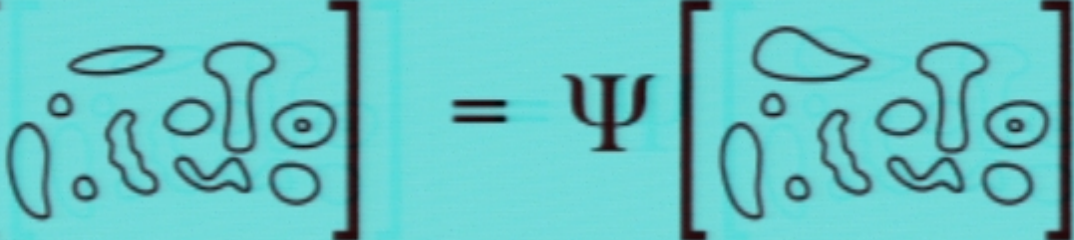
- Loops may arise as domain walls, dimers, chains of up-spins.
- $H$ : rules satisfied by loops
- Excitations will be violations of the g.s. conditions, such as broken loops





# Ground State Conditions/Hamiltonian

1. Wavefunctions on multi-loops, invariant under smooth deformations of the loops.

$$\Psi \left[ \text{diagram 1} \right] = \Psi \left[ \text{diagram 2} \right]$$
The equation shows two diagrams enclosed in brackets, separated by an equals sign. Both diagrams consist of several black loops and dots on a white background. The left diagram has a large loop on the left, a small loop at the top, and several smaller loops and dots on the right. The right diagram is a smooth deformation of the left one, with the same topological structure but different shapes for the loops.

Expected for any topological phase.

Can be imposed by including a term in  $H$  such as  $A_v$ , suitable plaquette terms.



## 2. A 'fugacity' for small, contractible loops

$$\Psi \left[ \text{diagram with a small loop} \right] = d \cdot \Psi \left[ \text{diagram without the small loop} \right]$$

$d=1$  in Kitaev's model

Such a relation is needed to have finite g.s. degeneracy on the sphere.



3. Invariance of the wavefcn. under a 'surgery' rel.

e.g. in Kitaev's model

$$\Psi \left[ \text{diagram 1} \right] = \Psi \left[ \text{diagram 2} \right]$$

Without such a rel., infinite g.s. degeneracy even on the torus.

**Generalizing 2 and 3, we will obtain  
a family of topological states,  
corresponding Hamiltonians**



## Consistency Conditions for Quantum Loop Gases

If  $d \neq 1$  the surgery rel. must be modified

$$\Psi[\text{---}] = \Psi[\text{---}] = \Psi[\text{---}] = d \cdot \Psi[\text{---}]$$

Hence, we must look for surgery relations involving 3, 4, ... curves

Important Mathematical Result: for almost all  $d$ , there is **no consistent surgery relation.**



# Jones-Wenzl Projectors

Consistent surgery relations can only be found for

$$d = 2 \cos \left( \frac{\pi}{k+2} \right)$$

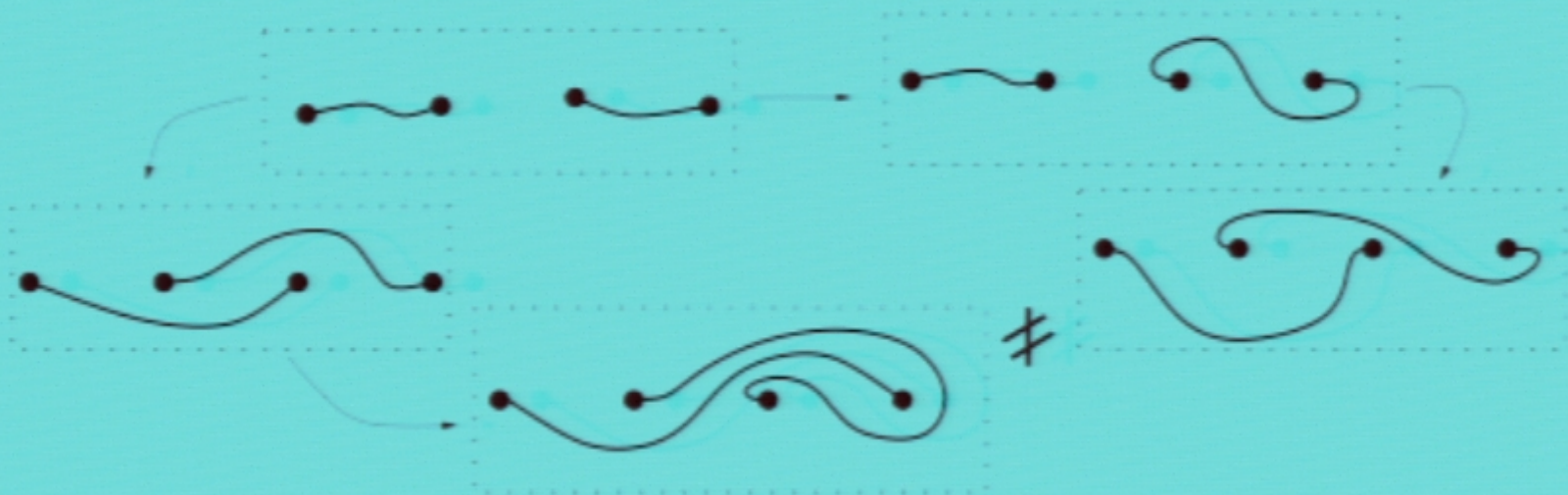
e.g. for  $d = \sqrt{2}$

$$\Psi[\text{||||}] - \sqrt{2} \Psi[\text{||}\smile\text{||}] - \sqrt{2} \Psi[\text{||}\frown\text{||}] + \Psi[\text{||}\smile\text{||}] + \Psi[\text{||}\frown\text{||}] = 0$$



# Non-Abelian Statistics Made Easy

For  $d \neq 1$  the order of braiding ops. matters



e.g.  $k=2$  :

$$\text{Diagram 1} = \sqrt{2} \cdot \text{Diagram 2} + \sqrt{2} \cdot \text{Diagram 3}$$

$$- \text{Diagram 4} - \text{Diagram 5}$$



1. Given  $n$  quasiparticles at fixed positions, there is an exponentially-large set of degenerate states  $\psi_a$  with  $a = 1, 2, \dots, g$

2. Braiding particles  $i$  and  $j$  transforms:

$$\psi_a \rightarrow M_{ab} \psi_b$$

3. Braiding particles  $j$  and  $k$ :  $N_{ab}$  which need not commute with  $M_{ab}$

4. For a large class of states, braiding operations implement all of  $U(g)$  to desired accuracy.



# Effective Field Theory

- The effective field theories are gauge theories.
- Topological properties from the generalized Aharonov-Bohm effect.
- Relation to combinatorics of curves: Wilson loop operators.
- Unoriented curves:  $SU(2)$ . Other gauge groups: oriented, labeled curves in trivalent graphs.



# Doubled Chern-Simons/BF Theory

- P,T-invariant action:

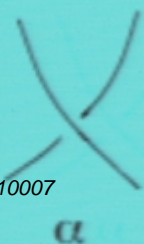
$$S = \frac{k}{\pi} \int d^2x d\tau \operatorname{tr} \left( e \wedge (da + a \wedge a) + \frac{1}{3} e \wedge e \wedge e \right) = \frac{k}{4\pi} \left( S_{\text{CS}}(a+e) - S_{\text{CS}}(a-e) \right)$$

$$W_{\pm}[\gamma] \equiv \operatorname{tr} \left( \mathcal{P} e^{i \oint_{\gamma} (\mathbf{a}^c \pm \mathbf{e}^c) T^c \cdot d\mathbf{l}} \right)$$

- Wilson loop operators act pictorially:

$$W_{+}[\gamma] \Psi[\beta] = \Psi[\beta \star \gamma] \qquad \Psi[\alpha] = A \Psi[\alpha'] + A^{-1} \Psi[\alpha'']$$

$$A = i \exp(\pi i / 2(k+2))$$



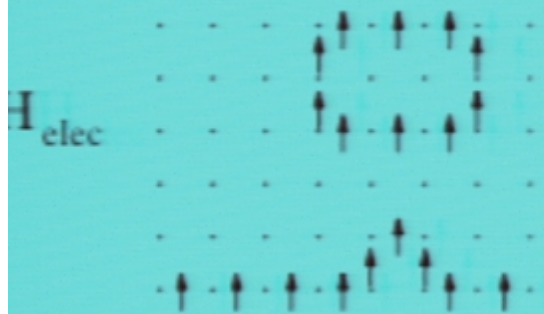


- We now have some understanding of the **what** (are top. phases) and **why** (are they useful).
- The open question is **when** (do they occur).

Where should experimentalists  
look for such phases?



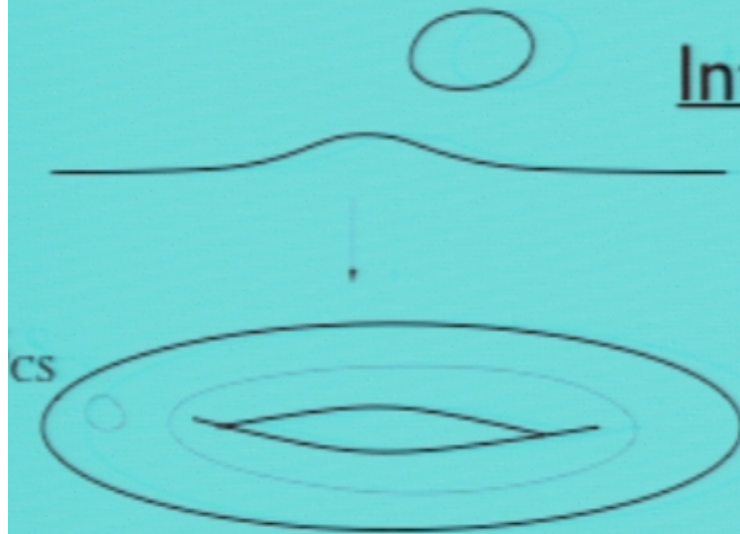
# When Will Such Phases Occur?



Short Scales: electrons/spins  
at points (0-D)

Simple spin/dimer Hamiltonians

Intermed. Scales: fluctuating loops (1-D)



**How?**

Long scales: deg. g.s. on genus-g  
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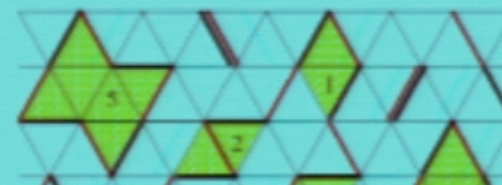
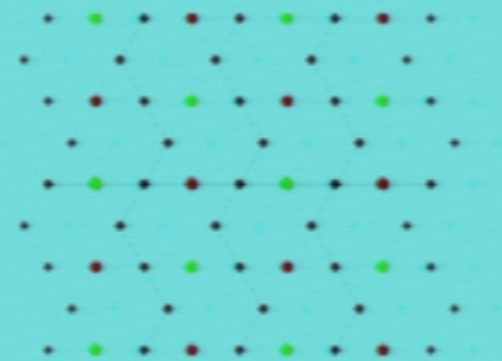


## Fluctuating Loops and $d$ -isotropy

$$\begin{aligned}
 \tau_{d\text{-iso}} = & \sum_v \left( 1 + \prod_{i \in \mathcal{N}(v)} \sigma_i^z \right) + \sum_p \left[ \frac{1}{d^2} (F_p^0)^\dagger F_p^0 + F_p^0 (F_p^0)^\dagger - \frac{1}{d} F_p^0 - \frac{1}{d} (F_p^0)^\dagger \right. \\
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 \end{aligned}$$

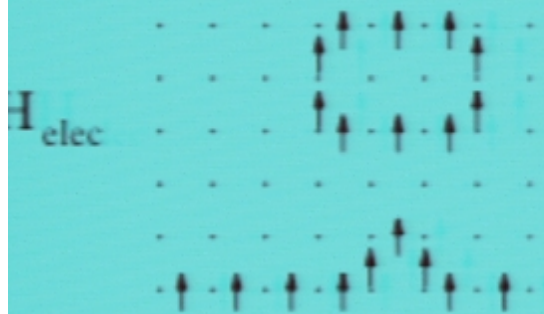
with  $F_p^0 = \sigma_1^- \sigma_2^- \sigma_3^- \sigma_4^- \sigma_5^- \sigma_6^-$  etc.

$$\begin{aligned}
 H = & \sum_i \Delta_i n_i + U_0 \sum_i n_i^2 \\
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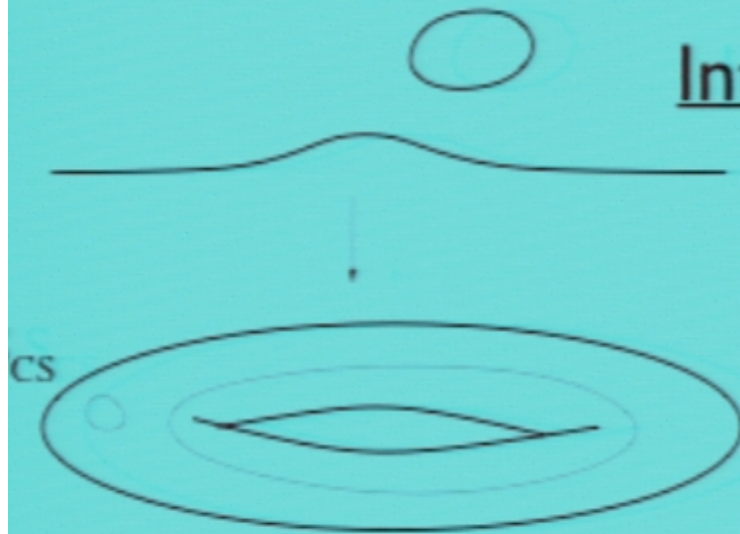
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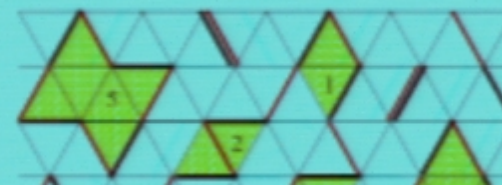
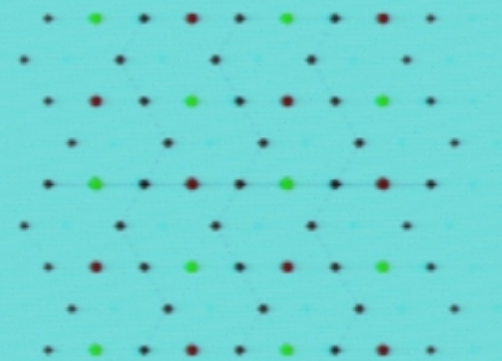


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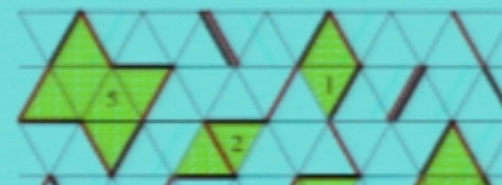
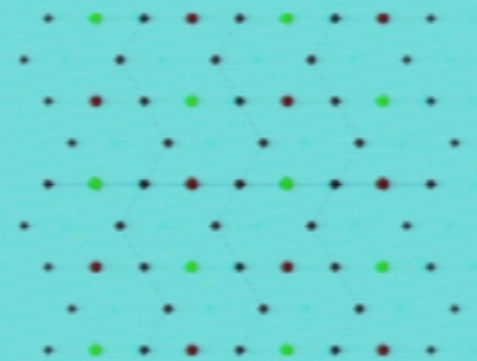


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- It is relatively easy to construct models which impose the first two defining conditions for these topological phases (d-isotopy).
- The third condition (JW) is complicated; it is unlikely that a real Hamiltonian will impose precisely this condition. *How much margin for error is there? Are these phases experimentally relevant?*
- As we will see, d-isotopy is *critical*. Answering these questions involves understanding the *instabilities* of and the *phase diagram* near this critical point.



## ***d*-isotropy: from here to criticality**

The Hamiltonians of the previous transparency describe fluctuating loops controlled by *d*-isotropy.

For  $d \leq \sqrt{2}$  these Hamiltonians are *critical*

To see this, note that  
at  $n = d^2$

$$\sum_{\alpha} |\Psi[\alpha]|^2 = \sum_{\alpha} d^{2n_{\alpha}} = Z_{O(n)}(x = n)$$

$$Z_{O(n)}(x) = \int \prod_i d\hat{S}_i \prod_{\langle i,j \rangle} (1 + x \hat{S}_i \cdot \hat{S}_j) = \sum_{\alpha} \left(\frac{x}{n}\right)^{\ell_{\alpha}} n^{n_{\alpha}}$$



This stat. mech. model is in its low-T phase, which is *critical*.

Loops meander over long-distances parameterized by exponents

$$\eta_k = \frac{g}{4}k^2 - \frac{1}{g}(1-g)^2 \quad \text{where } n = -2 \cos(\pi g)$$

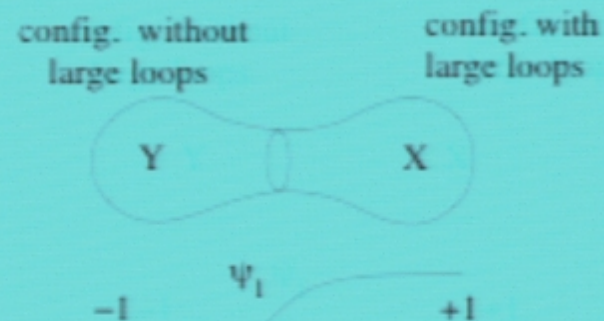
Consequently, we can define 'long' so that the trial wavefunction

$$|\Psi_1\rangle = \sum_{\alpha \in X} d^{n_\alpha} |\alpha\rangle - \sum_{\alpha \in Y} d^{n_\alpha} |\alpha\rangle$$

where  $X$  = configs. with long loops;  $Y$  = without, satisfies  $\langle \Psi_0 | \Psi_1 \rangle = 0$

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## Stability of this Critical Line

- How many relevant perturbations?
  - To what phase(s), apart from the desired topological phase, can they lead, i.e. **what else should we be looking for in experiments?**
-



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---

To answer these questions, we would like an effective field theory for this critical line.

Critical SU(2) gauge theory,  $\omega \sim k^2$

Continuously-varying exponents



Combining these requirements, we guess

$$S = \frac{1}{g^2} \int d^2x d\tau \left( E_i^a \partial_\tau A_i^a + A_0^a D_i E_i^a + \frac{1}{2} E_i^a D^2 E_i^a + \frac{1}{2} B^a B^a \right)$$



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But is this interacting theory actually critical?

At one-loop,

$$\boxed{\frac{dg}{d\ell} = 0}$$



# Conclusions

- Hamiltonian  $\Rightarrow$  Topological Conditions  $\Rightarrow$  Ground State  $\Rightarrow$  Statistical Mechanics  $\Rightarrow$  Low-Energy Excitations.
- Perturbing from tractable models to realistic ones. (short-distance physics).
- Instabilities of the d-isotopy critical line (long-wavelength physics - field theory).
- Application to quantum computation.



# Foundations

- A.Y. Kitaev, Ann. Phys. **303**, 2 (2003), quant-ph/9707021.
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- V.G. Turaev, *Quantum Invariants of Knots and 3-Manifolds*, (Walter de Gruyter, New York 1994).
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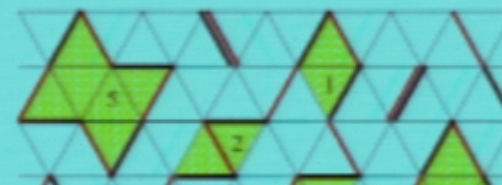
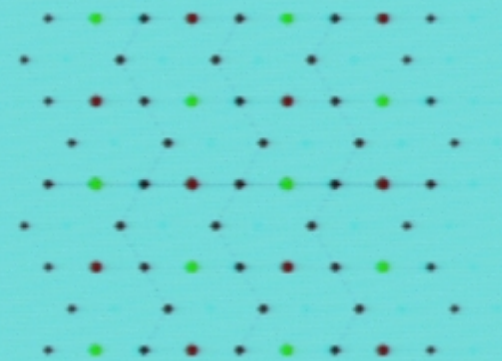


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