

Title: Emergent physics a condensed matter primer.

Date: Oct 13, 2004 12:45 PM

URL: <http://pirsa.org/04100011>

Abstract:



*Emergent physics,  
a condensed matter primer*

G. Volovik

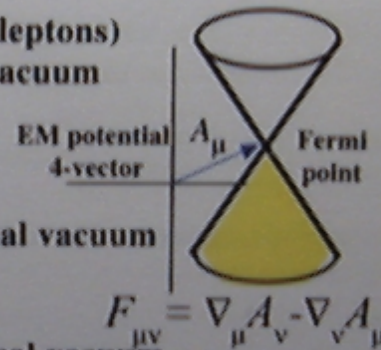
Low Temperature Lab, Helsinki & Landau Institute, Moscow



\* Emergent relativity from many *Theories of Everything*:  
 universality classes of *Theories of Everything*  
 from p-space topology of quantum vacua

\* Fermi point in p-space and emergent physical laws

- emergence of chiral particles (quarks and leptons)  
as fermion zero modes of quantum vacuum
- emergence of relativistic spin  
and effective Lorentz invariance
- effective gauge fields  
as bosonic collective modes of physical vacuum
- effective gauge invariance
- effective metric and gravity  
as bosonic collective modes of physical vacuum
- quantization of physical parameters, ...



$$(\sqrt{-g}/12\pi^2) \ln(\Lambda/T) g^{\alpha\beta} g^{\mu\nu} F_{\alpha\mu} F_{\beta\nu}$$

\* Application to yet unknown physics of quantum vacuum

- dark matter and dark energy
- cosmological constant problems
- vacuum instability, ergoregion instability
- chiral anomaly and baryogenesis, ...

$$\Lambda\sqrt{-g} \quad \Lambda=0 \text{ in equilibrium}$$

baryoproduction

$$\dot{B} = (1/4\pi^2) (\mathbf{B} \cdot \mathbf{E}) [B_R e_R^2 - B_L e_L^2]$$

momentoproduction

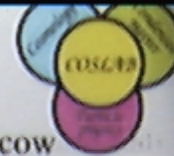
$$\dot{P} = (1/4\pi^2) (\mathbf{B} \cdot \mathbf{E}) [P_R - P_L]$$



# a condensed matter primer

G. Volovik

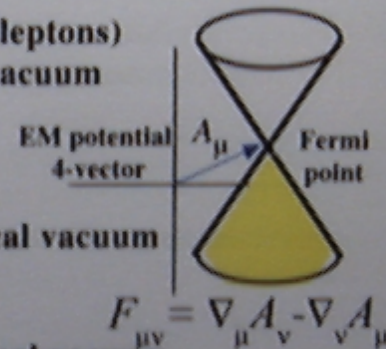
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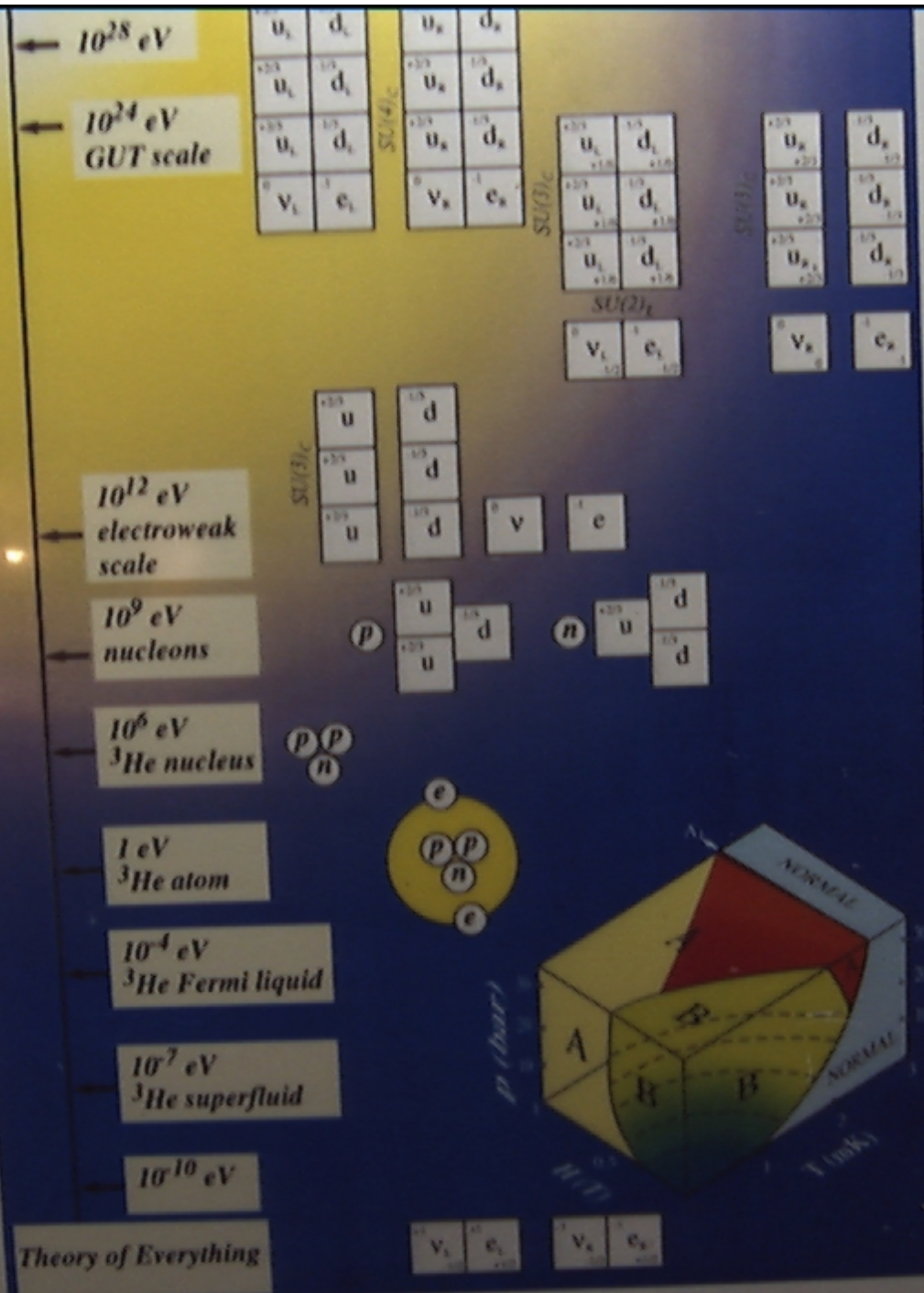
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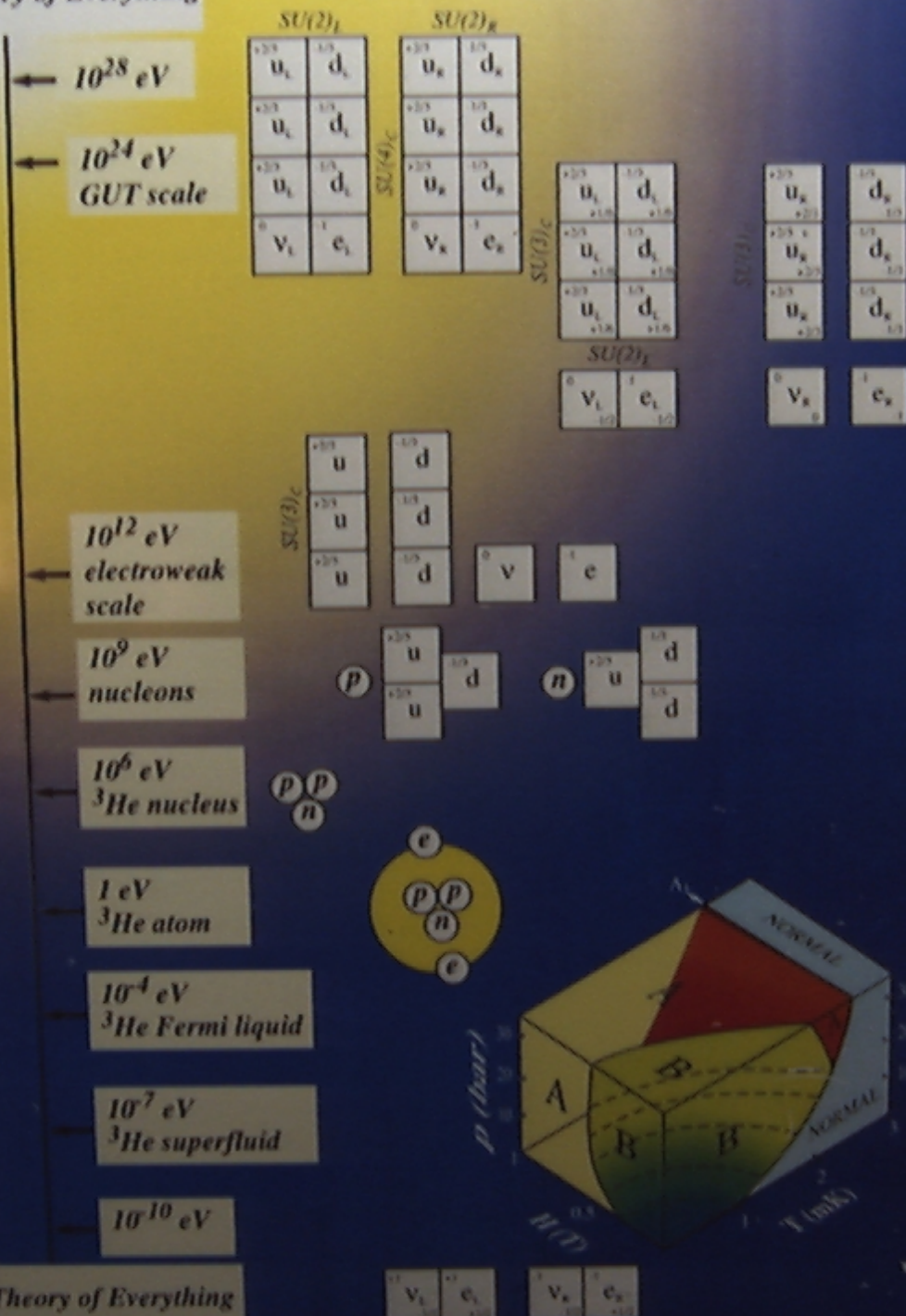
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Theory of Everything





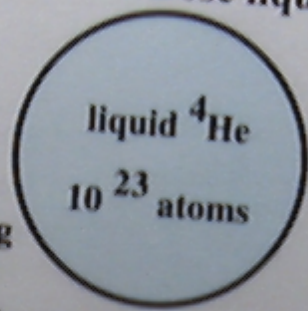
# Landau concept of quasiparticles

Bangalore  
18.02.2004



India-Finland  
Workshop

## Quantum Bose liquid



complicated  
many-body system  
of strongly interacting  
strongly correlated  
non-relativistic atoms

Theory of Everything:  
huge amount of  
degrees of freedom

analog of  
Planck scale

Effective theory

in low temperature limit  $T \ll 1^0\text{K}$

Quasiparticles (phonons)  
*dilute system of elementary  
particles of effective theory*

Collective hydrodynamic modes

QFT

analog of gravity

bosonic

$$H_{\text{eff}} = E_{\text{vac}} + \sum_{\mathbf{p}} c_{\mathbf{p}} a_{\mathbf{p}}^{\dagger} a_{\mathbf{p}}$$

$$E_{\text{vac}} = 0$$

speed of sound

hint  
for solution of  
cosmological problem

velocity and density fields  
provide effective  
acoustic metric  
for phonons

$$ds^2 = g_{\mu\nu} dx^{\mu} dx^{\nu}$$

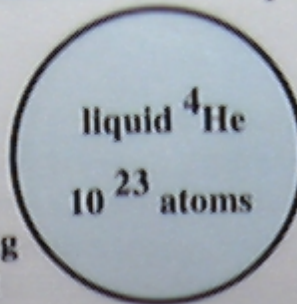
$g_{\mu\nu}$  obey  
hydrodynamic equations  
rather than  
Einstein equations

# Quantum Bose liquid

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of strongly interacting  
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Theory of Everything:  
huge amount of  
degrees of freedom

*analog of  
Planck scale*

Effective theory  $\downarrow$  in low temperature limit  $T \ll 1^0 \text{ K}$

$$\boxed{\text{Quasiparticles (phonons)}} + \boxed{\text{Collective hydrodynamic modes}} = \boxed{\text{QFT}}$$

*dilute system of elementary  
particles of effective theory*

*analog of gravity bosonic*

$$\boxed{H_{\text{eff}} = E_{\text{vac}} + \sum_{\mathbf{p}} c_{\mathbf{p}} a_{\mathbf{p}}^{\dagger} a_{\mathbf{p}}}$$

$E_{\text{vac}} = 0$       *speed of sound*

*hint  
for solution of  
cosmological problem*

*velocity and density fields  
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Description:  
The Theoretical Division of the Institute of Physical Problems, 1926. Standing, L-R: Gershwin, Piatavskii, Arkhipov, Dikhter, and Shtetel, L-R: Prozorova, Aiksei Abramov, Khramnikov, Lev Davidovich Landau, Evgen Mikhailovich Lifshitz.



liquid  $^3\text{He}$   
 $10^{23}$  atoms

complicated many-body system  
of strongly interacting  
strongly correlated atoms

normal  $^3\text{He}$ ,  $^3\text{He-A}$ ,  $^3\text{He-B}$ ,  
normal metals, semiconductors,  
superconductors, etc

Effective theory in low temperature limit

Fermionic quasiparticles + Bosonic collective modes = QFT  
*elementary particles of effective theory*

Type of Quantum Field Theory depends on universality class

universality class of Fermi points

$^3\text{He-A}$ , Standard Model

Effective theory in low temperature limit

Chiral fermions + Gauge fields & gravity = Relativistic QFT

left-handed  
fermions live here



right-handed  
fermions live here

emergent phenomena:

Gauge invariance  
Lorentz invariance  
General covariance (partly)  
chiral fermions  
gauge fields  
gravity  
spin

## and emergent effective QFT

quasiparticles: propagating particle-like excitations  
above the ground state (vacuum) of system

- \* quasiparticle does not scatter on atoms of system,  
if system is in the ground state  
for quasiparticle:  
ground state = vacuum

- \* quasiparticles obey effective Quantum Field Theory

physical laws in effective QFT are more symmetric  
than physical laws in the underlying  
microscopic 'Planck-scale' system

- \* type of effective QFT depends on Universality Class

details of underlying 'Planck-scale' physics are irrelevant:  
they are lost in coarse-grained description

many 'Theories of Everything'  
give the same Effective QFT

acoustic phonons in crystals  
do not depend on details of Atomic Structure of Solid;  
electronic excitations near Fermi surface  
do not depend on details of Electronic Structure of Solid

- \* cond-mat provides us with a broad class of Hamiltonians for fermions,  
(in RQFT they are very restricted due to Lorentz invariance)  
General consideration of the Hamiltonians  
(actually, of Green's functions)  
revealed that properties of a given QFT are determined  
by momentum-space topology

- \* p-space topology determines Universality Class of QFT



# Universality classes of fermionic vacua

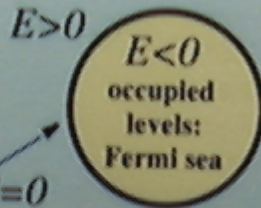


## Systems with Fermi surface

Normal metal  
Normal  $^3\text{He}$

$$E = \frac{p^2 - p_F^2}{2m}$$

Fermi surface  $E=0$

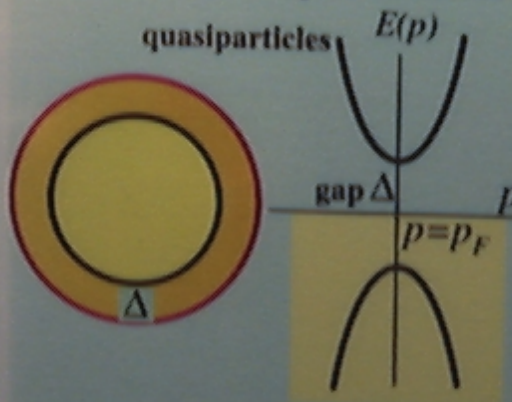


Quark matter

Vacuum within black hole

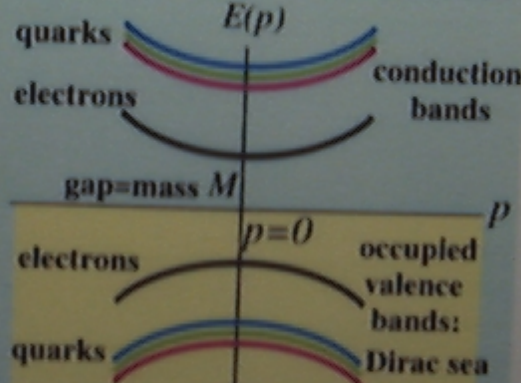
## Fully gapped Fermi systems

Ground state of superconductor



$$E^2 = v_F^2 (p - p_F)^2 + \Delta^2$$

Vacuum of Dirac fermions



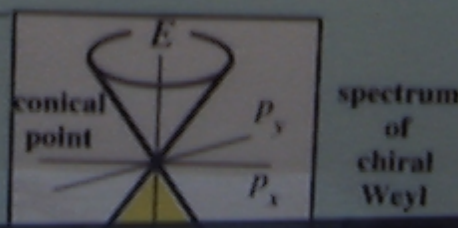
$$E^2 = p^2 c^2 + M^2$$

## Systems with Fermi points

Ground state of  $^3\text{He-A}$



Vacuum of Standard Model

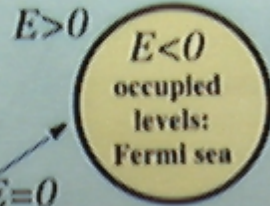


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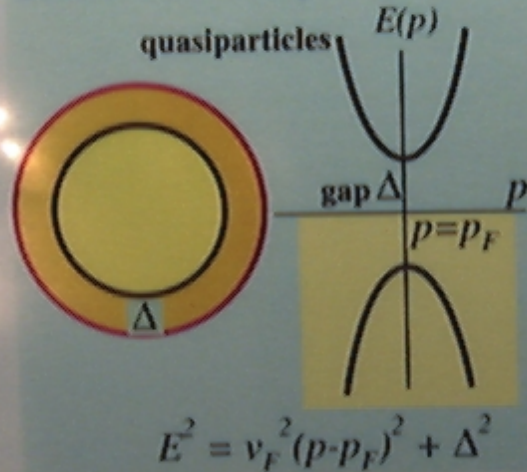


Quark matter

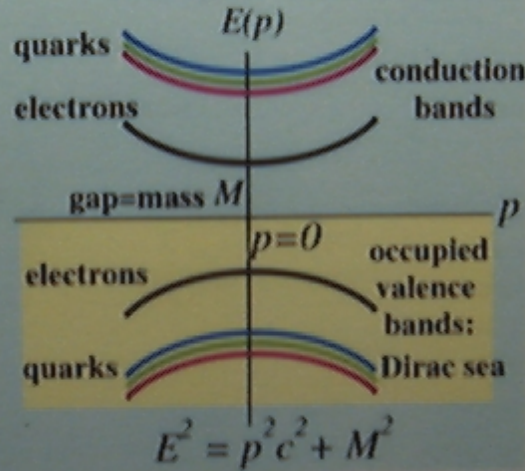
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Fully gapped Fermi systems

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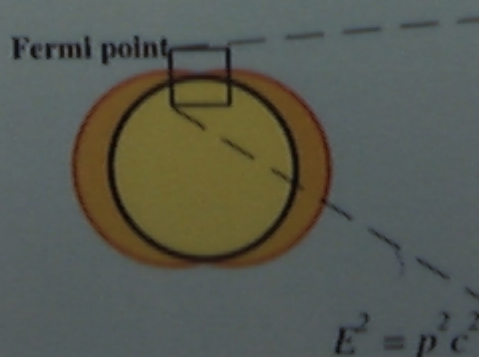


Vacuum of Dirac fermions

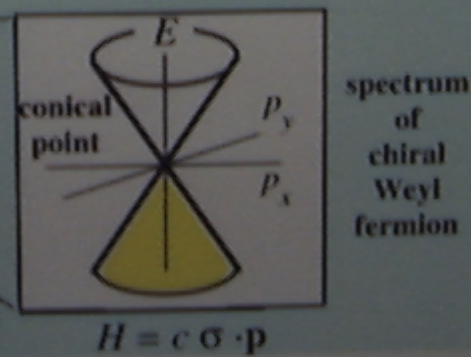


Systems with Fermi points

Ground state of  $^3\text{He-A}$



Vacuum of Standard Model



Theory of Everything  
(in system of  $^3\text{He}$  atoms)

Many-body Schrödinger quantum mechanics for N atoms

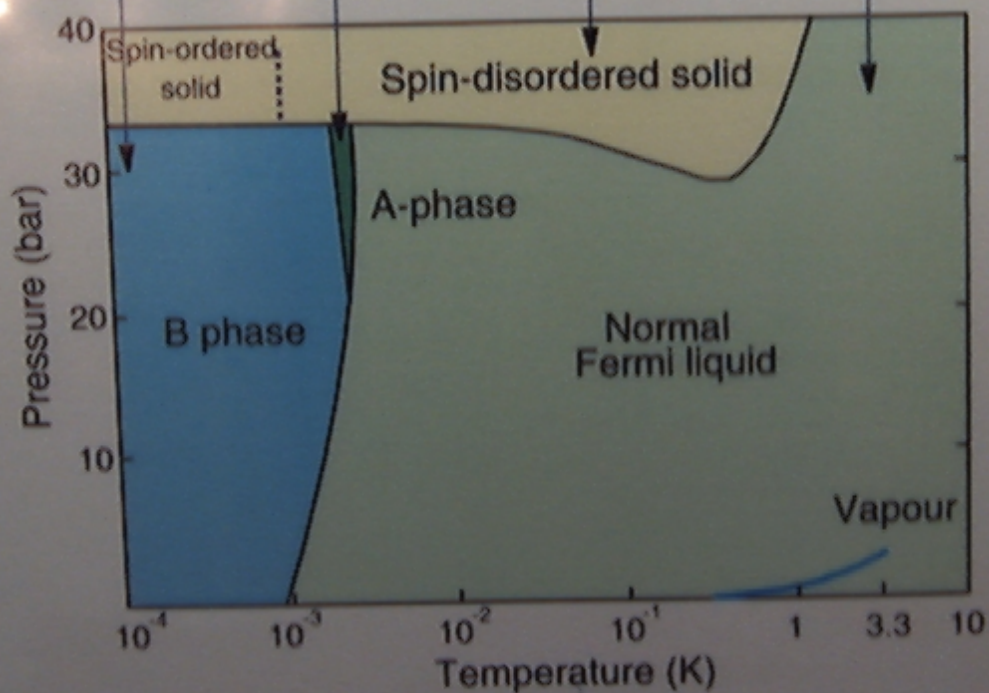
$$\hat{H} = -\frac{\hbar^2}{2m} \sum_{i=1}^N \Delta_i + \sum_{i=1}^N \sum_{j=i+1}^N V(\mathbf{r}_i - \mathbf{r}_j)$$

Fully gapped vacuum

Fermi point class

Crystalline vacuum

Fermi surface class



the only role of microscopic parameters  $V(\mathbf{r}_i - \mathbf{r}_j)$ :  
to choose between 4 classes of quantum vacua

\* Fermi gas

$$E = \frac{p^2}{2m} - \mu$$

$$E = \frac{p^2 - p_F^2}{2m}$$

Fermi surface  
 $E=0$

$E > 0$

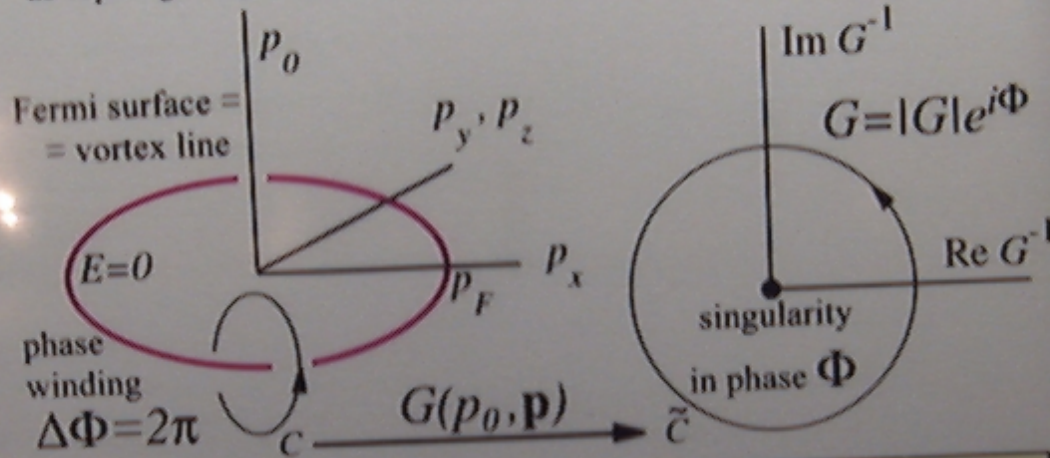
$E < 0$   
occupied levels:  
Fermi sea

$p = p_F$

\* Vortex in 4-momentum space

Fermi surface is robust to perturbations and interactions as topologically stable singularity of Green function:

$$G^{-1} = ip_0 - E$$

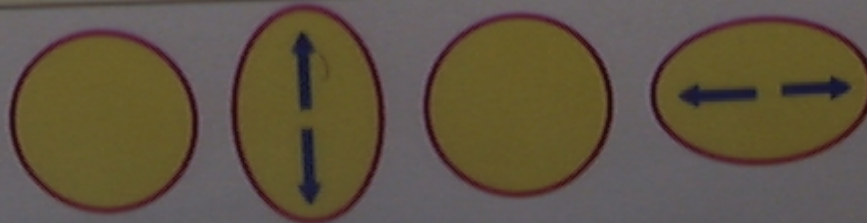


\* Interacting Fermi system:  
general topological invariant

$$N_1 = \frac{1}{2\pi i} \text{tr} \oint_{\text{around Fermi surface}} dp^\mu G \partial_{p^\mu} G^{-1}$$

\* Collective bosonic modes of fermionic vacua:  
how quasiparticles view collective motion of vacuum

Oscillations of shape of Fermi surface (zero sounds)



### Fermi surface Universality class

\* Fermi gas

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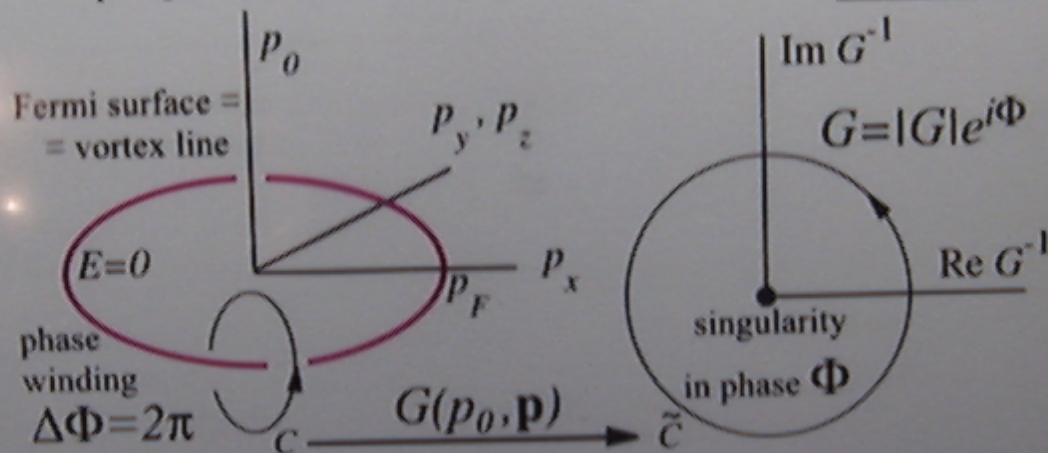
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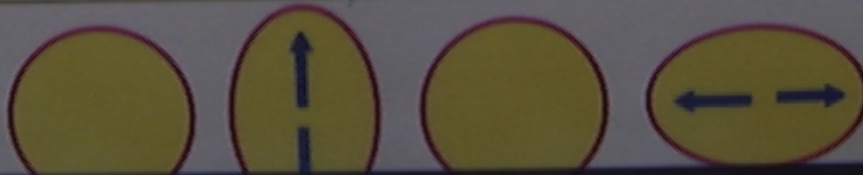


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$\Psi = |\Psi| e^{i\Phi}$

$\Phi(\vec{r})$  - phase of condensate

$\Delta\Phi = 2\pi N$   
 ↓  
 integer

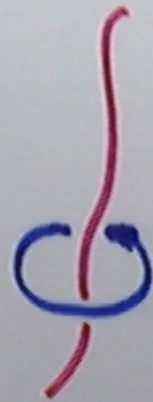
Fermi surface - vortex in momentum space

$\Delta\Phi = 2\pi N$   
 ↓  
 integer

$\psi = |\psi| e^{i\Phi}$   
 $\Phi(\vec{p}, p_0)$

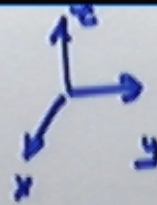


Vortex in  $\vec{r}$ -space

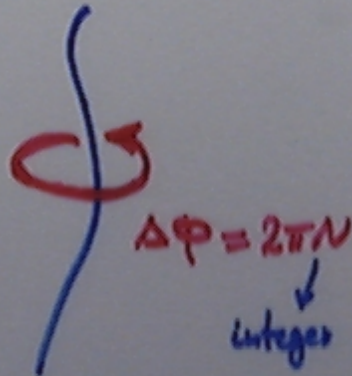


$$\Psi = |\Psi| e^{i\phi}$$

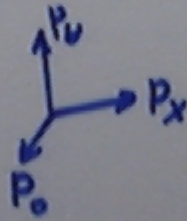
$\phi(\vec{r})$  - phase of condensate



Fermi surface - vortex in momentum space



$$\psi = |\psi| e^{i\phi}$$
$$\phi(\vec{p}, p_0)$$



Fermi gas

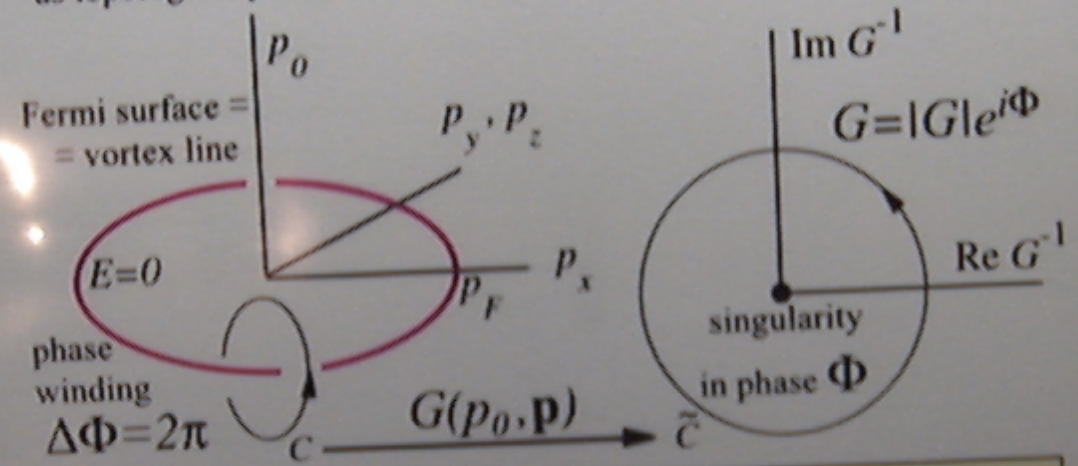
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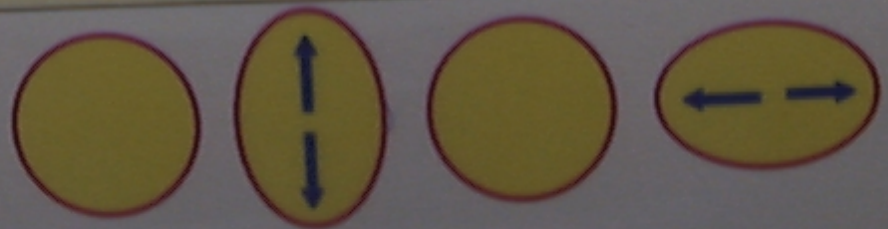


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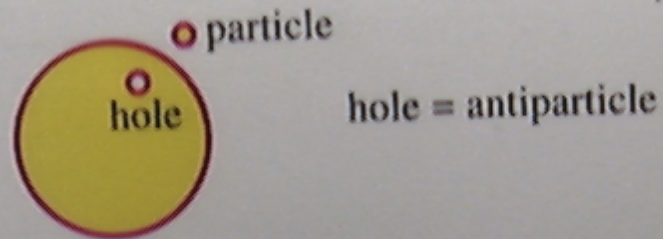
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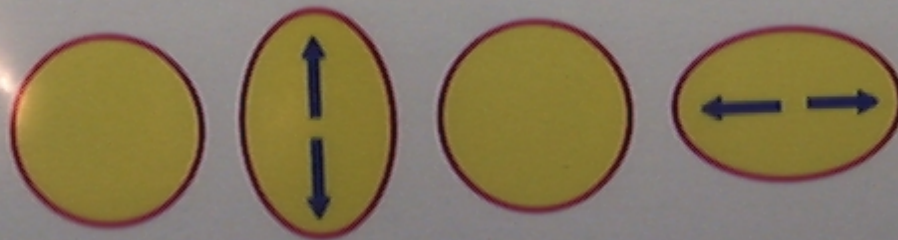


## Effective nonrelativistic Quantum Field Theory: interacting fermions and bosons

\* fermions



bosons



bosons are not fundamental.  
they are 'composite' or effective:  
collective modes of fermionic vacuum emerging  
in the low-energy corner

Can Relativistic Quantum Field Theory  
naturally emerge in fermionic vacuum ?

Compare these Hamiltonians

Bogoliubov-Nambu quasiparticle in QFT for  $^3\text{He-A}$  & chiral superconductors

left-handed neutrino

$$H = -c \boldsymbol{\sigma} \cdot \mathbf{p}$$

$$H = \begin{pmatrix} \frac{p^2 - p_F^2}{2m} & c_{\perp}(p_x + ip_y) \\ c_{\perp}(p_x - ip_y) & -\frac{p^2 - p_F^2}{2m} \end{pmatrix}$$

right-handed neutrino

$$H = +c \boldsymbol{\sigma} \cdot \mathbf{p}$$

What is common for them?

$$H(\mathbf{p}) = \boldsymbol{\sigma} \cdot \mathbf{m}(\mathbf{p})$$

$$E^2(\mathbf{p}) = \mathbf{m}^2(\mathbf{p})$$

1.  $E(\mathbf{p}) = 0$  at points (Fermi points)

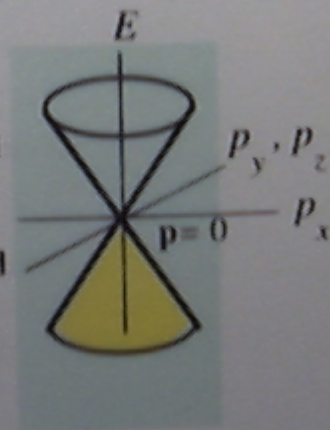
$$\mathbf{p} = +p_F \mathbf{e}_z \quad N_3 = +1$$

$N_3 = +1$   
right-handed neutrino



$$\mathbf{p} = -p_F \mathbf{e}_z \quad N_3 = -1$$

left-handed neutrino  
 $N_3 = -1$



2. Fermi points are topologically stable & described by topological invariant in momentum space

$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int_{\text{over 2D surface around Fermi point}} dS^l \hat{\mathbf{m}} \cdot (\partial^j \hat{\mathbf{m}} \times \partial^k \hat{\mathbf{m}})$$

3. Close to Fermi points (quasi)particles are relativistic left or right-handed chiral Weyl fermions

$$L = e^{\mu} \sigma^a (p_{\mu} - eA_{\mu})$$



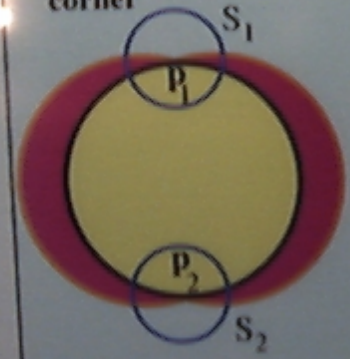
# Topological stability of Fermi point (general case)



Topological invariant in 4D momentum space  $(\mathbf{p}, p_0)$   
in terms of fermionic propagator:  
matrix Green's function  $\mathbf{G}(\mathbf{p}, p_0)$

$$N_3 = \frac{1}{24\pi^2} \epsilon_{\mu\nu\lambda\gamma} \text{tr} \int_{\text{over 3D surface } S \text{ in 4D momentum space}} dS^\gamma \mathbf{G} \partial^\mu \mathbf{G}^{-1} \mathbf{G} \partial^\nu \mathbf{G}^{-1} \mathbf{G} \partial^\lambda \mathbf{G}^{-1}$$

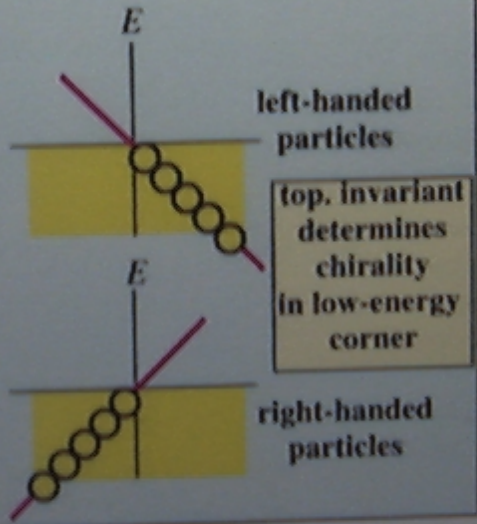
in low-energy corner  $\mathbf{G}^{-1} = i p_0 + c \boldsymbol{\sigma} \cdot (\mathbf{p} - \mathbf{p}_1)$



$$N_3(S_1) = -1$$

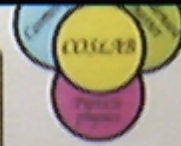
$$N_3(S_2) = +1$$

$$\mathbf{G}^{-1} = i p_0 - c \boldsymbol{\sigma} \cdot (\mathbf{p} - \mathbf{p}_2)$$

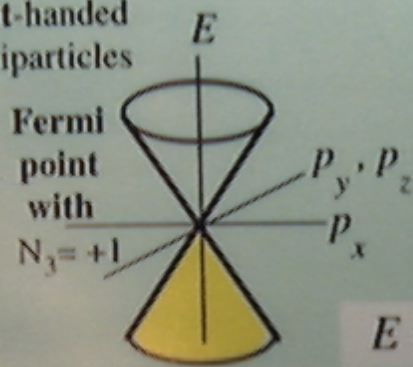


# Chiral particles

Quasiparticles near Fermi points are relativistic:  
left or right-handed chiral Weyl fermions



right-handed quasiparticles



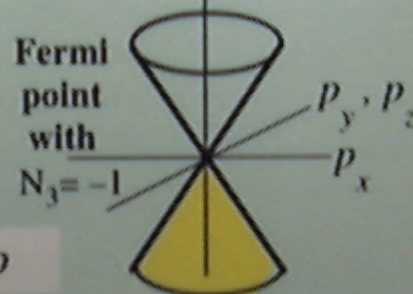
$$H = +c \boldsymbol{\sigma} \cdot \mathbf{p}$$

momentum  $\mathbf{p}$   $\rightarrow$   
spin  $\boldsymbol{\sigma}$   $\rightarrow$

$$E = \pm cp$$

$$H^2 = c^2 p^2$$

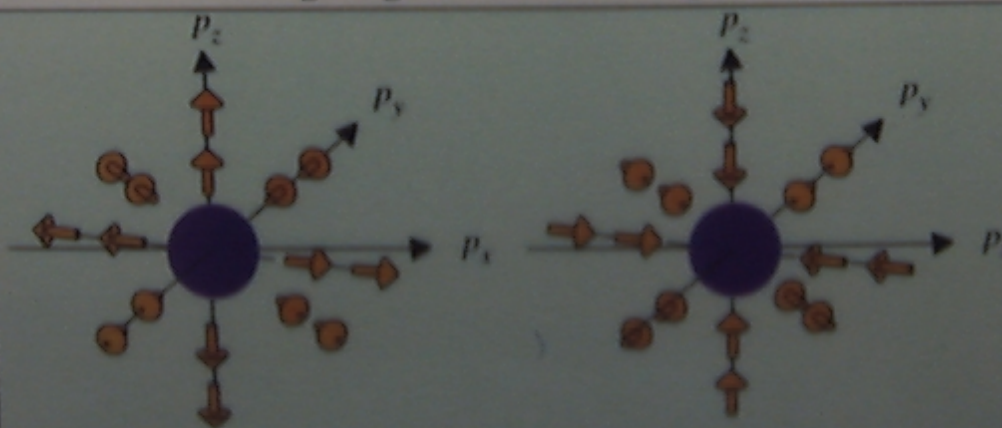
left-handed quasiparticles



$$H = -c \boldsymbol{\sigma} \cdot \mathbf{p}$$

momentum  $\mathbf{p}$   $\rightarrow$   
spin  $\boldsymbol{\sigma}$   $\leftarrow$

Topological stability of Fermi point:  
hedgehog in momentum space

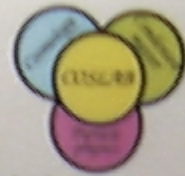


hedgehog with spines (spins)  
outward ( $N_3 = +1$ )

hedgehog with spines (spins)  
inward ( $N_3 = -1$ )



# Classes of quantum field theories



Compare these Hamiltonians

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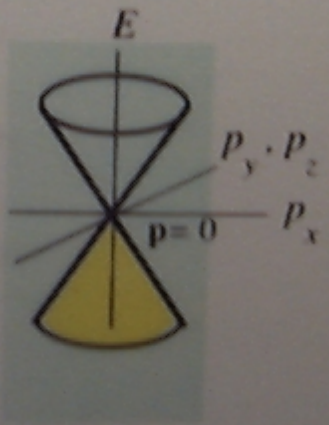
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left-handed neutrino  
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$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int_{\text{over 2D surface around Fermi point}} dS^l \hat{\mathbf{m}} \cdot (\partial^j \hat{\mathbf{m}} \times \partial^k \hat{\mathbf{m}})$$

3. Close to Fermi points (quasi)particles are relativistic left or right-handed chiral Weyl fermions



# Classes of quantum field theories

Compare these Hamiltonians



Bogoliubov-Nambu quasiparticle in QFT for  $^3\text{He-A}$  & chiral superconductors

$$H = \begin{pmatrix} \frac{p^2 - p_F^2}{2m} & c_{\perp}(p_x + ip_y) \\ c_{\perp}(p_x - ip_y) & -\frac{p^2 - p_F^2}{2m} \end{pmatrix}$$

left-handed neutrino

$$H = -c \boldsymbol{\sigma} \cdot \mathbf{p}$$

right-handed neutrino

$$H = +c \boldsymbol{\sigma} \cdot \mathbf{p}$$

What is common for them?

$$H(\mathbf{p}) = \boldsymbol{\sigma} \cdot \mathbf{m}(\mathbf{p})$$

$$E^2(\mathbf{p}) = \mathbf{m}^2(\mathbf{p})$$

1.  $E(\mathbf{p}) = 0$  at points (Fermi points)

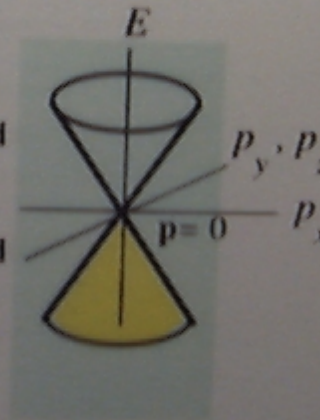
$$\mathbf{p} = +p_F \mathbf{e}_z \quad N_3 = +1$$



$$\mathbf{p} = -p_F \mathbf{e}_z \quad N_3 = -1$$

$N_3 = +1$   
right-handed neutrino

left-handed neutrino  
 $N_3 = -1$



2. Fermi points are topologically stable & described by topological invariant in momentum space

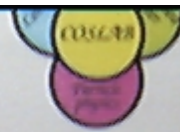
$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int_{\text{over 2D surface around Fermi point}} dS^l \hat{\mathbf{m}} \cdot (\partial^j \hat{\mathbf{m}} \times \partial^k \hat{\mathbf{m}})$$

3. Close to Fermi points (quasi)particles are relativistic left or right-handed chiral Weyl fermions

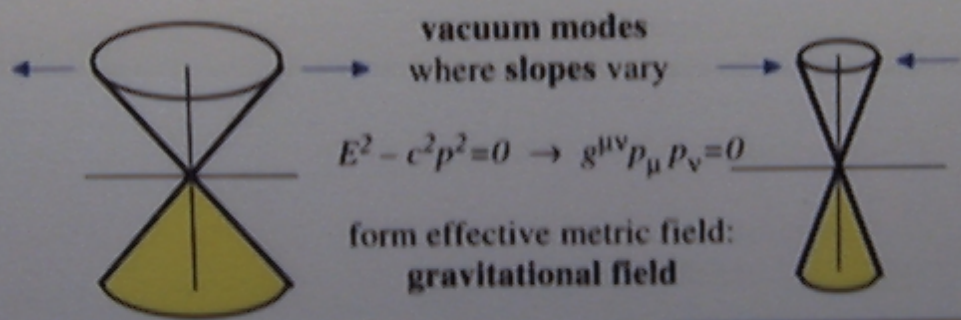
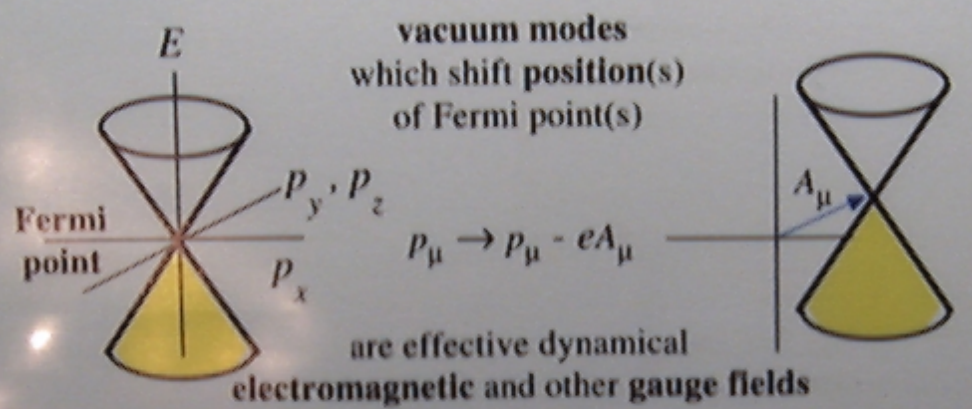


# of Fermi-point Universality Class:

## gauge fields & gravity



Vacuum low-energy dynamics cannot destroy the Fermi point.  
Shifts  $A$  and slopes  $g^{ik}$  are propagating collective modes:.



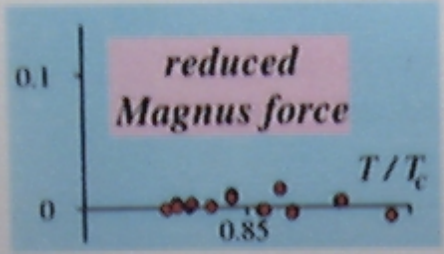
Quasiparticle near Fermi point is  
left or right particle moving in effective  
gravitational, electromagnetic, weak fields

chiral fermions,  
gauge fields and gravity  
appear  
in low-energy corner  
together with spin and  
physical laws:  
Lorentz and gauge  
invariance,  
and general covariance

$$g^{\mu\nu} (p_\mu - eA_\mu - e\tau W_\mu) (p_\nu - eA_\nu - e\tau W_\nu) = 0$$

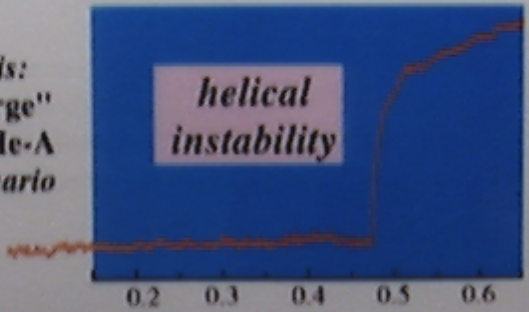
properties of quantum vacuum

With superfluid  $^3\text{He}$  we simulated:



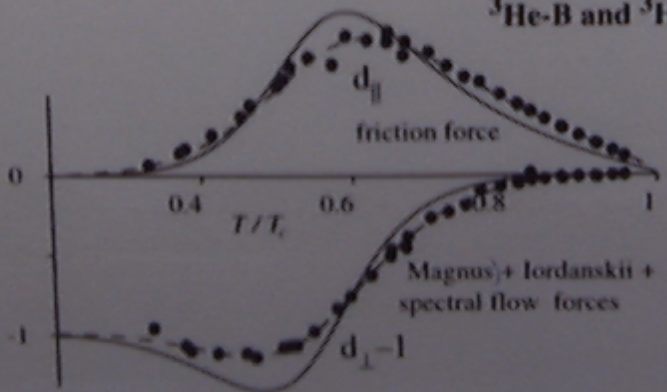
(Manchester) Axial anomaly: creation of charge from vacuum was demonstrated in  $^3\text{He-A}$

(Helsinki) Magnetogenesis: Transformation of "charge" to "magnetic field" in  $^3\text{He-A}$  Joyce-Shaposhnikov scenario



(Helsinki, Grenoble, Lancaster) Kibble-Zurek scenario of defect formation was tested in  $^3\text{He-B}$

(Helsinki) ergoregion instability at the brane between 2 vacua  $^3\text{He-B}$  and  $^3\text{He-A}$



(Manchester) Gravitational Aharonov-Bohm effect: Lordanskii force was measured in  $^3\text{He-B}$

# in $^3\text{He-A}$

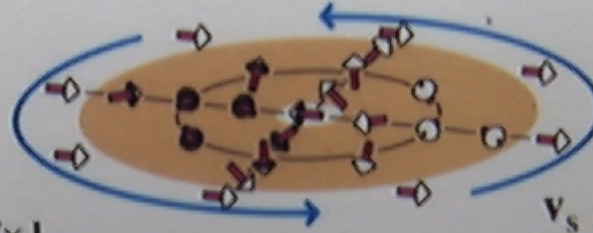
Bevan, et al. Nature 386, 689 (1997)

$$\dot{\mathbf{P}} = (1/4\pi^2) \mathbf{B} \cdot \mathbf{E} \sum_a \mathbf{P}_a C_a e_a^2$$

Momentogenesis vs baryogenesis

$$\dot{\mathbf{B}} = (1/4\pi^2) \mathbf{B}_Y \cdot \mathbf{E}_Y \sum_a B_a C_a Y_a^2$$

- $\mathbf{P}_a$  -- momentum
- $e_a$  -- effective charge
- $C_a = +1$  for right
- $-1$  for left



vortex l-texture (skyrmion)

Effective magnetic field  $\mathbf{B} = p_F \nabla \times \mathbf{l}$   
is produced by vortex skyrmion

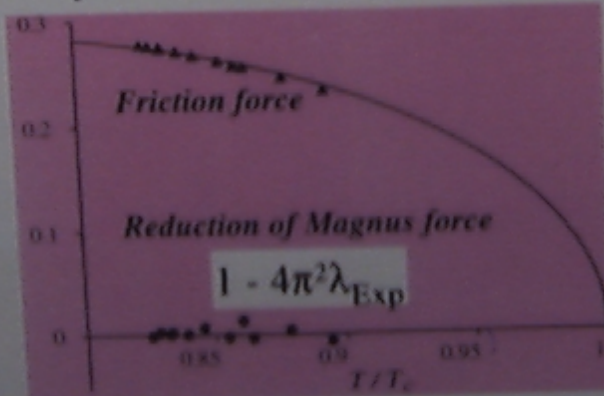
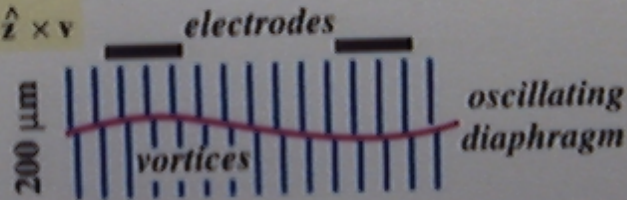
Effective electric field  $\mathbf{E} = p_F d\mathbf{l}/dt$   
is produced by motion of skyrmion

\* Extra force on moving vortex due to chiral anomaly

$$\mathbf{F} = \int d^3r \dot{\mathbf{P}} = h (1/3\pi^2) p_F^3 \hat{\mathbf{z}} \times \mathbf{v}$$

\* Experimental set-up

\* Experimental result



$$\dot{\mathbf{P}} = \lambda p_F \mathbf{l} (\mathbf{B} \cdot \mathbf{E})$$

$\lambda_{Exp}$   
measured parameter  
in anomaly equation

$\lambda_{Theory} = 1/4\pi^2$   
theoretical value



# Experimental verification of Adler-Bell-Jackiw equation in $^3\text{He-A}$

Bevan, *et al.*, Nature 386, 689 (1997)



$$\dot{\mathbf{P}} = (1/4\pi^2) \mathbf{B} \cdot \mathbf{E} \sum_a \mathbf{P}_a C_a e_a^2$$

*Momentogenesis*

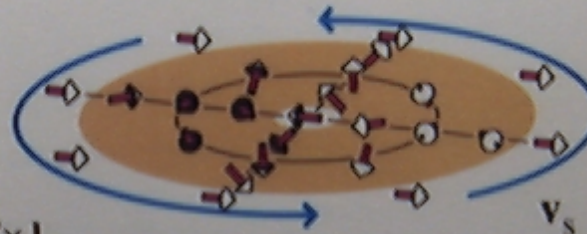
$$\dot{\mathbf{B}} = (1/4\pi^2) \mathbf{B}_Y \cdot \mathbf{E}_Y \sum_a \dot{\mathbf{B}}_a C_a Y_a^2$$

*baryogenesis*

- $\mathbf{P}_a$  -- momentum
- $e_a$  -- effective charge
- $C_a = +1$  for right
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*Effective magnetic field  $\mathbf{B} = p_F \nabla \times \mathbf{l}$   
is produced by vortex skyrmion*

*Effective electric field  $\mathbf{E} = p_F d\mathbf{l}/dt$   
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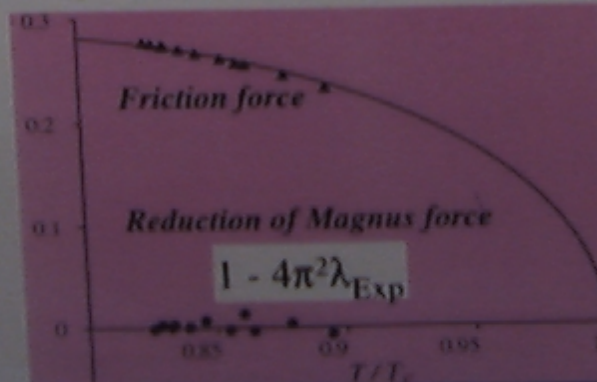
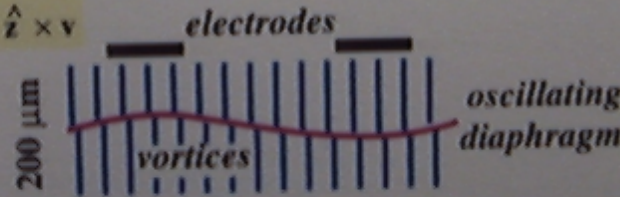
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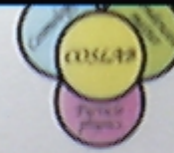
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$\lambda_{Exp}$   
measured parameter  
in anomaly equation

$\lambda_{Theory} = 1/4\pi^2$   
theoretical value



## Conclusion



The momentum-space topology determines universality classes of QFT vacua

Vacuum of Standard Model belongs to Fermi-point universality class

Elementary (quasi)particles in vacua of this universality class are chiral fermions emerging near Fermi points

Gravity and gauge fields are low-energy collective modes, either fundamental or emerging due to Fermi points

If RQFT is emergent, spin and speed of light are not fundamental, but fundamental for low-energy observers

If RQFT is emergent, quantum gravity does not exist: gravity is the classical output of the quantum vacuum and one should not quantize gravity again (except for gravitons)

Equilibrium quantum vacuum does not gravitate ( $\Lambda = 0$ )

$\Lambda = 0$  before and after cosmological phase transition

$\Lambda$  is on order of  $E_{\text{matter}}$  or of other perturbations of vacuum

Horizon can be constructed at AB-brane

Vacuum behind horizon can be unstable due to interaction with extra-dimensional environment

Vacuum can resist to formation of horizon